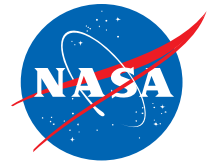
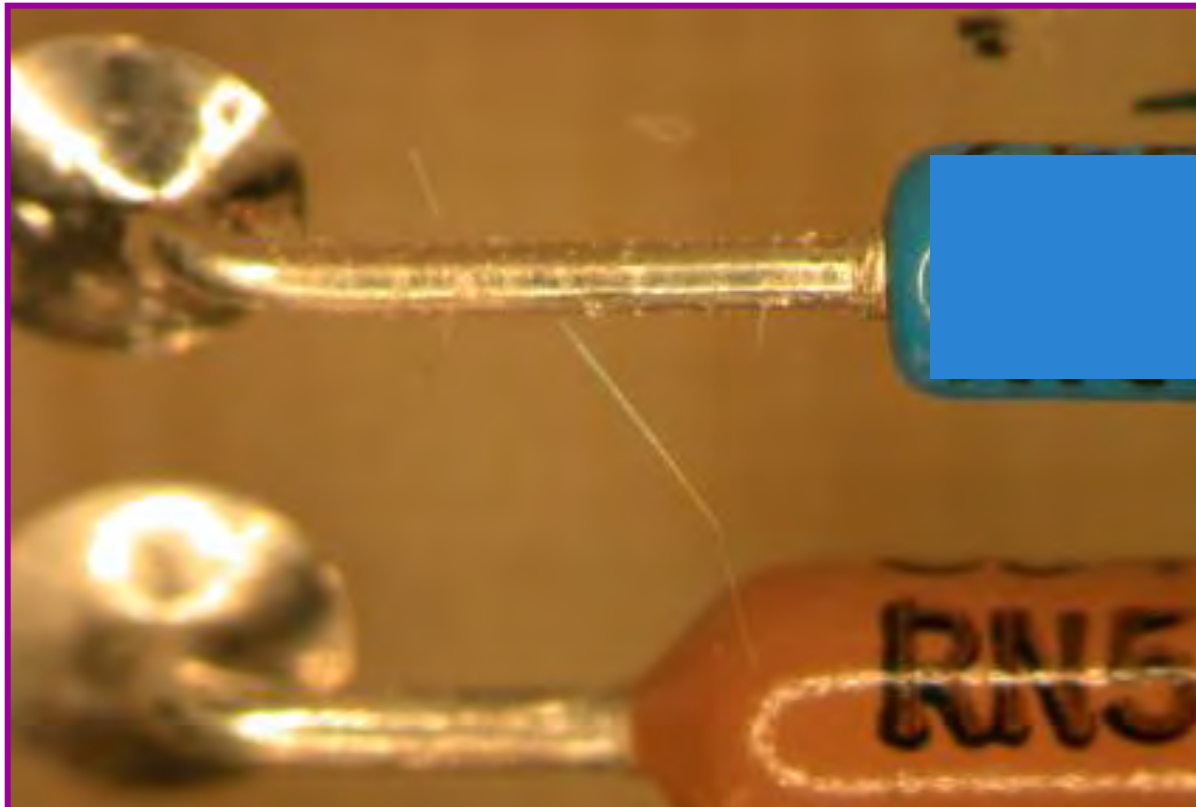


# Metal Whiskers



## A Discussion of Risks and Mitigation



Jay Brusse / Dell Perot Systems

<http://nepp.nasa.gov/whisker>

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Lyudmyla Panashchenko / NASA Goddard

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2010 Environmental Technology  
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## **METAL WHISKERS: A DISCUSSION OF RISKS AND MITIGATION**

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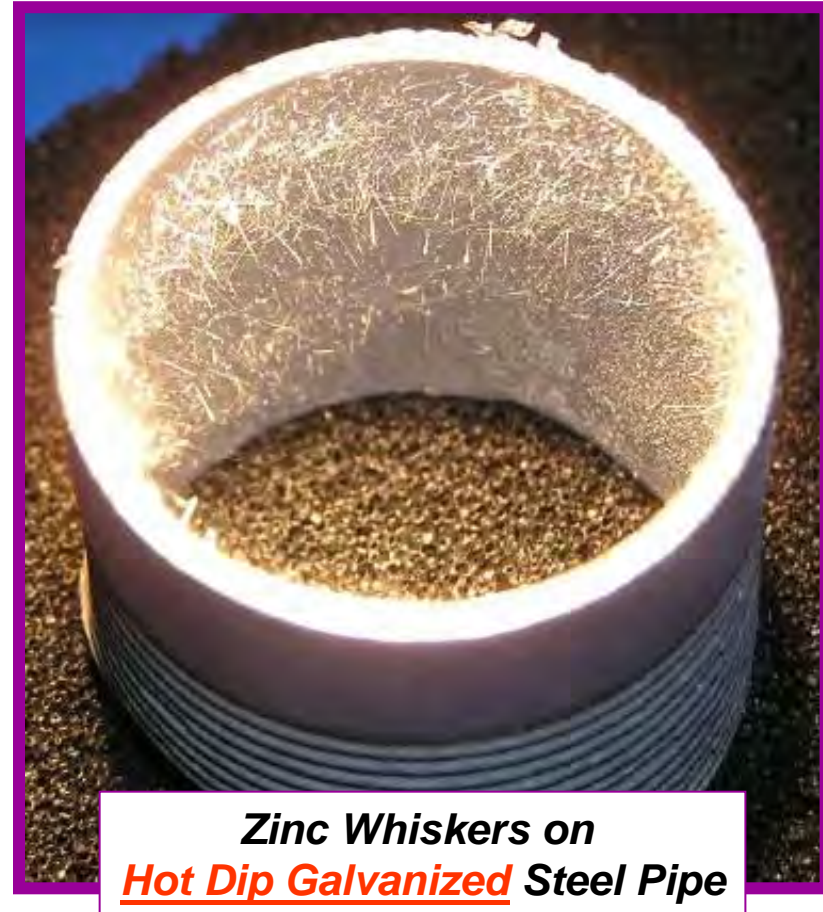
Jay.A.Brusse@nasa.gov

CO-PERFORMERS: Dr. Henning Leidecker and Ms. Lyudmyla Panashchenko  
(NASA Goddard)

**M**etal coatings, especially of tin, zinc and cadmium, are unpredictably susceptible to the formation of electrically conductive, crystalline filaments referred to as metal whiskers. The use of such coatings in and around electrical systems presents a risk of electrical shorting. Examples of metal whisker formation are shown with discussion of optical inspection techniques to improve probability of detection. The failure modes (i.e., electrical shorting behavior) associated with metal whiskers are described. Based on a 10 plus year study, the benefits of polyurethane conformal coat (namely, Arathane 5750) to protect electrical conductors from whisker-induced short circuit anomalies is discussed.

# Outline

- A Brief History of Metal Whiskers  
*No Growth Theory  
To Be Discussed!!!*
- Electrical Properties of Metal Whiskers  
*Character of Short Circuits*
- NASA Whisker Mitigation Study  
*Arathane 5750 Conformal Coat*

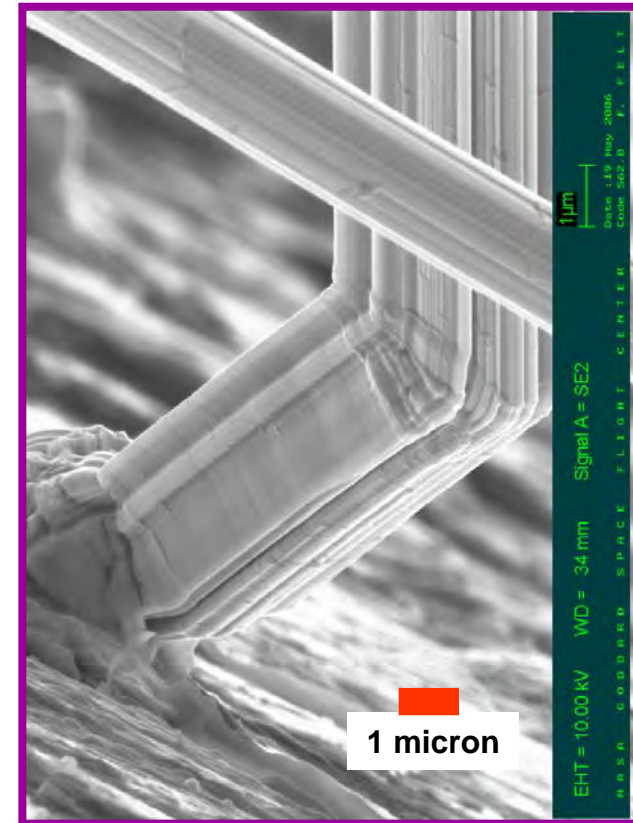


**Cover Photo:**

***Tin whiskers on Tin-Plated Diode Terminals (Courtesy Ted Riccio - STPNOC)***

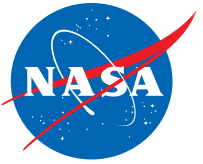
# What are Metal Whiskers?

- **DESCRIPTION:**
  - Hair-like, metallic crystals that **UNPREDICTABLY** grow out from a metal surface
    - Straight or kinked filaments, nodules, odd-shaped eruptions
    - Filaments usually have uniform cross section along entire length
  - **Tin, Zinc and Cadmium** coatings are most common sources
  - Whiskers are also less frequently seen on Indium, Silver, Lead, Gold and other metals
- **GROWTH TIMELINE:**
  - **Incubation:** Absence of growth may last hours to years
  - **Growth:** Accretion of metal ions at the ROOT of whisker NOT at tip  
Long-range diffusion of metal atoms within coating
  - **Growth Rate:** < 1 mm/yr (typical)  
Highly variable (up to 9mm/yr reported)
- **LENGTH:** Log-normal distribution [1]  
~1 mm or less (typical)  
Rarely up to 10 mm or more
- **THICKNESS:** Log-normal distribution [1]  
A few microns (typical)  
Range submicron to >10 um



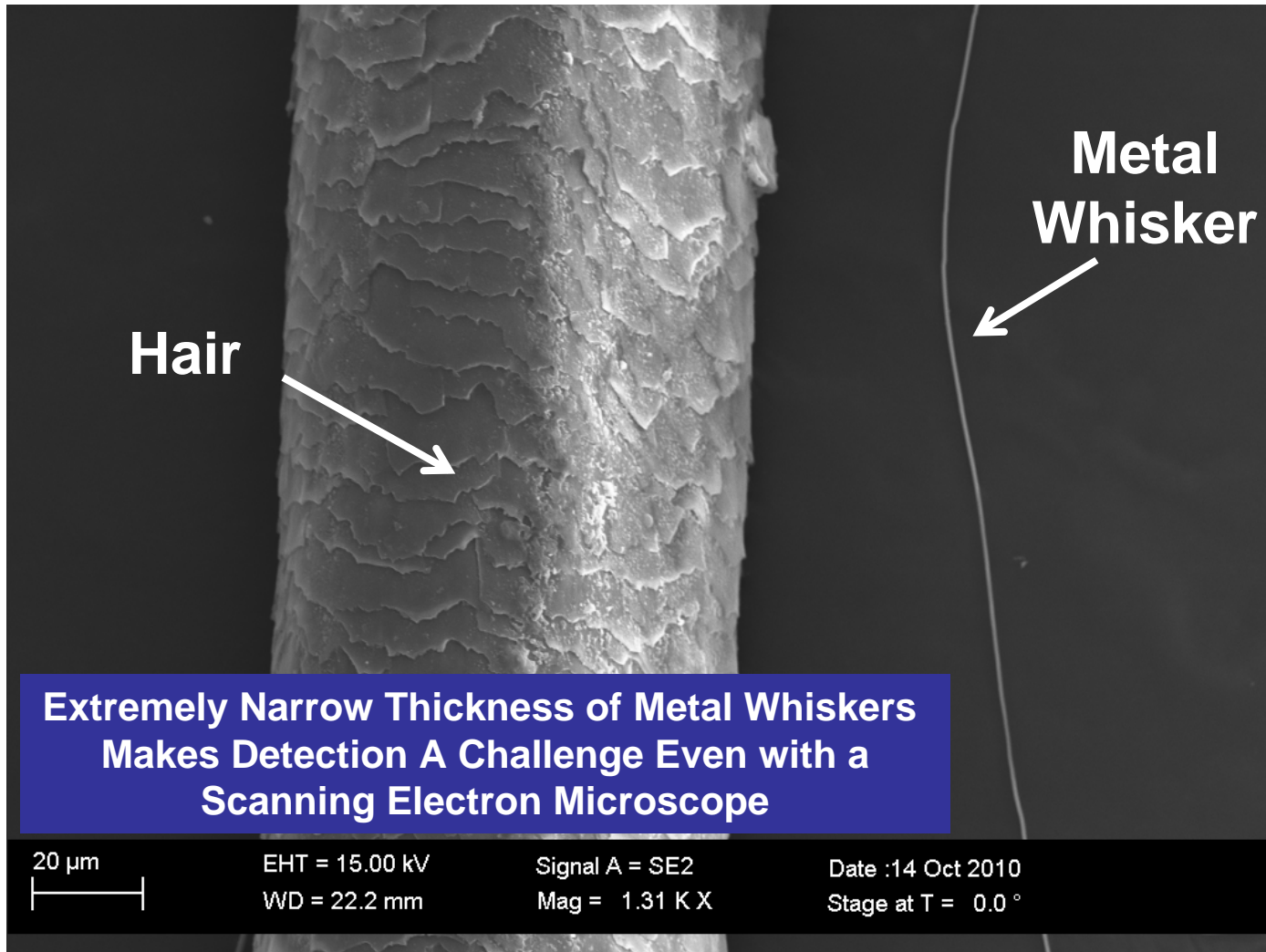
**Tin Whiskers on Tin-Plated Electromagnetic Relay Terminals**

[1] L. Panashchenko "Evaluation of Environmental Tests for Tin Whisker Assessment", MS Thesis, University of MD, 2009. <http://hdl.handle.net/1903/10021>

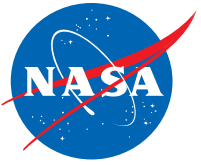


# Human Hair vs. Metal Whisker

**Metal Whiskers are commonly 1/10 to < 1/100 times thinner than a human hair!!!**



# Challenges with Optical Microscopy for Detecting Metal Whiskers

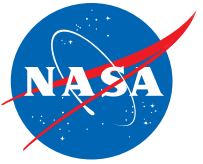


Videos with Guidance → <http://nepp.nasa.gov/whisker/video>

## Tin-Plated Lock Washer



***The absence of evidence is NOT evidence of absence***



## *The Good News:*

Not All Tin, Zinc or Cadmium Surfaces  
Will Grow Whiskers  
(See Back Up Slide for Discussion)

## *The Bad News:*

Current theories and test methods **DO NOT**  
have predictive power of the time-dependence of  
Whisker Density (# per area), Length or  
Thickness Distributions

A useful theory should identify what we must  
control to make confident predictions.  
Such a theory has remained elusive

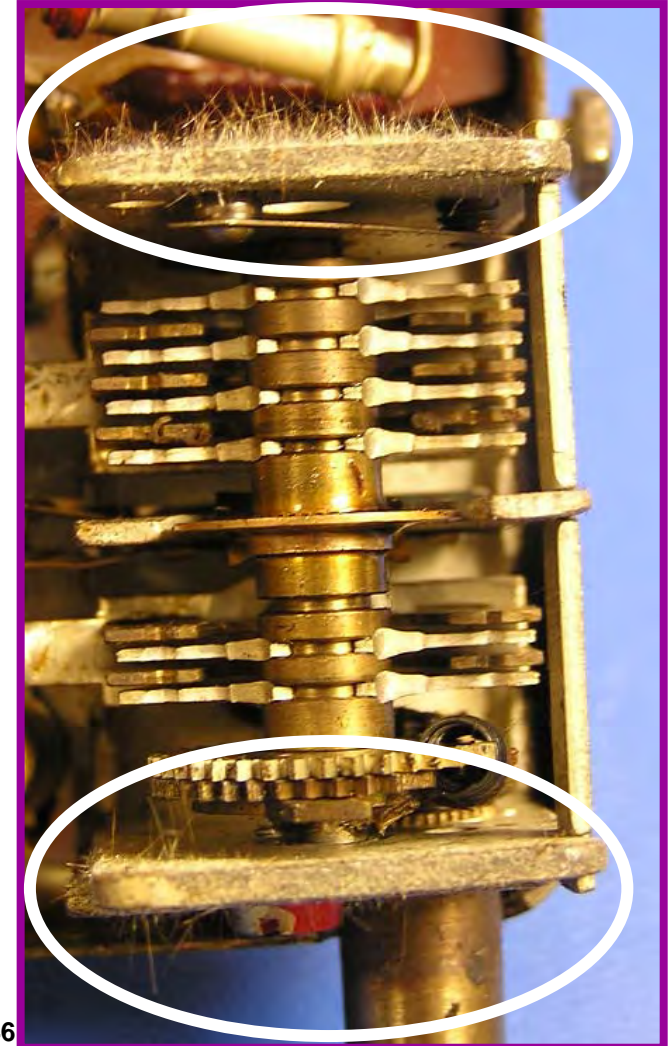


# Metal Whiskers

## “The Early Years”

- **1946: Cadmium Whiskers**<sup>[2]</sup>
  - H. Cobb (Aircraft Radio Corp.) published earliest known account of CADMIUM whiskers on cadmium-coated variable air capacitor plates.
  - Cd whiskers induced electrical shorting in military aircraft radio equipment. These events occurred during WW II (~1940 – 1945)
- **1951: Tin and Zinc Whiskers**
  - After learning of electrical failures from Cd whiskers, Bell Labs opted to use Tin and Zinc coatings.
  - But then Bell Labs reported electrical shorting caused by whiskers from these coatings too! [3]

*Tin Whiskers on 1960's Era  
Variable Air Capacitor  
Similar to Types Described By Cobb in 1946*

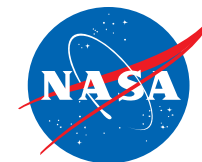


[2] H. Cobb, “Cadmium Whiskers”, Monthly Rev. Am. Electroplaters’ Soc., 33, 28, Jan. 1946

[3] K. Compton, “Filamentary Growths on Metal Surfaces – Whiskers”, NACE, Mar. 1951

# Whisker Resistant Metal Coatings

## “The Quest”



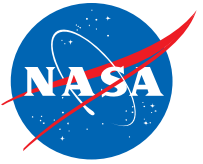
- **1950s and 60's [4] [5]:**  
Bell Labs worked through the periodic table to determine whether co-deposition of some element with Tin would “inhibit” whiskering
  - *Adding 0.5 - 1% by weight or more of Lead (Pb) into tin inhibits whiskering*
  - *Alloying with metals other than Pb sometimes **ENHANCES** whiskering*
- **Since 1990s:**  
To inhibit whiskers most US MIL specs require adding Pb to tin coatings used near electronics
  - For design margin, greater than 2% to 3% Pb by weight is usually specified
- **What additives quench Zn & Cd whiskers?**
  - There appear to be no active efforts to investigate
  - Chromate conversion finishes **DO NOT** appear to stop whisker formation

[4] S. Arnold, "Repressing the Growth of Tin Whiskers," *Plating*, vol. 53, pp. 96-99, 1966

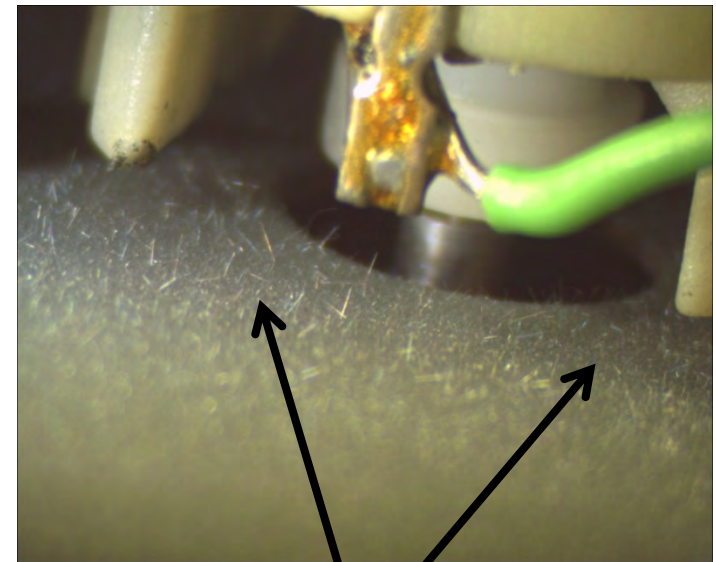
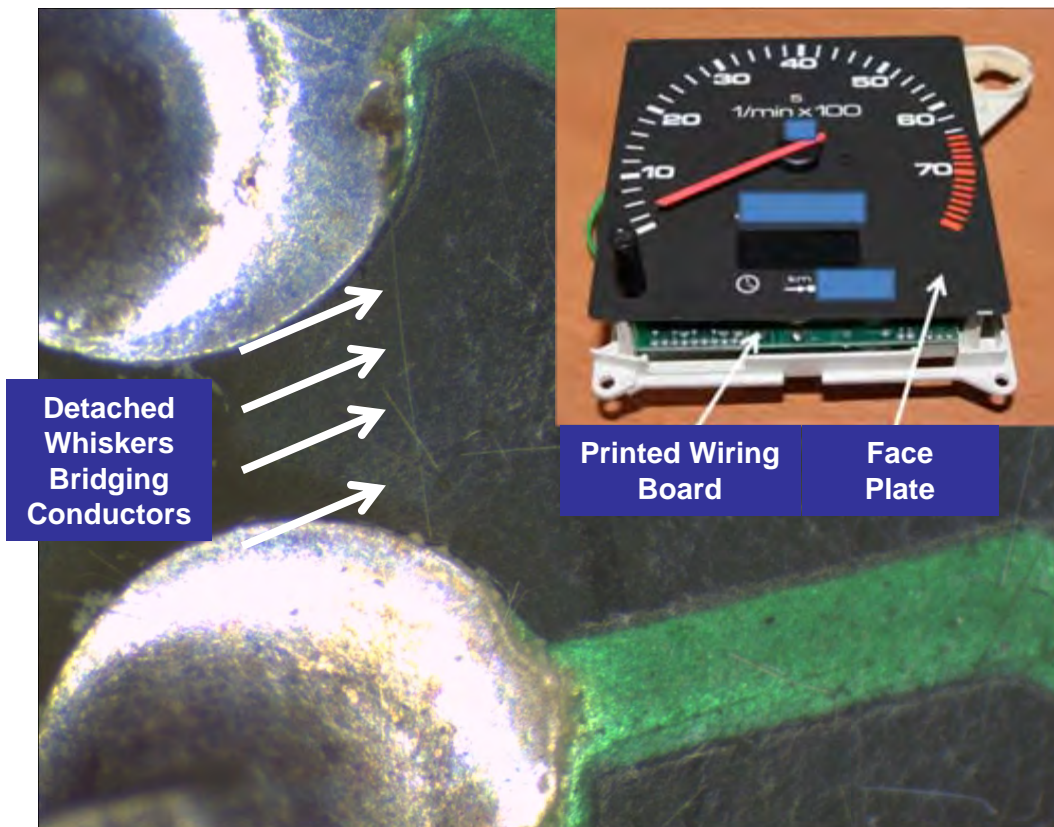
[5] P. Key, "Surface Morphology of Whisker Crystals of Tin, Zinc and Cadmium," *IEEE Electronic Components Conference*, pp. 155-160, May, 1970

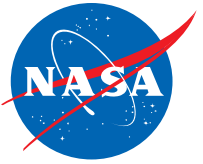
# Zinc Whiskers on Tachometer

## *One of Several Recent Observations*



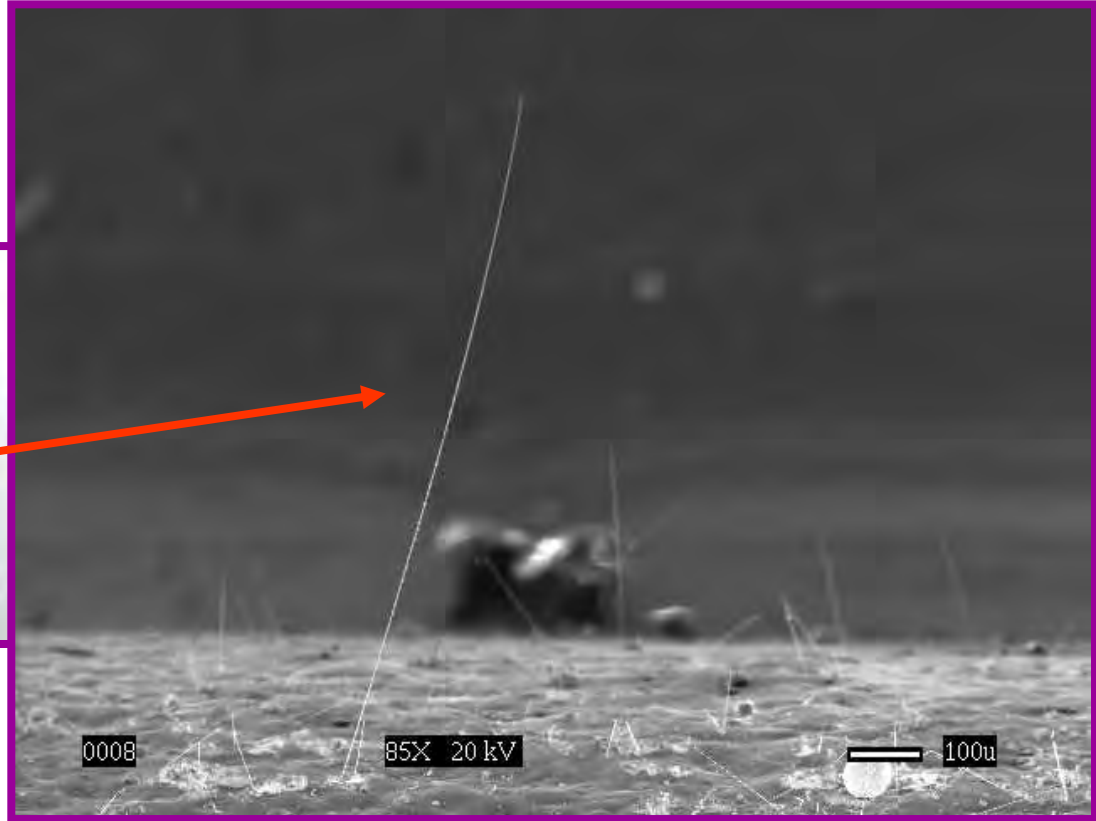
- Tachometer (1980s vintage) face plate is made of **zinc-coated** iron
- Rear of face plate is infested with Zinc Whiskers
- Proximity of whiskers to adjacent electronics presents reliability risk



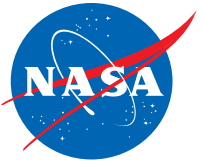


# Examples of Metal Whiskers

*Zinc-Plated Steel Bus Rail  
with Yellow Chromate Conversion Finish*

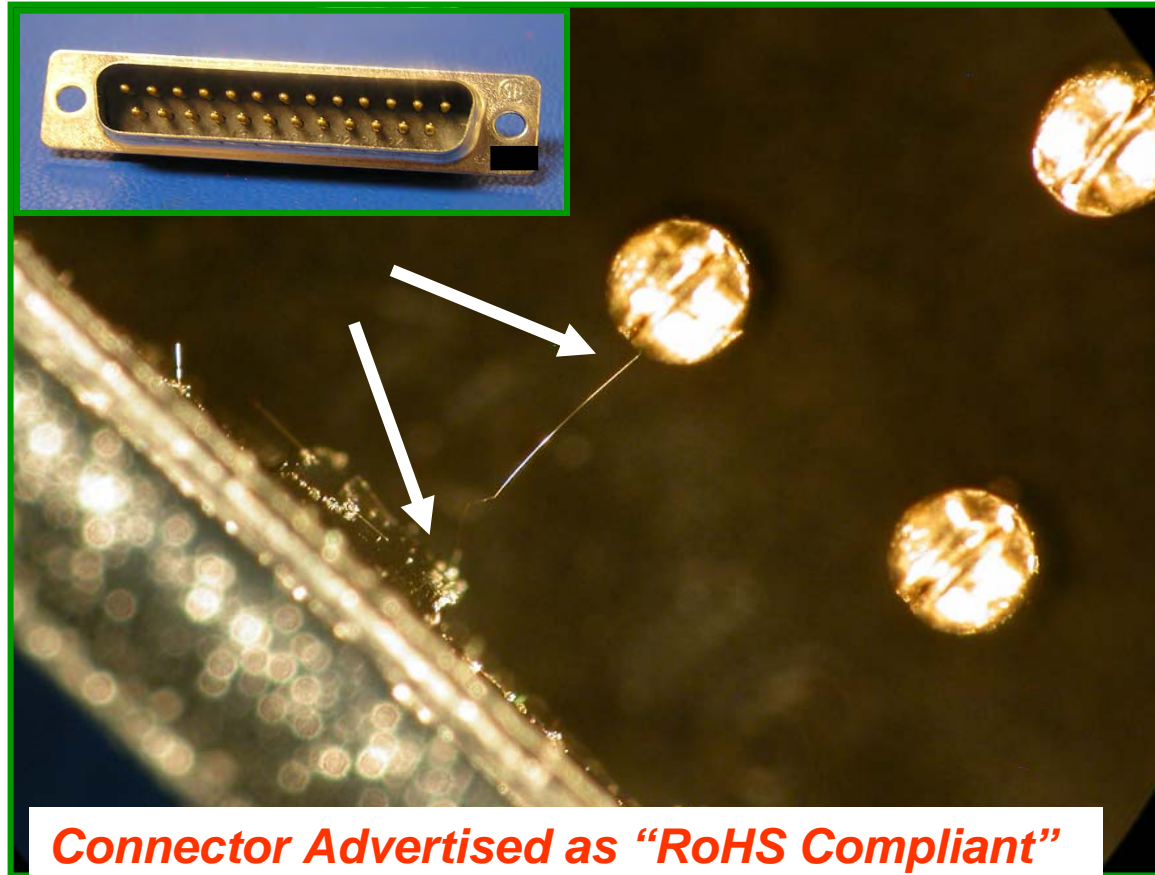


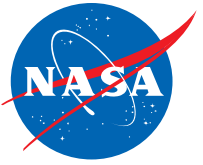
***Zinc whiskers grew up to several mm-long and shorted power to ground producing a **metal vapor arc** that disrupted the testing of a spacecraft system***



# Examples of Metal Whiskers

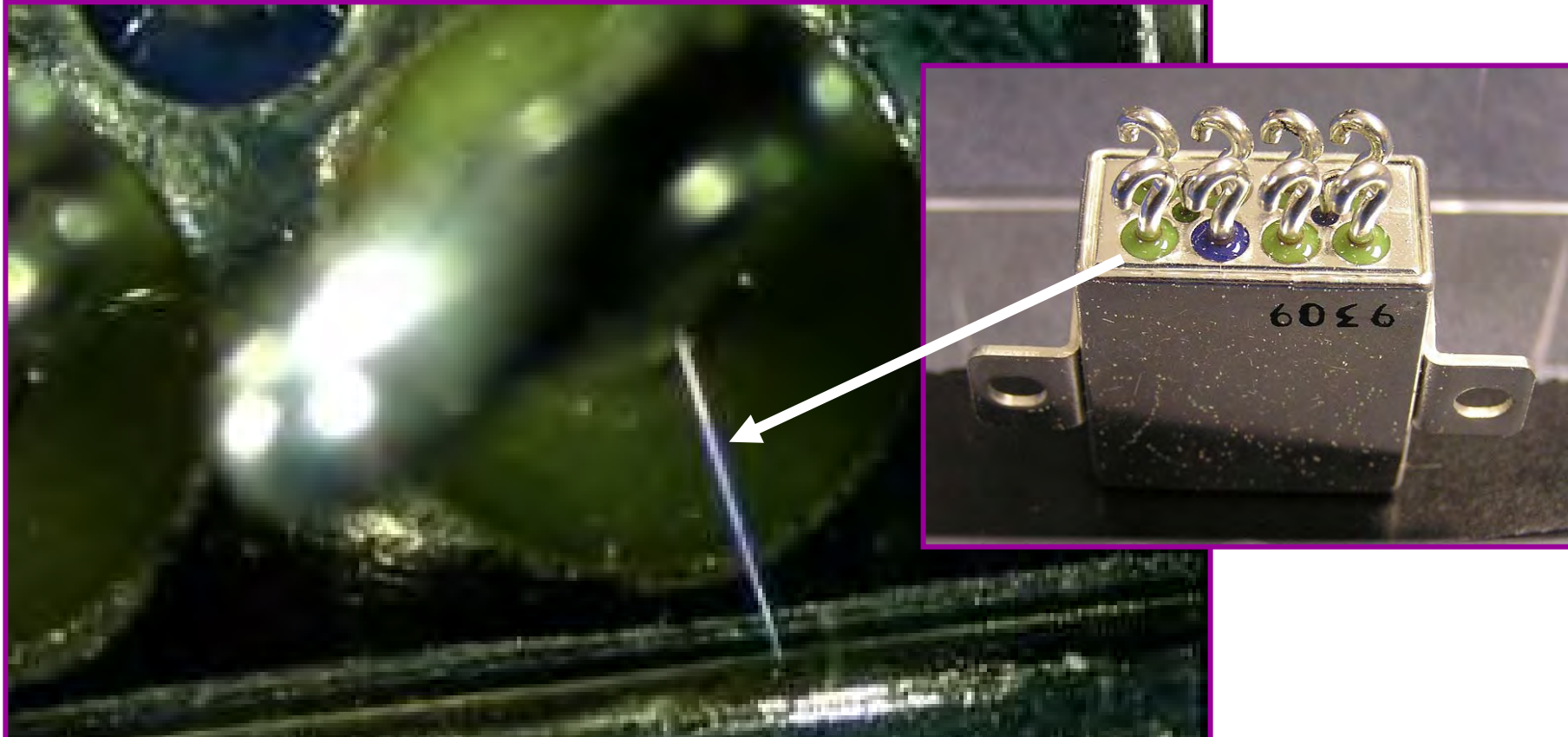
## *Tin-Plated D-Sub Connector Shell*





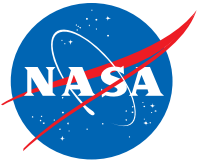
# Examples of Metal Whiskers

## *Tin-Plated Electromagnetic Relay*

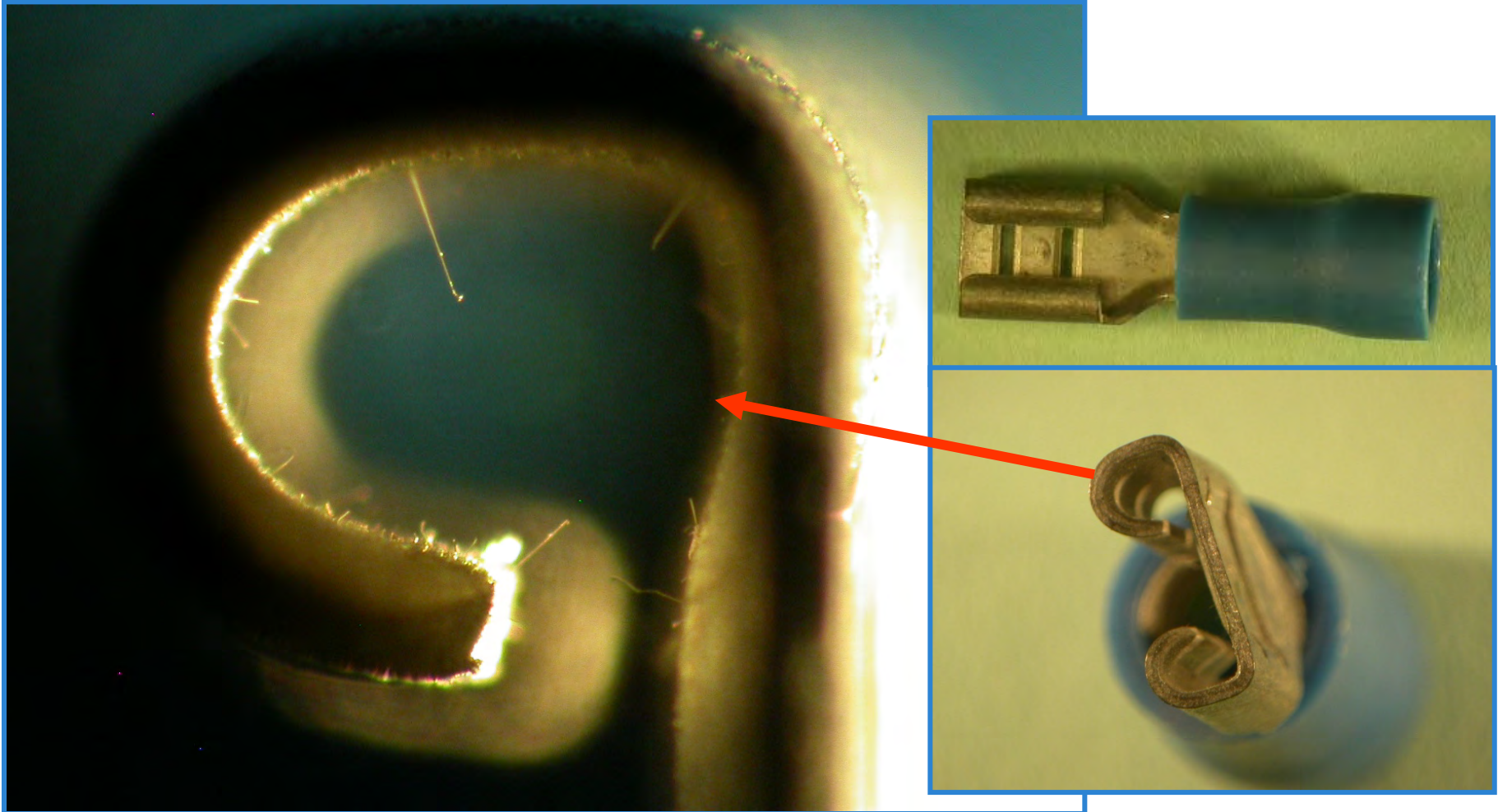


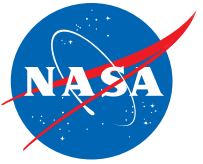
***Procurement Specification for this Relay Required >2% Pb in the Tin-Plating,  
However, Pure Tin-Plated Relays were Supplied  
TRUST BUT VERIFY!!!***

# Examples of Metal Whiskers



## *Tin-Plated Terminal Lugs*





# Examples of Metal Whiskers

## *Cadmium-Plated Connector Shell*



***Cadmium whiskers on a feedthru connector for a thermal-vacuum chamber  
Cd whiskers grew to be several mm-long and produced electrical shorts from shell to  
connector pins that interrupted testing of a spacecraft system***



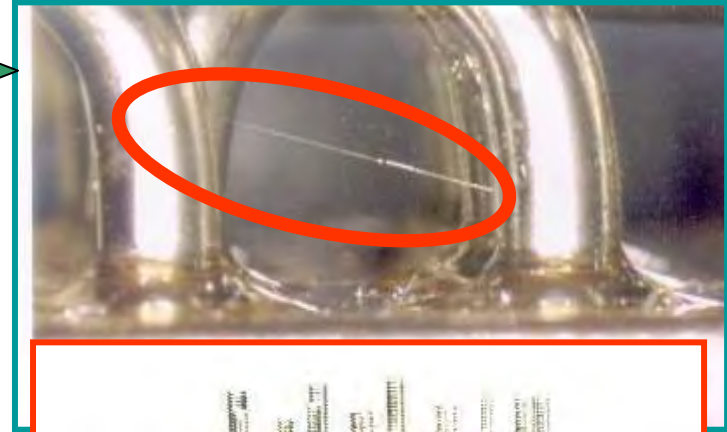
# Electrical Properties of Metal Whiskers

## Electrical Short Circuits

$$R = \frac{\rho \cdot L}{A}$$

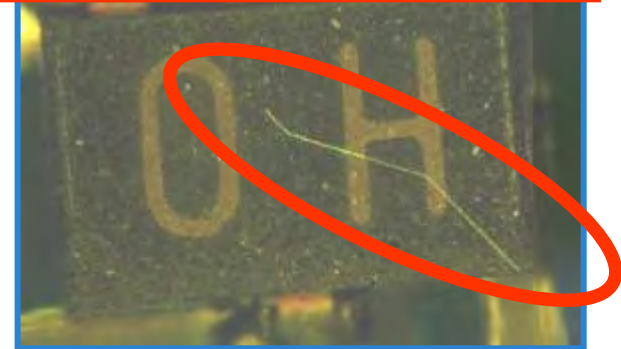
Where  
 R = resistance of whisker  
 ρ = resistivity; L = length;  
 A = cross sectional area

- Continuous short if current  $I_{whisker} < I_{melt}$
- Intermittent short if  $I_{whisker} > I_{melt}$
- **Metal Vapor Arc!!!** See Discussion  
 Up to HUNDREDS of AMPERES can be Sustained!!!

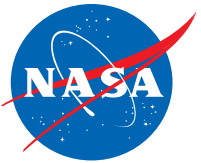


## Debris/Contamination

- Dislodged whiskers become foreign object debris
  - Produce Shorts in Areas REMOTE From Whisker Origins  
*Example: zinc whiskers are often detached from zinc-coated raised floor tiles by physical handling. Once detached they are re-distributed by air currents into nearby electronic assemblies*



[http://nepp.nasa.gov/whisker/reference/tech\\_papers/2004-Brusse-Zn-whisker-IT-Pro.pdf](http://nepp.nasa.gov/whisker/reference/tech_papers/2004-Brusse-Zn-whisker-IT-Pro.pdf)



# Whisker Melting Current and Voltage (in Vacuum)

$$I_{melt,vac} = \left[ \frac{2\sqrt{LzT_0}}{R_0} \right] \cos^{-1} \left( \frac{T_{amb}}{T_{melt}} \right)$$

$$V_{melt,vac} = 2\sqrt{Lz} \sqrt{T_{melt}^2 - T_{amb}^2}$$

- Where  $Lz \sim 2.45 \cdot 10^{-8} (V/K)^2$  is the Lorenz number,  $T_{melt}$  = melting temperature,  $T_{amb}$  = ambient temperature,  $T_0$  = ref. temp,  $R_0$  = whisker resistance at ref. temp

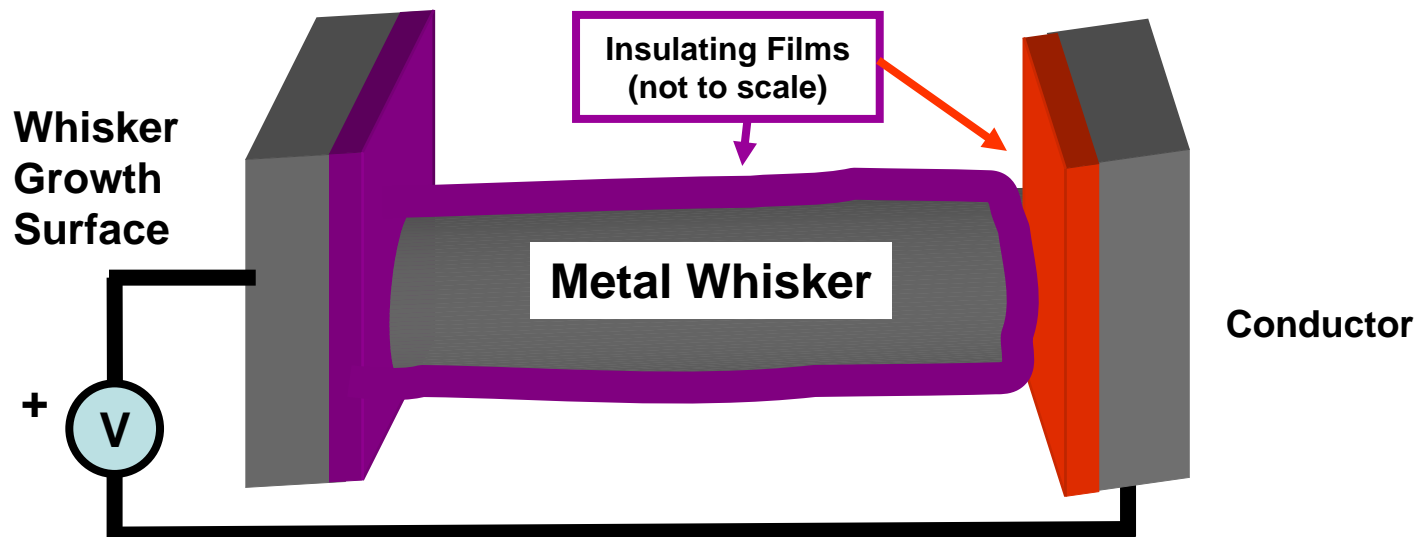
<b>Material</b>	<b><math>T_{melt}</math></b>	<b><math>I_{melt,vac}</math> for <math>T_0 = T_{amb} = 293.15K</math></b>	<b><math>V_{melt,vac}</math> for <math>T_{amb} = 293.15K</math></b>
Tin	505.1K	87.3 mV / $R_0$	<b>129 mV</b>
Cadmium	594.2K	96.8 mV / $R_0$	<b>162 mV</b>
Zinc	692.7K	104.1 mV / $R_0$	<b>196 mV</b>

**If  $V_{whisker} > V_{melt}$   
Then the Whisker will Fuse Open**

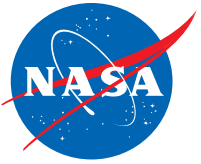
**But there is MORE to this story**

# Metal Whiskers and Adjacent Conductors Accumulate Insulating Films

- Electrically insulating films form on metal whiskers and adjacent conductors
  - Depending on the environment → Oxides, sulphides, sulphates, chlorides, etc.
- These films act as barriers to electrical current flow UNLESS applied voltage exceeds “dielectric breakdown” strength of the combined films
  - Direct **MECHANICAL** contact does NOT guarantee **ELECTRICAL** contact
  - Courey (NASA), et al have measured the breakdown voltage of films on tin whiskers
    - $V_{BD}$  fit a probability distribution with a wide range (~60mV to >45Volts)
  - Insulating effects of these films are important to recognize
    - May fool failure analysts when bench testing (e.g., ohmmeter) to detect shorts
    - May explain survival of some electronics in the field despite whisker infestation



# Sustained Metal Vapor Arcing Initiated by Metal Whisker



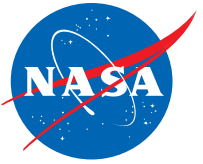
- When a metal whisker shorts two conductors at different potentials, a sustained arc capable of carrying **HUNDREDS OF AMPERES** can occur if
  - Current is high enough to vaporize the whisker (i.e., create metal gas) AND,
  - Voltage is high enough to ionize the metal gas
- Parameters affecting metal vapor arc ignition and sustainment include:
  - Arc Gap Distance
  - Voltage across gap
  - Current available from power source
  - Atmospheric pressure
    - Vacuum (i.e., low pressure) is NOT required
    - Lower pressures will reduce the threshold voltage and current required for arcing (i.e., “air” can act as an arc quencher)
  - Material available to supply fresh ions to fuel the arc



***Tin Whiskers Growing on Armature Of Relay Produced Metal Vapor Arc Resulting in Destruction of Device***

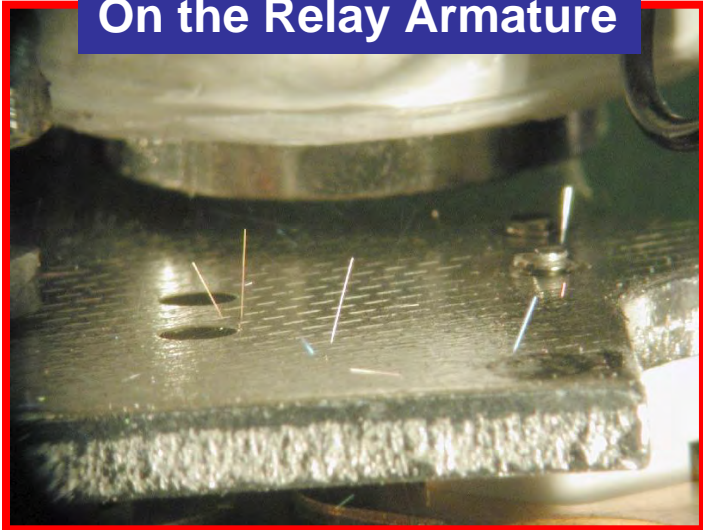
**NOTE: It is the METAL VAPOR that serves as medium that sustains the arc**

# Metal Vapor Arcing Initiated by Metal Whiskers In a Military Aircraft

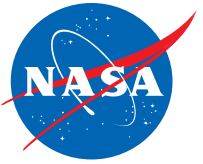


[http://nepp.nasa.gov/whisker/reference/tech\\_papers/davy2002-relay-failure-caused-by-tin-whiskers.pdf](http://nepp.nasa.gov/whisker/reference/tech_papers/davy2002-relay-failure-caused-by-tin-whiskers.pdf)

**Tin Whiskers Growing  
On the Relay Armature**



# Metal Vapor Arcing Initiated by Metal Whiskers In a Paper Mill



<http://nepp.nasa.gov/whisker/anecdote/2009busbar>

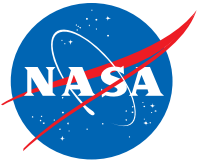
Arcing Damage in an Equipment Cabinet  
*At least 3 separate events  
Recorded at this one facility*



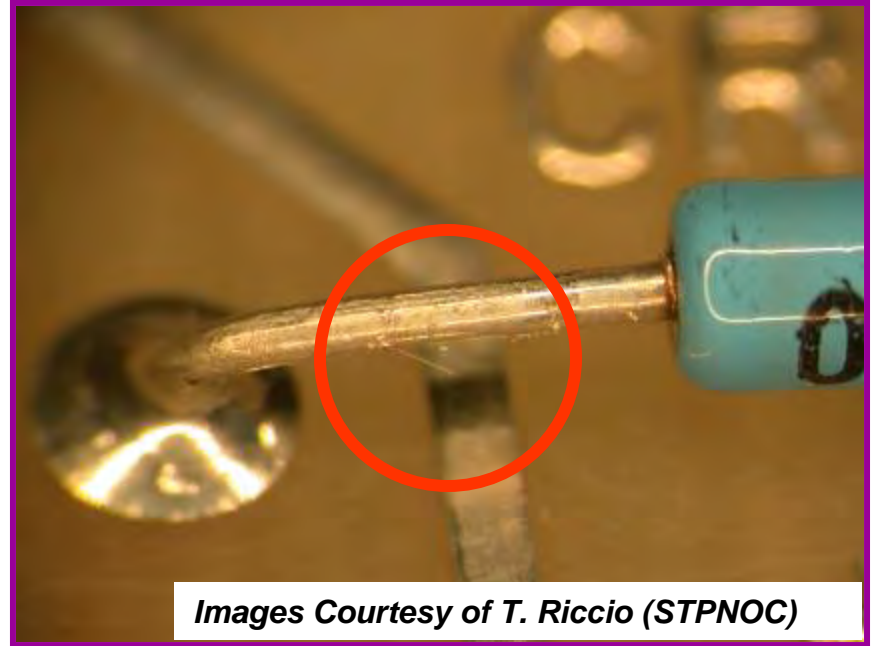
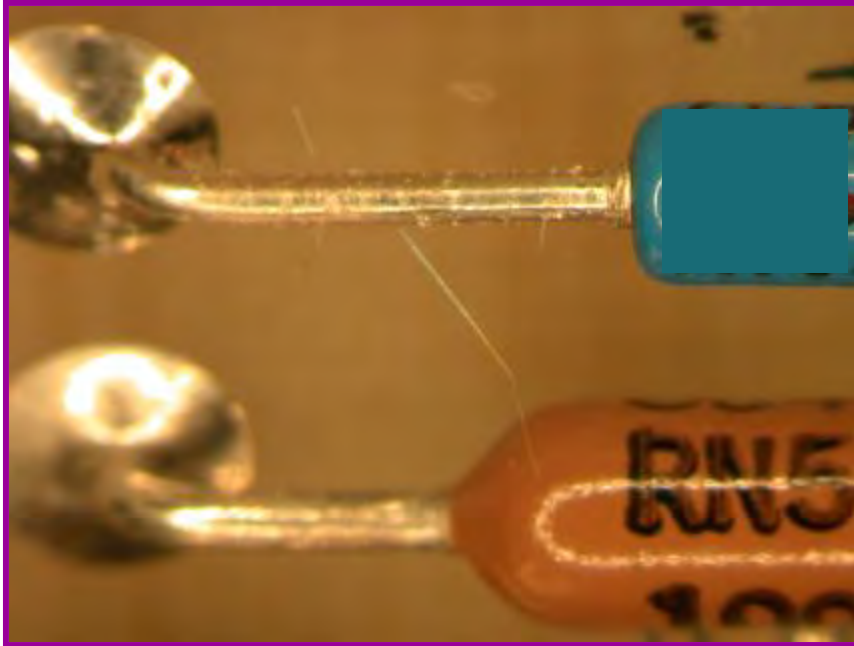
Tin Whiskers Growing from Adjacent Bus Bars



# A Case for Whisker Mitigation Strategies?



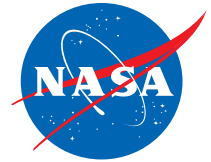
## *Tin Whiskers on Tin-Plated Axial Leaded Diodes*



*Images Courtesy of T. Riccio (STPNOC)*

- Diode Leads were **NOT Hot Solder Dipped** prior to assembly; thus leaving large surface area of pure tin coating prone to whisker growth
- PWB and components were **NOT Conformal Coated**; thus leaving adjacent conductors exposed to bridging by whisker growth

# Some Whisker Mitigation Strategies



*Mitigation – to make less severe or painful*  
*Merriam-Webster Dictionary*

**Risk “Mitigation”  $\neq$  Risk “Elimination”**

- **Avoid Use of Whisker Prone Surface Finishes**
  - *“Trust, But VERIFY” Certificates of Conformance!*
  - Perform independent materials composition analysis using X-ray Fluorescence (XRF), Energy Dispersive X-ray Spectroscopy (EDS), etc.
- **Remove/Replace Tin Finishes When Practical**
  - Hot Solder Dip using lead-tin (Pb-Sn) solders
  - Follow the Principle of “First, Do No Harm”
- **Use Conformal Coat or Other Electrically Insulating Barriers**
  - Benefit #1: When applied on top of a whisker prone surface, conformal coat can sometimes keep whiskers from pushing through
  - Benefit #2: When applied to a distant conductor, can block whiskers from electrically shunting distant conductors
  - Benefit #3: Provides insulating barrier against loose conductive debris



# Hot Solder Dip Benefits & Limitations

## Field Failure ONE Year After Assembly

Crystal with **Tin-Plated Kovar Leads**  
(with Nickel Underplate)



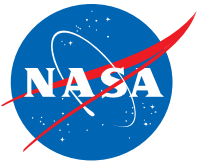
- Leads were Hot Solder Dipped (Sn63Pb37) within 50 mils of Glass Seal BEFORE Mounting to enhance solderability
- Dip was not 100% of leads due to concerns of inducing harm to glass seal

Tin Whiskers (~60 mils) Grew on NON-Dipped Region Shorting to Case Causing Crystal to Malfunction



- No Whiskers on Hot Solder Dipped Surface
- ABUNDANT whiskers on the NON-Dipped Surface

# NASA Goddard Whisker Mitigation Study Conformal Coat (Arathane 5750\* Polyurethane) ~11 Years of Office Ambient Storage



- **Specimens:**

- 1" x 4" x 1/16" Brass 260
- Tin-Plated 200 microinches
- A few intentional scratches created after plating to induce localized whisker growth

- **Conformal Coating:**

- Arathane 5750 on ½ of sample
- Nominal Thickness = 2 mils
- Locally THIN Regions also examined

- **Storage Conditions:**

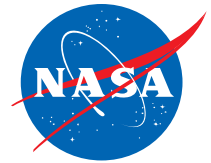
- Office Ambient ~ 11 years



\* Arathane™ 5750 formerly known as Uralane™ 5750

# NASA Goddard Whisker Mitigation Study

## Arathane 5750 Conformal Coat – 11-Years of Office Ambient Storage

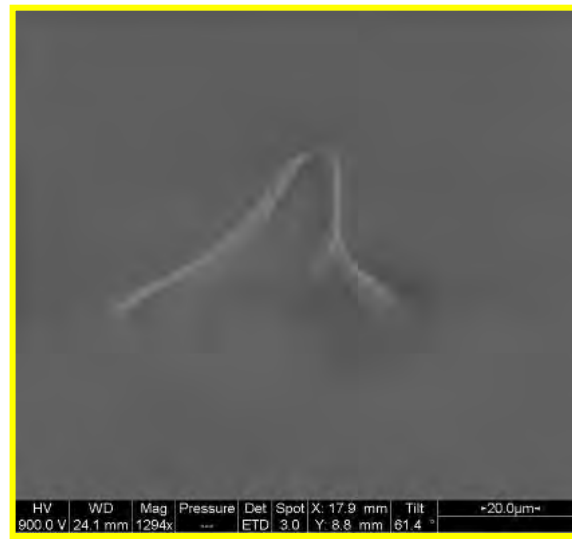


**2 Mils Arathane =  
Very Effective**



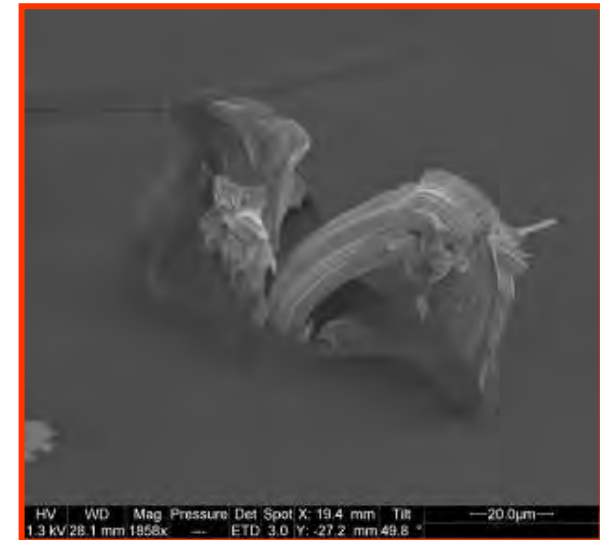
**Whiskers Completely  
Entrapped Under the  
Coating → Euler Buckling**

**~0.5 Mils Arathane =  
Less Effective**

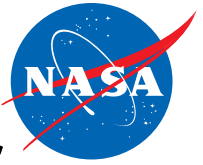


**Whisker “Lifting” Coating  
into Shape of Circus Tent,  
But Not Yet Penetrating**

**~0.1 Mils Arathane =  
Not Effective**



**Whiskers Breaking  
Through  
“Thin” Coating**

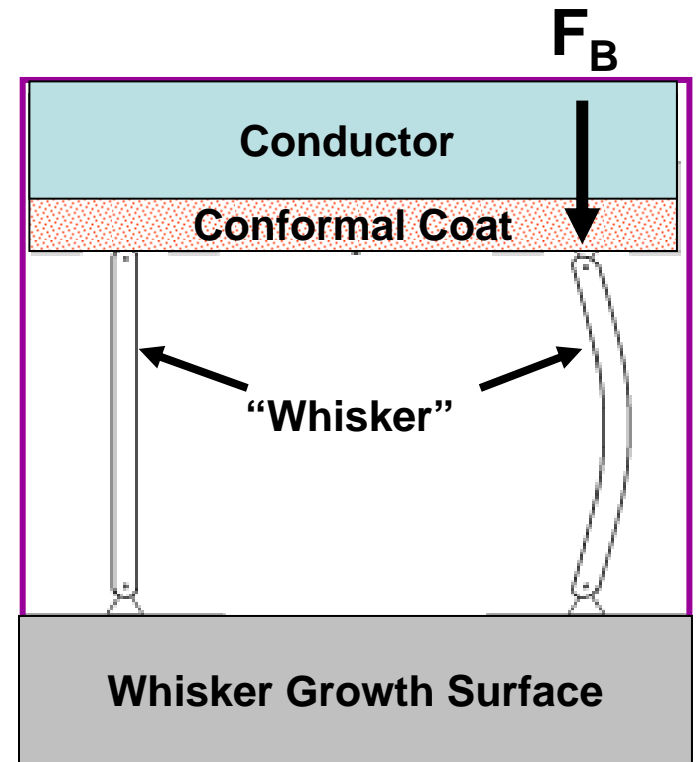


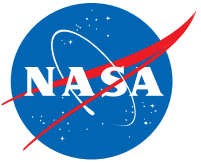
# Euler Buckling

## Axial Force Required to Buckle a Metal Whisker

$$F_B = \frac{\pi^2 EI}{(KL)^2} \approx \left( \frac{\pi^3 \cdot E}{32} \right) \left( \frac{d^4}{L^2} \right)$$

- E** = Young's Modulus of whisker material,  
**I** = Area Moment of Inertia,  
(e.g.  $I = \pi d^4 / 64$  for circular cross section)  
**L** = Length of whisker,  
**K** = Column Effective Length Factor  
**K** = 0.5 for whisker fixed at both ends  
**K** = 0.7 for fixed at one end, pinned at other

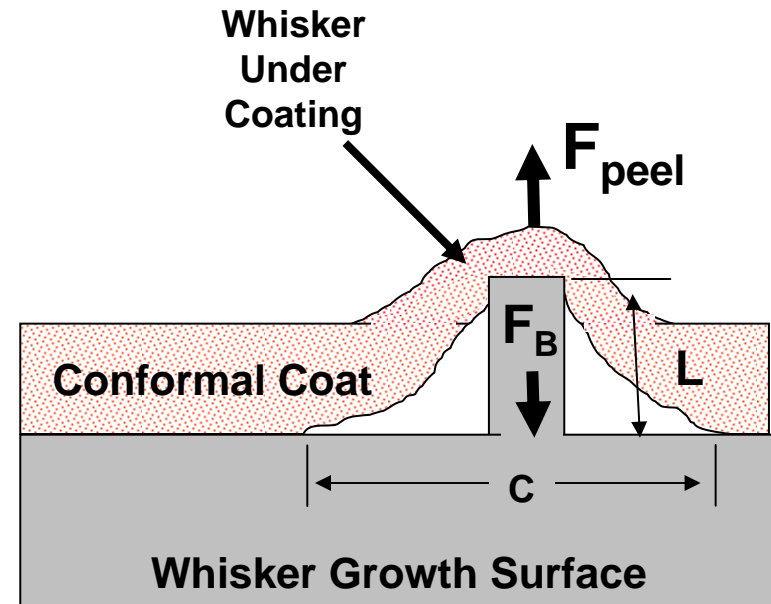




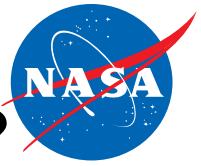
# Whiskers Lift and Peel Conformal Coat Until Whisker Buckles OR Coating Fails

( $F_{\text{peel}}$  vs.  $F_{\text{Buckle}}$ )

- As whisker first emerges it is short and stiff thus  $F_B > F_{\text{peel}}$  and whisker begins to lift the coating forming a “circus tent” with height  $L$  = length of whisker;
- “Tent” joins the surface at a circle of circumference  $C \sim 2\pi QL$ ,
  - $Q$  describes the details of tent-like shape
- To peel conformal coating up and away from the surface, one needs to apply a force ( $F_{\text{peel}}$ ) proportional to the circumference:
  - $F_{\text{peel}} = \Phi * C = 2 \pi Q \Phi L$   
 $\Phi$  = peel strength of material which describes the adhesion of the coating to the tin, and the effect of the separation angle. It also depends on the rate at which the coating is peeled away.



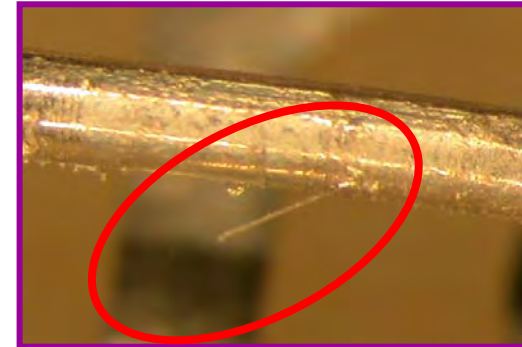
*Arathane 5750 has better self-cohesion than adhesion to a tin surface*



# Will Whiskers Buckle Before Puncturing the Coating on a Distant Surface?

- The displacement of the conformal coat due to a whisker pushing against the coating is:

$$D = \left( \frac{1 - \nu^2}{E_{coat}} \right) \left( \frac{F_B}{d} \right) \approx \left( \frac{\pi^3}{32} \right) (1 - \nu^2) \left( \frac{E_W}{E_{coat}} \right) \left( \frac{d^3}{L^2} \right)$$



Where

$D$  = Displacement of conformal coat

$\nu$  = Poisson's ratio

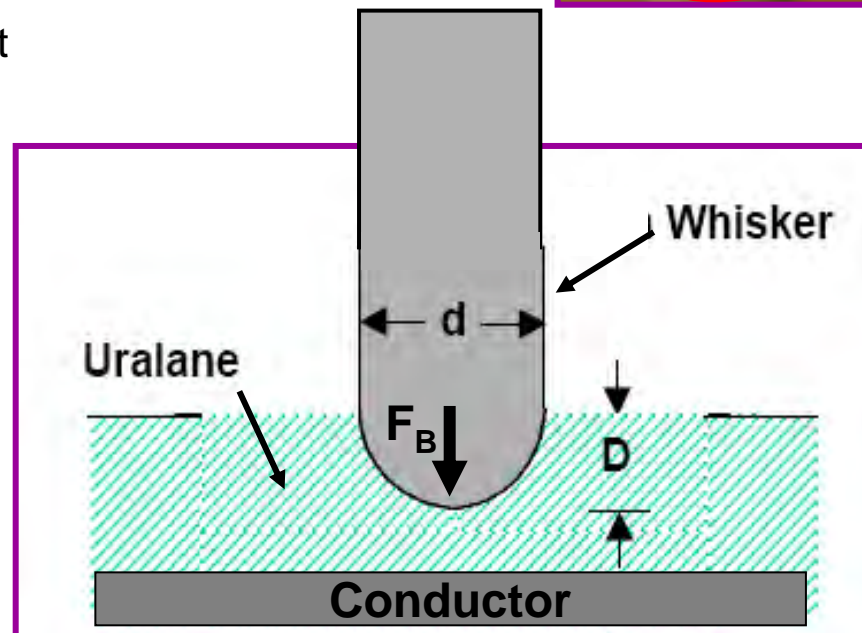
$E_{coat}$  = Young's Modulus of coating

$E_W$  = Young's Modulus of Whisker

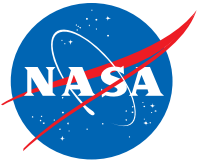
$d$  = "Diameter" of whisker

$L$  = Length of whisker

$F_B$  = Euler Buckling Strength of the whisker



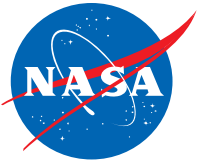
# Effects of Conformal Coating



- Conclusion 1:  
*No whiskers have penetrated 2 mils of Arathane 5750 after 11 years*
  - Despite samples being capable of forming approximately 50 whiskers/mm<sup>2</sup> on coated areas greater than 600mm<sup>2</sup>
  - Whiskers still nucleate beneath the conformal coating
- Conclusion 2:  
*Whiskers have penetrated when Arathane 5750 is thin (~0.1mil or less)*
  - Thinner coatings are more prone to whisker puncture
  - Conformal coating processes can leave “weak zones”.  
*Understand YOUR PROCESSES*
    - Shadowing effects may prevent complete coverage when applying coating
    - Coating may flow/thin prior to completion of cure
- Conclusion 3:  
*Even “Poor” Coatings Can Offer Some Protection*
  - Long whiskers bend easily (Euler Buckling) and are less likely to re-penetrate even thin conformal coat applied on a distant conductor.
  - Conformal coat protects against a conductive bridge from detached whiskers lying across a pair of coated conductors

# Contact Information

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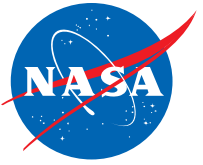
***Jay Brusse***  
***Dell Perot Systems at***  
***NASA Goddard Space Flight Center***  
***Jay.A.Brusse@nasa.gov***

***Work Performed in Support of the***  
***NASA Electronic Parts and Packaging (NEPP) Program***

NASA Tin and Other Metal Whisker WWW Site

<http://nepp.nasa.gov/whisker>



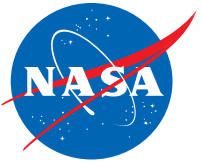


# Backup Slides

# "The Five Stages of Metal Whisker Grief"

By Henning Leidecker

Adapted from Elisabeth Kubler-Ross in her book "On Death and Dying",  
Macmillan Publishing Company, 1969



## Denial

"Metal whiskers?!? We ain't got no stinkin' whiskers! I don't even think metal whiskers exist! I KNOW we don't have any!"

## Anger

"You say we got whiskers, I rip your \$%#@ lungs out! Who put them there --- I'll murderize him! I'll tear him into pieces so small, they'll fit under one of those \*^&\$#% whiskers!"

## Bargaining

"We have metal whiskers? But they are so small. And you have only seen a few of them. How could a few small things possibly be a problem to our power supplies and equipment? These few whiskers should be easy to clean up."

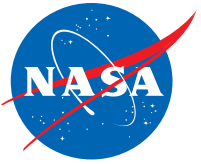
## Depression

"Dang. Doomed. Close the shop --- we are out of business. Of all the miserable bit joints in all the world, metal whiskers had to come into mine... I'm retiring from here... Going to open a 'Squat & Gobble' on the Keys. "

## Acceptance

"Metal whiskers. How about that? Who knew? Well, clean what you can. Put in the particle filters, and schedule periodic checks of what the debris collectors find. Ensure that all the warranties and service plans are up to date. On with life."

# 1980s/1990s Zinc Whiskers Lead to FDA Class I Apnea Monitor Recall



[http://nepp.nasa.gov/whisker/reference/tech\\_papers/1994-downs-zinc-whisker-liability.pdf](http://nepp.nasa.gov/whisker/reference/tech_papers/1994-downs-zinc-whisker-liability.pdf)

<http://www.fda.gov/bbs/topics/ENFORCE/ENF00065.html>

- **FDA Class I recall >1500 apnea monitors made by Electronic Monitors, Inc**

- Failure Mode: Failure to alarm due to defective time delay switch
- Failure Mechanism: **Zinc whiskers from zinc-plated switch components** cause low voltage short circuit
- Investigation: *It took ~4 years + numerous experts before zinc whiskers recognized as cause of failure due to lack of familiarity with and complexity of identifying metal whiskers*

- **Bankruptcy and Lawsuits**

- Electronic Monitors sues Electro Switch and their suppliers of zinc-plated internal structures for product liability, negligence, fraud, breach of warranty, etc.
- Electronic Monitors files for bankruptcy as a result of losses during this saga
- Case settled out of court
- **Electronic Monitors never recovered: company folded**

## The Phenomenon of Zinc Whisker Growth and the Rotary Switch

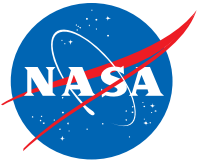
(or, How the Switch Industry Captured the Abominable Snowman)

by Jay R. Downs,  
Spear, Downs and Judin, Dallas  
and R. Michael Francis,  
Electro Switch Corp., Raleigh, N.C.

*Metal Finishing Magazine, August 1994, pp. 23-25*



# Tin Whisker Growth Statistics



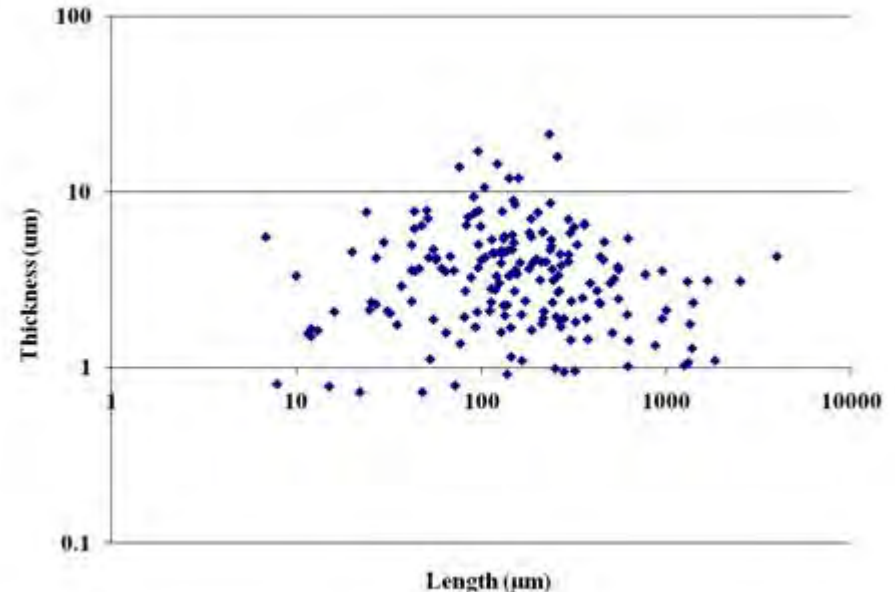
Sample = Tin-plated brass coupon (~9 years after plating)

- Whisker density (# per area) was measured on 30 random areas ~0.64mm<sup>2</sup> each
- Whisker lengths and thicknesses were measured for 187 whiskers
  - **Lognormal distribution fits both length and thickness \*\***

Whisker growth metric (units)	Distribution type	$\mu$	$\sigma$	Median
Density (#/mm <sup>2</sup> )	Normal (Gaussian)	54	20	50
Length ( $\mu\text{m}$ )	Lognormal	5.01	1.15	150
Thickness ( $\mu\text{m}$ )	Lognormal	1.17	0.67	3.38

Note:  $\mu = \ln(\text{median})$ ;  $\sigma = (\ln(1 + \text{variance}/\text{mean}^2))^{1/2}$

- Graph represents lack of correlation between whisker length and thickness
  - Meaning that whiskers of any thickness can grow to any length
  - Thus, thick whiskers can grow dangerously long (Euler Buckling of whisker  $\propto$  thickness<sup>4</sup>)

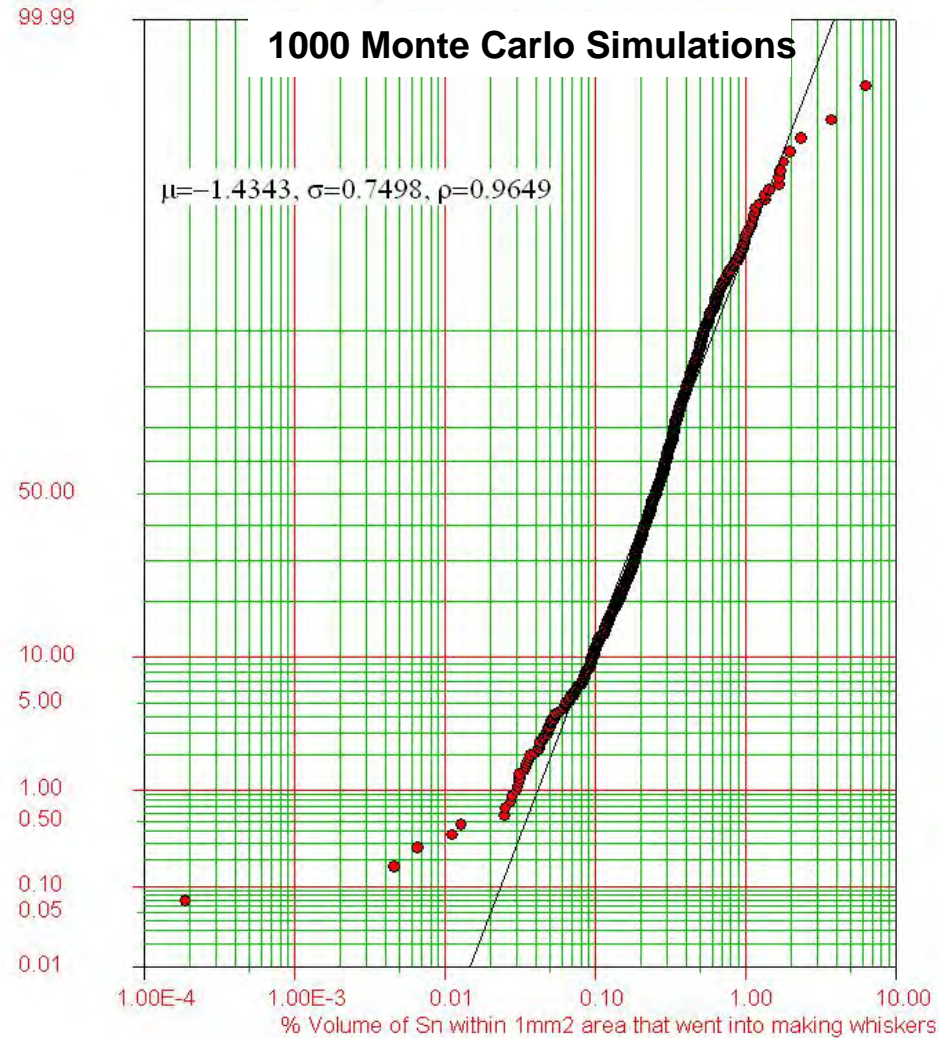


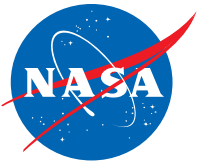
\*\* L. Panashchenko "Evaluation of Environmental Tests for Tin Whisker Assessment", MS Thesis, University of MD, 2009.  
<http://hdl.handle.net/1903/10021>

# How Much Sn is Consumed in Growing a Dense Population of Whiskers?



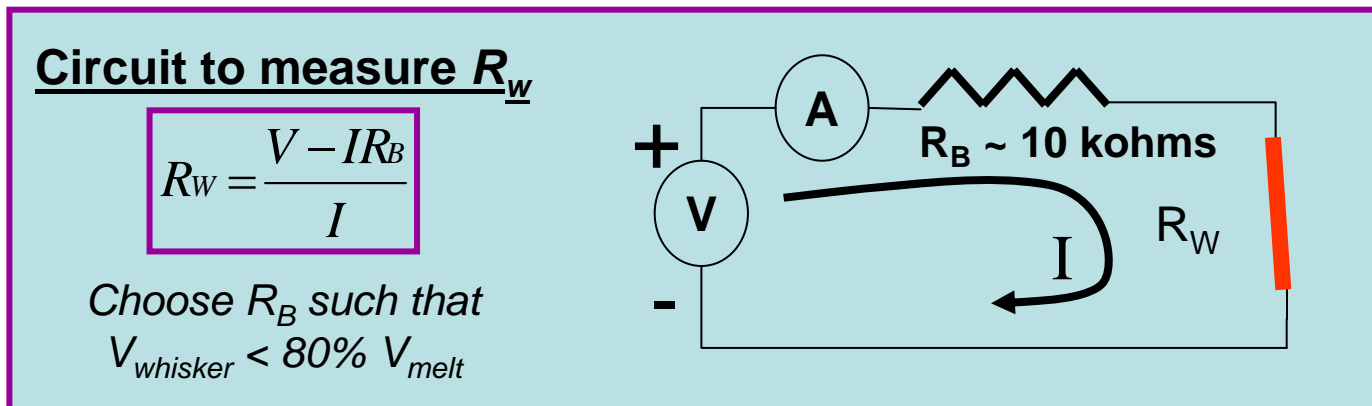
- Local depletion of tin surrounding a whisker root is rarely observed
- Long-range diffusion of tin supplies the material to form a whisker
- Monte Carlo simulation based on measured distributions was used to calculate tin consumption. Parameters used:
  - Whisker density (#/area)
  - Whisker lengths
  - Whisker thicknesses
  - Tin coating thickness ~6.5μm
  - Assumed area 1mm<sup>2</sup>
- Results of simulation:
  - Median tin consumption is ~0.24% of the available Sn in the film
  - This agrees with lack of visual depletion of Sn

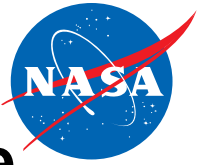




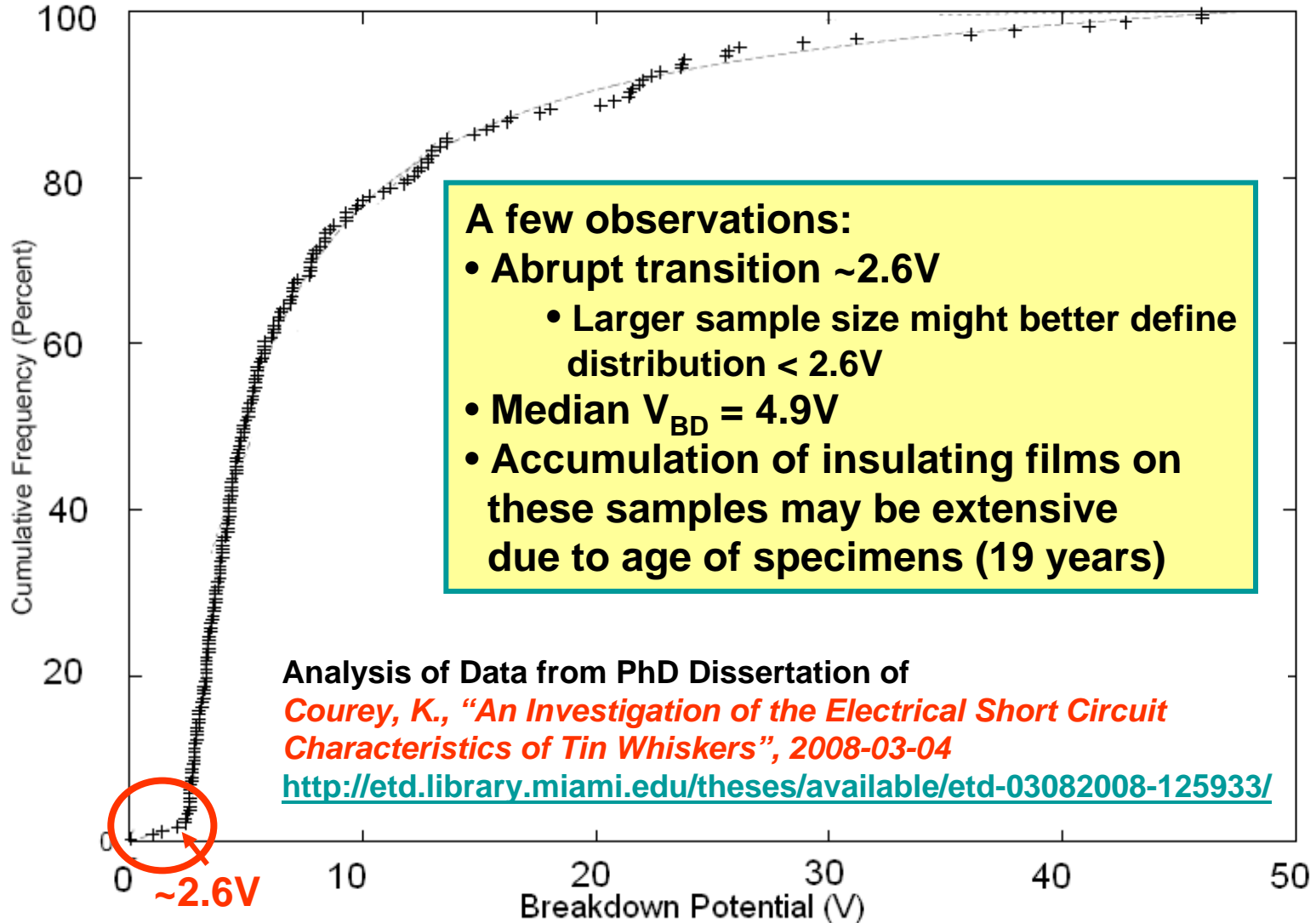
# Circuit to Measure Resistance of a Metal Whisker

- Use of a simple “Ohmmeter” to measure the resistance of a metal whisker is NOT preferred
  - Ohmmeter may supply  $V_{out} < V_{breakdown}$  for the insulating films (oxides, moisture) that form on a metal whisker
  - Ohmmeter may supply  $V_{out} > V_{melt}$  causing the whisker to melt before resistance can be measured
- Instead, a variable power supply and a ballast resistor can be used to overcome the above complications
  - Adjust  $V_{out} > V_{breakdown}$  of insulating films on whisker
  - When  $V_{out} > V_{breakdown}$ ,  $R_B$  quickly drops  $V_{whisker} < V_{melt}$

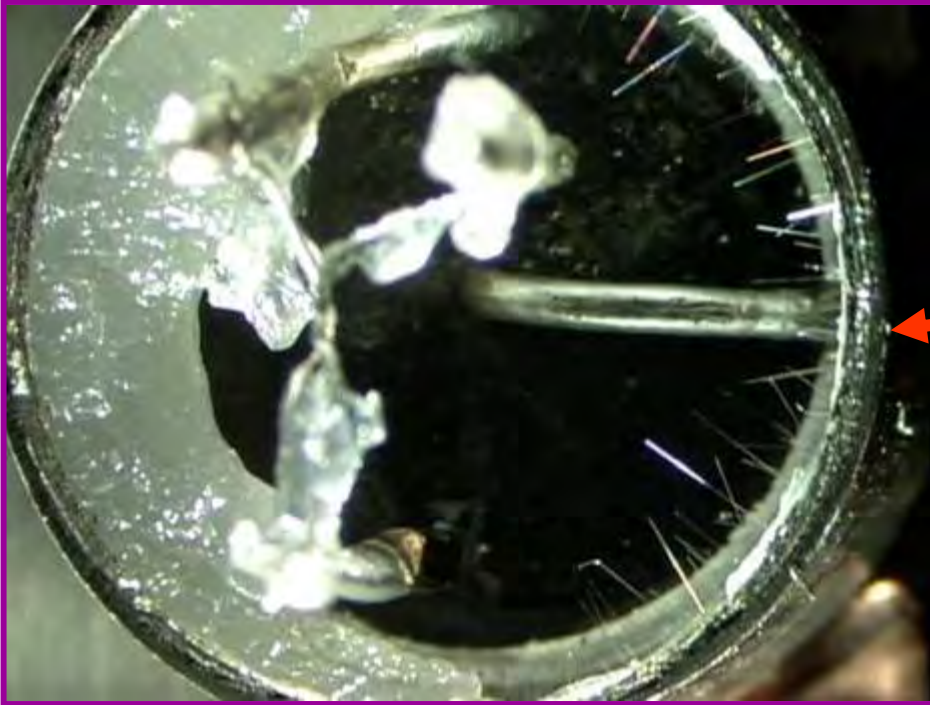




# Breakdown Potential of Insulating Films on 200 Tin Whiskers from ~19 Year Old Hardware



# Guess What's Lurking Inside?



Transistor Package is Tin-Plated Inside.

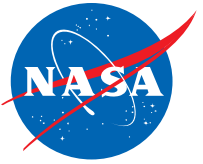
Many Radio Malfunctions Have Been Attributed to Whiskers Shorting Case to Terminals

*1960's Vintage Transistor*

<http://www.vintage-radio.net/forum/showthread.php?t=5058>



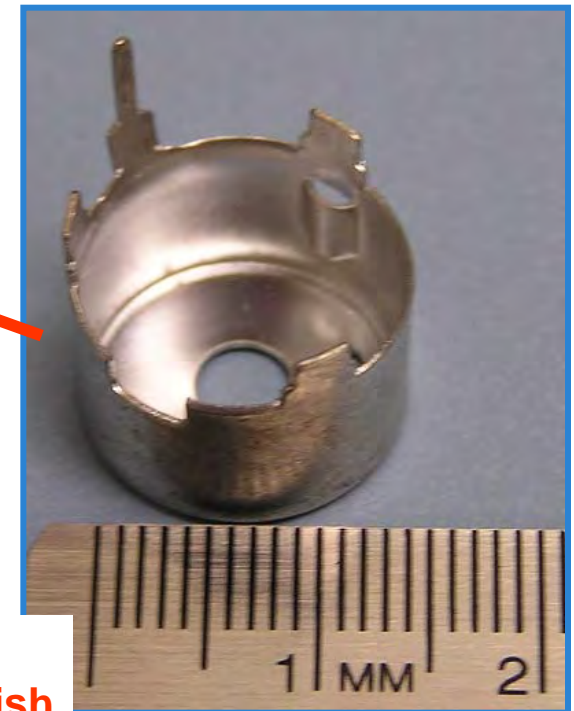
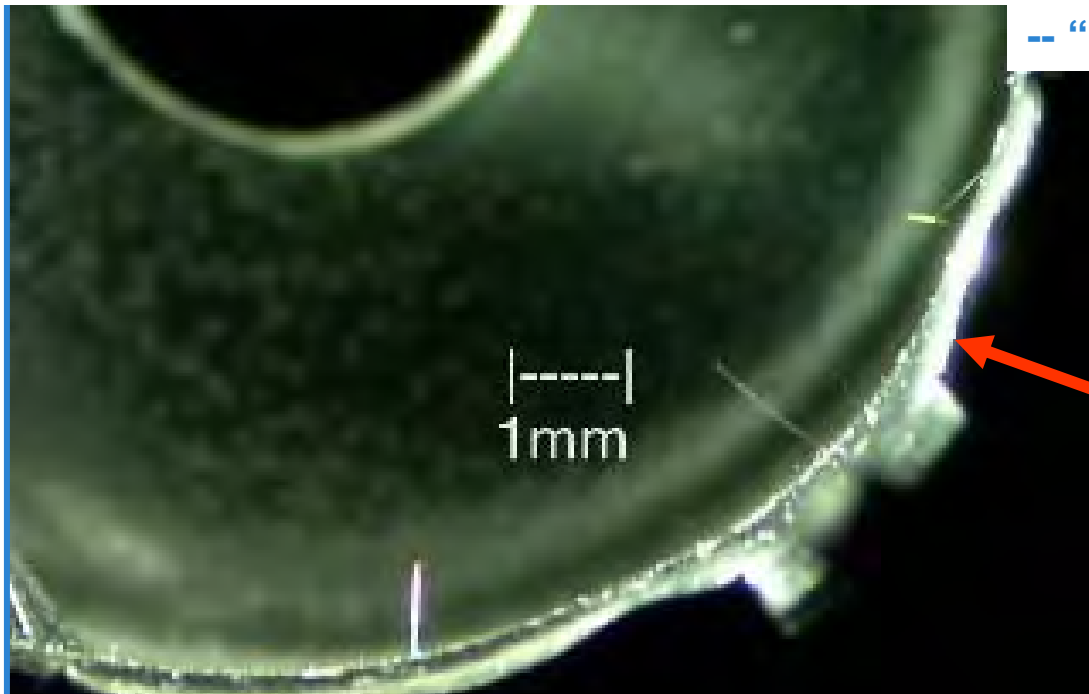
# Examples of Metal Whiskers



## *Tin-Plated Transformer Can*

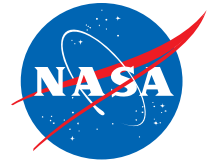
*“We appreciate your loyalty for so many years and your email concerning the whisker growth (in our products). The push to be RoHS compliant has caused us to switch our plating process and introduce new materials that are environmentally friendly but they in turn created other problems.”*

-- “Manager of xxx” (July 2006)



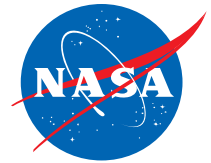
**Tin whiskers observed in “as-received” cans  
Coincidental with Mfr Switch from Tin-Lead to Pure Tin Finish**

# Why Are Tin, Zinc, Cadmium Still Used?

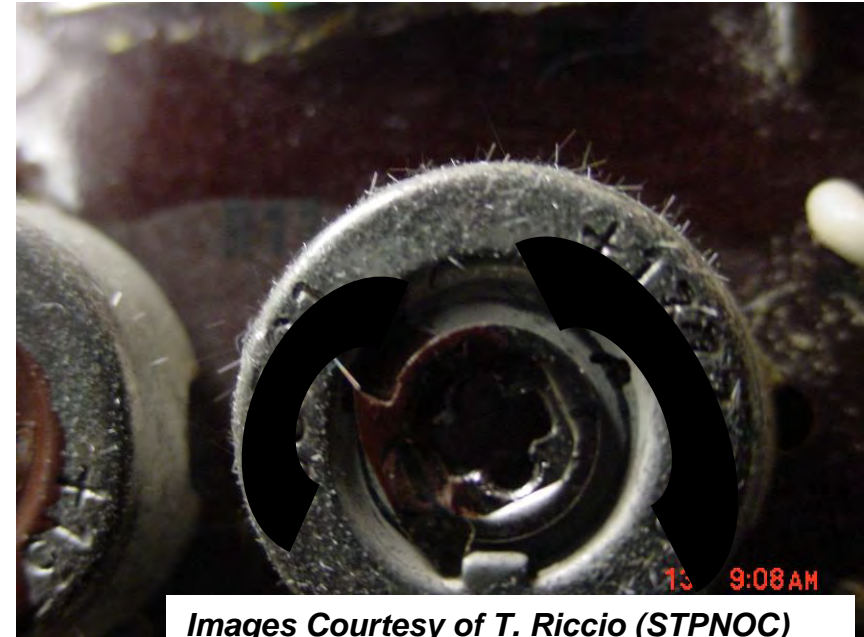
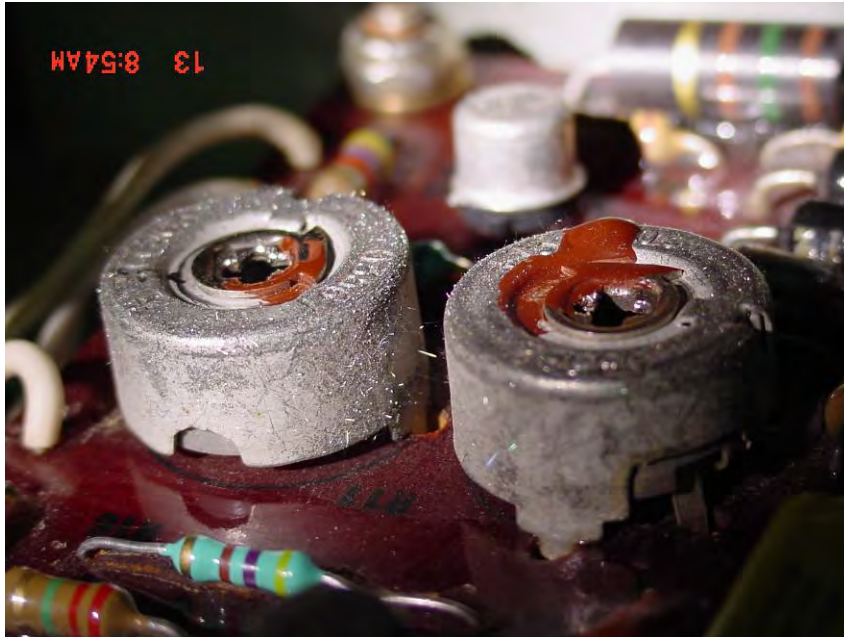


- Not all Tin (or Zinc or Cadmium) surfaces grow whiskers!
  - Rough estimate: 3% to 30% do whisker.
- Not all metal whiskers cause shorts
  - Application matters: geometry, electrical potentials, circuit sensitivity to shorting
  - Rough estimate: 3% to 30% do short.
- Not all whisker-induced shorts are traced to whiskers
  - They are very hard to see and failure analysis techniques often destroy evidence
  - Rough estimate: 0% to 10% are correctly traced.
- Not all identified whisker adventures are reported
  - Rough estimate: 0% to 3% are reported, once identified
- Hence, we expect between 0.00% and 0.03% of shorting problems caused by these coatings to be reported
  - While some 0.1% to 10% of these coatings are actually causing shorts.
  - With such a few public cases, many say “What, me worry?”
- Whiskering is dramatically inhibited when 0.5% (or more) lead (Pb) is added to Tin coatings: the shorting rate then approaches zero
  - This has been the case for the Hi-Rel community
  - But Pb use is being restricted by international legislation, and so the shorting rate may jump to 10% from zero ==> **SWATCH GROUP** <==

# Another Case for Whisker Mitigation Strategies?



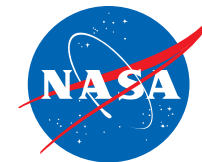
## *Metal Whiskers on External Case of Potentiometers*



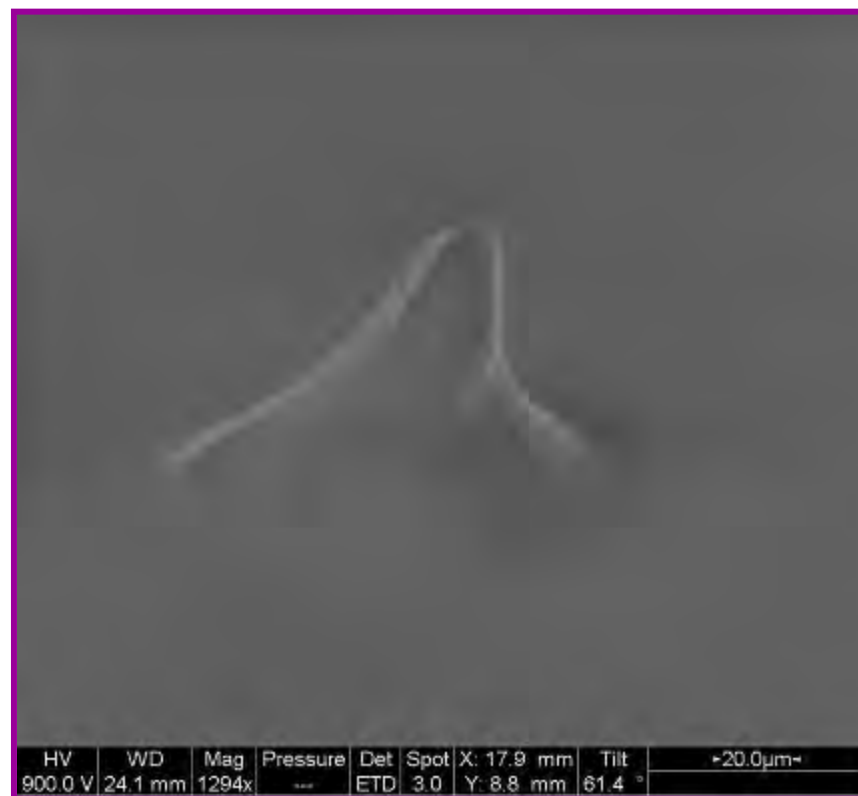
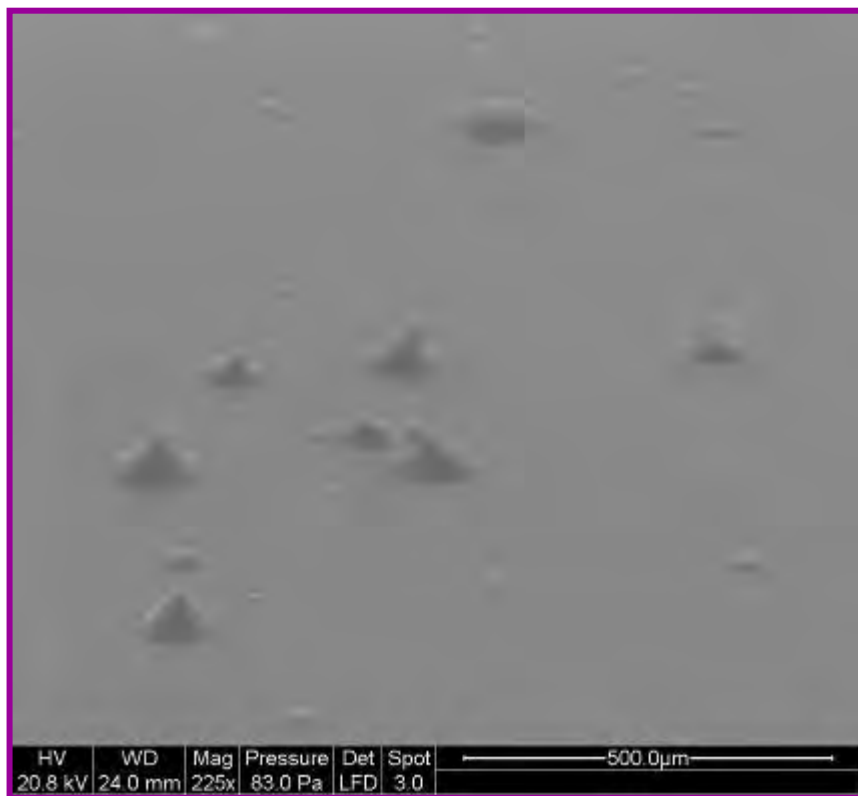
*Images Courtesy of T. Riccio (STPNOC)*

- No electrically insulating materials were used on the metal cases
- Metal whiskers bridging between the cases or from case to adjacent components can cause circuit malfunction

# Tin Whiskers Forming “Circus Tents” in Thin Arathane 5750 Conformal Coat - 9-Years of Office Ambient Storage

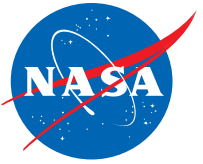


Coating Thickness < 0.5 Mil



# NASA Goddard Whisker Mitigation Study

## Whisker Puncture vs. Coating Thickness



~2 mils of Arathane 5750



Decreasing Coating Thickness



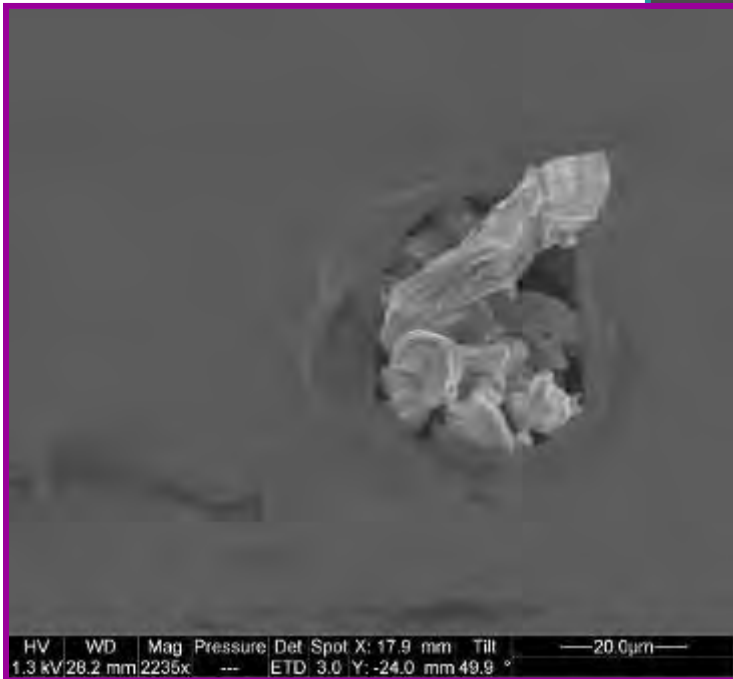
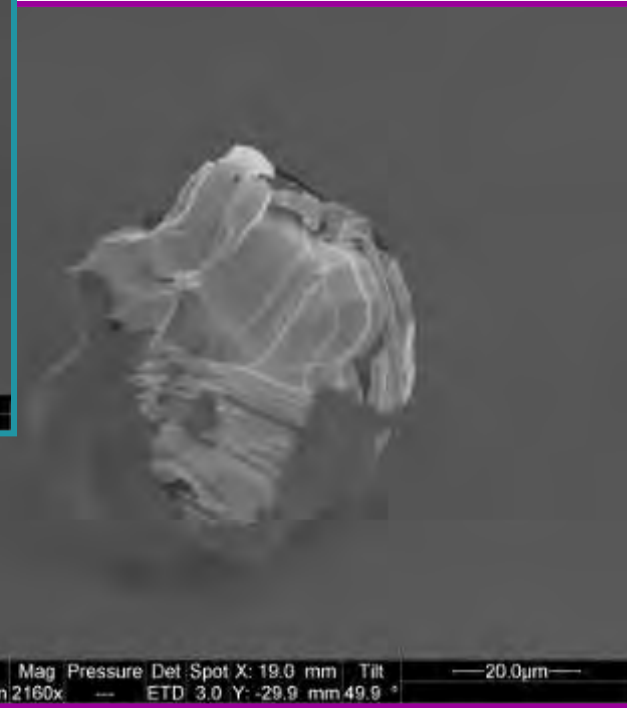
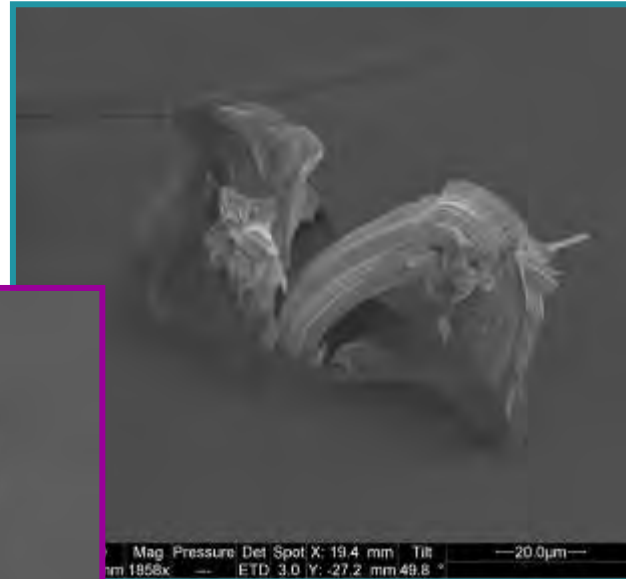
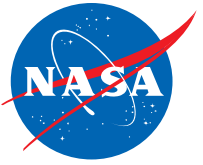
Whiskers completely contained  
BENEATH the coating  
With nominal thickness of 2 mils

Whiskers punch through  
in this region where  
Coating thickness  $\leq$  ~0.2 mils

HV	WD	Mag	Pressure	Det	Spot	X: 17.6 mm	Tilt	200.0µm	
800.0 V	26.7 mm	300x	---	ETD	3.0	Y: 6.8 mm	61.4 °		

HV	WD	Mag	Pressure	Det	Spot	X: 18.5 mm	Tilt	200.0µm	
800.0 V	27.5 mm	300x	---	ETD	3.0	Y: 6.5 mm	61.4 °		

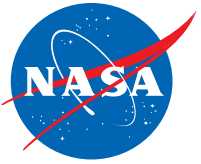
# ***Tin Whiskers Rupturing THIN Coating*** ***~0.1 to 0.2 Mils Arathane 5750 Conformal Coat*** **9-Years of Office Ambient Storage**



November 30, 2010

Metal Whiskers

44



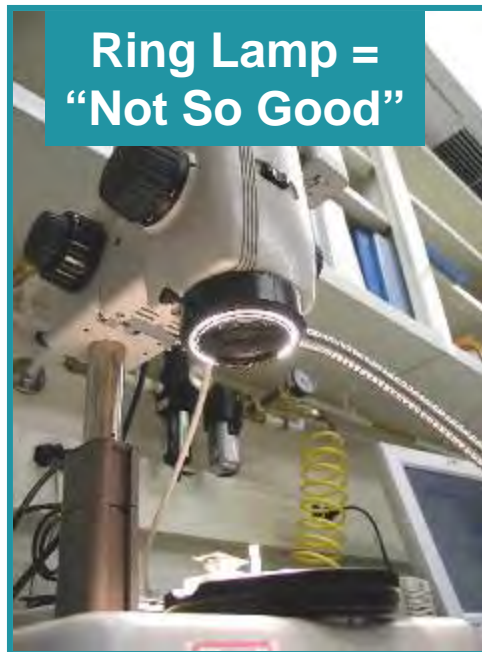
# Thank Goodness for Euler Buckling and Conformal Coat on this PWB!!!



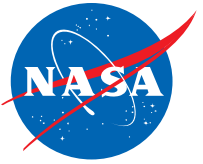
Photo Credit: M&P Failure Analysis Laboratory  
The Boeing Company Logistics Depot

# Optical Inspection for Metal Whiskers

- Basic Equipment:
  - Binocular Microscope
  - Light Source: Flex Lighting PREFERRED over Ring Lamp
- Freedom to tilt sample and/or lighting to illuminate whisker facets is VERY IMPORTANT







# Field Technicians and Failure Analysts Need To Be Acquainted with Metal Whiskers!!!

NASA GSFC has published videos to aid in optical inspection for metal whiskers

<http://nepp.nasa.gov/whisker/video>

**Now You See It**  
Incident Angle Lighting

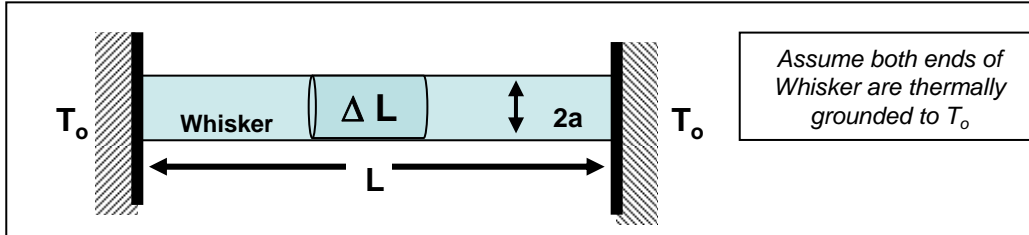


**Now You Don't**  
"Ring Light"



**Small Change in Angle of Lighting  
Makes Dramatic Difference  
During Optical Inspection**

# Derivation of Melting Current of a Metal Whisker in Vacuum



$$\frac{du}{dt} + \Phi = source$$

**$du/dt$**

**+**

**$\Phi$**

**=**

**source**

$$u = C \cdot T \quad c = \frac{C}{V}$$

$$u = \left(\frac{C}{V}\right) \cdot V \cdot T = c \cdot V \cdot T$$

$$u = c \cdot \Delta L \cdot A \cdot T$$

$$\frac{du}{dt} = c \cdot \Delta L \cdot A \cdot \frac{\partial T}{\partial t}$$

$$\Phi = \left(\frac{\partial J}{\partial x}\right) \cdot \Delta L \cdot A$$

Convection loss = 0 for vacuum  
Neglect radiation loss

$$J = -k_T \cdot \frac{\partial T}{\partial x} \quad \frac{\partial J}{\partial x} = -k_T \cdot \frac{\partial^2 T}{\partial x^2}$$

$$\Phi = -k_T \cdot \left(\frac{\partial^2 T}{\partial x^2}\right) \cdot \Delta L \cdot A \quad k_T = \frac{L_z \cdot T}{\rho}$$

$$\Phi = -\frac{L_z T}{\rho} \left(\frac{\partial^2 T}{\partial x^2}\right) \cdot \Delta L \cdot A$$

$$source = I^2 \cdot R$$

$$I = J_e \cdot A \quad R = \frac{\rho \cdot \Delta L}{A}$$

$$source = (J_e^2 \cdot A^2) \cdot \left(\frac{\rho \cdot \Delta L}{A}\right)$$

$$source = (J_e^2 \cdot A) \cdot \rho \cdot \Delta L$$

$$\left[ c \cdot \Delta L \cdot A \cdot \frac{\partial T}{\partial t} \right] - \left[ \frac{L_z \cdot T}{\rho} \left(\frac{\partial^2 T}{\partial x^2}\right) \cdot \Delta L \cdot A \right] = J^2 \cdot \rho \cdot \Delta L \cdot A$$

$$\left[ c \cdot \frac{\partial T}{\partial t} \right] - \left[ \frac{L_z \cdot T}{\rho} \left(\frac{\partial^2 T}{\partial x^2}\right) \right] = J^2 \cdot \rho$$

$$I_{melt,vac} = \left[ \frac{2\sqrt{L_z T_0}}{R_0} \right] \cos^{-1} \left( \frac{T_{amb}}{T_{melt}} \right)$$