Minimum Phase Behavior of High-Speed Tail-Controlled Projectiles

by Tristan D Griffith, John Zelina, Joshua T Bryson, and Benjamin C Gruenwald
NOTICES

Disclaimers

The findings in this report are not to be construed as an official Department of the Army position unless so designated by other authorized documents.

Citation of manufacturer’s or trade names does not constitute an official endorsement or approval of the use thereof.

Destroy this report when it is no longer needed. Do not return it to the originator.
Minimum Phase Behavior of High-Speed Tail-Controlled Projectiles

Tristan D Griffith  
*Texas A&M University*

John Zelina  
*Embry-Riddle Aeronautical University*

Joshua T Bryson and Benjamin C Gruenwald  
*DEVCOM Army Research Laboratory*

DISTRIBUTION STATEMENT A. Approved for public release; distribution is unlimited.
Minimum Phase Behavior of High-Speed Tail-Controlled Projectiles

Tristan D Griffith, John Zelina, Joshua T Bryson, and Benjamin C Gruenwald

Tail-controlled projectiles are known to have unstable transmission zeros. Adaptive control architectures and many other control strategies require the transmission zeros be stable to produce stability proofs. Accordingly, many control techniques may not be immediately applied to tail-controlled projectiles. This work presents an overview of the theoretical considerations for the stabilization of transmission zeros by leaking or blending some of the other plant states into the system output. A variety of solutions are presented, but it is ultimately shown that this approach is not worth further exploration because it requires feedback of many more plant states for successful implementation that may not be available for estimation.

flight control, nonminimum phase systems, tail-control, dynamic inversion, adaptive control, guided projectile, high-speed projectile, Weapons Sciences

ORCID: Joshua Bryson, 0000-0002-0753-6823; Benjamin Gruenwald, 0000-0003-3968-5070
# Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>List of Figures</td>
<td>iv</td>
</tr>
<tr>
<td>List of Tables</td>
<td>iv</td>
</tr>
<tr>
<td>1. Introduction</td>
<td>1</td>
</tr>
<tr>
<td>2. Positive Real Systems</td>
<td>1</td>
</tr>
<tr>
<td>3. Projectile Flight Dynamics</td>
<td>4</td>
</tr>
<tr>
<td>4. Tail-Controlled Projectiles are Nonminimum Phase Systems</td>
<td>7</td>
</tr>
<tr>
<td>4.1 Tail-Controlled Projectile Dynamics without Actuator Dynamics</td>
<td>8</td>
</tr>
<tr>
<td>4.1.1 Sensor Blending Ignoring the Feedthrough Term</td>
<td>8</td>
</tr>
<tr>
<td>4.1.2 Existing Solution for Nonminimum Phase Dynamics</td>
<td>8</td>
</tr>
<tr>
<td>4.2 Tail-Controlled Projectile Dynamics with Actuator Dynamics</td>
<td>10</td>
</tr>
<tr>
<td>5. Example: Bolt-on Adaptive Regulator</td>
<td>15</td>
</tr>
<tr>
<td>6. Conclusion</td>
<td>20</td>
</tr>
<tr>
<td>7. References</td>
<td>22</td>
</tr>
<tr>
<td>Appendix. Sensor Blending Theory</td>
<td>25</td>
</tr>
<tr>
<td>List of Symbols, Abbreviations, and Acronyms</td>
<td>35</td>
</tr>
<tr>
<td>Distribution List</td>
<td>36</td>
</tr>
</tbody>
</table>
List of Figures

Fig. 1  Step response comparison between a minimum and nonminimum phase system. Note that the minimum phase system immediately heads in the positive direction of the positive step command, while the nonminimum phase system first moves in the negative direction. ........................................3

Fig. 2  Illustration of a generic projectile with a body-fixed frame relative to an earth reference frame (inertial frame) .................................................4

Fig. 3  Wind reference frame relative to the body-fixed reference frame. Angle of attack and angle of sideslip relate to the projectile’s center-of-gravity velocity vector. .................................................................6

Fig. 4  Pole-zero map for short-period dynamics when feedthrough $D$ term is ignored ..................................................................................................................9

Fig. 5  Pole-zero map for short-period dynamics ...........................................9

Fig. 6  Blending increasingly more roll $q$ into the output measure makes the system minimum phase ...............................................................10

Fig. 7  Pole-zero plot for dynamics at Mach 3.8 and $\alpha = 12^\circ$ ....................11

Fig. 8  Algorithm to solve LMI .................................................................14

Fig. 9  Pole-zero plot for all linear models in the flight envelope using the redefined output $\tilde{C}$ .................................................................15

Fig. 10  Pole-zero plot all linear models in the flight envelope using the original output $C$ ........................................................................15

Fig. 11  MRAC architecture .......................................................................16

Fig. 12  Modified output MRAC for the 5,5 linear model using the output from Eq. 36 .................................................................17

Fig. 13  Modified algorithm to solve LMI ..................................................18

Fig. 14  Modified output MRAC for the 5,5 linear model ...........................19

Fig. 15  Modified output MRAC for the 8,1 linear model ...........................19

Fig. 16  Modified output MRAC for the 8,1 linear model when there is 20% parametric uncertainty in the modification to $C$ ..................20

Fig. A-1  Normal form diagram ...............................................................27

Fig. A-2  Simulating the modified and original impulse responses ............34

List of Tables

Table 1  Dimensional derivative terms .....................................................7
1. Introduction

Tail-controlled projectiles present a variety of engineering challenges due to uncertainty in the aerodynamic models, limited control authority, and a lack of redundant systems driven by a need for high performance and reliability at the lowest possible cost. This is further compounded for high-speed projectiles, whose dynamics are nonlinear and whose flight envelopes increasingly include both subsonic and supersonic airspeeds.

Adaptive control schemes have attracted much attention for the autopilot of high-speed projectiles. However, it is known that tail-controlled projectiles are nonminimum phase systems. As we show in this work, nonminimum phase systems are not guaranteed to dissipate their internal energy. Stability guarantees for many adaptive control schemes rely on dissipativity as a property of the internal dynamics, so we cannot bolt on existing adaptive controllers to a nonminimum phase system and maintain stability guarantees. This work aims to present a theoretical treatment of nonminimum phase tail-controlled projectiles, modifying the dynamics so that existing adaptive schemes may be readily applied.

A number of strategies have been proposed for treating dynamical systems that are nonminimum phase. The most widely discussed and implemented solution is to control a redefined output, which is often a transformation of the body acceleration into a blend of body angles and acceleration that does exhibit minimum phase dynamics.

2. Positive Real Systems

In the study and control of dynamical systems, understanding how energy is stored in the system is important. In the most general sense, a dynamical system that can store up energy and suddenly release it will be more difficult to control than a dynamical system that maintains or decreases its energy. The terms positive real (PR) or strictly positive real (SPR) are used to describe linear systems that maintain or decrease their energy only. Dissipativity is generally reserved for nonlinear systems that that maintain or decrease their energy only.

The PR property allows much stronger mathematical guarantees of stability, so a great deal of literature treats the analysis and control of PR systems. Consider
the following controllable and observable linear continuous-time square system
\[ \dot{x} = Ax + Bu \\
y = Cx. \] (1)

From Anderson and Vongpanitlerd,\textsuperscript{10} the system described by Eq. 1 is PR when
\[ \exists P > 0 \ni \begin{cases} 
A^T P + PA = -Q \leq 0 \\
PB = C^T. 
\end{cases} \]

There is little controversy over the definition of a PR system. SPR definitions generate more discussion, but here we employ the definition which meets the Kalman-Yacubovich conditions\textsuperscript{11}
\[ \exists P > 0 \ni \begin{cases} 
A^T P + PA = -Q < 0 \\
PB = C^T. 
\end{cases} \]

A particular topic of interest has been the use of (static) output feedback to make a system PR or SPR
\[ u = Gy. \] (2)

Using output feedback to make a system PR has the double benefit of controlling the system and providing the stronger guarantees of stability. Dynamical systems which may be made PR with output feedback are called almost positive real (aPR) and dynamical systems that may be made almost SPR with output feedback are called almost strictly positive real (aSPR).\textsuperscript{12,13}

We now present the result from Balas and Fuentes\textsuperscript{14} that demonstrates a concise test for aSPR systems.

**Theorem 1.** \((A, B, C)\) is almost SPR with the control law given by Eq. 2 if and only if the high-frequency gain \(CB\) is positive definite and the open loop system is minimum phase.

It should not come as a huge surprise that this result requires the open loop system to be minimum phase. Minimum phase systems have all their transmission (or blocking) zeros in the left-half plane. Intuitively, this results in system dynamics
that respond to control commands in a “right way first” manner (see Fig. 1). Conversely, nonminimum phase systems respond in a “wrong way first” manner.

Fig. 1 Step response comparison between a minimum and nonminimum phase system. Note that the minimum phase system immediately heads in the positive direction of the positive step command, while the nonminimum phase system first moves in the negative direction.

Theorem 1 is important for adaptive control techniques, which almost always make use of the aSPR property to prove stability via Lyapunov analysis.\textsuperscript{15–17} A particularly aggressive static controller or adaptive controller will note the “wrong way first” response to a command, which increases the tracking error causing the controller to command a greater response. This results in further “wrong way first” responses and can quickly become unstable. Adaptive control techniques are most readily applied to aSPR or SPR systems, although there are techniques for controlling and analyzing nonminimum phase dynamical systems with adaptive control.\textsuperscript{18,19}

Notice, the restriction on $CB$ can be somewhat onerous. Positive definiteness implies a square $CB$ with all eigenvalues positive. In particular, this restricts our almost SPR systems to those with the same number of inputs as outputs. While there is some developing theory on the removal of this restriction,\textsuperscript{20,21} it remains an obstacle to the theoretical stability of adaptive systems.

With this overview complete, we turn to an introduction of the relevant dynamics for tail-controlled projectiles in order to analyze their positive realness. If these dynamics can be shown or modified to aSPR, a variety of control architectures,
adaptive and otherwise, immediately become available as bolt-on solutions with theoretical stability guarantees.

3. Projectile Flight Dynamics

In this section, we provide a brief overview of the nonlinear flight dynamics for a generic tail-controlled projectile. The content presented here can also be found in Griffith et al.\textsuperscript{22} with further details given in Bryson and Gruenwald.\textsuperscript{23} We include it here to keep the paper self-contained. We begin by noting the relevant reference frames and coordinate systems needed to describe the position and orientation of the projectile. As shown in Fig. 2, the earth reference frame is used as the inertial frame located at the launch location with the x-axis pointing toward the target and the body-fixed reference frame is fixed at the center-of-gravity location on the body of the projectile.

![Fig. 2 Illustration of a generic projectile with a body-fixed frame relative to an earth reference frame (inertial frame)](image)

The orientation of the body-fixed frame can be given with respect to the fixed earth reference frame using a ZYX Euler sequence of rotations, where the three Euler angles for roll, pitch, and yaw, are given by $\phi$, $\theta$, and $\psi$, respectively. Using this transformation, the kinematic equations for translational velocity can be given as

$$
\begin{bmatrix}
\dot{x} \\
\dot{y} \\
\dot{z}
\end{bmatrix} =
\begin{bmatrix}
c_\phi c_\psi & s_\phi s_\theta c_\psi & -c_\phi s_\psi + s_\theta c_\phi s_\psi \\
c_\phi s_\psi & s_\phi s_\theta s_\psi + c_\phi c_\psi & c_\phi s_\theta s_\psi - s_\phi c_\psi \\
-s_\theta & s_\phi c_\theta & c_\phi c_\theta
\end{bmatrix}
\begin{bmatrix}
u \\
v \\
w
\end{bmatrix},
$$

where $s_\phi = \sin(\phi)$, $c_\phi = \cos(\phi)$, and so forth, the states $[x, y, z]^T$ are the center-of-gravity positions relative to the earth inertial frame, and $[u, v, w]^T$ are the body-fixed
translational velocities.

The dynamics of the Euler angles can be described by the body-fixed angular rates as the following kinematic equations

\[
\begin{bmatrix}
\dot{\phi} \\
\dot{\theta} \\
\dot{\psi}
\end{bmatrix} =
\begin{bmatrix}
1 & s_{\phi}t_{\theta} & c_{\phi}t_{\theta} \\
0 & c_{\phi} & -s_{\phi} \\
0 & s_{\phi}/c_{\theta} & c_{\phi}/c_{\theta}
\end{bmatrix}
\begin{bmatrix}
p \\
q \\
r
\end{bmatrix},
\]

(4)

where \([p, q, r]^T\) are the body-fixed angular rates acting in the roll, pitch, and yaw planes, respectively, and \(t_{\theta} = \tan(\theta)\).

The projectile flight dynamics are based on the standard rigid body 6-degree-of-freedom equations of motion. The three translational degrees of freedom are governed by Newton’s second law and described by the body-fixed translational velocities given by

\[
\begin{bmatrix}
\dot{u} \\
\dot{v} \\
\dot{w}
\end{bmatrix} = \frac{1}{m}
\begin{bmatrix}
F_X - mg\sin\theta \\
F_Y + mg\sin\phi\cos\theta \\
F_Z + mg\cos\phi\cos\theta
\end{bmatrix} -
\begin{bmatrix}
0 & -r & q \\
r & 0 & -p \\
-p & q & 0
\end{bmatrix}
\begin{bmatrix}
u \\
w
\end{bmatrix}.
\]

(5)

Here \(m\) is the mass of the projectile, \(g\) is the gravitational acceleration, and \(F_X, F_Y,\) and \(F_Z\) are the aerodynamic forces acting on the projectile body in the \(x, y,\) and \(z\) direction, respectively. The three rotational degrees of freedom are governed by Euler’s law and described by the body-fixed angular rates given by

\[
\begin{bmatrix}
\dot{p} \\
\dot{q} \\
\dot{r}
\end{bmatrix} =
\begin{bmatrix}
I_{x}^{-1} & 0 & 0 \\
0 & I_{y}^{-1} & 0 \\
0 & 0 & I_{z}^{-1}
\end{bmatrix}
\begin{bmatrix}
M_l \\
M_m \\
M_n
\end{bmatrix} +
\begin{bmatrix}
I_{x}^{-1}(I_{y} - I_{z})qr \\
I_{y}^{-1}(I_{z} - I_{x})pr \\
I_{z}^{-1}(I_{x} - I_{y})pq
\end{bmatrix},
\]

(6)

where \(I_x, I_y,\) and \(I_z\) are the components of inertia around the \(x, y,\) and \(z\) axes, and \(M_l, M_m,\) and \(M_n\) are the external moment components resulting from the aerodynamic moments. The inertia matrix is considered to be diagonal with no cross-coupling owing to the symmetric nature of the considered projectile bodies.

Now we introduce the wind reference frame, depicted in Fig. 3, which is defined by the instantaneous orientation of the relative wind velocity vector, denoted as
\( \vec{V} \equiv \vec{V}_{CG/E} \), with respect to the body-fixed frame. The relationship between the wind frame and the body-fixed frame is made through the aerodynamic angles: angle of attack, \( \alpha \), and angle of sideslip, \( \beta \). In addition, the airspeed of the projectile is given by the magnitude of the velocity vector \( \vec{V} \) and can be written as

\[
V = \sqrt{u^2 + v^2 + w^2},
\]

and the aerodynamic angles can be written in terms of the body-fixed component velocities as

\[
\alpha = \arctan \left( \frac{w}{u} \right),
\]

\[
\beta = \arcsin \left( \frac{v}{V} \right).
\]

Fig. 3 Wind reference frame relative to the body-fixed reference frame. Angle of attack and angle of sideslip relate to the projectile’s center-of-gravity velocity vector.

With the full 6-degree-of-freedom equations of motion defined, we now note the common practice of linearizing and decoupling dynamics into the longitudinal and lateral-directional modes. For the purpose of this report, we consider the short-period mode of the longitudinal dynamics. The short-period mode is described by the dynamics of angle of attack \( \alpha \) and pitch rate \( q \). Using Eqs. 5 and 6, along with the appropriate forces and moments, and Eq. 8, the short-period dynamics can be written as

\[
\begin{bmatrix}
\dot{\alpha} \\
\dot{q}
\end{bmatrix} = \begin{bmatrix}
\frac{Z_{\alpha}}{V} & 1 \\
M_{\alpha} + M_{\dot{\alpha}} \frac{Z_{\alpha}}{V} & M_q + M_{\dot{\alpha}}
\end{bmatrix} \begin{bmatrix}
\alpha \\
q
\end{bmatrix} + \begin{bmatrix}
\frac{Z_{\delta q}}{V} \\
M_{\delta q} + M_{\alpha} \frac{Z_{\delta q}}{V}
\end{bmatrix} \delta_q.
\]

Here, \( \delta_q \) is the control input for pitch motion, and the terms \( Z_{\alpha}, M_{\alpha}, M_{\dot{\alpha}}, M_q, Z_{\delta q}, \) and \( M_{\delta q} \) are dimensional derivatives and given in Table 1 where \( Q = \frac{1}{2} \rho V^2 \) is the dynamic pressure (\( \rho \) being the air density), \( S = \frac{\pi}{4} D^2 \) is the aerodynamic reference
area, and \( D \) is the projectile diameter. The stability and derivative coefficients given by \( C_{Z\alpha}, C_{m\alpha}, C_{m\dot{\alpha}}, C_{Z\delta}, \) and \( C_{m\delta} \) are obtained from aerodynamic modeling of the forces and moments on the projectile.

| \( Z_{\alpha} = \frac{QS}{m} C_{Z\alpha} \) | \( Z_{\delta} = \frac{QS}{m} C_{Z\delta} \) |
| \( M_{\alpha} = \frac{QSD}{I_y} C_{m\alpha} \) | \( M_{\dot{\alpha}} = \frac{QSD}{I_y} \frac{D}{2V} C_{m\alpha} \) |
| \( M_{q} = \frac{QSD}{I_y} \frac{D}{2V} C_{m\delta} \) | \( M_{\delta} = \frac{QSD}{I_y} C_{m\delta} \) |

Since the control objective will be to follow a desired acceleration command, we note here that the projectile’s specific vertical acceleration \( A_Z = -\frac{F_Z}{m} \) can be written as

\[
A_Z = \begin{bmatrix} -Z_{\alpha} & 0 \end{bmatrix} \begin{bmatrix} \alpha \\ q \end{bmatrix} + \begin{bmatrix} -Z_{\delta} \end{bmatrix} \delta_q, \tag{11}
\]

where the negative sign is used by convention so a positive angle of attack supplies a positive vertical acceleration.

### 4. Tail-Controlled Projectiles are Nonminimum Phase Systems

The flight dynamics presented in the previous section take the form of linear time invariant systems to simplify the analysis, but in reality, the dynamics are highly nonlinear and so the relevant aerodynamic coefficients vary across the flight envelope. Additional internal states may be measurable, but we only consider a single output in our analysis here to maintain a square system, which is one of the requirements of Theorem 1.
4.1 Tail-Controlled Projectile Dynamics without Actuator Dynamics

Letting \( x = [\alpha, q]^T \) and \( y = A_z \), we write the short-period mode dynamics given by Eqs. 10 and 11 in compact form as

\[
\dot{x} = Ax + Bu, \quad (12)
\]
\[
y = Cx + Du, \quad (13)
\]

with

\[
A = \begin{bmatrix}
\frac{Z_\alpha}{V} & 1 \\
M_\alpha + M_\dot{\alpha} \frac{Z_\alpha}{V} & M_q + M_\dot{\alpha}
\end{bmatrix}, \quad B = \begin{bmatrix}
\frac{Z_{\delta q}}{V} \\
M_{\delta q} + M_\dot{\alpha} \frac{Z_{\delta q}}{V}
\end{bmatrix},
\]

\[
C = \begin{bmatrix}
-Z_\alpha & 0
\end{bmatrix}, \quad D = -Z_{\delta q},
\]

and \( u = \delta_q \). The output \( y = A_z \) is used because the tail-controlled guidance package generates body acceleration commands \( A_{z, \text{CMD}} \). Crucially, notice that Theorem 1 is not applicable here because of the nonzero feedthrough term \( D \). There is some existing literature on the almost strictly dissipative (ASD) conditions for systems with feedthrough terms,\(^{24,25}\) but a closed form test like that suggested by Theorem 1 does not exist and greatly complicates the analysis.

4.1.1 Sensor Blending Ignoring the Feedthrough Term

We could attempt to ignore the feedthrough term \( D \), treating it as a disturbance if it is small enough. However, when the effect of \( D \) is ignored in the short-period dynamics, the remaining dynamics have a single, stable transmission zero as seen in Fig. 4 and are accordingly already minimum phase. This means the sensor blending term will be zero. Thus, we conclude that ignoring the \( D \) term for these dynamics prevents the modification of the dynamics to be minimum phase.

4.1.2 Existing Solution for Nonminimum Phase Dynamics

Figure 5 shows the pole-zero map for the dynamics in Eqs. 10 and 11 at a single point in the flight envelope where the airspeed is Mach 2 and \( \alpha = 4^\circ \). There are two poles, both of which are stable. Additionally, there are two transmission zeros, one stable and one unstable. It is known that “blending” some amount of \( q \) into the output measurement yields a minimum phase plant output.\(^{18}\) This “blending” can
be expressed as

\[ \tilde{y} = Cx + Du \]  \hspace{1cm} (14)

\[ \tilde{A}_z = \left[ Z_\alpha - \Delta C \right] \left[ \begin{array}{c} \alpha \\ q \end{array} \right] + Z_\delta \delta_q. \]  \hspace{1cm} (15)

From Fig. 6, we see that as more \( q \) is blended into the measured output \( \tilde{y} \), the unstable transmission zero is stabilized and the system dynamics become minimum phase. This approach makes the modified system dynamics amenable to control architectures that require minimum phase dynamics, such as dynamic inversion controllers. However, it is sensitive to unmatched uncertainty that may result in oscillations in the actual plant output \( A_z \).\(^{26}\) Further, the form of Eq. 11 has a nonzero feedthrough term, so Theorem 1 may not be immediately applied to analyze the positive realness of the short-period dynamics. As a result, we turn to a modifica-
Fig. 6 Blending increasingly more roll $q$ into the output measure makes the system minimum phase of the dynamics in Eq. 10, which includes the body acceleration $A_z$ in the plant state such that there is not a feedthrough term.

4.2 Tail-Controlled Projectile Dynamics with Actuator Dynamics

It is convenient to include $A_z$ as an internal plant state with the representation

$$
\begin{bmatrix}
\dot{A}_z \\
\dot{q} \\
\dot{\delta}_q \\
\dot{\delta}_q
\end{bmatrix} =
\begin{bmatrix}
\frac{Z\alpha}{V} & Z\alpha & 0 & Z\delta \\
\frac{M\alpha}{Z\alpha} & M_\delta - \frac{M_\alpha Z\delta}{Z\alpha} & 0 & 1 \\
0 & 0 & 0 & -\omega^2 \\
0 & 0 & -2\zeta\omega & -2\zeta\omega
\end{bmatrix}
\begin{bmatrix}
A_z \\
q \\
\delta_q \\
\delta_q
\end{bmatrix}
+ \begin{bmatrix}
0 \\
0 \\
0 \\
0
\end{bmatrix}
\delta_{\text{CMD}}
$$

(16)

with the output

$$
A_z = \begin{bmatrix}
1 & 0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
A_z \\
q \\
\delta_q \\
\dot{\delta}_q
\end{bmatrix}.
$$

(17)

Of course, Eq. 16 is a higher cardinality state space model than Eq. 10. However, it considers some approximations of actuator dynamics and does not have a feedthrough term in the output.

Figure 7 shows the pole-zero plot for the dynamics in Eq. 16 at Mach 3.8 and $\alpha = 12^\circ$. There are two transmission zeros, one of which is unstable. This is a representative linear model whose unstable transmission zeros have the greatest positive real part for all models in the flight envelope. Accordingly, treating this
case should treat all the linear models in the envelope whose positive real part would be lesser. For the normal form (see the Appendix) to exist, $CB$ must be positive definite. We treat this first. Leaking a small amount of the actuator rate through to the output makes $CB > 0$

$$\hat{C} = \begin{bmatrix} -1 & 0 & 0 & 0.1 \end{bmatrix}.$$  \hspace{1cm} \text{(18)}$$

Accordingly,

$$\hat{C}B = \begin{bmatrix} -1 & 0 & 0 & 0.1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ \omega^2 \end{bmatrix} = \frac{\omega^2}{10}$$ \hspace{1cm} \text{(19)}$$

which is positive definite. Then, we follow the procedure in the Appendix making use of a state-space transformation to isolate the presently unmeasurable zero dynamics so that they may be included in the output. Interested readers should see Balas and Fuentes\textsuperscript{14} for more detail. The state-space transformation results in the
following matrices,

\[
P_1 = \begin{bmatrix}
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
-10.0 & 0 & 0 & 1.0 \\
1.0 & 0 & 0 & 0
\end{bmatrix}
\] (20)

\[
P_2 = \begin{bmatrix}
0 & 1.0 & 0 & 0 \\
0 & 0 & 1.0 & 0 \\
10.0 & 0 & 0 & 0
\end{bmatrix}
\] (21)

\[
A_{22} = \begin{bmatrix}
-5.7 & 45.0 & 0.012 \\
0 & 0 & 1.0 \\
-5.7e + 4 & 0 & 76.0
\end{bmatrix}
\] (22)

\[
\bar{B} = WB = \begin{bmatrix}
9.0e + 3 \\
0 \\
0 \\
0
\end{bmatrix}
\] (23)

\[
\bar{C} = CW^{-1} = \begin{bmatrix}
1.0 & 0 & 0 & 1.4e - 17
\end{bmatrix}
\] (24)

\[
\bar{A} = WAW^{-1} = \begin{bmatrix}
-500.0 & 5.6e + 3 & -9.0e + 3 & -49.0 \\
0 & -5.7 & 45.0 & 0.012 \\
10.0 & 0 & 0 & 1.0 \\
810.0 & -5.7e + 4 & 0 & 76.0
\end{bmatrix}
\] (25)

Accordingly, we can arbitrarily select the locations of the transmission zeros. Here, it is of interest to this work to evaluate the minimum possible modification to the \(C\) matrix. Recall,

\[
Cx = \begin{bmatrix}
1 & 0 & 0 & 0.1
\end{bmatrix}
\begin{bmatrix}
A_x \\
q \\
\delta_q \\
\dot{\delta}_q
\end{bmatrix}
\] (26)

Ideally, we would like to not need feedback from \(\delta_q\) and \(\dot{\delta}_q\). If we can restrict mod-

ification of the $C$ matrix to

$$
\tilde{C} = C + \begin{bmatrix}
\Delta c_{1,1} & \Delta c_{1,2} & 0 & 0
\end{bmatrix},
$$

we would only need currently available measurements and our approach would align with existing results from Hindman and Shell.\textsuperscript{6} Note that $\dim \tilde{A}_{22} = 3$. From this, we know $\Delta C_2 \in \mathbb{R}^{3 \times 1}$. Symbolically, we can evaluate how changes in $\Delta C_2$ impacts $C$. Denote

$$
\Delta C_2 = \begin{bmatrix} c_1 & c_2 & c_3 \end{bmatrix}.
$$

Then,

$$
\Delta C_2 W^2 P^2 = \begin{bmatrix} \sqrt{101} c_3 & c_1 & c_2 & 0 \end{bmatrix}.
$$

Therefore, if we can restrict $c_2 = 0$, we will not require actuator command feedback. Curiously, this zero pole placement approach cannot impact the feedback required from the actuator rate $\dot{\delta}_q$.

With state feedback control, linear matrix inequalities (LMIs) can be used to find feedback gains with restrictions on the gain matrix. This problem of zero placement is analogous to full state output feedback where

$$
\dot{x} = Ax + Bu = A_{22}x + A_{21}u
$$

$$
u = -Kx.
$$

This generates the algebraic equation

$$
(A_{22} - A_{21}K)^*P + P(A_{22} - A_{21}K) < 0, \ P > 0.
$$

This equation is a bilinear matrix inequality (BMI), which needs to be formulated as an LMI before we can solve it. The equivalent LMI is realized through a congruence transformation

$$
SA_{22}^* + A_{22}S - SK^*A_{21} - A_{21}KS < 0, \ P > 0, \ Z \equiv KS, \ S \equiv P^{-1}
$$

such that $K = ZS^{-1}$. This expression is an LMI and can be solved with any number of LMI solvers (CVX, feasp, etc.).
However, solving this equation in its current form will yield results similar to the previous linear quadratic regulator method. We have not yet treated the restrictions on $\Delta C_2$. The second element $c_2$ of $\Delta C_2$ is

$$k_2 = Z S^{-1}(1,2) = \frac{-(s_{11}s_{32}s_3 - s_{11}s_{31}s_2 - s_{12}s_{31}s_3 + s_{12}s_{33}s_2 - s_{13}s_{31}s_2 - s_{13}s_{32}s_1)}{(s_{11}s_{22}s_3 - s_{11}s_{23}s_2 - s_{12}s_{21}s_3 + s_{12}s_{23}s_1 + s_{13}s_{21}s_2 - s_{13}s_{22}s_1)}. \quad (34)$$

If we want this fraction to be 0, we can set $z_2 = s_{32} = s_{12} = 0$ and the previous LMI expression is still an LMI. Figure 8 shows the algorithm used in CVX to solve the LMI.

```
cvx_begin sdp
    variable S(3,3) symmetric
    variable Z(1,3)
    S(1,2) == 0;
    S(3,2) == 0;
    Z(1,2) == 0;
    A22*S+S*A22'-A21*Z-Z'*A21' <= -eps.*diag([1 1 1])
    S >= -1.*diag([1 eps eps])
cvx_end
DeltaC2=K2*W2*P2;
eig_sym=eig(A22-A21*K2);
tildeC= LMref.C + K2*W2*P2
```

**Fig. 8 Algorithm to solve LMI**

This results in

$$\Delta C_{2,\text{CVX}} = \begin{bmatrix} 1.21 & 366 & 0 & 0 \end{bmatrix}, \quad (35)$$

and the modified output

$$\tilde{C} = \begin{bmatrix} 0.21 & 366 & 0 & 0.1 \end{bmatrix}. \quad (36)$$
From Fig. 9, the zeros for all linear models in the flight envelope are stable, not just the fastest ones in the linear model we used to generate $\Delta C_{2, \text{CVX}}$. For further comparison, see Fig. 10 with unstable zeros. This shows the transmission zeros of the original unmodified output across the entire flight envelope.

The redefined output is minimum phase across the flight envelope and is accordingly amenable to bolt-on adaptive control approaches as shown in the next section.

**5. Example: Bolt-on Adaptive Regulator**

For this example, we use the redefined output in Eq. 36, and LINLTV.GAQ(:, :, 5, 5) even though we used the LINLTV.GAQ(:, :, 8, 17) model to modify the output. We consider the standard adaptive scheme for model reference adaptive control (MRAC) reference command tracking (see Balas et al.\textsuperscript{27} for a complete technical treatment)

\[
\begin{align*}
\text{Plant:} & \quad \dot{x} = Ax + Bu, \\
y & = Cx,
\end{align*}
\]  

(37)
Adaptive Controller:
\[
\begin{aligned}
    &u = G_e e_y + G_m x_m + G_u u_m, \\
    &\dot{G}_e = -e_y e_y^* \sigma_e, \; \sigma_e > 0, \\
    &\dot{G}_m = -e_y x_m^* \sigma_m, \; \sigma_m > 0, \\
    &\dot{G}_u = -e_y u_m^* \sigma_u, \; \sigma_u > 0.
\end{aligned}
\] (38)

Figure 11 shows this scheme graphically. The architecture is particularly well suited to situations where \(1 \leq \dim x_m \leq \dim x < \infty\). Note, however, \(\dim y_m = \dim y\). All gains \(G_e, G_m, G_u\) are adaptive. For this controller to have stability guarantees, we require

- \((A, B, C)\) minimum phase;
- \(Z(A, B, C) \cap (\sigma(A_m) \cup \sigma(F_m)) = \emptyset\);
  - That is, the zeros of the plant \((A, B, C)\) are not shared by the zeros of the reference model.
- \(CB > 0\);
- \(u_m\) bounded; and
- \(A_m\) is stable.
In this bolt-on case, we implement the guidance filter as the reference model. Accordingly,

\[ A_m = -\frac{1}{0.05}; \quad B_m = \frac{1}{0.05}; \quad C_m = 1. \]  

(39)

This means the adaptive controller will attempt to track the guidance filter dynamics. Figure 12 shows simulation results for the \textsc{linLTV.Gaq}(\cdot;\cdot;5,5) using the modified output described previously. While the tracking of the modified output \( \tilde{y} \) is acceptable, the actual plant output \( A_z \) is poor. The top plot shows that the controller follows the modified output well, while the middle plot shows that this does not translate to good tracking of the \( A_z \) command. The modified output contains comparatively less of the \( A_z \) dynamics than the other dynamics from \( q, \delta_q, \) and \( \dot{\delta}_q \).

If we return to our LMI in Eq. 33 and remove the constraint that \( c_2 \) be zero, the CVX algorithm shown in Fig. 13 yields

\[ \Delta C_2 = \begin{bmatrix} 240.0 & 78.0 & -0.19 \end{bmatrix} \]  

(40)

\[ \tilde{C} = C + \Delta C_2 W_2 P_2 = \begin{bmatrix} -2.9 & 240.0 & 78.0 & 0.1 \end{bmatrix}. \]  

(41)
cvx_begin sdp

variable S(3,3) symmetric
variable Z(1,3)

A22*S+S*A22' - A21*Z - Z'*A21' <= -eps.*diag([1 1 1])
S >= -1.*diag([1 eps eps])

cvx_end

DeltaC2 = K2*W2*P2;
eig_sym = eig(A22-A21*K2);
tildeC = LMref.C + K2*W2*P2

Fig. 13 Modified algorithm to solve LMI

Figure 14 shows simulation results for the LINLTV.GAQ(:,:,5,5) using the modified output in Eq. 41. The top plot shows the controller follows the modified output well, while the middle plot shows this translates to good tracking of the $A_z$ command. This result is more encouraging, even though it requires feedback of the actuator command $\delta_q$. Figure 15 shows simulation results for the LINLTV.GAQ(:,:,8,1) using the modified output described previously. The top plot shows that the controller follows the modified output well, while the middle plot shows that this translates to good tracking of the $A_z$ command. As discussed in the theoretical treatment in the Appendix, this approach is sensitive to how well you can actually reconstruct the modified output. Figure 16 shows the results when we perturb the model $\tilde{C}$, but leave the plant unperturbed. Because the modified output is perturbed, tracking performance of the $A_z$ command is affected, even though we have good tracking of the modified output. The MRAC still tracks the output well, but it is the wrong signal in the physical domain. This suggests a crucial flaw in the sensor blending approach for this system. A second-order approximation of the actuator dynamics is an approximation at best. The control approach presented here is sensitive to how well the actuator command and rate can be blended into the original $A_z$ output. Actuator command and rate feedback is unlikely to be accurate and it is likely to be noisy, so we conclude that sensor blending for the dynamics in Eq. 16 is not an avenue of research worth further pursuit.
Fig. 14 Modified output MRAC for the 5,5 linear model

Fig. 15 Modified output MRAC for the 8,1 linear model
Fig. 16 Modified output MRAC for the 8,1 linear model when there is 20% parametric uncertainty in the modification to $C$

6. Conclusion

In this work, we established that adaptive controllers are more easily implemented on strictly positive or dissipative systems. Further, it was shown that dynamical systems that can be made strictly dissipative with feedback control are ASD. Adaptive controllers also stabilize on these systems. One of the key requirements of ASD systems is that the transmission (blocking) zeros are in the open left-half plane (stable). Tail-controlled projectiles have unstable transmission zeros across the flight envelope. Therefore, many adaptive and other control techniques are not readily implemented.

From this we developed a survey of existing solutions, a detailed treatment of sensor blending, whereby the plant output is blended with other plant states to stabilize the transmission zeros. This creates a “virtual” output, which may be controlled adaptively through a sensor blending approach for the short-period dynamics stabilizing all the zeros across the flight envelope. A simulation result was presented using the linear models of a tail-controlled projectile with actuator dynamics that leverages
the modified output to use MRAC as the controller.

Accordingly, we conclude a modified output is appropriate for the nonminimum phase dynamics, but likely not worth further research pursuit. The only acceptable tracking results were achieved when state feedback from $A_z$, $q$, and the actuator states $\delta_q$ and $\dot{\delta}_q$ were provided to the controller. Because it is unlikely that all these states, especially the actuator states, be estimated readily, this approach may not be easily implemented for the adaptive control of the example projectile.
7. References


Appendix. Sensor Blending Theory
We abstract our discussion of tail-controlled projectiles and present an overview of sensor blending as a technique to make nonminimum phase systems minimum phase through the use of a redefined output. The treatment that follows relies entirely on Balas and Fuentes\(^1\) and is largely a recreation of that work.

Start with a controllable single input single output (SISO) system. Without loss of generality, consider the dynamics in controllable canonical form:

\[
\bar{A} = \begin{bmatrix}
0 & 1 & \ldots & 0 \\
0 & 0 & \ldots & 0 \\
0 & 0 & \ldots & 1 \\
-a_0 & -a_1 & \ldots & -a_{n-1}
\end{bmatrix}, \quad \bar{B} = \begin{bmatrix}
0 \\
0 \\
\vdots \\
1
\end{bmatrix}, \quad \bar{C} = [b_0 \ b_1 \ \ldots \ b_{N-1}].
\] (A-1)

Note that \(P(s) = C(sI - A)^{-1}B\) and \(CB = \bar{C}B = b_{N-1} > 0\). Accordingly, \(Z(A, B, C) = Z(\bar{A}, \bar{B}, \bar{C}) = \{\lambda| \text{roots of } n(s) \}\).

Can we modify \(n(s)\) to have all \(\lambda\) stable such that \(P(s)\) is minimum phase (and almost strictly dissipative [ASD])?

### A.1 Normal Form

Given the system \((A, B, C)\) that is controllable and observable, \(\exists W \equiv \begin{bmatrix} C \\ W_2P_2 \end{bmatrix}\) such that \(W^{-1} = \begin{bmatrix} B(CB)^{-1} & W_2 \end{bmatrix}\) and

\[
\bar{A} = WAW^{-1} = \begin{bmatrix} \bar{A}_{11} & \bar{A}_{12} \\
\bar{A}_{21} & \bar{A}_{22} \end{bmatrix}, \quad \bar{B} = WB = \begin{bmatrix} CB \\ 0 \end{bmatrix}, \quad \bar{C} = CW^{-1} = \begin{bmatrix} I \\ 0 \end{bmatrix}.
\] (A-3) (A-4) (A-5)

This is a linear transform to an equivalent system where \(Z(A, B, C) = \sigma(\bar{A}_{22})\).

Now, suppose \(\lambda \in \sigma(\bar{A}_{22})\) is not stable. How can we restore minimum phase?

Output feedback or state feedback cannot impact the zero subsystem (see Fig. A-1).

\[
\begin{align*}
\dot{y} &= \bar{A}_{11}y + \bar{A}_{12}z + CBu, \quad (A-6) \\
\dot{z}_1 &= \bar{A}_{21}y + \bar{A}_{22}z. \quad (A-7)
\end{align*}
\]

It is clear that we must modify the output \(y \ni \tilde{y} \equiv y + \Delta Cz\), where \(\Delta Cz\) is the addition to the output.

**Theorem 2.** Let \(\tilde{y} \equiv \tilde{C}x = (C + \Delta Cz)z\).

\[
Z(A, B, \tilde{C}) = \sigma(\bar{A}_{22} - \bar{A}_{21}\Delta Cz) \quad (A-8)
\]

\[\exists \Delta Cz \ni \sigma(\bar{A}_{22} - \bar{A}_{21}\Delta Cz) \text{ is stable},\]

\[\iff (\bar{A}_{22}, \bar{A}_{21}) \text{ is a stabilizable subsystem.}\]

From Theorem 2, it follows that finding the correct \(\Delta Cz\) and adding the sensor blending term \(\Delta CzW_2P_2\) to the output results in the system \((A, B, \tilde{C})\) being minimum phase. Furthermore, \(\tilde{C}B = CB + \Delta CzW_2P_2B = CB > 0\), and thus, \((A, B, \tilde{C})\) is ASD.

We leverage three lemmas in this proof.

**Lemma 1.** If \(CB\) is nonsingular, then \(P_1 = B(CB)^{-1}C\) is a (non-orthogonal)
projection onto the range of $B$, along the null space of $C$ with $P_2 = I - P_1$ being the complementary projection and $R^n = R(B) \oplus N(C) = R(P_1) \oplus R(P_2)$.

**Proof.** Consider

$$P_1^2 = (B(CB)^{-1}C)(B(CB)^{-1}C) = (B(CB)^{-1}C) = P_1, \quad \text{(A-9)}$$

so it is a projection. Further

$$R(P_1) \subseteq R(B) \text{ and } z = Bu \in R(B) \quad \text{(A-10)}$$

$$\Rightarrow P_1z = (B(CB)^{-1}C)Bu = Bu = z \in R(P_1) \quad \text{(A-11)}$$

$$\therefore R(P_1) = R(B). \quad \text{(A-12)}$$

In addition,

$$N(P_1) = N(C) \text{ because } N(C) \subseteq N(P_1) \quad \text{(A-13)}$$

and $z \in N(P_1) \Rightarrow P_1z = 0 \Rightarrow CP_1z = CB(CB)^{-1}Cz = 0 \quad \text{(A-14)}$

$$\therefore N(P_1) \subseteq N(C). \quad \text{(A-15)}$$

Accordingly, $P_2$ is a projection onto $R(B)$ along $N(C)$, but $P_2^* \neq P_2$ so it is not an orthogonal projection. We have $R^n = R(P_1) \oplus N(P_1)$; hence $R^n = R(B) \oplus N(C)$. QED

Again, for a detailed proof see Balas and Fuentes.\(^1\) Note that $x = P_1x + P_2x$.

**Lemma 2.** If $CB$ is nonsingular, there $\exists$ nonsingular $W = \begin{bmatrix} C \\ W_2^* \end{bmatrix}$ $\ni$ $WB = \begin{bmatrix} CB \\ 0 \end{bmatrix}$ and $CW = \begin{bmatrix} I & 0 \end{bmatrix}$. This coordinate transform puts the dynamics into normal form.

**Proof.** Consider that

$$y = Cx = C(B(CB)^{-1}C)x = CP_1x \quad \text{(A-16)}$$

$$P_1x = B(CB)^{-1}Cx = B(CB)^{-1}y. \quad \text{(A-17)}$$
In addition,

\[ CP_2 = C - CB(CB)^{-1}C = 0 \quad \text{(A-18)} \]
\[ P_2B = B - B(CB)^{-1}CB = 0. \quad \text{(A-19)} \]

Furthermore, we have

\[ P_2x = W_2 z_2, \quad \text{(A-20)} \]

where \( z_2 \in R^{n-m} \) and the \( n - m \) columns of \( W_2 \) form an orthonormal basis for \( N(C) \). From this, we have \( W_2^*W_2 = I_{n-m} \) and the retraction \( z_2 = W_2^*P_2x \). Then, from Lemma 1 we have

\[ \dot{y} = CP_1 \dot{x} \]
\[ = CP_1 A(P_1x + P_2x) + CP_1 Bu \]
\[ = C(B(CB)^{-1})AB(CB)^{-1}y + C(B(CB)^{-1}C)A(W_2z_2) \]
\[ + C(B(CB)^{-1}C)Bu \]
\[ = \bar{A}_{11}y + \bar{A}_{12}z_1 + CBu, \quad \text{(A-21)} \]

and

\[ \dot{z}_2 = W_2^*P_x \dot{x} \]
\[ = W_2^*P_x A(P_1x + P_2x) \]
\[ = W_2^*P_2A(B(CB)^{-1}y + W_2z_2) + W_2^*P_2Bu \]
\[ = W_2^*(I - B(CB)^{-1}B)AB(CB)^{-1}y + W_2^*(I - B(CB)^{-1}B)AW_2z_2 \]
\[ = \bar{A}_{11}y + \bar{A}_{22}z_2. \quad \text{(A-22)} \]

This yields the normal form. Choose

\[ W \equiv \begin{bmatrix} C \\ W_2^*P_2 \end{bmatrix}. \quad \text{(A-23)} \]

Then \( W \) has the inverse

\[ W^{-1} = \begin{bmatrix} B(CB)^{-1} & W_2 \end{bmatrix}. \quad \text{(A-24)} \]
This gives

$$WW^{-1} = I,$$  \hfill (A-25)

because the columns of $W_2$ are in $N(C)$ and $P_2$ projects onto $N(C)$. Finally, $W^{-1}W = P_1 + W_2W_2^*P_2 = P_1 + P_2 = I$ because $W_2W_2^*$ is an orthogonal projection onto $N(C)$. By direct calculation

$$\bar{B} \equiv WB = \begin{bmatrix} CB \\ W_2^*P_2B \end{bmatrix} = \begin{bmatrix} CB \\ 0 \end{bmatrix},$$  \hfill (A-26)

$$\bar{C} \equiv CW^{-1} = \begin{bmatrix} CB(CB)^{-1} \\ CW_2 \end{bmatrix} = \begin{bmatrix} I_m \\ 0 \end{bmatrix},$$  \hfill (A-27)

$$\bar{A} \equiv WAW^{-1} = \begin{bmatrix} CAB(CB)^{-1} \\ W_2^*P_2AB(CB)^{-1} \end{bmatrix} \begin{bmatrix} CAW_2 \\ W_2^*P_2AW_2 \end{bmatrix}.$$  \hfill (A-28)

QED

**Lemma 3.** Assume $CB$ is nonsingular. Define $\bar{A} = WAW^{-1}$, $\bar{B} = WB$, $\bar{C} = CW^{-1}$ where $\bar{A} = \begin{bmatrix} \bar{A}_{11} & \bar{A}_{12} \\ \bar{A}_{21} & \bar{A}_{22} \end{bmatrix}$; then the transmission zeros of $(A, B, C)$ are the eigenvalues of $\bar{A}_{22}$. Consequently,

- $\bar{A}_{22}$ is stable if and only if $(A, B, C)$ is minimum phase and
- $\bar{A}_{22}$ is weakly stable if and only if $(A, B, C)$ is weakly minimum phase.

See Balas and Fuentes$^1$ for further details. Note that by rescaling $W$ with the inverse of $CB$, the coordinate transformation can produce $\bar{B} = \begin{bmatrix} I_m \\ 0 \end{bmatrix}$ and $\bar{C} = \begin{bmatrix} (CB)^{-1} & 0 \end{bmatrix}$ which greatly simplifies the proofs.

**A.2 Illustrative Example**

Consider

$$A = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -3 & -3 \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \quad C = \begin{bmatrix} 0 & -1 & 1 \end{bmatrix}.$$  \hfill (A-29)
Accordingly, \( \sigma(A) = \{-1, -1, -1\} \) and \( Z(A, B, C) = \{0, 1\} \) with \( CB = 1 \). Determine \( P_1 \) as follows,

\[
P_1 \equiv B(CB)C = BC = \begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -1 & 1 \end{bmatrix}.
\] (A-30)

Further, we can see that

\[
P_2 \equiv I - P_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -1 & 0 \end{bmatrix}.
\] (A-31)

\[
\therefore \mathcal{N}(C) = \text{sp} \left( \begin{bmatrix} 0 \\ 1 \\ 1/\sqrt{2} \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right).
\] (A-32)

We can then determine \( W_2 \) as

\[
W_2 = \begin{bmatrix} \phi_1^* \\ \phi_2^* \end{bmatrix} = \begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1 & 0 & 0 \end{bmatrix},
\] (A-33)

\[
W_2^{*} = \begin{bmatrix} \phi_1 & \phi_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1/\sqrt{2} & 0 \\ 1/\sqrt{2} & 0 \end{bmatrix}.
\] (A-34)

Now, we see

\[
W_2P_2 = \begin{bmatrix} 0 & \sqrt{2} & 0 \\ 1 & 0 & 0 \end{bmatrix}.
\] (A-35)

Finally, the matrix \( W \) is

\[
W \equiv \begin{bmatrix} C & W_2P_2 \end{bmatrix} = \begin{bmatrix} 0 & -1 & 1 \\ 0 & \sqrt{2} & 0 \\ 1 & 0 & 0 \end{bmatrix}.
\] (A-36)

31
with the inverse
\[ W^{-1} = \begin{bmatrix} B(CB)^{-1} \\ W_2^2 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1/\sqrt{2} & 0 \\ 1 & 1/\sqrt{2} & 0 \end{bmatrix}. \quad (A-37) \]

Now we may perform the coordinate transform into the normal form as
\[ \bar{B} = WB = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad (A-38) \]
\[ \bar{C} = CW^{-1} = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}, \quad (A-39) \]
\[ \bar{A} = WAW^{-1} = \begin{bmatrix} -4 & -7/\sqrt{2} & -1 \\ \sqrt{2} & 1 & 0 \\ 0 & 1/\sqrt{2} & 0 \end{bmatrix}. \quad (A-40) \]

Therefore,
\[ \bar{A}_{11} = \begin{bmatrix} -4 \end{bmatrix}, \quad (A-41) \]
\[ \bar{A}_{12} = \begin{bmatrix} -7/\sqrt{2} & -1 \end{bmatrix}, \quad (A-42) \]
\[ \bar{A}_{21} = \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \quad (A-43) \]
\[ \bar{A}_{22} = \begin{bmatrix} 1 \\ 1/\sqrt{2} \\ 0 \end{bmatrix} \Rightarrow \sigma(\bar{A}_{22} = Z(A, B, C) = \{0, 1\}). \quad (A-44) \]

We now have the following,
\[ \bar{A}_{22} - \bar{A}_{21} \Delta \bar{C}_2 = \begin{bmatrix} 1 \\ 1/\sqrt{2} \\ 0 \end{bmatrix} - \begin{bmatrix} \sqrt{2} \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} a & b \end{bmatrix} \]
\[ = \begin{bmatrix} 1 - a\sqrt{2} & -b\sqrt{2} \\ \sqrt{2} & 0 \end{bmatrix}. \quad (A-45) \]
Letting \( a = 6/\sqrt{2} \) and \( b = 6 \), one can show

\[
\det \begin{bmatrix} \lambda + 5 & 6\sqrt{2} \\ -1/\sqrt{2} & \lambda \end{bmatrix} = \lambda^2 + 5\lambda + 6 = (\lambda + 2)(\lambda + 3),
\]

which gives \( Z(A, B, \tilde{C}) = \{-2, -3\} \), a stable and minimum phase system.

Therefore, we let \( \Delta \tilde{C}_2 = \begin{bmatrix} 6/\sqrt{2} & 6 \end{bmatrix} \), which yields

\[
\Delta \tilde{C}_2 W_2 P_2 = \begin{bmatrix} 6/\sqrt{2} & 6 \end{bmatrix} \begin{bmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 6 & 6 & 0 \end{bmatrix}.
\]

(A-47)

We then arrive at

\[
\tilde{C} = C + \Delta \tilde{C}_2 W_2 P_2 = \begin{bmatrix} 6 & 5 & 1 \end{bmatrix},
\]

(A-48)

which yields the final modified sensing suite

\[
A = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -1 & -3 & -3 \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \quad C = \begin{bmatrix} 6 & 5 & 1 \end{bmatrix},
\]

(A-49)

with the minimum phase transfer function

\[
P(s) = \frac{6 + 5s + s^2}{1 + 3s + 3s^2 + s^3} = \frac{(s + 2)(s + 3)}{(s + 1)^3}.
\]

(A-50)

Figure A-2 shows the impulse response of both the original and modified systems. The response of the modified system does not show the patented nonminimum phase down before up behavior seen in the original system.
Fig. A-2 Simulating the modified and original impulse responses
<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>aPR</td>
<td>almost positive real</td>
</tr>
<tr>
<td>ASD</td>
<td>almost strictly dissipative</td>
</tr>
<tr>
<td>aSPR</td>
<td>almost strictly positive real</td>
</tr>
<tr>
<td>BMI</td>
<td>bilinear matrix inequality</td>
</tr>
<tr>
<td>CG</td>
<td>center-of-gravity</td>
</tr>
<tr>
<td>LMI</td>
<td>linear matrix inequality</td>
</tr>
<tr>
<td>MRAC</td>
<td>model reference adaptive control</td>
</tr>
<tr>
<td>PR</td>
<td>positive real</td>
</tr>
<tr>
<td>SISO</td>
<td>single input single output</td>
</tr>
<tr>
<td>SPR</td>
<td>strictly positive real</td>
</tr>
</tbody>
</table>

**MATHEMATICAL OPERATORS:**

- `(·)` denotes the time-derivative
- `(·)` denotes a vector
- `(·)^T` denotes the transpose operator
- `(·) ×` denotes the cross product
- `(·)^{-1}` denotes the inverse operator