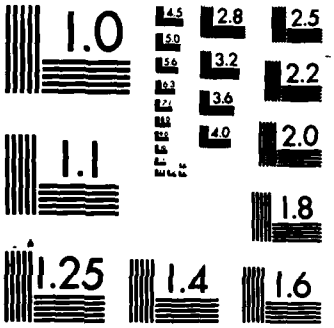
AD-A158 975 DATABASE THEORY(U) STANFORD UNIV CA DEPT OF COMPUTER SCIENCE J D ULMAN 01 FEB 85 AFOSR-TR-85-0673 AFOSR-80-0212 1/1

UNCLASSIFIED F/G 9/2 NL



AD-A158 975

REPORT DOCUMENTATION PAGE

1a. REPORT SECURITY CLASSIFICATION: Unclassified	1b. RESTRICTIVE MARKINGS
2a. SECURITY CLASSIFICATION AUTHORITY: ----------	3. DISTRIBUTION/AVAILABILITY OF REPORT: Approved for public release; Distribution Unlimited
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE: N/A	
4. PERFORMING ORGANIZATION REPORT NUMBER(S):	5. MONITORING ORGANIZATION REPORT NUMBER(S): AFOSR-TR- 85-0673
6a. NAME OF PERFORMING ORGANIZATION: Stanford University Dept of Computer Science; 6b. OFFICE SYMBOL (If applicable):	7a. NAME OF MONITORING ORGANIZATION: AFOSR/NM
6c. ADDRESS (City, State and ZIP Code): Stanford University Stanford, CA 94305	7b. ADDRESS (City, State and ZIP Code): Bldg. 410 Bolling AFB D.C. 20332-6448
8a. NAME OF FUNDING/SPONSORING ORGANIZATION: AFOSR; 8b. OFFICE SYMBOL (If applicable): NM	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER: AFOSR Grant 80-0212
8c. ADDRESS (City, State and ZIP Code): Bldg. 410 Bolling AFB D.C. 20332-6448	10. SOURCE OF FUNDING NOS.

PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT NO.
61102F	2304	A2	-----

11. TITLE (Include Security Classification): Database Theory	
12. PERSONAL AUTHOR(S): Jeffrey D. Ullman	

13a. TYPE OF REPORT	13b. TIME COVERED	14. DATE OF REPORT (Yr., Mo., Day)	15. PAGE COUNT
Final	FROM 15 Jun 80 TO 1 Nov 84	1 February 1985	8

16. SUPPLEMENTARY NOTATION

17. COSATI CODES		
FIELD	GROUP	SUB. GR.
XXXX	XXXXXXXXXX	XXXXX

18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number): Database Theory

19. ABSTRACT (Continue on reverse if necessary and identify by block number)

The research carried out under this grant from 1979-1984 centered around the design of universal relation database systems. Certain theoretical aspects of dependency theory received attention, especially the theory of acyclic hypergraphs and their corresponding join dependencies. We investigated the use of first-order logic as a way to describe the effect of updates on universal-relation databases, and views in general. A number of other topics concerning database systems also received attention, including concurrency control by locking, data coding for massive write-once memories, hash table design, and logical interfaces for database systems.

20. DISTRIBUTION/AVAILABILITY OF ABSTRACT: UNCLASSIFIED/UNLIMITED ☒ SAME AS RPT. ☒ DTIC USERS ☐	21. ABSTRACT SECURITY CLASSIFICATION: Unclassified

22a. NAME OF RESPONSIBLE INDIVIDUAL	22b. TELEPHONE NUMBER (Include Area Code)	22c. OFFICE SYMBOL
Captain J. Thomas	(202) 767-5027	AFOSR/NM

DD FORM 1473, 83 APR — EDITION OF 1 JAN 73 IS OBSOLETE.

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE

AFOSR-TR- 85-0673

Final Report

Grant AFOSR 80-0212

15 June 1980 - 1 November 1984

Title

DATABASE THEORY

Principal Investigator

J.D. Ullman

Stanford University
Stanford, CA 94305

FINAL REPORT ON AFOSR GRANT 80-0212
DATABASE THEORY

J. D. Ullman, Principal Investigator

Summary

The research carried out under this grant from 1979–1984 centered around the design of universal relation database systems. Certain theoretical aspects of dependency theory received attention, especially the theory of acyclic hypergraphs and their corresponding join dependencies. We investigated the use of first-order logic as a way to describe the effect of updates on universal-relation databases, and views in general. A number of other topics concerning database systems also received attention, including concurrency control by locking, data coding for massive write-once memories, hash table design, and logical interfaces for database systems.

System/U

The central objective of a universal-relation system is to relieve the user of the need to know system details that he is required to know in order to use conventional systems. An extreme example of what we might hope to achieve is a natural language interface, where one could say something like

"Tell me all you know about the Zanabian X-27 bomber."

But natural language systems are not feasible at the moment, and may never be precise enough for unambiguous retrieval of information.

In the "System/U" project we have tried to take a middle approach, implementing a formal query language, but a language that was better tuned to what a nonspecialist user might know about the database. Thus, we would ask in the System/U query language:

```
retrieve Specifications
where Country = "Zanabia" and Designation = "X-27"
```

In comparison, a typical relational database system, which are the easiest-to-use commercial systems, might state the same query this way.

```
range of A is AIRCRAFT
range of D is DATA
retrieve (D.Specifications)
where A.Country = "Zanabia" and
    A.Designation = "X-27" and
    A.Aircraft-ID = D.Aircraft-ID
```

The difference is that the user of the conventional system not only has to know about kinds of data (Specifications, Countries, and Designations), but about how that data is organized (into relations AIRCRAFT and DATA), and how the data in the two relations is logically connected (in the above example, the connection is by fields in both relations, named "Aircraft-ID").

System/U is an example of a "universal relation" system, in which the user may

imagine that the data is stored in a large table, with one column for each of the "attributes," such as Specifications, Countries, and Designations, above. The principal implementation problem is how to make inferences about what connections are implied by the user's query mentioning some particular subset of the attributes. In the above example, the connection would be easy to infer, but real systems may have hundreds of attributes, connected in roundabout ways, not all of which are intuitively meaningful. A brief summary of our approach to this problem is:

1. Data dependencies, which are simple predicate logic statements about constraints on data (e.g., no country has two planes with the same designation), are used to infer what connections among attributes are meaningful. These dependencies are declared once, when the database is designed, and must reflect the user's intuition but need not be known to the user.
2. To test the validity of an algorithm for answering queries, we must have a model of the universal relation that the user "sees." Such a relation can be defined from the dependencies and the data in the actual database relations, in one of several ways.
3. Query-answering algorithms produce answers by applying algebraic operations to the database itself. Ideally, we would like the query result to be the same as the user would have gotten if the query were applied directly to the (imaginary) universal relation.
4. Mathematical developments in universal relation theory tell us when a database structure allows us to find an algebraic way to simulate queries on the universal relation (which we cannot construct in practice because it is too large), and in many cases give us algorithms for translating queries about the universal relation to queries on the real database.

The important features of System/U include:

a) The structure is defined by a data definition language, in which the user states data dependencies and "objects," which are the indivisible groupings of attributes. In the above example, (Aircraft-ID, Country) and (Aircraft-ID, Designation) might be objects, but objects of more than two attributes are not uncommon.

b) The system deduces from this information "maximal objects," which are maximal collections of objects within which connections make sense according to the given dependencies. The database designer may alter the system's selection, but once set, all queries are interpreted using these maximal objects, and the user does not have to know about them.

c) A query about a set of attributes is answered by taking the union of the connections within all maximal objects that include all the attributes of the query. Maximal objects are always acyclic hypergraphs in a special sense that we developed for the purpose, and which is a natural generalization of a tree (although the standard definition of "acyclic hypergraph" disagrees with ours and is not suitable for our applications). The desired connection is the unique minimal subtree containing all the attributes in the query.

The primary source for the description of the system and the algorithms underlying it is Korth et al. [1984]. The "maximal object" idea is from Maier and Ullman [1983]. Beeri and Korth [1982] described some early ideas on the design of the system. The ideas found in System/U engendered a debate in the database community concerning the ability

of universal relation systems to represent real-world data correctly. Ullman [1982, 1983a] were two attempts to refute erroneous reasoning that had appeared in print, and Ullman [1983b] is an invited paper on the universal relation ideas presented to the international community.

Copies of the system have been sent to two other sites on an experimental basis. More importantly, one can see the ideas developed in the System/U project appearing in other systems, both experimental and commercial.

Acyclic Hypergraphs

One of the first things we discovered about universal relation systems is that they were much easier to implement, and the answers obtained from them made much more sense if the objects defining the database had a structure we called "acyclic." The study of hypergraphs with this property was therefore a major effort under the grant. The original ideas were from Fagin, Mendelzon, and Ullman [1982]. The properties of acyclic hypergraphs were explored in Beeri et al. [1981], Beeri and Vardi [1983], and Maier and Ullman [1984].

Adequacy of Database Structures

The property of acyclicity interacts with data dependencies, so certain cyclic object structures in a database scheme may in fact allow queries to be posed on a universal relation and still make sense. The key issue is the existence of "weak instances," which are universal relations whose projections onto the actual database relations are (not necessarily proper) supersets of what actually appears there. Maier, Ullman, and Vardi [1984] answered the question of when queries on a universal relation can be answered by algebraic operations on the database. Graham, Mendelzon, and Vardi [1983], Graham and Vardi [1984], and Vardi [1982] dealt with the question of when universal relation queries "made sense."

Dependency Theory

Questions of whether a universal relation exists for a given database structure depends not only on the structure, but on what dependencies are assumed. A "dependency" in its most general sense is any constraint on what values the relations in the database may assume. "Template dependencies," which are far more general than dependencies like "functional" and "multivalued" studied earlier, were investigated by Fagin, Maier, Ullman, and Yannakakis [1983]. Interestingly, template dependencies later turned out to have a central role in characterizing when algebraic answers to queries existed, as was discussed in Maier, Vardi, and Ullman [1984].

Another important class of dependencies, the inclusion dependencies, were studied by Kanellakis et al. [1983]. These dependencies say that a value appearing in one context must also appear in another context; e.g., a name appearing as a manager must also appear as an employee.

Write-Once Memory

Mass data storage on optical disks is an exciting future possibility, but they present a number of unusual problems, because 1's can never be overwritten by 0's. In Dolev et al. [1984]

we studied the ways in which errors, which appear on the disk because of imperfections in the medium, could be corrected.

Updates

While we believe the techniques for designing and querying universal relation databases are adequate, little progress has been made in answering the question of when an update expressed in terms of the universal relation makes sense in terms of the underlying database. In fact, researchers concerned with conventional database systems have a similar problem, that of updating through views. In Fagin, Kuper, Ullman, and Vardi [1984] and in Kuper, Ullman, and Vardi [1984] we considered the contents of the database to be a "flock" of logical theories, i.e., a set of sets of first-order statements. We then investigated how insertions and deletions of facts affect these theories and cause them to split into two or more theories.

More conventional problems of updates to views were considered in Keller and Ullman [1984]. This paper pursues the Bancilhon-Spyratos hypothesis that view updates must preserve some "complement" of the view. A complement to a view is another view that together with the first is sufficient to reconstruct the database. We showed that in a simple model of databases, there is a unique minimal complement to a given view. Thus, these views have unique translations for their updates.

Hash Tables

Mairson [1983a] investigated the design of hash tables for efficient access to a preselected set of data, showing that under a wide variety of assumptions, the hash functions for such a purpose required a very long program to compute. This paper received the Machtey award as the best student paper at the 1983 IEEE Symposium on Foundations of Computer Science.

Database Logic

There have been several attempts to generalize relational calculus to a logical model and language that captures the generality of nonrelational database systems. However, Jacobs' "database logic" allows noncomputable queries, and the "format model" does not capture indirection through pointers, as found in most nonrelational models. A logic that fixes these problems was described in Kuper and Vardi [1984]. It shows how high-level algebraic or logical languages can be designed for any of the popular models, not just the relational.

Knowledge-Bases and Databases

In work just begun as the grant expired, Ullman [1984] and Sagiv and Ullman [1984] explored database systems that have logical rules, in the form of Horn clauses, that interpret the data in a relational database. This arrangement is what AI folks call a "knowledge base."

We developed some promising techniques for giving the system the ability to deduce the proper, or most efficient, way to deal with queries involving logical rules. The effect is that while languages like Prolog or MRS require the user to specify such strategies, systems

based on our ideas will be able to make their own decision. The situation is analogous to the way relational systems take from the user the responsibility for navigation that is present in network or hierarchical systems.

This work, although just beginning, has attracted considerable interest and research support. It is sad that AFOSR has elected not to pursue this direction.

Ph. D. Theses Support by Grant

Three Ph. D. students were supported by the grant. Their theses were: Korth [1981], Mairson [1983b], and Kuper [1984]. The first was actually awarded by Princeton Univ., since the student involved followed the PI when he moved to Stanford. The last of these has been written, but the degree will not be awarded until approximately June, 1985.

Bibliography of Grant Publications

Note: Many works supported by the grant were published in several forms, e.g., as a technical report, conference paper, and refereed journal paper. In such cases, only the final or most recent form of the publication is listed.

Beeri, C., R. Fagin, D. Maier, A. O. Mendelzon, J. D. Ullman, and M. Yannakakis [1981]. "Properties of acyclic database schemes," *Proc. Thirteenth Annual ACM Symposium on the Theory of Computing*, pp. 355–362.

Beeri, C. and H. F. Korth [1982]. "Compatable attributes in a universal relation," *Proc. First ACM Symposium on Principles of Database Systems*, pp. 55–62.

Beeri, C. and M. Y. Vardi [1983]. "On acyclic database decompositions," STAN–CS–976, Dept. of CS, Stanford Univ.

Dolev, D., D. Maier, H. Mairson, and J. D. Ullman [1984]. "Error-correcting codes for write-once memory," *Proc. Sixteenth Annual ACM Symposium on the Theory of Computing*, pp. 225–229.

Fagin, R., G. M. Kuper, J. D. Ullman, and M. Y. Vardi [1984]. "Updating logical databases," RJ 4400, IBM, San Jose.

Fagin, R., D. Maier, J. D. Ullman, and M. Yannakakis [1983]. "Tools for template dependencies," *ACM Trans. on database Systems* 7:3, pp. 343–360

Fagin, R., A. O. Mendelzon, and J. D. Ullman [1982]. "A simplified universal relation assumption and its properties," *ACM Trans. on database Systems* 7:3, pp. 343–360

Fagin, R., J. D. Ullman, and M. Y. Vardi [1983]. "On the semantics of updates in databases," *Proc. Second ACM Symposium on Principles of Database Systems*, pp. 352–365.

Graham, M. H., A. O. Mendelzon, and M. Y. Vardi [1983]. "Notions of dependency satisfaction," STAN–CS–979, Dept. of CS, Stanford Univ.

Graham, M. H. and M. Y. Vardi [1984]. "On the complexity and axiomatizability of consistent database states," *Proc. Third ACM Symposium on Principles of Database Systems*,

pp. 281–289.

Kanellakis, P. C., S. S. Cosmodakis, and M. Y. Vardi [1983]. "Unary inclusion dependencies have polynomial time inference problems," *Proc. Fifteenth Annual ACM Symposium on the Theory of Computing*, pp. 264–277.

Keller, A. M. and J. D. Ullman [1984]. "On complementary and independent mappings in databases," *ACM SIGMOD International Symposium on Management of Data*, pp. 148–148.

Korth, H. F. [1981]. "Locking protocols: general lock classes and deadlock freedom," Ph. D. thesis, Dept. of EECS, Princeton Univ.

Korth, H. F. [1982]. "Deadlock freedom using edge locks," *ACM Trans. on database Systems* 7:4, pp. 632–652

Korth, H. F., G. M. Kuper, J. Feigenbaum, A. van Gelder, and J. D. Ullman [1984]. System/U: a database system based on the universal relation assumption," *ACM Trans. on database Systems* 9:3, pp. 331–347

Kuper, G. M. [1984] "A new approach to database logic," Ph. D. thesis, Stanford Univ.

Kuper, G. M., J. D. Ullman, and M. Y. Vardi [1984]. "On the equivalence of logical databases," *Proc. Third ACM Symposium on Principles of Database Systems*, pp. 221–228.

Kuper, G. M. and M. Y. Vardi [1984]. "A new approach to database logic," *Proc. Third ACM Symposium on Principles of Database Systems*, pp. 86–96.

Maier, D., J. D. Ullman, and M. Y. Vardi [1984]. "On the foundations of the universal relation model," *ACM Trans. on database Systems* 9:2, pp. 283–308

Maier, D. and J. D. Ullman [1983]. "Maximal objects and the semantics of universal relation database systems," *ACM Trans. on database Systems* 8:1, pp. 1–14

Maier, D. and J. D. Ullman [1984]. "Connections in acyclic hypergraphs," *Theoretical Computer Science* 32:2, pp. 185–200.

Mairson, H. G. [1983a]. "The program complexity of searching a table," *Proc. Twenty-Fourth Annual IEEE Symposium on Foundations of Computer Science*, pp. 40–47.

Mairson, H. G. [1983b]. "The program complexity of searching a table," Ph. D. thesis, Dept. of CS, Stanford Univ.

Sagiv, Y. and J. D. Ullman [1984]. "Analysis of a top-down capture rule," STAN-CS-1009, Dept. of CS, Stanford Univ.

Ullman, J. D. [1982]. "The U. R. strikes back," *Proc. First ACM Symposium on Principles of Database Systems*, pp. 10–22.

Ullman, J. D. [1983a]. "On Kent's 'consequences of assuming a universal relation," *ACM Trans. on database Systems* 8:4, pp. 637–643

Ullman, J. D. [1983b]. "Universal relation interfaces for database systems," *Proc. 1983 IFIP Congress*, pp. 243–252, North Holland, Amsterdam.

Ullman, J. D. [1984]. "Implementation of logical query languages for databases," STAN–CS–1000,Dept. of CS, Stanford Univ.

Vardi, M. Y. [1982]. "On the decompostion of relational databases," *Proc. Twenty-Third Annual IEEE Symposium on Foundations of Computer Science*, pp. 176–187.

Wolper, P. L., M. Y. Vardi, and A. P. Sisla [1983]. "Reasoning about infinite computation paths," *Proc. Twenty-Fourth Annual IEEE Symposium on Foundations of Computer Science*, pp. 185–194.

2/1/85

END

FILMED

10-85

DTIC