AWARD NUMBER: W81XWH-19-1-0770

TITLE: Neostigmine and Glycopyrrolate by lontophoresis to Induce Bowel Evacuation

PRINCIPAL INVESTIGATOR: Mark A. Korsten

CONTRACTING ORGANIZATION: Bronx Veterans Medical Research Foundation, Inc 130 West Kingsbridge Road Bronx, NY 10468

REPORT DATE: October 2020

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release; Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

F			N PAGE		Form Approved OMB No. 0704-0188	
data needed, and completing a this burden to Department of E 4302. Respondents should be	and reviewing this collection of in Defense, Washington Headquart a aware that notwithstanding any	nformation. Send comments rega ers Services, Directorate for Infor other provision of law, no persor	arding this burden estimate or an mation Operations and Reports (n shall be subject to any penalty f	/ other aspect of this co 0704-0188), 1215 Jeffe	thing existing data sources, gathering and maintaining the pllection of information, including suggestions for reducing srson Davis Highway, Suite 1204, Arlington, VA 22202- n a collection of information if it does not display a currently	
valid OMB control number. PI 1. REPORT DATE October 2020	1	r form to the above adds 2. REPORT TYPE Annual	ESS.	3. [DATES COVERED 30 Sep 2019-29 Sep 2020	
4.012TLE AND SUBTIT		5a.	CONTRACT NUMBER			
Neostigmine a Bowel Evacuat	nd Glycopyrrola ion	W	GRANT NUMBER 81XWH-19-1-0770 PROGRAM ELEMENT NUMBER			
6. AUTHOR(S) Mark A. Korste	en, MD			5d.	PROJECT NUMBER	
E Maile Markel	Zanatan Quaa araa				TASK NUMBER WORK UNIT NUMBER	
E-Mail: Mark.	korsten@va.gov			51.	WORK UNIT NUMBER	
7. PERFORMING OR Bronx Veterans Research Found 130 W Kingsbr:	dation		PERFORMING ORGANIZATION REPORT			
7A-13 Bronx, NY 104						
9. SPONSORING / MC		IAME(S) AND ADDRESS	S(ES)	10.	SPONSOR/MONITOR'S ACRONYM(S)	
		velopment Comman				
Fort Detrick, Mary	land 21702-5012		SPONSOR/MONITOR'S REPORT NUMBER(S)			
	VAILABILITY STATEM Release; Distribution					
13. SUPPLEMENTAR	Y NOTES					
14. ABSTRACT The proposed research project to determine the correct dose of these medications and the correct ratio of them is anticipated to take two years. Then, during the third year of this grant, the investigators will study the possibility of using wireless iontophoresis devices to induce bowel evacuation. A manufacturer of wireless iontophoresis systems has been in contact with the investigators and shown interest in modifying the current device on the market to one that will be easier to use for someone with SCI, and the device would be designed to be more functionally appropriate to administer these drugs to elicit bowel evacuation. The final step to commercialization would be to obtain approval from the Food and Drug Administration (FDA) to market the wireless iontophoresis device with both medications in a patch system with the indication for constipation. 15. SUBJECT TERMS NBD, paraplegia, tetraplegia, neostigmine, glycopyrrolate, iontophoresis.						
16. SECURITY CLASS	SIFICATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON USAMRMC	
a. REPORT Unclassified	b. ABSTRACT Unclassified	c. THIS PAGE Unclassified	Unclassified	14	19b. TELEPHONE NUMBER (include area code)	

Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std. Z39.18

TABLE OF CONTENTS

<u>Page</u>

1.	Introduction	3
2.	Keywords	3
3.	Accomplishments	3
4.	Impact	7
5.	Changes/Problems	8
6.	Products	9
7.	Participants & Other Collaborating Organizations	9
8.	Special Reporting Requirements	12
9.	Appendices	12

1. INTRODUCTION:

Persons with spinal cord injury (SCI) have neurogenic bowel disorders which is associated with significant morbidity. The negative impact of bowel complications is often at the top of the list of problems reported by persons with SCI. Despite the magnitude of the problem of bowel dysfunction in persons with SCI, and the associated reduction in quality of life, this condition has yet to be effectively treated. The investigators have developed a novel dual drug combination to elicit a safe and predictable bowel evacuation (BE). The primary objective is to determine a lower effective dose to induce BE by transcutaneous administration of NEO by ION.

2. KEYWORDS: Provide a brief list of keywords (limit to 20 words).

Neurogenic bowel dysfunction, bowel evacuation, paraplegia, tetraplegia, neostigmine, glycopyrrolate, iontophoresis.

3. ACCOMPLISHMENTS:

What were the major goals of the project?

On September 30, 2020 month 12 of the study was completed. The goals for these 12 months are as follows:

Major Task 1: To prepare to launch study

<u>Subtask 1:</u> Prepare IRB submission and research protocol. <u>Response:</u> We have submitted and approval of IRB, SRS, R&D, FDA and HRPO.

What was accomplished under these goals?

On 3/19/202, our local IRB was informed by the PI that an administration hold was placed on all his clinical research activities due to the pandemic. On the same day, Dr. Melissa Miller was informed that, because of the pandemic, the PI had placed an administration hold on this study. Dr. Miller informed Dr. Korsten to inform the HRPO of the administrative hold, which was to be performed by the PI in a timely manner.

Major Task 1: To prepare to launch study	Months	Percent Completed	Date Completed
Subtask 1: Prepare IRB submission and research protocol	1-3	100%	
Refine eligibility criteria, exclusion criteria, screening protocol	1-3	100%	
Finalize consent form & human participants protocol	1-3	100%	
Submit amendments, adverse events, and protocol deviations	As needed	Ongoing	Ongoing
Milestone(s) Achieved : Local IRB, SRS, and R&D approval	3-6	100%	Local IRB Approval: 06/26/2019 SRS Approval: 05/14/2019 R&D Approval: 07/08/2019

Milestone Achieved: FDA/HRPO/ACURO approval	3-6	FDA Approval: 06/25/2019 HRPO Approval: 03/04/2020
Major Task 2: Screening Phase – To identify SCI individuals who have bowel evacuation in response to intravenous administration of NEO		Admin Hold placed due to SARS-CoV-2 Date: 03/19/2020
Subtask 1: Recruit, consent, screen, and enroll 30 participants with SCI	7-12	
Approximately 30 participants will be screened by IV drugs administration to identify persons who will respond to NEO + GLY	8-11	
Analyze data to obtain 26 potential participants for further studies	11-12	
Milestone(s) Achieved: Participants who respond to NEO are identified and recruited for transdermal studies	12	
Major Task 3: Study 1 – To administer transdermal NEO + GLY by ION and confirm reproducibility of the findings		Admin Hold placed due to SARS-CoV-2 Date: 03/19/2020
$\frac{\text{Subtask 1:}}{\text{NEO} + \text{GLY} \text{ and sequentially collect blood for}}$	8-15	
Approximately 24 participants undergo standard dose testing (NEO $- 0.7$ mg/kg, and GLY $= 0.014$ mg/kg) by iontophoresis to identify subjects who will have a bowel movement to the intervention. PK data will be collected, and patients will be closely monitored for cholinergic or anti-cholinergic symptoms.	8-15	
<u>Subtask 2:</u> Confirm reproducibility of the findings by repeating transdermal ION administration with 10-12 responders and sequentially collect blood for pharmacokinetic analyses	8-17	
Milestone(s) Achieved : Confirmation of participants` response to ION transdermal administration and reproducibility of findings	18	
Major Task 4: Study 2.1 – To determine a lower effective dose of NEO until failure to achieve bowel evacuation occurs in ≥50% of participants	19-21	
Subtask 1: Administer 75% of the standard transdermal dose of NEO and GLY; sequentially collect blood for pharmacokinetic analyses	19-21	

		1	
Approximately 20-22 participants undergo	21-23		
testing, PK assessment, induction of bowel			
evacuation and cholinergic and anti-cholinergic			
symptoms.			
Subtask 2: Administer 50% of standard	21-23		
transdermal dose of NEO and GLY;			
sequentially collect blood for pharmacokinetic			
analyses			
Approximately 10-12 participants undergo	24		
	24		
testing, PK assessment, induction of bowel			
evacuation and cholinergic and anti-cholinergic			
symptoms.	24		
Milestone(s) Achieved: A minimum optimized	24		
dose of NEO that can achieve bowel evacuation			
is identified			
Major Task 5: Study 2.2 – To determine a			
more optimal dose ratio of NEO to GLY until			
no or fewer cholinergic or anti-cholinergic			
symptoms			
Subtask 1: Administer a titrated dose ratio of 8:1	25		
of NEO to GLY and sequentially collect blood			
for pharmacokinetic analyses			
Approximately 20-22 participants undergo	25-27		
testing, PK assessment, induction of bowel			
evacuation and cholinergic and anti-cholinergic			
symptoms.			
Subtask 2: Administer a titrated dose ratio of	28-30		
10:1 (if anticholinergic symptoms were	20 20		
observed) or 6:1 (if cholinergic symptoms were			
observed) of NEO to GLY and sequentially			
collect blood for pharmacokinetic analyses			
· · ·	28-30		
Approximately 10-12 participants undergo	28-30		
testing, PK assessment, induction of bowel			
evacuation and cholinergic and anti-cholinergic			
symptoms.	20		
Milestone(s) Achieved : A titrated dose ratio of	30		
NEO to GLY without cholinergic or			
anticholinergic symptoms is identified			
Major Task 6: Study 3 – To test a			
commercially available wireless ION system.			
Possibly redesign, develop and test a more			
user-friendly, safe and effective wireless ION			
system			
Subtask 1: Prepare IRB & FDA submission and	24-30		
HRPO approval for Study 3			
Submit amendments, adverse events, and	As Needed		
protocol deviations as needed. After completion			
of transdermal ION testing, we may need to			
submit an amendment			
		1	

Subtask 2: Possibility of re-designing and developing a wireless system with industry	27-30	
Subtask 3: Administer NEO + GLY by a commercially available wireless ION system and sequentially collect blood for pharmacokinetic analyses	31-32	
Approximately 6-10 participants undergo testing, PK assessment, induction of bowel evacuation and cholinergic and anti-cholinergic symptoms.	31-32	
<u>Subtask 4:</u> Administer NEO + GLY by a re- designed wireless ION system and sequentially collect blood for pharmacokinetic analyses	33-34	
Approximately 6-10 participants undergo testing, PK assessment, induction of bowel evacuation and cholinergic and anti-cholinergic symptoms.	33-34	
Subtask 5: Analyze data from wired and wireless ION transdermal delivery	35-36	
Milestone(s) Achieved: Local IRB, SRS, and R&D Approval at JJPVAMC. Wireless ION transdermal device(s) tested, and data analyzed	36	

		Year 1			Year 2			Year 3				
Target enrollment (per quarter)	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
			14-16	14-16	6-7	6-7	10-11	10-11	10-11	10-11	3-5	3-5
			6-7	6-7								
Target number of participants for			14-16	28-32	18-21	24-28	10-11	20-22	10-11	20-22	3-5	6-10
each study (cumulative)			6-7	12-14								

KEY:

Screening	Study 1: ION	Study 2.1:	Study 2.2: Optimize	Study 3: Test wireless
phase	transdermal	Optimize dose of	dose of NEO to GLY	ION transdermal
(n=30)	testing (n=26)	NEO (n=20-22)	(n=20-22)	system (n=6-10)

Suggested ACURO reporting format:

PROTOCOL (1 of 1 total):

Protocol [ACURO Assigned Number]: E00736. 1a Title: Neostigmine and Glycopyrrolate by lontophoresis to Induce Bowel Evacuation Target required for statistical significance: 24 Target approved for statistical significance: 24 Total subjects to date: 0/30

SUBMITTED TO AND APPROVED BY: 07/08/2019

STATUS:

	-		Enter information regarding number of subjects					
<u>HRPO</u> <u>Protocol</u> <u>Number</u>	<u>Protocol</u> <u>Pl Name</u>	<u>Organization</u> (Site)	<u>#</u> Target	<u>#</u> Enrolled	<u>#</u> Completed	<u>#</u> Screened	<u>#</u> <u>Recruited</u>	<u>Other</u>
		James J.						
		Peters VA						
	Mark A.	Medical						
E00736. 1a	Korsten	Center	24	N/A	N/A	N/A	N/A	N/A

What opportunities for training and professional development has the project provided? Nothing to report.

How were the results disseminated to communities of interest? Nothing to report.

What do you plan to do during the next reporting period to accomplish the goals?

Now that the hold has been lifted and risks to the subjects are minimized, the next reporting period should include the following major tasks:

Major task 2: Screening Phase - To identify SCI individuals who have bowel evacuation in response to intravenous administration of NEO.

Major Task 3: Study 1 - To administer transdermal NEO+GLY by ION to confirm reproducibility of the findings.

4. IMPACT:

What was the impact on the development of the principal discipline(s) of the project? Nothing to report.

What was the impact on other disciplines? Nothing to report.

What was the impact on technology transfer?

Nothing to report.

What was the impact on society beyond science and technology? Nothing to report.

5. CHANGES/PROBLEMS:

Changes in approach and reasons for change Nothing to report.

Actual or anticipated problems or delays and actions or plans to resolve them

On 3/19/2020, our local IRB was informed by the PI that a voluntary administrative hold was placed on clinical research activities due to the current pandemic. Dr. Melissa Miller was also informed that an administrative hold was placed on this study by the PI. Dr. Korsten then informed the HRPO of the administrative hold in a timely manner. The PI lifted the administrative hold in September and clinical research activities are being resumed, with appropriate precautions in place. The major tasks and milestones that were delayed due to the pandemic will be initiated and reported in the next reporting period, now that the administrative hold has been lifted.

Changes that had a significant impact on expenditures

Nothing to report.

Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents

Nothing to report.

Significant changes in use or care of human subjects Nothing to report.

Significant changes in use or care of vertebrate animals Nothing to report.

Significant changes in use of biohazards and/or select agents Nothing to report.

6. PRODUCTS:

• Publications, conference papers, and presentations

Journal publications. Nothing to report.

Books or other non-periodical, one-time publications. Nothing to report.

Other publications, conference papers and presentations. Nothing to report.

Website(s) or other Internet site(s) Nothing to report.

Technologies or techniques Nothing to report.

Inventions, patent applications, and/or licenses Nothing to report.

Other Products Nothing to report.

7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

William Bauman, MD Mark Korsten, MD Anton Sabiev, MD Name Shawn Gilhooley, BS **Project Role** Principal CO-PI CO-PI Research Coordinator Investigator Nearest 1.1 1.1 1.7 0.6 Person Month Worked Contributio Oversee Provide Execution of study Initiate submissions to the clinical expertise and procedure, administration n to Project protocol design, JJP VAMC R&D recruitment. data oversight, while also of study medication, committees. collection. ensuring that the study monitoring of subjects, and subcommittees, and study medication is meeting its time and data analysis/ sponsors. Screen and administration. scientific objectives. manuscript preparation. enroll eligible participants; schedule study visits; subject monitoring, Dr. Bauman will also analysis and assist in analysis and maintain screening/ interpretation of interpretation of enrollment logs; results. PI will also obtain informed consent results. ensure that all and HIPAA authorization from participants; collect,

What individuals have worked on the project?

aspects of the study	process and analyze data;
are compliant with	participant travel and
IRB and provide	reimbursement, and
clinical expertise.	maintain databases and
	regulatory documents in
	accordance with
	expectations.
	•

Name	Run-Lin Zhang, MD	Qishan Lin, PhD	Erika Gowe, MS
Project Role	Laboratory Technician	Laboratory Technician	Research Coordinator
Nearest Person Month Worked			1.0
Contribution to Project	Organize and oversee the storage of blood samples that are collected during the course of the study. Dr. Zhang will coordinate the shipment of specimen to the contracted laboratory for analyses at specific intervals. In addition, Dr. Zhang will organize blood samples and perform the assays for glycopyrrolate.	Perform assays for neostigmine by mass spectroscopy. Specimen will be de-identified and Dr. Lin will be blinded to the sequence of draw to minimize any bias.	Initiate submissions to the JJP VAMC R&D committees, subcommittees, and study sponsors. Screen and enroll eligible participants; schedule study visits; maintain screening/ enrollment logs; obtain informed consent and HIPAA authorization from participants; collect, process and analyze data; participant travel and reimbursement, and maintain databases and regulatory documents in accordance with expectations.

Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period? Nothing to report.

What other organizations were involved as partners? Nothing to report.

SPECIAL REPORTING REQUIREMENTS

COLLABORATIVE AWARDS: N/A

QUAD CHART:

8. APPENDICES:

Nothing to report.