



SCATTERING, ADSORPTION, AND  
LANGMUIR-HINSHELWOOD DESORPTION MODELS  
FOR PHYSISORPTIVE AND CHEMISORPTIVE  
GAS-SURFACE SYSTEMS

DISSERTATION

Brook I. Bentley, Captain, USAF  
AFIT-ENY-DS-13-S-01

DEPARTMENT OF THE AIR FORCE  
AIR UNIVERSITY

***AIR FORCE INSTITUTE OF TECHNOLOGY***

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Wright-Patterson Air Force Base, Ohio

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DISSERTATION

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Air University  
Air Education and Training Command  
in Partial Fulfillment of the Requirements for the  
Degree of Doctor of Philosophy

Brook I. Bentley, BS, MS  
Captain, USAF

September 2013

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## Abstract

Surface effects limit the performance of hypersonic vehicles, micro-electro-mechanical devices, and directed energy systems. This research develops methods to predict adsorption, scattering, and thermal desorption of molecules on a surface. These methods apply to physisorptive (adsorption and scattering) and chemisorptive (thermal desorption) gas-surface systems. Engineering and design applications will benefit from these methods, hence they are developed under the Direct Simulation Monte Carlo construct.

The novel adsorption and scattering contribution, the Modified Kisliuk with Scattering method, predicts angular and energy distributions, and adsorption probabilities. These results agree more closely with experiment than the state-of-the-art Cercignani-Lampis-Lord scattering kernel. Super-elastic scattering is predicted. Gas-surface interactions are included for the first time. Accommodation coefficients can be determined by fitting simulations to experimental data.

The new thermal desorption model accurately calculates angular, translational, rotational, and vibrational distributions, and the rotational alignment parameter. The model is validated by comparing with experiments. Multiple transition states are considered in a set of non-dimensionalized equations of motion, linked with temporally-accurate event timing. Initial conditions are chosen from a new truncated Maxwell-Boltzmann distribution. Run times are improved by eliminating the Gaussian Weighting of desorbing products. The absorption energy barrier is shown to significantly contribute only to the translational energy of desorbing molecules by contributing energy to each adatom in a similar manner.

*To my wife,  
my partner and equal in all things.*

*To my daughters,  
who brighten my life with their  
innocence and purity.*

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Brook I. Bentley

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## I. Introduction

Pulse shortening, a negative effect which limits the energy output of High-Power Microwave (HPM)<sup>1</sup> devices, has been identified as the most important problem in the HPM field [16]. Pulse shortening occurs when the pulse generated by the HPM device is shorter than the design allows, effectively limiting the amount of energy that can be produced at the same power level. The main contributors to pulse shortening are plasma effects, one of which is gap closure. Gap closure is the process by which the surface plasma migrates into the cavity, thereby changing the diode impedance. This change in turn reduces wave coupling in the Slow Wave Structure (SWS), a critical step in creating microwaves. The plasma created at the anode surface is a result of gas desorption [both thermal desorption and Electron-Stimulated Desorption (ESD)], field emission, and Secondary Electron Emission (SEE). Being able to predict, minimize, or prevent gas desorption altogether thus becomes an important aspect in advancing the state-of-the-art in HPM technology.

Gas desorption is also an important process in other fields where surface effects dominate, such as catalysis, thin-film processing, space environments, and hypersonics. Unfortunately, desorption is complex and difficult to study experimentally, theoretically, and computationally. The many-body problem of desorption has no

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<sup>1</sup>For a full listing of all the acronyms used in this document, please refer to Appendix B.

straightforward analytical solution. Experimentalists continue to seek methods of measuring surface kinetics (e.g. orientations, internal and kinetic energies, and electronic states). Even quantum-based calculations, such as Density Functional Theory (DFT), must truncate the number of molecular interactions to achieve reasonable results.<sup>2</sup>

Researchers at the Air Force Research Laboratory (AFRL) develop state-of-the-art HPM systems and technologies through experimentation and simulation. A successful tool, the Improved Concurrent Electromagnetic Particle-In-Cell (ICEPIC) code, allows researchers to both understand more explicitly what is occurring within the entire system, and quickly assess new HPM system-level designs, saving valuable resources.

In order to properly simulate the performance of an HPM system, it is important to model the phenomena occurring within the magnetron. For HPM systems, EM waves are generated by a source, guided by a waveguide, and then broadcast (or directed) with an antenna. A magnetron is a typical microwave source. Microwaves are generated in a magnetron from the interaction of moving electrons with both an applied magnetic field and side cavities.

AFRL is seeking to employ Direct Simulation Monte Carlo (DSMC) techniques in ICEPIC to model both gas-surface effects and gas-gas collisions, including pulse shortening due to surface contaminants. DSMC is a popular engineering method that approximates the solution to the Boltzmann equation. The Boltzmann equation describes the position and velocity probability distributions of gas molecules in both space and time. Typically, the Boltzmann equation is applied to flows with low densities to keep computational costs low. Thus, DSMC is useful in the fields of hypersonics, Micro-Electro-Mechanical Systems (MEMS), and vacuum devices (e.g. mag-

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<sup>2</sup>Please note that here and in the remainder of this paper the term molecule refers to an atom or to a molecule.

netrons), to name a few. DSMC approximates the solution to the Boltzmann equation by simulating molecule-molecule interactions on a coarse level. A number of simulated molecules are followed as they interact, and through well-established DSMC techniques, excellent solutions can be obtained. These collisions are between *simulated* molecules, each of which represent many *real* molecules. Since system-level simulations are performed by ICEPIC, an engineering method such as DSMC is required simply due to limited resources. It is currently not possible to calculate the dynamics of each molecule for a large system using, for example, Molecular Dynamics (MD). The length scales in MD studies involve only hundreds of molecules. On the other hand, the number of molecules on the anode surface of a magnetron are on the order of  $10^{15}$  per square centimeter. Obviously, MD simulations would not be able to sustain such a large number of molecules. Hence a statistical method (DSMC) is sought which makes such simulations tractable.

In addition, DSMC techniques are being considered by AFRL for HPM simulations due to differences in time scales during magnetron operation [156]. For example, it is reasonable for a magnetron to have a 500-ns pulse width with 0.1 s between pulses. The duty cycle is calculated as the ratio of the pulse width to the period. In this example, the duty cycle is 0.0005%. In other words, only 0.0005% of the dwell time is occupied with a pulse. During the pulse width, high-energy electrons are explosively emitted from the plasma created on the cathode surface. Accurate PIC methods are employed at the pulse time scale to adequately resolve particle positions and velocities, as well as the dynamic electromagnetic (EM) field, while low-energy neutrals can be assumed to be stationary. The total EM field is comprised of an applied portion from a permanent magnet, and a dynamic portion created by the motion of the electrons. Once high-velocity particles have transferred their energy and only lower-velocity particles remain in the cavity (i.e. between pulses), the EM

field may be considered static, and DSMC techniques would then be available to describe particle interactions on a larger time scale. This research directly supports their efforts by providing thermal desorption and adsorption models for the anode surface in a DSMC framework.

Adsorption is the process by which a foreign molecule is bound to a surface. Absorption, on the other hand, is the process by which a foreign molecule is bound within the bulk. Desorption is the inverse process of adsorption. Therefore, desorption is the process by which the bond between a foreign molecule and the surface is broken.

Some of the most elementary surface processes in important plasma systems employed in basic research and in modern material science are (dissociative) adsorption and (recombinative) desorption [27]. Modeling these processes efficiently will allow for more accurate predictions of system performance as well as improved system designs [7].

Unfortunately, thermal desorption (and adsorption) dynamics are difficult to model. The description, interpretation, and prediction of state and energy distributions of desorbing molecules currently represent a major challenge in theoretical chemistry [139]. It should come as no surprise that the understanding of surface phenomena is still far from that of gas-phase reactions [107], since a gas-phase reaction involves only a small number of molecules when compared with even the simplest of gas-surface systems.

To complicate matters further, there are multiple desorption pathways available, of which the following are a brief sampling. There is the Langmuir-Hinshelwood (LH) reaction, characterized by two adsorbed atoms combining into a molecule on the surface that is then immediately desorbed [60; 146; 168], and the Eley-Rideal (ER) mechanism, wherein an adatom and a gas-phase atom combine into a molecule that is desorbed immediately [28; 71; 133; 137]. In the presence of strong EM fields,

field-induced desorption (FID) may cause adsorbed molecules to desorb. The bulk material itself may contribute through phonon-induced desorption, in which surface vibrations sufficiently excite adatoms to desorb [15]. In addition, collisions from ions, photons, and electrons are known to cause desorption, through ion-induced desorption (IID) [21], photo-induced desorption (PID) [63], and ESD<sup>3</sup> [4; 6; 41; 69; 70; 113; 121; 140], respectively.

Some of these desorption pathways are present in a typical magnetron system. In the magnetron cavity, a plasma forms out of electrons, ions, and neutral molecules. Those electrons impact the anode surface after being emitted from the cathode and travelling through the annulus. Such impacts cause either ESD, or localized surface heating which in turn induces thermal desorption (LH). When the ions collide with the anode surface, they either scatter or induce the desorption of electrons, ions, or molecules (IID). Surface coverage may repopulate through adsorption from ionic and molecular impacts, as well as from permeation and diffusion of atoms through the bulk. All of these effects combine to create a complex and problem which is currently unresolved. Questions remain about the relative contributions of each of these effects, questions which can only be answered with experimental and theoretical contributions. Such work will allow for these effects to be understood and decoupled.

Unfortunately, all of the relevant surface effects cannot be addressed in this research. Therefore, their relative contributions must be prioritized. The two most important desorption mechanisms are thought to be caused by the high number of electron impacts [59]. They are (1) thermal desorption via the LH mechanism, indirectly caused by localized surface heating from electron impacts, and (2) ESD. The next contributor to desorption is expected to be thermal desorption via the ER mechanism, indirectly caused by localized surface heating from electron impacts, and

---

<sup>3</sup>ESD is sometimes referred to as Desorption Induced by Electronic Transitions (DIET).

directly caused by ionic/molecular impacts. Finally, adsorption is thought to occur from ionic and molecular surface impacts.

The phenomena of desorption and adsorption are strongly influenced by the type of chemical bond that exists between the adsorbate/gas and the surface. There are two classifications available in the literature, physical sorption (*physisorption*) and chemical sorption (*chemisorption*) [62:178]. Physisorption is characterized by a weak bond dominated by van der Waals forces, usually on the order of 0.01 to 0.1 eV, and does not involve an activation barrier. On the other hand, chemisorption is characterized by a much stronger bond, typically in the range of 0.1 to 10 eV, with the inclusion of an activation barrier. Chemisorption is the result of either hydrogen bonding, covalent chemical bonding, or metallic bonding.

In order to study thermal desorption via the LH pathway, one of the most important surface effects in HPM magnetrons, both physisorptive and chemisorptive systems will be investigated. First, the inverse process of desorption (adsorption) will be considered for a physisorption system in Chapter II. This system will be as simple as possible, where the adsorbate is xenon, a noble gas, and the surface is platinum. The Xe-Pt gas-surface system is a typical starting point for physisorption modeling, and will provide insight into how to model surface effects in a DSMC framework. Then in Chapter III, the complex process of thermal desorption (LH) will be studied on the simplest chemisorption gas-surface system available, H<sub>2</sub>-Cu [126]. Fortunately, H<sub>2</sub>-Cu is also the most thoroughly studied example of activated adsorption [114]. The study of H<sub>2</sub>-Cu desorption will provide both simulation results directly applicable to current HPM research, and a new methodology from which desorption can be modeled for *any* gas-surface system in the future.

## 1.1 Physisorption

Physisorption is investigated (adsorption and scattering) in Chapter II for a model system, Xe-Pt(111). This gas-surface combination is typically employed by researchers when developing physisorption models. Xenon is a noble gas, therefore it adsorbs and desorbs monatomically. There are no internal energies to consider. There is no activation energy and the adsorbate bond is weak. Also, the Potential Energy Surface (PES) is relatively smooth.

Even though physisorption is modeled only for adsorption and scattering, all three surface processes (adsorption, scattering, and desorption) are related. Adsorption and scattering have in common the collision of a gas molecule with the surface. The link between adsorption and desorption is even more direct, because they are inverse processes of one another [78:161-166]. The former is concerned with a gas-phase molecule adhering to the surface, while the latter considers a molecule on the surface being released to the gas-phase. An understanding of one of these processes invites greater insight into the other.

The assumption that the problem is symmetric with respect to time is termed microscopic reversibility, a stricter form of the principle of detailed balance. Time symmetry, or T-symmetry, indicates that the physical laws are symmetric whether time is advanced forwards or backwards. Detailed balance is only applicable under equilibrium conditions. However, microscopic reversibility applies even in non-equilibrium situations. The principle of detailed balance provides a mechanism by which the properties of a system in equilibrium are maintained [29]. Population and depopulation rates of every microscopic and macroscopic state are equal under detailed balance. However, microscopic reversibility assumes that the trajectory and state of a molecule can be simulated forwards and backwards in time with identical results, which holds under Hamiltonian dynamics. Thus, under microscopic reversibility, a molecule des-

orbing from a surface provides information on a molecule adsorbing to a surface under identical conditions. Recently, microscopic reversibility was shown to also hold for open systems, bridging the first and second laws of thermodynamics [119].

The novel adsorption and scattering DSMC method developed, called the Modified Kisliuk with Scattering (MKS) method, is simple. The main points are: (1) determine if an impinging gas molecule collides with an adatom or with the surface by comparing a random uniform number with the fractional surface coverage, (2) calculate the molecule’s scattering energy and angles by incorporating an existing scattering computational method [Cercignani-Lampis-Lord (CLL)], (3) compare the scattering energy with the well-depth parameter of the PES to determine whether the molecule adsorbs or scatters, and (4) repeat as necessary.

In spite of its simplicity, MKS recreates experimental data for Xe-Pt(111) remarkably well. Thus, a strength of the DSMC method is that underlying assumptions can be included in the model in a relatively simple manner. Analytical expressions do not need to be developed every time an assumption is added or altered. One can pick and choose the assumptions deemed relevant, and build on them as more complex problems are considered. MKS is actually a transformation (or translation) of an existing model [Modified Kisliuk (MK)] into a DSMC algorithm, although this was not recognized originally as MKS was being developed. MKS was built independently from MK with assumptions that expressed the dominant underlying physical phenomena of the Xe-Pt(111) system. Once MKS results were matched with experimental data, it was discovered that the assumptions behind MKS perfectly coincided with the assumptions that went into MK. Hindsight thus showed that analytical models that cannot be directly utilized in DSMC applications, can however be translated into a DSMC algorithm simply by implementing their underlying assumptions. Furthermore, existing models can be augmented, or extended, by this method. The MK

model only calculates the probability that a gas molecule adsorbs as a function of current adsorbate coverage. However, in addition to the adsorption probability, MKS also calculates the scattering energy and angles.

## 1.2 Chemisorption

Thermal desorption (LH) is modeled in Chapter III for  $\text{H}_2$  on the (100) surface of copper [ $\text{H}_2\text{-Cu(100)}$ ], a chemisorptive system.<sup>4</sup> Hydrogen is the most prevalent residual gas in metal vacuum systems at very low pressures [Ultra-High Vacuum (UHV) and Extreme-High Vacuum (XHV)]. In fact, researchers who seek to lower the pressure of a metal system down to  $10^{-10}$  Pa (XHV) view the mitigation of hydrogen outgassing as the most challenging problem they face [141], and is investigated in the literature [40; 42; 43; 171]. The  $\text{H}_2\text{-Cu}$  gas-surface system is considered because it is the most commonly-studied example of activated chemisorption. The (100) face of copper was chosen simply because there is sufficient modeling and experimental data in the literature for the  $\text{H}_2\text{-Cu(100)}$  system. Some other resources in the literature include two methods of doping hydrogen onto copper for desorption studies [5; 51], and various investigations into thermal desorption (LH) for the  $\text{D}_2\text{-Cu}$  [115; 116] and  $\text{H}_2\text{-Cu}$  [25; 56; 87; 132; 144; 159] systems.

Hydrogen atoms tend to permeate metals relatively easily [54; 55; 130]. Thus, even if a surface is completely clear of adsorbate, hydrogen desorption and outgassing may still occur during system operation. Sometimes, the hydrogen will first permeate, then bond in sub-surface sites in the metal (even though there is some discussion on this matter) [102; 124]. For hydrogen permeating copper, it is possible that  $\text{H}_2$  recombines in a subsurface state [114], and receives additional energy as it desorbs due to the (bulk) absorption energy barrier [33]. Typically in desorption experiments,

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<sup>4</sup>The nomenclature for surface structure [i.e. (100)] is discussed in Section 3.1.

hydrogen is supplied to the surface via thin-membrane permeation. However, one can supply hydrogen directly to the surface via an atomic beam in order to avoid any complicating permeation effects [146].

LH reactions are driven by the thermal energy of the surface being transferred to the adsorbate; they are rarely caused by adsorbate excitations, since the energy transfer rate from the lattice to the adsorbate is typically 13 orders of magnitude greater than the reaction rate [60]. However, LH desorption events result in non-equilibrium distributions, heavily influenced by the curvature of the PES [168]. One reason for this result is that the potential energy barrier to recombination at the surface accelerates desorbing molecules away from the bulk (and inhibits adsorption) [146], thus providing desorbed products with higher-than-average kinetic energies than would otherwise be available simply from thermal equilibrium with the surface. In addition, the desorption of hydrogen from copper is an early-barrier process, implying that strong energy transfers occur after recombination [139], further perturbing the molecule's distributions from equilibrium.

Even though it will not be studied here, activated dissociative chemisorption (adsorption) is an important area of study since it is the rate-limiting step in many gas-surface reactions [145]. It is mostly a function of the normal component of the incident energy, a relationship known as normal energy scaling [5]. However, the surface temperature also aids in molecular dissociation [120]. Also, adsorption is enhanced for vibrationally excited  $\text{H}_2$  molecules, where around 60% of the vibrational energy is expended in overcoming the activation barrier [114]. In order to understand how each of these mechanisms contributes to adsorption, state-resolved adsorption [76] and scattering [169; 170] has been studied for  $\text{H}_2\text{-Cu}(100)$ . Electronically non-adiabatic effects are thought to affect scattering, where hydrogen molecules lose some vibrational energy while exciting copper electrons [101]. Other areas of interest include

low-temperature adsorption [H<sub>2</sub>-Cu(100)] [138], how to prepare a copper surface for scattering studies [12], and various theoretical and experimental publications [H<sub>2</sub>-Cu] [8; 34; 36; 38; 56; 58; 85; 90; 123; 158; 159; 161; 162].

Unfortunately, chemisorption cannot successfully be modeled in the same manner as physisorption. Physisorption concerns itself with systems in which weak van der Waals interactions dominate. However, in chemisorptive systems, the electronic structure of the adsorbate is significantly modified due to the presence of the surface. As such, the corrugation and shape of the PES can play a large role in chemisorptive dynamics. Thus, a different approach is followed for chemisorption modeling, which is to model the trajectory of each desorbing molecule in a Classical Trajectory (CT) formulation under the influence of a six-dimensional (6D) PES.

The PES describes the interaction between a surface and a molecule. From the PES, one can calculate the forces acting on the molecule, and given appropriate initial conditions, the molecule’s position and velocity as well. For a single atom, only three degrees of freedom, or three dimensions (3D), are required to adequately model the PES. For a diatomic molecule, six degrees of freedom are needed. Likewise, for a molecule with  $N$  atoms, there are potentially  $3N$  degrees of freedom required [65]. One may simplify the calculations by neglecting some of the degrees of freedom. However, it has been shown that all six dimensions must be considered when modeling associative (dissociative) chemisorption for a diatomic molecule [44; 45]. Even though all six degrees of freedom are addressed here, there is assumed to be no change in the electronic state of the system during the reaction. In other words, the reactions are assumed to be electronically adiabatic. Also, since the motion of the admolecule can be obtained from the PES, it is not necessary to identify frustrated (or hindered) degrees of freedom.<sup>5</sup>

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<sup>5</sup>Since adsorbed molecules behave differently than free molecules, their motions are sometimes referred to as frustrated or hindered, such as hindered rotation or hindered translation [17; 26; 66;

Lattice motion is assumed to be insignificant. Thus, a Rigid Surface (RS) assumption is employed, which considers bulk atoms frozen in time and space [60]. One reason for this assumption is that phonons can safely be neglected when modeling surface effects for a light molecule such as  $\text{H}_2$  [1; 2; 122; 149; 150; 151; 158]. Also, the time scale for hydrogen association (dissociation) on copper is much smaller than that of the substrate motion. Another justification is that surface reconstruction is unimportant in this case, since adsorbed hydrogen perturbs the substrate lattice only minimally [60]. For a more refined look, however, the reader is referred to current investigations on how small perturbations in lattice position away from equilibrium can effect the potential energy landscape of the  $\text{H}_2$ -Cu interaction [22; 177].

Wiesenekker et al. [83; 84; 174] developed a 6D PES to describe the molecule-surface interaction in the  $\text{H}_2$ -Cu(100) system. This PES is based on slab calculations with the Generalized Gradient Approximation (GGA) in DFT. It is expressed as a fit to an analytical form, bypassing the need for computationally intensive on-the-fly DFT calculations. An analytical fit also provides a high degree of flexibility in matching the PES to experimental and simulated energy values. The full 6D PES is achieved by splicing together eight two-dimensional (2D) PES slices [175; 176], which are individually anchored to experimental results. This 6D PES was specifically tailored to be accurate in the region between the gas phase and the Transition State (TS) (the location or zone where the individual adatoms combine into a molecule). Within the TS, the PES is fairly accurate, and between the TS and the surface, the PES is inaccurate.

There are other options when considering a PES for the  $\text{H}_2$ -Cu(100) system [32; 37; 48]. One is the common London-Eyring-Polyani-Sato (LEPS) form [109; 154], known for its computational simplicity and speed. The LEPS form assumes that atom-atom

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110; 129; 178].

and atom-surface interactions are adequately described by Morse potentials [109]. However, the LEPS form contains only a few adjustable parameters, and is therefore less flexible than the Wiesenekker PES. Another option is the PES constructed by Olsen et al. [126]. Unfortunately, they did not publish their fitting parameters, and hence their PES cannot be reproduced. It should be noted that the methods presented here are applicable to any PES (LEPS, analytical, etc.). In fact, studies concerning H<sub>2</sub>-Cu PESs continue to be reported.

The trajectories of desorbing molecules are modeled within the CT formulation. CT simulations ignore the quantum effects of tunneling and interferences [88; 118]. These effects may be neglected for relatively heavy molecules, and for surfaces at temperatures above their Debye temperature [167]. The Debye temperature of a solid is interpreted as the temperature at which all phonon modes are excited. Even though H<sub>2</sub> is considered to be a light molecule, the temperatures considered in this work for copper are well above its Debye temperature of 344 K. Therefore, the CT formulation is appropriate here. A significant benefit of modeling desorption with CT simulations is that rovibrational state distributions can be calculated, which have been shown to be important in H<sub>2</sub>-Cu dynamics [152]. As an aside, the Quasi-Classical Trajectory (QCT) method attempts to include quantum effects by incorporating Zero-Point Energy (ZPE) in the initial conditions [19; 108].

Desorption calculations, in order to be incorporated into DSMC codes, need to provide information about the molecule including its velocity, and its rotational and vibrational energies. Fortunately, CT simulations are able to do just that. Recently, kinetic Monte Carlo (MC) simulations of surface reactions have been coupled with continuum computational fluid dynamics (CFD) methods [155]. However, there is no indication in the literature that CT has been developed for use in DSMC simulations before this work.

Also, for time-accurate simulations, a method for timing desorption events must be included. Timing will be incorporated by noting that each desorption event for  $\text{H}_2\text{-Cu}(100)$  can be assumed to be independent of one another, thus representing a Poisson process. A rate of surface activity then allows for the time between successive events to be calculated. In this manner, desorption events will occur during specific times in the DSMC simulation. More about this topic will be discussed in Section 3.8.

### 1.3 Contributions

In summary, this research develops thermal desorption and adsorption models in a framework to incorporate into Direct Simulation Monte Carlo codes. As a result, plasma-surface modeling will greatly benefit. Current state-of-the-art plasma-surface interaction modeling does not capture essential molecular physics. For example, adsorption is represented by a single probability, scattering is calculated with a probability curve, and desorption is assumed to exhibit equilibrium distributions [165].

This work is a significant step forward for the aeronautical and mechanical engineering communities in modeling dynamic surface phenomena (both physisorptive and chemisorptive) at the microscopic level. These fields have not previously had access to microscopic surface modeling within the Direct Simulation Monte Carlo framework. Microscopic methods have been neglected in favor of macroscopic fluid approaches focusing on reaction rates [103; 105; 163]. Unfortunately, macroscopic approaches are not able to model in detail the state-specific dynamics of desorbing molecules, even when considering many adsorbate spatial configurations [47; 50; 67; 68; 77; 79; 80; 81; 82; 91; 100; 104; 112; 125; 128; 173; 181; 183].

In Chapter II (Physisorption), a new algorithm is developed, called the Modified Kisliuk with Scattering method. This model is the first of its kind to account for both adsorption and scattering. It accurately calculates adsorption probabili-

ties. It also predicts super-elastic scattering profiles, a capability currently lacking in Direct Simulation Monte Carlo models. Scattering calculations are even improved from state-of-the-art models. Gas-surface interactions are considered, a first for the Cercignani-Lampis-Lord kernel. Finally, it is demonstrated how the Modified Kisliuk with Scattering method can be used to determine accommodation coefficients.

In Chapter III (Chemisorption), another novel method is introduced to model thermal desorption for Direct Simulation Monte Carlo applications. The equations of motion are non-dimensionalized, a description surprisingly not found in the literature. Multiple transition states are incorporated into the calculations, whereas researchers in the field have only considered a single transition state until now. A truncated Maxwell-Boltzmann distribution is developed and its accept-reject form is derived. Correct event timing, adapted here for Direct Simulation Monte Carlo applications, is included for temporally-accurate simulations. It is shown that Gaussian weighting is not required for this method, an unexpected result which greatly reduces computational run times. Also, the absorption barrier energy due to permeation and/or diffusion is shown to significantly contribute to thermal desorption. Specifically, it directly affects the translational energy, while it has little impact on the internal energies of desorbing molecules.

## II. Physisorption

There is an immediate opportunity to improve surface effects modeling in the DSMC field. Currently, adsorption is completely ignored due to its complex nature. The modeling of scattering received significant attention a little less than 20 years ago. However, there has been little improvement since then. The simplest assumption for scattering is that molecules do so specularly. HPM simulations usually only include this specular reflection boundary condition. Other fields, such as hypersonics, have adopted a more advanced technique with the CLL kernel; the CLL boundary condition is capable of producing a somewhat realistic lobular scattering profile [97].

This chapter details the development and results of a new model, MKS, that addresses both adsorption *and* scattering for a physisorptive system in a DSMC framework. First, a brief introduction to simple adsorption models will be given, building to a discussion of the MK model. Then, the MKS method will be developed, including a detailed discussion of the CLL kernel. Finally, adsorption results from MKS will be compared with experimental data with respect to the Xe-Pt(111) gas-surface system, illustrating the benefits of MKS. Besides being the first method to handle both adsorption and scattering in a DSMC framework, MKS also improves the scattering properties of the state-of-the-art DSMC scattering model.

### 2.1 Previous Adsorption Models

This section introduces simple adsorption models, in preparation to discuss the new MKS model in Section 2.2. Starting with Henry’s Law, the historical models will progressively increase in complexity, until an adsorption model capable of handling the behavior of Xe-Pt(111) is explained (MK).

### 2.1.1 Henry’s Law.

The simplest adsorption model, Henry’s Law, assumes (1) a gas molecule will adsorb independently of the adlayer, and (2) all adsorption sites are equivalent [62:175-197]. For this model, the adsorption probability is independent of coverage, or

$$S(\theta) = S_0, \tag{1}$$

where  $\theta$  is the adlayer coverage and  $S_0$  is the initial adsorption probability.<sup>1</sup>

### 2.1.2 Langmuir Model.

The Langmuir model is slightly more complicated than Henry’s Law because it assumes (1) adatoms occupy specific adsorption sites on the surface, (2) there is no adatom mobility, (3) a gas molecule does not adsorb if it encounters an occupied site, and (4) there are no lateral interactions [62:240; 106]. This model predicts that a gas molecule is adsorbed into an unoccupied site with probability  $S_0$ , while gas molecules are never adsorbed onto occupied sites. Thus, the adsorption probability  $S(\theta)$  decreases linearly with coverage,

$$S(\theta) = S_0(1 - \theta). \tag{2}$$

### 2.1.3 Kisliuk Model.

Kisliuk developed a model that considered adsorption via both an intrinsic and an extrinsic precursor, with no direct Langmuirian adsorption [10]. An intrinsic precursor in adsorption is a molecule that is occupying an adsorption site, but that has not fully adsorbed to the surface. In other words, the molecule is still mobile on the surface.

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<sup>1</sup>For a full listing of all the symbols used in this document, including their respective units, please refer to Appendix A.

Similarly, an extrinsic precursor is a molecule that occupies an adsorption site *above* the adlayer, which has not fully adsorbed to the adlayer (or surface). In other words, precursors are transitory states of an adatom as it either adsorbs or desorbs. In steady-state,

$$S(\theta) = \frac{S_0}{1 + K \frac{\theta}{1 - \theta}}, \quad (3)$$

where  $S_0$  and  $K$  are functions of the trapping probability into precursor states as well as rate constants for the intrinsic and extrinsic precursor states. This model assumes (1) no lateral interactions and (2) a random adlayer configuration. It turns out that according to this model,  $S(\theta)$  also decreases with increasing coverage. However, there are systems where the opposite trend holds, such as Xe-Pt(111) [10].

#### 2.1.4 Modified Langmuir Model.

Another model, which will here be referred to as a modified Langmuir model, was proposed to fit experimental data, which assumed that the adsorption pathways included both direct Langmuirian adsorption and mobile adsorption onto the second adlayer. In other words,

$$S(\theta) = S_0(1 - \theta) + S_0^*\theta, \quad (4)$$

where  $S_0^*$  is the adsorption probability onto the adlayer. For  $S_0^* > S_0$ ,  $S(\theta)$  increases with coverage.

#### 2.1.5 Modified Kisliuk Model (MK).

The MK model was developed by combining the original Kisliuk model with the modified Langmuir model [10]. MK assumes (1) Langmuirian adsorption for a gas molecule in contact with an unoccupied site with adsorption probability  $S_0$ , (2) extrinsic precursor mobile adsorption with adsorption probability  $S_0^*$ , (3) the configu-

ration of the adlayer is random, and (4) no lateral interactions exist. In steady-state, assuming that the extrinsic precursor coverage is small,

$$S(\theta) = S_0(1 - \theta) + S_0^*(1 - \theta) \frac{q_m \theta}{1 - q_m \theta}, \quad (5)$$

where  $q_m$  is a function of extrinsic precursor rate constants.

## 2.2 Novel Adsorption and Scattering Method

With that brief background in mind, a novel adsorption and scattering method is developed in this section. Termed the Modified Kisliuk with Scattering (MKS) method, this new technique builds on the assumptions of MK for DSMC and the Multi-Stage (MS) gas-surface interaction model. It is capable of modeling both adsorption and scattering, an accomplishment previously unattained. Further, scattering properties of MKS are shown to be superior to those of state-of-the-art calculations with the CLL kernel. MKS assumes (1) no precursor-mediated adsorption for impingement on an unoccupied site, (2) mobile precursor-mediated adsorption for impingement on the adlayer, (3) the adlayer configuration is random, and (4) no lateral interactions are present.

### 2.2.1 Multi-Stage (MS) Gas-Surface Interaction Model.

The implementation of MKS is similar to that of the MS gas-surface interaction model [180]. The MS model is applicable to the thermal scattering regime [53:103], where the interactions produce lobular and diffuse scattering. The MKS method presented in this work is also limited to this regime, and one should be aware of the conditions under which it loses applicability. The radius parameter  $\mathcal{R}$  is the main determining factor of the scattering regime, and a value of  $\mathcal{R} \geq 1.5$  indicates thermal

scattering.  $\mathcal{R}$  is defined as

$$\mathcal{R} \equiv \frac{R_{\text{eff}}}{R_c}, \quad (6)$$

where  $R_{\text{eff}}$  is the effective interaction radius, or the smallest distance between the gas and surface atoms during a gas-surface collision, and  $R_c$  is a critical value of  $R_{\text{eff}}$ . For a given incident translational energy  $E_{\text{tr}}$ ,  $R_{\text{eff}}$  is estimated from the one-dimensional (1D) approximation

$$\frac{E_{\text{tr}}}{\varepsilon_{\text{LJ}}} \approx \left( \frac{r_0}{R_{\text{eff}}} \right)^{12} - 2 \left( \frac{r_0}{R_{\text{eff}}} \right)^6, \quad (7)$$

where  $\varepsilon_{\text{LJ}}$  is the Lennard-Jones (LJ) well-depth parameter for the interaction between the gas molecule and a surface atom, and  $r_0$  is the equilibrium distance between the gas molecule and a surface atom.  $R_c$  is then the distance from the gas molecule to a surface atom as it crosses the surface plane. For the commensurate layer on a (111) surface, the critical value is  $R_c = d_0/\sqrt{3}$ , where  $d_0$  is the equilibrium distance between surface atoms.<sup>2</sup>

The MS model breaks down the gas-surface collision into three steps. First, translational and rotational energies are calculated from a model equation, which is based on MD simulations of that specific collision with those specific gas and surface species. Next, from the PES, the scattering angles are found. Finally, depending on the results from the first two steps, the gas molecule either scatters, re-collides with, or is trapped by the surface. If the gas molecule re-collides with the surface, then the process is repeated, until a maximum of ten collisions occur. At that point, the gas molecule is trapped by the surface, and is assumed to desorb diffusely at the surface temperature  $T_s$  during that same time step. Trapping is defined for an adatom in a weakly-bound mobile state. However, once the adatom is more strongly bound to the surface, it is said to stick or adsorb. The MS model only calculates scattering

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<sup>2</sup>The commensurate layer on a (111) surface is also described as  $(\sqrt{3} \times \sqrt{3})R30^\circ$ . The unit surface cell of adsorbate is configured in a rhombus, with all four sides of length  $\sqrt{3}$  times the length of the bulk unit cell, and rotated  $30^\circ$  from the bulk unit cell's orientation.

and trapping over a simulation time step, meaning that molecules trapped on the surface are scattered by the end of that time step; it does not consider adsorption. In fact, the literature does not appear to contain *any* DSMC adsorption (or desorption) models.

### 2.2.2 New Algorithm.

The MKS method, on the other hand, can handle adsorption as well as scattering. Like MS, MKS also breaks up the gas-surface collision into stages:

1. Determine if the gas molecule collides with an adatom or with the surface. A uniform random number  $0 \leq R \leq 1$  is compared with the adlayer coverage  $0 \leq \theta \leq 1$ . If  $R \leq \theta$ , then the gas molecule collides with an adatom. Otherwise, the gas molecule collides with the surface.  $\theta$  is measured in monolayers (ML).
2. The gas molecule scatters using a scattering method or kernel. Accommodation coefficients, defined below, are provided for both the gas-surface ( $\alpha_n, \alpha_t$ ) and the gas-adatom ( $\alpha_{n,\text{ad}}, \alpha_{t,\text{ad}}$ ) systems.  $\alpha_{n,\text{ad}}$  and  $\alpha_{t,\text{ad}}$  are new coefficients, introduced with MKS to account for the gas-adlayer interactions. Note that Langmuirian kinetics are recovered for  $\alpha_{n,\text{ad}}, \alpha_{t,\text{ad}} = 0$ .
3. If the post-collisional normal translational energy  $E'_{\text{tr},n}$  of the gas molecule is sufficient to escape the PES ( $E'_{\text{tr},n} \geq 2\varepsilon_{\text{LJ}}$ ), then it scatters. Here,  $\varepsilon_{\text{LJ}}$  is the Lennard-Jones potential well parameter for the interaction between the gas molecule and *a* surface molecule (*not* the entire surface). The threshold value of  $2\varepsilon_{\text{LJ}}$  was determined by Yamanishi et al. and is discussed below [180].
4. If the gas molecule cannot escape the PES, but has enough total energy  $E'_{\text{tot}}$  to overcome the adsorption energy and has not collided with the surface more than

ten times, then it re-collides with the surface. In other words, if  $E'_{\text{tot}} \geq E_{\text{ads}}$  and  $N_{\text{colls}} \leq 10$ , then return to Step 1 using post-collisional values.

5. Otherwise, the gas molecule is adsorbed.

The MKS method differs from the MS model in a few respects. First, any scattering method or kernel, including a model equation, can be used to determine scattering values in MKS, while MS requires a tailor-made model equation for each separate case. The CLL scattering kernel [97] was chosen since current DSMC codes already use the CLL kernel. However, MKS is modular in that any scattering kernel or model could be employed in the place of the CLL kernel. CLL requires accommodation coefficients ( $\alpha_n$ ,  $\alpha_t$ ,  $\alpha_{n,\text{ad}}$ , and  $\alpha_{t,\text{ad}}$ ) to be specified, and so they are utilized here. But if a different scattering kernel or method were to be incorporated into MKS instead of CLL, then different parameters would be required. A contribution made here is applying the CLL kernel to adlayer scattering. Up until now, only surface scattering has been considered with CLL. Next, the MS model considers only a clean surface while MKS accounts for an adlayer being present, up to one monolayer. This limitation illustrates a drawback of MS, because the model equation must be developed for each individual gas-surface system. In fact, adsorbates alter the PES of the interaction, and therefore a multitude of model equations would ideally have to be developed for a single gas-surface system. The new MKS model is not limited to perfectly-clean surfaces. Additionally, the criteria have been changed for determining whether or not a gas molecule overcomes the potential well of the PES. From MD simulations, Yamanishi et al. claim that when a gas molecule scatters off of a surface, it has an average potential energy of  $2\varepsilon_{\text{LJ}}$  [180]. In the MS model, the gas molecule scatters if both the post-collisional normal and tangential translational energies are greater than or equal to this average potential energy (i.e.,  $E'_{\text{tr},n} \geq 2\varepsilon_{\text{LJ}}$  and  $E'_{\text{tr},t} \geq 2\varepsilon_{\text{LJ}}$ ). However, while comparing MKS with experimental adsorption probability data, this research

found that the gas molecule should scatter only if  $E'_{\text{tr},n} \geq 2\varepsilon_{\text{LJ}}$ . Hence, no restriction was placed on  $E'_{\text{tr},t}$  in MKS. A sensitivity analysis was performed to determine the optimal threshold value. It was found in this work that  $2\varepsilon_{\text{LJ}}$  is reasonable. A brief discussion of this finding is in Section 2.4. Finally, MS includes rotational energy while MKS in its current form does not consider internal energy modes.

### 2.2.3 Accommodation Coefficients.

Before the typical CLL kernel can be reviewed, accommodation coefficients should be discussed since the CLL kernel requires them as inputs. Accommodation coefficients are defined as [127]

$$a_Q \equiv \frac{\Phi_i^Q - \Phi_r^Q}{\Phi_i^Q - \Phi_w^Q}, \quad (8)$$

where  $Q$  is the molecular property in question,  $\Phi_i^Q$  and  $\Phi_r^Q$  are the incident and reflected fluxes of  $Q$ , respectively, and  $\Phi_w^Q$  is the reflected flux of  $Q$  at complete accommodation. Typical properties of  $Q$  are tangential momentum  $mc_t$ , normal momentum  $mc_n$ , and kinetic energy tangential  $mc_t^2/2$  and normal  $mc_n^2/2$  to the surface. Here,  $m$  is the molecular mass,  $c_t$  is the tangential velocity to the surface, and  $c_n$  is the normal velocity to the surface. Accommodation coefficients for these properties are here referred to as  $\sigma_t$ ,  $\sigma_n$ ,  $\alpha_t$ , and  $\alpha_n$ , respectively, so that

$$\sigma_t \equiv \frac{mc_t - mc'_t}{mc_t - mc_w} = \frac{c_t - c'_t}{c_t}, \quad (9)$$

where  $c'_t$  is the post-collisional tangential speed, and  $c_w$  is the speed of the wall, which in this case is stationary. Similarly,

$$\begin{aligned}\sigma_n &\equiv \frac{c_n - c'_n}{c_n}, \\ \alpha_t &\equiv \frac{c_t^2 - c'^2_t}{c_t^2}, \\ \alpha_n &\equiv \frac{c_n^2 - c'^2_n}{c_n^2},\end{aligned}\tag{10}$$

where  $c'_n$  is the post-collisional normal speed. A useful relation that can easily be shown is  $\alpha_t = \sigma_t(2 - \sigma_t)$ .

This work extends CLL's usefulness by applying it to adlayer scattering. Therefore, in addition to the typical accommodation coefficients needed by CLL ( $\alpha_n$  and  $\alpha_t$ ), adlayer-specific coefficients are also required ( $\alpha_{n,\text{ad}}$ ,  $\alpha_{t,\text{ad}}$ ).

#### 2.2.4 Cercignani-Lampis-Lord Scattering Kernel.

The CLL scattering kernel is useful because it satisfies the principle of detailed balance (reciprocity), it calculates sufficiently realistic lobular scattering angle and energy distributions, and is well-suited for implementation in DSMC. Not included in this work are Lord's extensions to CLL, which are diffuse scattering with partial accommodation of translational kinetic energy, vibrational energy for a rigid-rotor/harmonic-oscillator diatomic molecule, partially diffuse scattering, and vibrational energy for an anharmonic oscillator [98; 99]. Two of the extensions, which consider vibrational energy, are not applicable to monatomic xenon. Future research may address these extensions, and apply them to MKS if applicable. Two system-specific accommodation coefficients are required for CLL, one for the normal kinetic energy  $\alpha_n$  and one for the tangential kinetic energy  $\alpha_t$ .  $\alpha_n$  and  $\alpha_t$  depend upon the gas and the surface, and are typically functions of temperature. Coefficients have

been measured experimentally [52], as well as predicted with MD simulations [49]. A helpful explanation of the CLL kernel is given by Liou and Fang [96:180-187], while a slightly modified implementation is presented in [127].

The CLL scattering kernel first applied to DSMC calculations by Lord is implemented in MKS [97]. Even though CLL is applied here for the first time to adlayer scattering, its implementation remains the same. Please note that for this section only, all of the velocities are normalized by the most probable velocity  $\sqrt{2kT_s/m}$ , consistent with Lord's development, where  $k$  is the Boltzmann constant and  $T_s$  is the surface temperature. As a result, kinetic energies (such as  $u^2$ ) are in units of  $kT_s$ . For each of the tangential velocity components  $u$  and  $v$ , the probabilities that the post-collisional velocities fall between  $u'$  and  $u' + du'$ , and  $v'$  and  $v' + dv'$ , respectively, are,

$$\begin{aligned} P(u \rightarrow u') du' &= \frac{1}{\sqrt{\pi\alpha_t}} \exp \left\{ -\frac{[u' - (1 - \sigma_t)u]^2}{\alpha_t} \right\} du', \\ P(v \rightarrow v') dv' &= \frac{1}{\sqrt{\pi\alpha_t}} \exp \left\{ -\frac{[v' - (1 - \sigma_t)v]^2}{\alpha_t} \right\} dv', \end{aligned} \quad (11)$$

where  $P(u \rightarrow u')$  and  $P(v \rightarrow v')$  are the scattering kernels. The detailed balance relations, or reciprocity, are then satisfied for both components,

$$\begin{aligned} \exp(-u^2)P(u \rightarrow u') &= \exp(-u'^2)P(-u' \rightarrow -u), \\ \exp(-v^2)P(v \rightarrow v') &= \exp(-v'^2)P(-v' \rightarrow -v), \end{aligned} \quad (12)$$

The show that the relations in Equation (12) hold, rearrange the exponential terms,

and recall that  $\alpha_t = \sigma_t(2 - \sigma_t) = 2\sigma_t - \sigma_t^2$ , so that

$$\begin{aligned}\exp(-u^2)P(u \rightarrow u') &= \frac{1}{\sqrt{\pi\alpha_t}} \exp\left\{-\frac{\alpha_t u^2 + [u' - (1 - \sigma_t)u]^2}{\alpha_t}\right\}, \\ &= \frac{1}{\sqrt{\pi\alpha_t}} \exp\left\{-\frac{u'^2 - (1 - 2\sigma_t)uu' + u^2}{\alpha_t}\right\},\end{aligned}\quad (13)$$

and,

$$\begin{aligned}\exp(-u'^2)P(-u' \rightarrow -u) &= \frac{1}{\sqrt{\pi\alpha_t}} \exp\left\{-\frac{\alpha_t u'^2 + [-u - (1 - \sigma_t)(-u')]^2}{\alpha_t}\right\}, \\ &= \frac{1}{\sqrt{\pi\alpha_t}} \exp\left\{-\frac{u^2 - (1 - 2\sigma_t)uu' + u'^2}{\alpha_t}\right\},\end{aligned}\quad (14)$$

proving that

$$\exp(-u^2)P(u \rightarrow u') = \exp(-u'^2)P(-u' \rightarrow -u). \quad (15)$$

Similarly,

$$\exp(-v^2)P(v \rightarrow v') = \exp(-v'^2)P(-v' \rightarrow -v). \quad (16)$$

The normalization conditions are also satisfied,

$$\begin{aligned}\int_{-\infty}^{\infty} P(u \rightarrow u') du' &= 1, \\ \int_{-\infty}^{\infty} P(v \rightarrow v') dv' &= 1,\end{aligned}\quad (17)$$

which state that the cumulative probabilities over all scattered velocities are unity.

For the normal velocity component  $w$ , the probability that the post-collisional velocity is between  $w'$  and  $w' + dw'$  is,

$$P(w \rightarrow w') dw' = \frac{2w'}{\alpha_n} \exp\left[-\frac{w'^2 + (1 - \alpha_n)w^2}{\alpha_n}\right] I_0\left(\frac{2\sqrt{1 - \alpha_n}ww'}{\alpha_n}\right) dw', \quad (18)$$

where  $P(w \rightarrow w')$  is a scattering kernel, and  $I_0$  is the modified Bessel function of the

first kind. The reciprocity condition is

$$|w| \exp(-w^2) P(w \rightarrow w') = w' \exp(-w'^2) P(-w' \rightarrow -w), \quad (19)$$

where positive velocities are taken to be away from the surface. This condition is different from Equation (12) since a bias towards higher normal velocities occurs in the velocity distribution of impinging molecules. The normalization condition is also satisfied,

$$\int_0^\infty P(w \rightarrow w') dw' = 1. \quad (20)$$

Lord presented a numerical implementation of the CLL model utilizing a geometrical representation, shown in Figure 1 [97]. The figure can be used for both the normal and tangential velocity components. Consider first the tangential velocities  $u$  and  $v$ . Point  $P$  indicates the state of the impinging molecule before it collides with the surface. The abscissa and ordinate each represent one of the tangential velocities, and so the distance from the origin  $OR$  is the tangential post-collisional velocity  $c'_t$  since  $R$  is the state of the reflected molecule.  $P$  is shown on the horizontal axis because the velocity distributions are invariant with respect to rotation. The length  $OP$  is  $|u|$ .  $OQ$  is the average value for the post-collisional speed  $\langle c'_t \rangle = |u| \sqrt{1 - \alpha_t}$ , which can be seen from the fact that Equation (11) is a Gaussian distribution with a mean of  $\langle c'_t \rangle$ . The probability that the final state of the molecule lies in an area  $dA$  at  $(r_c, \theta_c)$  is

$$P(u \rightarrow u') P(v \rightarrow v') du' dv' = \frac{1}{\pi \alpha_t} \exp\left(-\frac{r^2}{\alpha_t}\right) dA, \quad (21)$$

where  $dA = r_c dr_c d\theta_c$ ,  $r_c$  is the distance  $QR$ , and  $\theta_c$  is the angle  $\angle PQR$ . Note that

the probability is independent of  $\theta_c$ .  $u'$  and  $v'$  are calculated from

$$\begin{aligned}
\theta_c &= 2\pi R_1, \\
r_c &= \sqrt{-\alpha_t \ln R_2}, \\
u' &= |u| \sqrt{1 - \alpha_t} + r_c \cos \theta_c, \\
v' &= r_c \sin \theta_c,
\end{aligned} \tag{22}$$

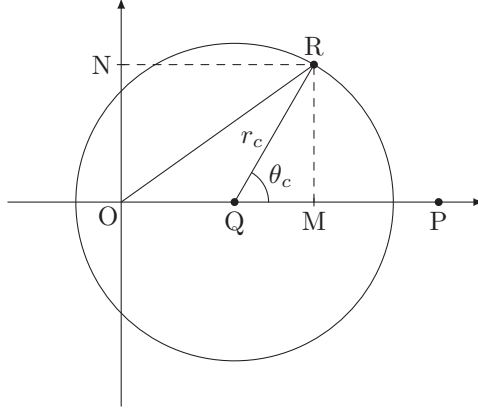
where  $R_1, R_2 \in [0, 1]$  are uniform random numbers. In actual calculations, one first transforms velocities to the rotated reference frame in which  $v = 0$ . Once the post-collisional velocities are obtained, one then transforms them back to the original frame of reference.

For the normal velocity component  $w$ , many items stay the same.  $P$  is the molecule's initial state, the distance  $OR$  is  $w'$ ,  $R$  is the post-collisional state of the molecule,  $OP$  is  $|w|$ ,  $OQ$  is  $|w| \sqrt{1 - \alpha_n}$ , and

$$\begin{aligned}
\theta_c &= 2\pi R_3, \\
r_c &= \sqrt{-\alpha_n \ln R_4}, \\
w' &= \sqrt{r_c^2 + (1 - \alpha_n)w^2 + 2r_c|w|\sqrt{1 - \alpha_n} \cos \theta_c},
\end{aligned} \tag{23}$$

where  $R_3, R_4 \in [0, 1]$  are uniform random numbers, and  $w'$  was determined with the Law of Cosines.

It should be noted here that MKS is not linked to CLL in particular, nor to any specific scattering kernel nor method. MKS is modular in that any scattering method or kernel can be substituted in the place of CLL. MKS is robust in that it does not need to be modified to accommodate application-specific scattering kernels. It has previously been noted that CLL is not completely realistic [97], even though



**Figure 1. Geometric representation of the CLL scattering model.**

it has been considered sufficient for state-of-the-art DSMC calculations [127]. In fact, other scattering kernels have been developed for specific applications [179; 180]. MKS could easily incorporate other scattering kernels as long as they are amenable to DSMC techniques, making it a strong

### 2.3 Xe-Pt(111) System

A brief overview of Xe-Pt(111) interactions and properties is included in this section since adsorption results will be presented with respect to the Xe-Pt(111) system. The Xe-Pt(111) system includes attractive lateral interactions among the adatoms [134]. Xenon is a 2D gas on the surface if  $T_s$  is either above the critical temperature  $T_c$ , which in this case is 120 K, or if  $T_s < T_c$  and  $0 \leq \theta \leq 0.06$ . Otherwise, the xenon phase is a 2D gas with either a 2D solid ( $T_s < T_{tr}$ ) or a 2D liquid ( $T_s > T_{tr}$ ), where  $T_{tr}$  is the triple-point temperature [134]. MKS assumes no lateral adatom interactions, and that the adlayer structure is commensurate up to  $\theta = 1$ .<sup>3</sup> In reality, the commensurate monolayer becomes saturated at  $\theta \approx 0.4$ . The monolayer transitions through several incommensurate phases until the first monolayer is filled [74]. These

---

<sup>3</sup>A commensurate layer is one in which adatoms occupy energetically-favored adsorption sites, and is dominated by the substrate-adatom potential. An incommensurate layer, on the other hand, is determined by the natural adlayer interatomic distance, or the adatom-adatom lateral interactions [75].

phase transitions occur due to competing forces. As long as adsorbate-substrate interactions dominate, the phase remains commensurate. However, once the lateral adatom forces begin to dominate, the phase transitions to incommensurate [74]. Even though one might expect to see effects of the phase transitions in experiments, none are observed in Reference [10]. Arumainayagam et al. caution that this result may be due to the relatively high (1%) defect density on their crystal surface. From Temperature-Programmed Desorption (TPD) spectra, it has been observed that first-order desorption occurs at  $\theta \leq 0.10$ , while for  $\theta > 0.10$ , zero-order desorption is present [173]. The 2D gas at low coverages explains the first-order behavior, and the zero-order desorption is explained by the coexisting 2D solid and gas phases for higher coverages. Also, xenon atoms have a higher mobility than expected, due to effective transfer of the adsorption energy into kinetic energy [93].

Xe-Pt(111) is a good system with which to initially compare an adsorption method because it is relatively simple. Xenon is an inert gas, and the Pt(111) samples may be single-crystal, clean, and well-defined metal surfaces with a relatively smooth PES. The adsorption of xenon on Pt(111) is a non-activated process, meaning that no chemical reaction occurs during adsorption. It is a weakly-interacting gas-surface system since the energy is transferred to the surface by exciting phonons. No internal energy transfer occurs in the incident species.

### **2.3.1 Xe-Pt(111) Potentials.**

In simulating the dynamics of the Xe-Pt(111) system, different potentials have been investigated, namely the LJ, the Barker-Rettner (BR), and the Morse potentials. The performance of MKS will be compared with calculations from the BR and Morse potentials in Section 2.4.2, and since the Morse potential is based on the LJ potential, all three potentials will be briefly discussed here.

The LJ potential  $V_{\text{LJ}}$  has two adjustable parameters ( $\varepsilon_{\text{LJ}}$  and  $\sigma_{\text{LJ}}$ ), and is of the form

$$V_{\text{LJ}} = 4 \varepsilon_{\text{LJ}} \left[ \left( \frac{\sigma_{\text{LJ}}}{r} \right)^{12} - \left( \frac{\sigma_{\text{LJ}}}{r} \right)^6 \right], \quad (24)$$

where  $\sigma_{\text{LJ}}$  is the intermolecular distance at which the potential is zero, and  $r$  is the intermolecular distance.

The Morse potential  $V_{\text{M}}$  has three adjustable parameters ( $\varepsilon_{\text{M}}$ ,  $\sigma_{\text{M}}$ , and  $r_0$ ), and is of the form [9]

$$V_{\text{M}} = \varepsilon_{\text{M}} \{ \exp [-2\sigma_{\text{M}}(r - r_0)] - 2 \exp [-\sigma_{\text{M}}(r - r_0)] \}, \quad (25)$$

where  $\varepsilon_{\text{M}}$  is the well-depth parameter,  $\sigma_{\text{M}}$  is a parameter which adjusts the steepness of the potential, and  $r_0$  is the equilibrium intermolecular distance.

The BR potential has a more complicated form than the LJ and Morse potentials. It was developed specifically for the Xe-Pt(111) system, and is intended for MD simulations. The BR potential  $V_{\text{BR}}$  has nine adjustable parameters ( $A_1$ ,  $\alpha_1$ ,  $c_6$ ,  $h_s$ ,  $r_1$ ,  $A_g$ ,  $W$ ,  $\alpha$ , and  $\delta$ ) with the following form [13; 172]:

$$V_{\text{BR}} = \sum [u(r_i) + v(r'_i)] + V(z_g^{\text{ave}}), \quad (26)$$

where

$$u(r_i) \equiv A_1 \exp(-\alpha_1 r_i), \quad (27)$$

and

$$v(r'_i) \equiv -\frac{c_6 G(r'_i)}{r_i'^6}, \quad (28)$$

where  $A_1$ ,  $\alpha_1$ , and  $c_6$  are adjustable parameters,  $r_i$  is the distance between the gas molecule and the  $i^{\text{th}}$  bulk molecule, and  $r'_i$  is centered a distance  $h_s$  above the bulk

molecule with

$$r_i'^2 \equiv (x_g - x_i)^2 + (y_g - y_i)^2 + (z_g - z_i - h_s)^2, \quad (29)$$

where  $(x_i, y_i, z_i)$  is the position of the bulk molecule, the coordinates of the gas molecule are  $(x_g, y_g, z_g)$ , and  $h_s$  is an adjustable height parameter.  $G(r)$  is a damping function defined as

$$G(r) \equiv \begin{cases} 1 & \text{for } r > r_1, \\ \exp \left[ - \left( \frac{r_1}{r} - 1 \right)^2 \right] & \text{for } r \leq r_1, \end{cases} \quad (30)$$

where  $r_1$  is an adjustable parameter. The term  $V(z_g^{\text{ave}})$  is defined as

$$V(z_g^{\text{ave}}) \equiv \frac{A_g W \exp(-\alpha z_g^{\text{ave}})}{W + A_g \exp(-\alpha z_g^{\text{ave}})}, \quad (31)$$

where  $A_g$ ,  $W$ , and  $\alpha$  are adjustable parameters, and  $z_g^{\text{ave}}$  is the height above the “local-average” surface  $z_{gs}^{\text{ave}}$ ,

$$z_g^{\text{ave}} \equiv z_g - z_{gs}^{\text{ave}}, \quad (32)$$

where  $z_{gs}^{\text{ave}}$  is a weighted average over the surface molecules,

$$z_{gs}^{\text{ave}} \equiv \frac{\sum_s z_i \phi(r_i)}{\sum \phi(r_i)}, \quad (33)$$

with the subscript  $s$  indicating that the summation in the numerator is performed only for the surface molecules.  $\phi(r)$  is a weighting function given by

$$\phi(r) \equiv \exp(-\delta r^2), \quad (34)$$

where  $\delta$  is an adjustable parameter.

## 2.4 Results

To verify that MKS may be utilized to simulate adsorption and scattering in DSMC simulations, it will be shown in this section that (1) not only is the integrity of CLL maintained, but its performance is actually improved, (2) initial adsorption probabilities  $S_0$  compare favorably with experiment, and (3) coverage-dependent probabilities agree well with data.

### 2.4.1 Scattering.

The scattering properties of CLL are improved when incorporated into MKS. Figures 2 and 3 compare experimental data with MKS and CLL calculations for scattering angles and  $E'_{\text{tr}}$  [13]. The incident angle is  $45^\circ$  and the incident translational energy is  $48.2 \text{ kJ mol}^{-1}$ . The CLL and MKS results were sampled from  $10^7$  simulated particles. Only molecules scattered in-plane ( $\pm 1^\circ$ ) are reported, to match what was measured by experiment [143; 147; 148]. In Figure 2, the in-plane scattering angle is measured from the surface normal. The scattered intensity for MKS has a tighter distribution than CLL, and approaches experimental values. In Figure 3,  $E'_{\text{tr}}$  is the average total energy in that scattering direction. At higher angles, MKS approaches CLL, while at lower angles, MKS follows the upward trend seen from experiment. It is interesting to note that when the gas molecule collides with the surface, it may scatter such that  $E'_{\text{tr}} > E_{\text{tr}}$ . This phenomenon is termed super-elastic scattering, and describes the case where the gas molecule picks up thermal energy from the surface during the collision.

It would be preferable to compare scattering data at the same surface temperature used in Sections 2.4.2 and 2.4.3 (i.e., 95 K). However, there is little scattering data for the Xe-Pt(111) system in the literature. In Reference [13], scattering data were reported for Xe-Pt(111) at  $T_s = 800 \text{ K}$ , which is much higher than  $T_s = 95 \text{ K}$ . In

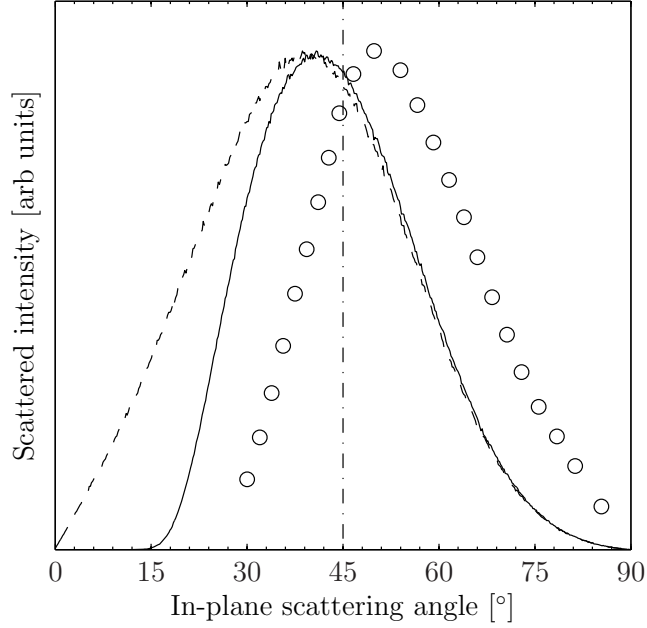
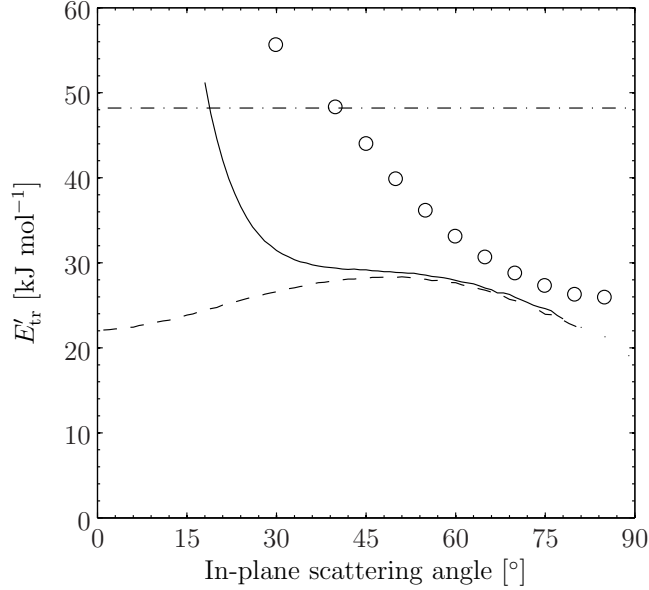


Figure 2. Scattered intensity versus in-plane scattering angle for Xe-Pt(111). Calculations from CLL and MKS are compared with experiment. The surface temperature is  $T_s = 800$  K. The angle of incidence  $\theta_i$  and the in-plane scattering angle are measured from the surface normal. The angle of incidence  $\theta_i$  is  $45^\circ$  with an incident energy  $E_{\text{tr}} = 48.2$  kJ mol $^{-1}$ . The vertical line indicates purely specular scattering. CLL and MKS simulations were performed with  $\alpha_n = 0.74$ ,  $\alpha_t = 0.60$ ,  $\varepsilon_{\text{LJ}} = 2.142$  kJ mol $^{-1}$ , and  $E_{\text{ads}} = 25.9$  kJ mol $^{-1}$ . —, MKS; - -, CLL; o, experimental data from [13], Figure 7.



**Figure 3.** Final translational energy  $E'_{\text{tr}}$  versus in-plane scattering angle for Xe-Pt(111). Calculations from CLL and MKS are compared with experiment. The surface temperature is  $T_s = 800$  K. The angle of incidence  $\theta_i$  is  $45^\circ$ . The horizontal line represents the incident energy  $E_{\text{tr}} = 48.2$  kJ mol $^{-1}$ . CLL and MKS simulations were performed with  $\alpha_n = 0.74$ ,  $\alpha_t = 0.60$ ,  $\varepsilon_{\text{LJ}} = 2.142$  kJ mol $^{-1}$ , and  $E_{\text{ads}} = 25.9$  kJ mol $^{-1}$ . —, MKS; — —, CLL; o, experimental data from [13], Figure 7.

spite of this deficiency, comparison with experiment at the elevated temperature still provides an opportunity to consider how MKS performs.

#### 2.4.2 Initial Adsorption Probabilities.

Arumainayagam et al. reported experimental initial adsorption probabilities  $S_0$  for the Xe-Pt(111) system using supersonic atomic beam techniques [9]. The surface temperature of Pt(111) was held at  $T_s = 95$  K while the incident translational energy  $E_{\text{tr}}$  of the xenon atoms varied from 7 to 63 kJ mol $^{-1}$  at incident angles  $\theta_i$  from  $0^\circ$  to  $60^\circ$  with respect to the surface normal. At these incident energies, the radius parameter  $\mathcal{R}$  is between 1.6 and 1.7 ( $2.52 \text{ \AA} \leq R_{\text{eff}} \leq 2.65 \text{ \AA}$ ,  $d_0 = 2.70 \text{ \AA}$ , and  $R_c = 1.56 \text{ \AA}$ ), indicating that this system falls under the thermal scattering regime and that MKS is applicable.  $S_0$  was measured within an experimental error of  $\pm 0.02$ , and the coverage  $\theta$  noise level was about 0.01 ML.

MKS simulations are compared with data in Figure 4 [9]. Each point on the MKS curve is from a sample of  $10^5$  molecules impinging on the surface with incident normal and in-plane tangential velocities  $c_n = c \cos \theta_i$  and  $c_t = c \sin \theta_i$ , respectively, where  $c = \sqrt{2E_{\text{tr}}/m}$  is the total incident velocity, and  $m$  is the gas molecule molar mass. Points were chosen at 11 different angles equally spaced from  $0^\circ$  to  $90^\circ$ , and 11 different specific energies equally spaced from 0 to  $63 \text{ kJ mol}^{-1}$ . For  $n_m = 2.0$ , all of the points fell onto a single curve. The values for  $\alpha_n$  and  $\alpha_t$  were chosen to best match experiment in Figure 6, and are both within the published ranges of  $0.7 - 1.0$  and  $0.6 - 0.8$ , respectively [49]. The simulations were less sensitive to  $\alpha_t$  than to  $\alpha_n$ , indicating that the MKS method would perhaps need to be modified to handle larger surface corrugation; the PES for Xe-Pt(111) is relatively smooth.  $\alpha_{n,\text{ad}}$  and  $\alpha_{t,\text{ad}}$  were not specified, since the surface was assumed to be clean. The other parameters were taken from the literature [9]:  $\varepsilon_{\text{LJ}} = 2.142 \text{ kJ mol}^{-1}$ , and  $E_{\text{ads}} = 25.9 \text{ kJ mol}^{-1}$ , where  $E_{\text{ads}}$  is the molar energy of adsorption. The surface temperature was  $T_s = 95 \text{ K}$ . The exponent  $n_m$  from  $E_{\text{tr}} \cos^{n_m} \theta_i$  is not based in theory, but has been found to place  $S_0$  data points on a single curve.  $n_m = 2.0$  was chosen in Figure 4 to highlight the MKS curve. In Figure 5, MKS calculations are compared with values obtained by Weaver et al. [172] from Morse and BR potentials, with  $n_m = 1.8$ ,  $10^5$  simulated impinging molecules per point at 50 different angles equally spaced from  $0^\circ$  to  $90^\circ$ , and 50 different specific energies equally spaced from 0 to  $63 \text{ kJ mol}^{-1}$ , with the other parameters the same as in Figure 4. The BR and Morse potential parameters are listed in the caption to Figure 5. Admittedly, MKS does not recreate  $S_0$  well, even though it will be shown in Figure 6 to match  $S(\theta)$  much better. For the results in Figures 4 and 5, adsorption is occurring as a 2D gas.

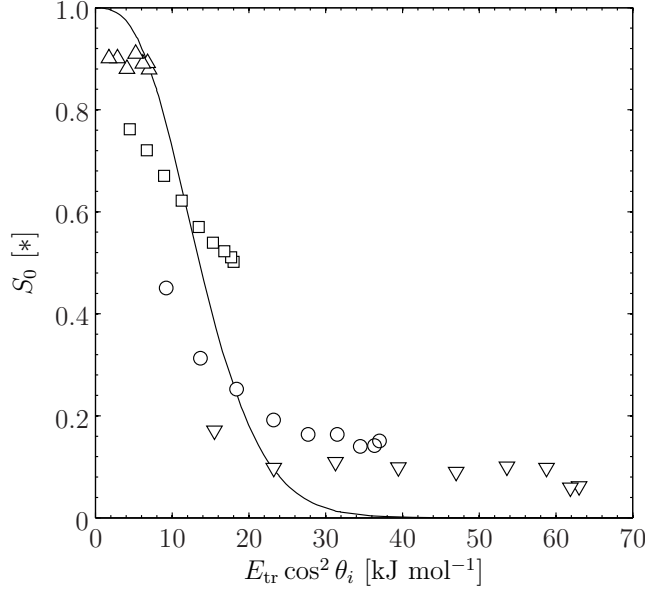


Figure 4. Initial adsorption probability  $S_0$  versus modified energy  $E_{\text{tr}} \cos^2 \theta_i$  for Xe-Pt(111). The surface temperature is  $T_s = 95$  K. The curve is from MKS simulations with  $\alpha_n = 0.74$ ,  $\alpha_t = 0.60$ ,  $\varepsilon_{\text{LJ}} = 2.142$  kJ mol $^{-1}$ , and  $E_{\text{ads}} = 25.9$  kJ mol $^{-1}$ . —, MKS;  $\Delta$ , 7 kJ mol $^{-1}$  (exp);  $\square$ , 18 kJ mol $^{-1}$  (exp);  $\circ$ , 37 kJ mol $^{-1}$  (exp);  $\nabla$ , 63 kJ mol $^{-1}$  (exp). Experimental data are from [9], Figure 4.

### 2.4.3 Coverage-Dependent Adsorption Probabilities.

The adsorption probability  $S(\theta)$  is also a function of coverage. Adsorption probability versus coverage for five different initial conditions is shown in Figure 6; the data are from [10] with uncertainties of  $\pm 3$  K for  $T_s$ , and  $\pm 0.03$  for  $S(\theta)$ . The parameters are the same as those from Figure 4. In addition,  $\alpha_{n,\text{ad}} = 0.89$  and  $\alpha_{t,\text{ad}} = 0.60$  were chosen in order to best fit MKS results to experiment.  $S(\theta)$  was not sensitive to the choice of  $\alpha_{t,\text{ad}}$ .  $S(\theta)$  increases with coverage, requiring  $\alpha_{n,\text{ad}} > \alpha_n$ . This finding is consistent with observations made in [10] where the adsorption probability on covered surfaces was greater than the adsorption probability on a clean surface. The results are a 2D gas where  $\theta < 0.02$ , and a 2D solid combined with a 2D gas otherwise.

A sensitivity analysis was performed to determine the optimal value for the post-collisional energy threshold value, and it was verified in this work that  $2\varepsilon_{\text{LJ}}$  provides satisfactory results. Yamanishi et al. claim that when a gas molecule scatters off of

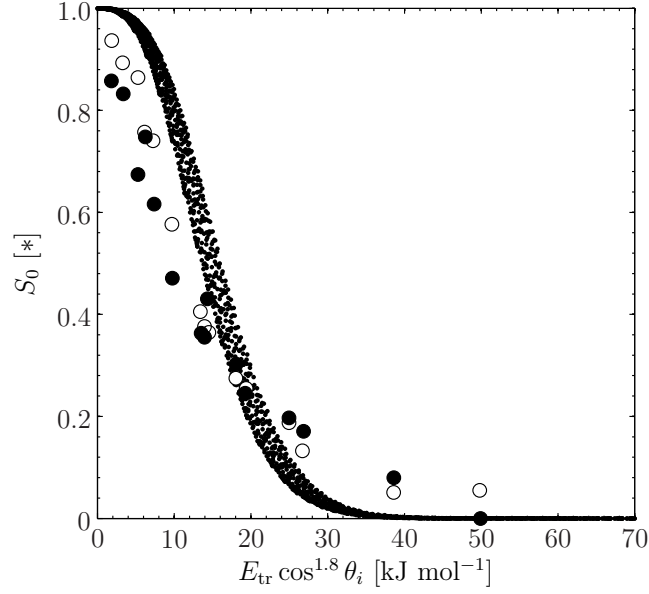
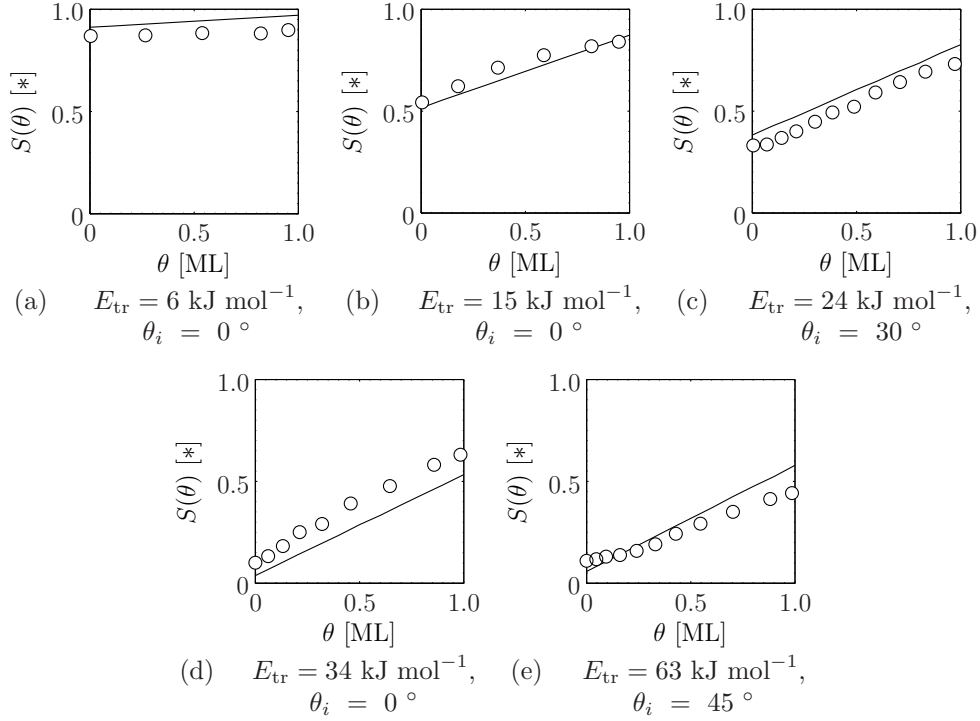


Figure 5. Initial adsorption probability  $S_0$  versus modified energy  $E_{\text{tr}} \cos^{1.8} \theta_i$  for Xe-Pt(111). The surface temperature is  $T_s = 95$  K. The curve is from MKS simulations with  $\alpha_n = 0.74$ ,  $\alpha_t = 0.60$ ,  $\varepsilon_{\text{LJ}} = 2.142$  kJ mol $^{-1}$ , and  $E_{\text{ads}} = 25.9$  kJ mol $^{-1}$ . •, MKS; ●, BR; ○, Morse. Morse potential parameters are [172]:  $\varepsilon_{\text{M}} = 2.628$  kJ mol $^{-1}$ ,  $\sigma_{\text{M}} = 1.05$  Å $^{-1}$ , and  $r_0 = 3.20$  Å. BR parameters are [13]:  $A_g = 3.084 \times 10^6$  kJ mol $^{-1}$ ,  $\alpha = 4.25$  Å $^{-1}$ ,  $W = 96$  kJ mol $^{-1}$ ,  $A_1 = 5.718 \times 10^{11}$  kJ mol $^{-1}$ ,  $\alpha_1 = 9.00$  Å $^{-1}$ ,  $\delta = 0.22$  Å $^{-2}$ ,  $c_6 = 7.981 \times 10^3$  kJ Å $^6$  mol $^{-1}$ ,  $h_s = 1.83$  Å, and  $r_1 = 6.8247$  Å [14]. BR and Morse calculations are from [172], Figure 3.



**Figure 6.** Adsorption probability  $S(\theta)$  versus coverage  $\theta$  for Xe-Pt(111) at different incident kinetic energies and angles. The surface temperature is  $T_s = 95 \text{ K}$ .  $\theta$  is measured in monolayers. MKS simulations were performed with  $\alpha_n = 0.74$ ,  $\alpha_t = 0.60$ ,  $\alpha_{n,\text{ad}} = 0.89$ ,  $\alpha_{t,\text{ad}} = 0.60$ ,  $\varepsilon_{\text{LJ}} = 2.142 \text{ kJ mol}^{-1}$ , and  $E_{\text{ads}} = 25.9 \text{ kJ mol}^{-1}$ . —, MKS;  $\circ$ , experimental data is from [10], Figure 1.

a surface, it has an average potential energy of  $2\varepsilon_{\text{LJ}}$ , and so  $2\varepsilon_{\text{LJ}}$  was the threshold value that they chose [180]. For the MKS method, values higher than  $2\varepsilon_{\text{LJ}}$  were discovered to improve post-collisional energies and scattering angles when compared with experiment, but caused the adsorption probabilities to deviate even more from experimental values. For a value of  $5\varepsilon_{\text{LJ}}$ , calculated post-collisional energies and scattering angles agreed well with experiment, while adsorption probabilities increased dramatically and were too large compared with experimental values. On the other hand, threshold values less than  $2\varepsilon_{\text{LJ}}$  did not improve any of the MKS results.

## 2.5 Physisorption Summary

A new method, the Modified Kisliuk with Scattering method, has successfully been developed for calculating adsorption probabilities and scattering properties in Direct Simulation Monte Carlo applications. The Modified Kisliuk with Scattering method incorporates a commonly-employed scattering kernel, the Cercignani-Lampis-Lord kernel, with the underlying assumptions of an existing adsorption model, the Modified Kisliuk model. The results show that, for the Xe-Pt(111) system, the new method was not only able to reproduce adsorption probabilities as a function of coverage, but it also improved the scattering properties of the Cercignani-Lampis-Lord kernel.

This work provides two significant contributions to the Cercignani-Lampis-Lord kernel. First, the kernel was applied to scattering off of an adlayer with favorable results. Previously, work with this kernel has been confined to the gas scattering off of the primary surface. This is the first time that gas-adlayer interactions have been modeled with the Cercignani-Lampis-Lord kernel. Second, optimal values for the accommodation coefficients were found by comparing results with experiment. The Modified Kisliuk with Scattering method thus provides an additional method for

determining appropriate accommodation coefficients.

Direct Simulation Monte Carlo techniques have now been shown to be capable of simulating surface effects, accomplished by successfully modeling adsorption and scattering for a physisorptive system. The next step, examined in Chapter III, will be to demonstrate that desorption in a chemisorptive system can also be modeled for Direct Simulation Monte Carlo.

### III. Chemisorption

Chemisorption is much more complex than physisorption, and will therefore require different modeling methods than those presented in Chapter II. In addition, Chapter II was concerned with adsorption, whereas desorption will now be examined, the microscopically inverse process of adsorption. However, desorption is more complicated than adsorption for at least two reasons. First, the timing of desorption events must be determined accurately for a temporal simulation. Adsorption events simply occur when a gas molecule impinges the surface, and in a DSMC code, the positions and velocities of all the gas molecules are known and tracked. Therefore, the timing of adsorption events is not an issue. However, determining when an ad molecule will (statistically) attain a sufficiently high enough energy to desorb, obtained from the surface thermal energy, is not trivial. Second, there are many desorption pathways for the same adsorbate. As discussed in Chapter I, desorption may be caused by surface thermal energy (LH), strong EM fields (FID), atom/molecule (ER), ion (IID), photon (PID), or electron (ESD) surface impact, and each of these pathways involves separate physical phenomena.

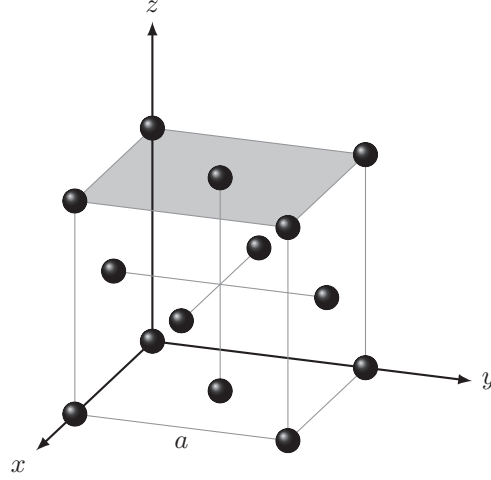
Desorption induced by surface thermal energy (LH) is thought to be a significant contributor to hydrogen-gas contamination in magnetron devices, therefore that pathway is investigated here for the relevant  $\text{H}_2\text{-Cu}(100)$  system (Section 3.1) in the following manner. Each desorbing molecule is modeled with the CT method (Section 3.3) as it is influenced by a 6D PES (Section 3.2). Initial conditions are assigned at the average TS locations (Section 3.6) according to a truncated Maxwell-Boltzmann (MB) distribution (Section 3.7). Then, the molecule is simulated forwards and backwards in time according to Keck’s method (Section 3.5) to determine if that specific trajectory represents a valid desorption event. Since simulations are performed at the molecular scale, all of the relevant equations are non-dimensionalized

(Section 3.4). The timing of desorption events is determined via a Poisson process given a desorption rate (Section 3.8). Outputs of this method include the desorption angle, the translational energy, and the internal energies of the desorbing  $\text{H}_2$  molecule (Section 3.9).

This work is a significant step forward for at least seven reasons. First, desorption modeling has not been available in DSMC applications until now. Desorption has been ignored in the DSMC community due to its complexity. The methods presented here can be directly applied to DSMC applications as a desorption boundary condition. Second, the timing of desorption events can now be coupled with CT simulations to model temporal desorption, a necessary requirement for system-level desorption simulations. Third, a non-dimensionalization scheme is developed for use with the CT method. Not a single non-dimensionalization scheme was found in the literature. Fourth, this work considers all of the contributing TS locations and weights them accordingly. Previous work only considered one TS location when implementing Keck’s method. Fifth, a truncated MB distribution is developed (with its accompanying accept-reject form) to determine initial conditions at the TS locations. Sixth, state-resolved average translational energies are accurately modeled without the need for resource-intensive Gaussian Weighting (GW). Finally, the absorption energy barrier is shown to significantly contribute to the translational energy of desorbing molecules, but has little effect on their rotational and vibrational energies.

### 3.1 $\text{H}_2\text{-Cu(100)}$ System

$\text{H}_2\text{-Cu}$  is the simplest chemisorption desorption system to model [126], and the most thoroughly studied example of activated adsorption [114]. It is a fully-activated system, with activation barriers ranging from 0.5 to 1.0 eV [11; 126]. The strength of these barriers ensures that there is virtually no desorption (adsorption) on  $\text{H}_2\text{-Cu}$



**Figure 7.** The unit cube for an FCC crystal structure. The lattice parameter  $a$  is defined as the average equilibrium length of the unit cube. The top face is highlighted to show the (100) surface.

at room temperature [5]. Since these average barriers have been shown to be only weakly dependent on surface coverage [166], the assumption that desorption events occur independently will be asserted here. This assumption equivalently describes a system in which desorption events are dependent upon one another, but where there is (almost) zero surface coverage.

When describing the surface of a crystal, it is important to identify how the crystal is cut in reference to the unit cube. Copper exhibits a face-centered cubic (FCC) crystalline structure, shown in Figure 7 as a unit cube. Copper atoms are placed at each corner of the cube and at the center of each of the six faces. As a brief introduction to the nomenclature, the (111) surface is found by cutting the unit cube parallel to the plane defined by the vector  $\langle x, y, z \rangle = \langle 1, 1, 1 \rangle$  and passing through the points  $(x, y, z) = (a, 0, 0)$ ,  $(0, a, 0)$ , and  $(0, 0, a)$ , where  $a$  is the lattice parameter, or the average equilibrium length of the unit cube. Similarly, the (100) face (see Figure 7) is parallel to the plane defined by the vector  $\langle 1, 0, 0 \rangle$  and the point  $(1, 0, 0)$ . Note that since all six faces of an FCC crystal are identical, the (100), (010), and (001) surfaces are equivalent.

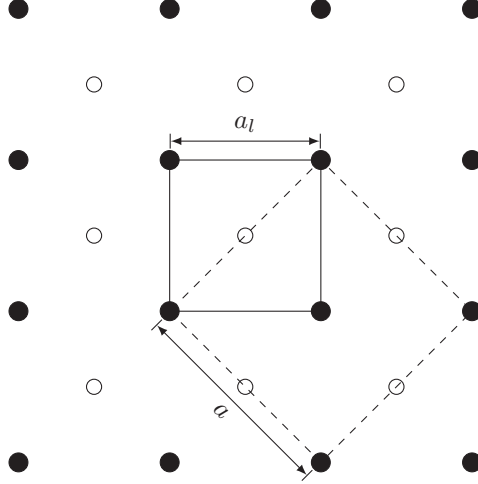


Figure 8. A comparison of the unit cube and cuboid on the Cu(100) surface. Filled circles represent the copper atoms in the top layer, while empty circles represent the layer of copper atoms immediately below the surface layer. The unit cube from Figure 7 is shown with dashed lines, including the typical lattice parameter  $a$ . However, the smaller unit cuboid enclosed within the solid lines, with its corresponding distance  $a_l$ , is the unit cell to which reference is made in this work.

The unit surface cell utilized in this work, shown in Figure 8, differs slightly from the unit cube defined in Figure 7. The unit cell is a rectangular cuboid, the smallest repeating unit on the (100) FCC surface. By inspection, the length  $a_l$  is calculated from the relation  $a_l = a/\sqrt{2}$ . The experimental value  $a$  for copper is  $a = 3.609 \text{ \AA}$  [174], so that  $a_l = 2.552 \text{ \AA}$ .<sup>1</sup>

### 3.2 Potential Energy Surface (PES)

The interaction between a molecule and a surface is described by the PES, from which one can calculate the relevant forces. Then, with appropriate initial conditions, the molecule's position and velocity can also be determined. Diatomic hydrogen has six degrees of freedom, and therefore a 6D PES is required for a full description of the molecular motion [44; 45].

Wiesenekker et al. [174] developed a 6D PES to describe the molecule-surface

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<sup>1</sup>In units of the Bohr radius  $a_0$ ,  $a = 6.820 a_0$  and  $a_l = 4.822 a_0$ .

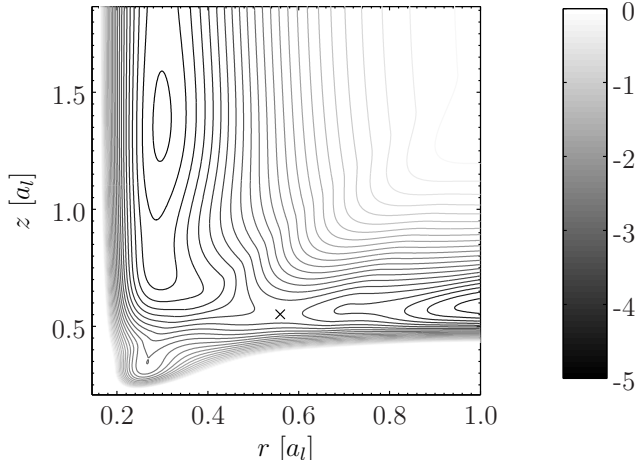


Figure 9. An example 2D slice of the full PES. Taken at  $x = a_l$ ,  $y = a_l/2$ ,  $\theta = 90^\circ$ , and  $\phi = 90^\circ$ , corresponding to the case where hydrogen atoms are adsorbed on top of adjacent copper atoms, and desorbed as  $\text{H}_2$  above a bridge site. The TS is located at the saddle point, indicated by an  $\times$ . The contours represent potential energy in units of eV. The reaction path would follow the path of lowest potential energy from the adsorbed state ( $r = a_l$ ) to the desorbed state ( $z = 1.87 a_l$ ), passing through the TS. Note that the  $\text{H}_2\text{-Cu(100)}$  system exhibits early (late) barriers to desorption (adsorption) [139].

interaction for the  $\text{H}_2\text{-Cu(100)}$  system. The PES is based on slab calculations with the GGA in DFT with an RS assumption (bulk atoms are frozen in time and space), and is expressed as an analytical fit, allowing for a high degree of flexibility, and bypassing the need for computationally intensive on-the-fly DFT calculations. The full 6D PES is achieved by splicing together eight 2D PES slices [175; 176], which are individually anchored to experimental results. This 6D PES was specifically tailored to be accurate in the region between the gas phase and the TS (the location or zone where the individual adatoms combine into a molecule). Within the TS, the PES is fairly accurate, and between the TS and the surface, the PES is inaccurate. However, since results will focus on desorption properties (with initial conditions specified at the TS), the region where the PES is inaccurate will not play a large enough role to be a concern.

The 6D Wiesenekker PES is constructed in two stages. First, eight 2D PES slices are calculated as functions of  $r$  (interatomic bond length) and  $z$  (shortest distance

from the center of mass of the molecule to the surface) for various molecular orientations and desorption paths. The eight 2D PESs are then combined into a 6D PES by solving sets of linear equations. The details of this process are provided below for the convenience of the reader.

Four of the eight 2D PES slices ( $V_{bh90}$ ,  $V_{bt90}$ ,  $V_{hb90}$ , and  $V_{tb90}$ ) are created by summing the contributions from a two-body potential  $V_2$  and a three-body potential  $V_3$ ,

$$V(r, z) = V_2(r, z) + V_3(r, z), \quad (35)$$

where the two- and three-body potentials are constructed similarly,

$$V_2(r, z) = \begin{cases} V_2^A(r, z), & \zeta < \zeta_0 - \Delta\zeta, \\ f_c(\zeta)V_2^A(r, z) + [1 - f_c(\zeta)]V_2^B(r, z), & \zeta_0 - \Delta\zeta \leq \zeta \leq \zeta_0 + \Delta\zeta, \\ V_2^B(r, z), & \zeta > \zeta_0 + \Delta\zeta, \end{cases} \quad (36)$$

and

$$V_3(r, z) = \begin{cases} V_3^A(r, z), & \zeta < \zeta_0 - \Delta\zeta, \\ f_c(\zeta)V_3^A(r, z) + [1 - f_c(\zeta)]V_3^B(r, z), & \zeta_0 - \Delta\zeta \leq \zeta \leq \zeta_0 + \Delta\zeta, \\ V_3^B(r, z), & \zeta > \zeta_0 + \Delta\zeta, \end{cases} \quad (37)$$

and  $f_c(\zeta)$  is the switching function, defined by

$$f_c(\zeta) = \frac{1 + \cos \chi}{2}, \quad (38)$$

with

$$\chi = \frac{\pi}{2} \left[ \frac{\zeta - (\zeta_0 - \Delta\zeta)}{\Delta\zeta} \right], \quad (39)$$

and

$$\zeta = \tan^{-1} \left( \frac{z - z_{\text{ref}}}{r - r_{\text{ref}}} \right). \quad (40)$$

Values for the above parameters are given in Reference [174] as  $z_{\text{ref}} = 18.3 a_0$ ,  $r_{\text{ref}} = 11.0 a_0$ ,  $\zeta_0 = 61.5^\circ$ , and  $\Delta\zeta = 2.5^\circ$ . The two-body expressions  $V_2^A$  and  $V_2^B$  are superpositions of attractive ( $V_{\text{att}}$ ) and repulsive ( $V_{\text{rep}}$ ) potentials,

$$V_2^A(r, z) = V_{\text{att}}(r) + V_{\text{rep}}(z), \quad (41)$$

$$V_2^B(r, z) = 2V_{\text{att}}(z) + V_{\text{rep}}(r). \quad (42)$$

$V_2^A$  represents the  $\text{H}_2$  molecule as it desorbs, so that the molecule experiences an attractive force between the two hydrogen atoms but a repulsive interaction with the surface. On the other hand,  $V_2^B$  represents the two H atoms before they combine into an  $\text{H}_2$  molecule, so that both an attractive interaction with the surface, and a repulsive interaction between the atoms, exist. The attractive potential is fit to a modified Rydberg form,

$$V_{\text{att}}(\rho) = -D_e \left( 1.0 + a_1\rho_{\text{att}} + a_2\rho_{\text{att}}^2 + a_3\rho_{\text{att}}^3 \right) \exp(-a_4\rho_{\text{att}}), \quad (43)$$

where  $\rho_{\text{att}} = r - r_e$  in Equation (41), but  $\rho_{\text{att}} = z - z_e$  in Equation (42), and the Pauli repulsion  $V_{\text{rep}}$  is expressed as

$$V_{\text{rep}}(\rho_{\text{rep}}) = a \exp(-b\rho_{\text{rep}}), \quad (44)$$

where  $\rho_{\text{rep}} = r$  in Equation (41),  $\rho_{\text{rep}} = z$  in Equation (42), and the remaining parameters are found in Tables II and III in Reference [174]. The actual fitting is contained within the three-body expressions  $V_3^A$  and  $V_3^B$ , which represent the energy differences between the GGA energy and the two-body potential, fitted with a least-

squares procedure in the following expressions,

$$V_3^A(r, z) = P(s_1, s_2) [1.0 - \tanh(\gamma_1 s_1)] [1.0 - \tanh(\gamma_2 s_2)], \quad (45)$$

$$V_3^B(r, z) = P(s_1, s_2) [1.0 - \tanh(\gamma_1 s_1)] [1.0 - \tanh(\gamma_2 s_2)], \quad (46)$$

where  $P(s_1, s_2)$  is the analytical curve fit,

$$\begin{aligned} P(s_1, s_2) = & c_0 + c_1 s_1 + c_2 s_2 \\ & + c_{11} s_1^2 + c_{12} s_1 s_2 + c_{22} s_2^2 \\ & + c_{111} s_1^3 + c_{112} s_1^2 s_2 + c_{122} s_1 s_2^2 + c_{222} s_2^3 \\ & + c_{1111} s_1^4 + c_{1112} s_1^3 s_2 + c_{1122} s_1^2 s_2^2 + c_{1222} s_1 s_2^3 + c_{2222} s_2^4 \\ & + c_{11112} s_1^4 s_2 + c_{11122} s_1^3 s_2^2 + c_{11222} s_1^2 s_2^3 + c_{12222} s_1 s_2^4, \end{aligned} \quad (47)$$

with  $s_1 = r - r_0$  and  $s_2 = z - z_0$ . Note that if  $r < r_{3b}$ , then  $r = r_{3b}$ . Likewise, if  $z < z_{3b}$ , then  $z = z_{3b}$ . All of the parameters for  $V_3^A$  and  $V_3^B$  are taken from Tables IV and V in Reference [174], respectively.

The remaining four 2D PES slices ( $V_{bh140}$ ,  $V_{bt140}$ ,  $V_{hb140}$ , and  $V_{tb140}$ ) are also superpositions of two-body ( $V_2$ ) and three-body ( $V_3$ ) interactions,

$$V = V_2(r, z, \theta) + V_3(r, z). \quad (48)$$

The two-body potential  $V_2$  is

$$V_2(r, z, \theta) = V_{\text{att}}(r) + V_{\text{rep}}(z, \theta), \quad (49)$$

where  $V_{\text{att}}$  is taken from Equation (43) with  $\rho = r - r_e$  and the parameters from the column labeled  $H_2$  in Table II, Reference [174]. The repulsive potential is dependent

upon  $\theta$ ,

$$V_{\text{rep}}(z, \theta) = \frac{a}{2} [\exp(-bz_1) + \exp(-bz_2)], \quad (50)$$

where  $z_1$  and  $z_2$  are the  $z$ -coordinates (height above the surface) of the individual atoms,

$$z_1 = z - \frac{r \cos \theta}{2}, \quad z_2 = z + \frac{r \cos \theta}{2}, \quad (51)$$

and the parameters  $a$  and  $b$  are found in the column for Cu-H<sub>2</sub> interactions in Table III, Reference [174]. The three-body potential  $V_3^A$  is again calculated from Equations (45) and (47), with  $s_1 = r - r_0$  and  $s_2 = z - z_0$ . If  $r < r_{3b}$  then  $r = r_{3b}$ , and if  $z < z_{3b}$  then  $z = z_{3b}$  (parameters taken from Table VI in Reference [174]).  $V_3$  is determined from,

$$V_3(r, z) = \begin{cases} V_3^A(r, z), & \xi < \xi_0 - \Delta\xi, \\ f_d(\xi)V_3^A(r, z), & \xi_0 - \Delta\xi \leq \xi \leq \xi_0 + \Delta\xi, \\ 0, & \xi > \xi_0 + \Delta\xi, \end{cases} \quad (52)$$

with the help of another switching function  $f_d(\xi)$ , similar to  $f_c(\zeta)$ , where

$$f_d(\xi) = \frac{1 + \cos \chi_2}{2}, \quad (53)$$

$$\chi_2 = \frac{\pi}{2} \left[ \frac{\xi - (\xi_0 - \Delta\xi)}{\Delta\xi} \right], \quad (54)$$

and

$$\xi = \tan^{-1} \left( \frac{z - z_{\text{ref},2}}{r - r_{\text{ref},2}} \right). \quad (55)$$

Values for the above parameters are given as  $z_{\text{ref},2} = 14.0 a_0$ ,  $r_{\text{ref},2} = 15.0 a_0$ ,  $\xi_0 = 46.15^\circ$ , and  $\Delta\xi = 1.15^\circ$  in Reference [174]. As a side note, there is an error in Figure 5a

in Wiesenekker et al. [174]. That plot does not represent calculations with the parameter  $z_{3b}$ , as it should. Figures 3a, 3b, 4a, 4b, 5a, and 5b in Wiesenekker et al. [174] can be recreated by plotting  $V_{bh90}(r, z)$ ,  $V_{bt90}(r, z)$ ,  $V_{hb90}(r, z)$ ,  $V_{tb90}(r, z)$ ,  $V_{bh140}(r, z, \theta)$ , and  $V_{bt140}(r, z, \theta)$ , respectively.

The 2D expansion coefficients are constructed from the various 2D PES slices. They are grouped according to whether desorption occurs over a bridge site,

$$\begin{aligned}
V_{20b}(r, z, \theta) &= \frac{V_{bh140}(r, z, \theta) + V_{bt140}(r, z, \theta) - V_{bh90}(r, z) - V_{bt90}(r, z)}{2 [Y_2^0(\theta = 140.8^\circ) - Y_2^0(\theta = 90^\circ)]}, \\
V_{00b}(r, z) &= \frac{V_{bh90}(r, z) + V_{bt90}(r, z) - V_{20b}(r, z)Y_2^0(\theta = 90^\circ)}{2Y_0^0}, \\
V_{2eb}(r, z) &= \frac{V_{bh90}(r, z) - V_{bt90}(r, z)}{2Y_2^e(\theta = 90^\circ, \phi = 0^\circ)}, \tag{56}
\end{aligned}$$

a hollow site,

$$\begin{aligned}
V_{20h}(r, z, \theta) &= \frac{V_{hb140}(r, z, \theta) - V_{hb90}(r, z)}{Y_2^0(\theta = 140.8^\circ) - Y_2^0(\theta = 90^\circ)}, \\
V_{00h}(r, z) &= \frac{V_{hb90}(r, z) - V_{20h}(r, z)Y_2^0(\theta = 90^\circ)}{Y_0^0}, \tag{57}
\end{aligned}$$

or a top site,

$$\begin{aligned}
V_{20t}(r, z, \theta) &= \frac{V_{tb140}(r, z, \theta) - V_{tb90}(r, z)}{Y_2^0(\theta = 140.8^\circ) - Y_2^0(\theta = 90^\circ)} \\
V_{00t}(r, z) &= \frac{V_{tb90}(r, z) - V_{20t}(r, z)Y_2^0(\theta = 90^\circ)}{Y_0^0}. \tag{58}
\end{aligned}$$

The 6D expansion coefficients are calculated as,

$$\begin{aligned}
V_{0000}(r, z) &= \frac{\sqrt{A}}{4} [V_{00t}(r, z) + V_{00h}(r, z) + 2V_{00b}(r, z)], \\
V_{0010}(r, z) &= \frac{\sqrt{A}}{4} [V_{00t}(r, z) - V_{00h}(r, z)], \\
V_{0011}(r, z) &= \frac{\sqrt{A}}{8} [V_{00t}(r, z) + V_{00h}(r, z) - 2V_{00b}(r, z)], \\
V_{2000}(r, z, \theta) &= \frac{\sqrt{A}}{4} [V_{20t}(r, z, \theta) + V_{20h}(r, z, \theta) + 2V_{20b}(r, z, \theta)], \\
V_{2010}(r, z, \theta) &= \frac{\sqrt{A}}{4} [V_{20t}(r, z, \theta) - V_{20h}(r, z, \theta)], \\
V_{2011}(r, z, \theta) &= \frac{\sqrt{A}}{8} [V_{20t}(r, z, \theta) + V_{20h}(r, z, \theta) - 2V_{20b}(r, z, \theta)], \\
V_{2e10}(r, z) &= \frac{\sqrt{A}}{2} V_{2eb}(r, z),
\end{aligned} \tag{59}$$

where  $A$  is the area of the surface unit cell, and the spherical harmonics  $Y_l^m(\theta, \phi)$  are defined for degree  $l = 0$  and order  $m = 0$ ,

$$Y_0^0 = \frac{1}{2} \sqrt{\frac{1}{\pi}}, \tag{60}$$

for degree  $l = 2$ ,

$$\begin{aligned}
Y_2^0(\theta) &= \sqrt{\frac{5}{16\pi}} (3 \cos^2 \theta - 1), \\
Y_2^2(\theta, \phi) &= \sqrt{\frac{15}{32\pi}} \sin^2 \theta \exp(2i\phi), \\
Y_2^{-2}(\theta, \phi) &= \sqrt{\frac{15}{32\pi}} \sin^2 \theta \exp(-2i\phi),
\end{aligned} \tag{61}$$

and for a combination of  $Y_2^2$  and  $Y_2^{-2}$  [174],

$$\begin{aligned} Y_2^e(\theta, \phi) &= \sqrt{\frac{1}{2}} [Y_2^2(\theta, \phi) + Y_2^{-2}(\theta, \phi)] , \\ &= \sqrt{\frac{15}{16\pi}} \sin^2 \theta \cos 2\phi, \end{aligned} \tag{62}$$

where  $i$  is the imaginary number  $i = \sqrt{-1}$ .

Finally, the 6D potential  $V_{6D}$  is constructed as,

$$\begin{aligned} V_{6D}(x, y, z, r, \theta, \phi) &= H_{00}(x, y) V_{0000}(r, z) Y_0^0 \\ &\quad + H_{00}(x, y) V_{2000}(r, z, \theta) Y_2^0(\theta) \\ &\quad + H_{10}(x, y) V_{0010}(r, z) Y_0^0 \\ &\quad + H_{10}(x, y) V_{2010}(r, z, \theta) Y_2^0(\theta) \\ &\quad + H_{11}(x, y) V_{0011}(r, z) Y_0^0 \\ &\quad + H_{11}(x, y) V_{2011}(r, z, \theta) Y_2^0(\theta) \\ &\quad + H_{B110}(x, y) V_{2e10}(r, z) Y_2^e(\theta, \phi), \end{aligned} \tag{63}$$

where the plane-wave diffraction functions are defined as [86],

$$\begin{aligned} H_{00}(x, y) &= \sqrt{\frac{1}{A}}, \\ H_{10}(x, y) &= \sqrt{\frac{1}{A}} [\cos Gx + \cos Gy], \\ H_{11}(x, y) &= 2\sqrt{\frac{1}{A}} [\cos Gx \times \cos Gy], \\ H_{B110}(x, y) &= \sqrt{\frac{1}{A}} [\cos Gx - \cos Gy], \\ G &= \frac{2\pi}{a_l}. \end{aligned} \tag{64}$$

Note that in Equation (63),  $V_{6D}$  is not a function of  $A$ .

### 3.3 Classical Trajectory (CT) Formulation

The trajectories of desorbing molecules are modeled classically (CT) with the aid of Hamiltonian mechanics. The equations of motion are derived for a linear rotor molecule, and energies are identified as translational, rotational, or vibrational. Quantum effects are ignored, a necessary assumption for engineering applications. Otherwise, computational times would be prohibitively long for large-scale simulations.

The kinetic energy  $\mathcal{T}$  of a linear rotor molecule of (total) mass  $M$  and reduced mass  $\mu$  is formed by taking the sum of two components: (1) the motion of the center of mass about the origin, and (2) the motion of the atoms about the center of mass. In mathematical terms [164:318, 330],

$$\mathcal{T} = \frac{1}{2}M (\dot{x}^2 + \dot{y}^2 + \dot{z}^2) + \frac{1}{2}\mu \left( \dot{r}^2 + r^2\dot{\theta}^2 + r^2\dot{\phi}^2 \sin^2 \theta \right), \quad (65)$$

where  $(x, y, z)$  is the location of the center of mass in the Cartesian reference frame,  $(r, \theta, \phi)$  is the location of the two atoms in spherical coordinates with respect to the center of mass ( $r$  is the interatomic distance,  $\theta$  is the elevation, and  $\phi$  is the azimuth), and the dot notation indicates a derivative with respect to time  $t$  (see Section 3.6.1 for a further explanation of these coordinates). There are six degrees of freedom since the molecule has two atoms. The second term in Equation (65) can be derived by noting that for a single particle (mass  $m$ ) described by spherical coordinates, its velocity is  $\mathbf{v} = \dot{r} \hat{\mathbf{r}} + r\dot{\theta} \hat{\boldsymbol{\theta}} + r\dot{\phi} \sin \theta \hat{\boldsymbol{\phi}}$  ( $\hat{\mathbf{r}}$ ,  $\hat{\boldsymbol{\theta}}$ , and  $\hat{\boldsymbol{\phi}}$  are the unit-vectors in the  $r$ -,  $\theta$ -, and  $\phi$ -directions, respectively), its kinetic energy is  $\mathbf{v} \cdot \mathbf{v} m/2$ , and the linear rotor system is obtained by replacing  $m$  with  $\mu$ . Note that for a homonuclear system of

mass  $m$ ,  $\mathcal{T}$  is only comprised of the motion of the center of mass about the origin, so that

$$\mathcal{T} = \frac{1}{2}m (\dot{x}^2 + \dot{y}^2 + \dot{z}^2). \quad (66)$$

The Lagrangian  $\mathcal{L} = \mathcal{T} - V$ , in terms of the generalized coordinates  $x, y, z, r, \theta$ , and  $\phi$ , and the generalized velocities  $\dot{x}, \dot{y}, \dot{z}, \dot{r}, \dot{\theta}$ , and  $\dot{\phi}$ , is written as

$$\mathcal{L} = \frac{1}{2}M (\dot{x}^2 + \dot{y}^2 + \dot{z}^2) + \frac{1}{2}\mu \left( \dot{r}^2 + r^2\dot{\theta}^2 + r^2\dot{\phi}^2 \sin^2 \theta \right) - V, \quad (67)$$

where  $V$  is the potential energy of the PES. The generalized momenta are then calculated from

$$p_i = \frac{\partial \mathcal{L}}{\partial \dot{q}_i}, \quad (68)$$

where  $p_i$  and  $\dot{q}_i$  are the generalized momenta and generalized velocities, respectively, so that

$$\begin{aligned} p_x &= \frac{\partial \mathcal{L}}{\partial \dot{x}} = M\dot{x}, & p_r &= \frac{\partial \mathcal{L}}{\partial \dot{r}} = \mu\dot{r}, \\ p_y &= \frac{\partial \mathcal{L}}{\partial \dot{y}} = M\dot{y}, & p_\theta &= \frac{\partial \mathcal{L}}{\partial \dot{\theta}} = \mu r^2 \dot{\theta}, \\ p_z &= \frac{\partial \mathcal{L}}{\partial \dot{z}} = M\dot{z}, & p_\phi &= \frac{\partial \mathcal{L}}{\partial \dot{\phi}} = \mu r^2 \dot{\phi} \sin^2 \theta. \end{aligned} \quad (69)$$

The generalized velocities can then be expressed as  $\dot{x} = p_x/M$ ,  $\dot{y} = p_y/M$ ,  $\dot{z} = p_z/M$ ,

$\dot{r} = p_r/\mu$ ,  $\dot{\theta} = p_\theta/(\mu r^2)$ , and  $\dot{\phi} = p_\phi/(\mu r^2 \sin^2 \theta)$ . Thus,  $\mathcal{T}$  can be rewritten,

$$\begin{aligned}\mathcal{T} &= \frac{p_x^2 + p_y^2 + p_z^2}{2M} + \frac{p_r^2}{2\mu} + \frac{p_\theta^2}{2\mu r^2} + \frac{p_\phi^2}{2\mu r^2 \sin^2 \theta} \\ &= \frac{p_x^2 + p_y^2 + p_z^2}{2M} + \frac{p_r^2}{2\mu} + \frac{\mathcal{J}^2}{2I},\end{aligned}\tag{70}$$

where  $I = \mu r^2$  is the reduced moment of inertia, and the rotational angular momentum  $\mathcal{J}$  is defined by

$$\mathcal{J}^2 = p_\theta^2 + \frac{p_\phi^2}{\sin^2 \theta}.\tag{71}$$

The Hamiltonian  $\mathcal{H}$  (or total energy) can now be calculated from the Legendre transformation of  $\mathcal{L}$  [131],

$$\begin{aligned}\mathcal{H} &= \sum_i p_i \dot{q}_i - \mathcal{L}, \\ &= p_x \dot{x} + p_y \dot{y} + p_z \dot{z} + p_r \dot{r} + p_\theta \dot{\theta} + p_\phi \dot{\phi} - (\mathcal{T} - V), \\ &= \frac{p_x^2 + p_y^2 + p_z^2}{M} + \frac{p_r^2}{\mu} + \frac{p_\theta^2}{\mu r^2} + \frac{p_\phi^2}{\mu r^2 \sin^2 \theta} - \mathcal{T} + V, \\ &= 2\mathcal{T} - \mathcal{T} + V, \\ &= \mathcal{T} + V, \\ &= \frac{p_x^2 + p_y^2 + p_z^2}{2M} + \frac{p_r^2}{2\mu} + \frac{\mathcal{J}^2}{2I} + V.\end{aligned}\tag{72}$$

Equation (72) could have also been obtained from the knowledge that since the molecule is acting under a conservative force (i.e. a closed system),  $\mathcal{H} = \mathcal{T} + V$ .

The rotational angular momentum  $\mathcal{J}$  from Equation (71) is

$$\mathcal{J}^2 = p_\theta^2 + \frac{p_\phi^2}{\sin^2 \theta}. \quad (71)$$

From the Schrödinger equation for a rigid rotor, we have that  $\mathcal{J}^2 = J(J+1)\hbar^2$ . Thus the rotational number  $J$  is

$$J = -\frac{1}{2} + \sqrt{\frac{\mathcal{J}^2}{\hbar^2} + \frac{1}{4}}, \quad (73)$$

where  $\hbar = h/(2\pi)$  is the reduced Planck constant, and  $h$  is the Planck constant. Note that  $J$  must be calculated with the dimensional value of  $\mathcal{J}$ .

The vibrational number  $n_v$  is calculated from the vibrational term of the Hamiltonian  $H_v = p_r^2/(2\mu)$  in Equation (72),

$$n_v = \frac{H_v}{h\nu} - \frac{1}{2}, \quad (74)$$

where  $\nu$  is the vibrational frequency. The value of  $\nu$  for  $\text{H}_2$  in the gas phase is taken here to be  $\nu = 1.242 \times 10^{14}$  cycles per second (see Table 1 in Reference [131]).

Hamilton's equations then uniquely describe the time evolution of the molecule,

$$\dot{q}_i = \frac{\partial \mathcal{H}}{\partial p_i}, \quad \dot{p}_i = -\frac{\partial \mathcal{H}}{\partial q_i}, \quad (75)$$

so that

$$\begin{aligned}
\dot{x} &= \frac{\partial \mathcal{H}}{\partial p_x} = \frac{p_x}{M}, & \dot{r} &= \frac{\partial \mathcal{H}}{\partial p_r} = \frac{p_r}{\mu}, \\
\dot{y} &= \frac{\partial \mathcal{H}}{\partial p_y} = \frac{p_y}{M}, & \dot{\theta} &= \frac{\partial \mathcal{H}}{\partial p_\theta} = \frac{p_\theta}{I}, \\
\dot{z} &= \frac{\partial \mathcal{H}}{\partial p_z} = \frac{p_z}{M}, & \dot{\phi} &= \frac{\partial \mathcal{H}}{\partial p_\phi} = \frac{p_\phi}{I \sin^2 \theta},
\end{aligned} \tag{76}$$

and

$$\begin{aligned}
\dot{p}_x &= -\frac{\partial \mathcal{H}}{\partial x} = -\frac{\partial V}{\partial x}, & \dot{p}_r &= -\frac{\partial \mathcal{H}}{\partial r} = \frac{p_\theta^2}{\mu r^3} + \frac{p_\phi^2}{\mu r^3 \sin^2 \theta} - \frac{\partial V}{\partial r}, \\
\dot{p}_y &= -\frac{\partial \mathcal{H}}{\partial y} = -\frac{\partial V}{\partial y}, & \dot{p}_\theta &= -\frac{\partial \mathcal{H}}{\partial \theta} = \frac{p_\phi^2 \cos \theta}{\mu r^2 \sin^3 \theta} - \frac{\partial V}{\partial \theta}, \\
\dot{p}_z &= -\frac{\partial \mathcal{H}}{\partial z} = -\frac{\partial V}{\partial z}, & \dot{p}_\phi &= -\frac{\partial \mathcal{H}}{\partial \phi} = -\frac{\partial V}{\partial \phi}.
\end{aligned} \tag{77}$$

### 3.4 Non-Dimensionalization

Since simulations are conducted on the molecular scale, equations are non-dimensionalized to avoid unnecessary numerical errors. The non-dimensional parameters reported here are not available elsewhere in the literature. Therefore, this formulation is novel and would improve the accuracy of current CT implementations if employed.

Non-dimensionalization is achieved with the aid of the mass of the molecule  $M$ , the reduced mass of the molecule  $\mu$ , the length of the surface unit cell  $a_l$ , the most-probable speed of a gas-phase molecule under equilibrium conditions  $u_{\text{mp}} = \sqrt{2kT_s/M} = \sqrt{2R_{\text{sp}}T_s}$ , a characteristic energy  $kT_s$ , the most probable time that

it takes one gas-phase molecule under equilibrium conditions to cross the unit cell  $t_{\text{mp}} = a_l/u_{\text{mp}}$ , two different characteristic linear momenta ( $Mu_{\text{mp}}$  and  $\mu u_{\text{mp}}$ ), and one characteristic angular momentum  $a_l \mu u_{\text{mp}}$ . Here,  $k$  is the Boltzmann constant,  $T_s$  is the temperature of the surface, and  $R_{\text{sp}} = k/M$  is the specific gas constant. The non-dimensional parameters (denoted by an asterisk superscript) are

$$\begin{aligned}
x^* &= \frac{x}{a_l}, & y^* &= \frac{y}{a_l}, & z^* &= \frac{z}{a_l}, \\
r^* &= \frac{r}{a_l}, & \theta^* &= \theta, & \phi^* &= \phi, \\
p_x^* &= \frac{p_x}{Mu_{\text{mp}}}, & p_y^* &= \frac{p_y}{Mu_{\text{mp}}}, & p_z^* &= \frac{p_z}{Mu_{\text{mp}}}, \\
p_r^* &= \frac{p_r}{\mu u_{\text{mp}}}, & p_\theta^* &= \frac{p_\theta}{a_l \mu u_{\text{mp}}}, & p_\phi^* &= \frac{p_\phi}{a_l \mu u_{\text{mp}}}, \\
V^* &= \frac{V}{kT_s}, & \mathcal{H}^* &= \frac{\mathcal{H}}{kT_s}, & \mathcal{J}^* &= \frac{\mathcal{J}}{a_l \mu u_{\text{mp}}}, \\
t^* &= \frac{t}{t_{\text{mp}}}.
\end{aligned} \tag{78}$$

Note that even though  $\theta$  and  $\phi$  are already non-dimensionalized (radians), they are included for completeness.

With these parameters, the non-dimensionalized Hamiltonian  $\mathcal{H}^*$  is

$$\mathcal{H}^* = p_x^{*2} + p_y^{*2} + p_z^{*2} + \frac{\mu}{M} p_r^{*2} + \frac{\mu}{M} \frac{\mathcal{J}^{*2}}{r^{*2}} + V^*, \tag{79}$$

where the non-dimensionalized rotational angular momentum  $\mathcal{J}^*$  is

$$\mathcal{J}^{*2} = p_\theta^{*2} + \frac{p_\phi^{*2}}{\sin^2 \theta^*}, \quad (80)$$

and the non-dimensionalized Hamilton's equations of motion are

$$\begin{aligned} \dot{x}^* &= p_x^*, & \dot{r}^* &= p_r^*, \\ \dot{y}^* &= p_y^*, & \dot{\theta}^* &= \frac{p_\theta^*}{r^{*2}}, \\ \dot{z}^* &= p_z^*, & \dot{\phi}^* &= \frac{p_\phi^*}{r^{*2} \sin^2 \theta^*}, \end{aligned} \quad (81)$$

and

$$\begin{aligned} \dot{p}_x^* &= -\frac{1}{2} \frac{dV^*}{dx^*}, & \dot{p}_r^* &= \frac{p_\theta^{*2}}{r^{*3}} + \frac{p_\phi^{*2}}{r^{*3} \sin^2 \theta^*} - \frac{1}{2} \frac{M}{\mu} \frac{dV^*}{dr^*}, \\ \dot{p}_y^* &= -\frac{1}{2} \frac{dV^*}{dy^*}, & \dot{p}_\theta^* &= \frac{p_\phi^{*2} \cos \theta^*}{r^{*2} \sin^3 \theta^*} - \frac{1}{2} \frac{M}{\mu} \frac{dV^*}{d\theta^*}, \\ \dot{p}_z^* &= -\frac{1}{2} \frac{dV^*}{dz^*}, & \dot{p}_\phi^* &= -\frac{1}{2} \frac{M}{\mu} \frac{dV^*}{d\phi^*}, \end{aligned} \quad (82)$$

where the dot notation indicates a derivative with respect to the non-dimensionalized

time  $t^*$ , and

$$\begin{aligned}\dot{x}^* &= \frac{\dot{x}}{u_{\text{mp}}}, & \dot{y}^* &= \frac{\dot{y}}{u_{\text{mp}}}, & \dot{z}^* &= \frac{\dot{z}}{u_{\text{mp}}}, \\ \dot{r}^* &= \frac{\dot{r}}{u_{\text{mp}}}, & \dot{\theta}^* &= \dot{\theta} t_{\text{mp}}, & \dot{\phi}^* &= \dot{\phi} t_{\text{mp}},\end{aligned}\tag{83}$$

and

$$\begin{aligned}\dot{p}_x^* &= \frac{t_{\text{mp}}}{M u_{\text{mp}}} \dot{p}_x, & \dot{p}_y^* &= \frac{t_{\text{mp}}}{M u_{\text{mp}}} \dot{p}_y, & \dot{p}_z^* &= \frac{t_{\text{mp}}}{M u_{\text{mp}}} \dot{p}_z, \\ \dot{p}_r^* &= \frac{t_{\text{mp}}}{\mu u_{\text{mp}}} \dot{p}_r, & \dot{p}_\theta^* &= \frac{\dot{p}_\theta}{\mu u_{\text{mp}}^2}, & \dot{p}_\phi^* &= \frac{\dot{p}_\phi}{\mu u_{\text{mp}}^2}.\end{aligned}\tag{84}$$

For the case where the atoms have equal mass (i.e.  $m = m_1 = m_2$ ),  $\mu = m/2$  and  $\mu/M = 1/4$ . This quantity,  $\mu/M$ , is the product of the non-dimensionalized masses of each atom,

$$\frac{\mu}{M} = \frac{m_1}{M} \frac{m_2}{M},\tag{85}$$

and as such could be considered another non-dimensional parameter.

### 3.5 Keck's Method

To calculate a trajectory, one must supply initial conditions. Intuitively, one might set the initial conditions of the adsorbate, then integrate the equations of motion forwards in time until (and if) the molecule desorbs. However, it was found early on that by applying initial conditions in this manner, only a small number of trajectories would even reach the reaction zone, or TS. Keck found an easier way. Basing his work on Transition State Theory (TST) [89; 139], Keck noted that every valid reaction must

pass through the TS. Hence, he chose to set initial conditions *at* the TS, rather than outside of it. He then integrated the equations of motion forwards and backwards in time, observing whether or not the full trajectory represented a chemical reaction. If not, it was rejected. He noticed that most of the trajectories were accepted. Due to its success, Keck’s method is applied here [18; 72; 73].

A couple of observations can be made at this point about Keck’s method. First, microscopic reversibility must hold in order to integrate the equations of motion backwards in time. Second, an assumption must be made about the initial conditions. Fortunately, it has been shown that an equilibrium distribution is present at the TS when products are absent [3]. For desorption, an absence of products implies that desorption is occurring in a vacuum, a valid assumption here.

### 3.6 Transition State (TS) Determination

TS locations must be determined before Keck’s method can be implemented. The calculation of TS locations continues to be an active area for research [111]. As an example, one study on the H<sub>2</sub>-Cu(111) system found that all desorption events had a single TS location in common [131], made possible perhaps by the simplified PES model (LEPS) employed. Meanwhile, another author has warned against assuming that desorption occurs from a well-defined TS since the surface provides a distribution of varying activation barriers [60].

With those studies in mind, this research has taken the following approach for the 6D Wiesenekker PES on H<sub>2</sub>-Cu(100), similar to that found in Reference [131]. First, it is assumed that hydrogen is adsorbed to the surface either on top (T), hollow (H), or bridge (B) sites. Then, the 20 unique H-H adsorbate configurations with the adatom separation distance  $r$  within the bounds  $a_l \leq r \leq 2a_l$  are considered. For each configuration, approximate reaction paths are calculated with their corresponding TS

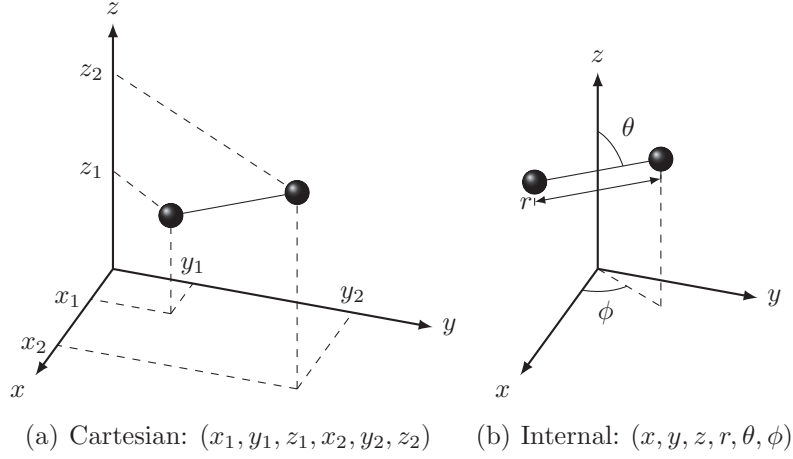
locations via the Chain algorithm. Since each configuration usually exhibits a poorly-defined TS, the average TS location is assumed to be the TS through which every reaction path passes for that configuration. Finally, of the 20 configurations, only four were found to significantly contribute to thermal desorption (see Figure 13).

The determination of TS locations involves multiple coordinate systems, explained in Section 3.6.1, and the use of the Hessian matrix, explained in Section 3.6.2. The details of the Chain algorithm are presented in Section 3.6.3, after which an explanation of how average TS locations are determined for the  $\text{H}_2\text{-Cu(100)}$  system is given in Section 3.6.4.

### 3.6.1 Different Coordinate Systems.

There are multiple coordinate systems employed in CT simulations. They are introduced here to familiarize the reader with the different nomenclature. First, there is the Cartesian coordinate system, which explicitly describes the  $(x, y, z)$  coordinates of every atom in the molecule. For a molecule composed of two atoms with individual Cartesian coordinates  $(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2)$ , the molecule's location and orientation in Cartesian coordinates is  $(x_1, y_1, z_1, x_2, y_2, z_2)$ , as shown in Figure 10(a).

Then there are the internal coordinates of a molecules. Again, for a molecule with only two atoms, its location and orientation can be described by the Cartesian coordinates of its center of mass  $(x, y, z)$  and the spherical coordinates of the atoms  $(r, \theta, \phi)$  with respect to the center of mass. In internal coordinates, the molecule is represented by  $(x, y, z, r, \theta, \phi)$ , shown in Figure 10(b). To convert from Cartesian to



**Figure 10.** Cartesian and internal coordinate systems for a molecule with two atoms. The  $x$ -,  $y$ -, and  $z$ -coordinates for the internal system are at the center of mass of the molecule.

internal coordinates,

$$\begin{aligned}
 x &= \frac{x_1 + x_2}{2}, & r &= \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2}, \\
 y &= \frac{y_1 + y_2}{2}, & \theta &= \cos^{-1} \left( \frac{z_1 - z_2}{r} \right), \\
 z &= \frac{z_1 + z_2}{2}, & \phi &= \tan^{-1} \left( \frac{y_1 - y_2}{x_1 - x_2} \right),
 \end{aligned} \tag{86}$$

and to convert from internal to Cartesian coordinates,

$$\begin{aligned}
 x_1 &= x + \Delta x, & x_2 &= x - \Delta x, \\
 y_1 &= y + \Delta y, & y_2 &= y - \Delta y, \\
 z_1 &= z + \Delta z, & z_2 &= z - \Delta z,
 \end{aligned} \tag{87}$$

where

$$\begin{aligned}\Delta x &= \frac{r}{2} \sin \theta \cos \phi, \\ \Delta y &= \frac{r}{2} \sin \theta \sin \phi, \\ \Delta z &= \frac{r}{2} \cos \theta.\end{aligned}\tag{88}$$

It is also necessary to convert the time derivatives between the two coordinate systems,  $(\dot{x}_1, \dot{y}_1, \dot{z}_1, \dot{x}_2, \dot{y}_2, \dot{z}_2)$  and  $(\dot{x}, \dot{y}, \dot{z}, \dot{r}, \dot{\theta}, \dot{\phi})$ , respectively. To convert the derivatives from Cartesian to internal coordinates, take the derivative with respect to time of Equation (86) while recalling the chain rule,

$$\begin{aligned}\dot{x} &= \frac{\dot{x}_1 + \dot{x}_2}{2}, & \dot{y} &= \frac{\dot{y}_1 + \dot{y}_2}{2}, & \dot{z} &= \frac{\dot{z}_1 + \dot{z}_2}{2}, \\ \dot{r} &= \frac{(x_1 - x_2)(\dot{x}_1 - \dot{x}_2) + (y_1 - y_2)(\dot{y}_1 - \dot{y}_2) + (z_1 - z_2)(\dot{z}_1 - \dot{z}_2)}{r}, \\ \dot{\theta} &= \frac{-(\dot{z}_1 - \dot{z}_2) + \left(\frac{z_1 - z_2}{r}\right) \dot{r}}{r \sqrt{1 - \left(\frac{z_1 - z_2}{r}\right)^2}}, \\ \dot{\phi} &= \frac{-\left(\frac{y_1 - y_2}{x_1 - x_2}\right) (\dot{x}_1 - \dot{x}_2) + \dot{y}_1 - \dot{y}_2}{(x_1 - x_2) \left[1 + \left(\frac{y_1 - y_2}{x_1 - x_2}\right)^2\right]}.\end{aligned}\tag{89}$$

To convert the derivatives from internal to Cartesian systems, take the derivative

with respect to time of Equations (87)-(88),

$$\begin{aligned}
\dot{x}_1 &= \dot{x} + \frac{1}{2} \dot{r} \sin \theta \cos \phi + \frac{1}{2} r \dot{\theta} \cos \theta \cos \phi - \frac{1}{2} r \dot{\phi} \sin \theta \sin \phi, \\
\dot{y}_1 &= \dot{y} + \frac{1}{2} \dot{r} \sin \theta \sin \phi + \frac{1}{2} r \dot{\theta} \cos \theta \sin \phi + \frac{1}{2} r \dot{\phi} \sin \theta \cos \phi, \\
\dot{z}_1 &= \dot{z} + \frac{1}{2} \dot{r} \cos \theta - \frac{1}{2} r \dot{\theta} \sin \theta, \\
\dot{x}_2 &= \dot{x} - \frac{1}{2} \dot{r} \sin \theta \cos \phi - \frac{1}{2} r \dot{\theta} \cos \theta \cos \phi + \frac{1}{2} r \dot{\phi} \sin \theta \sin \phi, \\
\dot{y}_2 &= \dot{y} - \frac{1}{2} \dot{r} \sin \theta \sin \phi - \frac{1}{2} r \dot{\theta} \cos \theta \sin \phi - \frac{1}{2} r \dot{\phi} \sin \theta \cos \phi, \\
\dot{z}_2 &= \dot{z} - \frac{1}{2} \dot{r} \cos \theta + \frac{1}{2} r \dot{\theta} \sin \theta.
\end{aligned} \tag{90}$$

Note that the non-dimensionalized forms of Equations (86)-(90) are obtained by simply replacing each variable with its non-dimensional analog.

Even though Equations (86)-(90) are non-dimensionalized in a straight-forward manner, the non-dimensionalizations of the momenta in Cartesian coordinates must be handled more carefully. Each atom is represented with its own set of coordinates. Since each atom has its own mass ( $m_1$  or  $m_2$ ), the generalized momenta must be non-dimensionalized by the mass of the atom they are representing:

$$\begin{aligned}
p_{x_1} &= m_1 \dot{x}_1, & p_{y_1} &= m_1 \dot{y}_1, & p_{z_1} &= m_1 \dot{z}_1, \\
p_{x_2} &= m_2 \dot{x}_2, & p_{y_2} &= m_2 \dot{y}_2, & p_{z_2} &= m_2 \dot{z}_2,
\end{aligned} \tag{91}$$

and

$$\begin{aligned}
p_{x_1}^* &= \frac{p_{x_1}}{u_{\text{mp}}\sqrt{m_1 M}}, & p_{y_1}^* &= \frac{p_{y_1}}{u_{\text{mp}}\sqrt{m_1 M}}, & p_{z_1}^* &= \frac{p_{z_1}}{u_{\text{mp}}\sqrt{m_1 M}}, \\
p_{x_2}^* &= \frac{p_{x_2}}{u_{\text{mp}}\sqrt{m_2 M}}, & p_{y_2}^* &= \frac{p_{y_2}}{u_{\text{mp}}\sqrt{m_2 M}}, & p_{z_2}^* &= \frac{p_{z_2}}{u_{\text{mp}}\sqrt{m_2 M}}.
\end{aligned} \tag{92}$$

Or, in terms of velocities,

$$\begin{aligned}
p_{x_1}^* &= \dot{x}_1^* \sqrt{\frac{m_1}{M}}, & p_{y_1}^* &= \dot{y}_1^* \sqrt{\frac{m_1}{M}}, & p_{z_1}^* &= \dot{z}_1^* \sqrt{\frac{m_1}{M}}, \\
p_{x_2}^* &= \dot{x}_2^* \sqrt{\frac{m_2}{M}}, & p_{y_2}^* &= \dot{y}_2^* \sqrt{\frac{m_2}{M}}, & p_{z_2}^* &= \dot{z}_2^* \sqrt{\frac{m_2}{M}},
\end{aligned} \tag{93}$$

where for equal masses  $m_1 = m_2 = M/2$ , and  $\sqrt{m_1/M} = \sqrt{m_2/M} = 1/\sqrt{2}$ .

Another way to describe the dynamics of the molecule is in the mass-weighted Cartesian (MWC) coordinate system [160:196-208]. Each of the Cartesian coordinates are multiplied by the square root of their respective atom's mass,

$$(x_1\sqrt{m_1}, y_1\sqrt{m_1}, z_1\sqrt{m_1}, x_2\sqrt{m_2}, y_2\sqrt{m_2}, z_2\sqrt{m_2}),$$

where  $m_1$  and  $m_2$  are the masses of the two atoms in the molecule. The benefit of the MWC coordinate system can be seen by considering the Euler-Lagrange equations in Cartesian coordinates,

$$\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}_j} \right) = \frac{\partial \mathcal{L}}{\partial q_j}, \tag{94}$$

where  $q_j$  are the generalized coordinates  $(x_1, y_1, z_1, x_2, y_2, z_2)$ , and  $\dot{q}_j$  are the generalized velocities  $(\dot{x}_1, \dot{y}_1, \dot{z}_1, \dot{x}_2, \dot{y}_2, \dot{z}_2)$ , and the Lagrangian  $\mathcal{L} = \mathcal{T} - V$  is composed of the kinetic energy  $\mathcal{T}$  and the potential energy  $V$ . The kinetic energy  $\mathcal{T}$  is a function

of the  $\dot{q}_j$  only,

$$\mathcal{T} = \frac{1}{2} \sum_j m_j \dot{q}_j^2. \quad (95)$$

The potential energy  $V$  can be expressed in the harmonic approximation as

$$V = V_0 + \frac{1}{2} \sum_{j,k} q_j H_{j,k} q_k, \quad (96)$$

where the linear term is neglected,  $V_0$  is the potential energy  $V$  evaluated at some initial configuration, and  $H_{j,k}$  is the  $(j, k)$  element of the Hessian matrix  $\mathbf{H}$  (evaluated at the same initial configuration). By noting that  $\mathcal{T} = \mathcal{T}(\dot{q})$  and  $V = V(q)$ , Equation (94) can be expressed as

$$\frac{d}{dt} \left( \frac{\partial \mathcal{T}}{\partial \dot{q}_j} \right) = \frac{\partial V}{\partial q_j},$$

$$m_j \ddot{q}_j = \sum_k H_{j,k} q_k, \quad (97)$$

where the double-dot notation indicates a second derivative with respect to time  $t$ . However, Equation (97) can be further simplified by expressing it in MWC coordinates,

$$\ddot{\beta}_j = \sum_k H'_{j,k} \beta_k, \quad (98)$$

where  $\beta_j$  is the  $j^{\text{th}}$  element of the generalized coordinates,

$$(x_1 \sqrt{m_1}, y_1 \sqrt{m_1}, z_1 \sqrt{m_1}, x_2 \sqrt{m_2}, y_2 \sqrt{m_2}, z_2 \sqrt{m_2}),$$

and the MWC Hessian  $\mathbf{H}'$  has elements

$$H'_{j,k} = \frac{H_{j,k}}{\sqrt{m_j m_k}}. \quad (99)$$

For the case where all of the atoms in the molecule have the same mass (i.e.  $m_j = m_k$ ), or for the case where the molecule only has two atoms,  $\mathbf{H}'$  is just a constant multiple of  $\mathbf{H}$ . The MWC generalized momenta  $p'_i$  can be expressed in terms of the momenta in Cartesian coordinates  $p_i$ , so that,

$$\begin{aligned} p'_{x_1} &= \frac{p_{x_1}}{\sqrt{m_1}}, & p'_{y_1} &= \frac{p_{y_1}}{\sqrt{m_1}}, & p'_{z_1} &= \frac{p_{z_1}}{\sqrt{m_1}}, \\ p'_{x_2} &= \frac{p_{x_2}}{\sqrt{m_2}}, & p'_{y_2} &= \frac{p_{y_2}}{\sqrt{m_2}}, & p'_{z_2} &= \frac{p_{z_2}}{\sqrt{m_2}}. \end{aligned} \quad (100)$$

The non-dimensionalized MWC momenta  $p'^*_i$  are then,

$$\begin{aligned} p'^*_{x_1} &= \frac{p'_{x_1}}{u_{\text{mp}}\sqrt{M}}, & p'^*_{y_1} &= \frac{p'_{y_1}}{u_{\text{mp}}\sqrt{M}}, & p'^*_{z_1} &= \frac{p'_{z_1}}{u_{\text{mp}}\sqrt{M}}, \\ p'^*_{x_2} &= \frac{p'_{x_2}}{u_{\text{mp}}\sqrt{M}}, & p'^*_{y_2} &= \frac{p'_{y_2}}{u_{\text{mp}}\sqrt{M}}, & p'^*_{z_2} &= \frac{p'_{z_2}}{u_{\text{mp}}\sqrt{M}}. \end{aligned} \quad (101)$$

It is interesting to note that the non-dimensional values of the Cartesian and the MWC momenta are identical (e.g.  $p^*_{x_1} = p'^*_{x_1}$ ).

### 3.6.2 Hessian Matrix.

The Hessian matrix  $\mathbf{H}$  is an important quantity because it arises in the quadratic term of a Taylor series expansion. For the Taylor series expansion of the potential energy  $V$  of the 6D PES [160:196-208],

$$V(\mathbf{q}_0 + \Delta\mathbf{q}) = V(\mathbf{q}_0) + \sum_k \left. \frac{\partial V}{\partial q_k} \right|_{\mathbf{q}_0} \Delta q_k + \frac{1}{2} \sum_{j,k} \Delta q_j H_0^{j,k} \Delta q_k + \cdots, \quad (102)$$

where the  $\Delta q$ -terms represent steps of the Cartesian coordinates

$$\Delta \mathbf{q} = (\Delta x_1, \Delta y_1, \Delta z_1, \Delta x_2, \Delta y_2, \Delta z_2), \quad (103)$$

$\mathbf{q}_0$  is some initial system configuration, and  $H_0^{j,k}$  is defined as the  $(j, k)$  element of the Hessian  $\mathbf{H}$  evaluated at  $\mathbf{q}_0$ ,

$$H_0^{j,k} = \left. \frac{\partial^2 V}{\partial q_j \partial q_k} \right|_{\mathbf{q}_0}. \quad (104)$$

Thus, the Hessian contains information concerning the second derivatives, and is the multi-dimensional analog to the one-dimensional second derivative. The non-dimensional form for a Hessian element in Cartesian coordinates  $H_{j,k}^*$  is

$$H_{i,j}^* = \frac{a_l^2}{kT_s} H_{i,j}. \quad (105)$$

$\mathbf{H}$  is a symmetric matrix, so there is a unitary matrix  $\mathbf{U}$  that diagonalizes  $\mathbf{H}$ ,  $(\mathbf{U}^T \mathbf{H} \mathbf{U})_{k,l} = \delta_{k,l} \lambda_k$ , where  $\delta_{k,l}$  is the delta function,  $\lambda_k$  is an eigenvalue of  $\mathbf{H}$ , and the  $^T$  superscript denotes the matrix transpose. In addition, the columns of  $\mathbf{U}$  are the eigenvectors of  $\mathbf{H}$ . For non-linear molecules,  $3N - 6$  of the eigenvalues will be non-zero, while for linear molecules,  $3N - 5$  of the eigenvalues will be non-zero. For a linear molecule with two atoms ( $N = 2$ ), only one eigenvalue will be non-zero, and five will be zero. Those five trivial eigenvalues correspond to the translational and rotational motions of the molecule. If the non-zero eigenvalue is actually negative, then the location  $\mathbf{q}_0 + \Delta \mathbf{q}$  is a saddle point on the PES. Hence, the problem of finding a saddle point on the PES (which can indicate a TS) is equivalent to locating where the Hessian has a negative eigenvalue.

To discretize Equation (104), a double-sided finite difference is employed. Since

the Hessian is a symmetric matrix,  $H_0^{j,k} = H_0^{k,j}$ . To reduce numerical error, each element of the Hessian is calculated by averaging the two equal values,  $H_0^{j,k}$  and  $H_0^{k,j}$ , so that

$$H_0^{j,k} = \frac{\left. \frac{\partial V}{\partial q_j} \right|_{\mathbf{q}_0 + \Delta \mathbf{q}_k/2} - \left. \frac{\partial V}{\partial q_j} \right|_{\mathbf{q}_0 - \Delta \mathbf{q}_k/2}}{2\Delta q_k} + \frac{\left. \frac{\partial V}{\partial q_k} \right|_{\mathbf{q}_0 + \Delta \mathbf{q}_j/2} - \left. \frac{\partial V}{\partial q_k} \right|_{\mathbf{q}_0 - \Delta \mathbf{q}_j/2}}{2\Delta q_j}, \quad (106)$$

where the configuration  $\mathbf{q}_0 + \Delta \mathbf{q}_j/2$  is the initial configuration  $\mathbf{q}_0$  perturbed only in the  $j$ -direction by the value  $\Delta q_j/2$ , and the first-order derivatives are calculated with a central finite difference scheme,

$$\begin{aligned} \left. \frac{\partial V}{\partial q_j} \right|_{\mathbf{q}_0 + \Delta \mathbf{q}_j/2} &= \frac{V\left(\mathbf{q}_0 + \frac{\Delta \mathbf{q}_j}{2} + \Delta \mathbf{q}_j\right) - V\left(\mathbf{q}_0 + \frac{\Delta \mathbf{q}_j}{2} - \Delta \mathbf{q}_j\right)}{2\Delta q_j}, \\ &= \frac{V\left(\mathbf{q}_0 + \frac{3}{2}\Delta \mathbf{q}_j\right) - V\left(\mathbf{q}_0 - \frac{1}{2}\Delta \mathbf{q}_j\right)}{2\Delta q_j}, \end{aligned} \quad (107)$$

and

$$\begin{aligned} \left. \frac{\partial V}{\partial q_j} \right|_{\mathbf{q}_0 - \Delta \mathbf{q}_j/2} &= \frac{V\left(\mathbf{q}_0 - \frac{\Delta \mathbf{q}_j}{2} + \Delta \mathbf{q}_j\right) - V\left(\mathbf{q}_0 - \frac{\Delta \mathbf{q}_j}{2} - \Delta \mathbf{q}_j\right)}{2\Delta q_j}, \\ &= \frac{V\left(\mathbf{q}_0 + \frac{1}{2}\Delta \mathbf{q}_j\right) - V\left(\mathbf{q}_0 - \frac{3}{2}\Delta \mathbf{q}_j\right)}{2\Delta q_j}. \end{aligned} \quad (108)$$

The non-dimensionalized form of Equation (106) is obtained by simply starring each of the quantities.

When assigning initial values at the TS, it is important to consider the eigenmode

basis of  $\mathbf{H}$ . Mathematically, the eigenmode basis can be seen by employing the unitary matrix  $\mathbf{U}$  in Equation (102),

$$\begin{aligned}
V(\mathbf{q}_0 + \Delta\mathbf{q}) &= V(\mathbf{q}_0) + \mathbf{g}_0^T \Delta\mathbf{q} + \frac{1}{2} \Delta\mathbf{q}^T \mathbf{H}_0 \Delta\mathbf{q} + \cdots, \\
&= V(\mathbf{q}_0) + \mathbf{g}_0^T \mathbf{U} \mathbf{U}^T \Delta\mathbf{q} + \frac{1}{2} \Delta\mathbf{q}^T \mathbf{U} \mathbf{U}^T \mathbf{H}_0 \mathbf{U} \mathbf{U}^T \Delta\mathbf{q} + \cdots, \\
&= V(\mathbf{q}_0) + \mathbf{G}_0^T \mathbf{Q} + \frac{1}{2} \mathbf{Q}^T \boldsymbol{\lambda}_0 \mathbf{Q} + \cdots,
\end{aligned} \tag{109}$$

since  $\mathbf{U} \mathbf{U}^T = \mathbf{U}^T \mathbf{U} = \mathbf{I}$  by definition ( $\mathbf{I}$  is the identity matrix),  $\mathbf{g}_0$  is the gradient of  $V$  at  $\mathbf{q}_0$  with components

$$g_0^k = \left. \frac{\partial V}{\partial q_k} \right|_{\mathbf{q}_0}, \tag{110}$$

$\boldsymbol{\lambda}_0$  is a diagonal matrix with the eigenvalues of  $\mathbf{H}_0$  as elements of the diagonal,  $\mathbf{Q} = \mathbf{U}^T \Delta\mathbf{q}$  is the component of the step  $\Delta\mathbf{q}$  along the eigenvector basis set, and  $\mathbf{G}_0 = \mathbf{U}^T \mathbf{g}_0$  is the component of the gradient  $\mathbf{g}_0$  along the eigenvector basis set. For a linear rotor,  $\mathbf{H}'$  is a constant multiple of  $\mathbf{H}$ , and therefore the unit-length eigenvectors of  $\mathbf{H}'$  and  $\mathbf{H}$  are identical.

### 3.6.3 Chain Algorithm.

The determination of TS locations and reaction paths are active research areas [30; 142], and fall under the more general Mountain Pass Theorem [64]. The Chain algorithm, developed by Liotard and Penot [94; 95], addresses a specific need to calculate the TS location for a (approximate) reaction path, described by a chain of discrete points.

The Chain algorithm takes as inputs the initial (adsorbed) and final (desorbed) configurations, as well as the known PES. An initial path is chosen that connects the initial and final configurations, which is then modified until the TS is found. The TS is located at the saddle point with the largest energy value, while a saddle point

occurs where a negative eigenvalue of the Hessian matrix exists. The (approximate) Hessian is calculated on-the-fly via gradient calculations and the Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm [136:521-523]. Note that the linear dependence threshold mentioned by Liotard and Penot [94; 135] is overcome by instead utilizing BFGS.

The initial reaction path is created with two straight lines connecting known starting and ending configurations  $M_1$  and  $M_2$ , respectively, which are both minima on the PES. The intermediate point connecting  $M_1$  with  $M_2$  is taken as the starting configuration  $M_1$  but with the intermolecular distance of the ending configuration  $M_2$ . In other words, if the starting configuration  $M_1$  in internal coordinates is  $(x_1, y_1, z_1, r_1, \theta_1, \phi_1)$ , and the ending configuration  $M_2$  is  $(x_3, y_3, z_3, r_3, \theta_3, \phi_3)$ , then the intermediate configuration is  $(x_1, y_1, z_1, r_3, \theta_1, \phi_1)$  since  $r_3$  is the intermolecular distance of  $M_2$ . For each line, a linear interpolation between each of the coordinates creates the chain of points. In this work, each line was divided equally into 10 segments.

The calculation steps of the Chain algorithm are:

1. Set the threshold parameters ( $l_1 = 0.01 l_2$  and  $l_0 = 0.01 l_1$ ).
2. Determine the point  $\mathbf{p}_H$  which currently approximates the TS. This is done by evaluating the potential  $V$  at every point on the chain.  $\mathbf{p}_H$  is the point with the highest value of  $V$ .
3. Calculate the negative gradient of the potential at  $\mathbf{p}_H$ ,  $\mathbf{G} = -\nabla V(\mathbf{p}_H)$ , in Cartesian coordinates. Each of the partial derivatives is calculated with a central difference,

$$\frac{\partial V}{\partial x_i} = \frac{V(x_i + \Delta x) - V(x_i - \Delta x)}{2\Delta x}, \quad (111)$$

where  $\Delta x = l_0$ .

4. Update the Hessian  $\mathbf{H}$  via the BFGS algorithm. If the point  $\mathbf{p}_H$  has not moved significantly in the most recent step, then  $\mathbf{H}$  is not updated. In other words, if  $\|\mathbf{p}_{H_{\text{new}}} - \mathbf{p}_{H_{\text{old}}}\| < \epsilon_1$ , then  $\mathbf{H}_{\text{new}} = \mathbf{H}_{\text{old}}$ . For this work,  $\epsilon_1 = 10^{-5} a_l$ .
5. Calculate the quadratic direction,  $\mathbf{Q} = \mathbf{H}^{-1}\mathbf{G}$ , and determine if  $\mathbf{Q}$  is operative.
  - (a)  $\mathbf{Q}$  is operative if the index<sup>2</sup> of  $\mathbf{H}$  is unity,  $\|\mathbf{Q}\| < l_2$ , and  $\mathbf{Q} \cdot \mathbf{G} > \epsilon_2 \|\mathbf{Q}\| \|\mathbf{G}\|$ , where  $\epsilon_2 = 0.1$ .
6. If the magnitude of the negative gradient  $\|\mathbf{G}\|$  falls below a given threshold  $g_c$  (i.e.  $\|\mathbf{G}\| < g_c$ ), then stop;  $\mathbf{p}_H$  is the TS. The gradient threshold  $g_c$ , below which the PES is assumed to be flat, is set at  $0.04 \text{ kT}_s a_l^{-1}$ .
7. Select the step direction  $\mathbf{D}$  from either the quadratic direction  $\mathbf{Q}$ , the direction of the projected gradient  $\mathbf{G}^*$ , or one of the unit vectors  $\mathbf{A}$  of the links adjacent to  $\mathbf{p}_H$ .
  - (a) The quadratic direction  $\mathbf{Q}$  is chosen if:
    - i.  $\mathbf{Q}$  is operative,  $\mathbf{Q}$  is decreasing ( $\mathbf{Q} \cdot \mathbf{G} > 0$ ), and  $\|\mathbf{G}\| < g_1$ , where  $g_1$  is a pre-convergence threshold on the gradient norm ( $g_1 = 10g_c$  here);  
or
    - ii.  $\mathbf{Q}$  is operative,  $\mathbf{Q}$  is decreasing, and  $\theta > \eta$ , where  $\theta$  is the angle between  $\mathbf{G}$  and the pseudo-tangent to the path  $\mathbf{T}$  ( $\theta \in [0, 90^\circ]$ ), and  $\eta$  is a threshold value ( $\eta = 30^\circ$  here). The pseudo-tangent  $\mathbf{T}$  is defined as the unit vector parallel to the straight line between  $\mathbf{p}_{H-1}$  and  $\mathbf{p}_{H+1}$ ,  
or

$$\mathbf{T} = \frac{\mathbf{p}_{H+1} - \mathbf{p}_{H-1}}{\|\mathbf{p}_{H+1} - \mathbf{p}_{H-1}\|}. \quad (112)$$

---

<sup>2</sup>The index of  $\mathbf{H}$  is defined as the number of negative eigenvalues of  $\mathbf{H}$ .

(b) The projected gradient  $\mathbf{G}^*$  is defined as  $\mathbf{G}^* = \mathbf{G} - (\mathbf{G} \cdot \mathbf{T})\mathbf{T}$ . The direction of  $\mathbf{G}^*$  is chosen if:

- i.  $Q$  is operative,  $Q$  is increasing, and  $\theta > \eta$ ; or
- ii.  $Q$  is not operative,  $Q$  is decreasing, and  $\theta > \eta$ ; or
- iii.  $Q$  is not operative or  $\|\mathbf{G}\| < g_1$ , and  $\theta \leq \eta$ .

(c) The more increasing unit vector  $\mathbf{A}$  of the two links adjacent to  $\mathbf{p}_H$  is chosen if:

- i.  $Q$  is operative,  $Q$  is increasing, and  $\|\mathbf{G}\| < g_1$ ;
- ii.  $Q$  is not operative,  $Q$  is increasing, and  $\theta > \eta$ ; or
- iii. If neither unit vector  $\mathbf{A}$  is increasing, then no search direction is chosen for this step. Skip to Step 10, with  $\mathbf{p}^*$  chosen as the midpoint between  $\mathbf{p}_{H-1}$  and  $\mathbf{p}_{H+1}$ , or

$$\mathbf{p}^* = \frac{\mathbf{p}_{H-1} + \mathbf{p}_{H+1}}{2}. \quad (113)$$

8. Conduct a line search to find the optimal step length  $\lambda \in [l_0, l_2]$ . In the direction  $\mathbf{D}$ , evaluate  $V$  at 10 equally-spaced points beginning at  $l_0$  and ending at  $l_2$ . The point with the lowest value of  $V$  determines the step length.

9. Calculate the new point  $\mathbf{p}^*$ , where

$$\mathbf{p}^* = \mathbf{p}_H + \lambda \frac{\mathbf{D}}{\|\mathbf{D}\|}. \quad (114)$$

10. Replace  $\mathbf{p}_H$  with  $\mathbf{p}^*$ .

11. If necessary, reconstruct the chain by eliminating meanders and/or inserting new points.

12. Decrease the value of  $l_2$ . Here,  $l_{2_{\text{new}}} = 0.9 l_{2_{\text{old}}}$ . The value of  $l_2$  for the first step is 2 Å.

13. Return to Step 1.

The BFGS algorithm (see Step 4) is a quasi-Newton method, since it utilizes not the actual Hessian but an approximation to it. BFGS assumes that the PES can be approximated (locally) by the Taylor series expansion in Equation (109), so that at step  $i$ ,

$$V(\mathbf{q}_0^i + \mathbf{q}) \approx V(\mathbf{q}_0^i) + \mathbf{g}_0^{iT} \mathbf{q} + \frac{1}{2} \mathbf{q}^T \mathbf{H}_0^i \mathbf{q}. \quad (115)$$

At each subsequent step  $i + 1$ , the Hessian  $\mathbf{H}_0^i$  is updated to  $\mathbf{H}_0^{i+1}$  with information gained from the step taken  $(\mathbf{q}_0^{i+1} - \mathbf{q}_0^i)$ , and from the gradients at steps  $i$  and  $i + 1$ , which are  $\mathbf{g}_0^i$  and  $\mathbf{g}_0^{i+1}$ , respectively. In mathematical terms,

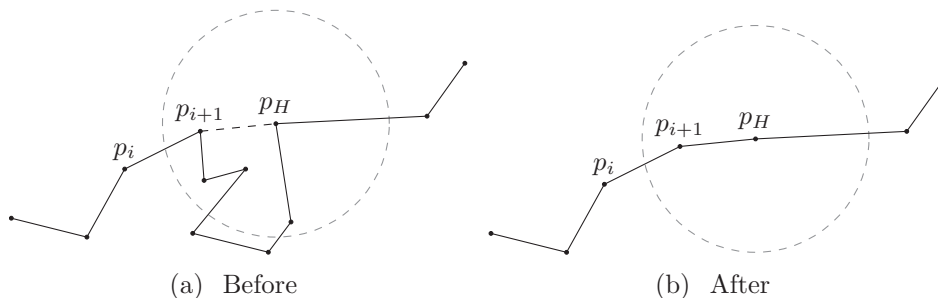
$$\begin{aligned} \mathbf{H}_0^{i+1} = & \mathbf{H}_0^i + \frac{(\mathbf{q}_0^{i+1} - \mathbf{q}_0^i) \otimes (\mathbf{q}_0^{i+1} - \mathbf{q}_0^i)}{(\mathbf{q}_0^{i+1} - \mathbf{q}_0^i) \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)} - \frac{[\mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)] \otimes [\mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)]}{(\mathbf{g}_0^{i+1} - \mathbf{g}_0^i) \cdot \mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)} \\ & + [(\mathbf{g}_0^{i+1} - \mathbf{g}_0^i) \cdot \mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)] \mathbf{u} \otimes \mathbf{u}, \end{aligned} \quad (116)$$

where the vector  $\mathbf{u}$  is defined as

$$\mathbf{u} = \frac{(\mathbf{q}_0^{i+1} - \mathbf{q}_0^i)}{(\mathbf{q}_0^{i+1} - \mathbf{q}_0^i) \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)} - \frac{\mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)}{(\mathbf{g}_0^{i+1} - \mathbf{g}_0^i) \cdot \mathbf{H}_0^i \cdot (\mathbf{g}_0^{i+1} - \mathbf{g}_0^i)}, \quad (117)$$

and  $\otimes$  is the outer product of two vectors, resulting in a matrix. For example, the  $(i, j)$  component of  $\mathbf{v} \otimes \mathbf{w}$  is  $v_i w_j$ . The Hessian at the first step is taken to be the identity matrix.

To avoid severe kinks in the reaction path, the chain is reconstructed and/or new points are inserted in Step 11, as shown in Figure 11. For the insertion of new points, if either of the two links connected to  $\mathbf{p}_H$  have lengths longer than  $l_2$ , then a new point



**Figure 11.** Two-dimensional representation of a meander bypass in the Chain algorithm. Here,  $p_{i+1}$  is inside the hypersphere, so the link is made between  $p_{i+1}$  and  $p_H$ . However, if  $p_i$  happened to be the point in the hypersphere, then the new link would be made between  $p_i$  and  $p_H$ .

is either placed halfway through that link, or at a distance  $l_2$  from  $p_H$ , whichever is smaller. To bypass meanders in the chain, a hypersphere is constructed around point  $p_H$  of radius  $r_{HS}$  (set to  $2 \text{ \AA}$ ). Beginning at the start of the chain (with  $M_1$  as the first point of the first link), each link is tested in order until  $p_H$  is reached. Let point  $p_i$  be the start of the link under consideration, and let  $p_{i+1}$  be the end of the same link. If the link crosses the hypersphere, then the chain is modified in one of two ways. Either  $p_H$  is connected with  $p_i$  or with  $p_{i+1}$ , depending on whether  $p_{i+1}$  is outside of the hypersphere or not, respectively. As a result, all of the points that existed on the chain between  $p_i$  (or  $p_{i+1}$ ) and  $p_H$  are permanently removed. The same procedure is repeated, except that it is begun at the end of the chain (with  $M_2$  as the last point of the last link).

An example reaction path calculated with the Chain algorithm is presented in Figure 12. The adsorbed configuration corresponds to  $r = a_l$ , while the desorbed configuration is located at  $z = 1.8 a_l$ . The  $x$ -,  $y$ -,  $\theta$ -, and  $\phi$ -values are constant in this example. The atoms start in the adsorbed configuration, travel along the 2D PES to the TS, and then continue on to the desorbed configuration. The calculated reaction path is approximate; output of the Chain algorithm is only the TS location.

The example shown in Figure 12 is only for two dimensions. Even though Chain

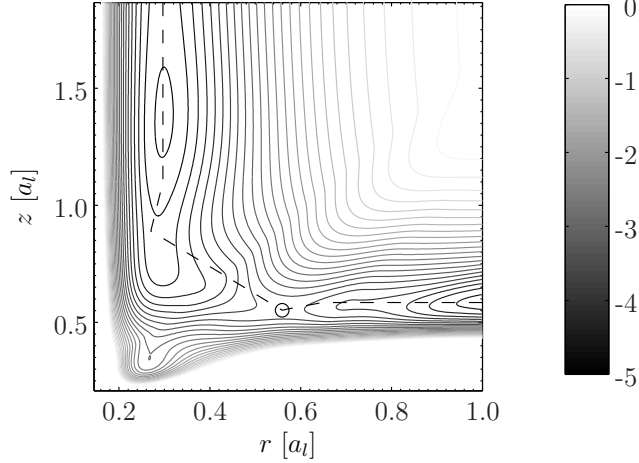


Figure 12. An example path calculation with the Chain algorithm. The important data point is the TS location (circle). The approximate reaction path (dashed line) is only useful in arriving at the TS location, and is discarded after the calculations are completed.  $x$ ,  $y$ ,  $\theta$ , and  $\phi$  are constant in this example. The adsorbed configuration is at  $r = a_l$ , while the desorbed configuration is at  $z = 1.8 a_l$ . Contour lines are expressed in units of eV. This contour plot is a 2D slice of the 6D PES for  $x = a_l$ ,  $y = a_l/2$ ,  $\theta = 90^\circ$ , and  $\phi = 90^\circ$ , corresponding to the case wherein hydrogen atoms are adsorbed on top of adjacent copper atoms, and desorbed as  $\text{H}_2$  above a bridge site.

calculations must consider all six dimensions, each link can be uniquely described by five 2D lines,

$$\alpha_j = m_j \alpha_1 + b_j, \quad (118)$$

where  $\alpha_1$  is one of the six Cartesian coordinates (not necessarily  $x_1$ ),  $j$  is an index that cycles through the remaining five coordinates,  $b_j$  is the  $\alpha_j$ -intercept (when  $\alpha_1 = 0$ ), and  $m_j$  is the slope of the 2D line,

$$m_j = \frac{\alpha_{j,i+1} - \alpha_{j,i}}{\alpha_{1,i+1} - \alpha_{1,i}}, \quad (119)$$

where the subscripts  $i+1$  and  $i$  signify that the coordinates are from points  $\mathbf{p}_{i+1}$  and  $\mathbf{p}_i$ , respectively.  $\alpha_1$  must be chosen such that  $m_j$  is defined. However, unless  $\mathbf{p}_i$  and  $\mathbf{p}_{i+1}$  are the same point, there will be at least one coordinate that can be chosen as  $\alpha_1$ .

The equations to determine whether or not a link crosses the hypersphere were

not found in the literature. Thus, they were derived for this work. The equation for the hypersphere, centered at  $(\alpha_{1c}, \alpha_{2c}, \alpha_{3c}, \alpha_{4c}, \alpha_{5c}, \alpha_{6c})$ , is

$$\begin{aligned}
r_{\text{HS}}^2 &= \sum_{j=1}^6 (\alpha_j - \alpha_{jc})^2, \\
&= (\alpha_1 - \alpha_{1c})^2 + \sum_{j=2}^6 (\alpha_j - \alpha_{jc})^2, \\
&= (\alpha_1 - \alpha_{1c})^2 + \sum_{j=2}^6 [(m_j \alpha_1 + b_j) - \alpha_{jc}]^2.
\end{aligned} \tag{120}$$

Solving for  $\alpha_1$ , the generalized quadratic formula is obtained,

$$\alpha_1 = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}, \tag{121}$$

where

$$\begin{aligned}
a &= \frac{1}{2} \left( 1 + \sum_{j=2}^6 m_j^2 \right), \\
b &= \sum_{j=2}^6 b_j m_j - \sum_{j=2}^6 m_j \alpha_{jc} - \alpha_{1c}, \\
c &= \frac{1}{2} \left[ \sum_{j=2}^6 (\alpha_{jc} - b_j)^2 + \alpha_{1c}^2 - r_{\text{HS}}^2 \right].
\end{aligned} \tag{122}$$

Once  $\alpha_1$  is calculated, the rest of the crossing-point coordinates can be determined from Equation (118). The crossing points are then tested to determine if they lie on the link in question or not.

#### 3.6.4 TS Locations for $\text{H}_2\text{-Cu}(100)$ .

This section describes in detail how adsorbed and desorbed configurations are chosen (inputs to the Chain algorithm), and how the most important (average) TS

locations are selected for CT simulations.

The Chain algorithm requires adsorbed and desorbed configurations as inputs. Adsorbate configurations are assumed to be a subset of the symmetry adsorption sites on the copper surface. A symmetry site is one in which the hydrogen atom is adsorbed either above a bridge site (B), a hollow site (H), or directly on top of a copper atom (T). Since there are many unique symmetry configurations on a surface, only a subset of symmetry configurations may be chosen to determine the most likely TS locations that contribute to  $\text{H}_2$  desorption. If only symmetry configurations where the two H atoms are no less than  $a_l$  (but no more than  $2a_l$ ) apart,  $a_l \leq r \leq 2a_l$ , are considered, then there are 20 unique symmetry configurations. All of the adsorbed configurations are initially assumed to be parallel to the surface ( $\phi = 90^\circ$ ) while the height above the surface  $z$  is optimized by minimizing  $V$  while keeping  $x$ ,  $y$ ,  $r$ ,  $\theta$ , and  $\phi$  constant. The configurations are then relaxed by minimizing  $V$  within a hypersphere of radius  $r_{\text{HS}} = 0.01 a_l$  by taking an optimal step in the direction of the negative gradient of the potential  $\mathbf{G} = -\nabla V$  [see Equation (111)]. This optimization strategy is also referred to as a line search. A line search is therefore conducted to find the optimal step length  $\lambda \in [r_{\text{HS}}/100, r_{\text{HS}})$  in the direction of  $\mathbf{G}$ . To do so,  $V$  is evaluated at 10 equally-spaced points beginning at  $r_{\text{HS}}/100$  and ending at  $r_{\text{HS}}$ . If it is found that  $\lambda = r_{\text{HS}}$ , then  $r_{\text{HS}}$  is increased by  $0.01 a_l$ , and the line search is repeated until  $r_{\text{HS}}/100 \leq \lambda < r_{\text{HS}}$ . This technique is similar to the trust radius method [35]. Examples of initial and relaxed adsorbed configurations are shown in Figure 13.

Desorbed configurations have as their height  $z = 9 a_0 = 1.87 a_l$  (above which the influence of the PES is negligible), and as their intermolecular bond length  $r = 0.291 a_l$ , which is the equilibrium bond distance for an  $\text{H}_2$  molecule in the gas phase [175]. The remaining locations and angles are chosen randomly with a uniform distribution over the unit cell and the unit sphere, so that  $x \in [0, a_l]$ ,  $y \in [0, a_l]$ ,

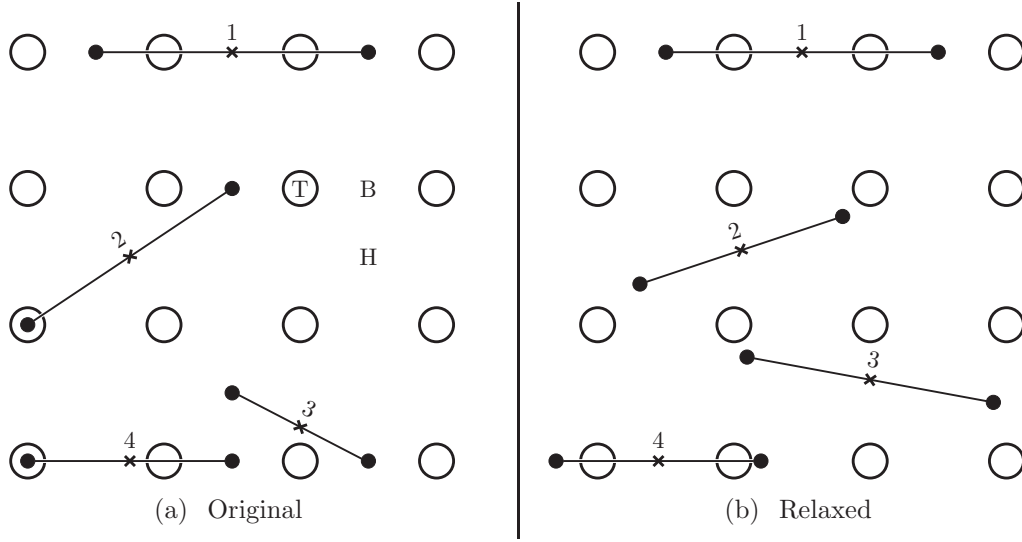


Figure 13. The symmetry sites found to contribute the most to thermal desorption under the CT construct, as seen perpendicular to the  $xy$ -plane. Empty circles represent the copper surface atoms, while filled circles represent adsorbed hydrogen atoms. The numbers correspond to those found in Table 1. The perfectly symmetric (original) configurations are shown in 13(a), where the adsorbed hydrogen atoms are located either on top (T), bridge (B), or hollow (H) sites. After conducting a trust radius calculation on the original configurations, the actual (relaxed) configurations are obtained [shown in 13(b)]. It is interesting to note that the relaxed configurations tend to position the midpoints near bridge sites.

$\theta \in [0, 2\pi]$ , and  $\phi \in [0, \pi]$ . Note that for a random location on the unit sphere,  $\theta$  can be assigned a uniform random angle by  $\theta = 2\pi R_1$ , while  $\phi$  must be assigned a random angle through the relation  $\phi = \cos^{-1}(2R_2 - 1)$ , where  $R_1$  and  $R_2$  are uniform random numbers on  $[0, 1]$ .

Each adsorbed configuration is matched with 5000 random desorbed configurations, from which 5000 TS locations are determined. By taking an average TS location for each symmetry configuration, 20 average TS locations are identified as possible contributors to thermal desorption. To determine which TS locations significantly contribute to thermal desorption, trajectories were calculated for 19 of the 20 configurations<sup>3</sup> at a surface temperature of  $T_s = 1100$  K. The desorption angle results were then compared with experimental values (see Figure 16(a)), and each configuration was weighted to optimize the fit between simulation and experiment. The corresponding relaxed adsorption configurations are shown in Table 1, the weights and average TS locations are shown in Table 2, and a comparison between TS locations and relaxed adsorption configurations is shown in Figure 14. It was found in this research that only four configurations were required to match desorption angle experimental values for that specific temperature. It is assumed that those four configurations dominate thermal desorption over all temperature regimes.

Trajectories were calculated by integrating Equations (82) forwards and backwards in time from the TS according to Keck's method. The ode45 function in MATLAB<sup>TM</sup> solves nonstiff differential equations with fourth-order accuracy, and was used to perform the calculations. It employs the explicit Runge-Kutta (4, 5) formula (the Dormand-Prince pair). As such, ode45 is a one-step solver, meaning that it only requires information from the immediately-preceding step. For integration forward in

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<sup>3</sup>One of the configurations was rejected due to unreasonable simulation times (more than four hours per trajectory on average). That configuration corresponded to the H atoms both adsorbed at bridge sites ( $2a_l$  apart), desorbing as H<sub>2</sub> above the bridge site halfway between them.

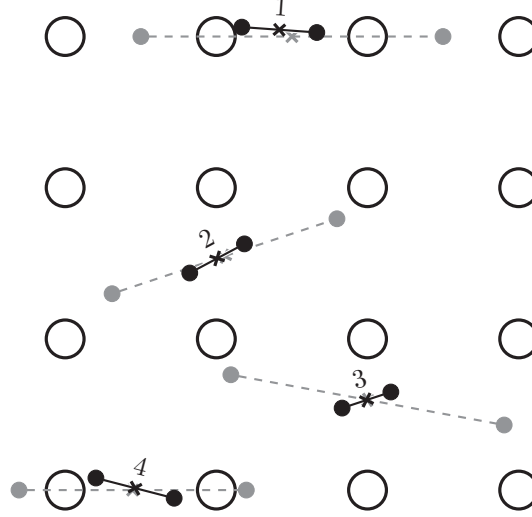


Figure 14. A comparison of the average TS locations (black) and the relaxed adsorption configurations (grey), as seen perpendicular to the  $xy$ -plane. The TS locations tend to have midpoints directly above the midpoints for the relaxed adsorption configurations.

Table 1. Relaxed adsorption symmetry site values.

| Site Label | Internal Coordinates |           |           |           |            |             |
|------------|----------------------|-----------|-----------|-----------|------------|-------------|
|            | $x [a_l]$            | $y [a_l]$ | $z [a_l]$ | $r [a_l]$ | $\theta$   | $\phi$      |
| 1          | 0.50                 | 0.00      | 0.59      | 2.00      | $90^\circ$ | $0^\circ$   |
| 2          | 0.05                 | 0.55      | 0.52      | 1.57      | $90^\circ$ | $18^\circ$  |
| 3          | 0.00                 | 0.60      | 0.48      | 1.84      | $90^\circ$ | $170^\circ$ |
| 4          | 0.45                 | 0.00      | 0.56      | 1.50      | $90^\circ$ | $0^\circ$   |

time from the TS, integration stops when the molecule's height above the surface is  $z = 1.87 a_l$ , which is the criterion for desorption. If, however, the molecule dissociates ( $r > 0.784 a_l$ ) before desorbing, then the trajectory is rejected [131]. For integration backward in time from the TS, integration stops once the molecule has dissociated. Similarly, the molecule cannot desorb before it dissociates in the backwards direction in order for the trajectory to be considered valid.

**Table 2. Average TS locations with their respective weights.**

| TS Label | Weight [%] | Internal Coordinates |           |           |           |          |        |
|----------|------------|----------------------|-----------|-----------|-----------|----------|--------|
|          |            | $x [a_l]$            | $y [a_l]$ | $z [a_l]$ | $r [a_l]$ | $\theta$ | $\phi$ |
| 1        | 44.6       | 0.42                 | 0.04      | 0.71      | 0.50      | 96°      | 176°   |
| 2        | 32.2       | 0.01                 | 0.53      | 0.52      | 0.45      | 115°     | 28°    |
| 3        | 12.2       | 0.99                 | 0.60      | 0.50      | 0.35      | 104°     | 18°    |
| 4        | 11.0       | 0.46                 | 0.01      | 0.60      | 0.54      | 100°     | 165°   |

### 3.7 Initial Conditions

With Keck’s method, a trajectory begins at the TS and is calculated forwards and backwards in time. Therefore, initial conditions correspond to the momenta at the TS location. The momenta are found by first selecting a random value of the molecule’s energy from a truncated MB distribution (adjusted for the energy lost by the adsorbate in overcoming the activation barrier), and then randomly distributing that energy among the six momenta in the MWC Hessian eigenspace with equal probability. The MWC momenta in the Hessian eigenspace are then converted to MWC momenta in the Cartesian eigenspace, after which the momenta are converted to internal coordinates for calculation purposes.

#### 3.7.1 Truncated Maxwell-Boltzmann (MB) Distribution.

The adatoms are assumed to be in equilibrium at the surface temperature, described by the MB distribution  $f_E$ ,

$$f_E dE = 2 \sqrt{\frac{E}{\pi}} \left( \frac{1}{kT_s} \right)^{3/2} \exp \left( -\frac{E}{kT_s} \right) dE, \quad (123)$$

where  $f_E dE$  is the probability that an adatom will have an energy in the range  $E$  to  $E + dE$ . In non-dimensional units,

$$f_{E^*} dE^* = 2 \sqrt{\frac{E^*}{\pi}} \exp(-E^*) dE^*. \quad (124)$$

However, once the molecules arrive at the TS, they have lost some energy. This energy is simply the difference in potential energy between the adsorbed configuration and the TS configuration,  $\Delta V$ . The energy distribution of the molecules at the TS can then be described by a truncated MB distribution  $f_{\text{TS}}$ ,

$$f_{\text{TS}} dE^* = 2 \sqrt{\frac{E^* + \Delta V^*}{\pi}} \exp[-(E^* + \Delta V^*)] dE^*, \quad (125)$$

where Equation (124) has been shifted to the left by  $\Delta V^* = \Delta V/(kT_s)$ , and only positive values of  $E^*$  are valid. Discrete values are chosen randomly from this distribution in an accept-reject manner [20:423-428], which requires the distribution to be normalized by its maximum value. Equation (124) has its maximum at  $E^* = 1/2$ , while Equation (125) peaks at  $E^* = 1/2 - \Delta V^*$  with a value of  $f_{\text{max}}$ ,

$$f_{\text{max}} = \sqrt{\frac{2}{\pi}} \exp\left(-\frac{1}{2}\right). \quad (126)$$

However, this maximum only occurs for  $\Delta V^* \leq 1/2$ . For  $\Delta V^* > 1/2$ , the maximum occurs at  $E^* = 0$  with the value

$$f_{\text{max}} = 2 \sqrt{\frac{\Delta V^*}{\pi}} \exp(-\Delta V^*). \quad (127)$$

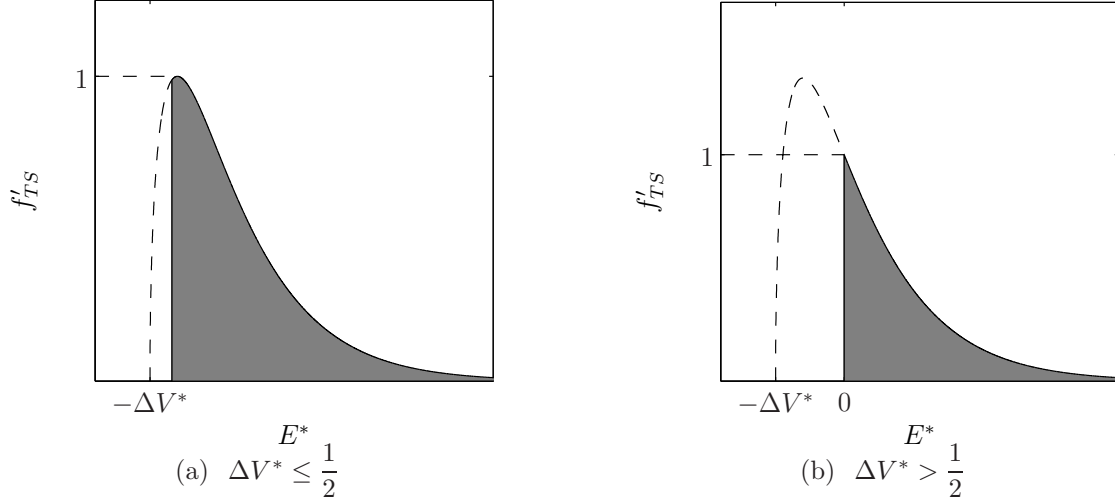
Therefore, the fully normalized and truncated MB distribution  $f'_{\text{TS}}$ , shown in Figure 15, is

$$f'_{\text{TS}} = \begin{cases} [2(E^* + \Delta V^*)]^{1/2} \exp \left[ - \left( E^* + \Delta V^* - \frac{1}{2} \right) \right], & \Delta V^* \leq \frac{1}{2}, \\ \left( \frac{E^*}{\Delta V^*} + 1 \right)^{1/2} \exp(-E^*), & \Delta V^* > \frac{1}{2}. \end{cases} \quad (128)$$

Following the accept-reject method, given  $\Delta V^*$ , a random value of  $E^*$  is chosen from a uniform distribution  $E^* \in [0, E^*_{\text{max}}]$ , where  $E^*_{\text{max}}$  is a discrete approximation for positive infinity. For  $E^*_{\text{max}} = 12$ , the cumulative distribution function (CDF) value at  $E^*_{\text{max}}$  is at most only 0.000025 less than unity, so  $E^*_{\text{max}} = 12$  is the chosen upper limit. A uniform random number is then selected,  $R \in [0, 1]$ . If  $R < f'_{\text{TS}}(E^*)$ , then  $E^*$  is accepted. Otherwise,  $E^*$  is rejected, and another value of  $E^*$  is randomly selected until a value is accepted. Note that in the limit as  $\Delta V^* \rightarrow \infty$ , the normalized distribution approaches an exponential decay curve,  $f'_{\text{TS}} \rightarrow \exp(-E^*)$ . On a practical level, for  $\Delta V^* \geq 10$ , the normalized distribution can reasonably be approximated as  $f'_{\text{TS}} = \exp(-E^*)$ .

### 3.7.2 Mass-Weighted Cartesian (MWC) Hessian Eigenspace.

With  $E^*$  chosen, the initial momenta in the MWC Hessian eigenspace  $p'_{u,i}$  are determined by dividing the energy among the (squared) six momenta randomly from uniform distributions. The subscript  $u$  indicates that the momenta are in the directions of the MWC Hessian eigenvectors  $\bar{u}_i$ . Since the change in the potential energy has already been taken into account,  $E^*$  is equivalent to the non-dimensional kinetic



**Figure 15.** Representations of the fully-normalized and truncated MB distribution  $f'_{TS}$  for different values of  $\Delta V^*$  [see Equation (128)]. The shaded area is the actual distribution, starting at  $E^* = 0$ . The dashed portion of the curve shows where the MB distribution has been truncated, and has as its minimum abscissal value  $-\Delta V^*$ .

energy of the molecule  $\mathcal{T}^*$ , which can be written as

$$\mathcal{T}^* = \sum_{i=1}^6 p'^{*}_{u,i}{}^2. \quad (129)$$

For six random uniform numbers  $R_i \in [0, 1]$  such that,

$$\sum_{i=1}^6 R_i = 1, \quad (130)$$

the momenta can be expressed as,

$$\sum_{i=1}^6 p'^{*}_{u,i}{}^2 = \sum_{i=1}^6 R_i \mathcal{T}^*, \quad (131)$$

such that,

$$p'^{*}_{u,i} = \pm \sqrt{R_i \mathcal{T}^*}. \quad (132)$$

If  $\bar{u}_j$  is the Hessian eigenvector with the negative eigenvalue, then the corresponding momentum  $p'_{u,k}$  should be in the positive direction,

$$p'_{u,k} = \sqrt{R_k \mathcal{T}^*}, \quad (133)$$

since that is the direction of recombination across the TS. The other five momenta, however, retain the  $\pm$  symbol in Equation (132).

The momenta in MWC coordinates  $p'_{c,i}$  are then recovered by multiplying the momenta in the MWC Hessian eigenspace  $p'_{u,j}$  by the Hessian eigenvectors  $\bar{u}_j$ ,

$$p'_{c,i} = \sum_{j=1}^6 \bar{u}_j(i) p'_{u,j}, \quad (134)$$

where  $\bar{u}_j(i)$  is the  $i$ -th element of the eigenvector  $\bar{u}_j$ . Momenta in internal coordinates can then be obtained by the transformations discussed previously [see Equations (76), (78), (90)-(91), and (100)-(101)].

### 3.8 Dynamic Simulation of Desorption Events

As time passes in a real gas-surface system, the coverage on the surface changes due to adsorption, desorption, and permeation. Desorption modeling has been addressed for each event. However, one must calculate when each desorption event occurs. Also, the rate of atoms arriving at the surface via permeation must be identified. Therefore, Section 3.8.1 introduces the topic of permeation and how it can be applied to the current model, and Section 3.8.2 applies MC event timing to the DSMC framework.

#### 3.8.1 Permeation.

As hydrogen molecules desorb from the surface, new hydrogen atoms are supplied to the surface via permeation at the rate  $Q$ . When a pressure differential exists,

molecules tend to diffuse from areas of high concentration to areas of low concentration, even in the presence of a membrane or structural component, such as a magnetron wall. For the example of a magnetron, the outer casing experiences atmospheric pressure, while the inner cavity is held at a sub-atmospheric pressure. Thus, molecules from the atmosphere tend to permeate the structure because of the pressure differential, and find their way to the surface of the inner cavity. Hydrogen permeates easily through many materials, including copper. The process of permeation (and then desorption) for hydrogen on copper is [54]:

1. The (atmospheric) hydrogen gas first adsorbs to the outer surface, dissociating into hydrogen adatoms in the process.
2. Hydrogen adatoms are then *absorbed* into the copper bulk according to the hydrogen-copper solubility.
3. Hydrogen atoms then diffuse through the copper bulk to the inner surface, driven by the pressure differential existing between the two surfaces, and determined by the hydrogen-copper diffusivity.
4. Upon reaching the inner surface, hydrogen atoms become adatoms, adsorbed to the surface.
5. Through a desorption process, the hydrogen molecule desorbs from the copper surface into the low-pressure environment.

By inspection, it is apparent that Steps 1 and 2 are the reverse processes of Steps 4 and 5. Permeation is a function of the pressures at both surfaces, the solubility and diffusivity of the system, as well as the temperature of the copper. These dependencies will become more apparent as the relevant mathematical relations are presented.

The total permeation  $Q$  of molecules per unit time  $t$  through the membrane is calculated by

$$Q = \mathbf{J} \cdot \mathbf{A}, \quad (135)$$

where  $\mathbf{J}$  is the flux of molecules traveling through the area  $\mathbf{A}$ , and the dot product is necessary so that only the flux normal to the surface is considered.

Fick's First and Second Laws describe how the flux  $\mathbf{J}$  and concentration of molecules  $\phi$  varies within the material according to diffusion, in steady-state and general conditions, respectively [54; 153]. Fick's Second Law, analogous to the heat equation, is

$$\frac{\partial \phi}{\partial t} = D \nabla^2 \phi, \quad (136)$$

where  $\phi$  is the concentration,  $D$  is the diffusion coefficient,  $t$  is time, and  $\nabla^2$  is the Laplace operator. Under steady-state conditions, Fick's Second Law reduces to Laplace's equation,

$$\nabla^2 \phi = 0, \quad (137)$$

which can be further manipulated to obtain Fick's First Law,

$$\mathbf{J} = -D \nabla \phi, \quad (138)$$

where  $\nabla$  is the gradient operator.

Boundary conditions at both surfaces are required to solve Equation (138), which are determined via Sievert's Law. This law states that the concentration of atoms  $\phi$  dissolved in a metal is directly proportional to the gas-solid solubility  $S$  and the square root of the fugacity  $f$  of the gas molecules in thermodynamic equilibrium,

$$\phi = S \sqrt{f}, \quad (139)$$

where the fugacity  $f$  is equal to the gas partial pressure  $P$  for an ideal gas. With the assumption that the desorption surface is under vacuum conditions ( $P = 0$ ), Equation (138) can be solved for a given geometry. For a planar geometry,

$$Q = \frac{A \Phi \sqrt{P}}{d}, \quad (140)$$

where  $d$  is the thickness of the membrane,  $P$  is the non-vacuum pressure, and  $\Phi = DS$  is the permeability, while for a cylindrical geometry,

$$Q = \frac{2\pi h \Phi \sqrt{P}}{\ln\left(\frac{a}{b}\right)}, \quad (141)$$

where  $h$  is the height of the cylinder,  $a$  is the outside radius, and  $b$  is the inside radius. Because of the assumption of vacuum conditions, Equations (140)-(141) give the maximum permeation rate. Real conditions will therefore exhibit a lower  $Q$ -value.

The permeability  $\Phi$  is identified with the product of diffusivity  $D$  and solubility  $S$ , which is independent of the specific geometry,

$$\Phi = DS. \quad (142)$$

Typically,  $D$  and  $S$  are expressed in terms of temperature by

$$\begin{aligned} D(T) &= D_0 \exp\left(-\frac{E_D}{kT}\right), \\ S(T) &= S_0 \exp\left(-\frac{E_S}{kT}\right), \end{aligned} \quad (143)$$

where  $D_0$  and  $S_0$  are constants,  $E_D$  and  $E_S$  are activation energies,  $k$  is the Boltzmann constant, and  $T$  is temperature. Therefore, the permeability  $\Phi$  is expressed as

$$\Phi(T) = \Phi_0 \exp\left(-\frac{E_\Phi}{kT}\right), \quad (144)$$

where  $\Phi_0 = D_0 S_0$  and  $E_\Phi = E_D + E_S$ . Values of the constants and activation energies for Hydrogen in various materials can be found in Reference [153].

### 3.8.2 Timing.

The timing of events is an important part of simulating dynamic desorption and adsorption [46; 61; 92]. The DSMC algorithm simulates the discrete Bernoulli process. By associating a time step with each event, DSMC desorption produces a Markov chain of events [61], which simulates a Poisson process since the system is large and contains only independent events [46].

The probability density of times between successive events  $f_t$  for a Poisson process is [46]

$$f_t = r \exp(-r t), \quad (145)$$

with the average time between events  $\langle t \rangle$  being

$$\langle t \rangle = \frac{1}{r}, \quad (146)$$

where  $r$  is the event rate. Instead of invoking the average time between events as the time step, however, time is incremented after each desorption event by

$$\Delta t = -\frac{1}{r} \ln R, \quad (147)$$

where  $R$  is a uniform random number between 0 and 1, and  $r$  may be different for

each event. Equation (147) differs from the corresponding equation in Reference [46] by determining  $\Delta t$  only from the desorption rate of that particular event, rather than from the sum of the rates of all events from all subpopulations. The distinction is made here since time is incremented in a different fashion than it is with MC methods. In MC, the time step is incremented after a certain number of events have passed (e.g. 50), while in these simulations, time is incremented after *every* event.

The total rate of desorption  $r$  is calculated from the Polanyi-Wigner model [77],

$$r = \frac{dN}{dt} = -\nu N^x \exp\left(-\frac{E}{kT}\right) \quad (148)$$

where  $\nu$  is a frequency factor,  $x$  is the reaction order for desorption,  $E$  is the average desorption activation energy, and  $N$  is the number of adatoms on the surface. Values of  $\nu$ ,  $x$ , and  $E$  are published in the literature. Anger et al. present these values for H<sub>2</sub>-Cu(111) and H<sub>2</sub>-Cu(110) [5]. However, since the surface of Cu(100) undergoes a reconstruction at high hydrogen coverage [31], H<sub>2</sub>-Cu(100) TPD spectra are published, but values for  $\nu$ ,  $x$ , and  $E$  are not. Fortunately, their TPD spectra can be analyzed independently by the Threshold TPD (TTPD) method presented in References [57; 77; 117].

To calculate  $r$  for the next time step ( $i + 1$ ), values must be taken from step ( $i$ ) (e.g.  $Q$ ,  $r$ , and  $\Delta t$ ), since  $r$  is a function of the number of adatoms found on the surface, supplied by permeation and adsorption, and depleted via desorption. Therefore, the number of adatoms available for desorption at time step ( $i + 1$ ) is calculated as

$$N^{(i+1)} = [Q^{(i)}(T^{(i)}) - r^{(i)}] \Delta t^{(i)}, \quad (149)$$

where the superscript indicates the time step from which values are taken. Substituting Equation (149) into Equation (148),  $r$  for the  $(i + 1)^{\text{th}}$  time step is readily

calculated by

$$r^{(i+1)} = -\nu [N^{(i+1)}]^x \exp \left[ -\frac{E}{kT^{(i+1)}} \right]. \quad (150)$$

During a DSMC simulation, each desorption event should be determined in the following manner. Before time step  $(i+1)$  begins, if the time that has passed since the last desorption event is greater than  $\Delta t^{(i)}$ , then desorption should occur at the local surface temperature  $T_s^{(i)}$ . A new value of  $\Delta t$  should be calculated at each time step until this condition is reached, unless if the surface temperature remains unchanged from the previous time step.

### 3.9 Results

Results from the current method are presented in this section. Emphasis is placed on comparing theoretical simulations with the most up-to-date experimental data available for thermal desorption from the  $\text{H}_2\text{-Cu}(100)$  gas-surface system [158]. These results are for a surface temperature of  $T_s = 1030$  K and include state-resolved mean translational energy values, state-resolved translational energy distributions, and the quadrupole alignment parameter  $A_0^{(2)}$ . In addition, the desorption angle distribution at  $T_s = 1100$  K is shown. Recall from Section 3.6.4 that contributing TS locations and their respective weights were determined by fitting simulation results to experimental data.

#### 3.9.1 Desorption Angle Distribution.

In order to compare theory with experimental results from Reference [12], a geometrical factor based on the experimental measuring equipment must be taken into account. Simulation results give a desorption angle for each molecule, while experimental data provide the number of molecules that arrive at a detector covering a finite solid angle  $\Omega$ , at multiple locations in space.

As an example, for the measurements reported in Reference [157], the detector is 9 mm away from the surface with a diameter of 2 mm, giving a solid angle of  $\Omega = 0.0384$  steradians, whereas an entire sphere covers  $4\pi$  steradians. Thus, the detector covers only a portion (a cap) of the surface of the sphere, while all simulated molecules with identical desorption angles have the same latitude. To compare the two, a latitudinal band is constructed by rotating the cap about the surface normal. This band has a finite width corresponding to the diameter of the detector. The cap covers an area  $A_{\text{cap}}$  of

$$A_{\text{cap}} = 2\pi R^2(1 - \cos \Delta\theta), \quad (151)$$

where  $R$  is the distance of the detector from the surface,  $\Delta\theta = \tan^{-1}(r/R)$ , and  $r$  is the radius of the detector. To continue this example,  $R = 9$  mm,  $r = 1$  mm,  $\Delta\theta = 6.34^\circ$ , and  $A_{\text{cap}} = 3.11$  mm<sup>2</sup>. The area of the latitudinal band  $A_{\text{band}}$  is found to be

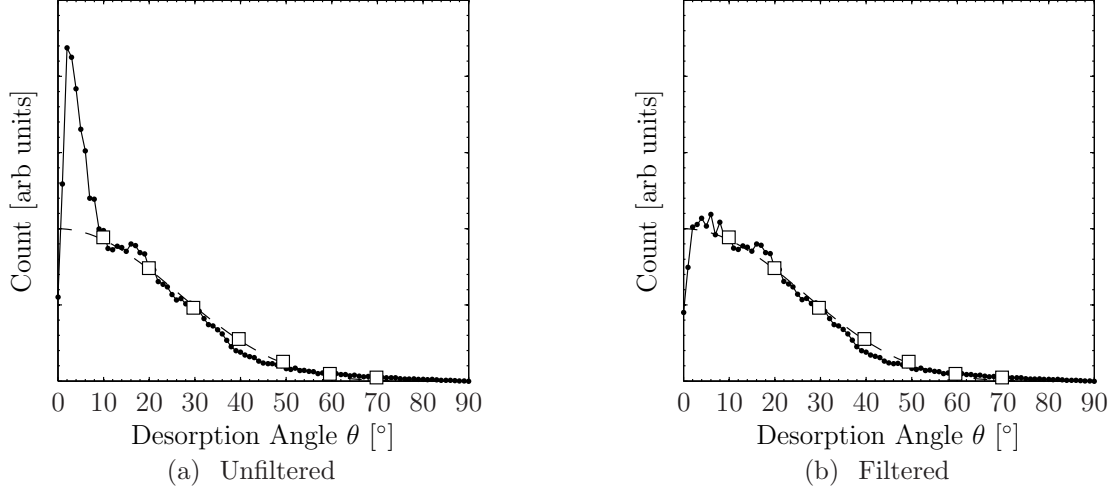
$$A_{\text{band}} = 4\pi R^2 \sin \theta \sin \Delta\theta, \quad (152)$$

where  $\theta$  is the desorption angle of interest, measured from the surface normal. However, Equation (152) only holds when  $\theta \geq \Delta\theta$ . For the case where  $\theta < \Delta\theta$ , Equation (152) must be modified to account for overlapping areas,

$$A_{\text{band}} = 2\pi R^2 (1 + \sin \theta \sin \Delta\theta - \cos \theta \cos \Delta\theta). \quad (153)$$

To then compare simulation results with experimental data, one needs to only multiply the simulation values by the ratio  $A_{\text{cap}}/A_{\text{band}}$ ,

$$\frac{A_{\text{cap}}}{A_{\text{band}}} = \begin{cases} \frac{1 - \cos \Delta\theta}{1 + \sin \theta \sin \Delta\theta - \cos \theta \cos \Delta\theta}, & \theta < \Delta\theta, \\ \frac{1 - \cos \Delta\theta}{2 \sin \theta \sin \Delta\theta}, & \theta \geq \Delta\theta. \end{cases} \quad (154)$$



**Figure 16.** Distribution of desorption angle  $\theta$  with respect to the surface normal for  $\text{H}_2\text{-Cu(100)}$  at  $T_s = 1100$  K. Simulation results are compared with experiment and a curve fit to experimental data. The sampling is from 500k trajectories. Dashed curve,  $\cos^d(\theta)$  with  $d = 5$ ;  $\square$ , experimental data; —, simulation results. Experimental data are from Reference [12], Figure 3. Differs from Figure 17 by  $(A_{\text{cap}}/A_{\text{band}})^{-1}$  in Equation (154).

With the aid of Equation (154), desorption angle results are compared in Figure 16(a) to experimental values taken at  $T_s = 1100$  K. As discussed in Section 3.6.4, contributing TS locations were determined by optimizing the fit of simulation results at  $T_s = 1100$  K to the experimental data. Figure 16(a) illustrates how well desorption data can be duplicated by this method and the Wiesenekker PES. The dashed curve represents a curve fit to experimental data of the form  $\cos^d(\theta)$  with  $d = 5$ . A cosine distribution ( $d = 1$ ) would occur with complete thermalization of the molecules to the surface temperature if there were no surface corrugation. However, a corrugated PES alters the distribution from that of a pure cosine. Thus, even though the molecule is assumed to be fully thermalized to the surface temperature, the a cosine distribution is still not observed due to the PES.

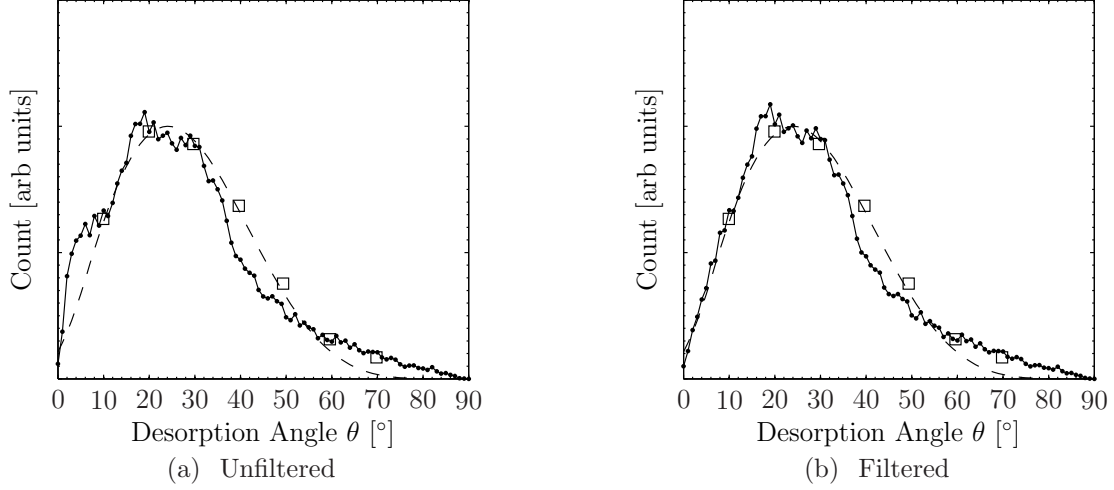
Simulation results match well the experimental data points in Figure 16(a), except for desorption angles below  $10.5^\circ$ . A desorption peak is predicted at  $2^\circ$  from the normal, a feature not shown by the experimental measurements. Further des-

**Table 3. Filtering ratios for desorption angles  $\theta \leq 10.5^\circ$ .**

| Range [ $^\circ$ ] | Filtering Ratio | Range [ $^\circ$ ] | Filtering Ratio |
|--------------------|-----------------|--------------------|-----------------|
| [0.5, 1.5)         | 0.773           | [5.5, 6.5)         | 0.644           |
| [1.5, 2.5)         | 0.456           | [6.5, 7.5)         | 0.802           |
| [2.5, 3.5)         | 0.467           | [7.5, 8.5)         | 0.798           |
| [3.5, 4.5)         | 0.515           | [8.5, 9.5)         | 0.942           |
| [4.5, 5.5)         | 0.594           | [9.5, 10.5)        | 0.938           |

orption experiments would need to be conducted to determine whether or not that peak is physical. There is no indication in the literature that such a peak has been found before. However, measurements are typically reported at large intervals due to limitations in the experimental equipment (i.e.  $10^\circ$ ,  $20^\circ$ , etc.), so that if a peak does exist near  $2^\circ$ , it would not have been found unintentionally. However, the peak appears to be non-physical and simply represents a limitation of the current method. One could mitigate this peak in engineering applications by randomly rejecting excess molecules. To illustrate this possibility, consider a molecule desorbing with an angle between  $1.5^\circ$  and  $2.5^\circ$ . Since the experimental curve-fit value at  $\theta = 2^\circ$  is 45.6% of the predicted value in Figure 16(a), randomly accept (reject) the molecule. Choose a uniform random number  $R$  between 0 and 1. If  $R \leq 0.456$ , then accept the trajectory. Otherwise, reject it and calculate a new trajectory. The desorption angle ranges and ratios of experimental to predicted values are listed in Table 3. Note that since the results in Figure 16(a) are binned with a bin width of  $1^\circ$ , the desorption angle ranges have a width of  $1^\circ$  and are centered at integer values.

Figure 16(b) shows the modified desorption angle results with the accept-reject criteria taken into account for desorption angles  $\theta \leq 10.5^\circ$ . Less than 4% of the trajectories are rejected. Even though it appears that a much higher percentage of molecules would need to be filtered when comparing Figures 16(a) and 16(b), recall



**Figure 17.** Raw distribution of desorption angle  $\theta$  with respect to the surface normal for  $\text{H}_2\text{-Cu(100)}$  at  $T_s = 1100$  K. Simulation results are compared with experiment and a curve fit to experimental data. The sampling is from 500k trajectories (unfiltered) and 481k (filtered). Dashed curve,  $\cos^d(\theta)$  with  $d = 5$ ;  $\square$ , experimental data; —, simulation results. Experimental data are from Reference [12], Figure 3. Differs from Figure 16 by  $A_{\text{cap}}/A_{\text{band}}$  in Equation (154).

that simulation values are modified per Equation (154) before they are compared with experimental data. Thus, the predicted raw count is only modified by less than 4%. This idea is illustrated in Figure 17, where simulation results are presented in their raw count, while experimental results are modified by  $(A_{\text{cap}}/A_{\text{band}})^{-1}$  in Equation (154). Figures 16 and 17 contain identical data, just presented in a different manner. The filtering effect in Figure 17(b) is only for desorption angles  $\theta \leq 10.5^\circ$ , where it is clear that only a small portion (less than 4%) of the trajectories are filtered out.

The remaining figures will be presented with filtering taken into account. Energy distributions see less than a 2% change (see Figures 18 and 19) and less than a 9% change (see Figure 20) when filtering is applied. More variability is seen in the translational energy distributions than in the mean translational energy values due to mathematical averaging. Likewise, the quadrupole alignment parameter  $A_0^{(2)}$  experiences less than a 3% change over its entire range (see Figures 21, 22, and 23).

### 3.9.2 Energy Distributions.

The average translational energy of desorbing  $\text{H}_2$  is shown in Figure 18 as a function of the rotational number  $J$  for two different vibrational numbers ( $n_v = 0, 1$ ), where  $J$  and  $n_v$  are calculated by rounding to the nearest integer. Simulations predict lower average kinetic energies than is observed in experiments (0.28 eV for  $n_v = 0$ , and 0.15 eV for  $n_v = 1$ ). This result is to be expected due to how the calculations are conducted. Recall that the Wiesenekker PES was constructed from MD simulations of hydrogen already adsorbed to the copper surface. However, in the experiments the hydrogen adlayer was populated via permeation through the bulk. Thus, either the PES needs to be constructed taking into account the absorption energy barrier, or vibrational-phonon coupling should be modeled to more accurately predict desorption energies. Figure 18(a) presents the simulation data without the absorption barrier energy, while Figure 18(b) includes the absorption barrier energy. Predictions match experiment with excellent agreement. The one questionable data point is at  $J = 3$ , where experimental measurements show a sudden drop in the mean translational energy. Sementa et al. [158] provide no explanation for the abnormal data point, so that one is left to conclude that it was an unexpected measurement.

Since simulations are able to recreate the shape of the experimental curves in Figure 18(b) for both vibrational and rotational numbers, the absorption barrier is shown here to primarily affect the translational energy of the desorbing gas, and then only as a function of the vibrational energy. For engineering applications, one can take an upper-limit approach, assuming that the absorption barrier contribution is a constant value for all vibrational numbers. Since there is no vibrational-phonon coupling for  $n_v = 0$ , the value found here (0.28 eV) can serve as that upper limit. The absorption barrier is equivalent to the solubility energy  $E_S$  in Equation (143), and the value of 0.28 eV falls within the published range for  $E_S$  [153]. Vibrational-

phonon coupling may explain why the absorption barrier energy contribution is less for  $n_v = 1$  than for  $n_v = 0$ .

Since the absorption barrier energy mainly affects the mean translational energy, it is reasonable to conclude that adatoms receive this additional energy in a similar manner. On average, the adatoms receive the additional energy in the  $x$ -,  $y$ -, and  $z$ -directions in equal proportion to each other. In other words, the absorption barrier energy accelerates both adatoms in the same direction before they arrive at the TS location.

Some researchers employ GW, which rejects trajectories that have vibrational energies far from quantum values, in order to achieve more realistic statistics from their Monte Carlo simulations [23; 24; 131]. However, it was found in this research that GW is not required.

Figure 18(b) is a key result of this work. One of the original goals of modeling thermal desorption in DSMC was to accurately predict internal and translational energy distributions. Up until now, no research effort has been able to accomplish this feat, especially without GW. Therefore, this work provides an engineering alternative to time-intensive MD and DFT calculations.

The average total energy is presented in Figure 19, shown as a function of both  $n_v$  and  $J$ . The average total energy of desorbing molecules actually increases with increasing  $J$ . This result indicates that two desorbing molecules with the same initial total energy will transfer different energy amounts to the surface, depending on their rotational energy. Thus, molecules with lower (final) rotational energies tend to transfer more energy to the surface than those with higher (final) rotational energies.

The translational energy distributions for ( $n_v = 0, J = 1 - 9$ ) are shown in Figure 20. As the rotational number increases, the distribution changes from unimodal ( $J = 1 - 2$ ), to bimodal ( $J = 3 - 7$ ), and then back to unimodal ( $J = 8 - 9$ ). In

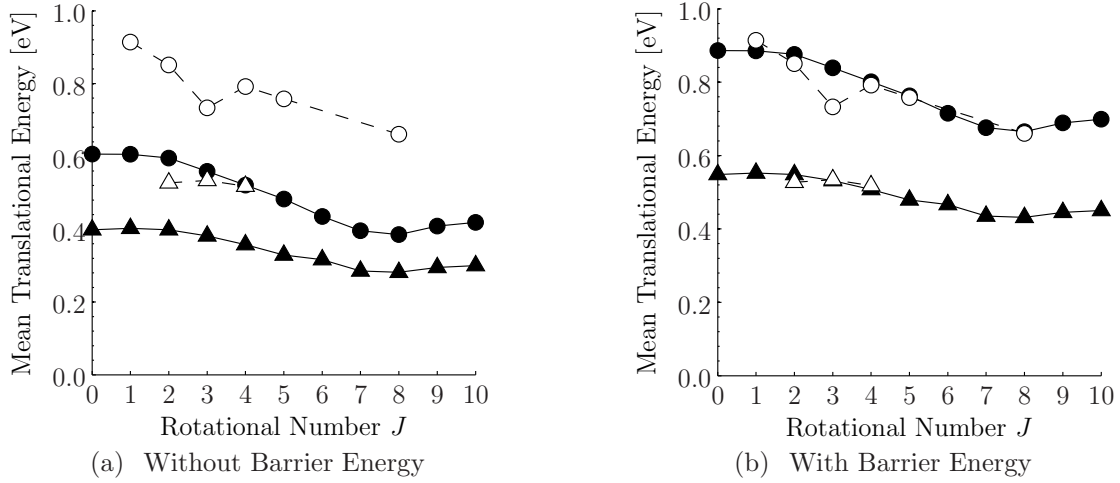


Figure 18. State-resolved mean translational energy of desorbing  $\text{H}_2$  from  $\text{Cu}(100)$  at  $T_s = 1030$  K. The sampling is from a total of 1M trajectories (600k for  $n_v = 0$ , 213k for  $n_v = 1$ ). Simulation results are shown with filled symbols ( $\bullet$  for  $n_v = 0$ ,  $\blacktriangle$  for  $n_v = 1$ ), while experimental values are shown in open symbols ( $\circ$  for  $n_v = 0$ ,  $\Delta$  for  $n_v = 1$ ), taken from Reference [158], Figure 9. Simulations predict a lower mean translational energy due to the energy barrier a hydrogen atom experiences when migrating from the bulk to the surface of copper. The simulation curves are therefore adjusted upwards in (b) to illustrate this effect (0.28 eV for  $n_v = 0$ , and 0.15 eV for  $n_v = 1$ ). Vibrational-phonon coupling explains why the energy barrier contribution is less for  $n_v = 1$  than for  $n_v = 0$ .

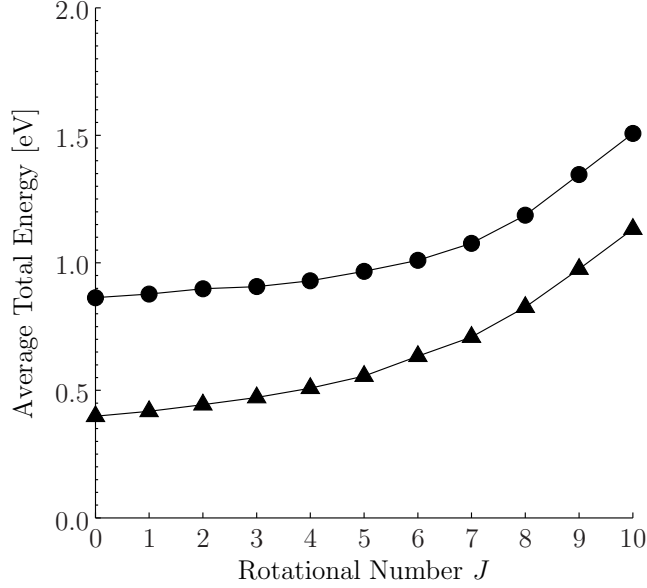


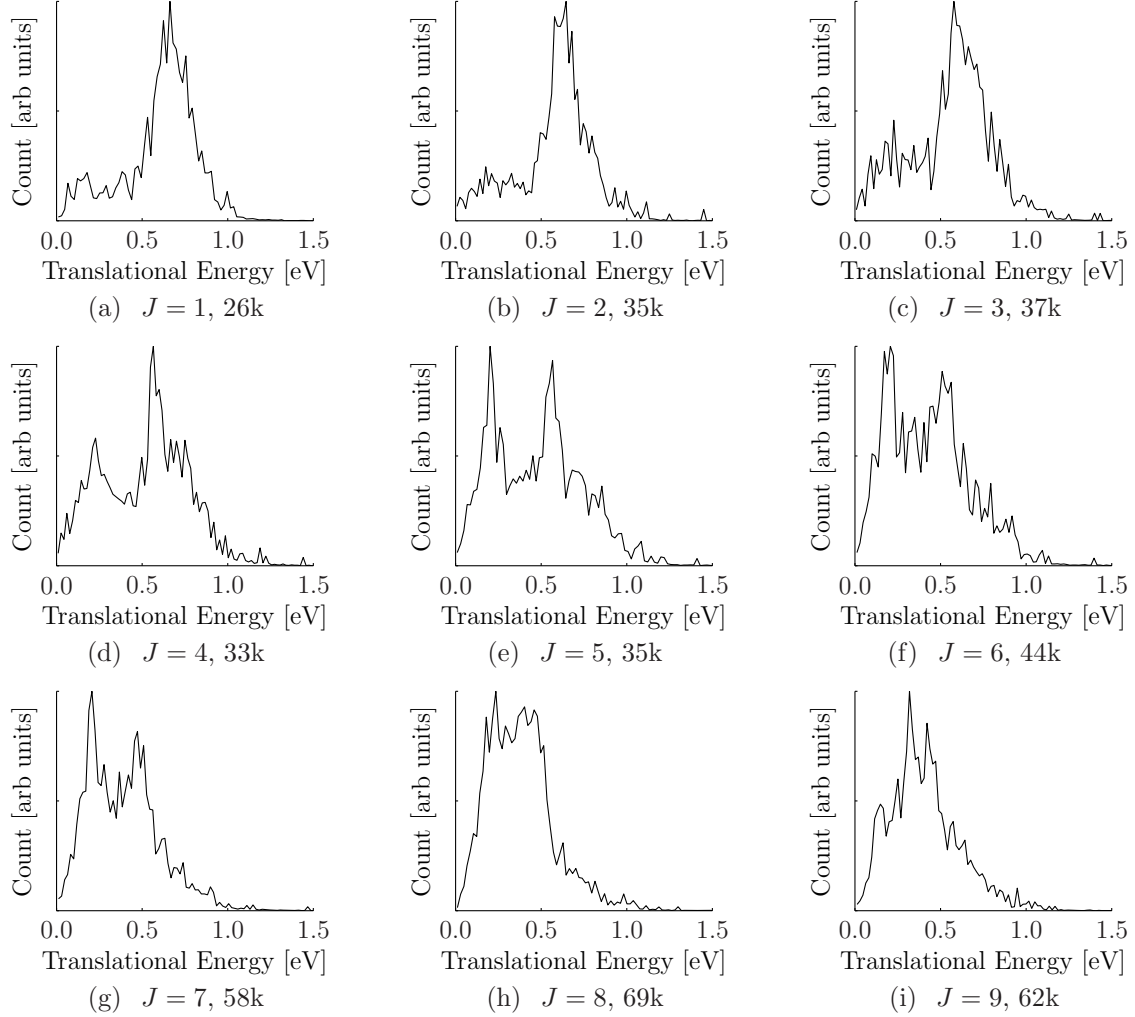
Figure 19. State-resolved total energy of desorbing  $\text{H}_2$  from  $\text{Cu}(100)$  at  $T_s = 1030$  K from simulations. The sampling is from 600k trajectories for  $n_v = 0$  ( $\bullet$ ), and 213k trajectories for  $n_v = 1$  ( $\blacktriangle$ ). Total number of trajectories for all vibrational numbers is 1M. Total energy increases for increasing  $J$ , but decreases for increasing  $n_v$ .

comparing Figures 18 and 20, the average translational energy of the distributions is seen to decrease as  $J$  increases for most of the rotational numbers. The only exception is from  $J = 8$  to  $J = 9$ , where the average translational energy increases with increasing  $J$ .

### 3.9.3 Rotational Alignment.

The predicted average rotational alignment of the molecule as it desorbs is also compared with experiment. The average rotational quadrupole alignment parameter  $A_0^{(2)}$  is calculated in reference to the surface normal. For a given rotational number  $J$ ,  $A_0^{(2)}$  is defined as [39; 131; 182:Chap. 5, Application 13],

$$A_0^{(2)}(J) = \langle 3 \cos^2 \Xi - 1 \rangle_J, \quad (155)$$



**Figure 20.** Translational energy distributions for states ( $n_v = 0, J = 1 - 8$ ) of  $\text{H}_2$  desorbing from  $\text{Cu}(100)$  at  $T_s = 1030$  K from simulations. Taken from a sampling of 1M trajectories. The rotational number  $J$  and the number of trajectories at each state are listed in the subcaptions. The absorption barrier energy is not included in simulations. As the rotational number  $J$  increases, the distributions transition from unimodal ( $J = 1 - 2$ ) to bimodal ( $J = 3 - 7$ ) and then back to unimodal ( $J = 8 - 9$ ).

where  $\Xi$  is the angle between the  $z$ -axis and the rotational angular momentum vector  $\mathcal{J}$ ,

$$\cos \Xi = \frac{\mathcal{J}_z}{\mathcal{J}}, \quad (156)$$

$\mathcal{J}_z$  is the  $z$ -component of the angular momentum, and the brackets  $\langle \cdot \rangle_J$  denote taking the average for all molecules that have that value for  $J$  once desorbed.  $A_0^{(2)}$  is valid in the range  $A_0^{(2)} \in [-1, 2]$ , with  $-1$  indicating that the molecules desorb in a completely cartwheel motion,  $2$  indicating that they desorb with a helicopter motion, and any other value indicating a combination of these two motions.

The  $z$ -component  $\mathcal{J}_z$  is easily calculated in Cartesian coordinates. The angular momentum for a molecule is the sum of the cross-products of the radius vector  $\mathbf{r}_i$  and the momentum vector  $\mathbf{p}_i$  for each atom  $i$ ,

$$\mathcal{J} = \sum_{i=1}^n \mathcal{J}_i \quad (157)$$

where  $n$  is the number of atoms in a molecule (2 in this case), and the angular momentum for the  $i$ th atom is

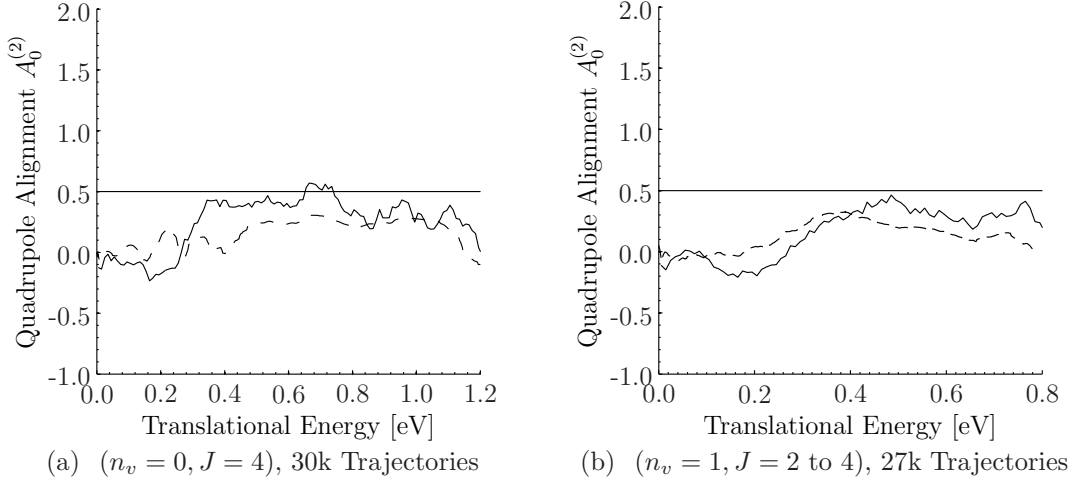
$$\mathcal{J}_i = \mathbf{r}_i \times \mathbf{p}_i, \quad (158)$$

where  $\mathbf{r}_i$  begins at the molecule's center of mass and terminates at atom  $i$ , and  $\mathbf{p}_i$  is the linear momentum of the  $i$ th atom. The  $z$ -component of  $\mathcal{J}$  is then

$$\mathcal{J}_z = \sum_{i=1}^n \mathcal{J}_{z,i}, \quad (159)$$

where the  $z$ -component of angular momentum for the  $i$ th atom  $\mathcal{J}_{z,i}$  is

$$\mathcal{J}_{z,i} = r_{x_i} p_{y_i} - r_{y_i} p_{x_i}, \quad (160)$$

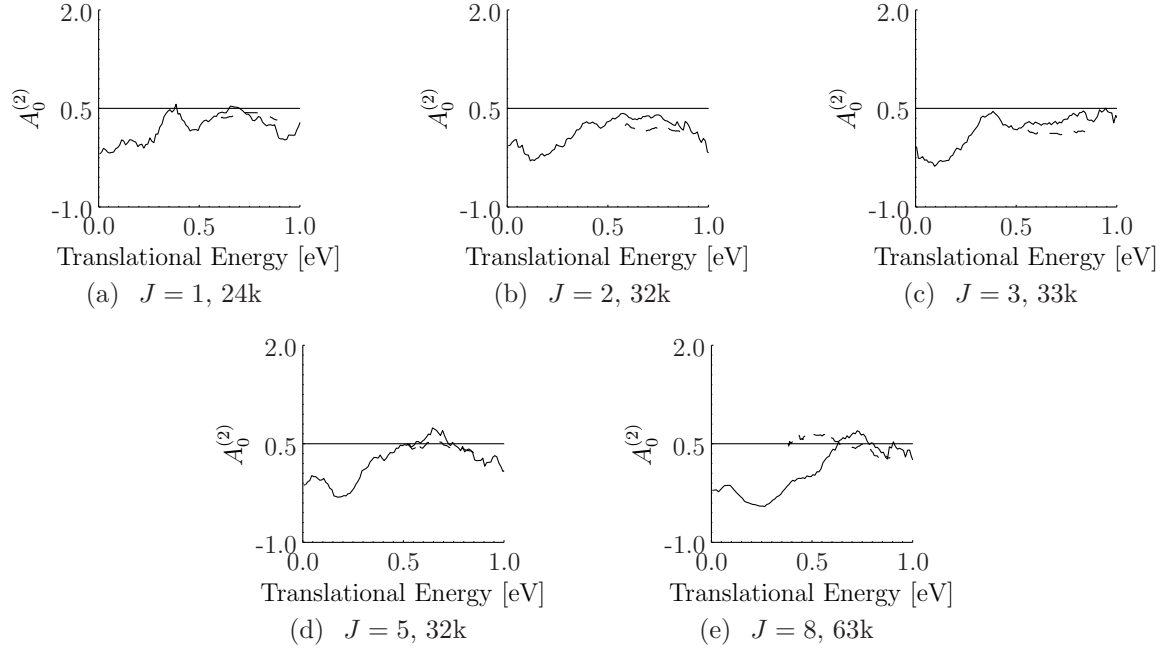


**Figure 21.** Quadrupole alignment parameter  $A_0^{(2)}$  as a function of the translational energy of the desorbing gas  $\text{H}_2$  from  $\text{Cu}(100)$  for states  $(n_v = 0, J = 4)$  and  $(n_v = 1, J = 2 - 4)$  at  $T_s = 1030$  K. The sampling is from 1M total trajectories. The solid lines represent simulation results, while the dashed lines represent experimental values from Reference [158], Figure 6. The lines are both calculated with a ten-point moving average of the raw data. In (b), the average value of  $A_0^{(2)}$  is given over the rotational numbers considered. Helicopter motion is indicated by  $A_0^{(2)} = 2$ , and cartwheel motion by  $A_0^{(2)} = -1$ . The horizontal solid line is for reference only. Simulation values match experiment fairly well.

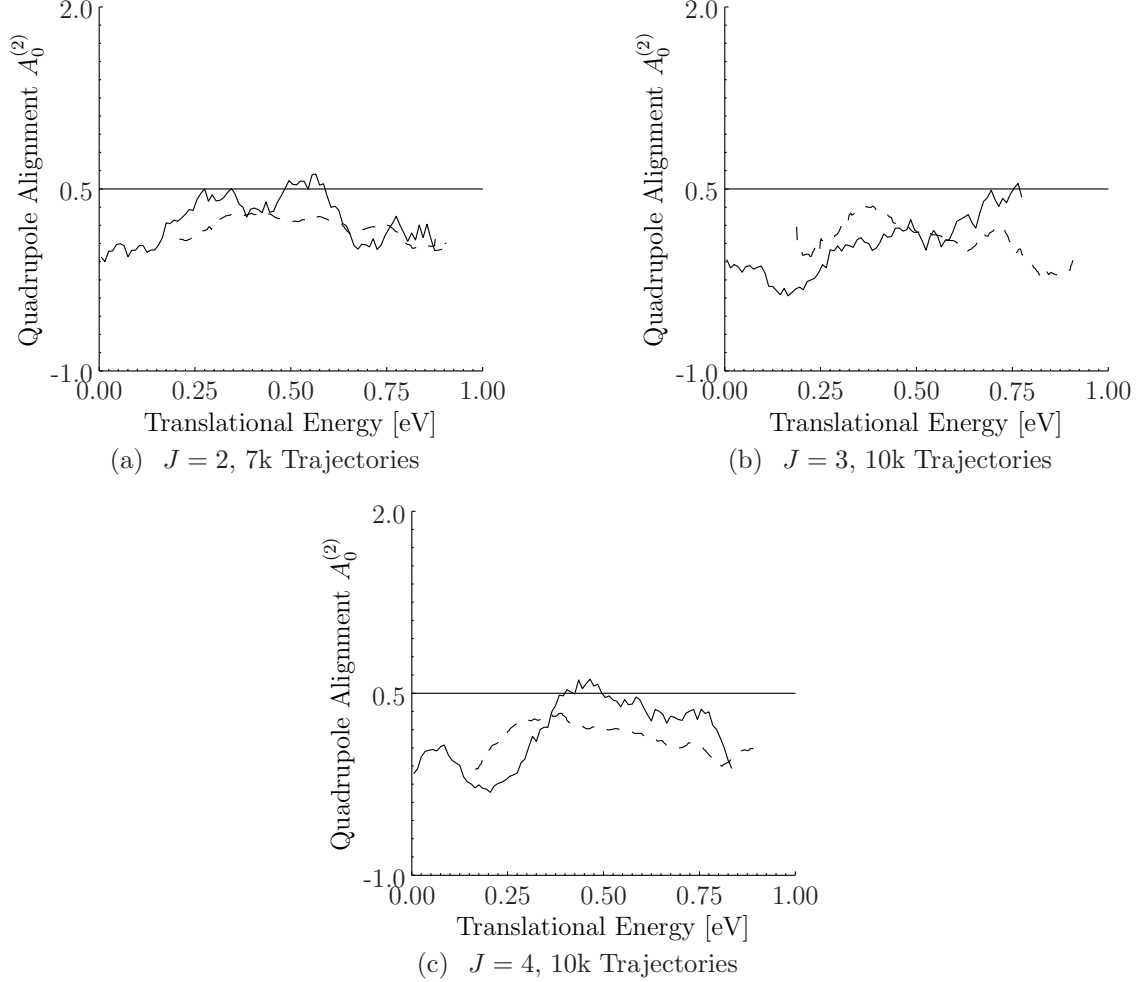
where  $r_{x_i} = x_i - x$  and  $r_{y_i} = y_i - y$  [see Equations (87)-(88)], and  $p_{x_i}$  and  $p_{y_i}$  are the  $x$ - and  $y$ -components of momentum for the  $i$ th atom, respectively.

The quadrupole alignment parameter  $A_0^{(2)}$  provides a measure of how well the physics are represented by the simulation. It indicates how the molecules are oriented as they rotate in space. Even though  $A_0^{(2)}$  may not be beneficial in engineering codes, it is discussed here to validate the model. As shown in Figure 21, the model performs well when compared against experiment. The predicted curves follow experiment qualitatively, and are within 15% and 12% for  $(n_v = 0, J = 4)$  and  $(n_v = 1, J = 2 \text{ to } 4)$ , respectively. The molecules tend to desorb with more of a cartwheel than helicopter motion.

Figure 22 shows the average quadrupole alignment parameter  $A_0^{(2)}$  for the ground vibrational state  $n_v = 0$  at various rotational numbers. Except for  $J = 8$ , predictions



**Figure 22.** Quadrupole alignment parameter  $A_0^{(2)}$  as a function of the translational energy of the desorbing gas  $\text{H}_2$  from  $\text{Cu}(100)$  for states ( $n_v = 0, J = 1, 2, 3, 5, 8$ ) at  $T_s = 1030$  K. The sampling is from a total of 1M trajectories. The rotational number  $J$  and the number of trajectories are given in each subcaption. The solid lines represent simulation results, while the dashed lines represent experimental values from Reference [158], Figure 14. The lines are both calculated with a ten-point moving average of the raw data. Helicopter motion is indicated by  $A_0^{(2)} = 2$ , and cartwheel motion by  $A_0^{(2)} = -1$ . The horizontal solid line is for reference only. Simulation values match experiment very well, except for a portion of the plot from state ( $n_v = 0, J = 8$ ).



**Figure 23.** Quadrupole alignment parameter  $A_0^{(2)}$  as a function of the translational energy of the desorbing gas  $\text{H}_2$  from  $\text{Cu}(100)$  for states ( $n_v = 1, J = 2-4$ ) at  $T_s = 1030$  K. The sampling is from a total of 1M trajectories. The rotational number  $J$  and the number of trajectories are given in each subcaption. The solid lines represent simulation results, while the dashed lines represent experimental values from Reference [158], Figure 15. The lines are both calculated with a ten-point moving average of the raw data. Helicopter motion is indicated by  $A_0^{(2)} = 2$ , and cartwheel motion by  $A_0^{(2)} = -1$ . The horizontal solid line is for reference only. There is a decent match between simulation values and experiment.

agree well with experimental values. The maximum difference between the two are 7% ( $J = 1$ ), 8% ( $J = 2$ ), 10% ( $J = 3$ ), 7% ( $J = 5$ ), and 22% ( $J = 8$ ). Cartwheel motion is favored for the most part. For  $J = 8$ , the model predicts more pronounced cartwheel motion than experiment.

In general, the trend appears to favor cartwheel motion for low translational energy values. As the translational energy increases, the motion on average has an equal contribution of cartwheel and helicopter motion. An explanation for this may be that at low translational energies, the desorbing molecules are influenced much more by the PES than at high translational energies. As the molecule desorbs, it experiences a longer residence time on the surface for a low translational energy. Therefore, the PES has a greater influence on the molecule’s orientation. On the other hand, a molecule with a high translational energy spends a shorter amount of time being influenced by the PES, and so its orientation is much more dependent on the molecule’s initial conditions.

For  $n_v = 1$ , Figure 23 presents  $A_0^{(2)}$  as a function of translational energy. This vibrational state is less populated than the ground state. Hence the statistics in Figure 23 have a higher variability than is seen in Figure 21. In spite of the fact that fewer trajectories are represented in Figure 23, general trends can still be assessed. Predictions follow experimental data well qualitatively, even though quantitatively there is some deviation. The model shows a maximum difference from experiment of 12% for  $J = 2$ , 19% for  $J = 3$ , and 14% for  $J = 4$ .

### 3.10 Chemisorption Summary

A new and successful model for thermal desorption was developed in this chapter. It can be directly incorporated into Direct Simulation Monte Carlo codes as a boundary condition. Appropriate timing has been introduced as well so that non-

equilibrium, temporally-accurate simulations can be conducted. A typical activated chemisorption system,  $\text{H}_2\text{-Cu}(100)$ , was used to demonstrate the novel method. However, this work is not limited to hydrogen nor to copper. It is expected that thermal desorption due to the Langmuir-Hinshelwood reaction on any gas-surface system can be modeled with the same techniques.

At least seven significant contributions to the state-of-the-art have been developed in this research. Thermal desorption modeling is now available for engineering applications, to include desorption angle, internal energies, translational energy, and the molecular alignment. Accurate temporal modeling in Direct Simulation Monte Carlo has been introduced. The equations of motion have been presented in a non-dimensionalization scheme, something not found in the literature. The new model is not limited by the number of transition states it can consider. In fact, this research has shown that many transition states should be identified to provide accurate results. Initial conditions were determined from a truncated Maxwell-Boltzmann distribution, developed here with its accompanying accept-reject form. Gaussian weighting, a filtering scheme which greatly increases run times, has been shown here to be unnecessary under the proposed model. Finally, this research has clearly shown that the absorption barrier energy from permeation not only significantly contributes to the translational energy of desorbing molecules, but it also has little effect on their rotational and vibrational energies.

## IV. Conclusions

Surface processes are varied and complex, and exhibit unique behaviors for different gas-surface systems. There is a need to be able to simulate these processes for a wide variety of systems with engineering methods. This work has sought to address that need by applying the Direct Simulation Monte Carlo framework to the areas of adsorption and desorption in physisorptive and chemisorptive systems, respectively, something that has not been accomplished until now.

For adsorption, the Xe-Pt(111) system was chosen as representative of a typical physisorptive system. Building on the Cercignani-Lampis-Lord scattering kernel and the Multi-Stage scattering model, a new method, the Modified Kisliuk with Scattering method, was successfully developed for calculating adsorption probabilities and scattering properties. The results show that, for the Xe-Pt(111) system, this method was able to accurately predict adsorption probabilities as a function of coverage, even for a gas-surface system where adsorption probabilities increase with increased coverage.

The Modified Kisliuk with Scattering method is applicable to any gas-surface physisorption system. Thus, even though the Xe-Pt(111) system was investigated here, any combination of a gas and surface can be applied, as long as the bond is physisorptive in nature.

One could also extend this method to include non-physisorptive systems by assuming that no chemical reactions occur. In other words, one could assume that gas molecules only scatter or adsorb in relation to a surface, without the presence of dissociation, recombination, or other chemical reactions. In engineering applications where quick calculations are required, this assumption could provide reasonable results. Or, a comparison with experimental results could illustrate the effects due to chemical reactions alone. In this manner, the contributions made by surface chemical effects could be uncoupled from those made by scattering and adsorption.

The Modified Kisliuk with Scattering method dramatically improves the scattered translational energy profile. Experimental data show that for some scattering angles, the incident molecules actually gain energy. The Cercignani-Lampis-Lord kernel alone cannot predict this behavior. However, with the new method, this super-elastic scattering behavior can be predicted.

The scattering angle distribution is also improved by the Modified Kisliuk with Scattering method over the traditional Cercignani-Lampis-Lord kernel. Experimental distributions of Xe-Pt(111) are tighter than the kernel can predict. The new method easily tightens the scattering distribution, providing more realistic predictions.

For the first time, the Cercignani-Lampis-Lord kernel was applied here to scattering off of an adlayer. This work has shown that precursor-mediated gas-adlayer interactions can be predicted by the kernel, as incorporated into the new method. Previously, work with the Cercignani-Lampis-Lord kernel has been confined to the gas scattering off of the primary surface.

Optimal values for the accommodation coefficients were found with the new method by comparing the predictions with experiment. The Modified Kisliuk with Scattering method thus provides an additional mean for determining these coefficients, for use both with the Cercignani-Lampis-Lord kernel, as well as other scattering models.

For thermal desorption, the  $\text{H}_2\text{-Cu}(100)$  system was chosen as the prototypical chemisorptive system with activated desorption. Classical trajectory methods on a six-dimensional potential energy surface were incorporated with Keck's method to efficiently calculate desorption parameters (desorption angle, molecular alignment, and translational, rotational, and vibrational energies). The equations were non-dimensionalized in order to reduce numerical error. Relevant transition states, found with the Chain algorithm, were weighted according to their relative contributions to the desorption angle. Initial conditions at the transition states were determined with

a truncated Maxwell-Boltzmann distribution. A method for conducting temporally-accurate simulations is developed, by taking into account appropriate event timing and permeation.

Many contributions to the state-of-the-art were developed in this work. First, non-dimensionalized classical trajectory equations were derived here. Nowhere in the literature were the equations of motion found to be non-dimensionalized. This fact is surprising since non-dimensionalization can greatly reduce numerical error.

Previous researchers have limited Keck’s method to only one transition state. However, this work has shown that it is beneficial to consider all contributing transition states. Each location contributes in a different manner to the final results, with unique angular and energy distributions. This novel approach proved here to improve classical trajectory modeling.

The truncated Maxwell-Boltzmann distribution was developed here, along with its accept-reject form. The final trajectories are heavily dependent upon initial conditions. Therefore, the truncated Maxwell-Boltzmann distribution is necessary for Keck’s method.

Temporally-accurate desorption modeling also requires correct event timing. In the literature, it has been known for many years how to correctly time desorption events in Monte Carlo simulations. However, Direct Simulation Monte Carlo has never before incorporated this technique. With a few modifications, event timing has been developed as a part of this research for use with Direct Simulation Monte Carlo.

It is common in classical trajectory modeling to require Gaussian weighting, which weights results based on how closely their classical vibrational energies align with quantum values. Unfortunately, in Direct Simulation Monte Carlo applications, Gaussian weighting would dramatically increase simulation run times. This work has demonstrated that Gaussian weighting is not always necessary in classical trajectory

simulations. Perhaps Gaussian weighting is not required here because of the other significant contributions. In other words, it is possible that the physics are so well modeled in this research, that one does not need to take a quasi-classical trajectory approach here.

Finally, there has been some discussion in the literature as to how much, or in what manner, the absorption barrier energy contributes to thermal desorption. This debate is especially relevant since many desorption experiments rely on permeation to supply hydrogen to the surface. The research conducted here has shown that the absorption energy barrier contributes significantly to the translational energy of desorbing molecules. Predictions of desorption influenced by permeation and diffusion must therefore include the absorption energy barrier. In addition, it has been shown here that this barrier has little impact on the final rotational and vibrational energies of desorbing molecules.

Even though desorption results are discussed in reference to the  $\text{H}_2\text{-Cu}(100)$  gas-surface system, any chemisorptive system with a Langmuir-Hinshelwood mechanism could be applied to the thermal desorption model. Hence this work is universal in nature for all similar systems. The results, of course, will depend heavily on the accuracy of the potential energy surface chosen (or developed) to describe the gas-surface interactions. However, with an accurate potential energy surface, the physics of thermal desorption can be simulated for any similar system. Besides, other systems with different mechanisms can still utilize the novel non-dimensionalization scheme presented here, since the non-dimensionalization is universal for any classical or quasi-classical trajectory method.

There are many avenues one could take in furthering the thermal desorption research presented here. One path forward would be to include adsorption and scattering modeling for a chemisorptive system. Since desorption is the microscopically-

inverse process of adsorption, it would not be difficult to do so. Initial conditions for scattering and adsorption would actually be simpler to define than for desorption because in a Direct Simulation Monte Carlo simulation, one would know translational and internal energies of impinging molecules. One could then follow the molecule from the gas phase as it interacts with the solid via the potential energy surface. If the molecule reverses course and travels back to the gas domain, then it scatters. Otherwise, it adsorbs to the surface. In this manner, scattering distributions could be determined, to include angular, translational, rotational, and vibrational. In addition, adsorption probabilities could be calculated. Implementing adsorption and scattering into Direct Simulation Monte Carlo would then be straightforward and beneficial.

Besides directly modeling adsorption and scattering for a chemisorptive gas-surface system, this research could be extended by considering any of the other surface-effect mechanisms. These chemical pathways include Eley-Rideal, field-induced, ion-induced, photo-induced, and electron-stimulated desorption. An entire suite of relevant surface effects could be constructed over time to provide accurate modeling in Direct Simulation Monte Carlo applications. For example, in the field of laser-surface effects, photo-induced desorption and ablation is a field of considerable interest. Perhaps similar methods to those presented in this work could be developed to accurately model dynamic laser-surface interactions.

Eley-Rideal desorption is another promising mechanism that could be investigated. Instead of modeling two adsorbed atoms on the surface, one could model a single adatom and a gas-phase atom. Initial conditions for the adatom could be taken from a Maxwell-Boltzmann distribution at the surface temperature. For the gas-phase atom, its initial conditions would be known from its previous interactions and collisions within the Direct Simulation Monte Carlo program. One would need to

determine how Keck’s method would apply under these conditions, if at all. Also, a different potential energy surface would need to be created, or found in the literature.

Electron-stimulated desorption would also be a fascinating topic to address. In high-power microwave applications, electron-stimulated desorption plays a role in contaminating the plasma and inducing pulse-shortening. One could model electron-stimulated desorption in the following manner. Take an impinging electron whose velocity is known. The electron excites a surface adatom (or admolecule) to some degree. Then that adatom travels under the influence of the potential energy surface to either desorb, or to remain on the surface, albeit at a new location. A challenge here would be to incorporate current electron-stimulated desorption theory into a Direct Simulation Monte Carlo framework, and then to efficiently model the desorption of the excited adatom.

Ablation is another natural extension of this work. Similar to activated desorption, ablation requires the overcoming of an energy barrier. Even though there would be imperfections in a real surface undergoing ablation (e.g. steps) one could begin modeling ablation by assuming that each ablation event occurs under the same conditions. For example, each ablation event could be assumed to result from a surface atom on a perfectly-clean surface with no imperfections. Ablation would be induced by energy deposition, originating from collisions with the surface or conductive heating. Ablation could therefore be considered, at least at first, as a temperature-dependent process. Initial conditions of an ablating atom would then come from a Maxwell-Boltzmann distribution at the (local) surface temperature.

In conclusion, this research both significantly contributes to the state-of-the-art, and lays a solid foundation for future developments in surface-effects modeling for Direct Simulation Monte Carlo applications. The effects investigated here (scattering, adsorption, and Langmuir-Hinshelwood thermal desorption) represent only a subset

of the many mechanisms by which a gas interacts with a solid. Other important mechanisms that could be investigated in future work are Eley-Rideal desorption, electron-stimulated desorption, and ablation. By modifying and building upon the approaches developed here, the successful modeling of other surface effects could also be accomplished and incorporated into Direct Simulation Monte Carlo applications.

## Appendix A. List of Symbols

| <i>Symbol</i>     | <i>Definition</i>                                                                                                                                                                           |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $a$               | Average equilibrium length of the unit cube [ $\text{\AA}$ ],<br>Fitting coefficient for $V_{\text{rep}}$ [eV]<br>Variable in the generalized quadratic formula [ $*$ ]<br>Outer radius [m] |
| $a_0$             | Bohr radius [0.529 $\text{\AA}$ ]                                                                                                                                                           |
| $a_1$             | Fitting coefficient for $V_{\text{att}}$ [ $\text{\AA}^{-1}$ ]                                                                                                                              |
| $a_2$             | Fitting coefficient for $V_{\text{att}}$ [ $\text{\AA}^{-2}$ ]                                                                                                                              |
| $a_3$             | Fitting coefficient for $V_{\text{att}}$ [ $\text{\AA}^{-3}$ ]                                                                                                                              |
| $a_4$             | Fitting coefficient for $V_{\text{att}}$ [ $\text{\AA}^{-1}$ ]                                                                                                                              |
| $a_l$             | Average equilibrium length of the unit cuboid [ $\text{\AA}$ ]                                                                                                                              |
| $a_Q$             | Accommodation coefficient for property Q [ $*$ ]                                                                                                                                            |
| $A$               | Area of the surface unit cell [ $\text{\AA}^2$ ]                                                                                                                                            |
| $\mathbf{A}$      | Unit vector of a link [ $*$ ]<br>Fluxal area [ $\text{m}^2$ ]                                                                                                                               |
| $A_0^{(2)}$       | Average quadrupole alignment parameter [ $*$ ]                                                                                                                                              |
| $A_1$             | BR potential adjustable parameter [ $\text{kJ mol}^{-1}$ ]                                                                                                                                  |
| $A_{\text{band}}$ | Surface area of a spherical, latitudinal band [ $\text{m}^2$ ]                                                                                                                              |
| $A_{\text{cap}}$  | Surface area of a spherical cap [ $\text{m}^2$ ]                                                                                                                                            |
| $A_g$             | BR potential adjustable parameter [ $\text{kJ mol}^{-1}$ ]                                                                                                                                  |

| <i>Symbol</i> | <i>Definition</i>                                                                                                                                   |
|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| $b$           | Fitting coefficient for $V_{\text{rep}}$ [ $\text{\AA}^{-1}$ ]<br><br>Variable in the generalized quadratic formula [ $*$ ]<br><br>Inner radius [m] |
| $b_j$         | $\alpha_j$ -intercept in the $\alpha_1\alpha_j$ -plane [m]                                                                                          |
| $c$           | Incident velocity [ $\text{m s}^{-1}$ ]<br><br>Variable in the generalized quadratic formula [ $*$ ]                                                |
| $c_0$         | Fitting coefficient for the three-body potential [eV]                                                                                               |
| $c_1$         | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-1}$ ]                                                                           |
| $c_{11}$      | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-2}$ ]                                                                           |
| $c_{111}$     | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-3}$ ]                                                                           |
| $c_{1111}$    | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-4}$ ]                                                                           |
| $c_{11111}$   | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-5}$ ]                                                                           |
| $c_{11112}$   | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-5}$ ]                                                                           |
| $c_{1112}$    | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-4}$ ]                                                                           |
| $c_{11122}$   | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-5}$ ]                                                                           |
| $c_{112}$     | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-3}$ ]                                                                           |
| $c_{1122}$    | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-4}$ ]                                                                           |
| $c_{11222}$   | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-5}$ ]                                                                           |
| $c_{12}$      | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-2}$ ]                                                                           |
| $c_{122}$     | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-3}$ ]                                                                           |
| $c_{1222}$    | Fitting coefficient for the three-body potential [ $\text{eV \AA}^{-4}$ ]                                                                           |

| <i>Symbol</i>          | <i>Definition</i>                                                                 |
|------------------------|-----------------------------------------------------------------------------------|
| $c_{12222}$            | Fitting coefficient for the three-body potential [eV Å <sup>-5</sup> ]            |
| $c_2$                  | Fitting coefficient for the three-body potential [eV Å <sup>-1</sup> ]            |
| $c_{22}$               | Fitting coefficient for the three-body potential [eV Å <sup>-2</sup> ]            |
| $c_{222}$              | Fitting coefficient for the three-body potential [eV Å <sup>-3</sup> ]            |
| $c_{2222}$             | Fitting coefficient for the three-body potential [eV Å <sup>-4</sup> ]            |
| $c_{22222}$            | Fitting coefficient for the three-body potential [eV Å <sup>-5</sup> ]            |
| $c_6$                  | BR potential adjustable parameter [kJ Å <sup>6</sup> mol <sup>-1</sup> ]          |
| $c_n$                  | Incident normal velocity [m s <sup>-1</sup> ]                                     |
| $c'_n$                 | Post-collisional normal velocity [m s <sup>-1</sup> ]                             |
| $c_t$                  | Incident tangential velocity [m s <sup>-1</sup> ]                                 |
| $c'_t$                 | Post-collisional tangential velocity [m s <sup>-1</sup> ]                         |
| $\langle c'_t \rangle$ | Average post-collisional tangential velocity [m s <sup>-1</sup> ]                 |
| $c_w$                  | Wall velocity [m s <sup>-1</sup> ]                                                |
| $d$                    | Membrane thickness [m]                                                            |
|                        | Exponent of curve fit, $\cos^d(\theta)$ [*]                                       |
| $d_0$                  | Equilibrium distance between surface atoms [Å]                                    |
| $dA$                   | Infinitesimal area in the geometric representation of CLL [*]                     |
| $dE$                   | Infinitesimal total energy [J]                                                    |
| $dE^*$                 | Infinitesimal (non-dimensionalized) total energy [*]                              |
| $du'$                  | Infinitesimal post-collisional tangential velocity component [m s <sup>-1</sup> ] |
| $dv'$                  | Infinitesimal post-collisional tangential velocity component [m s <sup>-1</sup> ] |

| <i>Symbol</i>      | <i>Definition</i>                                                             |
|--------------------|-------------------------------------------------------------------------------|
| $dw'$              | Infinitesimal post-collisional normal velocity component [m s <sup>-1</sup> ] |
| $D$                | Diffusion coefficient [m <sup>2</sup> s <sup>-1</sup> ]                       |
| $D_0$              | Maximum diffusion coefficient [m <sup>2</sup> s <sup>-1</sup> ]               |
| $\mathbf{D}$       | Step direction [ $*$ ]                                                        |
| $D_e$              | Fitting coefficient for $V_{\text{att}}$ [eV]                                 |
| $E$                | Total energy [J]                                                              |
| $E_{\text{ads}}$   | Energy of adsorption [kJ mol <sup>-1</sup> ]                                  |
| $E_D$              | Activation energy for diffusion [J]                                           |
| $E_{\text{max}}^*$ | Discrete approximation for $+\infty$ [ $*$ ]                                  |
| $E_S$              | Activation energy for solubility [J]                                          |
| $E'_{\text{tot}}$  | Post-collisional total energy [kJ mol <sup>-1</sup> ]                         |
| $E_{\text{tr}}$    | Incident translational energy [kJ mol <sup>-1</sup> ]                         |
| $E'_{\text{tr}}$   | Post-collisional translational energy [kJ mol <sup>-1</sup> ]                 |
| $E'_{\text{tr},n}$ | Post-collisional normal translational energy [kJ mol <sup>-1</sup> ]          |
| $E'_{\text{tr},t}$ | Post-collisional tangential translational energy [kJ mol <sup>-1</sup> ]      |
| $E_{\Phi}$         | Activation energy for permeability [J]                                        |
| $f$                | Fugacity [Pa]                                                                 |
| $f_c$              | Switching function [ $*$ ]                                                    |
| $f_d$              | Switching function [ $*$ ]                                                    |
| $f_E$              | MB energy distribution [J <sup>-1</sup> ]                                     |
| $f_{E^*}$          | MB (non-dimensionalized) energy distribution [ $*$ ]                          |

| <i>Symbol</i>             | <i>Definition</i>                                                                 |
|---------------------------|-----------------------------------------------------------------------------------|
| $f_{\max}$                | Maximum value of $f_{\text{TS}}$ [*]                                              |
| $f_t$                     | Probability density of times between successive events [*]                        |
| $f_{\text{TS}}$           | Truncated MB (non-dimensionalized) energy distribution [*]                        |
| $f'_{\text{TS}}$          | $f_{\text{TS}}$ normalized by $f_{\max}$ [*]                                      |
| $\mathbf{g}_0$            | Gradient of $V$ at $\mathbf{q}_0$ [ $\text{J m}^{-1}$ ]                           |
| $\mathbf{g}_0^i$          | $\mathbf{g}_0$ at step $i$ [ $\text{J m}^{-1}$ ]                                  |
| $g_0^k$                   | $k^{\text{th}}$ element of $\mathbf{g}_0$ [ $\text{J m}^{-1}$ ]                   |
| $g_1$                     | Pre-convergence threshold parameter [ $\text{J m}^{-1}$ ]                         |
| $g_c$                     | Threshold parameter [ $\text{J m}^{-1}$ ]                                         |
| $G$                       | Cyclic parameter [ $\text{\AA}^{-1}$ ]                                            |
| $G(r)$                    | Damping function [*]                                                              |
| $\mathbf{G}$              | Negative gradient of $V$ at $\mathbf{p}_H$ [ $\text{J m}^{-1}$ ]                  |
| $\mathbf{G}^*$            | Projected gradient [ $\text{J m}^{-1}$ ]                                          |
| $\mathbf{G}_0$            | Component of $\mathbf{g}_0$ along $\mathbf{U}$ [ $\text{J m}^{-1}$ ]              |
| $h$                       | Planck constant [ $6.626 \times 10^{-34} \text{ J s}$ ]<br>Height of cylinder [m] |
| $h_s$                     | BR potential adjustable height parameter [ $\text{\AA}$ ]                         |
| $\mathbf{H}$              | Hessian matrix [ $\text{J m}^{-2}$ ]                                              |
| $\mathbf{H}_{\text{new}}$ | Current value of $\mathbf{H}$ [ $\text{J m}^{-2}$ ]                               |
| $\mathbf{H}_{\text{old}}$ | Previous value of $\mathbf{H}$ [ $\text{J m}^{-2}$ ]                              |
| $\mathbf{H}^*$            | Non-dimensionalized Hessian matrix [*]                                            |

| <i>Symbol</i>    | <i>Definition</i>                                                       |
|------------------|-------------------------------------------------------------------------|
| $\mathbf{H}'$    | Mass-weighted Hessian matrix [ $\text{J kg}^{-1} \text{ m}^{-2}$ ]      |
| $\mathbf{H}_0$   | $\mathbf{H}$ evaluated at $\mathbf{q}_0$ [ $\text{J m}^{-2}$ ]          |
| $\mathbf{H}_0^i$ | $\mathbf{H}_0$ at step $i$ [ $\text{J m}^{-2}$ ]                        |
| $\mathcal{H}$    | Hamiltonian [ $\text{J}$ ]                                              |
| $\mathcal{H}^*$  | Non-dimensionalized Hamiltonian [ $*$ ]                                 |
| $H_0^{j,k}$      | $(j, k)$ element of $\mathbf{H}_0$ [ $\text{J m}^{-2}$ ]                |
| $H_{00}$         | Plane-wave diffraction function [ $\text{\AA}^{-1}$ ]                   |
| $H_{10}$         | Plane-wave diffraction function [ $\text{\AA}^{-1}$ ]                   |
| $H_{11}$         | Plane-wave diffraction function [ $\text{\AA}^{-1}$ ]                   |
| $H_{B_1 10}$     | Plane-wave diffraction function [ $\text{\AA}^{-1}$ ]                   |
| $H_{j,k}$        | $(j, k)$ element of $\mathbf{H}$ [ $\text{J m}^{-2}$ ]                  |
| $H_{j,k}^*$      | $(j, k)$ element of $\mathbf{H}^*$ [ $*$ ]                              |
| $H'_{j,k}$       | $(j, k)$ element of $\mathbf{H}'$ [ $\text{J kg}^{-1} \text{ m}^{-2}$ ] |
| $H_v$            | Vibrational term of the Hamiltonian $\mathcal{H}$ [ $\text{J}$ ]        |
| $\hbar$          | Reduced Planck constant [ $1.055 \times 10^{-34} \text{ J s}$ ]         |
| $i$              | Imaginary number $\sqrt{-1}$ [ $*$ ]                                    |
| $I$              | Reduced moment of inertia [ $\text{kg m}^2$ ]                           |
| $\mathbf{I}$     | Identity matrix [ $*$ ]                                                 |
| $I_0$            | Modified Bessel Function of the First Kind [ $*$ ]                      |
| $J$              | Rotational number [ $*$ ]                                               |
| $\mathbf{J}$     | Flux of molecules [ $\text{molecules s}^{-1} \text{ m}^{-2}$ ]          |

| <i>Symbol</i>        | <i>Definition</i>                                                                                                                                       |
|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| $\mathcal{J}$        | Rotational angular momentum [kg m s <sup>-1</sup> ]                                                                                                     |
| $\mathcal{J}^*$      | Non-dimensionalized rotational angular momentum [*]                                                                                                     |
| $\mathcal{J}$        | Rotational angular momentum vector [kg m s <sup>-1</sup> ]                                                                                              |
| $\mathcal{J}_i$      | Rotational angular momentum vector of atom $i$ [kg m s <sup>-1</sup> ]                                                                                  |
| $\mathcal{J}_z$      | $z$ -component of $\mathcal{J}$ [kg m s <sup>-1</sup> ]                                                                                                 |
| $\mathcal{J}_{z,i}$  | $z$ -component of $\mathcal{J}_i$ [kg m s <sup>-1</sup> ]                                                                                               |
| $k$                  | Boltzmann constant [ $8.315 \times 10^{-3}$ kJ mol <sup>-1</sup> K <sup>-1</sup> ],<br>Boltzmann constant [ $1.381 \times 10^{-23}$ J K <sup>-1</sup> ] |
| $K$                  | Factor in the Kisliuk adsorption model [*]                                                                                                              |
| $l_0$                | Threshold parameter [m]                                                                                                                                 |
| $l_1$                | Threshold parameter [m]                                                                                                                                 |
| $l_2$                | Threshold parameter [m]                                                                                                                                 |
| $l_{2_{\text{new}}}$ | Updated value of $l_2$ [m]                                                                                                                              |
| $l_{2_{\text{old}}}$ | Previous value of $l_2$ [m]                                                                                                                             |
| $\mathcal{L}$        | Lagrangian [J]                                                                                                                                          |
| $m$                  | Molecular mass [Mg mol <sup>-1</sup> ],<br>Mass of an atom [kg]                                                                                         |
| $m_1$                | Mass of atom 1 [kg]                                                                                                                                     |
| $m_2$                | Mass of atom 2 [kg]                                                                                                                                     |
| $m_j$                | Slope of a line [*]                                                                                                                                     |
| $M$                  | Total mass [kg]                                                                                                                                         |

| <i>Symbol</i>                 | <i>Definition</i>                                      |
|-------------------------------|--------------------------------------------------------|
| $M_1$                         | Starting configuration                                 |
| $M_2$                         | Ending configuration                                   |
| $n_m$                         | Exponent of the modified energy [*]                    |
| $n_v$                         | Vibrational number [*]                                 |
| $N$                           | Number of atoms in the molecule [atoms]                |
|                               | Number of adatoms [adatoms]                            |
| $N_{\text{colls}}$            | Number of collisions [*]                               |
| $\mathbf{p}^*$                | New point for replacing $\mathbf{p}_H$                 |
| $p_{c,i}^*$                   | Non-dimensionalized MWC momenta [*]                    |
| $\mathbf{p}_H$                | Approximate location of TS                             |
| $\mathbf{p}_{H-1}$            | Path point adjacent to $\mathbf{p}_H$                  |
| $\mathbf{p}_{H+1}$            | Path point adjacent to $\mathbf{p}_H$                  |
| $\mathbf{p}_{H_{\text{new}}}$ | Current value of $\mathbf{p}_H$                        |
| $\mathbf{p}_{H_{\text{old}}}$ | Previous value of $\mathbf{p}_H$                       |
| $p_i$                         | Generalized momentum [kg m s <sup>-1</sup> ]           |
| $\dot{p}_i$                   | Generalized force [kg m s <sup>-2</sup> ]              |
| $\mathbf{p}_i$                | Momentum vector of atom $i$ [kg m s <sup>-1</sup> ]    |
| $p_r$                         | Momentum in the $r$ -direction [kg m s <sup>-1</sup> ] |
| $p_r^*$                       | Non-dimensionalized momentum in the $r$ -direction [*] |
| $\dot{p}_r$                   | Force in the $r$ -direction [kg m s <sup>-2</sup> ]    |
| $\dot{p}_r^*$                 | Non-dimensionalized force in the $r$ -direction [*]    |

| <i>Symbol</i> | <i>Definition</i>                                                                   |
|---------------|-------------------------------------------------------------------------------------|
| $p_{u,i}'^*$  | Non-dimensionalized momentum in the MWC Hessian eigenspace $[*]$                    |
| $p_x$         | Momentum in the $x$ -direction $[\text{kg m s}^{-1}]$                               |
| $p_x^*$       | Non-dimensionalized momentum in the $x$ -direction $[*]$                            |
| $p_{x_1}$     | Momentum in the $x_1$ -direction $[\text{kg m s}^{-1}]$                             |
| $p_{x_1}^*$   | Non-dimensionalized momentum in the $x_1$ -direction $[*]$                          |
| $p_{x_1}'$    | Mass-weighted momentum in the $x_1$ -direction $[\text{kg}^{1/2} \text{ m s}^{-1}]$ |
| $p_{x_1}'^*$  | Non-dimensionalized value of $p_{x_1}'$ $[*]$                                       |
| $p_{x_2}$     | Momentum in the $x_2$ -direction $[\text{kg m s}^{-1}]$                             |
| $p_{x_2}^*$   | Non-dimensionalized momentum in the $x_2$ -direction $[*]$                          |
| $p_{x_2}'$    | Mass-weighted momentum in the $x_2$ -direction $[\text{kg}^{1/2} \text{ m s}^{-1}]$ |
| $p_{x_2}'^*$  | Non-dimensionalized value of $p_{x_2}'$ $[*]$                                       |
| $\dot{p}_x$   | Force in the $x$ -direction $[\text{kg m s}^{-2}]$                                  |
| $\dot{p}_x^*$ | Non-dimensionalized force in the $x$ -direction $[*]$                               |
| $p_{x,i}$     | $x$ -component of $\mathbf{p}_i$ $[\text{kg m s}^{-1}]$                             |
| $p_y$         | Momentum in the $y$ -direction $[\text{kg m s}^{-1}]$                               |
| $p_y^*$       | Non-dimensionalized momentum in the $y$ -direction $[*]$                            |
| $p_{y_1}$     | Momentum in the $y_1$ -direction $[\text{kg m s}^{-1}]$                             |
| $p_{y_1}^*$   | Non-dimensionalized momentum in the $y_1$ -direction $[*]$                          |
| $p_{y_1}'$    | Mass-weighted momentum in the $y_1$ -direction $[\text{kg}^{1/2} \text{ m s}^{-1}]$ |
| $p_{y_1}'^*$  | Non-dimensionalized value of $p_{y_1}'$ $[*]$                                       |
| $p_{y_2}$     | Momentum in the $y_2$ -direction $[\text{kg m s}^{-1}]$                             |

| <i>Symbol</i>    | <i>Definition</i>                                                                     |
|------------------|---------------------------------------------------------------------------------------|
| $p_{y_2}^*$      | Non-dimensionalized momentum in the $y_2$ -direction [ $*$ ]                          |
| $p'_{y_2}$       | Mass-weighted momentum in the $y_2$ -direction [ $\text{kg}^{1/2} \text{ m s}^{-1}$ ] |
| $p'^{*}_{y_2}$   | Non-dimensionalized value of $p'_{y_2}$ [ $*$ ]                                       |
| $\dot{p}_y$      | Force in the $y$ -direction [ $\text{kg m s}^{-2}$ ]                                  |
| $\dot{p}_y^*$    | Non-dimensionalized force in the $y$ -direction [ $*$ ]                               |
| $p_{y,i}$        | $y$ -component of $\mathbf{p}_i$ [ $\text{kg m s}^{-1}$ ]                             |
| $p_z$            | Momentum in the $z$ -direction [ $\text{kg m s}^{-1}$ ]                               |
| $p_z^*$          | Non-dimensionalized momentum in the $z$ -direction [ $*$ ]                            |
| $p_{z_1}$        | Momentum in the $z_1$ -direction [ $\text{kg m s}^{-1}$ ]                             |
| $p_{z_1}^*$      | Non-dimensionalized momentum in the $z_1$ -direction [ $*$ ]                          |
| $p'_{z_1}$       | Mass-weighted momentum in the $z_1$ -direction [ $\text{kg}^{1/2} \text{ m s}^{-1}$ ] |
| $p'^{*}_{z_1}$   | Non-dimensionalized value of $p'_{z_1}$ [ $*$ ]                                       |
| $p_{z_2}$        | Momentum in the $z_2$ -direction [ $\text{kg m s}^{-1}$ ]                             |
| $p_{z_2}^*$      | Non-dimensionalized momentum in the $z_2$ -direction [ $*$ ]                          |
| $p'_{z_2}$       | Mass-weighted momentum in the $z_2$ -direction [ $\text{kg}^{1/2} \text{ m s}^{-1}$ ] |
| $p'^{*}_{z_2}$   | Non-dimensionalized value of $p'_{z_2}$ [ $*$ ]                                       |
| $\dot{p}_z$      | Force in the $z$ -direction [ $\text{kg m s}^{-2}$ ]                                  |
| $\dot{p}_z^*$    | Non-dimensionalized force in the $z$ -direction [ $*$ ]                               |
| $p_\theta$       | Momentum in the $\theta$ -direction [ $\text{kg m s}^{-1}$ ]                          |
| $p_\theta^*$     | Non-dimensionalized momentum in the $\theta$ -direction [ $*$ ]                       |
| $\dot{p}_\theta$ | Force in the $\theta$ -direction [ $\text{kg m s}^{-2}$ ]                             |

| <i>Symbol</i>         | <i>Definition</i>                                                |
|-----------------------|------------------------------------------------------------------|
| $\dot{p}_\theta^*$    | Non-dimensionalized force in the $\theta$ -direction [ $*$ ]     |
| $p_\phi$              | Momentum in the $\phi$ -direction [ $\text{kg m s}^{-1}$ ]       |
| $p_\phi^*$            | Non-dimensionalized momentum in the $\phi$ -direction [ $*$ ]    |
| $\dot{p}_\phi$        | Force in the $\phi$ -direction [ $\text{kg m s}^{-2}$ ]          |
| $\dot{p}_\phi^*$      | Non-dimensionalized force in the $\phi$ -direction [ $*$ ]       |
| $P$                   | Gas partial pressure [Pa]                                        |
| $P(s_1, s_2)$         | Least-squares fit for the three-body potential [eV]              |
| $P(u \rightarrow u')$ | Scattering kernel [ $*$ ]                                        |
| $P(v \rightarrow v')$ | Scattering kernel [ $*$ ]                                        |
| $P(w \rightarrow w')$ | Scattering kernel [ $*$ ]                                        |
| $\mathbf{q}_0$        | Initial configuration vector in Cartesian coordinates [m]        |
| $\mathbf{q}_0^i$      | $\mathbf{q}_0$ at step $i$ [m]                                   |
| $q_i$                 | Generalized coordinate [m]                                       |
| $\dot{q}_i$           | Generalized velocity [ $\text{m s}^{-1}$ ]                       |
| $\ddot{q}_i$          | Generalized acceleration [ $\text{m s}^{-2}$ ]                   |
| $q_m$                 | Factor in the MK adsorption model [ $*$ ]                        |
| $Q$                   | Molecular property in question                                   |
|                       | Total permeation rate [ $\text{molecules s}^{-1}$ ]              |
| $\mathbf{Q}$          | Component of the step $\Delta \mathbf{q}$ along $\mathbf{U}$ [m] |

| <i>Symbol</i>      | <i>Definition</i>                                                                                                          |
|--------------------|----------------------------------------------------------------------------------------------------------------------------|
| $r$                | Intermolecular distance [ $\text{\AA}$ ] [m]<br>Desorption rate [molecules $\text{s}^{-1}$ ]<br>Detector radius [m]        |
| $r^*$              | Non-dimensionalized $r$ -coordinate [ $*$ ]                                                                                |
| $\hat{\mathbf{r}}$ | Unit vector in the $r$ -direction [ $*$ ]                                                                                  |
| $\dot{r}$          | Time-rate-of-change of the $r$ -coordinate [m $\text{s}^{-1}$ ]                                                            |
| $\dot{r}^*$        | Non-dimensionalized time-rate-of-change of the $r$ -coordinate [ $*$ ]                                                     |
| $r_0$              | Equilibrium intermolecular distance [ $\text{\AA}$ ],<br>Fitting coefficient for the three-body potential [ $\text{\AA}$ ] |
| $r_1$              | BR potential adjustable parameter [ $\text{\AA}$ ]                                                                         |
| $r_{3b}$           | Fitting coefficient for the three-body potential [ $\text{\AA}$ ]                                                          |
| $r_c$              | Distance in geometric representation of CLL [ $*$ ]                                                                        |
| $r_e$              | Fitting parameter for $V_{\text{att}}$ [ $\text{\AA}$ ]                                                                    |
| $r_{\text{HS}}$    | Radius of the hypersphere [m]                                                                                              |
| $r_i$              | Distance between gas molecule and $i^{\text{th}}$ bulk molecule [ $\text{\AA}$ ]                                           |
| $r'_i$             | Adjusted value of $r_i$ [ $\text{\AA}$ ]                                                                                   |
| $\mathbf{r}_i$     | Radius vector of atom $i$ [m]                                                                                              |
| $r_{\text{ref}}$   | Reaction zone parameter [ $\text{\AA}$ ]                                                                                   |
| $r_{\text{ref},2}$ | Reaction zone parameter [ $\text{\AA}$ ]                                                                                   |
| $r_{x,i}$          | $x$ -component of $\mathbf{r}_i$ [m]                                                                                       |
| $r_{y,i}$          | $y$ -component of $\mathbf{r}_i$ [m]                                                                                       |

| <i>Symbol</i>       | <i>Definition</i>                                                                                       |
|---------------------|---------------------------------------------------------------------------------------------------------|
| $R$                 | Uniform random number between 0 and 1 [*]<br>Distance from the detector to the surface [m]              |
| $\mathcal{R}$       | Radius parameter [ $\text{\AA}$ ]                                                                       |
| $R_1$               | Uniform random number between 0 and 1 [*]                                                               |
| $R_2$               | Uniform random number between 0 and 1 [*]                                                               |
| $R_3$               | Uniform random number between 0 and 1 [*]                                                               |
| $R_4$               | Uniform random number between 0 and 1 [*]                                                               |
| $R_c$               | Critical effective interaction radius [ $\text{\AA}$ ]                                                  |
| $R_{\text{eff}}$    | Effective interaction radius [ $\text{\AA}$ ]                                                           |
| $R_i$               | Uniform random number between 0 and 1 [*]                                                               |
| $R_{\text{sp}}$     | Specific gas constant [ $\text{J kg}^{-1} \text{K}^{-1}$ ]                                              |
| $S$                 | Solubility [ $\text{molecules m}^{-3} \text{Pa}^{-1/2}$ ]                                               |
| $s_1$               | Variable for the three-body potential [ $\text{\AA}$ ]                                                  |
| $s_2$               | Variable for the three-body potential [ $\text{\AA}$ ]                                                  |
| $S_0$               | Initial adsorption probability [*]<br>Maximum solubility [ $\text{molecules m}^{-3} \text{Pa}^{-1/2}$ ] |
| $S_0^*$             | Adsorption probability onto the adlayer [*]                                                             |
| $S(\theta)$         | Adsorption probability [*]                                                                              |
| $t$                 | Time [s]                                                                                                |
| $\langle t \rangle$ | Average time between events [s]                                                                         |
| $t^*$               | Non-dimensionalized time [*]                                                                            |

| <i>Symbol</i>   | <i>Definition</i>                                                      |
|-----------------|------------------------------------------------------------------------|
| $t_{\text{mp}}$ | Most-probable travel time [s]                                          |
| $\mathbf{T}$    | Pseudo-tangent to the path                                             |
| $\mathcal{T}$   | Kinetic energy [J]                                                     |
| $\mathcal{T}^*$ | Non-dimensionalized kinetic energy [*]                                 |
| $T_c$           | Critical temperature [K]                                               |
| $T_s$           | Surface temperature [K]                                                |
| $T_{\text{tr}}$ | Triple-point temperature [K]                                           |
| $u$             | A tangential velocity component [ $\text{m s}^{-1}$ ]                  |
| $u'$            | A post-collisional tangential velocity component [ $\text{m s}^{-1}$ ] |
| $\bar{u}_j$     | $j^{\text{th}}$ Hessian eigenvector [*]                                |
| $u_{\text{mp}}$ | Most-probable speed [ $\text{m s}^{-1}$ ]                              |
| $\mathbf{u}$    | Vector in calculating the BFGS algorithm [ $\text{m J}^{-1}$ ]         |
| $\mathbf{U}$    | Unitary matrix that diagonalizes $\mathbf{H}$ [*]                      |
| $v$             | A tangential velocity component [ $\text{m s}^{-1}$ ]                  |
| $\mathbf{v}$    | Velocity [ $\text{m s}^{-1}$ ]                                         |
| $v'$            | A post-collisional tangential velocity component [ $\text{m s}^{-1}$ ] |
| $V$             | Potential energy [J]                                                   |
| $V^*$           | Non-dimensionalized potential energy [*]                               |
| $V_0$           | Potential energy at some initial configuration [J]                     |
| $V_{0000}$      | 6D expansion coefficient [ $\text{eV \AA}$ ]                           |
| $V_{0010}$      | 6D expansion coefficient [ $\text{eV \AA}$ ]                           |

| <i>Symbol</i> | <i>Definition</i>                                          |
|---------------|------------------------------------------------------------|
| $V_{0011}$    | 6D expansion coefficient [eV Å]                            |
| $V_{00b}$     | 2D expansion coefficient [eV]                              |
| $V_{00h}$     | 2D expansion coefficient [eV]                              |
| $V_{00t}$     | 2D expansion coefficient [eV]                              |
| $V_2$         | Two-body potential [eV]                                    |
| $V_2^A$       | Two-body potential for H <sub>2</sub> as it desorbs [eV]   |
| $V_2^B$       | Two-body potential for two H atoms [eV]                    |
| $V_{2000}$    | 6D expansion coefficient [eV Å]                            |
| $V_{2010}$    | 6D expansion coefficient [eV Å]                            |
| $V_{2011}$    | 6D expansion coefficient [eV Å]                            |
| $V_{2e10}$    | 6D expansion coefficient [eV Å]                            |
| $V_{20b}$     | 2D expansion coefficient [eV]                              |
| $V_{20h}$     | 2D expansion coefficient [eV]                              |
| $V_{20t}$     | 2D expansion coefficient [eV]                              |
| $V_{2eb}$     | 2D expansion coefficient [eV]                              |
| $V_3$         | Three-body potential [eV]                                  |
| $V_3^A$       | Three-body potential for H <sub>2</sub> as it desorbs [eV] |
| $V_3^B$       | Three-body potential for two H atoms [eV]                  |
| $V_{6D}$      | Wiesenekker 6D PES [eV]                                    |
| $V_{att}$     | Two-body attractive potential [eV]                         |
| $V_{bh140}$   | 2D PES [eV]                                                |

| <i>Symbol</i>  | <i>Definition</i>                                                  |
|----------------|--------------------------------------------------------------------|
| $V_{bh90}$     | 2D PES [eV]                                                        |
| $V_{bt90}$     | 2D PES [eV]                                                        |
| $V_{bt140}$    | 2D PES [eV]                                                        |
| $V_{BR}$       | BR potential [kJ mol <sup>-1</sup> ]                               |
| $V_{hb90}$     | 2D PES [eV]                                                        |
| $V_{hb140}$    | 2D PES [eV]                                                        |
| $V_{LJ}$       | LJ potential [kJ mol <sup>-1</sup> ]                               |
| $V_M$          | Morse potential [kJ mol <sup>-1</sup> ]                            |
| $V_{rep}$      | Two-body repulsive potential [eV]                                  |
| $V_{tb90}$     | 2D PES [eV]                                                        |
| $V_{tb140}$    | 2D PES [eV]                                                        |
| $V(z_g^{ave})$ | BR potential term [kJ mol <sup>-1</sup> ]                          |
| $w$            | Normal velocity component [m s <sup>-1</sup> ]                     |
| $w'$           | Post-collisional normal velocity component [m s <sup>-1</sup> ]    |
| $W$            | BR potential adjustable parameter [kJ mol <sup>-1</sup> ]          |
| $x$            | $x$ -coordinate (parallel to the surface) [m]                      |
|                | Reaction order for desorption [*]                                  |
| $x^*$          | Non-dimensionalized $x$ -coordinate [*]                            |
| $\dot{x}$      | Time-rate-of-change of the $x$ -coordinate [m s <sup>-1</sup> ]    |
| $\dot{x}^*$    | Non-dimensionalized time-rate-of-change of the $x$ -coordinate [*] |
| $x_1$          | $x$ -coordinate of atom 1 [m]                                      |

| <i>Symbol</i>         | <i>Definition</i>                                                           |
|-----------------------|-----------------------------------------------------------------------------|
| $\dot{x}_1$           | Time-rate-of-change of the $x$ -coordinate of atom 1 [m s <sup>-1</sup> ]   |
| $x_2$                 | $x$ -coordinate of atom 2 [m]                                               |
| $\dot{x}_2$           | Time-rate-of-change of the $x$ -coordinate of atom 2 [m s <sup>-1</sup> ]   |
| $x_g$                 | A coordinate of the gas molecule [Å]                                        |
| $x_i$                 | A coordinate of the surface molecule [Å]<br>$x$ -coordinate of atom $i$ [m] |
| $y$                   | $y$ -coordinate (parallel to the surface) [m]                               |
| $y^*$                 | Non-dimensionalized $y$ -coordinate [*]                                     |
| $\dot{y}$             | Time-rate-of-change of the $y$ -coordinate [m s <sup>-1</sup> ]             |
| $\dot{y}^*$           | Non-dimensionalized time-rate-of-change of the $y$ -coordinate [*]          |
| $y_1$                 | $y$ -coordinate of atom 1 [m]                                               |
| $\dot{y}_1$           | Time-rate-of-change of the $y$ -coordinate of atom 1 [m s <sup>-1</sup> ]   |
| $y_2$                 | $y$ -coordinate of atom 2 [m]                                               |
| $\dot{y}_2$           | Time-rate-of-change of the $y$ -coordinate of atom 2 [m s <sup>-1</sup> ]   |
| $y_g$                 | A coordinate of the gas molecule [Å]                                        |
| $y_i$                 | A coordinate of the surface molecule [Å]<br>$y$ -coordinate of atom $i$ [m] |
| $Y_2^e(\theta, \phi)$ | Modified spherical harmonic [*]                                             |
| $Y_l^m(\theta, \phi)$ | Spherical harmonics of degree $l$ and order $m$ [*]                         |
| $z$                   | Height above the surface [Å] [m]                                            |
| $z^*$                 | Non-dimensionalized $z$ -coordinate [*]                                     |

| <i>Symbol</i>         | <i>Definition</i>                                                         |
|-----------------------|---------------------------------------------------------------------------|
| $\dot{z}$             | Time-rate-of-change of the $z$ -coordinate [m s <sup>-1</sup> ]           |
| $\dot{z}^*$           | Non-dimensionalized time-rate-of-change of the $z$ -coordinate [*]        |
| $z_0$                 | Fitting coefficient for the three-body potential [Å]                      |
| $z_1$                 | Height of atom 1 relative to the surface [Å] [m]                          |
| $\dot{z}_1$           | Time-rate-of-change of the $z$ -coordinate of atom 1 [m s <sup>-1</sup> ] |
| $z_2$                 | Height of atom 2 relative to the surface [Å] [m]                          |
| $\dot{z}_2$           | Time-rate-of-change of the $z$ -coordinate of atom 2 [m s <sup>-1</sup> ] |
| $z_{3b}$              | Fitting coefficient for the three-body potential [Å]                      |
| $z_e$                 | Fitting parameter for $V_{\text{att}}$ [Å]                                |
| $z_g$                 | A coordinate of the gas molecule [Å]                                      |
| $z_g^{\text{ave}}$    | Height above the “local-average” surface [Å]                              |
| $z_{gs}^{\text{ave}}$ | Height of the “local-average” surface [Å]                                 |
| $z_i$                 | A coordinate of the surface molecule [Å]                                  |
| $z_{\text{ref}}$      | Reaction zone parameter [Å]                                               |
| $z_{\text{ref},2}$    | Reaction zone parameter [Å]                                               |

| <i>Greek Symbol</i> | <i>Definition</i>                                                                  |
|---------------------|------------------------------------------------------------------------------------|
| $\alpha$            | BR potential adjustable parameter [Å <sup>-1</sup> ]                               |
| $\alpha_1$          | BR potential adjustable parameter [Å <sup>-1</sup> ]<br>A Cartesian coordinate [m] |
| $\alpha_{1c}$       | $\alpha_1$ -coordinate of the center of the hypersphere [m]                        |

| <i>Greek Symbol</i>   | <i>Definition</i>                                                                     |
|-----------------------|---------------------------------------------------------------------------------------|
| $\alpha_j$            | A Cartesian coordinate [m]                                                            |
| $\alpha_{jc}$         | $\alpha_j$ -coordinate of the center of the hypersphere [m]                           |
| $\alpha_{j,i}$        | $\alpha_j$ taken from $\mathbf{p}_i$ [m]                                              |
| $\alpha_{j,i+1}$      | $\alpha_j$ taken from $\mathbf{p}_{i+1}$ [m]                                          |
| $\alpha_n$            | Accommodation coefficient, normal kinetic energy [*]                                  |
| $\alpha_{n,ad}$       | Adlayer accommodation coefficient, normal kinetic energy [*]                          |
| $\alpha_t$            | Accommodation coefficient, tangential kinetic energy [*]                              |
| $\alpha_{t,ad}$       | Adlayer accommodation coefficient, tangential kinetic energy [*]                      |
| $\beta_i$             | Generalized mass-weighted coordinate [m kg <sup>1/2</sup> ]                           |
| $\ddot{\beta}_i$      | Generalized mass-weighted acceleration [m s <sup>-2</sup> kg <sup>1/2</sup> ]         |
| $\gamma_1$            | Fitting coefficient for the three-body potential [Å <sup>-1</sup> ]                   |
| $\gamma_2$            | Fitting coefficient for the three-body potential [Å <sup>-1</sup> ]                   |
| $\delta$              | BR potential adjustable parameter [Å <sup>-2</sup> ]                                  |
| $\Delta \mathbf{q}$   | Configuration perturbation vector in Cartesian coordinates [m]                        |
| $\Delta \mathbf{q}_j$ | $\Delta \mathbf{q}$ with all elements zero except for the $j^{\text{th}}$ element [m] |
| $\Delta q_j$          | $j^{\text{th}}$ element of $\Delta \mathbf{q}$ [m]                                    |
| $\Delta t$            | Desorption event time step [s]                                                        |
| $\Delta V$            | Change in $V$ between adsorbed and TS configurations [J]                              |
| $\Delta V^*$          | Non-dimensionalized value of $\Delta V$ [*]                                           |
| $\Delta x$            | Difference between $x_1$ ( $x_2$ ) and $x$ [m]                                        |
|                       | Step taken in evaluating a central difference derivative [m]                          |

| <i>Greek Symbol</i>       | <i>Definition</i>                                                                                                                                                            |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $\Delta y$                | Difference between $y_1$ ( $y_2$ ) and $y$ [m]                                                                                                                               |
| $\Delta z$                | Difference between $z_1$ ( $z_2$ ) and $z$ [m]                                                                                                                               |
| $\Delta\zeta$             | Reaction zone parameter [radians]                                                                                                                                            |
| $\Delta\theta$            | Angular sweep of $A_{\text{cap}}$ [radians]                                                                                                                                  |
| $\Delta\xi$               | Reaction zone parameter [radians]                                                                                                                                            |
| $\varepsilon_{\text{LJ}}$ | LJ well-depth parameter [kJ mol <sup>-1</sup> ]                                                                                                                              |
| $\varepsilon_{\text{M}}$  | Morse well-depth parameter [kJ mol <sup>-1</sup> ]                                                                                                                           |
| $\epsilon_1$              | Threshold parameter [m]                                                                                                                                                      |
| $\epsilon_2$              | Threshold parameter [*]                                                                                                                                                      |
| $\zeta$                   | Reaction zone parameter [radians]                                                                                                                                            |
| $\zeta_0$                 | Reaction zone parameter [radians]                                                                                                                                            |
| $\eta$                    | Threshold parameter [radians]                                                                                                                                                |
| $\theta$                  | Adlayer coverage [ML],<br>Elevation angle [radians]<br>Angle between $\mathbf{G}$ and $\mathbf{T}$ [radians]<br>Desorption angle, measured from the surface normal [radians] |
| $\theta^*$                | Non-dimensionalized $\theta$ -coordinate [radians]                                                                                                                           |
| $\hat{\theta}$            | Unit vector in the $\theta$ -direction [*]                                                                                                                                   |
| $\dot{\theta}$            | Time-rate-of-change of the $\theta$ -coordinate [radians s <sup>-1</sup> ]                                                                                                   |
| $\dot{\theta}^*$          | Non-dimensionalized value of $\dot{\theta}$ [radians]                                                                                                                        |
| $\theta_c$                | Angle in geometric representation of CLL [*]                                                                                                                                 |

| <i>Greek Symbol</i>  | <i>Definition</i>                                                        |
|----------------------|--------------------------------------------------------------------------|
| $\theta_f$           | In-plane scattering angle with respect to surface normal [°]             |
| $\theta_i$           | Angle of incidence with respect to the surface normal [°]                |
| $\lambda$            | Optimal step length [m]                                                  |
| $\lambda_0$          | Diagonal matrix of the eigenvalues of $\mathbf{H}_0$ [*]                 |
| $\mu$                | Reduced mass [kg]                                                        |
| $\nu$                | Molecular vibrational frequency [cycles s <sup>-1</sup> ]                |
|                      | Frequency factor [molecules <sup>-(x-1)</sup> s <sup>-1</sup> ]          |
| $\xi$                | Reaction zone parameter [radians]                                        |
| $\Xi$                | Angle between the $z$ -axis and $\mathcal{J}$ [radians]                  |
| $\xi_0$              | Reaction zone parameter [radians]                                        |
| $\rho_{\text{att}}$  | Variable for $V_{\text{att}}$ [Å]                                        |
| $\rho_{\text{rep}}$  | Variable for $V_{\text{rep}}$ [Å]                                        |
| $\sigma_{\text{LJ}}$ | LJ zero-potential intermolecular distance [Å]                            |
| $\sigma_{\text{M}}$  | Morse potential parameter [Å <sup>-1</sup> ]                             |
| $\sigma_n$           | Accommodation coefficient, normal momentum [*]                           |
| $\sigma_t$           | Accommodation coefficient, tangential momentum [*]                       |
| $\phi$               | Azimuthal angle [radians]                                                |
|                      | Concentration of molecules [molecules m <sup>-3</sup> ]                  |
| $\phi^*$             | Non-dimensionalized $\phi$ -coordinate [radians]                         |
| $\hat{\phi}$         | Unit vector in the $\phi$ -direction [*]                                 |
| $\dot{\phi}$         | Time-rate-of-change of the $\phi$ -coordinate [radians s <sup>-1</sup> ] |

| <i>Greek Symbol</i> | <i>Definition</i>                                                                    |
|---------------------|--------------------------------------------------------------------------------------|
| $\dot{\phi}^*$      | Non-dimensionalized value of $\dot{\phi}$ [radians]                                  |
| $\Phi$              | Permeability [molecules m <sup>-1</sup> s <sup>-1</sup> Pa <sup>-1/2</sup> ]         |
| $\Phi_0$            | Maximum permeability [molecules m <sup>-1</sup> s <sup>-1</sup> Pa <sup>-1/2</sup> ] |
| $\phi(r)$           | BR potential weighting function [*]                                                  |
| $\Phi_i^Q$          | Incident flux of $Q$ [cm <sup>-2</sup> s <sup>-1</sup> ]                             |
| $\Phi_r^Q$          | Reflected flux of $Q$ [cm <sup>-2</sup> s <sup>-1</sup> ]                            |
| $\Phi_w^Q$          | Fully-accommodated reflected flux of $Q$ [cm <sup>-2</sup> s <sup>-1</sup> ]         |
| $\chi$              | Reaction zone parameter [radians]                                                    |
| $\chi_2$            | Reaction zone parameter [radians]                                                    |
| $\Omega$            | Solid angle [steradians]                                                             |

## Appendix B. List of Acronyms

| <i>Acronym</i> | <i>Definition</i>                            |
|----------------|----------------------------------------------|
| 1D             | One-Dimensional                              |
| 2D             | Two-Dimensional                              |
| 3D             | Three-Dimensional                            |
| 6D             | Six-Dimensional                              |
| AFOSR          | Air Force Office of Scientific Research      |
| AFRL           | Air Force Research Laboratory                |
| BFGS           | Broyden-Fletcher-Goldfarb-Shanno             |
| BR             | Barker-Rettner                               |
| CDF            | Cumulative Distribution Function             |
| CFD            | Computational Fluid Dynamics                 |
| CLL            | Cercignani-Lampis-Lord                       |
| CT             | Classical Trajectory                         |
| DFT            | Density Functional Theory                    |
| DIET           | Desorption Induced by Electronic Transitions |
| DSMC           | Direct Simulation Monte Carlo                |
| EM             | Electromagnetic                              |
| ER             | Eley-Rideal                                  |
| ESD            | Electron-Stimulated Desorption               |
| FCC            | Face-Centered Cubic                          |
| FID            | Field-Induced Desorption                     |

| <i>Acronym</i> | <i>Definition</i>                                    |
|----------------|------------------------------------------------------|
| GGA            | Generalized Gradient Approximation                   |
| GW             | Gaussian Weighting                                   |
| HPM            | High-Power Microwave                                 |
| ICEPIC         | Improved Concurrent Electromagnetic Particle-In-Cell |
| IID            | Ion-Induced Desorption                               |
| LEPS           | London-Eyring-Polyani-Sato                           |
| LH             | Langmuir-Hinshelwood                                 |
| LJ             | Lennard-Jones                                        |
| MB             | Maxwell-Boltzmann                                    |
| MC             | Monte Carlo                                          |
| MD             | Molecular Dynamics                                   |
| MEMS           | Micro-Electro-Mechanical Systems                     |
| MK             | Modified Kisliuk                                     |
| MKS            | Modified Kisliuk with Scattering                     |
| ML             | Monolayer                                            |
| MS             | Multi-Stage                                          |
| MWC            | Mass-Weighted Cartesian                              |
| PES            | Potential Energy Surface                             |
| PID            | Photo-Induced Desorption                             |
| QCT            | Quasi-Classical Trajectory                           |
| RS             | Rigid Surface                                        |

| <i>Acronym</i> | <i>Definition</i>                           |
|----------------|---------------------------------------------|
| SEE            | Secondary Electron Emission                 |
| SWS            | Slow Wave Structure                         |
| TPD            | Temperature-Programmed Desorption           |
| TS             | Transition State                            |
| TST            | Transition State Theory                     |
| TTPD           | Threshold Temperature-Programmed Desorption |
| UHV            | Ultra-High Vacuum                           |
| XHV            | Extreme-High Vacuum                         |
| ZPE            | Zero-Point Energy                           |

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| REPORT DOCUMENTATION PAGE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |             |                                |                               |                           | Form Approved<br>OMB No. 0704-0188                                                          |  |
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| 13. SUPPLEMENTARY NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |                                |                               |                           |                                                                                             |  |
| 14. ABSTRACT<br>Surface effects limit the performance of hypersonic vehicles, micro-electro-mechanical devices, and directed energy systems. This research develops methods to predict adsorption, scattering, and thermal desorption of molecules on a surface. These methods apply to physisorptive (adsorption and scattering) and chemisorptive (thermal desorption) gas-surface systems, and are developed under the Direct Simulation Monte Carlo construct. The novel adsorption and scattering contribution, the Modified Kisliuk with Scattering method, predicts angular and energy distributions, and adsorption probabilities. These results agree more closely with experiment than the state-of-the-art Cercignani-Lampis-Lord scattering kernel. Super-elastic scattering is predicted. Gas-adsorbed interactions are included for the first time. The new thermal desorption model accurately predicts angular and energy distributions. The equations of motion are non-dimensionalized. Accurate timing is included. Initial conditions are chosen from a new truncated Maxwell-Boltzmann distribution. The absorption energy barrier is shown to significantly contribute only to translational energy. |             |                                |                               |                           |                                                                                             |  |
| 15. SUBJECT TERMS<br>Hypersonics, Direct Simulation Monte Carlo, DSMC, Surface Effects, Desorption, Adsorption, Scattering, Rarefied Flow, High-Power Microwaves, Microflow, MEMS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |             |                                |                               |                           |                                                                                             |  |
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| U                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | U           | U                              | UU                            | 168                       |                                                                                             |  |