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# Military Assistant/ Executive Officer Handbook September 2000

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Executive Secretary - Colonel Maria I. Cribbs, USAF
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| LTC Ste Lt Col Mi CDR Dee I  Military Assistant Room 3E8  | ke Delman<br>Mewbourne<br>t/Trip Coordinator<br>54, 692-7132     | Monica (<br>Pam M<br>SecDef Wr<br>Room 3B96  | Generous<br>lirelson<br>riting Team<br>50, 614-7744<br>g/Editing             |
| LTC Ste Lt Col Mi CDR Dee I  Military Assistant Room 3E8  | ke Delman<br>Mewbourne<br>t/Trip Coordinator<br>54, 692-7132     | Monica C Pam M  SecDef Wr Room 3B96  SecDef/DepSecDef Writing  Correspondence Management   | Generous Iirelson  riting Team 50, 614-7744  g/Editing  ent Redesign Project |
| LTC Ste Lt Col Mi CDR Dee I  Military Assistant Room 3E8:  Secretary of Defense Trave Deputy Secretary of Defense   | ke Delman<br>Mewbourne<br>t/Trip Coordinator<br>54, 692-7132     | Monica ( Pam M  SecDef Wr  Room 3B96  SecDef/DepSecDef Writing   | Generous Iirelson  riting Team 50, 614-7744  g/Editing  ent Redesign Project |
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#### **OSD-LA Special Assistants' Accounts**

| Col Ana Smythe<br>Military Assistant<br>697-7786   |  |  |
|--|--|--|
| Ms. Mary Arneson<br>SA for Congressional Travel & Security<br>697-9166                             | Mr. Kevin Cooper Director for Communications 693-0305                            |  |
| COL Don Curry, USA Director for House Affairs 695-4131   | Ms. Dial Dickey<br>SA for Congressional Research<br>697-9346                     |  |
| LtCol Speedy Gonzalez, USAF<br>SA for Personnel Policy<br>697-4491                                 | Ms. Wendy Jordan SA for International and Security Affairs 614-8423              |  |
| LTC Greg King, USA SA for Readiness, Logistics & Reserve Affairs 614-6150                          | Ms. Deanna Kirtman SA for Terrorism and Crises Management 614-6375               |  |
| Ms. Linda Leeman SA for Installations, Environmental Security & Intergovernmental Affairs 695-1436 | LtCol Rich McFarland, USAF<br>SA for Space, C3I & Special Operations<br>695-5497 |  |
| CDR Chip Miller, USN SA for Advanced Development (Research & Technology) 614-9115                  | Ms. Kay Rogers SA for Administration & Personnel Management 695-1868             |  |
| Vacant<br>SA for International Security Policy<br>697-2365   | COL Rick Stark, USA<br>SA for Health Affairs<br>695-7104                         |  |
| CAPT Dick Walsh, USN Executive Director for Senate Affairs 697-6811                                | LtCol Bob Wimple, USAF SA for Weapons Systems Acquisition & Policy 697-9369      |  |
| CDR Jim Fraser, USN<br>Director for Senate Affairs<br>695-7104                                     |  |  |

## Organizational/Personal Contacts

| Name/Office | Title    | Location | Telephone |
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# **DoD Organization and Functions**

#### What OSD Does and How It Looks

The *Department of Defense* (DoD) (DoD Directive 5100.1) is responsible for providing the military forces needed to deter war and protect the security of the United States. The major elements of these forces are the Army, Navy, Air Force, and Marine Corps. Under the President, who is also Commander-in-Chief, the Secretary of Defense exercises authority, direction and control over the Department which includes the Office of the Secretary of Defense, the Chairman of the Joint Chiefs of Staff, three Military Departments, nine Unified Combatant Commands, the DoD Inspector General, fifteen Defense Agencies and seven DoD Field Activities.

#### Department of Defense Secretary of Defense **Deputy Secretary of Defense** Joint Chiefs of S Office of the Secretary Inspector General of the Air Force Chairman JCS The Joint Staff Under Secretaries Chief of Staff, Army Assistant Secretaries and Equivalents Chief of Staff, Air Force Commandant, Marine Corps Air Force & Agencie Defense Agencies Unified Combatant Commands **DoD Field Activities** Central Command European Command Joint Forces Comman Pacific Command Southern Command American Forces Information Service Defense POW/MP Office DoD Education Activity DoD Human Resources Activity Special Operations Command Strategic Command Transportation Command Date: March 2000

The **Secretary of Defense** is the principal defense policy advisor to the President and is responsible for the formulation of general defense policy and policy related to all matters of direct and primary concern to the DoD, and for the execution of approved policy. Under the direction of the President, the Secretary exercises authority, direction, and control over the Department of Defense.

The **Deputy Secretary of Defense** (DoD Directive 5105.2) is delegated full power and authority to act for the Secretary of Defense and to exercise the powers of the Secretary on any and all matters for which the Secretary is authorized to act pursuant to law.

The *Chief of Staff* manages the operations of the Immediate Office of the Secretary of Defense and serves as the Secretary's senior advisor on all matters pertaining to the Department of Defense.

The Special Assistants to the Secretary and Deputy Secretary of Defense are the principal immediate office advisors to the Secretary and Deputy Secretary in all matters pertaining to the Department of Defense.

The Assistant to the Secretary of Defense for Special Projects and White House Fellows performs tasks and initiates actions relating to projects of special interest to the Secretary and Deputy Secretary.

The *Executive Secretary* of the Department of Defense supports the Secretary and Deputy Secretary by executing the following responsibilities: managing and controlling all action and information documents for the Secretary and Deputy Secretary; performing liaison functions with the White House Military Office, including Presidential support from other Departments or Agencies; coordinating the assignment of Executive Agents and assignment of DoD personnel to non-DoD agencies; validating military airlift transportation requests for OSD and non-DoD Agencies; planning and executing administrative and logistical support for Secretary and Deputy Secretary of Defense travel; coordinating and editing the Secretary's Annual Report to the President and the Congress and special publications as directed by the Secretary; and maintaining the Secretary's personal papers for transfer to a place of his choosing.

The Special Assistant to the Secretary of Defense for White House Liaison supports White House and DoD policy, program and personnel efforts and serves as a representative of the Secretary of Defense to the White House and other executive organizations.

The *Protocol Office* provides direct support and coordination for the Secretary and Deputy Secretary of Defense on all matters pertaining to official visits by senior level cabinet members, Congressional members and foreign dignitaries. The Protocol Office also coordinates all award ceremonies and special events as directed by the Secretary and/or Deputy Secretary of Defense.

The Office of the Secretary of Defense (OSD) is the principal staff element of the Secretary in the exercise of policy development, planning, resource management, fiscal and program evaluation responsibilities. OSD includes the immediate offices of the Secretary and Deputy Secretary of Defense, Under Secretary of Defense for Acquisition, Technology and Logistics, Under Secretary of Defense for Policy, Under Secretary of Defense for Personnel and Readiness, Under Secretary of Defense (Comptroller), Director of Defense Research and Engineering, Assistant Secretaries of Defense, General Counsel, Director of Operational Test and Evaluation, Assistants to the Secretary of Defense, Director of Administration and Management and such other staff offices as the Secretary establishes to assist in carrying out assigned responsibilities.

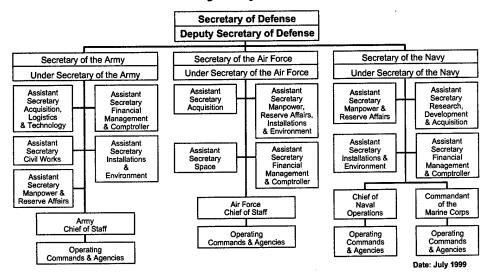
The Inspector General of the Department of Defense (DoD Directive 5106.1) under the provisions set forth by Public Law 95-452, serves as an independent and objective official in the DoD responsible for conducting, supervising and monitoring audits, investigations and inspections relating to programs and operations of the Department. The Inspector General is also responsible for keeping the Secretary of Defense and the Congress informed about problems in administration of Department programs and the need for and progress of corrective actions.

Date: February 2000

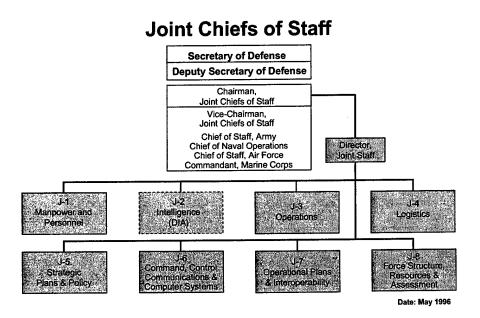
#### Office of the Secretary of Defense Secretary of Defense **Deputy Secretary of Defense** Director Iministration ASD (Public Affairs) ATSD (Civil Suppo General Counsel (Legislative Affairs) USD (Acquisition Technology & Logistics) ASD (Command, Control, Communications & Intelligence) USD (Policy) USD USD PDUSD (Policy) omptroller PDUSD (AT&L) ASD (Special Operations/ Low-Intensity Conflict) ASD (Force ASD PDUSD DUSD comptroller ASD ASD DUSD (Policy Support) DUSD (Industrial Affairs ) DUSD DUSD DUSD (rrogram DUSD DUSD DUSD (Science 8 Security) rechnology) DUSD Readines: DUSD

The *Military Departments* (DoD Directive 5100.1) are the Departments of the Army, Navy, and Air Force. (The Marine Corps is a part of Department of the Navy.) Each Military Department is separately organized under its own Secretary and functions under the authority, direction, and control of the Secretary of Defense. The Military Departments are responsible for organizing, training and equipping forces for assignment to Unified Combatant Commands.

## **Military Departments**

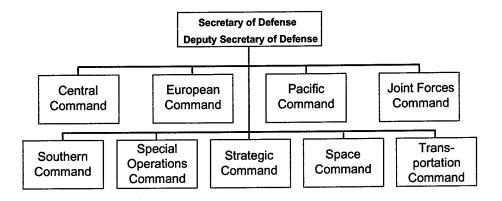


The Chairman of the Joint Chiefs of Staff (DoD Directive 5100.1). The Chairman of the Joint Chiefs of Staff is the principal military adviser to the President, the National Security Council and the Secretary of Defense. The Joint Chiefs of Staff, headed by the Chairman of the Joint Chiefs of Staff, consists of the Chairman; the Vice Chairman, JCS; the Chief of Staff, U.S. Army; the Chief of Naval Operations; the Chief of Staff, U.S. Air Force; and the Commandant of the Marine Corps, and supported, subject to the authority, direction and control of the Chairman, by the Joint Staff, constitute the immediate military staff of the Secretary of Defense. The Chiefs of Service are the senior military officers of their respective Services and are responsible for keeping the Secretaries of the Military Departments fully informed on matters considered or acted upon by the JCS, and are military advisers to the President, the National Security Council, and the Secretary of Defense. The Vice Chairman of the JCS performs such duties as may be prescribed by the Chairman with the approval of the Secretary of Defense. When there is a vacancy in the Office of the Chairman or in the absence or disability of the Chairman, the Vice Chairman acts as Chairman and performs the duties of the Chairman until a successor is appointed or the absence or disability ceases.



The *Unified Combatant Commands* (DoD Directive 5100.1) are responsible to the President and the Secretary of Defense for accomplishing the military missions assigned to them. Commanders of the Unified Combatant Commands exercise command authority over forces assigned to them as directed by the Secretary of Defense. The operational chain of command runs from the President to the Secretary of Defense to the Commanders of the Unified Combatant Commands. The Chairman of the Joint Chiefs of Staff functions within the chain of command by transmitting to the Commanders of the Unified Combatant Commands the orders of the President or the Secretary of Defense. Unified Combatant Commands include the European Command, Pacific Command, Joint Forces Command, Southern Command, Special Operations Command, Strategic Command, Central Command, Transportation Command and Space Command.

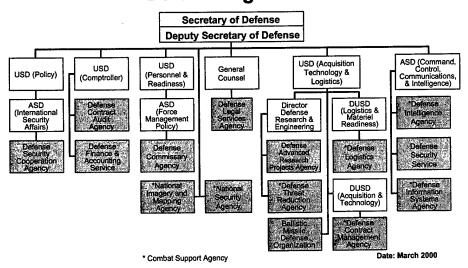
## **Unified Combatant Commands**



Date: October 1999

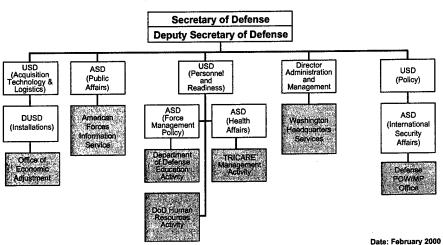
The *Defense Agencies*, authorized by the Secretary of Defense pursuant to the provisions of Title 10, United States Code, perform selected consolidated support and service functions on a Department-wide basis; Defense Agencies that are assigned wartime support missions are designated as Combat Support Agencies.

# **Defense Agencies**



The **DoD Field Activities** are established by the Secretary of Defense, under the provisions of Title 10, United States Code, to perform selected consolidated support and service functions of a more limited scope than Defense Agencies.

## **DoD Field Activities**



# Order of Succession of Officers To Act as Secretary of Defense Executive Order 13000 of April 24, 1996

#### 61 F.R. 18483

By the authority vested in me as President by the Constitution and the laws of the United States of America, including section 3347 of title 5, United States Code, it is hereby ordered as follows:

Section 1. Succession To Act as the Secretary of Defense.

- (a) In the event of the death, permanent disability, or resignation of the Secretary of Defense, the incumbents holding the Department of Defense positions designated below, in the order indicated, shall act for and exercise the powers of the Secretary of Defense as Acting Secretary of Defense:
  - (1) Deputy Secretary of Defense
  - (2) Secretary of the Army
  - (3) Secretary of the Navy
  - (4) Secretary of the Air Force
  - (5) Under Secretary of Defense for Acquisition and Technology
  - (6) Under Secretary of Defense for Policy

- (7) Under Secretary of Defense (Comptroller)
- (8) Under Secretary of Defense for Personnel and Readiness
- (9) Deputy Under Secretary of Defense for Acquisition and Technology
- (10) Deputy Under Secretary of Defense for Policy
- (11) Director of Defense Research and Engineering
- (12) The Assistant Secretaries of Defense, the Director of Operational Test and Evaluation, and the General Counsel of the Department of Defense, in the order fixed by their length of service as permanent appointees in such positions.
- (13) Under Secretaries of the Army, the Navy and the Air Force, in the order fixed by their length of service as permanent appointees in such positions.
- (14) Assistant Secretaries of the Army, the Navy and the Air Force whose appointments are vested in the President and General Counsels of the Army, the Navy and the Air Force, in the order fixed by their length of service as permanent appointees in such positions.
- (b) In the event of the temporary absence or temporary disability of the Secretary of Defense, the incumbents holding the Department of Defense positions designated in paragraph (a) of this section, in the order indicated, shall act for and exercise the powers of the Secretary of Defense as Acting Secretary of Defense
- (1) In these instances, the designation of an Acting Secretary of Defense applies only for the duration of the Secretary's absence or disability, and does not affect the authority of the Secretary to resume the powers of his office upon his return.
- (2) In the event that the Secretary of Defense is temporarily absent from his position, the Secretary may continue to exercise the power and fulfill the duties of this office during his absence, notwithstanding the provisions of this order.
- (c) Precedence among those officers designated in paragraphs (a)(12)-(14) of this section who have the same appointment date shall be determined by the Secretary of Defense at the time that such appointments are made.
- (d) Notwithstanding paragraphs (a) and (b) of this section, an officer shall not act for or exercise the powers of the Secretary of Defense under this order if that officer serves only in an acting capacity in the position that would otherwise entitle him to do so.
- Sec. 2. Temporary Nature of Succession. Succession to act for and exercise the powers of the Secretary of Defense pursuant to this order shall be on a temporary or interim basis and shall not have the effect of vacating the statutory appointment held by the successor.
- Sec 3. Revocation of Prior Executive Order. Executive Order No. 12787 of December 31, 1991, is hereby revoked.

/signed/ WILLIAM J. CLINTON

THE WHITE HOUSE April 24, 1996

## **DoD Table of Precedence**

August 18, 1999

#### CODE 2

Secretary of Defense

Deputy Secretary of Defense

Secretary of the Army

Secretary of the Navy

Secretary of the Air Force

Chairman of the Joint Chiefs of Staff

Under Secretary of Defense for Acquisition, Technology, and Logistics

(precedes Service Secretaries on acquisition matters)

Under Secretary of Defense for Policy

Under Secretary of Defense (Comptroller)/Chief Financial Officer

Under Secretary of Defense for Personnel and Readiness

Retired Chairman of the Joint Chiefs of Staff

Vice Chairman of the Joint Chiefs of Staff

Chiefs of Services

Commanders-in-Chief of Unified & Specified Commands of the four-star grade

Retired Vice Chairman of the Joint Chiefs of Staff, Retired Chiefs of Services, and

Commanders-in-Chief of Unified & Specified Commands of the four-star grade

Principal Deputy Under Secretary of Defense for Acquisition, Technology & Logistics

#### CODE 3

Principal Deputy Under Secretary of Defense for Policy

Director of Defense Research and Engineering

Assistant Secretaries of Defense, DoD General Counsel, DoD Inspector General, and

Director of Operational Test and Evaluation

Judges on the U.S. Court of Appeals for the Armed Forces

Under Secretary of the Army

Under Secretary of the Navy

Under Secretary of the Air Force

Vice Chiefs of Services

Generals and Admirals (four-star)

Assistant Secretaries and General Counsels of the Army, Navy and Air Force

Retired Generals and Admirals (four-star)

Chief of Staff to the Secretary of Defense

The Special Assistants to the Secretary of Defense

The Special Assistants to the Deputy Secretary of Defense

Assistants to the Secretary of Defense

Director, Administration and Management

Director, Program Analysis and Evaluation

Principal Deputy Under Secretary of Defense (non-statutory)

#### CODE 4

Directors of Defense Agencies

Deputy Under Secretaries of Defense (non-statutory), Deputy Directors of Defense, Research and Engineering, Principal Deputy Assistant Secretaries of Defense, DoD Principal Deputy General Counsel, DoD Deputy Inspector General, DoD Principal Deputy Comptroller, Director of Net Assessment, Director of Defense Procurement, Director Small and Disadvantaged Business Utilization

Administrative Assistants of the Army, Navy and Air Force

Lieutenant Generals and Vice Admirals (three-star)

Principal Deputy Assistant Secretaries and Principal Deputy General Counsels of the Army, Navy, and Air Force

Lieutenant Generals and Vice Admirals (three-star)

Retired Lieutenant Generals and Vice Admirals (three-star)

Principal Deputy Assistant Secretaries and Principal Deputy General Counsels of the Army, Navy, and Air Force

Deputy Assistant Secretaries of Defense, DoD Deputy General Counsels, Defense Advisor U.S. Mission NATO, Secretary of Defense Representative to International Negotiations, Deputy Comptrollers, and Assistant Inspectors General

Deputy Under Secretaries of the Army, Navy and Air Force

Members of Secretary of Defense Boards

#### CODE 5

Major Generals and Rear Admirals of the upper half (two-star)
Deputy Assistant Secretaries and Deputy General Counsels of the Army, Navy and
Air Force, OSD Historian

#### CODE 6

Brigadier Generals and Rear Admirals of the lower half (one-star) Assistant Deputy Under Secretaries and Principal Directors

Note: Within a group at the same level under a code, precedence is by date of appointment.

## **More About DoD**

Many publications that provide Department of Defense related information are now available on the Internet (http://www.defenselink.mil/pubs/). The offerings include:

#### **General Information**

- •Defense Almanac
- •Defense Viewpoint
- Dictionary of Military Terms
- DoD Libraries
- •Introduction to the Department of Defense (DoD 101)
- •Magazines of the Armed Forces
- •Military Personnel Strength
- Obtaining Defense Publications
- Organization and Functions Guidebook
- •Pentagon Virtual Tour and Informational Brochure
- Personnel Statistics
- Procurement Statistics

#### **Other Defense-Related Publications**

- •CIA World Fact Book
- •Department of State Background Notes
- •Department of State Travel Warnings and Consular Information Sheets
- •Library of Congress Country Studies

## **Regulations and Forms**

- •Directives and Instructions
- •Electronic Forms for Downloading

#### **Reports**

- •Annual Report to the President and the Congress by the Secretary of Defense
- •DoD Reform
- •DoD Worldwide List of Military Installations
- •Into the 21st Century: A Strategy for Affordability
- •Joint Staff Posture Statement 1999
- •National Defense Panel Final Report "Transforming Defense: National Security in the 21st Century"
- •National Security Strategy for a New Century
- •New World Coming: American Security in the 21st Century
- •Quadrennial Defense Review
- Quarterly Readiness Reports
- •Special Operations Forces Posture Statement

# **OSD Policy**

## **Security Awareness**

Military Assistants have an important role in upholding standards of physical and information security. The responsibility is an extension of the mission to support the Department of Defense, its leadership and employees. The challenge of security in the current environment is increasingly complex and magnified exponentially by the sophistication of technological media. In an effort to focus appropriate attention and assets on this critical area, those responsible for designing and implementing security policy for the Pentagon have significantly stepped up protective measures. They include: random entry-exit inspections, security awareness programs and new employee orientations. Details of this increased security emphasis are included in:

•DepSecDef letter, Subject: Pentagon Security, dated 14 July 2000.

•Director, Administration and Management letter, Subject: Random Entry-Exit Inspections/Removal of Classified Items, dated 26 July 2000.

## **Absences**

The Secretary of Defense requires that all Under Secretaries of Defense, Principal Deputy Under Secretaries of Defense, Assistant Secretaries of Defense, Assistants to the Secretary of Defense, the Inspector General, the General Counsel, Directors and Special Assistants to the Secretary and Deputy Secretary of Defense report their absences to the Executive Secretary by memorandum. Upon review by the Executive Secretary, a copy of this absence memorandum will be

forwarded to the National Military Command Center (NMCC) and OSD Cable Div by OSD Correspondence and Directives. In addition, a copy of the travel itinerary (with contact numbers) will be provided to OSD Cables prior to the commencement of the absence. Note that the absence memorandum is in addition to dual absence requests. An example of an absence notification is provided.

## **Request for Dual Absence**

The Secretary of Defense requires that all major OSD components have an "acting" designee in the event of an emergency or crisis situation. If the principal OSD component head plans to be absent from the Washington area, the principal assistant or deputy should remain. If it becomes essential for both the principal and the principal assistant or deputy to be absent at the same time, the Deputy Secretary of Defense should be notified before permanent plans are made.

(Date)

MEMORANDUM FOR THE EXECUTIVE SECRETARY

SUBJECT: Absence from Washington Area

I will be absent from Washington on (TDY, leave, etc.) from (time), (day), (date), (month) through (time), (day), (date), (month). During my absence, (name) will act on my behalf.

/Signed/

DISTRIBUTION: OSD CABLE DIV NMCC Requests for dual absence should be forwarded to the Deputy Secretary using an ACTION MEMORANDUM. An example of a dual absence request is shown.

| (Date)  |
|---|
| MEMORANDUM FOR THE DEPUTY SECRETARY OF DEFENSE  |
| THROUGH: (Appropriate OSD Principal)  |
| FROM: ASSISTANT SECRETARY OF DEFENSE FOR () Prepared By: Name, Office, Telephone number   |
| SUBJECT: Dual Absence - ACTION MEMORANDUM   |
| PURPOSE: Request permission for dual absence for the Assistant Secretary of Defense () and the Principal Deputy   |
| DISCUSSION: I will be on vacation from Monday, June 13, 2001, to June 17, 2001. My Principal Deputy, [Mr. John Doe] will be acting ASD (). [Mr. Doe] will be at Patuxent River, Maryland, for training on June 15 <sup>th</sup> from 0730 to 1700. [Ms. Jane Smith], DASD (_), will be acting ASD () during this absence. |
| RECOMMENDATION: Approval.   |
| DEPSECDEF DECISION:   |
| Approved  |
| Disapproved   |

# Wearing of Uniforms

The duty uniform for all military personnel assigned to OSD and WHS will be the same as that prescribed by each Service in the Washington, DC, area. Military personnel assigned to OSD and WHS should wear appropriate Service uniforms during normal duty hours. Personnel who

perform shift duty beyond normal duty hours or on weekends will also wear the appropriate Service uniform when on duty.

25

Subject to Service regulations, wear of the blouse or coat is optional year-round when attending meetings of the Secretary and Deputy Secretary of Defense.

The wear of civilian clothing at certain meetings outside DoD is authorized when the military uniform would be inappropriate.

When applicable, changeover dates for wear of the summer and winter uniforms are as prescribed by each Service.

# Funding and Support for Official Guests of the Secretary of Defense

To strengthen internal controls in accounting for Official Representation Funds used to support official guests of the Secretary of Defense, the following procedures are in effect:

The Executive Secretary of the Department of Defense is responsible for appointing an Executive Agent from one of the military departments and/or Defense Agencies when the trip cannot be supported by the OSD staff.

Executive Agents, in coordination with the OSD point of contact, will develop an itinerary, to include the official party list, and supporting budget for each trip and forward that information to the Director of Budget and Finance, Washington Headquarters Services (WHS). The submission to WHS should be made so as to allow approximately 10 working days in which to obtain the approval of the expenditures by the Secretary or Deputy Secretary of Defense. Executive Agents will nominate a trip officer to act as a Class A Agent to obtain funds from WHS with which to execute the planned and approved trip. Upon completion of the trip, the Class A Agent will submit a complete accounting of the funds to the WHS Budget and Finance.

The Directorate for Budget and Finance, WHS, will obtain appropriate approvals for such trips, advise the various DoD components of their anticipated share of the costs, appoint the Class A Agent and provide the necessary funds and guidance, validate the final trip report from the Class A Agent, bill appropriate DoD components and perform other necessary accounting functions. Billings will be in accordance with paragraph E.3. of DoD Directive 7250.13.

## **Executive Agents for Visiting Foreign Dignitaries**

Responsibility for assignment of Executive Agents for visits by foreign dignitaries is vested in the Executive Secretary of the Department of Defense. Executive Agents are normally assigned for visits at the Minister of Defense (MOD) or Deputy MOD, Chief of Defense or Vice Chief of Defense level and for large, high level groups such as Consultative Groups and Parliamentary Committees. Executive Agents are authorized use of Official Representation Funds in

extending official courtesies to guests of the Department of Defense consistent with maintaining the standing and prestige of the United States.

Authority to invite foreign dignitaries at the expense of the Department of Defense is limited to the following officials:

- Secretary of Defense
- •Deputy Secretary of Defense
- •Secretaries of the Military Departments
- •Chairman and Vice Chairman of the Joint Chiefs of Staff
- •Chiefs of the Military Services
- •Director of the Defense Intelligence Agency

(Note: Visits proposed by any official not listed above must be approved by one of the above officials.)

Officials authorized to host foreign dignitaries, in addition to officials listed above are:

- •Under Secretaries of Defense
- •Assistant Secretaries of Defense
- •Commanders of the Unified and Specified Commands
- •Directors of the Defense Agencies
- •President, United Services University of the Health Sciences.

Foreign dignitaries and officials visiting the United States at the invitation and expense of the Department of Defense fall into (Date)

MEMORANDUM FOR EXECUTIVE SECRETARY

THROUGH: UNDER SECRETARY OF DEFENSE FOR POLICY

FROM: ASSISTANT SECRETARY OF DEFENSE (\_\_\_)

SUBJECT: Designation of Executive Agent for Visit of Dutch Minister of Defense Relus Ter Beek

MOD Ter Beek had to cancel a previously approved trip to visit the Dutch F-16 training at Tucson, Arizona. He would like to visit the unit from July 21 to 23, 2001. We should pick up his transportation from his arrival in New York through his departure on July 23. He will be accompanied by the RNLAF Chief of Staff and two members of the Dutch Embassy.

Request that you designate an Executive Agent for the visit of MOD Ter Beek. COL Lee Alton is the OASD/ISA action officer for this visit, 697-9258, Room 4D762.

Reviewed by DASD (EUR)\_\_\_\_\_

four categories. The first consists of foreign dignitaries and officials visiting the United States at the invitation of the Secretary of Defense (SecDef), Deputy Secretary of Defense (DepSecDef), Chairman of the Joint Chiefs of Staff (CHCS) or Vice Chairman of the Joint Chiefs (VCJCS) of Staff and are designated as counterpart visits. The Director of the Defense Intelligence Agency (DIA) is the Executive Agent responsible for managing all counterpart visits.

The next category for which an Executive Agent may be requested includes visits linked to significant events such as Bilateral and Military Committee meetings. When directly linked (headed) by a Minister of Defense/Chief of Defense and the SecDef/DepSecDef/CJCS/VCJCS, DIA will assume Executive Agent responsibilities.

The third category for which Executive Agent support may be requested includes events having wide-ranging DoD implications and benefits. Executive Agent responsibilities will generally go to the Services, Joint Staff or a DoD Agency other than DIA. In cases where DIA is assigned, priority will be given to SecDef/DepSecDef/CJCS/VCJCS counterpart missions. Therefore, it is incumbent upon the requester to augment the Executive Agent (DIA) and/or be prepared to assume all responsibilities should a counterpart mission arise, precluding continued DIA support.

CJCS Foreign National and International Defense Colleges comprise the final category for which Executive Agent support may be provided. However, responsibility for providing Executive Agents will remain with the Services.

The approval authority for all Executive Agent requests remains with the Department of Defense Executive Secretary. Requests for assignment of Executive Agents should be addressed to the Executive Secretary at least 60 days in advance with as much information about the proposed visit as possible. Sample requests for Executive Agent assignment are shown. Requesters should not make pre-arrangements with the Services to act as Executive Agents.

Additional information concerning the Executive Agent Program can be found in the following references:

- DoD Directive 7250.13, dated February 23, 1989, Change 1-5 Subject: Official Representation Funds
- DepSecDef Memorandum, dated December 23, 1996, Subject: Responsibility for Managing SecDef/DepSecDef/CJCS/VCJCS Counterpart Visits
- DA&M, OSD Memorandum, dated February 20, 1997, Subject: Implementation of DepSecDef Memorandum on Management of Counterpart Visits.

MEMORANDUM FOR DIRECTOR, DEFENSE INTELLIGENCE AGENCY

SUBJECT: Designation of Executive Agent

(Name) Vice Minister of Defense for (Country) will visit the United States from September 10 to 15, 2001. The visit is tentatively scheduled to include stops in Washington, DC, New York and PACOM. The Defense Intelligence Agency is designated Executive Agent responsible for transportation, billeting arrangements, security (to include personal security detail), escorts and social activities. Please coordinate all public affairs guidance with OASD/PA-DPL.

Within the Immediate Office of the Secretary of Defense, the point of contact is (Name, Telephone). (Organization) action officer is (Name, Telephone). This is a SecDef/DepSecDef/CJCS/VCJCS sponsored counterpart visit. Funding will be in accordance with DoD Directive 7250.13, Subject: Official Representation Funds dated February 23, 1989. For security reasons, when possible, travel within the CONUS shall be by U.S. military aircraft. If military air is requested/required between CONUS and overseas areas, please forward a separate request to the Executive Secretary for approval. Format for the request should be in accordance with DoDD 4515.134R, Chapter 10, para B, to include commercial cost comparison.

/signed/

(Name)

(Rank, Service)

**Executive Secretary** 

cc:

OSD Protocol

WHS Director of Budget and Finance

OASD/ISP

OASD/PA-DPL

## **Travel of DoD Officials**

## **Foreign Travel**

The Executive Secretary acts as liaison between the Department and the Assistant to the President for National Security Affairs on all foreign travel.

Requests for foreign travel proposals for officials at or above the rank of assistant secretary (or equivalent rank, to include the Service chiefs and vice chiefs) of executive departments and agencies are to be submitted to National Security Affairs through the Executive Secretary. Such notifications are to be coordinated with the Under Secretary of Defense for Policy.

Requests should be forwarded to the Executive Secretary under cover of an ACTION MEMORANDUM requesting signature and forwarding to the National Security Council.

All requests should be forwarded to the Executive Secretary at least 10 days in advance of the intended travel. Upon completion of travel, and within five working days, a trip report will be submitted to the Assistant to the President for National Security Affairs through the Executive Secretary.

| MEMORANDUM FOR THE ASSISTANT TO THE PRESIDENT FOR NATIONAL SECURITY AFFAIRS |
|---|
| SUBJECT: Request for Foreign Travel Approval                                |
| Senior Official and Title:  |
| Purpose of Travel:  |
| Itinerary: Date/City/Country/Major Event/Meeting                            |
| Executive Secretary Signature Block   |
| NSC Approval  |

An example of a properly prepared request to be signed by the Executive Secretary is shown.

#### Official Travel

Carlson Wagonlit Travel provides support services for official travel. These include airline/train reservations, hotel/BOQ accommodations, and car rentals. Contact information:

#### Carlson Wagonlit Travel

#### Pentagon, Room 1A864

Hours of Operation: 0800-1630 - Pentagon Ticketing Office 0730-1700 - Pentagon Reservations Office

Phone: 703-684-1558; Outside the Washington area 800-756-6111

Fax: 703-486-9244

Emergency Services (1700-0800 EST): 800-383-6732

## **Reservation and Ticketing Tips**

#### Reservations

- •Spell client's name phonetically.
- •Have client's home phone number available.
- •Narrow preferred flight times.
- •Advise whether client will use TDY or blanket travel orders.
- •Advise whether client is a contractor.
- •Know if client prefers aisle or window seating.
- •Record the record locator/confirmation code.

#### **Ticketing**

- •Provide three copies (no originals) of travel orders with record locator/confirmation code. One copy only if by fax.
- •Non-contract carriers must be approved on the order.
- •TDY duty points must appear in Box 11 on DD1610. Leave on travel order does not authorize government rates.
- •Four ticketing options available:
- 1. Walk-in: Stop by Room 1A864 during office hours, 0800-1430.
- 2. Will call: Submit orders at least 24 hours in advance. Note pick-up date and confirmation code on the orders. Pick up tickets at Will Call window.
- 3. Delivery (Pentagon only): Submit orders at least 24 hours in advance. Note delivery date, room numbers and confirmation code on the orders.
- 4. Electronic ticket: Submit orders at least 24 hours in advance. Note E-ticket and confirmation code on advance. Boarding passes issued at the airport.

#### **Refunds and Cancellations**

- •If tickets have been issued, either return tickets prior to first flight date or call to cancel.
- •Refunds require three copies of the travel order.
- •Refund with ticket re-issue requires six copies of orders.

## **Travel Policies**

The Comptroller General has ruled that frequent flyer credits earned through official travel are the property of the government and may be used only for official business. The individual will accumulate frequent flyer credits earned through official travel into individual accounts. Upgraded seating and free tickets earned through official travel by enrollees may be used to augment the travel budget.

Use of premium-class other than first-class arrangements may be approved under any of the following conditions:

- 1. It is certified that it is the only service offered.
- 2. Coach-class is not available to accomplish the mission.
- 3. Necessary to accommodate disability or physical impairment.
- 4. Required for security purposes or exceptional circumstances.
- 5. Foreign carrier does not provide adequate sanitation or health standards.
- 6. It results in overall cost savings to the government.
- 7. Obtained through locally approved redemption of frequent traveler benefits.
- 8. Paid in full by an accepted non-Federal source.
- 9. It is for OCONUS flights in excess of 14 hours with no rest stop enroute.
- 10. Premium class upgrades may also be authorized by the approving official when, consistent with the guidelines of JTR Para 1058, a traveler's schedule requires overnight travel or travel during nonworking hours and official business prior to an adequate rest period.
  - 11. When otherwise authorized by law or regulation.

The government is obligated to use contract carriers, subject to very limited exceptions, regardless of equal or lower fares offered by competing, non-contract carriers on some routes. For non-contract travel, an authorizing official must certify on the order or voucher that:

- 1. Space or scheduled flights are not available in time to accomplish the purpose of the travel or use of contract service would require the traveler to incur unnecessary overnight lodging costs which would increase the total cost of the trip.
- 2. The contractor's flight schedule is inconsistent with explicit policies of individual federal departments and agencies or other mandatory users to schedule travel during normal work hours.
- 3. A non-contract (DoD-approved) carrier offers a lower fare available to the general public, the use of which will result in a lower total trip cost to the government or other mandatory users, to include the combined costs of transportation, lodging, meals and related expenses.

  NOTE: This exception does not apply if the contract carrier offers a comparable fare and has seats available at that fare or if the lower fare offered by a non-contract carrier is restricted to government and military travelers on official business and may only be purchased with a GTR, contractor issued charge card or centrally billed account.
- 4. Rail service is available and such service is cost effective and consistent with mission requirements.
- 5. Smoking is permitted on the contract flight and the non-smoking section of the aircraft for the contract flight is not acceptable to the traveler.

To ensure appropriate accountability, all officials exercising the authority delegated under this policy will maintain records documenting the basis for each decision to approve upgraded seating or excepted use of non-contract carriers.

## Request for Military Aircraft (MILAIR) Transportation

32

Requests for military aircraft transportation for senior OSD component personnel should be addressed to the Executive Secretary for approval and sent/delivered to the Executive Secretariat (Room 3D853) for processing. No cover ACTION MEMORANDUM is required.

Requests for stateside and overseas travel should be submitted at least 14 business days prior to the travel date to allow for Executive Secretariat processing and action.

Requests for team travel (nine or more persons) must be submitted a minimum of 30 days in advance of the travel date.

Use of military air transportation will only be approved when it meets the requirements as specified in DoD Directive 4500.56, DoD Policy on the Use of Government Aircraft and Air Travel. All requests for military air transportation must be signed by the senior traveler, not the military assistant, and must clearly indicate the costs (military versus commercial air) involved for comparison purposes. As a reminder, in accordance with the directive, military aircraft transportation shall not be used if commercial airline or aircraft (including charter) service is reasonably available. Refer to the Directive for examples of exceptions.

After Executive Secretary approval of a travel request:

- All fixed wing and only rotary wing (outside of the local area) requests will be forwarded to Air Force CVAM (CONUS fixed wing: 695-3781), or J-4 LRC (overseas fixed wing: 697-0744) for action.
  - Requesters should work directly with the Air Force CVAM for all team travel.
- Approved helicopter flight support outside the DC area must be scheduled and coordinated by the traveler with the installation to be visited.
- C&D staff officers will act as liaison for scheduling local (DC) area helicopter flight support with the appropriate Service airlift office.
- Requesters will receive a copy of Executive Secretariat approval or disapproval as well as telephone notification when coordination is established with the appropriate Service for support.

Operational Support Airlift (OSA) references:

- DoD Directive 4500.43, Operational Support Airlift (OSA), 28 Oct 96.
- DoD Directive 4500.56, DoD Policy on the Use of Government Aircraft and Air Travel, 2 Mar 97.
- Joint Operational Support Airlift Center (JOSAC), Operational Support Airlift User Guide, 27 Feb 98 (<a href="http://ustcweb.safb.af.mil/J3/jalis/osa.html">http://ustcweb.safb.af.mil/J3/jalis/osa.html</a>)

(Date)

MEMORANDUM FOR THE EXECUTIVE SECRETARY

THROUGH: (Determined by Requester)

**SUBJECT: Request for Military Transportation** 

Traveler(s): Senior traveler first followed by other travelers; full name, title, DV Code, SSN

Itinerary: Andrews AFB, MD to Philadelphia, PA (Smith Airport) [Indicate airport/helicopter location. Indicate fixed/required arrival/departure time; 2 hr. window preferred.]

**OSD Policy** 

Remarks:

[State purpose of the trip.]

[Statement of why commercial travel is inappropriate or more expensive.]

[Cost comparison if appropriate. Contact the travel office for commercial airfare rates and Air Force CVAM (695-3741) for MilAir costs based on flight time and most suitable aircraft type that will support requirement.]

[Always include the following certification: "This travel meets the criteria of DoD Directive 4500.56, DoD Policy on the Use of Government Aircraft and Air Travel."]

[Include the name of a point of contact (not traveling) with office, home and fax phone numbers. If known, provide a point of contact with office and home phone numbers, DSN, and direct dial, for the planned destination(s). If MilAir is for OCONUS travel, include your message addressee element to ensure you receive a copy of all message traffic related to the travel request and arrangements.]

/Signed by senior traveling official/

Note: Signature cannot be delegated

#### Joint Awards

## **Preparation of Award Recommendations**

Award recommendations should be submitted 90 days before the desired presentation date and include the following elements:

- •Narrative Defense Distinguished Service Medal (DDSM) and the Defense Superior Service Medal (DSSM) maximum length three single-spaced pages. The Defense Meritorious Service Medal (DMSM), the Joint Service Commendation Medal (JSCM) and Joint Service Achievement Medal (JSAM) maximum length one single-spaced page.
- •Citation submitted on bond paper, not more than 16 single-spaced typed lines.
  - Defense Agencies close with "Department of Defense".
- The Immediate Office of the Secretary of Defense, Offices of the Under Secretaries of Defense and Offices of the Assistant Secretaries of Defense, close with "Office of the Secretary of Defense."
- When using duty title omit "the" or "an"; use "service as Administrative Assistant,..." not "the...".
- For compound grade titles such as first lieutenant or staff sergeant, spell out the complete grade title in the opening sentence and use the short title thereafter, e.g., lieutenant, sergeant.
- Omit parentheses in organization titles; e.g., Under Secretary of Defense (Personnel and Readiness); use Under Secretary of Defense for Personnel and Readiness.
  - When speaking of the CINCs collectively, use Commanders-in-Chief.
- Numbers one through ten should be written out; 11 and greater are numerical; express dollar amounts as \$200 million.
  - •Memorandum addressed to Chief, Military Personnel Division, Washington Headquarters Services. The package must be processed through the organizational chain of command before forwarding to the Awards Section.
  - •Copies include the original plus three.

The approval authority for the DSSM, DMSM, JSCM and JSAM for service members assigned to multilateral and bilateral organizations and other offices within the Executive Branch, Executive Agencies and Departments or independent establishments and Government corporations, is the Director for Administration and Management. The approval authority for the DDSM is the Deputy Secretary of Defense.

Approval authority for the JSAM for Service members assigned to OSD, the DoD Field Activities or the joint DoD activities for which a Principal Staff Assistant has been designated "Executive Agent for the Secretary of Defense," is delegated to the OSD Principal Staff Assistants.

Two copies of the orders are given to the recipient of the award. Recipients are responsible for ensuring that a copy of the award is placed in personnel records.

Narrative
 Citation

3. Supporting Documentation (if appropriate)

Award recommendations will be boarded with three senior officers assigned to OSD. Board books will be distributed to the board members every Monday for review.

# **Sample Award Recommendation:**

MEMORANDUM FOR CHIEF, MILITARY PERSONNEL DIVISION, PERSONNEL AND SECURITY DIRECTORATE, WHS THROUGH: (if applicable) SUBJECT: Recommendation for Award of the (as applicable) Under the provisions of DoD 1348.33-M, the following Service member is recommended for award of the (as applicable): a. Grade, name, Service and social security number. b. Organization of assignment. c. Title and duty assignment at the time of act or service. Include Joint Manpower Program paragraph and/or line number or JDAL number as applicable. d. Inclusive dates for which recommended. e. Indicate the reason for the submission, e.g., service member is to be reassigned permanent change of station on \_\_\_\_; separated from active duty on \_\_\_\_; or retired from active duty on \_\_\_\_. If award is recommended for meritorious achievement, indicate "Impact Award." f. Requested date of presentation. Include telephone number and point of contact. g. Previous Defense awards and inclusive dates. h. No other award for this service member for this action/service is pending and no previous award has been made for the act or service described herein. Attached is a narrative description of achievement performed or service rendered. Signature of Recommending Official Attachments

#### **Supporting Narrative**

The narrative should be specific and factual, giving concrete examples of exactly what the service member did, how it was done, what benefits or results were realized and why or how such benefits or results significantly exceeded superior performance of duty.

#### **Sample Citation:**

#### JOHN E. DOE

Colonel John E. Doe, United States Air Force, Distinguished Himself By exceptionally superior service as Special Assistant to the President, The White House, from January 1998 to December 2000. The outstanding professional skill, leadership and ceaseless efforts of Colonel Doe resulted in major contributions to the effectiveness and success of various nationally critical programs. Applying extensive knowledge and substantial experience, he aggressively pursued and resolved countless political-military issues and led all efforts to reorganize and focus the staff for greater efficiency. He personally directed several key action groups that made sweeping changes, significantly impacting on the Command's mission and resulting in increased staff efficiencies and resource savings. The distinctive accomplishments of Colonel Doe reflect great credit upon himself, the United States Air Force and the Department of Defense.

#### **Opening Statement:**

- •DDSM use exceptionally distinguished service
- •DSSM use exceptionally superior service
- •DMSM/JSCM/JSAM use exceptionally meritorious service (use exceptionally meritorious achievement for impact awards)

# **Closing Statement:**

- •PCS/impact award: The distinctive accomplishments of Colonel Doe reflect great credit upon himself, the United States Air Force and the Department of Defense (Office of the Secretary of Defense). Note: Do not use the word "great" in the closing sentence for the JSAM.
- •Retirement Award: The distinctive accomplishments of Colonel Doe culminate a (long and) distinguished career in the service of his country and reflect great credit upon himself, the United States Air Force and the Department of Defense (Office of the Secretary of Defense).
- •Separation Award: The distinctive accomplishments of Major Doe while serving his country reflect great credit upon himself, the United States Air Force and the Department of Defense (Office of the Secretary of Defense).
- •Posthumous Award: The distinctive accomplishments of Colonel Doe in the dedication of his service to his country reflect great credit upon himself, the United States Air Force and the Department of Defense (Office of the Secretary of Defense).

Note: WHS does not prepare citations for activities outside the Immediate Offices of the Secretary and Deputy Secretary of Defense and the Offices of the Principal Staff Assistants. Activities may prepare citations on bond paper, command letterhead, parchment paper or other suitable paper stock

#### **Unit Awards**

A recommendation for the Joint Meritorious Unit Award will consist of the following:

- (a) Narrative The narrative justification must contain specific and factual data about what the activity accomplished, how it was done, what the benefits or results were, and why or how the achievement or service significantly exceeded normal unit duty performance. The narrative justification shall not exceed three single-spaced pages.
- (b) Citation the citation shall be submitted on bond paper, not to exceed 20 typed lines.
- (c) **Personnel roster** a roster of all eligible personnel must be submitted with each JMUA recommendation to be retained by Military Personnel Division
- (d) Eligible subordinate unit roster
- (e) Copies the original plus three copies
- (f) **Endorsing official** the award recommendations must be endorsed by commanders at all levels.

Authority to award the JMUA is delegated to the OSD Director, Administration and Management. Orders are distributed to the originator of the award. The originator is responsible for notifying those eligible to receive the award after it is approved.

# **Military Award Information**

Additional information may be obtained from the Military Personnel Division, Washington Headquarters Services, 703-697-5271 and in the January 1999 WHS Joint Awards Guide.

# **Civilian Award Information**

The Incentive and Honorary Awards Program is covered in DoD Administrative Instruction Number 29 which can be accessed in its entirety through the DoD web site.

#### **Standards of Conduct**

The DoD General Counsel is the Designated Agency Ethics Official (DAEO) for DoD employees, both civilian and military, who serve in the Office of the Secretary of Defense, DoD Field Activities and the Joint Staff. Direct your ethics and standards of conduct questions to:

**Standards of Conduct Office** 

Office of the General Counsel

1600 Defense Pentagon (3D941)

Washington, DC 20301-1600

Telephone: 703-695-3422, 703-697-9309, 703-697-5305

# **Most Important Advice**

When any issue raises a Standards of Conduct/Ethics question, contact the Standards of Conduct office. The following guidelines are excerpts from the DoD Employees' Guide to the Standards of Conduct. Copies may be obtained from the above location.

#### Gifts from Outside Sources

# Don't ask for or accept a gift given:

- •Because of your official position, or
- •By a prohibited source

# Regardless of exceptions allowing gifts, it is always impermissible to:

- •Accept a gift in return for being influenced in the performance of an official act (this is a bribe)
- •Solicit or coerce the offering of a gift
- •Accept gifts from the same or different sources so frequently that a reasonable person would think you're using your office for private gain
- •Accept a gift in violation of statute, for example:
  - soliciting or receiving bribes
  - supplementing your salary from non-Federal sources

#### What's a prohibited source?

A prohibited source is any person who is, or any organization a majority of whose members are:

- Seeking official action by DoD
- Doing or seeking to do business with DoD
- •Regulated by DoD
- •Substantially affected by the performance of your duties

#### What's a gift?

•Anything of monetary value.

#### What's not a gift?

- •Modest items of food and refreshment (like coffee and donuts) when not served as a meal
- •Prizes in contests open to the public
- •Greeting cards, plaques, certificates and trophies of little monetary value
- •Commercial discounts available to the public or to all Government or military personnel
- •Anything the Government buys, acquires by contracts or legally accepts
- •Anything for which the employee pays market value

# Otherwise-prohibited gifts that you may keep

- •Gifts valued at \$20 or less, but
  - not cash or investment interests (like stocks)
  - not more than \$50 in total from one source in a year
- •Discounts and similar benefits offered to groups that are unrelated to Government employment (such as AARP), or
  - If membership is related to Government employment, you may accept the benefits if they are broadly available to the public through similar organizations
- •Gifts motivated by family or personal relationships
- •Gifts resulting from outside business activities of employees and their spouses
- •Travel and entertainment related to bona fide future employment discussions
- •Awards for achievement or meritorious public service and honorary degrees
- •Travel benefits and free attendance from political organizations
- •Invitations to certain social events, including food and entertainment (not travel)
  - if the event is free to all attendees, and
  - if the sponsor isn't a prohibited source
- •Certain gifts of food and entertainment in foreign areas when provided by a person other than a foreign government
- •Free attendance provided by a state or local government or civic organization when there is a community relations interest
- •Free attendance and food and entertainment provided to all attendees (not travel) provided by a sponsor:
  - of an event on the day that you are speaking or presenting information, or
  - of a widely attended gathering, provided that your supervisor determines that your attendance furthers the Department's programs or operations
- •Free attendance, food and entertainment provided to all attendees (not travel) provided by a person other than the sponsor of a widely attended gathering, if
  - more than 100 persons are expected to attend the event
  - the market value of your attendance is less than \$250, and
  - your supervisor determines that your attendance furthers the department's programs
  - employees who are in a position to affect the donor need additional determinations
- •Certain educational scholarships and grants (consult a DoD ethics official)

•Gifts accepted under specific statutory authority, such as gifts from a foreign government

Remember, you don't have to accept a gift. It may be smart, depending on the circumstances, to decline a gift, even when it is allowed.

# If you are offered a gift you cannot accept, you should:

- •Decline the gift
- •Return the gift, or
- •Pay the donor the gift's market value

Subsequent reciprocity is not a solution.

# Gifts Between Employees

Don't accept a gift from an employee who earns less than you (unless you have a personal relationship with the employee, and there is no subordinate - superior relationship).

Don't give a gift to or make a donation toward a gift for your superior or an employee who earns more than you.

# **Exceptions to the rule:**

On occasions when gifts are customarily given, you may give to a superior or receive from a subordinate:

- •Non-monetary gifts of up to \$10
- •Personal hospitality provided at a residence (or an appropriate host/hostess gift), and
- •Food or refreshments shared in the office

You may give to a superior to receive from a subordinate an appropriate gift:

- •In recognition of a special, infrequent occasion of personal significance, such as marriage, illness, birth of a child, or
- •For occasions that terminate the subordinate-official superior relationship, such as retirement, resignation or transfer.
  - You may make a voluntary contribution of up to \$10 toward the purchase of an appropriate gift for an official superior for occasions stated above, or for occasional events like refreshments in the office.
  - For occasions stated above, you may accept a gift from a group that includes a subordinate if the aggregate market value is less than \$300. (Commemorative gifts may exceed \$300).

#### **Conflicts of Interest**

You may not officially participate, personally and substantially, in any matter that will directly and predictably affect the financial interests of:

- •You
- Your spouse
- •Your minor children
- •Your general partner

- •Organizations with which you're negotiating or making arrangements for future employment, or
- •Any organization for which you work or serve as: an employee, an officer, a director, a trustee, or a general partner.

You may be criminally liable if you violate this law. If you think you may have a conflicting financial interest, consult a DoD ethics official to determine the appropriate remedy.

# **Bribery and Graft**

Don't seek or accept anything of value, other than your salary, for being influenced in your official duties.

# **Commercial Dealings Between DoD Employees**

Don't sell or offer to sell to junior personnel (or their families) insurance, stocks, real estate, cosmetics, household supplies, or other such goods and services.

# **Outside Compensation in Matters Affecting Government**

You may not represent anyone other than the Federal Government before a U.S. court or agency if the United States is a party or has a direct and substantial interest in the proceeding.

You may represent yourself in such proceedings, or your parent, spouse, child or any person over whom you have a fiduciary duty, like a guardian.

Modified restrictions also apply to consultants. Check with a DoD ethics official.

# Supplementation of Federal Salary

You may not accept compensation from any source except the Government for your services as a Government employee. This rule does not apply if:

- you are a "special Government employee," i.e. a consultant
- you serve without compensation
- your supplementation is a result of a public service award.

# **Impartiality in Performing Official Duties**

Maintain your impartiality. Don't participate in any particular DoD matter involving specific parties:

- •that is likely to directly and predictably affect the financial interest of a member of your household, or in which a person with whom you have a "covered relationship" is involved, and
- •a reasonable person with knowledge of the relevant facts could question your impartiality.

# What's a "covered relationship"?

- •A member of your household or a relative with whom you're close
- •Someone with whom you have or seek to have a business relationship, other than a routine consumer transaction

- •An organization (other than a political party) in which you actively participate
- •Someone for whom you had, within the last year, a close business relationship, such as partnership or employment, or
- •Someone for whom your spouse, parent, or dependent child has (or seeks to have) a close business relationship, such as partnership or employment.

#### **Misuse of Position**

Don't use or permit the use of your Government position, title or any authority associated with your office:

- •To induce or coerce another person to provide any benefits
- •To imply that DoD or the Government endorses personal activities
- •To endorse any product, service or enterprise, except as provided by statute or regulation

Use official time in an honest effort to perform official duties and don't ask subordinates to perform tasks outside their official duties.

# **Activities Outside of Federal Employment**

You may raise funds for organizations in your personal capacity, but you may not use your title, position or authority to fund raise, nor may you solicit subordinates or prohibited sources.

#### Honoraria

You may accept payment for an appearance, speech or an article that is unrelated to your official duties and that was not prepared on official time.

You may not be paid for speaking, writing or teaching (with certain exceptions) if the activity relates to your official activities. This prohibition includes travel expenses.

# **Political Activities of DoD Employees**

Most Federal civilian employees may actively participate in political campaigns and other partisan activities. However, they may not engage in such activities on duty or in any Federal workplace, vehicle or while in uniform. The Hatch Act loosened restrictions on political activity for most Federal civilian employees, but Federal laws still limit the political activities of military personnel, law enforcement, national security and SES employees. Questions regarding application of the Hatch Act or political activities should be referred to a DoD ethics official.

# **Procedures**

The following information is intended to assist as you interact with the Office of the Secretary of Defense.

# **Day Book Read-Aheads**

Day Books are prepared to provide the SecDef and DepSecDef information in preparation for the next day's meetings/events. Military Assistants receive an updated spreadsheet daily by email that lists meetings for which read-aheads are required and identifies the agency responsible for providing the information. Questions should be directed to the Secretariat Writing Team at 703-614-7744. Guidelines for read-ahead preparation and submission are provided.

- •Normally a read-ahead will be in Information Memorandum format.
- •The maximum length per subject is two pages. If additional background information is required, it should be attached as tabs.
- •For SecDef meetings, an original +12 copies must be submitted. For DepSecDef meetings, the requirement is an original +10 copies. All copies must be three-hole punched (but not stapled) prior to delivery.
- •Read-aheads are due by 1200 hours the day before the meeting. If, by deadline, it has not been approved by the Principal or Deputy of the responsible agency, draft copies should be provided with the understanding that the approved version will be forwarded as soon as possible.
- •Read-aheads should be delivered directly to the Secretariat Writing Team in Room 3B960. DO NOT put read-ahead material in the correspondence system to be controlled.

# Secretary and Deputy Secretary of Defense Schedule Requests

All schedule requests for the Secretary and Deputy Secretary should be submitted to the Executive Assistant to the Principal concerned. Tentative scheduling made by telephone with the Executive/Confidential Assistant to either the Secretary or Deputy Secretary should be immediately followed by a formal schedule request to the appropriate Executive Assistant.

Schedule requests should be processed through the CCD in an original and three copies. All schedule requests for the Secretary or Deputy must include an Assistant to the Secretary for Protocol (OSD Protocol) coordination. Short-fused requests may be hand-carried directly to the Executive/Confidential Assistant to the Secretary or Deputy Secretary of Defense (as appropriate).

The format and information required to submit schedule requests to the Secretary and Deputy Secretary of Defense are provided. Use the fields "Reason/Purpose" as appropriate to the schedule request.

(Date)

SCHEDULE PROPOSAL

TO: (NAME), EXECUTIVE ASSISTANT TO THE (POSITION)

THROUGH: Reporting chain, as appropriate

FROM: Executive level or equivalent official's name, title and phone number.

PURPOSE: This line should indicate "SecDef has agreed to meet with/speak to..." or a brief description of the purpose of the meeting, such as to inform the SecDef on a subject, reach a decision on an issue, satisfy a congressional request for a meeting, etc.

BACKGROUND: Information on the subject and participants.

PREVIOUS PARTICIPATION: Any previous participation in this type of event, or with this group.

DATE AND TIME: Proposed date(s), time(s) and duration

LOCATION: Where event will occur

PARTICIPANTS: List participants, attach separate list if lengthy

OUTLINE OF EVENTS: Brief description of sequence of events, e.g., awardees are escorted to office, brief remarks, present award(s), awardees are escorted from office, etc.

REMARKS REQUIRED: Indicate if brief remarks or a speech is required, or if not, state so. State whether originating office or Public Affairs speech writers should prepare remarks.

MEDIA COVERAGE: Usually none, or DoD official photographer only. If public media are invited, indicate type of coverage, e.g., TV (live or tape), photographers, press.

CONTACT: List names and phone number of DoD POCs.

COORDINATION: List DoD officials who concur or nonconcur and why. Coordination with the Assistant to the Secretary of Defense for Protocol (Rm 3E921) is mandatory.

**DECISION:** 

Hold Open \_\_\_\_ Approve\_\_\_ Decline\_\_\_ Other\_\_\_

#### Secretary of Defense Weekly Meetings

|      | 0830-0900                      | 0900-0930  | 1200-<br>1300 | 1430-1600                           | 1630-1730   | 1745-1815         |
|------|--------------------------------|--|---------------|-------------------------------------|---|-------------------|
| Mon  | Top Four                       |  | ABC<br>Lunch  |                                     |   |                   |
| Tues | SecDef/ DepSec, CJCS, VJCS     | DCM<br>SecDef, DepSec,<br>USD(A&T), USD(P)                                 |               | Principal's<br>Committee<br>Meeting |   | Meeting           |
| Wed  | Foreign<br>Policy<br>Breakfast | USD(C), USD(P&R) PDUSD(P), ASD(PA) ASD(LA), GC Spec Asst to SecDef         |               |                                     |   | with<br>DepSecDef |
| Thur | Top Four<br>SecDef/<br>DepSec, | Spec Asst to DepSec SecDef Sr MA DepSec Sr MA ExecSec, SecDef MA DepSec MA |               |                                     | Tank SecDef DepSec CJCS & Chiefs, USD(P) PDUSD(P) |                   |
| Fri  | CJCS, VJCS                     |  |               | Principal's<br>Committee<br>Meeting |   |                   |

DepSecDef weekly commitments in addition to those noted above:

#### **DepSecDef Additional Weekly Meetings**

|       | 0815-0830                   | 1000-1130                      | 1200            | 1430-1600                      |
|-------|-----------------------------|--------------------------------|-----------------|--------------------------------|
| Mon   |                             |                                |                 |                                |
| Tues  |                             |                                | Deputies' Lunch |                                |
| Wed   | Intel Brief DepSecDef Sr MA | Deputy<br>Committee<br>Meeting |                 |                                |
| Thurs |                             |                                |                 | Deputy<br>Committee<br>Meeting |
| Fri   |                             |                                |                 |                                |

# Weekly Activity Reports

The weekly reporting process is intended to provide the President with a clear and succinct report of recently completed and, most importantly, projected activities. When in doubt, emphasis should be placed on future events.

- The following are suggested format and stylistic considerations provided by the White House for the Weekly Report:
  - Key Department News
  - Agency Work on Presidential Initiatives
  - Notable Congressional Activity
  - Secretary's Schedule
  - Sub-Cabinet Schedule
  - Press/Media Inquiries
  - FOIA Requests
  - Climate Change
  - Major Grants and Contracts
- Every paragraph should be bulleted and have a short title in bold print followed by a colon.
- Each bullet should be self-contained: include a sentence of content or background; describe the situations/issues; then, conclude who is affected and their likely response.
- Do not use first person; instead use third person e.g., "the Secretary" or "Secretary Cohen."
  - Refer to the President as "You".
- When stating dates, do not include days of the week or this year. References to previous years should be included.
  - Do not use words like today or tomorrow, instead insert precise dates.
  - Abbreviate all States, e.g. AL, AK, AZ.
- When referring to offices, use the full name followed by the abbreviated name in parenthesis. Thereafter the abbreviated name will suffice.
  - Publication and television programs should be *italicized*.
- Do not use reporters' names; instead use the name of the news organization, publication or program that is involved.
- When referring to elected officials, do not use annotations such as (D-MN) after their names.
- When referring to Congressional bills, use the name of the bill such as the Helms-Burton Bill without references such as H.R. 927.

In order to meet the White House deadline, reports are requested to be turned in by 1200, Monday, to the Assistant to the Special Assistant, 3E880 (692-7113). The requirement is for an original + four, Microsoft Word, Arial Font, 12 pitch. Please do not staple.

#### **SecDef Cable Division**

The Cable Division serves as the focal point for the receipt, dispatch and reference source of electronically transmitted messages for the offices of the Secretary of Defense, Deputy Secretary of Defense and their principal staff assistants in the immediate office of the Secretary. The division maintains direct communications with all key members of the personal staff of the Secretary, Deputy Secretary, Special Assistants, the Chairman of the Joint Chiefs of Staff, the Executive Secretariat, the Operations Center of the Department of State and the White House Situation Room. The Division operates on a 24-hour basis and is responsible for ensuring expeditious notification when urgent communications are received through its sources. In time-sensitive situations, the Watch determines whom should be notified of information received, e.g. other members of the OSD Staff, Secretaries of the Military Departments or other agencies of the federal government.

The Cable Division has primary responsibility for providing the Secretary, the Deputy Secretary and Special Assistants with an information management service based on selective screening and distribution of electronically received documents according to subject matter, content of material, current political and military context, and current and projected areas of interest. The Watch is responsible for the expeditious:

- •Review and analysis of all electronic messages received for the Secretary of Defense and the immediate office staff.
- •Administrative processing of outgoing messages (including ALDODACT messages) for the Secretary, Deputy Secretary and Special Assistants.
- •Receipt, distribution and control of all NODIS (No Distribution), Roger Channel (State Department Intelligence Traffic) and Privacy Channel (Personal for the Secretary) messages provided to DoD by the Department of State.
- •Dissemination and transmission of documents received over the WASHFAX (Washington Facsimile System) from the White House, State Department, CIA and other terminals.

The Cable Division also provides communication support to the Secretary and Deputy Secretary when traveling in CONUS and abroad. Communications support consists of secure and nonsecure teleconferencing capabilities and data and facsimile communications. Additionally, it provides 24-hour nonsecure and secure teleconferencing support and after-duty-hours telephone answering service for the immediate offices of the Secretary and Deputy Secretary of Defense and designated key members of their staffs.

Often, a USD, ASD or DASD finds it necessary to forward documents to the Secretary or Deputy while they are traveling. The Cable Division is the focal point for this coordination. Before any traffic can be sent to either the Secretary or Deputy Secretary, approval **must** first be obtained from one of their Military Assistants. In the event that an MA is unavailable, the Executive Secretary or Acting Executive Secretary may be contacted.

Cable Division can be reached 24 hours a day at 703-692-7000 or DSN 222-7000.

#### SecDef Travel

The Executive Secretariat Special Projects Office is responsible for executing the travel missions of the Secretary of Defense and Deputy Secretary of Defense. The office is manned by three military assistants who are responsible for planning and coordinating all aspects of travel by the Secretary and Deputy Secretary to domestic venues outside the metropolitan Washington area and overseas. The trip coordinators interface with the Secretary's immediate office, the OSD Staff, the Joint Staff, Service staffs, U.S. embassies, major U.S. military commands and host nations. They are responsible for producing the delegation roster, trip "snapshot," and detailed itinerary, as well as coordinating and synchronizing the security, communications, protocol, policy, public affairs and transportation aspects of the travel. The trip coordinator briefs the Secretary/Deputy Secretary on the travel plan prior to departure.

#### **Trip Guidance**

A successful SecDef trip requires the cooperation of each member of the Secretary's delegation and adherence to the following guidelines:

- •Take aboard the aircraft cabin only those items required in flight or immediately upon arrival. On multiple stop trips, take only those items needed for the next stop.
- •On arrival, exit the aircraft expeditiously. Unless you are participating in the welcoming ceremonies, move immediately to your assigned motorcade vehicle. On departure, board the aircraft well ahead of the Secretary.
- •At the hotel, complete check-out and move to the motorcade departure area at least 10 minutes before the scheduled departure. Members of the delegation should be off the floor before the Secretary leaves his room. Only the senior policy official, the Chief of Staff, the Senior Military Assistant, and security should accompany the Secretary in the elevator.
- •Identify your motorcade vehicle early. Load carry-on baggage and board the motorcade before the Secretary enters his vehicle.
- •Do not use Staff Van 1. This vehicle is reserved for the assistant for personal security, the photographer, the communicator and the trip coordinator.
- •Comply with the baggage notice instructions provided at each RON location. Direct any baggage questions to the staff assistants for personal security or the trip coordinator.
- •Take required administrative supplies. Computers, printers, electrical adapters, paper, letterhead stock and other supplies are not provided. Often, the embassy control room makes available a copier, fax and international direct dial telephones.
- •Restrict use of the communications control room to secure telephone calls that cannot be made elsewhere. Use the embassy control room or office calling card for unsecure calls.
- •Do not use the international direct dial line in the security control room when the Secretary is in his suite. This line is for the Secretary's use.
- •Maintain control of classified material. The security control room provides space for secure storage, however, within the control room, classified should be stored in appropriate briefcases, "bricks," or footlockers. Do not leave loose classified material in the room.
- •Notify the trip coordinator if you will miss any motorcades or otherwise separate from the body of the delegation.
- •Direct any questions about the trip to the trip coordinator.

# **OSD Correspondence**

# SecDef and DepSecDef Correspondence

The Directorate for Correspondence and Directives (C&D) has overall responsibility for establishing correspondence management policy and publishing guidance for the Office of the Secretary of Defense.

This AI applies to the Offices of the Secretary of Defense (OSD) and activities receiving administrative support from Washington Headquarters Services. AI-7 establishes correspondence management policy and describes administrative processes and procedures for the C&D Correspondence Control Division (CCD). This guide provides the approved methods for preparing and submitting OSD correspondence. In addition, AI-7 provides general guidance on grammar, punctuation and editing unique to government writing.

All incoming and outgoing material, classified and unclassified, directed to the Secretary and Deputy Secretary of Defense must be processed through the CCD. All OSD Components should establish channels and procedures to this end. Note: OSD Components are defined as the Offices of the Secretary of Defense, the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Defense Agencies, and activities receiving administrative support from the OSD.

# **Exceptions to the C&D Process**

The following materials for the SecDef or DepSecDef do not require initial processing by C&D:

- •Items for Day Books
- Retirement Letter Requests to WHMO
- •Intelligence Daily Summaries
- •J-3 Operational Summaries (Daily and Weekly)
- Weekly Activity Report Submissions
- •Notification to White House of service member deaths
- •Extremely "HOT" communications requiring SecDef/DepSecDef attention (within two hours). The Executive Secretariat will identify these actions and take them directly to the Secretary or Deputy Secretary of Defense. Correspondence and Directives will control these actions after they have been acted upon.

Day Book items should be delivered to Room 3B960 and other actions listed should be taken to the Executive Secretariat in Room 3D853.

The memorandum is the basic form of correspondence used to request a decision from or transmit information to the Secretary and Deputy Secretary of Defense. Samples of a memorandum with multiple OSD addresses, an Action Memorandum and an Information Memorandum are provided.

#### MEMORANDUM FOR SECRETARY OF DEFENSE/DEPUTY SECRETARY OF DEFENSE

THROUGH: (Position Title) (Addressee signs or initials and dates this line)

FROM: (Position Title) (Originator signs or initials and dates this line)
Prepared by: (Name, Office symbol, Extension) (Do not use voice mail phone number)

SUBJECT: (Brief Subject) - ACTION MEMORANDUM

Purpose: Concisely state problem or issue. Urgent or immediate action issues should be flagged here.

DISCUSSION: Action memorandums shall be short, to the point and one page. They may include a brief recital of the issues involved, important background information to include a description of the action-forcing event, the Agency's position on the matter, an analysis of the impact of any decision, etc. Attach additional staff prepared discussions, the outgoing signature item(s) (when appropriate), incoming tasker(s) and any other pertinent information that may be needed to make an intelligent, informed decision. Tab all attachments.

COORDINATION: Office symbol(s) and initials or indicate location at tab.

RECOMMENDATION: Carefully framed recommendation consistent with information provided.

Attachment(s): (As required)

As Stated

(Appropriate Principal) DECISION: APPROVED\_\_\_\_\_

DISAPPROVED\_\_\_\_\_OTHER

#### MEMORANDUM FOR SECRETARY OF DEFENSE

#### **DEPUTY SECRETARY OF DEFENSE**

THROUGH: (Position Title) (Addressee signs or initials and dates this line)

FROM: (Position Title) (Originator signs or initials and dates this line)

Prepared by: (Name, Office Symbol, Extension) (Do not use voice mail phone number)

SUBJECT: (Brief Subject) - INFORMATION MEMORANDUM

PURPOSE: Concisely state need for the memorandum, e.g., respond to specific request; update on an issue; status report on current issue; summarize a report, etc.

DISCUSSION: Information memorandums shall be short, to the point and one page. They may include statements concerning a situation or subject; new or probable developments; interpretative comments, a summary of attached reports, etc. When additional detailed information is required, the material should be provided as attachments and referenced in the body of the short cover memorandum. Any taskers should be attached. Tab all attachments.

Basic guidance regarding font, size of type, margins, etc., can be found in Administrative Instruction No. 7. Margins are aligned left and either block or indented as space allows. Format elements may vary depending on the subject involved, e.g., background or options versus discussion. All memoranda should be addressed to both the Secretary and Deputy Secretary of Defense unless specifically for the Deputy Secretary. Ensure all levels sign/initial the cover memorandum and coordinations are completed and properly annotated prior to forwarding.

Attachment(s): (as required)

As stated

# Use of SD Form 391

The SD Form 391 is used to advise C&D of all actions taken on a particular suspense. When the type of action is **Prepare Reply for SecDef (PRS)**, **Prepare Reply for DepSecDef** 



# CORRECTED COPY DEPARTMENT OF DEFENSE WASHINGTON HEADQUARTERS SERVICES 1155 DEFENSE PENTAGON WASHINGTON, DC 20301-1155



April 26, 2000

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
CHAIRMAN OF THE JOINT CHIEFS OF STAFF
UNDER SECRETARIES OF DEFENSE
DIRECTOR, DEFENSE RESEARCH AND ENGINEERING
ASSISTANT SECRETARIES OF DEFENSE
GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE
INSPECTOR GENERAL OF THE DEPARTMENT OF DEFENSE
DIRECTOR, OPERATIONAL TEST AND EVALUATION
ASSISTANTS TO THE SECRETARY OF DEFENSE
DIRECTOR, ADMINISTRATION AND MANAGEMENT
DIRECTORS OF THE DEFENSE AGENCIES

SUBJECT: Listing of Addressees and Addressing DoD Memorandums

The addressee section of this memorandum shows the correct format for organizational titles and the complete order of listing for multiple-addressee memorandums. Normally, a complete listing will not be necessary, but the titles should be used in the order shown for any memorandum directed to two or more addressees.

DIRECTORS OF THE DOD FIELD ACTIVITIES

For multiple-addressee and distribution purposes, the following officials are included within the title indicated above:

- (1) <u>Under Secretaries of Defense</u> include: The Under Secretary of Defense for Acquisition, Technology & Logistics; Under Secretary of Defense for Policy; Under Secretary of Defense (Comptroller/Chief Financial Officer); and Under Secretary of Defense for Personnel and Readiness.
- (2) <u>Assistant Secretaries of Defense</u> include: Command, Control, Communications and Intelligence; Force Management Policy; Health Affairs; International Security Affairs; Legislative Affairs; Public Affairs; Reserve Affairs; Special Operations/Low Intensity Conflict; and Strategy and Threat Reduction.
- (3) Assistants to the Secretary of Defense include: Chief of Staff to the Secretary of Defense; Special Assistants to the Secretary and Deputy Secretary of Defense; The Executive Secretary of the Department of Defense; the Assistant to the Secretary of Defense (Nuclear, Chemical, and Biological Defense Programs); the Assistant to the Secretary of Defense (Intelligence Oversight); the Advisor to the Secretary of Defense for Net Assessment; the Director, Program Analysis and Evaluation; the Defense Advisor, U.S. Mission to NATO; the Director, Small and Disadvantaged Business Utilization; and the Assistant to the Secretary and Deputy Secretary of Defense for Protocol, as well as such other assistants as may be designated from time to time by the Secretary or Deputy Secretary of Defense.

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- (4) <u>Directors of the Defense Agencies</u> include the Directors of the: Ballistic Missile Defense Organization; Defense Advanced Research Projects Agency; Defense Commissary Agency; Defense Contract Audit Agency; Defense Contract Management Agency; Defense Finance and Accounting Service; Defense Information Systems Agency; Defense Intelligence Agency; Defense Legal Services Agency; Defense Logistics Agency; Defense Security Cooperation Agency; Defense Security Service; Defense Threat Reduction Agency; National Imagery and Mapping Agency; and National Security Agency/Central Security Service.
- (5) <u>Department of Defense Field Activities</u> include the Directors of the: American Forces Information Service; Defense Prisoner of War/Missing Personnel Office; Department of Defense Education Activity; Department of Defense Human Resources Activity; Office of Economic Adjustment; TRICARE Management Activity; and Washington Headquarters Services.

When addressing memorandums to only two of the Secretaries of the Military Departments, list the individual Secretaries in the following order: (1) Secretary of the Army; (2) Secretary of the Navy; and (3) Secretary of the Air Force.

When addressing memorandums to two or more but not all Under Secretaries of Defense, list the individual Under Secretaries in the following order: (1) Under Secretary of Defense for Acquisition, Technology and Logistics; (2) Under Secretary of Defense for Policy; (3) Under Secretary of Defense (Comptroller/Chief Financial Officer); and (4) Under Secretary of Defense for Personnel and Readiness.

When addressing memorandums to two or more but not all Assistant Secretaries of Defense, Directors of the Defense Agencies, and the Directors of Department of Defense Field Activities, they should be listed separately, by title, in alphabetical order.

When appropriate, the Commanders of the Combatant Commands will be listed after the Director, Operational Test and Evaluation and before the Assistants to the Secretary of Defense.

This supersedes my memorandum dated November 2, 1998.

D. O. Cooke Director (PRD), Reply Direct – Component Head (RDC), Answer SecDef Note (ASN), Answer DepSecDef Note (ADN), or Answer Military Assistant Note (AMN) and action cannot be completed on time or a downgrade or redirect is requested, the action office is required to forward a completed SD Form 391 not later than the date of the suspense and validated by the Principal or Deputy, or Senior Military Assistant. The SD Form 391 should include justification for the action being overdue and the expected date of completion (EDC). These types of actions will be forwarded by C&D through the Executive Secretary to the office of the Deputy Secretary for approval/disapproval. Completed SD Form 391 for all other assigned actions, e.g. For Appropriate Action (FAA), Reply Direct (RD), and Comments and Recommendations (C&R) require the signature of the military/executive assistant or higher level.

The focus remains timely replies with no automatic suspense extensions. Under extenuating circumstances, the head of the DoD component, or in his or her absence the Principal Deputy/Senior Military Assistant, may submit a written extension request to the DepSecDef. Professional courtesy requires an interim response be provided in these limited instances. A signed and dated copy of interim and final replies to controlled correspondence shall be provided to C&D.

# **Suspense Requirements**

OSD suspense standards are:

- •24 hours for correspondence directly from the President or the White House Chief of Staff.
- •Five workdays when action is Comments and Recommendations (C&R).
- •Five workdays for Secretary of Defense Priority Action (SDPA) and DSD Priority Action (DSPA) items.
- •Six workdays for replies to White House referrals.
- •Ten workdays for replies prepared for the Secretary and Deputy Secretary of Defense Signature (PRS/PRD) when action is assigned to the OSD or the JCS staff.
- •Thirteen workdays for replies prepared for the Secretary and Deputy Secretary of Defense Signature (PRS/PRD) when action is assigned to the Military Departments or the Defense Agencies.
- •Ten workdays for actions assigned as Reply Direct (RD) or For Appropriate Action (FAA) when assigned to the OSD or the JCS staff.
- •Twelve workdays for actions assigned as Reply Direct (RD) or For Appropriate Action (FAA) when assigned to Military Departments or the Defense Agencies.

| SECRETARY O   | F DEFENSE CORRES   | PONDENCE A              | CTION REP                   | ORT             |  |
|---|--|-------------------------|-----------------------------|-----------------|--|
|   | forwarded to the Correspondence<br>tense Desk: (703) 697-9287 FAX<br>@osd.pentagon.mil |                         | Action Agency Suspense Date |                 |  |
| 1. ACTION TAKEN (Che  | eck one)   |                         |                             |                 |  |
| a. ACTION HAS BEEN  | COMPLETED (Copy attached)  |                         |                             |                 |  |
| b. REQUEST EXTENSI  | ON OF SUSPENSE DATE TO   |                         | Justify below)              |                 |  |
| c. INTERIM REPLY HA   | S BEEN SENT (Copy attached) E  | EXTEND SUSPENSE         | то                          | (Justify below) |  |
| d. REQUEST CANCELLATION (Justify below)                                 |  |                         |                             |                 |  |
| c. REQUEST TRANSFER TO (Justify below /include POC Name & Phone Number) |  |                         |                             |                 |  |
| f. REQUEST DOWNGRADE TO (Justify below)                                 |  |                         |                             |                 |  |
| <u> </u>  |  |                         |                             |                 |  |
| 3. REPORTING AGENCE   | CY e. APPROVING AU   | THORITY                 |                             |                 |  |
|   |  | er Secretary/ASD/Milita | ry/Executive Assistant L    | evel)           |  |
| b, NAME OF ACTION OFFICE  | Signature Signature  |                         |                             | Date Signed     |  |
|   |  |                         |                             |                 |  |
| c. TELEPHONE NO.  | 5. ACTION TAKEN  |                         | ondence Control Divisio     |                 |  |
|   | a. EXT   | Approved                | <del></del>                 | Disapproved     |  |
| d. DATE   | b. CANX  | Approved                |                             | Disapproved     |  |
|   | c. DWNGRD  | Approved                |                             | Disapproved     |  |
| 4. CCD CONTROL#   | d. TRANSFER  | Approved                |                             | Disapproved     |  |
|   | e. OTHER (Specify)   |                         |                             |                 |  |
|   | Signature  |                         | :                           | Date Signed     |  |

# Suspense Management

Following is the Executive Secretary's guidance on suspense management:

Completed suspense items awaiting signature by the Secretary of Defense, the Deputy Secretary of Defense or the Executive Secretary (ES) will not be considered overdue in the report to Principals reflecting agency processing time.

Packages forwarded without required coordination(s) will not be accepted by C&D. If the action agency has difficulty in obtaining coordination, the following actions may be appropriate:

- Submit SD Form 391, signed by the Principal Deputy, requesting an extension and indicate the reason for the delay and a realistic date for completion. Be specific and detailed enough to assist the Executive Secretary and the Office of the Deputy Secretary in making a decision.
- When required, contact the ES Military Assistant (MA) who works with your account for assistance. The MA will work with the component responsible for the coordination. If ES determines that the coordination delay is within the control of the coordinating agency, that agency may be formally tasked through C&D for primary action. If the action is a "red tag" or extremely time sensitive, ES will determine whether the package will be accepted without the required coordination.
- When completing an action is contingent on a decision from an outside-DoD source, e.g., Congress, OMB, etc., the SD Form 391 should request a new suspense date based on the expected decision. Use an interim reply to let the constituent/writer know a final answer will be provided.

The Executive Secretariat will provide assistance but is not responsible for effecting coordination or resolving staff conflicts.

# **Report of Overdue Correspondence**

A sample page of the C&D Report of Overdue Correspondence and an explanatory legend to assist in reading the report are provided.

This report is distributed to OSD Components on Friday of each week. MA/EA and Administrative Officers should review the listing and take appropriate action to complete taskings that are overdue, close taskings that are complete but still listed and inquire about those of priority action that are working. Priority actions are: White House cases, SECDEF and DEPSECDEF notes; Prepare Reply for Secretary (PRS), Prepare Reply for Deputy (PRD) cases, Congressionals and Comments and Recommendations (C&R).

Note that cases remain open until the case/response is signed and C&D receives a copy of the response or the action is completed/cancelled via SD Form 391. Also note the "Status" column of the report provides any C&D known action regarding a particular case--action changes, interim replies, etc.

This report serves as an organizational management tool. Its overriding purpose is to assist in meeting the SecDef's goal of providing quality, timely responses to all correspondents.

|   |                   |   |   | OM SAF  | ST FOR 07/20/00   |  |   |
|---|-------------------|---|---|---|---|--|---|
| 7/28/2000                               |                   |   |   | FERRED FRO  | ED REQUE  |  |   |
| A of:                                   | <u> </u>          |   |   | ACTION TRANSFERRED FROM SAF<br>TO USA 06/21/00  | TSA DISAPROVEDREQUESTFOR<br>EXTENSION TO 07/2500 ON 07/2000   |  |   |
|   | SUSPENSE:<br>DATE | -   | 6/26/2000   | 0002/1 //   | 7/11/2000   | 7/14/2000  |   |
| nce                                     | DOR               | 5/10/2000                                       | 6/12/2000   | 6/20/2000   | 6/13/2000 6/26/2000 7/11/2000   |  |   |
| responde                                | 00                | 4/27/2000                                       | USA \$126/2000 6/12/2000  | 6/12/2000 6/20/2000   | 6/13/2000   | 6/30/2000 7/ 6/2000  |   |
| e Cor                                   | ACT<br>AGY        | USA   | USA   | USA   | USA   | USA  |   |
| verdu                                   | TYPE              | 8   | RD  | PRS   | RDC   | PRD  |   |
| Report of Due or Overdue Correspondence | SUBJECT           | YEAR 2000 INVENTORY OF<br>COMMERCIAL ACTIVITIES | ELANE BISHOP WRITE CONCERNING<br>A DOD GRANT TO FUND<br>THERAPEUTIC TOUCH RESEARCII AT<br>UNIVERSITY OF ALABAMA | REQUEST TO DISCUSS THE ADVANTAGES TO DOD FOR CONDUCTING A FULL COST-COMPARISON STUDY OF JOINT STRIKE FIGHTER ISF FINAL ASSEMBLY | REQUEST DOD TAKE LEAD IN<br>SOLVING UNEXPLODED ORDNANCE<br>DISPOSAL PROBLEM AT CLOSED<br>MILITARY BASES | ISSUE OF RESEARCH AND<br>DEVELOPMENT RÆD FUNDING IN<br>DOD |   |
|   | FROM              | OMB MATHEWS                                     | MOC EHLERS, V   | CALIFORNIA GOVERNOR DAVIS   | USS BOXER, B  | Wii Podesta  |   |
| 9.00 10 7.0 11.0 2.0                    | Control<br>Number | 00-124-00                                       | U08377-60   |   | . U09124-00   | W00654-00  | • |

# LEGEND FOR REPORT OF DUE OR OVERDUE CORRESPONDENCE

| Report Date: (Date               | Report Date: (Date report is prepared) |               |  |                   |                            |                 | As of: (D          | As of: (Due 7 days after Report Date)   |
|----------------------------------|--|---------------|--|-------------------|----------------------------|-----------------|--------------------|---|
| CONTROL                          | FROM                                   | SUBJECT       | TYPE<br>ACT  | ACT<br>AGY        | DOC                        | DOR             | SUSPENSE<br>DATE   | STATUS                                  |
| U(Unclassified Case)             | USS (Senator) MOC (Congressman)        | Brief Subject | PRS (Prepare<br>Reply for<br>Secretary                                       | (Agency symbol)   | Date of<br>Correspondence) | (Rcd in<br>CCD) | (Suspense<br>Date) | (Suspense (Suspense Status Information) |
| X(Classified Case)               | Company Name<br>Individual Name        |               | PRD (Prepare<br>Reply for Deputy<br>Secretary)                               |                   |                            |                 |                    |   |
| (White House Case) N (NATO Case) |  |               | RDC (Reply Direct -<br>Component Head<br>Must Sign) (Provide copy<br>To CCD) | ódo               |                            |                 |                    |   |
|                                  |  |               | RD (Reply Direct - Provide<br>Copy to CCD)                                   | rovide            |                            |                 |                    |   |
|                                  |  |               | FAA (For Appropriate Action)<br>(Advise CCD via SD 391)                      | e Action)<br>391) |                            |                 |                    |   |
|                                  |  |               | C&R (Comments & Recommendations)   |                   |                            |                 |                    |   |
|                                  |  |               | ASN (Answer SecDef Note)   | f Note)           |                            |                 |                    |   |
|                                  |  |               | ADN (Answer DepSecDef Note)  | cDef Note)        |                            |                 |                    |   |
|                                  |  |               | AMN (Answer MilAst Note)   | it Note)          |                            |                 |                    |   |

NOTE: Supsense cases are not closed until signed or approved by the Principals

# **Classified Correspondence**

All classified Secretary and Deputy Secretary of Defense signature items must be marked with the highest level of classification for the material on that page (Confidential, Secret, or Top Secret with all appropriate caveats) at the top and bottom of each page. Mark the first page of the document with the highest overall classification of the contents of the total document. Paragraph markings, classification authority, and declassification instructions must be applied to classified material as described in E.O. 12958.

The following is basic classification/declassification guidance:

Originally Classified Information

Classified by: Name/Personal Identifier and Position Title Reason: (Cite Category from E.O. 12958, para 1.5) Declassify on:

- A date/event less than 10 years,
- 10 years from original classification decision, or
- Exemption category from E.O. 12958, para 1.6(d)
- Derivatively Classified Information

#### Derived from:

- Cite identity of source document or Classification Guide, or
- Enter: "Multiple Sources"

#### Declassify on:

- Carry forward instructions from source document, from Classification Guide, or
- More than one source, enter the longest duration of any of its sources, or
- If source document(s) declassification instructions are OADR, enter: "Source

Marked OADR, Date of Source\_\_\_\_\_" (use date of most recent source)

DoD 5200.1-PH, A Guide to Marking Classified Documents, provides illustrated guidance on the application of security markings to documents prepared by the Department of Defense.

Signature packages not in compliance with the foregoing guidance will be returned to the originating office for correction.

Questions regarding this requirement should be directed to the C&D, Classified Control Branch, 697-6131.

# White House Correspondence

60

Following are general guidelines for correspondence to the White House:

- •Correspondence to the President, the Vice President, the Chief of Staff to the President, or the Assistant to the President for National Security Affairs shall be prepared for the signature of the Secretary of Defense or the Deputy Secretary of Defense.
- •Routine staff-to-staff written communications with the White House should be prepared for forwarding by the Executive Secretary of the Department of Defense to the Deputy Assistant to the President and Director, White House Military Office, the Executive Secretary of the National Security Council, or the Assistant to the Vice President for National Security Affairs.

The correct form of address and salutation when corresponding with the President and Vice President of the United States:

| President William Jefferson Clinton<br>The White House<br>1600 Pennsylvania Avenue<br>Washington, DC 20500 | Dear Mr. President      |  |
|--|-------------------------|--|
| 202-456-1414   |                         |  |
| Vice President Albert Gore, Jr.  | As Vice President:      |  |
| Old Executive Office Building  | Dear Mr. Vice President |  |
| Washington, DC 20501   |                         |  |
| •  | As President of Senate: |  |
| 202-456-2326   | Dear Mr. President      |  |

# Distribution for White House Military Office (WHMO)

Many documents are routed daily to the White House for action or information. Although most documents are clearly marked or addressed to ensure that they go to the appropriate White House agency, some documents are inadvertently delivered to the White House Situation Room which should have gone to WHMO.

The following documents are routed directly to WHMO:

- •3-star and 4-star nominations and retirements
- •Promotion lists for military officers
- •Actions involving scheduling an event for the President or the Vice President
- •Invitations for the President and the Vice President
- Awards
- Actions not dealing with policy making
- •Recommendations of a non-NSC nature
- •Arlington National Cemetery actions
- Proposed Presidential greetings, messages and letters
- Presidential support assignments

# Correspondence to Cabinet Members/Administration Officials

The following list may be used when preparing SecDef/DepSecDef correspondence to other cabinet members/administration officials when it is appropriate to reply using a first name. Normally, DepSecDef does not use first name replies to these officials.

#### Salutation on Correspondence Signed by SecDef

Honorable Madeleine K. Albright Secretary of State 2201 C Street, NW Washington, DC 20520 202-647-4000 Dear Madeleine:

Honorable Lawrence H. Summers Secretary of the Treasury Room 3330 1500 Pennsylvania Avenue, NW Washington, DC 20220 202-622-5300 Dear Larry:

Honorable Janet F. Reno Attorney General Room 4545 950 Pennsylvania Avenue, NW Washington, DC 20530-0001 202-514-2000 Dear Janet:

Honorable Dan Glickman Secretary of Agriculture Room 200A Whitten Building 1400 Independence Avenue, SW Washington, DC 20250 202-720-2791 Dear Dan:

Honorable Norman Y. Mineta Secretary of Commerce 14th Street & Constitution Avenue, NW Washington, DC 20240 202-208-7351 Dear Norman:

#### Salutation on Correspondence Signed by SecDef

Honorable Richard W. Riley Secretary of Education Room 7W301 400 Maryland Avenue, SW Washington, DC 20202 202-401-3000 Dear Dick:

Honorable Bill Richardson Secretary of Energy 1000 Independence Avenue, SW Washington, DC 20585 202-586-6210 Dear Bill:

Honorable Donna E. Shalala Secretary of Health and Human Services Room 615F 200 Independence Avenue, SW Washington, DC 20201 202-619-0257 Dear Donna:

Honorable Andrew M. Cuomo Secretary of Housing and Urban Development 451 7th Street, SW Washington, DC 20410 202-708-0417 Dear Andy:

Honorable Bruce Babbitt Secretary of Interior 1849 C Street, NW Washington, DC 20240 202-208-7351 Dear Bruce:

Honorable Alexis M. Herman Secretary of Labor 200 Constitution Avenue, NW Washington, DC 20210 202-693-6000 Dear Alexis:

Honorable Rodney E. Slater Secretary of Transportation Suite 10200 400 7th Street, SW Washington, DC 20590

Dear Rodney:

#### Salutation on Correspondence Signed by SecDef

Honorable Togo E. West Secretary of Veterans Affairs 810 Vermont Avenue, NW Washington, DC 20420 202-273-5400 Dear Togo:

Honorable George J. Tenet Director of Central Intelligence Office of the Director Washington, DC 20505 703-482-1100 Dear George:

Honorable Jacob Lew Director, Office of Management and Budget Old Executive Office Building Washington, DC 20503 202-395-4840 Dear Jack:

Ms. Janice R. Lachance Director, Office of Personnel Management Theodore Roosevelt Building 1900 E Street, NW Washington, DC 20415 202-606-1000 Dear Janice:

Honorable Barry R. McCaffrey Director, Office of National Drug Control Policy 750 17th Street, NW Washington, DC 20500 202-395-6700 Dear Barry:

Honorable Charlene Barshefsky United States Trade Representative 600 17th Street, NW Washington, DC 20508 202-395-6890 Dear Charlene:

# **Congressional Correspondence**

Correspondence addressed to congressional members must be coordinated with OSD Legislative Affairs (principal/deputy principal level). DoD Directive 5400.4 requires policy and security review for all statements for congressional hearings.

Congressional testimony and transcripts should be submitted to the Directorate, Freedom of Information and Security Review, OASD (PA) at least five business days before the desired clearance date.

When sending letters to the Chairman of Congressional Committees or Subcommittees, the letter should be addressed to the Committee Chairman with a courtesy copy to the ranking member. Use this format for the courtesy copy:

cc:

The Honorable (Full Name) Ranking Member

# Congressional Correspondence signed by the Secretary of Defense

Correspondence to members of the Senate prepared for Secretary of Defense signature will use the informal salutations listed in the tables that follow. A **formal** salutation will be used for letters to Senate Committee Chairmen, but an **informal** salutation will be used for letters addressed to Senate Committee Ranking Members.

Formal salutation will be used on official letters addressed to members of the House of Representatives. For letters of a personal nature, the informal salutation is used, i.e., "Dear (First Name):" (see list below).

# Congressional Correspondence signed by the Deputy Secretary of Defense

The formal salutation will be used on Congressional correspondence to be signed by the Deputy Secretary of Defense. He will add first names on an individual basis.

#### **Congressional Correspondence**

#### SENATE:

**HOUSE:** 

The Honorable (Full Name) United States Senate Washington, DC 20510+4-Digit Zip Code The Honorable (Full Name)
U.S. House of Representatives
Washington, DC 20515+4-Digit Zip Code

Dear (See list of informal salutations):

Dear Representative (Surname):

Dear Representative (Surname):

#### Formal Salutations:

Dear Senator (Surname): Dear Mr. Chairman:

Dear Mr. Chairman:

**Formal Salutations:** 

Dear Madame Chairwoman:

Dear Madame Chairwoman:

# The "Big 8" and Senate/House Salutation Tables

The following chairmen and ranking members comprise the "Big 8" Senate and House Armed Services and Defense Appropriations Committees/Subcommittees:

#### **SENATE**

#### The Honorable John Warner

Chairman

Committee on Armed Services

United States Senate

228 Senate Russell Office Building

Washington, Dc 20510-6050

#### The Honorable Carl Levin

Ranking Member

Committee on Armed Services

United States Senate

228 Senate Russell Office Building

Washington, DC 20510-6050

#### The Honorable Ted Stevens

Chairman

Subcommittee on Defense Committee on Appropriations

United States Senate

122 Senate Dirksen Office Building

Washington, DC 20510-6028

#### The Honorable Daniel K. Inouye

Ranking Member

Subcommittee on Defense Committee on Appropriations

United States Senate

122 Senate Dirksen Office Building

Washington, DC 20510-6028

#### HOUSE

#### The Honorable Floyd Spence

Chairman

Committee on Armed Services
U.S. House of Representatives

2120 Rayburn House Office Building

Washington, DC 20515-6035

#### The Honorable Ike Skelton

Ranking Member

Committee on Armed Services U.S. House of Representatives

2120 Rayburn House Office Building

Washington, DC 20515-6035

#### The Honorable Jerry Lewis

Chairman

Subcommittee on Defense Committee on Appropriations U.S. House of Representatives

H-149 The Capitol

Washington, DC 20515-6018

#### The Honorable John P. Murtha

Ranking Member

Subcommittee on Defense Committee on Appropriations U.S. House of Representatives

H-149 The Capitol

Washington, DC 20515-6018

NOTE: Room number and building only appear on the envelope NOT in the letter address.

| First Name     | Last Name | Informal<br>Salutation |
|----------------|-----------|------------------------|
| Spencer        | Abraham   | Spence                 |
| Daniel         | Akaka     | Dan                    |
| Wayne          | Allard    | Wayne                  |
| John           | Ashcroft  | John                   |
| Max            | Baucus    | Max                    |
| Evan           | Bayh      | Evan                   |
| Robert         | Bennett   | Bob                    |
| Joseph         | Biden     | Joe                    |
| Jeff           | Bingaman  | Jeff                   |
| Christopher    | Bond      | Kit                    |
| Barbara        | Boxer     | Barbara                |
| John           | Breaux    | John                   |
| Sam            | Brownback | Sam                    |
| Richard        | Bryan     | Dick                   |
| Jim            | Bunning   | Jim                    |
| Conrad         | Burns     | Conrad                 |
| Robert         | Byrd      | Senator Byrd           |
| Ben Nighthorse | Campbell  | Ben                    |
| Lincoln        | Chafee    | Lincoln                |
| Max            | Cleland   | Max                    |
| Thad           | Cochran   | Thad                   |
| Susan          | Collins   | Susan                  |
| Kent           | Conrad    | Kent                   |
| Larry          | Craig     | Larry                  |
| Michael        | Crapo     | Mike                   |
| Tom            | Daschle   | Tom                    |
| Mike           | DeWine    | Mike                   |
| Christopher    | Dodd      | Chris                  |
| Pete           | Domenici  | Pete                   |

| Byron      | Dorgan     | Byron  |
|------------|------------|--------|
| Richard    | Durbin     | Dick   |
| John       | Edwards    | John   |
| Michael    | Enzi       | Mike   |
| Russell    | Feingold   | Russ   |
| Dianne     | Feinstein  | Dianne |
| Peter      | Fitzgerald | Peter  |
| Bill       | Frist      | Bill   |
| Slade      | Gorton     | Slade  |
| Bob        | Graham     | Bob    |
| Phil       | Gramm      | Phil   |
| Rod        | Grams      | Rod    |
| Charles    | Grassley   | Chuck  |
| Judd       | Gregg      | Judd   |
| Chuck      | Hagel      | Chuck  |
| Tom        | Harkin     | Tom    |
| Orrin      | Hatch      | Orrin  |
| Jesse      | Helms      | Jesse  |
| Ernest     | Hollings   | Fritz  |
| Tim        | Hutchinson | Tim    |
| Kay Bailey | Hutchison  | Kay    |
| James      | Inhofe     | Jim    |
| Daniel     | Inouye     | Danny  |
| James      | Jeffords   | Jim    |
| Tim        | Johnson    | Tim    |
| Edward     | Kennedy    | Ted    |
| Robert     | Kerrey     | Bob    |
| John       | Kerry      | John   |
| Herb       | Kohl       | Herb   |
| Jon        | Kyl        | Jon    |
| Mary       | Landrieu   | Mary   |

| Frank           | Lautenberg  | Frank   |
|-----------------|-------------|---------|
| Patrick         | Leahy       | Pat     |
| Carl            | Levin       | Carl    |
| Joseph          | Lieberman   | Joe     |
| Blanche Lambert | Lincoln     | Blanche |
| Trent           | Lott        | Trent   |
| Richard         | Lugar       | Dick    |
| Connie          | Mack        | Connie  |
| John            | McCain      | John    |
| Mitch           | McConnell   | Mitch   |
| Barbara         | Mikulski    | Barbara |
| Daniel          | Moynihan    | Pat     |
| Frank           | Murkowski   | Frank   |
| Patty           | Murray      | Patty   |
| Don             | Nickles     | Don     |
| Jack            | Reed        | Jack    |
| Harry           | Reid        | Натту   |
| Charles         | Robb        | Chuck   |
| Pat             | Roberts     | Pat     |
| John            | Rockefeller | Jay     |
| William         | Roth        | Bill    |
| Rick            | Santorum    | Rick    |
| Paul            | Sarbanes    | Paul    |
| Charles         | Schumer     | Chuck   |
| Jeff            | Sessions    | Jeff    |
| Richard         | Shelby      | Richard |
| Gordon          | Smith       | Gordon  |
| Robert          | Smith       | Bob     |
| Olympia         | Snowe       | Olympia |
| Arlen           | Specter     | Arlen   |
| Ted             | Stevens     | Ted     |

| Craig  | Thomas     | Craig            |
|--------|------------|------------------|
| Fred   | Thompson   | Fred             |
| Strom  | Thurmond   | Senator Thurmond |
| Robert | Torricelli | Bob              |
| George | Voinovich  | George           |
| John   | Warner     | John             |
| Paul   | Wellstone  | Paul             |
| Ron    | Wyden      | Ron              |

#### **House Informal Salutations**

| First Name | Last Name   | Informal<br>Salutation | First Name  | Last Name   | Informal<br>Salutation |
|------------|-------------|------------------------|-------------|-------------|------------------------|
| Neil       | Abercrombie | Neil                   | Michael     | Bilirakis   | Mike                   |
| Gary       | Ackerman    | Gary                   | Sanford     | Bishop      | Sanford                |
| Robert     | Aderholt    | Robert                 | Rod         | Blagojevich | Rod                    |
| Thomas     | Allen       | Tom                    | Thomas      | Bliley      | Tom                    |
| Robert     | Andrews     | Rob                    | Earl        | Blumenauer  | Earl                   |
| Bill       | Archer      | Bill                   | Roy         | Blunt       | Roy                    |
| Richard    | Armey       | Dick                   | Sherwood    | Boehlert    | Sherwood               |
| Joe        | Baca        | Joe                    | John        | Boehner     | John                   |
| Spencer    | Bachus      | Spencer                | Henry       | Bonilla     | Henry                  |
| Brian      | Baird       | Brian                  | David       | Bonior      | Dave                   |
| Richard    | Baker       | Richard                | Mary        | Bono        | Mary                   |
| John       | Baldacci    | John                   | Robert      | Borski      | Bob                    |
| Tammy      | Baldwin     | Tammy                  | Leonard     | Boswell     | Leonard                |
| Cass       | Ballenger   | Cass                   | Rick        | Boucher .   | Rick                   |
| James      | Barcia      | Jim                    | F. Allen    | Boyd        | Allen                  |
| Bob ·      | Barr        | Bob                    | Kevin       | Brady       | Kevin                  |
| Thomas     | Barrett     | Tom                    | Robert      | Brady       | Bob                    |
| Bill       | Barrett     | Bill                   | Corrine     | Brown       | Corrine                |
| Roscoe     | Bartlett    | Roscoe                 | Sherrod     | Brown       | Sherrod                |
| Joe        | Barton      | Joe                    | Ed          | Bryant      | Ed                     |
| Charles    | Bass        | Charlie                | Richard     | Burr        | Richard                |
| Herbert    | Bateman     | Herb                   | Dan         | Burton      | Dan                    |
| Xavier     | Becerra     | Xavier                 | Steve       | Buyer       | Steve                  |
| Ken        | Bentsen     | Ken                    | Sonny       | Callahan    | Sonny                  |
| Douglas    | Bereuter    | Doug                   | Ken         | Calvert     | Ken                    |
| Shelley    | Berkley     | Shelley                | Dave        | Camp        | Dave                   |
| Howard     | Berman      | Howard                 | Tom         | Campbell    | Tom                    |
| Marion     | Berry       | Marion                 | Charles     | Canady      | Charles                |
| Judy       | Biggert     | Judy                   | Christopher | Cannon      | Chris                  |

| Brian       | Bilbray                  | Brian   | Lois     | Capps       | Lois     |
|-------------|--------------------------|---------|----------|-------------|----------|
| Michael     | Capuano                  | Mike    | Thomas   | Davis       | Tom      |
| Benjamin    | Cardin                   | Ben     | Nathan   | Deal        | Nathan   |
| Julia       | Carson                   | Julia   | Peter    | DeFazio     | Peter    |
| Michael     | Castle                   | Mike    | Diana    | DeGette     | Diana    |
| Steve       | Chabot                   | Steve   | William  | Delahunt    | Bill     |
| Saxby       | Chambliss                | Saxby   | Rosa     | DeLauro     | Rosa     |
| Helen       | Chenoweth                | Helen   | Thomas   | DeLay       | Tom      |
| Donna       | Christian-<br>Christense | Donna   | James    | DeMint      | Jim      |
| William     | Clay                     | Bill    | Peter    | Deutsch     | Peter    |
| Eva         | Clayton                  | Eva     | Lincoln  | Diaz-Balart | Lincoln  |
| Bob         | Clement                  | Bob     | Jay      | Dickey      | Jay      |
| James       | Clyburn                  | Jim     | Norman   | Dicks       | Norm     |
| Howard      | Coble                    | Howard  | John     | Dingell     | John     |
| Tom         | Coburn                   | Tom     | Julian   | Dixon       | Julian   |
| Michael     | Collins                  | Mac     | Lloyd    | Doggett     | Lloyd    |
| Larry       | Combest                  | Larry   | Calvin   | Dooley      | Cal      |
| Gary        | Condit                   | Gary    | John     | Doolittle   | John     |
| John        | Conyers                  | John    | Mike     | Doyle       | Mike     |
| Merrill     | Cook                     | Merrill | David    | Dreier      | David    |
| John        | Cooksey                  | John    | John     | Duncan      | Jimmy    |
| Jerry       | Costello                 | Jerry   | Jennifer | Dunn        | Jennifer |
| Christopher | Cox                      | Chris   | Chet     | Edwards     | Chet     |
| William     | Coyne                    | Bill    | Vernon   | Ehlers      | Vern     |
| Robert      | Cramer                   | Bud     | Robert   | Ehrlich     | Bob      |
| Philip      | Crane                    | Phil    | Jo Ann   | Emerson     | Jo Ann   |
| Joseph      | Crowley                  | Joe     | Eliot    | Engel       | Eliot    |
| Barbara     | Cubin                    | Barbara | Philip   | English     | Phil     |
| Elijah      | Cummings                 | Elijah  | Anna     | Eshoo       | Anna     |
| Randy       | Cunningham               | Duke    | Bob      | Etheridge   | Bob      |
| Pat         | Danner                   | Pat     | Lane     | Evans       | Lane     |

| Jim       | Davis         | Jim     | Terry         | Everett       | Terry   |
|-----------|---------------|---------|---------------|---------------|---------|
| Danny     | Davis         | Danny   | Thomas        | Ewing         | Tom     |
| Eni F. H. | Faleomavaega  | Eni     | Gene          | Green         | Gene    |
| Sam       | Farr          | Sam     | James         | Greenwood     | Jim     |
| Chaka     | Fattah        | Chaka   | Luis          | Gutierrez     | Luis    |
| Bob       | Filner        | Bob     | Gil           | Gutknecht     | Gil     |
| Ernie     | Fletcher      | Ernie   | Ralph         | Hall          | Ralph   |
| Mark      | Foley         | Mark    | Tony          | Hall          | Tony    |
| Michael   | Forbes        | Michael | James         | Hansen        | Jim     |
| Harold    | Ford          | Harold  | J. Dennis     | Hastert       | Denny   |
| Vito      | Fossella      | Vito    | Richard "Doc" | Hastings      | Doc     |
| Tillie    | Fowler        | Tillie  | Alcee         | Hastings      | Alcee   |
| Barney    | Frank         | Barney  | Robert        | Hayes         | Robin   |
| Robert    | Franks        | Bob     | J. D.         | Hayworth      | J.D.    |
| Rodney    | Frelinghuysen | Rodney  | Joel          | Hefley        | Joel    |
| Martin    | Frost         | Martin  | Wally         | Herger        | Wally   |
| Elton     | Gallegly      | Elton   | Baron         | Hill          | Baron   |
| Greg      | Ganske        | Greg    | Rick          | Hill          | Rick    |
| Sam       | Gejdenson     | Sam     | Van           | Hilleary      | Van     |
| George    | Gekas         | George  | Earl          | Hilliard      | Earl    |
| Richard   | Gephardt      | Dick    | Maurice       | Hinchey       | Maurice |
| James     | Gibbons       | Jim     | Ruben         | Hinojosa      | Ruben   |
| Wayne     | Gilchrest     | Wayne   | David         | Hobson        | Dave    |
| Paul      | Gillmor       | Paul    | Joseph        | Hoeffel       | Joe     |
| Benjamin  | Gilman        | Ben     | Peter         | Hoekstra      | Pete    |
| Charles   | Gonzalez      | Charlie | Tim           | Holden        | Tim     |
| Virgil    | Goode         | Virgil  | Eleanor       | Holmes Norton | Eleanor |
| Bob       | Goodlatte     | Bob     | Rush          | Holt          | Rush    |
| William   | Goodling      | Bill    | Darlene       | Hooley        | Darlene |
| Bart      | Gordon        | Bart    | Steve         | Horn          | Steve   |
| Porter    | Goss          | Porter  | John          | Hostettler    | John    |

| Lindsey        | Graham      | Lindsey       | Amory    | Houghton   | Amo     |
|----------------|-------------|---------------|----------|------------|---------|
| Kay            | Granger     | Kay           | Steny    | Hoyer      | Steny   |
| Mark           | Green       | Mark          | Kenny    | Hulshof    | Kenny   |
| Duncan         | Hunter      | Duncan        | Ray      | LaHood     | Ray     |
| Asa            | Hutchinson  | Asa           | Nicholas | Lampson    | Nick    |
| Henry          | Hyde        | Henry         | Tom      | Lantos     | Tom     |
| Jay            | Inslee      | Jay           | Steve    | Largent    | Steve   |
| Johnny         | Isakson     | Johnny        | John     | Larson     | John    |
| Ernest         | Istook      | Ernest        | Tom      | Latham     | Tom     |
| Jesse          | Jackson     | Jesse ·       | Steven   | LaTourette | Steve   |
| Sheila         | Jackson-Lee | Sheila        | Rick     | Lazio      | Rick    |
| William        | Jefferson   | Bill          | James    | Leach      | Jim     |
| William        | Jenkins     | Bill          | Barbara  | Lee        | Barbara |
| Chris          | John        | Chris         | Sander   | Levin      | Sandy   |
| Eddie Bernice  | Johnson     | Eddie Bernice | John     | Lewis      | John    |
| Sam            | Johnson     | Sam           | Ron      | Lewis      | Ron     |
| Nancy          | Johnson     | Nancy         | Јеггу    | Lewis      | Jerry   |
| Walter         | Jones       | Walter        | John     | Linder     | John    |
| Paul           | Kanjorski   | Paul          | William  | Lipinski   | Bill    |
| Marcy          | Kaptur      | Marcy         | Frank    | LoBiondo   | Frank   |
| John           | Kasich      | John          | Zoe      | Lofgren    | Zoe     |
| Sue            | Kelly       | Sue           | Nita     | Lowey      | Nita    |
| Patrick        | Kennedy     | Patrick       | Ken      | Lucas      | Ken     |
| Dale           | Kildee      | Dale          | Frank    | Lucas      | Frank   |
| Carolyn Cheeks | Kilpatrick  | Carolyn       | Bill     | Luther     | Bill    |
| Ronald         | Kind        | Ron           | Carolyn  | Maloney    | Carolyn |
| Peter          | King        | Peter         | James    | Maloney    | Jim     |
| Jack           | Kingston    | Jack          | Donald   | Manzullo   | Don     |
| Gerald         | Kleczka     | Jerry         | Edward   | Markey     | Ed      |
| Ron            | Klink       | Ron           | Matthew  | Martinez   | Marty   |
| Joseph         | Knollenberg | Joe           | Frank    | Mascara    | Frank   |

| Tim     | Kolbe                  | Jim     | Robert  | Matsui     | Bob     |
|---------|------------------------|---------|---------|------------|---------|
| Jim     |                        |         |         |            | Carolyn |
| Dennis  | Kucinich               | Dennis  | Carolyn | McCarthy   |         |
| Steven  | Kuykendall             | Steve   | Karen   | McCarthy   | Karen   |
| John    | LaFalce                | John    | Bill    | McCollum   | Bill    |
| Jim     | McCrery                | Jim     | Grace   | Napolitano | Grace   |
| Jim     | McDermott              | Jim     | Richard | Neal       | Richie  |
| James   | McGovern               | Jim     | George  | Nethercutt | George  |
| John    | McHugh                 | John    | Bob     | Ney        | Bob     |
| Scott   | McInnis                | Scott   | Anne    | Northup    | Anne    |
| David   | McIntosh               | Dave    | Charles | Norwood    | Charlie |
| Louise  | McIntosh<br>Slaughter  | Louise  | Jim     | Nussle     | Jim     |
| Mike    | McIntyre               | Mike    | James   | Oberstar   | Jim     |
| Howard  | McKeon                 | Buck    | David   | Obey       | Dave    |
| Cynthia | McKinney               | Cynthia | John    | Olver      | John    |
| Michael | McNulty                | Mike    | Solomon | Ortiz      | Solomon |
| Martin  | Meehan                 | Marty   | Doug    | Ose        | Doug    |
| Carrie  | Meek                   | Carrie  | Major   | Owens      | Major   |
| Gregory | Meeks                  | Greg    | Michael | Oxley      | Mike    |
| Robert  | Menendez               | Bob     | Ron     | Packard    | Ron     |
| Jack    | Metcalf                | Jack    | Frank   | Pallone    | Frank   |
| John    | Mica                   | John    | William | Pascrell   | Bill    |
| Juanita | Millender-<br>McDonald | Juanita | Ed      | Pastor     | Ed      |
| Gary    | Miller                 | Gary    | Ron     | Paul       | Ron     |
| George  | Miller                 | George  | Donald  | Payne      | Don     |
| Dan     | Miller                 | Dan     | Edward  | Pease      | Ed      |
| David   | Minge                  | David   | Nancy   | Pelosi     | Nancy   |
| Patsy   | Mink                   | Patsy   | John    | Peterson   | John    |
| Joseph  | Moakley                | Joe     | Collin  | Peterson   | Collin  |
| Alan    | Mollohan               | Alan    | Thomas  | Petri      | Tim     |
| Dennis  | Moore                  | Dennis  | David   | Phelps     | Dave    |

| James     | Moran              | Jim       | Charles     | Pickering     | Chip    |
|-----------|--------------------|-----------|-------------|---------------|---------|
| Jerry     | Moran              | Jerry     | Owen        | Pickett       | Owen    |
| Constance | Morella            | Connie    | Joseph      | Pitts         | Joe     |
| John      | Murtha             | Jack      | Richard     | Pombo         | Richard |
| Sue       | Myrick             | Sue       | Earl        | Pomeroy       | Earl    |
| Jerrold   | Nadler             | Jerry     | John        | Porter        | John    |
| Rob       | Portman            | Rob       | Marshall    | Sanford       | Mark    |
| David     | Price              | David     | Thomas      | Sawyer        | Tom     |
| Deborah   | Pryce              | Deborah   | Jim         | Saxton        | Jim     |
| Jack      | Quinn              | Jack      | Joe         | Scarborough   | Joe     |
| George    | Radanovich         | George    | Bob         | Schaffer      | Bob     |
| Nick      | Rahall             | Nick      | Janice      | Schakowsky    | Jan     |
| Jim       | Ramstad            | Jim       | Robert      | Scott         | Bobby   |
| Charles   | Rangel             | Charlie   | F. James    | Sensenbrenner | Jim     |
| Ralph     | Regula             | Ralph     | Jose        | Serrano       | Jose    |
| Silvestre | Reyes              | Silvestre | Pete        | Sessions      | Pete    |
| Thomas    | Reynolds           | Tom       | John        | Shadegg       | John    |
| Bob       | Riley              | Bob       | E. Clay     | Shaw          | Clay    |
| Lynn      | Rivers             | Lynn      | Christopher | Shays         | Chris   |
| Ciro      | Rodriguez          | Ciro      | Bradley     | Sherman       | Brad    |
| Timothy   | Roemer             | Tim       | Donald      | Sherwood      | Don     |
| James     | Rogan              | Jim       | John        | Shimkus       | John    |
| Harold    | Rogers             | Hal       | Ronnie      | Shows         | Ronnie  |
| Dana      | Rohrabacher        | Dana      | Bud         | Shuster       | Bud     |
| Carlos    | Romero-<br>Barcelo | Carlos    | Mike        | Simpson       | Mike    |
| Ileana    | Ros-Lehtinen       | Ileana    | Norman      | Sisisky       | Norm    |
| Steven    | Rothman            | Steve     | Joe         | Skeen         | Joe     |
| Marge     | Roukema            | Marge     | Ike         | Skelton       | Ike     |
| Lucille   | Roybal-Allard      | Lucille   | Nick        | Smith         | Nick    |
| Edward    | Royce              | Ed        | Christopher | Smith         | Chris   |
| Bobby     | Rush               | Bobby     | Adam        | Smith         | Adam    |

| Paul        | Ryan       | Paul    | Lamar   | Smith     | Lamar   |
|-------------|------------|---------|---------|-----------|---------|
| Jim         | Ryun       | Jim     | Vic     | Snyder    | Vic     |
| Martin Olav | Sabo       | Martin  | Mark    | Souder    | Mark    |
| Matt        | Salmon     | Matt    | Floyd   | Spence    | Floyd   |
| Loretta     | Sanchez    | Loretta | John    | Spratt    | John    |
| Bernard     | Sanders    | Bernie  | Debbie  | Stabenow  | Debbie  |
| Max         | Sandlin    | Max     | Fortney | Stark     | Pete    |
| Clifford    | Stearns    | Cliff   | Nydia   | Velazquez | Nydia   |
| Charles     | Stenholm   | Charlie | Bruce   | Vento     | Bruce   |
| Ted         | Strickland | Ted     | Peter   | Visclosky | Pete    |
| Bob         | Stump      | Bob     | David   | Vitter    | David   |
| Bart        | Stupak     | Bart    | Greg    | Walden    | Greg    |
| John        | Sununu     | John    | James   | Walsh     | Jim     |
| John        | Sweeney    | John    | Zach    | Wamp      | Zach    |
| James       | Talent     | Jim     | Maxine  | Waters    | Maxine  |
| Thomas      | Tancredo   | Tom     | Wes     | Watkins   | Wes     |
| John        | Tanner     | John    | Melvin  | Watt      | Mel     |
| Ellen       | Tauscher   | Ellen   | J. C.   | Watts     | J.C.    |
| W.J.        | Tauzin     | Billy   | Henry   | Waxman    | Henry   |
| Gene        | Taylor     | Gene    | Anthony | Weiner    | Anthony |
| Charles     | Taylor     | Charles | Curt    | Weldon    | Curt    |
| Lee         | Terry      | Lee     | David   | Weldon    | Dave    |
| William     | Thomas     | Bill    | Gerald  | Weller    | Jerry   |
| Michael     | Thompson   | Mike    | Robert  | Wexler    | Robert  |
| Bennie      | Thompson   | Bennie  | Robert  | Weygand   | Bob     |
| William     | Thornberry | Mac     | Edward  | Whitfield | Ed      |
| John        | Thune      | John    | Roger   | Wicker    | Roger   |
| Karen       | Thurman    | Karen   | Heather | Wilson    | Heather |
| Todd        | Tiahrt     | Todd    | Robert  | Wise      | Bob     |
| John        | Tierney    | John    | Frank   | Wolf      | Frank   |
| Patrick     | Toomey     | Pat     | Lynn    | Woolsey   | Lynn    |

| Edolphus  | Towns       | Ed        | David  | Wu    | Dave |
|-----------|-------------|-----------|--------|-------|------|
| James     | Traficant   | Jim       | Albert | Wynn  | Al   |
| Stephanie | Tubbs Jones | Stephanie | Don    | Young | Don  |
| Jim       | Turner      | Jim       | C. W.  | Young | Bill |
| Thomas    | Udall       | Tom       |        |       |      |
| Mark      | Udall       | Mark      |        |       |      |
| Robert    | Underwood   | Robert    |        |       |      |
| Fred      | Upton       | Fred      |        |       |      |

# **Defense Related Committees**

## SENATE COMMITTEES

| CHAIRMAN                 |   | RANKING MEMBER                |
|--------------------------|---|-------------------------------|
| Honorable Ted Stevens    | APPROPRIATIONS  | Honorable Robert C. Byrd      |
| Honorable Ted Stevens    | SUBCOMMITTEE ON<br>DEFENSE                            | Honorable Daniel K. Inouye    |
| Honorable Conrad Burns   | SUBCOMMITTEE ON<br>MILITARY CONSTRUCTION              | Honorable Patty Murray        |
| Honorable John Warner    | ARMED SERVICES  | Honorable Carl Levin          |
| Honorable Rick Santorum  | SUBCOMMITTEE ON<br>AIRLAND FORCES                     | Honorable Joseph I. Lieberman |
| Honorable Pat Roberts    | SUBCOMMITTEE ON<br>EMERGING THREATS &<br>CAPABILITIES | Honorable Jeff Bingaman       |
| Honorable Tim Hutchinson | SUBCOMMITTEE ON PERSONNEL                             | Honorable Max Cleland         |

| CHENTA THE | COMMITTEES   |
|------------|--------------|
| SENAIL     | COMMINITARES |

Honorable James M. Inhofe

SUBCOMMITTEE ON

READINESS &

MANAGEMENT SUPPORT

Honorable Charles S. Robb

Honorable Olympia J. Snowe SUBCOMMITTEE ON Honorable Edward M. Kennedy SEAPOWER

Honorable Wayne Allard SUBCOMMITTEE ON Honorable Mary L. Landrieu STRATEGIC FORCES

Honorable Pete V. Domenici **BUDGET** Honorable Frank R. Lautenberg

Honorable Jesse Helms FOREIGN RELATIONS Honorable Joseph R. Biden

Honorable Orrin G. Hatch

JUDICIARY

Honorable Patrick J. Leahy

Honorable Arlen Specter VETERANS' AFFAIRS Honorable John D. Rockefeller IV

Honorable Richard C. Shelby INTELLIGENCE Honorable Richard H. Bryan

#### **HOUSE COMMITTEES**

#### CHAIRMAN RANKING MEMBER

Honorable C.W. Bill Young APPROPRIATIONS Honorable David Obey

Honorable Jerry Lewis SUBCOMMITTEE ON Honorable John P. Murtha
DEFENSE

Honorable David L. Hobson

SUBCOMMITTEE ON

MILITARY CONSTRUCTION

Honorable John W. Olver

Honorable Floyd Spence ARMED SERVICES Honorable Ike Skelton

Honorable Joel Hefley

SUBCOMMITTEE ON

MILITARY INSTALLATIONS

& FACILITIES

Honorable Gene Taylor

MILITARY INSTALLATIONS

Honorable Steve Buyer SUBCOMMITTEE ON Honorable Neil Abercrombie

**MILITARY PERSONNEL** 

| Honorable Duncan L. Hunter    | SUBCOMMITTEE ON<br>MILITARY PROCUREMENT               | Honorable Norman Sisisky    |
|-------------------------------|---|-----------------------------|
| Honorable Herbert H. Bateman  | SUBCOMMITTEE ON<br>MILITARY READINESS                 | Honorable Solomon P. Ortiz  |
| Honorable Curt Weldon         | SUBCOMMITTEE ON<br>MILITARY RESEARCH &<br>DEVELOPMENT | Honorable Owen B. Pickett   |
| Honorable John R. Kasich      | BUDGET  | Honorable John M. Spratt    |
| Honorable Tom Bliley          | COMMERCE  | Honorable John D. Dingell   |
| Honorable William F. Goodling | EDUCATION & THE<br>WORKFORCE                          | Honorable William L. Clay   |
| Honorable Dan Burton          | GOVERNMENT REFORM                                     | Honorable Henry A. Waxman   |
| Honorable Benjamin A. Gilman  | INTERNATIONAL RELATIONS                               | Honorable Sam Gejdenson     |
| Honorable Henry J. Hyde       | JUDICIARY   | Honorable John Conyers      |
| Honorable Bob Stump           | VETERANS' AFFAIRS                                     | Honorable Lane Evans        |
| Honorable Bill Archer         | WAYS & MEANS  | Honorable Charles B. Rangel |
| Honorable Porter J. Goss      | INTELLIGENCE  | Honorable Julian C. Dixon   |

# Service Rank Abbreviations Used in OSD Correspondence

# Military Ranks and Abbreviations by Service and Pay Grade

| Pay<br>Grade | Army                           |            | Navy                                      |             |  |
|--------------|--------------------------------|------------|---|-------------|--|
| O-10         | General                        | GEN        | Admiral                                   | ADM         |  |
| O-10<br>O-9  | Lieutenant General             | LTG        | Vice Admiral                              | VADM        |  |
| O-9<br>O-8   | Major General                  | MG         | Rear Admiral                              | RADM        |  |
| O-0<br>O-7   | Brigadier General              | BG         | Rear Admiral                              | RADM*       |  |
| O-6          | Colonel                        | COL        | Captain                                   | CAPT        |  |
| O-5          | Lieutenant Colonel             | LTC        | Commander                                 | CDR         |  |
| 0-3          | Major                          | MAJ        | Lieutenant Commander                      | LCDR        |  |
| 0-3          | Captain                        | CPT        | Lieutenant                                | LT          |  |
| O-3<br>O-2   | First Lieutenant               | 1LT        | Lieutenant Junior Grade                   | LTjg        |  |
| O-2<br>O-1   | Second Lieutenant              | 2LT        | Ensign                                    | ENS         |  |
|              | Social Bloatenant              |            |   |             |  |
| W-5          | Chief Warrant Officer          | CW5**      | Chief Warrant Officer 4                   | CWO4        |  |
| W-4          | Chief Warrant Officer 4        | CW4        | Chief Warrant Officer 3                   | CWO3        |  |
| W-3          | Chief Warrant Officer 3        | CW3        | Chief Warrant Officer 2                   | CWO2        |  |
| W-2          | Chief Warrant Officer 2        | CW2        | Warrant Officer                           | WO1         |  |
| W-1          | Warrant Officer 1              | WO1        |   |             |  |
|              |                                | 93.51      | Oli CD # Office                           | MCPON       |  |
| E-9          | Sergeant Major of the Army     | SMA        | Master Chief Petty Officer                | MCFON       |  |
|              | Command Sergeant Major         | CSM        | of the Navy<br>Master Chief Petty Officer | МСРО        |  |
|              | Sergeant Major                 | SGM        | Senior Chief Petty Officer                | SCPO        |  |
| E-8          | First Sergeant                 | 1SG<br>MSG | Senior Ciner Fetty Officer                | 3010        |  |
| D. 7         | Master Sergeant                | PSG        | Chief Petty Officer                       | CPO         |  |
| E-7          | Platoon Sergeant               | SFC        | Chief Fetty Officer                       | Cro         |  |
| ъ.           | Sergeant First Class           | SSG        | Petty Officer 1                           | PO1         |  |
| E-6<br>E-5   | Staff Sergeant                 | SGT        | Petty Officer 2                           | PO2         |  |
| E-3<br>E-4   | Sergeant                       | CPL        | Petty Officer 3                           | PO3         |  |
| Ľ-4          | Corporal                       | SPC        | Tony Officer 5                            | 100         |  |
| E-3          | Specialist Private First Class | PFC        | Seaman                                    | SN          |  |
| E-3<br>E-2   | Private Pirst Class Private    | PV2        | Seaman Apprentice                         | SA          |  |
| E-2<br>E-1   | Private<br>Private             | PVZ<br>PVT | Seaman Recruit                            | SR          |  |
| E-1          | riivate                        | L A I      | Scaman Rectuit                            | <b>D</b> 11 |  |

<sup>\*</sup> The Navy Rear Admiral rank contains two levels: upper half equal to a two-star flag officer and lower half equal to a one-star flag officer. The rank of Commodore was a commissioned one-star rank during time of war. The commander of a fleet of ships is sometimes referred to as "Commodore" as a courtesy title. The rank no longer exists and the title is never officially used.

<sup>\*\*</sup> The Army used Master Warrant Officer at one time; "Master" now indicates a transitional rank; all Army Warrant Officers are Chief Warrant Officers.

# Military Ranks and Abbreviations by Service and Pay Grade

| Pay<br>Grade                    | Marine Corp   | s   | Air Force                              |          |  |
|---------------------------------|---|---|--|----------|--|
| O-10                            | General   | Gen                                       | General                                | Gen      |  |
| 0-9                             | Lieutenant General  | LtGen                                     | Lieutenant General                     | Lt Gen   |  |
| O-8                             | Major General   | MajGen                                    | Major General                          | Maj Gen  |  |
| O-7                             | Brigadier General   | BGen                                      | Brigadier General                      | Brig Gen |  |
| 0-6                             | Colonel   | Col                                       | Colonel                                | Col      |  |
| O-5                             | Lieutenant Colonel  | LtCol                                     | Lieutenant Colonel                     | Lt Col   |  |
| 0-4                             | Major   | Maj                                       | Major                                  | Maj      |  |
| O-3                             | Captain   | Capt                                      | Captain                                | Capt     |  |
| 0-2                             | First Lieutenant  | 1st Lt                                    | First Lieutenant                       | 1st Lt   |  |
| O-1                             | Second Lieutenant   | 2nd Lt                                    | Second Lieutenant                      | 2d Lt    |  |
| W-5<br>W-4<br>W-3<br>W-2<br>W-1 | Chief Warrant Officer 5 Chief Warrant Officer 4 Chief Warrant Officer 3 Chief Warrant Officer 2 Chief Warrant Officer 1 | CWO-5<br>CWO-4<br>CWO-3<br>CWO-2<br>CWO-1 |  |          |  |
| E-9                             | Sergeant Major of the<br>Marine Corps   | SgtMajMC                                  | Chief Master Sergeant of the Air Force | CMSAF    |  |
|                                 | Sergeant Major Master Gunnery Sergeant  | Sgt Maj<br>MGySgt                         | Chief Master Sergeant                  | CMSgt    |  |
| E-8                             | First Sergeant Master Sergeant  | 1stSgt<br>MSgt                            | Senior Master Sergeant                 | SMSgt    |  |
| E-7                             | Gunnery Sergeant  | GySgt                                     | Master Sergeant                        | MSgt     |  |
| E-6                             | Staff Sergeant  | SSgt                                      | Technical Sergeant                     | TSgt     |  |
| E-5                             | Sergeant  | Sgt                                       | Staff Sergeant                         | SSgt     |  |
| E-4                             | Corporal  | · Cpl                                     | Sergeant/Senior Airman                 | Sgt/SrA  |  |
| E-3                             | Lance Corporal  | LCpl                                      | Airman First Class                     | A1C      |  |
| E-2                             | Private First Class   | PFC                                       | Airman                                 | Amn      |  |
| E-1                             | Private   | Pvt                                       | Airman Basic                           | AB       |  |

# OSD/WHS Pentagon ZIP+4 Codes and Compatible Street Addresses

The following OSD/WHS ZIP+4 Codes and authorized street addresses are appropriate for use on official mail. The list represents a delivery address (DoD activity, street address, city, state and ZIP+4) for all designated Pentagon activities. These entries have been forwarded to the United States Postal Service (USPS) and entered into the National ZIP CODE Directory.

A maximum of five lines may be used in the Pentagon address format. However, the last three lines should conform to the list. This leaves the top two optional lines for specific organizational name, office symbol, name, or room number. All characters in the mailing address should be UPPERCASE letters. Omit all punctuation, except for the hyphen in the ZIP-4 Code. Use two letter state abbreviations for the state in the City, State, and ZIP+4 last line. Hand printing and rubber stamps will NOT be authorized in the address area.

A return address is required on all official mail envelopes. WHS (Support Services) will assist in procurement of an appropriate return address stamp for your organization. Questions may be directed to Thomas Light at 695-9717.

Office of the Secretary of Defense
Authorized ZIP +4 Codes and Compatible Street Addresses
August 2000

| 20301-1000 | SECRETARY OF DEFENSE<br>1000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1000  |
|------------|--|
| 20301-1000 | CHIEF OF STAFF<br>1000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1000  |
| 20301-1000 | SPECIAL ASSISTANT TO SECRETARY OF DEFENSE<br>1000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1000                     |
| 20301-1010 | DEPUTY SECRETARY OF DEFENSE<br>1010 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1010                                   |
| 20301-1020 | SPECIAL ASSISTANT TO SEC AND DEP SEC OF DEFENSE<br>1020 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1020<br>20301-1030 |
| 20301-1030 | EXECUTIVE SECRETARY FOR DOD<br>1030 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1030                                   |
| 20301-1040 | NATIONAL RECONNAISSANCE OFFICE<br>1040 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1040                                |

84

| 20301-1100 | UNDER SEC DEF COMPTROLLER<br>1100 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1100                       |
|------------|--|
| 20301-1155 | WASHINGTON HEADQUARTERS SERVICES<br>1155 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1155                |
| 20301-1200 | ASST SEC DEF HEALTH AFFAIRS<br>1200 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1200                     |
| 20301-1300 | ASST SEC DEF LEGISLATIVE AFFAIRS<br>1300 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1300                |
| 20301-1400 | ASST SEC DEF PUBLIC AFFAIRS<br>1400 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1400                     |
| 20301-1510 | DOD COORD FOR DRUG ENFORCEMENT POLICY & SPT<br>1510 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1510     |
| 20301-1900 | DEFENSE HOTLINE<br>1900 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1900                                 |
| 20301-1950 | DIR ADMINISTRATION AND MANAGEMENT<br>1950 DEFENSE PENTAGON<br>WASHINGTON DC 20301-1950               |
| 20301-2000 | UNDER SEC DEF FOR POLICY<br>2000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2000                        |
| 20301-2100 | PRIN DEP UNDER SEC DEF FOR POLICY<br>2100 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2100               |
| 20301-2200 | DEP UNDER SEC DEF FOR POLICY<br>2200 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2200                    |
| 20301-2400 | ASST SEC DEF INTERNATIONAL SECURITY AFFAIRS<br>2400 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2400     |
| 20301-2500 | ASST SEC DEF SPC OPS AND LOW INTENSITY CONFLICT<br>2500 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2500 |

| 20301-2800 | DEFENSE SECURITY ASSISTANCE AGENCY<br>2800 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2800           |
|------------|---|
| 20301-2900 | ASST SEC DEF FOR STRATEGY & THREAT REDUCTION<br>2900 DEFENSE PENTAGON<br>WASHINGTON DC 20301-2900 |
| 20301-3000 | OFFICE UNDER SEC DEF FOR ACQ & TECH<br>3000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3000          |
| 20301-3010 | UNDER SEC DEF FOR ACQ & TECH<br>3010 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3010                 |
| 20301-3015 | PRIN DEP UNDER SEC DEF ACQ & TECH<br>3015 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3015            |
| 20301-3020 | DIR ACQUISITION PROGRAM INTEGRATION<br>3020 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3020          |
| 20301-3030 | DIR DEF RESEARCH AND ENGINEERING<br>3030 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3030             |
| 20301-3040 | OFFICE DIR DEF RESEARCH AND ENGINEERING<br>3040 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3040      |
| 20301-3050 | ASST TO SEC DEF NUCLEAR CHEMICAL & BIOLOGICAL 3050 DEFENSE PENTAGON WASHINGTON DC 20301-3050      |
| 20301-3060 | DIR DEFENSE PROCUREMENT<br>3060 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3060                      |
| 20301-3061 | DIR SMALL AND DISADVANTAGED BUS UTILIZATION<br>3061 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3061  |
| 20301-3062 | DEFENSE ACQUISITION REGULATORY SYSTEM<br>3062 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3062        |
|            |   |

| 20301-3070 | DUSD INTERNATIONAL AND COMMERCIAL PROGRAMS<br>3070 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3070  |
|------------|--|
| 20301-3080 | DEP DIR DEFENSE RESEARCH AND ENGINEERING<br>3080 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3080    |
| 20301-3090 | DIR STRATEGIC AND TACTICAL SYSTEMS<br>3090 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3090          |
| 20301-3110 | DIR TEST SYSTEMS ENGINEERING AND EVALUATION<br>3110 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3110 |
| 20301-3140 | DEFENSE SCIENCE BOARD<br>3140 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3140                       |
| 20301-3150 | DIR ADMINISTRATION (A&T)<br>3150 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3150                    |
| 20301-3160 | DEFENSE AIRBORNE RECONNAISSANCE OFFICE<br>3160 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3160      |
| 20301-3200 | DIR SPECIAL PROGRAMS 3200 DEFENSE PENTAGON WASHINGTON DC 20301-3200                              |
| 20301-3250 | NOT IN USE (FORMERLY CALS & EDI)   |
| 20301-3320 | OFFICE OF ECONOMIC ADJUSTMENT 3320 DEFENSE PENTAGON WASHINGTON DC 20301-3320                     |
| 20301-3330 | DUSD INDUSTRIAL AFFAIRS AND INSTALLATION 3330 DEFENSE PENTAGON WASHINGTON DC 20301-3330          |

| 20301-3350 | DIR BASE TRANSITION OFFICE<br>3350 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3350                   |
|------------|---|
| 20301-3400 | DEP UNDER SEC DEF ENVIRONMENTAL SECURITY<br>3400 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3400     |
| 20301-3500 | DEP UNDER SEC DEF LOGISTICS 3500 DEFENSE PENTAGON WASHINGTON DC 20301-3500                        |
| 20301-3700 | DEP UNDER SEC DEF ADVANCED TECH<br>3700 DEFENSE PENTAGON<br>WASHINGTON DC 20301-3700              |
| 20301-3900 | DEP UNDER SEC DEF SPACE ACQ & TECH PROGRAMS 3900 DEFENSE PENTAGON WASHINGTON DC 20301-3900        |
| 20301-4000 | UNDER SEC DEF FOR PERSONNEL AND READINESS 4000 DEFENSE PENTAGON WASHINGTON DC 20301-4000          |
| 20301-6000 | ASST SEC DEF CMND CONTRL COMM AND INTEL<br>6000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-6000      |
| 20301-6100 | US NUCLEAR CMND AND CNTRL SYST SUPPORT STAFF<br>6100 DEFENSE PENTAGON<br>WASHINGTON DC 20301-6100 |
| 20301-7000 | NATIONAL IMAGERY AND MAPPING AGENCY<br>7000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-7000          |
| 20301-7010 | DEFENSE INFORMATION SYSTEMS AGENCY<br>7010 DEFENSE PENTAGON<br>WASHINGTON DC 20301-7010           |

88

| 20301-7100 | BALLISTIC MISSILE DEFENSE ORGANIZATION<br>7100 DEFENSE PENTAGON<br>WASHINGTON DC 20301-7100 |
|------------|---|
| 20301-7200 | ASST TO SEC DEF INTELLIGENCE OVERSIGHT<br>7200 DEFENSE PENTAGON<br>WASHINGTON DC 20301-7200 |
| 20301-7300 | RESERVE FORCES POLICY BOARD 7300 DEFENSE PENTAGON WASHINGTON DC 20301-7300                  |
| 20301-7400 | DEFENSE INTELLIGENCE AGENCY 7400 DEFENSE PENTAGON WASHINGTON DC 20301-7400                  |
| 20301-7500 | CURRENT NEWS ANALYSIS & RES SRVC (AFIS) 7500 DEFENSE PENTAGON WASHINGTON DC 20301-7500      |
| 20301-8000 | DEFENSE GLOBAL INFORMATION GROUP<br>8000 DEFENSE PENTAGON<br>WASHINGTON DC 20301-8000       |

# **OSD Correspondence Redesign Project**

The Executive Secretary and the DoD Deputy Chief Information Officer are co-sponsoring a correspondence process redesign for the Office of the Secretary of Defense. The project is intended to create a more effective management process and supporting architecture for unclassified correspondence that requires the signature of the Deputy or Secretary of Defense. A four-pronged approach is being implemented to make process improvements, establish an editing/writing team, increase performance measurement and apply state-of-the-art technology to enhance management information. The technology solution being installed is Documentum, the current industry leader in enterprise-wide electronic document management. Documentum will enable the immediate office of the Secretary, OSD correspondence managers and the Components to transfer correspondence electronically. A pilot program began in August with wider participation Scheduled for October. For more information about the project, contact the Secretariat Writing Team at 703-614-7744.