

# Navy SBIR/STTR Program Overview CAPT Rudy Klicek

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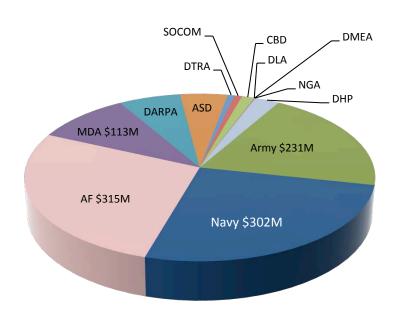


- Everything you need to know about Navy SBIR/STTR
  - Navy Organizations
  - Phases, funding amounts, requirements
  - Do's and Don'ts
- Secrets of success
  - Transition of your technology is your responsibility
  - What is Transition? (depends on who you ask)
  - Increasing your odds



# **Navy SBIR/STTR Opportunity**

DoD Budgets	FY11	FY12 (est)
SBIR	\$1,041M	\$987M
STTR	\$117M	\$123M



Navy-wide	Average (FY07-10)
Topics	262
Phase I Awards	726
Phase II Awards	293



### **Program Funding Basics**

- Federal Wide Program \$2.0+ Billion
- SBIR- Small Business Innovative Research Program
  - 2.6% of RDT&E in FY2012, rising to 3.2% for FY2017
- STTR- Small Business Technology Transfer Program
  - .3% of RDT&E in FY2012, rising to .45% for FY2016
- Programs Fund Innovative, High Tech Research with Small Businesses



### **DoN SBIR/STTR Goals, Structure, Strategy**

### Goals

- Use small business to develop innovative R&D that meets a DoN Need
- Transition that technology to a DoN platform/ system
  - Topics and awards based on R&D priorities and SBIR funding allocation.
    - The PEO gets back 90% of its SBIRISTTR tax.
  - Over 80% of Navy Topics are selected by PEO/PM/FNC office and address one of their specific needs -- not just "sponsored by".
- Good Sources of Information
  - www.navysbir.com www.navysbirsearch.com www.dodsbir.net
  - www.zyn.com/sbir (best source to look for any Fed. Topic)
  - www.sba.gov "Contracting -Working with the Government"



## **SBIR/STTR Participating Agencies**



- DOD SBIR/STTR
- HHS SBIR/STTR
- NASA SBIR/STTR
- DOE SBIR/STTR
- NSF SBIR/STTR
- DHS SBIR
- USDA SBIR
- DOC SBIR
- ED SBIR
- EPA SBIR



### **Navy SBIR/STTR Website**

of the

Month!

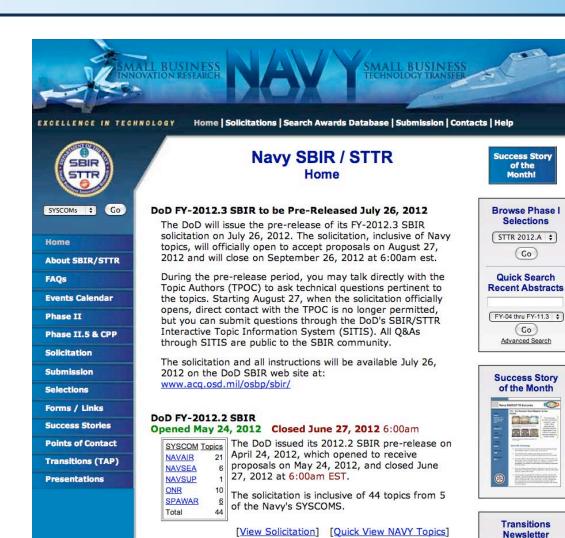
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Go

Winter 2012

### **Learn about:**

- SBIR/STTR Phases (funding, timelines, requirements, etc.)
- Solicitation Schedules
- Links to SYSCOM sites
- Program POCs
- **Submitting Proposals**
- **Getting Started**
- **Getting Paid**





### The What and Where

#### **Idea Generation**

# Prototype

**Development** 

# Demonstration / Commercialization

### Phase I

6m \$80K base 6m \$70K option



### **Phase II**

Base & Option vary by SYSCOM

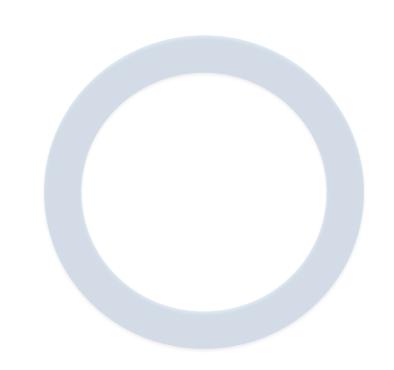


### **Phase III**

Non-SBIR Funding (Government or Private)



Production/Further R&D



Each SYSCOM has own Phase II guidance (typical amounts shown)





# SBIR/STTR 'Topics' are posted quarterly on the DoD SBIR website at: www.dodsbir.net

- Phase I Awards help determine technical merit, feasibility of the Innovation and small business performance
- Phase II Awards mature the innovation by building and testing a prototype. Options are based on strong Phase III transition potential
- Phase II.5 Funding continues the Phase II development with strong transition opportunities and agreements with an acquisition program
- Phase III Transitions the SBIR/STTR technology into a DoD application thru non-SBIR/STTR funding and other support from ONR S&T Program Offices, Navy SYSCOMs, etc...



### **Transition Perspectives**

- Navy's Focus
  - Technology into the hands of the warfighter
  - Return on investment
  - Tap into the agility and innovation of SBCs
- TPOC's Focus
  - Technology transition to a SPECIFIC program or need
    - Future Naval Capability
    - Innovative Naval Prototype
    - Acquisition Program of Record
  - Several ongoing projects each with a single transition path



### **Transition Perspectives (cont.)**

- Transition Sponsor's Focus (varies)
  - Technology development culture
    - Investment areas
    - S&T or R&D
    - Technologies, components, or systems
  - Technology Readiness
    - Maturity supports insertion timeline
  - Manufacturing Readiness
    - Producible in sufficient quantities
  - Affordability



### **Transition Perspectives (cont.)**

### Your Focus

- Get product to market!
  - Short term (i.e., next phase)
  - Long term (sales, licensing, being acquired)
- Seek multiple applications/paths
  - Other Programs, SYSCOMS, Services, Agencies, Primes, Adjacent Markets
- Don't place all your bets on one horse
  - Needs change, wars end, funding dies

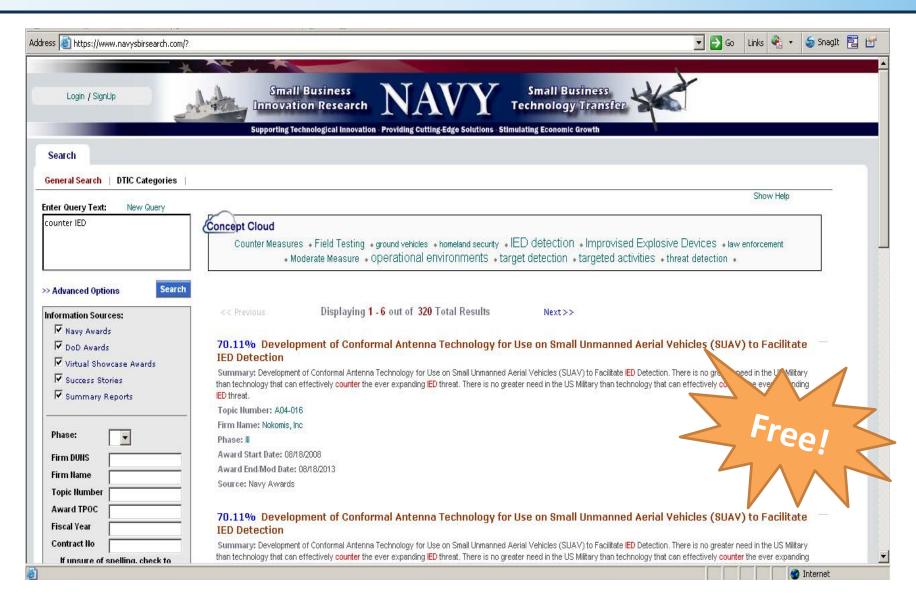


### **DoN Rapid Innovation Fund**

- Created in FY2011 Defense Act, provided \$440M in R&D funds to accelerate the fielding of innovative technologies into high priority military systems.
- FY2011 RIF funding priority goes to small business, for projects that:
  - Enhance military capabilities
  - Reduce development, acquisition or sustainment costs
  - Reduce technical risk
  - Improve testing and evaluation outcomes
- Up to \$3M per project; completion within 24 months of award.
- FY2012 **RIF** will make \$200M available for a nearly identical program, with FY2013 **RIF** funding probable.
- RIF process entails expedited and streamlined submission, evaluation and selection process.
- **RIF** Broad Agency Announcements advertised in the 9 July timeframe on www.FedBizOpps.gov Information available at www.navysbir.com



### **Don't Forget Partnering**





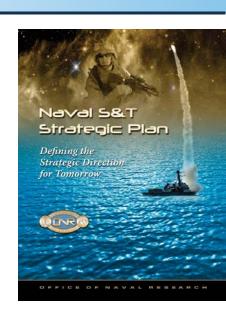


- Communicate with your TPOC
  - Project status, contract status and PoP (80% expended)
  - Understand project requirements and changes
  - Understand and support the transition path
- Don't undermine your TPOC's transition efforts
- Communicate with your Contracting Officer
  - Read and understand your contract
  - Don't let your contract expire (no-cost extensions)
- Your SBIR/STTR contractual work has a higher priority than anything else



### Tips for Succeeding w/Navy

- Just Getting Started?
  - Research topics consistent with your business strategy
  - Current and past solicitations identify Navy technology needs
  - Know Navy structure
  - Submit proposals for solicitations you can solve
  - Prepare to be innovative
- Already have a Phase I?
  - Know your target platform/system for insertion
  - Build strategic partnerships (Primes, Universities, Acquisition Managers, Program Managers)
  - Plan commercialization path early with TPOC









How the customer explained it



How the project leader understood it



How the analyst designed it



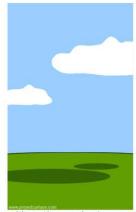
How the programmer wrote it



What the beta testers received



How the business consultant described it



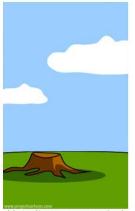
How the project was documented



What operations installed



How the customer was billed



How it was supported



What marketing advertised



What the customer really needed





- Don't get discouraged
  - It's not you, it's us You may be doing great stuff sometimes requirements change, needs go away, funding gets cut......so always try again





- The Navy SBIR website is a great starting point
- Transition is in the eye of the beholder
- There are various transition opportunities
- Utilize your Transition Agent (TPOC)
- Don't lose focus on your current effort



# **BACK-UP**

Additional SBIR/STTR Info

# Manylonk SSIK-STTK Program

The Navy Small Business Innovation Research/Small Business Technology Transfer (SBIR/STTR) Program provides opportunities for Small Businesses develop innovative technologies that address high-priority 'Navy'-specific needs:

\*Navy SBIR/STTR Program Director: Mr. John Williams (also the Program Director for ONR's SBIR/STTR Program), john.williams6@navy.mil

\*ONR SBIR Program Manager: Ms. Tracy Frost, <a href="mailto:tracey.frost@navy.mil">tracey.frost@navy.mil</a>

\*ONR STTR Program Manager: Ms. Steve Sullivan, steven.sullivan@navy.mil

Note: SBIR, STTR requires partnering with Colleges and Universities and encourages partnership with larger firms to help ensure technology Transition onto Navy platforms

# Manylonk SSIK-STTK Program

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Phase I – Awards help determine technical merit, feasibility of the Innovation and small business performance

Phase II – Awards mature the innovation by building and testing a prototype. Options are based on strong Phase III transition potential

Phase II.5 – Funding continues the Phase II development with strong transition opportunities and agreements with an acquisition program

Phase III – Transitions the SBIR/STTR technology into a DoD application thru non-SBIR/STTR funding and other support from ONR S&T Program Offices, Navy SYSCOMs, etc...

# Manylonk SSIK-STTK Program

The goal of the Navy/ONR SBIR Program is to use Small Businesses to foster innovative research and development that addresses a Navy need and commercialize that technology into a Navy platform or weapon systems:

\*Transition Assistance Program (TAP): Assists Phase II companies in developing transition tools (e.g. abstract, quad chart, etc.) and then offers a final showcase of products at the 'NAVY OPPORTUNITY FORUM' with attendees from Navy Acquisition program offices and inquiry.

# Many/ONR SSIR-STIR Program

\*Navysbirsearch.com Database: This online database allows companies to make partnering connections and explore the Navy's current technology investment areas. It includes SBIR award information, success stories, summary reports, points of contact, etc...

\*Best Technology Transitions Report & Defense Contractors Partnering Manual: Located on <a href="www.navy.sbir.com">www.navy.sbir.com</a>. This manual focuses on successful transition strategies companies may use to increase the transition in their technology.