



Phase-Change on Nanoporous Graphene for Advanced Thermal management

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Final Report

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Final report for
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Phase-Change on Nanoporous Graphene Membranes for Advanced Thermal Management

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Abstract

Evaporative cooling has the potential to address extreme heat fluxes expected in high performance electronics, as it takes advantage of the enthalpy of vaporization. Despite being extensively studied for decades, the fundamental understanding of evaporation, which is necessary for making full use of evaporation, remains limited up to date. We designed and microfabricated an ultrathin nanoporous membrane as an experimental platform to fundamentally probe the evaporation kinetics. Our nano device consisted of an ultrathin free-standing membrane (~200 nm thick) containing an array of nanopores (pore diameter ~100 nm). It realizes accurate and yet non-invasive interface temperature measurement, decouples the interfacial transport resistance from the thermofluidic resistance in the liquid phase and the diffusion resistance in the vapor phase, and mitigates the blockage risk of the liquid-vapor interface due to non-evaporative contaminants. We utilized the kinetic theory with the Boltzmann transport equation to model the evaporative transport. With both experiments and modeling, we demonstrated that the kinetic limit of evaporation is determined by the pressure ratio between the vapor in the far field and that generated by the interface. The improved fundamental understanding of evaporation that we gained indicates the significant promise of utilizing an ultrathin nanoporous design to achieve high heat fluxes for evaporation in thermal management, desalination, steam generation, and beyond.

Background and Concept

Evaporation is an effective cooling mechanism commonly found in nature and widely used in thermal management of electronics as it takes advantage of the enthalpy of vaporization. Even for cooling solutions such as pool boiling [1-5] and flow boiling [6-11], it is still evaporation that governs the transport process at the interface level. Fundamental understanding of evaporation is necessary to fully exploit this phase change phenomenon, which however remains limited despite decades of studies. Some critical experimental challenges include: (1) realizing accurate and yet non-invasive interface temperature measurement; (2) decoupling the interfacial transport resistance from the thermofluidic resistance in the liquid phase and the diffusion resistance in the vapor phase; and (3) mitigating the blockage risk of the liquid-vapor interface due to non-evaporative contaminants. Here, we developed a nano device consisting of an ultrathin free-standing membrane (~200 nm thick) that contains an array of nanopores (pore diameter ~100 nm) to address these issues.

The uncertainty in temperature measurement δT can be estimated as

$$\delta T = \dot{q}'' \frac{\Delta L}{k} \quad (1)$$

where $\dot{q}''$ is the interfacial heat flux, k is the thermal conductivity of the working fluid, and ΔL is the uncertainty in where the temperature measurement is taken. When $\dot{q}''$ becomes higher, δT also increases. When $\dot{q}'' = 100 \text{ W/cm}^2$ and $k = 0.6 \text{ W/m-K}$ (for water), we obtain that $\Delta L < 3 \text{ }\mu\text{m}$ is necessary for $\delta T < 5 \text{ }^\circ\text{C}$, which has been difficult to achieve in previous work [12-17].

It is also necessary to minimize the transport resistance associated with the heat supply and liquid refilling. Otherwise, it is not possible to reach a high flux across the interface. For example, Xiao et al. proposed a nanoporous configuration [18] for evaporation studies, where ΔL was reduced to the pore radius ($\approx 75 \text{ nm}$), but their evaporative flux was still limited by the viscous loss in the refilling liquid flow along the pore due to the large pore length ($> 50 \text{ }\mu\text{m}$). In addition, the evaporation rate is very sensitive to contamination in the system. If the contaminants do not evaporate, the liquid-vapor interface accumulates the contaminants and eventually clogs the pores.

To address these issues, we designed and fabricated an ultrathin nanoporous membrane device. Figure 1a shows the nanodevice design that allows the liquid to wick into the nanopores in the membrane with capillarity, where it is resistively heated by a metal layer and evaporates. The ultrathin nanoporous membrane was microfabricated starting from a double side polished silicon wafer with both sides coated with silicon nitride ($\approx 300 \text{ nm}$ thick) using low pressure chemical vapor deposition (Figure 2a). A nanopore array was patterned in the front silicon nitride layer using interference lithography and reactive ion etching (RIE) with tetrafluoromethane gas (Figure 2b). The silicon nitride layer was not etched through, which protected the front side when the sample was etched from the back side using potassium hydroxide solutions (Figure 2c). After that, two gold contact pads were deposited onto the sample with e-beam evaporation and shadow masking (Figure 2d). Using another shadow mask, we etched through the pores from the front side with RIE and deposited a gold layer to serve as the resistive temperature detector (RTD) as well as the heater (Figure 2e-f).

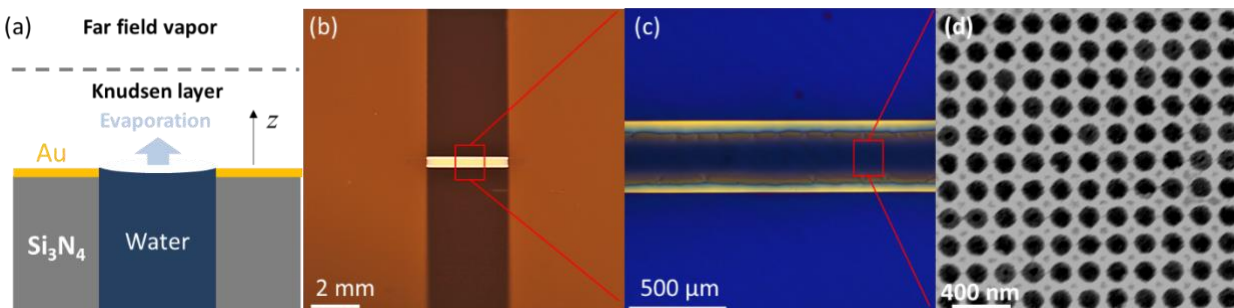


Figure 1 Nanoporous evaporation device. (a) Schematic of evaporation from a nanopore (not to scale). The gold layer is resistively heated to induce evaporation from a pinned meniscus in each nanopore. (b) Image of device with two gold contact pads connected by a free-standing membrane ($\approx 200 \text{ nm}$ thick). (c) Magnified view of free-standing

membrane where the central part is porous and coated with gold. (d) Scanning electron microscope image of the nanoporous membrane with ≈ 140 nm diameter pores.

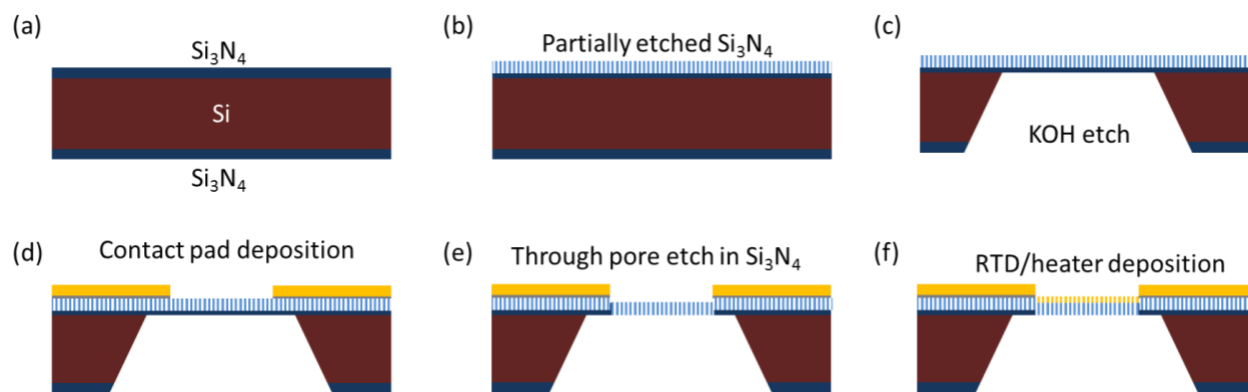


Figure 2 Schematics of the fabrication process. (a) Low pressure chemical vapor deposition of silicon nitride on both sides of a double side polished silicon wafer. (b) Interference lithography on the front side to define nanoporous patterns which were partially etched into the silicon nitride layer via RIE. (c) Back etching using potassium hydroxide solutions with the front side protected due to the partial etch of the silicon nitride layer. (d) Contact pad deposition, (e) through pore etching and (f) RTD/heater deposition with shadow masking.

In our previous report, we demonstrated the reliability of this research platform with diffusion limited evaporation in air. We showed good agreement between experiments and the Maxwell-Stefan equation. Here, to induce kinetically limited evaporation, the device was placed in an environmental chamber to enable controlled vapor temperature and pressure of the far field (Figure 3a-b). A custom test fixture interfaced the device to the liquid ports and electrical connections, while facilitating visualization of the membrane surface during operation (Figure 3c). Deionized water was used as the working fluid. We characterized evaporation with three ambient vapor pressures: $P_\infty = 2.643$ kPa, 4.935 kPa, and 10.428 kPa, and the associated saturation temperatures are $T_\infty = 22.0$ °C, 32.6 °C, and 46.6 °C, respectively.

The environmental chamber that we used in this study was equipped with liquid feedthroughs, electrical feedthroughs, thermocouple feedthroughs, viewports, and pressure transducers (Figure 4a). It was connected to a rotary vane vacuum pump and a boiling canister which was used as the liquid reservoir tank in the current work (Figure 4b). Prior to the experiments, the liquid reservoir tank was filled with deionized water (Water for HPLC, Sigma-Aldrich) and then heated to > 100 °C for thermal degassing. The liquid reservoir was subsequently sealed from the ambient. Meanwhile, we calibrated the RTD to an industrial temperature sensor (P-L-A-1/4-6-1/4-T-6, Omega) in a convection oven. During the experiments, the environmental chamber was first pumped down to < 0.5 Pa (confirmed by 925 Micro Pirani™ vacuum transducer, MKS) and then backfilled with pure water vapor from the reservoir. The vapor pressure in the

far field was regulated by the chamber wall temperature and measured by a capacitance pressure transducer (740C Baratron[®] Manometer, MKS). We waited ~30 min to ensure that the vapor ambient reached a steady state. Using a peristaltic pump (UX-77921-77, Masterflex), we supplied liquid to the sample with the inlet flow rate maintained at 1 mL/min. We applied a four-point method to measure the total Joule heating power and obtain the interface temperature from the RTD.

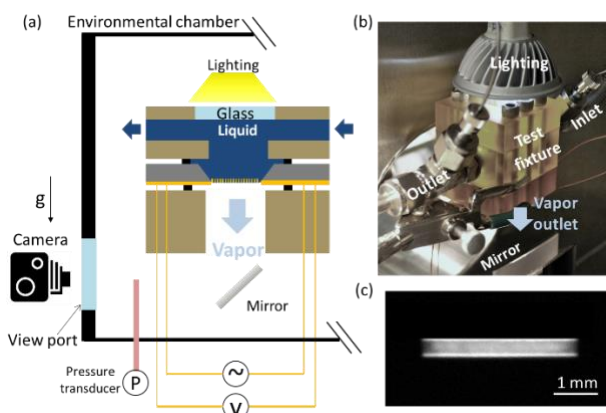


Figure 3 Experimental setup. (a) Schematic showing device placed in a custom test fixture in an environmental chamber which allows for liquid feedthrough, electrical connection, and visualization. (b) Image of the experimental setup. (c) Top-down image of the nanoporous membrane device during an experiment.

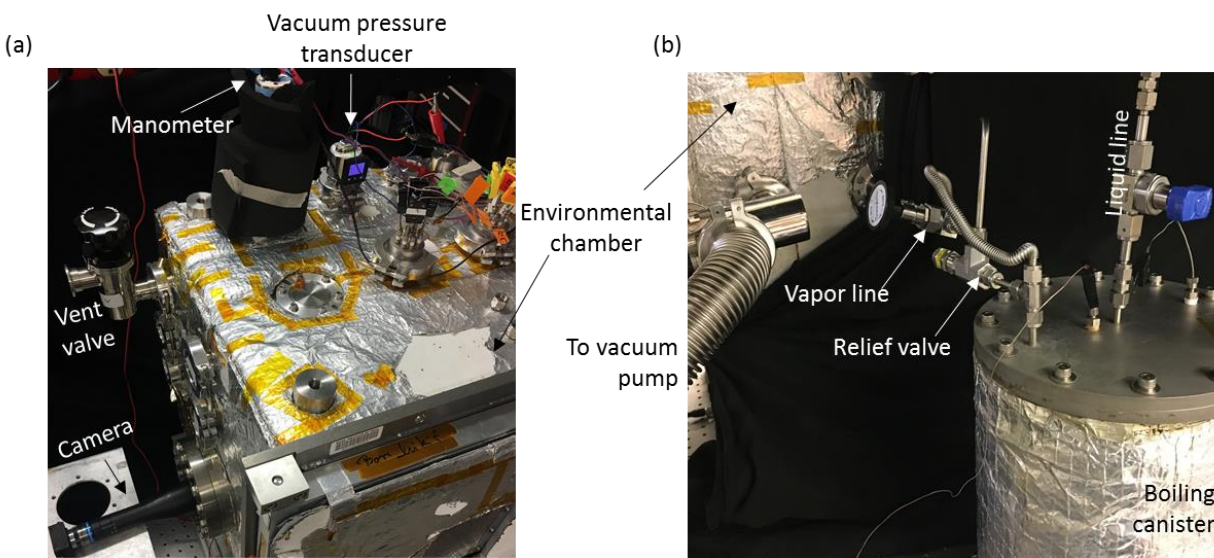


Figure 4 (a) Environmental chamber that provides the pure vapor ambient (b) Boiling canister used as the liquid reservoir tank.

The measurements in this study were conducted in such a way that a set temperature was maintained. After setting the heating power to a higher value, the membrane temperature would increase, resulting in more

intense evaporation at the interface. This served as a feedback loop as the cooling rate also increased. When the cooling rate matched the heating power, the system reached a steady state. We recorded the temperature and the heating power after maintaining the steady state for one minute. On the other hand, due to the ultralow thermal mass of the membrane, the thermal time constant τ was very small:

$$\tau = \frac{mc_p}{UA} \quad (2)$$

where m is the mass of the membrane, c_p is its specific heat, A is the interface area, and U is the overall heat transfer coefficient. In our setup, $m < 10^{-8}$ kg, $c_p < 2$ J/g-K, and $UA \gg C$, such that $\tau < 0.01$ s. The heating power in the experiment was limited by the onset of nucleation in the superheated liquid beneath the membrane. Throughout the experiment, the uncertainty in vapor pressure measurement was ± 138 Pa and the error of the interface temperature measurement was ± 0.52 K.

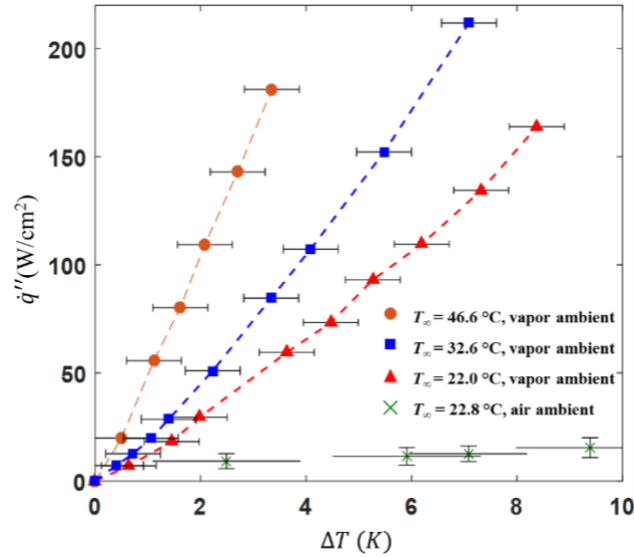


Figure 5 Experimental results of interfacial heat flux $\dot{q}''$ as a function of temperature rise ΔT for select ambient temperatures. The green crosses represent the results from evaporation into an air ambient reported in our previous work [19], and the red triangles, blue squares, and orange circles are the evaporation data in the present study of evaporation into a vapor ambient for $T_\infty = 22.0^\circ\text{C}$, 32.6°C , and 46.6°C , respectively. The error bars in $\dot{q}''$ were smaller than the symbol size and generally less than 2%. The dashed lines are used to guide the eyes.

Figure 5 shows the interfacial heat flux $\dot{q}''$ as a function of the temperature difference between the membrane and the ambient ΔT for a few different working conditions. The green crosses represent the results from evaporation in an air ambient, as also reported in our previous work [19]. The red triangles, blue squares, and orange circles are the experimental data in the present study of vapor ambient evaporation for $T_\infty = 22.0^\circ\text{C}$, 32.6°C , and 46.6°C , respectively. Comparing the red triangles to the green crosses, we note that

for similar far field vapor temperatures, the heat transfer coefficient ($h = \dot{q}'' / \Delta T$) associated with evaporation into vapor is much higher than that in the air, because the former is kinetically limited and the latter is diffusion limited. Moreover, among different working conditions for evaporation into vapor, h increases significantly as T_∞ increases. Based on Eqs. (3) and (4), a larger $\rho_0 u_s \Delta h_{lv}$ leads to more efficient evaporative heat transfer, which explains that h becomes larger for higher T_∞ as ρ_0 increases sharply with temperature.

Theoretically, the interfacial heat transfer has been modeled by the Boltzmann Transport Equation (BTE) which governs the evolution of distribution functions of vapor molecules in the Knudsen layer [20, 21]. Moment solutions [22, 23], semi-analytical results [20, 24] as well as numerical solutions [25] of the BTE have been reported for the Knudsen layer problem which can be characterized by the following three parameters: P_K/P_0 , T_K/T_0 , and M_K . Here, P_K , T_K , and M_K are the pressure, temperature, and Mach number of the vapor adjacent to the Knudsen layer, respectively, and P_0 and T_0 are the pressure and temperature of the vapor in equilibrium with the liquid. A key finding from previous studies [22-25] is that one of these three parameters uniquely determines the other two. Based on this result, the interfacial heat flux $\dot{q}''$ can be nondimensionalized as

$$\bar{\dot{q}}'' = \frac{\dot{q}''}{\rho_0 u_s \Delta h_{lv}} \quad (3)$$

where ρ_0 is the density of vapor in equilibrium with the liquid, u_s is the vapor sonic speed evaluated at T_0 , Δh_{lv} is the enthalpy difference between two phases. Accordingly, we show that $\bar{\dot{q}}''$ is a function of the above three parameters, and thus can be determined from any one of them. As a result,

$$\bar{\dot{q}}'' = f\left(\frac{\Delta P}{P_0}\right) \quad (4)$$

where $\Delta P = P_K - P_\infty$. In this form, $\Delta P/P_0$ can be considered as the dimensionless driving potential with $\bar{\dot{q}}''$ being the dimensionless flux. This nondimensionalization scheme is generally applicable to any kinetically limited evaporation.

To obtain the explicit form of f in Eq.(4), we consider the boundary condition of BTE at the liquid-vapor interface, which is set by the evaporation coefficient and condensation coefficient (σ_e and σ_c) [25-27]. At equilibrium conditions, $\sigma_e = \sigma_c$ [28]. We carried on this equality in our analysis as the Knudsen layer can be considered in near equilibrium for $\bar{\dot{q}}'' \ll 1$, where $\bar{\dot{q}}''$ can also be interpreted as a flux-based Mach number. Given σ_e and σ_c , we solved the BTE in the Knudsen layer using the Direct Simulation Monte Carlo (DSMC) method [29], with the variable soft sphere collision model [30] and the Borgnakke-Larsen method [31] to

account for the temperature dependent properties and internal degrees of freedom of water molecules. Previous theoretical studies mostly assumed the molecules to be monatomic, but more recently, Frezzotti [25, 32] showed significant differences of evaporation kinetics between polyatomic molecules and monatomic ones. This signifies the effect of the internal degrees of freedom of vapor molecules, which has generally not been properly considered in previous works when interpreting experimental data.

Figure 6 shows the collapse of our results in both experiments and DSMC modeling with water, when plotting $\bar{q}''$ as a function of $\Delta P/P_0$ for different working conditions. The red triangles, blue squares, and orange circles represent the experimental data in a vapor ambient from Figure 5, where we approximated P_K as P_∞ (which is more experimentally accessible) since $\bar{q}'' \ll 1$, based on continuum gas dynamics [22, 33]. The dashed lines represent the least-square fit of the DSMC calculation, which gives $\sigma_e = \sigma_c = 0.32 \pm 0.04$. Molecular dynamics simulations of water generally yielded σ_e and σ_c on the same order of magnitude. Although various values have been reported [34-36], which might be due to different intermolecular potential models used, the simulation results generally suggest no significant variation of σ_e and σ_c for the temperature range that we considered. Previous experimental studies, on the other hand, reported σ_e and σ_c across three different orders of magnitude (0.002-1) [37]. Restricting the comparison to the studies with dynamically renewing interfaces [37-41], the results become more similar to the current work (0.1-1), indicating contamination could be a severe challenge in many previous studies. There are several transient evaporation studies with liquid water exposed to vacuum, which simplifies the vapor transport into the free molecular flow. Hickman [38] studied evaporation into vacuum from falling water, with only bulk liquid temperature measurement using thermocouples and obtained $\sigma_e = 0.254$ -0.532. More recently, Smith et al. used Raman thermometry to probe the surface temperature of evaporating water droplet in vacuum and reported $\sigma_e = 0.62 \pm 0.09$. However, their thermal model did not account for the size change of the droplet and the large Biot number of the droplet, which makes it difficult to interpret their results. For vapor ambient studies, Narusawa and Springer [42] measured the evaporation rate of water in a cylindrical container in a vacuum chamber, determined the interface temperature with thermal radiation, and reported $\sigma_e = 0.19$. Nevertheless, the Schrage model that they used is known to overpredict the interfacial flux due to violation of momentum and energy conservation [43], which means their σ_e should be higher than what they reported. Kobayashi et al. [44] reported $\sigma_c = 0.71$ -0.84 with shock tube experiments and numerical simulations of the Gaussian-BGK Boltzmann equation. Since their model did not account for the energy exchange due to the rotational modes of water molecules and underpredicted the interfacial heat flux, their σ_c should in fact be smaller than what they reported. In general, even though the present work obtains similar σ_e and σ_c compared to many previous studies, it represents quite different interfacial heat transfer as the Knudsen layer non-equilibrium and internal degrees of freedom of water molecules were generally not properly taken into account when interpreting the experimental data in prior works.

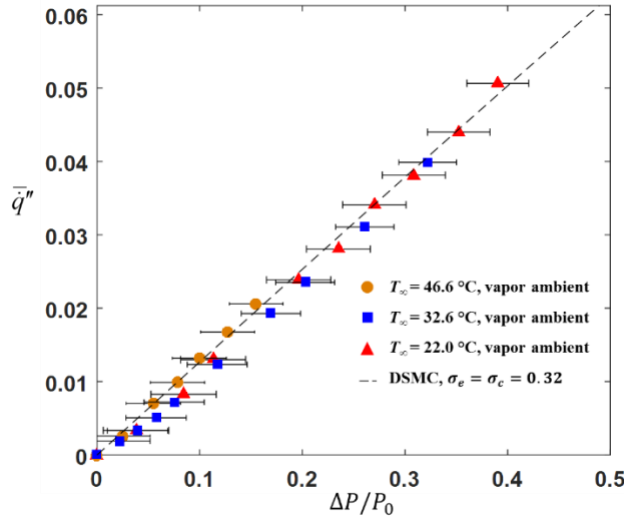


Figure 6 Dimensionless interfacial flux $\bar{q}''$ as a function the dimensionless driving potential $\Delta P/P_0$. The red triangles, blue squares, and orange circles represent the experimental data in the present study for $T_\infty = 22.0^\circ\text{C}$, 32.6°C , and 46.6°C , respectively. The black dashed line is from the DSMC modeling result with $\sigma_e = \sigma_c = 0.32$. The error bars in $\bar{q}''$ are smaller than the symbol size.

Fundamentally, our work elucidates the unifying relationship between the flux and the driving potential for evaporation in a dimensionless form. More practically, when designing an evaporative system, we can now consider $\rho_0 u_s \Delta h_{lv}$ in Eq.(3) as the figure of merit of interfacial heat transfer, which assists in choosing the working conditions and fluid. Our ultrathin nanoporous configuration provides an example of simultaneously minimizing thermal resistance and viscous loss while generating high capillary pressure. This work offers insights that can improve the performance of membrane-based cooling [45, 46], steam generation [47], and desalination [48] devices.

Publications

The publications listed below represent papers, reports, and theses supported in whole or in part by the Air Force Office of Scientific Research under Grant FA9550-15-1-0310.

1. Z. Lu, I. Kinefuchi, K.L. Wilke, G. Vaartstra, E.N. Wang, "Probing Kinetically Limited Evaporation," Physical Review Letters (under review).
2. K.L. Wilke, D.J. Preston, Z. Lu, E. N. Wang, "Condensation-Resistant Omniphobic Surfaces," ACS Nano (under review).
3. D.J. Preston, K.L. Wilke, Z. Lu, S.S. Cruz, Y. Zhao, L.L. Becerra, E.N. Wang, "Gravitationally-Driven Wicking for Enhanced Condensation Heat Transfer," Langmuir, 34(15), 2018.
4. D.J. Preston, Z. Lu, Y. Song, Y. Zhao, K.L. Wilke, D.S. Antao, M. Louis, E.N. Wang, "Heat Transfer Enhancement During Water and Hydrocarbon Condensation on Lubricant Infused Surfaces," Scientific Reports, 8(540), 2018.

5. Y. Zhao, D.J. Preston, Z. Lu, L. Zhang, J. Queeney, E.N. Wang, "Effects of millimetric geometric features on dropwise condensation under different vapor conditions," *International Journal of Heat and Mass Transfer*, 119, 2018.
6. D.J. Preston, Y. Song, Z. Lu, D.S. Antao, E.N. Wang, "Design of lubricant infused surfaces," *ACS Applied Materials and Interfaces*, 9(48), 2017.
7. K.L. Wilke, B. Barabadi, Z. Lu, T. Zhang, E.N. Wang, "Parametric study of thin film evaporation from nanoporous membranes," *Applied Physics Letters*, 111(17), 2017.
8. Z. Lu, K.L. Wilke, D.J. Preston, I. Kinefuchi, E. Chang-Davidson, E.N. Wang, "An Ultra-Thin Nanoporous Membrane Evaporator," *Nano Letters*, 17(10), 2017.
9. Z. Lu*, D.J. Preston*, D.S. Antao, Y. Zhu, E.N. Wang, "Coexistence of pinning and moving on a contact line," *Langmuir*, 33(36), 2017. *equal contribution

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