

REPORT DOCUMENTATION PAGE			Form Approved OMB NO. 0704-0188		
<p>The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA, 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.</p>					
1. REPORT DATE (DD-MM-YYYY) 29-12-2021		2. REPORT TYPE Final Report		3. DATES COVERED (From - To) 30-Sep-2018 - 29-Sep-2021	
4. TITLE AND SUBTITLE Final Report: Hybrid Plasmonics at UTSA: Investigating Plasmonic/Magnetic and Plasmonic/Biomolecular Systems			5a. CONTRACT NUMBER W911NF-18-1-0439		
			5b. GRANT NUMBER		
			5c. PROGRAM ELEMENT NUMBER 106012		
6. AUTHORS			5d. PROJECT NUMBER		
			5e. TASK NUMBER		
			5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAMES AND ADDRESSES University of Texas at San Antonio One UTSA Circle  San Antonio, TX 78249 -1644			8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS (ES) U.S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211			10. SPONSOR/MONITOR'S ACRONYM(S) ARO		
			11. SPONSOR/MONITOR'S REPORT NUMBER(S) 72489-MS-REP.27		
12. DISTRIBUTION AVAILABILITY STATEMENT Approved for public release; distribution is unlimited.					
13. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	15. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON Kathryn Mayer
a. REPORT UU	b. ABSTRACT UU	c. THIS PAGE UU			19b. TELEPHONE NUMBER 210-458-5451

**RPPR Final Report**  
as of 04-Jan-2022

Agency Code: 21XD

Proposal Number: 72489MSREP

**Agreement Number: W911NF-18-1-0439**

**INVESTIGATOR(S):**

**Name:** Arturo Ponce-Pedraza  
**Email:** arturo.ponce-pedraza@utsa.edu  
**Phone Number:** 2104588267  
**Principal:** N

**Name:** Carlos Monton  
**Email:** carlos.monton@utsa.edu  
**Phone Number:** 12104586564  
**Principal:** N

**Name:** Kathryn Mayer  
**Email:** Kathryn.Mayer@utsa.edu  
**Phone Number:** 2104585451  
**Principal:** Y

**Name:** Kelly Nash  
**Email:** Kelly.Nash@utsa.edu  
**Phone Number:** 2104586153  
**Principal:** N

**Name:** Lorenzo Brancaleon  
**Email:** lorenzo.brancaleon@utsa.edu  
**Phone Number:** 2104585694  
**Principal:** N

**Name:** Miguel Jose-Yacaman  
**Email:** miguel.yacaman@utsa.edu  
**Phone Number:** 2104586954  
**Principal:** N

**Name:** Nicolas Large  
**Email:** nicolas.large@utsa.edu  
**Phone Number:** +12104588279  
**Principal:** N

Organization: **University of Texas at San Antonio**

Address: One UTSA Circle, San Antonio, TX 782491644

Country: USA

DUNS Number: 800189185

EIN: 741717115

**Report Date:** 29-Dec-2021

Date Received: 29-Dec-2021

**Final Report** for Period Beginning 30-Sep-2018 and Ending 29-Sep-2021

**Title:** Hybrid Plasmonics at UTSA: Investigating Plasmonic/Magnetic and Plasmonic/Biomolecular Systems

**Begin Performance Period:** 30-Sep-2018

**End Performance Period:** 29-Sep-2021

**Report Term:** 0-Other

Submitted By: Kathryn Mayer

Email: Kathryn.Mayer@utsa.edu

Phone: (210) 458-5451

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

**STEM Degrees:**

**STEM Participants:** 9

**Major Goals:** The major goals of the project, as described in the original proposal, are to investigate hybrid plasmonic materials and to provide graduate student research training in this cutting-edge area. We have organized our research into two thrusts: Thrust 1, which is focused on plasmonic/magnetic hybrid materials, and

## **RPPR Final Report**

as of 04-Jan-2022

Thrust 2, which is focused on plasmonic/biomolecular hybrid materials. Within each of these thrusts, our work includes synthesis/fabrication of the materials, characterization of the materials (including optical and magnetic properties), electron microscopy analysis of the materials, and computer simulation of the materials. In the realm of graduate student training, our work is focused on mentoring, professional development, and building peer cohorts.

**Accomplishments:** Please see attached PDF.

## RPPR Final Report as of 04-Jan-2022

### **Training Opportunities:** Graduate Student Training:

One of the major goals of this project is to provide research training and professional development for graduate students. Within this goal, we are especially focused on creating a training program which promotes the retention and success of minority students. Our strategy to support the graduate students on the Hybrid Plasmonics project includes (1) Financial support in the form of a full tuition scholarship plus 12-month salary and health insurance stipend, (2) Mentoring from co-advisors, colleagues, and peers within their cohort, and (3) Professional development activities.

During the current reporting period, we supported a total of six Ph.D. students on the project: Joel Rigor (half-year), Emil Penafiel (half-year), Priscilla Lopez, Arturo Galindo, Rakibul Shohan, and Omar Castillo. One of the Ph.D. students, Priscilla Lopez, also completed a DOD Repperger Internship with AFRL during Summer 2021 with mentor Dr. Michael Denton.

### Summer Apprenticeships:

During Summer 2021, we were awarded an AEOP Apprenticeship Program (formerly HSAP/URAP) through ARO. The undergraduate apprentices were Victoria Perez (UTSA), Richard Avila (UTSA), and William Hughes (UTSA), and the high school apprentices were Ethan Yu (Brandeis High School), Flida Etchouekang (John Horn HS), and Chelan McNear (Liberty High School). Victoria and Ethan were returning students from the previous summer's apprenticeship program. Due to COVID-19, high school students were not permitted to work in labs on campus, so the high school apprenticeships were offered virtually. The undergraduate apprentices worked in labs on campus. Program meetings were held virtually using Zoom. The apprentices were assigned in pairs (one high school student and one undergraduate student) to work on research projects with Drs. Mayer, Nash, and Brancaleon. One pair investigated the effects of pulsed laser exposure on chitosan gold nanoparticle synthesis, another pair carried out docking simulations for protoporphyrin IX binding to beta-lactoglobulin, and the third pair continued last year's project on a MATLAB program to predict the optical properties of gold nanorods. In all cases, the work produced by the apprentices will be utilized and/or carried forward by the team. In addition, Dr. Ponce led a hybrid two-day electron microscopy workshop for the apprentices, in which all of the students attended online training on advanced electron microscopy, and the undergraduate apprentices were able to complete a hands-on module at the Kleberg Advanced Microscopy Center at UTSA.

### Professional Development:

We conducted a summer series of professional development meetings covering the following topics:

- June 18 – Reading and analyzing journal articles
- June 25 – Literature searching, identifying reputable sources
- July 2 – EndNote tutorial
- July 9 – Tips for scientific presentations
- July 16 – Writing an abstract
- July 23 – Abstract workshop (peer critique)
- July 30 – Practice presentations

We also conducted a team retreat in August 2021, in which each of the Ph.D. students and apprentices gave a research presentation to the entire team.

# RPPR Final Report

## as of 04-Jan-2022

**Results Dissemination:** Results from our project have been disseminated through several publications, as listed below. Project-supported personnel are indicated with an asterisk (\*).

### Papers:

Abdul-Moqueet, M.M., Tovas, L., Lopez, P.\* and Mayer, K.M.\*, 2021. Synthesis and bioconjugation of alkanethiol-stabilized gold bipyramid nanoparticles. *Nanotechnology*, 32(22), p.225601.

Leandro Londoño-Calderón, C., Londoño-Calderón, A., Moscoso-Londoño, O., Galindo, A.\*, Ponce, A.\*, Gabriela Pampillo, L., Martínez-García, R., José Yacamán, M. and Knobel, M., Magnetic Vortex Domain Wall Observation on Polycrystalline Imperfect Iron/Cobalt Alloy Nanowires Growing on 1050 Aluminum. *physica status solidi (a)*.

García-Rosas, C.M., Medina, L.A., Lopez, P.\*, Large, N.\* and Reyes-Coronado, A., 2021. Magneto-plasmonic biocompatible nanorice. *Journal of Nanoparticle Research*, 23(7), pp.1-13.

Elam, D., Ortega, E., Nemashkalo, A., Strzhemechny, Y., Ayon, A., Ponce, A.\* and Chabanov, A.A., 2021. Low-defect-density ZnO homoepitaxial films grown by low-temperature ALD. *Applied Physics Letters*, 119(14), p. 142101.

Gordillo-Galeano, A., Ponce, A.\* and Mora-Huertas, C.E., 2021. Surface structural characteristics of some colloidal lipid systems used in pharmaceuticals. *Journal of Drug Delivery Science and Technology*, 62, p.102345.

Ponce, A.\*, Aguilar, J.A., Tate, J. and Yacamán, M.J., 2021. Advances in the electron diffraction characterization of atomic clusters and nanoparticles. *Nanoscale Advances*, 3(2), pp.311-325.

### Conference Proceedings:

Galindo, A.\* and Ponce, A.\*, 2021. In-Situ Magnetization Reversal Mechanism in Ni Nanowires Investigated by Electron Holography. *Microscopy and Microanalysis*, 27(S1), pp.330-332.

Galindo, A.\*, Reyes, J.L., and Ponce, A.\*, 2020. Vertical Stacking of Electrodeposited Nanorods with Controlled Dimensions and Chemical Composition. *Texas Journal of Microscopy*, 51(1), p.18.

Rigor, J.\* and Large, N.\*, 2021. Computational Characterization of Magneto-Plasmonic Nanowires. *Bulletin of the American Physical Society*. 66(1), M71.00319.

Elam, D., Ortega, E., Chabanov, A. and Ponce, A.\*, 2021. Structural defects in ZnO thin films grown by atomic layer deposition at low temperatures. *Microscopy and Microanalysis*, 27(S1), pp.2660-2662.

### Book Chapters:

Ponce, A.\*, Reyes-Rodríguez, J.L., Ortega, E., Parajuli, P., Hoque, M.M. and Gazder, A.A., 2020. Large Dataset Electron Diffraction Patterns for the Structural Analysis of Metallic Nanostructures. In *Scanning Transmission Electron Microscopy* (pp. 111-146). CRC Press.

**Honors and Awards:** Nothing to Report

**Protocol Activity Status:**

**Technology Transfer:** Nothing to Report

### PARTICIPANTS:

**Participant Type:** PD/PI

**RPPR Final Report**  
as of 04-Jan-2022

**Participant:** Kathryn Mayer

**Person Months Worked:** 1.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Co PD/PI

**Participant:** Lorenzo Brancaleon

**Person Months Worked:** 1.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Co PD/PI

**Participant:** Nicolas Large

**Person Months Worked:** 1.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Co PD/PI

**Participant:** Kelly Nash

**Person Months Worked:** 1.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Co PD/PI

**Participant:** Arturo Ponce

**Person Months Worked:** 1.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Graduate Student (research assistant)

**Participant:** Omar Castillo

**Person Months Worked:** 12.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Graduate Student (research assistant)

**Participant:** Arturo Galindo

**Person Months Worked:** 12.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Graduate Student (research assistant)

**Participant:** Rakibul Shohan

**Person Months Worked:** 11.00

**Funding Support:**

**RPPR Final Report**  
as of 04-Jan-2022

Project Contribution:  
National Academy Member: N

**Participant Type:** Graduate Student (research assistant)  
**Participant:** Priscilla Lopez  
**Person Months Worked:** 9.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** Graduate Student (research assistant)  
**Participant:** Joel Rigor  
**Person Months Worked:** 9.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** Graduate Student (research assistant)  
**Participant:** Emil Penafiel  
**Person Months Worked:** 3.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** Undergraduate Student  
**Participant:** Victoria Perez  
**Person Months Worked:** 2.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** Undergraduate Student  
**Participant:** Richard Avila  
**Person Months Worked:** 2.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** Undergraduate Student  
**Participant:** William Hughes  
**Person Months Worked:** 2.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**Participant Type:** High School Student  
**Participant:** Ethan Yu  
**Person Months Worked:** 2.00 **Funding Support:**  
Project Contribution:  
National Academy Member: N

**RPPR Final Report**  
as of 04-Jan-2022

**Participant Type:** High School Student  
**Participant:** Flida Etchouekang  
**Person Months Worked:** 2.00  
Project Contribution:  
National Academy Member: N

**Funding Support:**

**Participant Type:** High School Student  
**Participant:** Chelan McNear  
**Person Months Worked:** 2.00  
Project Contribution:  
National Academy Member: N

**Funding Support:**

**ARTICLES:**

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry C

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.jpcc.9b12002

Volume:

Issue:

First Page #:

Date Submitted: 1/31/20 12:00AM

Date Published:

Publication Location:

**Article Title:** Direct experimental evidence of hot-carrier-driven chemical processes in tip-enhanced Raman spectroscopy (TERS)

**Authors:** Rui Wang, Jingbai Li, Joel Rigor, Nicolas Large, Andrey Yu Rogachev, Dmitry Kurovski

**Keywords:** TERS; photothermal plasmonic heating; hot electrons; DFT; FDTD-FEM

**Abstract:** Nanoscale localization of electromagnetic fields by metallic nanostructures can catalyze chemical reactions of molecules located in the close vicinity of the metal surface. This strong confinement of the local electric field is also the undelaying mechanism for the ångström-scale spatial resolution of TERS. The question to ask is whether chemical transformations can occur upon TER imaging. To answer this question, we investigate vibrational properties of a 4NBT monolayer on a gold surface. We observed stochastic formation of 4-nitrobenzenethiolate upon TER imaging of the 4NBT monolayer by the Au tip. DFT, FDTD, and FEM calculations confirm that this chemical reaction could not occur upon thermal desorption of the molecule, which would require 2100 K in the tip-sample junction. These experimental and theoretical pieces of evidence prove that chemical transformations indeed take place in TERS and are not driven by plasmonic photothermal heating but rather by plasmon-induced hot-carriers.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
Acknowledged Federal Support: Y



## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry C

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.jpcc.9b02901

Volume: 123

Issue: 32

First Page #: 19894

Date Submitted: 9/8/19 12:00AM

Date Published: 7/1/19 10:00AM

Publication Location:

**Article Title:** Structural Analysis of Ligand-Protected Smaller Metallic Nanocrystals by Atomic Pair Distribution Function under Precession Electron Diffraction

**Authors:** M. Mozammel Hoque, Sandra Vergara, Partha P. Das, Daniel Ugarte, Ulises Santiago, Chanaka Kumar

**Keywords:** electron microscopy, electron diffraction, gold nanoparticles

**Abstract:** Atomic pair distribution function (PDF) analysis has been widely used to investigate nanocrystalline and structurally disordered materials. Experimental PDFs retrieved from electron diffraction (ePDF) in transmission electron microscopy (TEM) represent an attractive alternative to traditional PDF obtained from synchrotron X-ray sources, particularly for studying minute samples. Nonetheless, the inelastic scattering produced by the large dynamical effects of electron diffraction may obscure the interpretation of ePDF. In the present work, precession electron diffraction (PED-TEM) has been employed to obtain the ePDF of two different samples: lipioic acid- and hexanethiolate-capped gold nanoparticles (4.5 and 4.2nm, respectively), randomly oriented and measured at both liquid nitrogen and room temperatures. The electron diffraction data were processed to obtain ePDFs which were subsequently compared with the PDF of different ideal structure models.

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors  
Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry C

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.jpcc.8b08531

Volume: 122

Issue: 46

First Page #: 26733

Date Submitted: 9/8/19 12:00AM

Date Published: 10/1/18 5:00AM

Publication Location:

**Article Title:** Synthesis, Mass Spectrometry, and Atomic Structural Analysis of Au~2000 (SR)~290 Nanoparticles

**Authors:** Sandra Vergara, Ulises Santiago, Chanaka Kumara, Diego Alducin, Robert L. Whetten, Miguel Jose Yar

**Keywords:** nanoparticles, nanoclusters, mass spectrometry, electron microscopy, aberration-corrected STEM

**Abstract:** Metallic nanoparticles display unique optical, electronic, and chemical properties compared to their bulk counterparts. These properties are influenced by the internal structure of nanoparticles. Therefore, atomic structural characterization of nanoparticles is of paramount importance in nanotechnology. In this work, we present the synthesis, mass spectrometry, and structural characterization of highly monodisperse thiolate-protected gold nanoparticles using aberration-corrected STEM. Mass spectrometry reveals the composition to be Au<sub>2000</sub>(SC<sub>6</sub>H<sub>13</sub>)<sub>290</sub>. The images registered in the HAADF-STEM showed the presence of decahedral and single-crystal fcc nanoparticles as well as fcc structures with multiple planar defects. We also observed nanoparticles with an inner grain boundary corresponding to a high angle grain boundary classified as  $\theta_9$  under the coincidence site lattice notation.

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors  
Acknowledged Federal Support: Y

## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Langmuir

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.langmuir.9b01908

Volume: 35

Issue: 32

First Page #: 10610

Date Submitted: 9/8/19 12:00AM

Date Published: 7/1/19 5:00AM

Publication Location:

**Article Title:** Toward Smaller Aqueous-Phase Plasmonic Gold Nanoparticles: High-Stability Thiolate-Protected 4.5 nm Cores

**Authors:** M. Mozammel Hoque, Kathryn M. Mayer, Arturo Ponce, M. M. Alvarez, Robert L. Whetten

**Keywords:** nanoparticles, nanomaterials synthesis, electron microscopy, plasmonics

**Abstract:** Most applications of aqueous plasmonic gold nanoparticles benefit from control of the core size and shape, control of the nature of the ligand shell, and a simple and widely applicable preparation method. Surface functionalization of such nanoparticles is readily achievable but is restricted to water-soluble ligands. Here we have obtained highly monodisperse and stable smaller aqueous gold nanoparticles, prepared from citrate?tannate precursors via ligand exchange with each of three distinct thiolates: 11-mercaptoundecanoic acid, ?-R-lipoic acid, and para-mercaptobenzoic acid. These are characterized by UV?vis spectroscopy for plasmonic properties; FTIR spectroscopy for ligand-exchange confirmation; X-ray diffractometry for structural analysis; and high-resolution TEM for structure and size determination. Chemical reduction induces a blueshift, maximally +0.02 eV, in the localized surface plasmon resonance band; this is interpreted as an electronic charging of the MPC gold core

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors

Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Materials Letters

Publication Identifier Type: DOI

Publication Identifier: 10.1016/j.matlet.2019.02.060

Volume: 244

Issue:

First Page #: 88

Date Submitted: 9/8/19 12:00AM

Date Published: 6/1/19 5:00AM

Publication Location:

**Article Title:** Fivefold annealing twin in nanocrystalline Au/Pd film

**Authors:** Prakash Parajuli, Ruben Mendoza-Cruz, J. Jesus Velazquez-Salazar, Miguel Jose Yacamán, Arturo Poi

**Keywords:** Five-fold twins, Annealing, Nanocrystalline, Thin films, Au/Pd alloys

**Abstract:** In this study, we report the experimental observation of five-fold annealing twin in the nanocrystalline Au/Pd thin film without external stress by annealing the film for 20 min at 473 K using atomic-resolution high angle annular dark field (HAADF) scanning transmission electron microscopy (STEM) imaging, and energy dispersive X-ray spectroscopy (EDS) employing Cs aberration-corrected electron microscopy. As per the knowledge of the authors, this is the first time that five-fold annealing twin has been observed for Au/Pd thin films. Furthermore, the spectroscopic elemental analysis shows the uniform distribution of palladium in the film, indicating no palladium grain boundary segregation. Strain analyses have been performed by geometric phase analysis (GPA) across the five-fold structures. GPA method shows the nonuniform strain distribution across the various segments of the five-fold structure.

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors

Acknowledged Federal Support: Y

# RPPR Final Report

## as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Journal of Nanoparticle Research

Publication Identifier Type: DOI

Publication Identifier: 10.1007/s11051-019-4577-3

Volume: 21

Issue: 7

First Page #:

Date Submitted: 9/8/19 12:00AM

Date Published: 6/1/19 5:00AM

Publication Location:

**Article Title:** Semiconductor behavior of pentagonal silver nanowires measured under mechanical deformation

**Authors:** Edgar Ochoa, Diego Alducin, John E. Sanchez, Clemente Fernando, Ulises Santiago, Arturo Ponce

**Keywords:** Metallic nanowires, Electric properties, In situ microscopy, High index planes . Electromechanical measurements

**Abstract:** In the present work, electrical measurements using in situ TEM on pentagonal silver nanowires were performed. Electrical biasing was applied to individual nanowires with and without simultaneous in situ TEM mechanical deformation. The response of the ohmic resistance was measured in the I-V curves. A reduction in the break voltage and the resistance was measured, when the nanowires were subjected to a bending deformation. In situ electric measurements on both, with and without deformation, show a typical semiconductor behavior.

Surface scattering of electrons in the nanowires and movement of dislocations act as the main causes of the electrical properties reported herein. In this way, the determination of the surface morphology was carried out by using off-axis electron holography followed by a phase reconstruction and structural modeling. The high Miller-index facets were determined to be the (533) stepped surface plane on all five longitudinal sides of the nanowires.

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors

Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Crystals

Publication Identifier Type: DOI

Publication Identifier: 10.3390/cryst10060495

Volume: 10

Issue: 6

First Page #: 495

Date Submitted: 8/27/20 12:00AM

Date Published: 6/1/20 5:00AM

Publication Location:

**Article Title:** Growth and Structural Characterization of Doped Polymorphic Crystalline MgPc as an Organic Semiconductor

**Authors:** Leon Hamui, Mariana Elena Sanchez-Vergara, Rocio Sanchez-Ruiz, Cecilio Aacu

**Keywords:** organic semiconductors; metallophthalocyanine; thin films; Raman spectroscopy; structural analysis

**Abstract:** The doping and crystallization of the molecular semiconductor formed from the magnesium phthalocyanine (MgPc) and 1-(4-Methoxyphenyl)-2,2,6,6-tetramethyl-5-phenylhepta-3,4-dienedioic (MTPDA) acid was carried out in this work. The crystals obtained were characterized by using transmission electronic microscopy (TEM), Raman spectroscopy, and X-Ray diffraction (XRD), to later evaluate their optical behavior.

Raman, IR, and UV-Vis results indicate that the MgPc has been doped with the MTPDA. A uniform material layer with particles is observed as a result of a two-stage process, nucleation and growth. The polycrystalline films are constituted by a mixture of alpha and beta phases with crystalline sizes of ~7 nm, 14 nm, and 20 nm average sizes. The films exhibit a preferred orientation along the [001]. The MTPDA doping does not have an important effect on the molecule planar distances indicating that the MTPDA molecule is among the equivalent MgPc plane direction.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info

Acknowledged Federal Support: Y

## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Acta Materialia

Publication Identifier Type: DOI

Publication Identifier: 10.1016/j.actamat.2019.09.010

Volume: 181

Issue:

First Page #: 216

Date Submitted: 8/27/20 12:00AM

Date Published: 12/1/19 6:00AM

Publication Location:

**Article Title:** Misorientation dependence grain boundary complexions in &lt;math>\alpha</math>-symmetric tilt Al grain boundaries

**Authors:** Prakash Parajuli, David Romeu, Viwanou Hounkpati, Ruben Mendoza-Cruz, Jun Chen, Miguel J

**Keywords:** Grain boundary segregation, Grain boundary complexions, Thin films, Transmission electron microscopy

**Abstract:** The doping and crystallization of the molecular semiconductor formed from the magnesium phthalocyanine (MgPc) and 1-(4-Methoxyphenyl)-2,2,6,6-tetramethyl-5-phenylhepta-3,4-dienedioic (MTPDA) acid was carried out in this work. The crystals obtained were characterized by using transmission electronic microscopy (TEM), Raman spectroscopy, and X-Ray diffraction (XRD), to later evaluate their optical behavior. Raman, IR, and UV-Vis results indicate that the MgPc has been doped with the MTPDA. A uniform material layer with particles is observed as a result of a two-stage process, nucleation and growth. The polycrystalline films are constituted by a mixture of alpha and beta phases with crystalline sizes of ~7 nm, 14 nm, and 20 nm average sizes. The films exhibit a preferred orientation along the [001]. The MTPDA doping does not have an important effect on the molecule planar distances indicating that the MTPDA molecule is among the equivalent MgPc plane direction.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Microsystem Technologies

Publication Identifier Type: DOI

Publication Identifier: 10.1007/s00542-019-04602-0

Volume:

Issue:

First Page #:

Date Submitted: 8/27/20 12:00AM

Date Published: 8/1/19 5:00AM

Publication Location:

**Article Title:** Synergistic photoluminescent interaction of Si and CdTe quantum dots

**Authors:** Janeth Alexandra Garcia-Monge, Clarissa D. Vazquez-Colon, Arturo Ponce, Gregory Guisbiers, Arturo /

**Keywords:** quantum dots, photoluminescence

**Abstract:** The doping and crystallization of the molecular semiconductor formed from the magnesium phthalocyanine (MgPc) and 1-(4-Methoxyphenyl)-2,2,6,6-tetramethyl-5-phenylhepta-3,4-dienedioic (MTPDA) acid was carried out in this work. The crystals obtained were characterized by using transmission electronic microscopy (TEM), Raman spectroscopy, and X-Ray diffraction (XRD), to later evaluate their optical behavior. Raman, IR, and UV-Vis results indicate that the MgPc has been doped with the MTPDA. A uniform material layer with particles is observed as a result of a two-stage process, nucleation and growth. The polycrystalline films are constituted by a mixture of alpha and beta phases with crystalline sizes of ~7 nm, 14 nm, and 20 nm average sizes. The films exhibit a preferred orientation along the [001]. The MTPDA doping does not have an important effect on the molecule planar distances indicating that the MTPDA molecule is among the equivalent MgPc plane direction.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
Acknowledged Federal Support: Y

## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry C

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.jpcc.0c02443

Volume: 124

Issue: 31

First Page #: 17172

Date Submitted: 8/27/20 12:00AM

Date Published: 7/1/20 5:00AM

Publication Location:

**Article Title:** Multiphysics Modeling of Plasmonic Photothermal Heating Effects in Gold Nanoparticles and Nanoparticle Arrays

**Authors:** Santiago Manrique-Bedoya, Mohammad Abdul-Moqueet, Priscilla Lopez, Tara Gray, Matthew Disiena, /

**Keywords:** plasmonics, gold nanorods, photothermal heating

**Abstract:** Induced hyperthermia has been demonstrated as an effective oncological treatment due to the reduced heat tolerance of most malignant tissues; however, most techniques for heat generation within a target volume are insufficiently selective, inducing heating and unintended damage to surrounding healthy tissues. Plasmonic photothermal therapy (PPTT) utilizes light in the near-infrared (NIR) region to induce highly localized heating in gold nanoparticles, acting as exogenous chromophores, while minimizing heat generation in nearby tissues. However, optimization of treatment parameters requires extensive in vitro and in vivo studies for each new type of pathology and tissue targeted for treatment, a process that can be substantially reduced by implementing computational modeling.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
**Acknowledged Federal Support:** Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Nanotechnology

Publication Identifier Type: DOI

Publication Identifier: 10.1088/1361-6528/abe823

Volume: 32

Issue: 22

First Page #: 225601

Date Submitted: 12/27/21 12:00AM

Date Published: 3/1/21 12:00PM

Publication Location:

**Article Title:** Synthesis and bioconjugation of alkanethiol-stabilized gold bipyramid nanoparticles

**Authors:** Mohammad M Abdul-Moqueet, Leeana Tovias, Priscilla Lopez, Kathryn M Mayer

**Keywords:** gold bipyramids, nanoparticles, nanoparticle bioconjugates, self-assembled monolayers, localized surface plasmon resonanc

**Abstract:** Gold bipyramid (GBP) nanoparticles are promising for a range of biomedical applications, including biosensing and surface-enhanced Raman spectroscopy, due to their favorable optical properties and ease of chemical functionalization. Here we report improved synthesis methods, including preparation of gold seed particles with an increased shelf life of ?1 month, and preparation of GBPs with significantly shortened synthesis time (< 1 h). We also report methods for the functionalization and bioconjugation of the GBPs, including functionalization with alkanethiol self-assembled monolayers (SAMs) and bioconjugation with proteins via carbodiimide cross-linking. Binding of specific antibodies to the nanoparticle-bound proteins was subsequently observed via localized surface plasmon resonance sensing. Rabbit IgG and goat anti-Rabbit IgG antibodies were used as a model system for antibody-antigen interactions.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
**Acknowledged Federal Support:** Y



## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Applied Physics Letters

Publication Identifier Type: DOI

Publication Identifier: 10.1063/5.0062122

Volume: 119      Issue: 14

First Page #: 142101

Date Submitted: 12/27/21 12:00AM

Date Published: 10/1/21 5:00AM

Publication Location:

**Article Title:** Low-defect-density ZnO homoepitaxial films grown by low-temperature ALD

**Authors:** David Elam, Eduardo Ortega, Anastasiia Nemashkalo, Yuri Strzhemechny, Arturo Ayon, Arturo Ponce, /

**Keywords:** thin films, excitons, transmission electron microscopy, atomic layer deposition, photoluminescence, epitaxy, crystallographic defects

**Abstract:** We report atomic layer deposition (ALD) of ZnO thin films on O-polar surface crystalline ZnO substrates at the relatively low temperatures of 120, 150, and 200 C. The as-grown ZnO films are studied with aberration-corrected transmission electron microscopy and diffraction contrast, photoluminescence (PL), and surface photovoltage (SPV) spectroscopy. We find that the homoepitaxial films have a monocrystalline structure with the density of basal stacking faults comparable to that of the substrate ( $\sim 10^{11}$  cm<sup>2</sup>) and that the stacking faults can induce high lattice strain due to their interaction with the inversion domain boundaries. The narrow excitonic PL linewidth (2 meV at 8K) and the sharp SPV bandgap transition confirm the high quality of the ZnO films. Despite similarities in the film properties, the growth temperature has an effect on the density and spatial distribution of intrinsic defects.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Journal of Drug Delivery Science and Technology

Publication Identifier Type: DOI

Publication Identifier: 10.1016/j.jddst.2021.102345

Volume: 62      Issue:

First Page #: 102345

Date Submitted: 12/27/21 12:00AM

Date Published: 4/1/21 5:00AM

Publication Location:

**Article Title:** Surface structural characteristics of some colloidal lipid systems used in pharmaceuticals

**Authors:** Aldemar Gordillo-Galeano, Arturo Ponce, Claudia Elizabeth Mora-Huertas

**Keywords:** Density, Steric stability, Surface hydrophobicity, Zeta potential

**Abstract:** Surface properties of solid lipid nanoparticles (SLN), nanostructured lipid carriers (NLC), and nanoemulsions (NE) are determinant to understand their structural characteristics and their behavior as drug delivery systems; however, there is still limited knowledge in this respect. As a contribution, this paper reports the study of some surface properties of these colloidal systems which were prepared with binary mixtures of trimyristin and medium-chain triglycerides (MCT) and stabilized with Poloxamer 188 (P188). Particle sizes and polydispersity indexes decrease in the order SLN > NLC > NE suggesting that the addition of MCT to trimyristin reduces the particle asymmetry.  $\zeta$  potentials range from 6 mV to 10 mV and the P188 layer is  $\sim 20$  nm; thus, steric stabilization seems to predominate. The amount of P188 on the surface of the drops in the NE was less than that on the surface of the particles in the SLN and NLC and decreases as the proportion of MCT decreases.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

Acknowledged Federal Support: Y





## RPPR Final Report as of 04-Jan-2022

**Publication Type:** Journal Article      Peer Reviewed: N      **Publication Status:** 1-Published

**Journal:** Texas Journal of Microscopy

Publication Identifier Type: Other

Publication Identifier:

Volume: 51

Issue: 1

First Page #: 18

Date Submitted: 12/27/21 12:00AM

Date Published: 2/20/20 6:00AM

Publication Location:

**Article Title:** Vertical Stacking of Electrodeposited Nanorods with Controlled Dimensions and Chemical Composition

**Authors:** Arturo Galindo, Jose Luis Reyes, Arturo Poncs

**Keywords:** electrodeposition, nanorods

**Abstract:** We have developed a method designed to assemble vertical layers composed of ultra-dense arrays (1Tb/inch<sup>2</sup>) of Ni and Co nanorods. The fabrication scheme allows total control of the nanorod's dimensions (diameter and length) by using porous anodic aluminum oxide (AAO) membranes. A two-step anodization process is employed for the fabrication of AAO using Oxalic and Sulfuric electrolytes. The resulting porous network consists of nanopores with 40 and 80 nm diameters respectively.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Microscopy and Microanalysis

Publication Identifier Type: DOI

Publication Identifier: 10.1017/S1431927621009417

Volume: 27

Issue:

First Page #: 2660

Date Submitted: 12/27/21 12:00AM

Date Published: 7/1/21 5:00AM

Publication Location:

**Article Title:** Structural defects in ZnO thin films grown by atomic layer deposition at low temperatures

**Authors:** David Elam, Eduardo Ortega, Andrey Chabanov, Arturo Ponce

**Keywords:** thin films, atomic layer deposition

**Abstract:** In epitaxial thin films, stacking faults (SF's) on a crystal play an important role due their interaction with dislocations. Dislocations often divide into partial dislocations with the formation of a stacking fault connecting them. On the synthesized thin films SFs parallel to the samples' interface can be seen not only on the substrate but also in the film where they appear to be more common. These parallel defects can be easily spotted when acquiring dark-field images. As the thin films and images differ in thickness and size, using the number of individual defects observed on a single image can be misleading. In a way of normalizing results SF's are counted in several DF images, considering the area of the film that is enclosed, to latter extrapolated these values for comparison. In this work, ZnO layers have been grown at low temperatures, 100, 150, and 200°C by atomic layer deposition (ALD).

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

Acknowledged Federal Support: Y

### CONFERENCE PAPERS:

**Publication Type:** Conference Paper or Presentation      **Publication Status:** 1-Published

**Conference Name:** SPIE Optics & Photonics

Date Received: 27-Aug-2020

Conference Date: 11-Aug-2019

Date Published:

Conference Location: San Diego, CA

**Paper Title:** Synthesis, characterization, and computational modeling of polyelectrolyte-coated pl

**Authors:** Priscilla Lopez, Kathryn Mayer, Nicolas Large

Acknowledged Federal Support: Y

**RPPR Final Report**  
as of 04-Jan-2022

**Publication Type:** Conference Paper or Presentation

**Publication Status:** 1-Published

**Conference Name:** American Physical Society March Meeting 2021

Date Received: 27-Dec-2021

Conference Date: 17-Mar-2021

Date Published:

Conference Location: Virtual

**Paper Title:** Computational Characterization of Magneto-Plasmonic Nanowires

**Authors:** Joel Rigor, Nicolas Large

Acknowledged Federal Support: **Y**

**Partners**

,

I certify that the information in the report is complete and accurate:

Signature: Kathryn Mayer

Signature Date: 12/29/21 1:52PM

## Hybrid Plasmonics at UTSA: Work Accomplished Under Goals

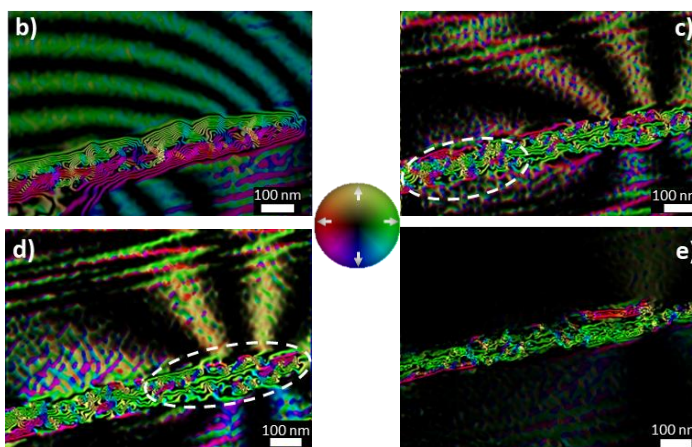
### Background Note

This is the third and final report for our project “Hybrid Plasmonics at UTSA: Investigating Plasmonic/Magnetic and Plasmonic/Biomolecular Systems,” which ran from 09/30/2018 – 09/29/2021. Below we describe our progress under each of the two main research thrusts.

During the current reporting period, the impact of COVID-19 on our project continued to be felt. Research operations at UTSA had mostly returned to normal by Fall 2020; however, administrative and supply chain delays continued throughout the year. Our summer AEOP apprenticeship program (formerly HSAP/URAP) was held in a hybrid format, with some of the undergraduate apprentices participating in person, and others online. (All of the high school apprentices participated online.) Team meetings were largely held online over Zoom.

### Thrust 1: Plasmonic/Magnetic Hybrid Materials

This thrust is focused on both experimental (fabrication and characterization) and theoretical (numerical simulation) studies of hybrid plasmonic/magnetic nanowires. These materials are of interest because the plasmonic component provides the possibility of optical control of the magnetic properties of the system. By gaining an understanding of the interplay between optical and magnetic properties in these materials, we open the door to applications such as high-density data storage and memory devices, and photothermal/hyperthermia-based medical treatments.



**Figure 1.** Off-axis electron holography showing detailed magnetic behavior of a single nickel nanowire under increasing magnetic field (b) – (e).

In the previous two reporting periods, we described fabrication methods for arrays of segmented nanowires consisting of gold and nickel segments, as well as the characterization of these plasmonic/magnetic systems using advanced electron microscopy-based methods. In the current reporting period, we continued on these themes as well as describing new electron holography techniques to reveal detailed information on the magnetic behavior of the nanomaterials.

**Figure 1** shows an example of off-axis electron holography of an isolated nickel nanowire. As the applied magnetic field is increased as shown in Figure 1(c) and (d), we first begin to observe an overall magnetic flux along the longitudinal direction of the nanowire, as shown by the prevailing green color, along with several vortex cores (circled regions) rotating in the counterclockwise direction. As the magnetic field is increased further as shown in Figure 1(e), the vortex cores

disappear, along with the stray field lines outside the nanowire, indicating that the saturation state of the nanowire has been reached.

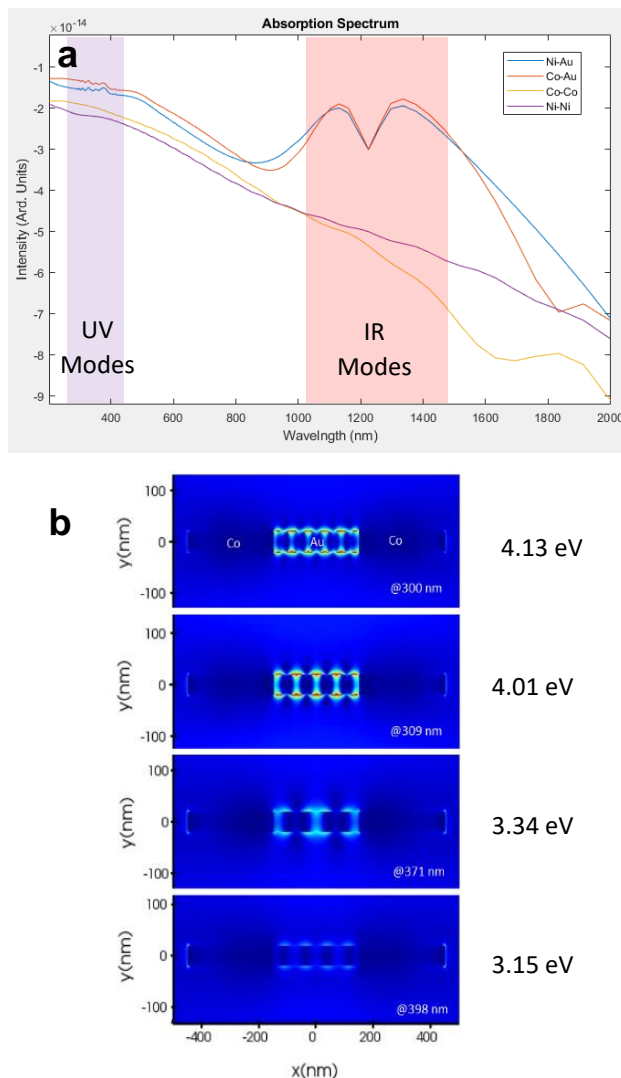
In the past year we also carried out finite-difference time-domain (FDTD) simulations of hybrid plasmonic/magnetic systems including segmented cobalt and gold nanowires, as seen in **Figure 2**. Figure 2(a) shows two spectral regions where plasmon modes exist: UV modes with distinct, narrow lines, and strongly interacting IR modes which produce a Fano resonance-like line shape. Figure 2(b) shows the electric field distributions associated with some of the IR modes for a segmented Co-Au-Co nanowire featuring persistent plasmon oscillations in the metallic segment.

### Thrust 2: Plasmonic/Biomolecular Hybrid Materials

Thrust 2 is focused on the synthesis, functionalization, and characterization of hybrid plasmonic/biomolecular nanoparticle bioconjugates and the computational modeling of their optical properties, as well as the synthesis and characterization of molecular analogues to these systems which can be used to elucidate the mechanisms of photosensitizer-induced conformational changes in proteins. These systems have applications in enhanced spectroscopies, biosensing, and photothermal and photodynamic therapies; they also enable further studies of how light-induced biomolecular manipulations can influence cell signaling pathways.

In the previous two reporting periods, we described the experimental and computational characterization of polyelectrolyte-coated gold nanorods, and progress towards the preparation of photosensitizer-modified proteins. In the current reporting period, we successfully produced and characterized the photosensitizer-modified proteins, as well as preparing gold nanoparticle-photosensitizer conjugates, as shown in **Figure 3**.

Figure 3(a) shows the absorbance spectra of the protein human serum albumin (HSA) modified with several different metalloporphyrins. These systems can act as analogues to hybrid plasmonic/biomolecular systems in which the photosensitizer molecule, with its well understood photophysics, takes the place of the plasmonic nanoparticle. Figure 3(b) shows the absorbance



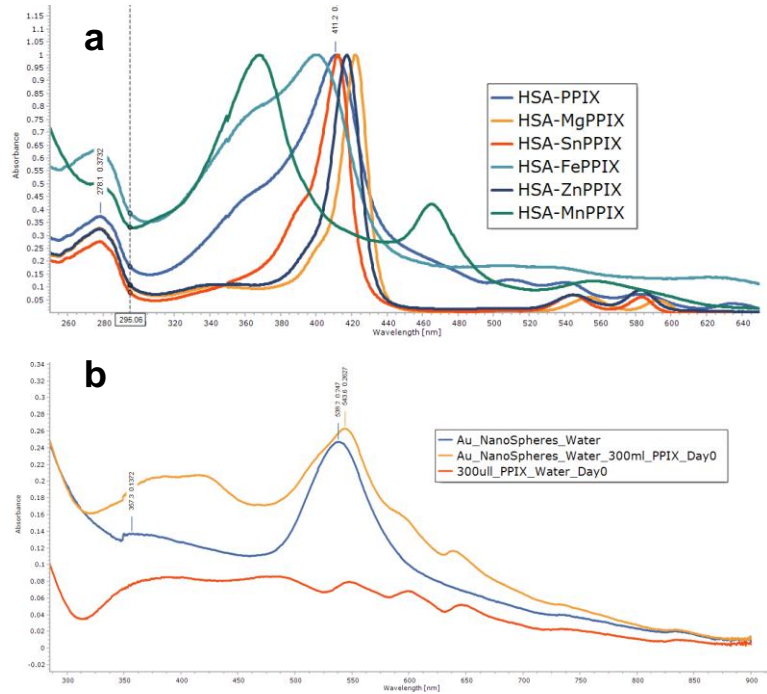
**Figure 2.** Simulated (a) optical absorption spectra and (b) electric field distributions of hybrid plasmonic/magnetic nanowires.

spectra of HSA-gold nanoparticle bioconjugates, in which gold nanospheres are decorated with HSA adsorbed to the metal surface.

Please see the “Dissemination” section for information on the publications and presentations relating to the project.

### Student Education and Training

In addition to the research progress described here, please see the “Training” section for further information on the work accomplished under our goals related to student research training, including graduate student training as well as undergraduate and high school apprenticeship programs.



**Figure 3.** Optical absorbance spectra of (a) human serum albumin (HSA) modified with metalloporphyrins and (b) HSA-gold nanoparticle bioconjugates.