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Serious leaks through the dam and along the interface between the dam and its foundation were roted. There was overall deterioration of the concrete and masonry sections. A void near the top of the masonry section provides evidence of past overtopping. Stability analyses performed for the structure indicate that under extreme loading conditions ice loading, ' PMF, PMF safety factors against both sliding and overturning are unacceptable (below 1.0).

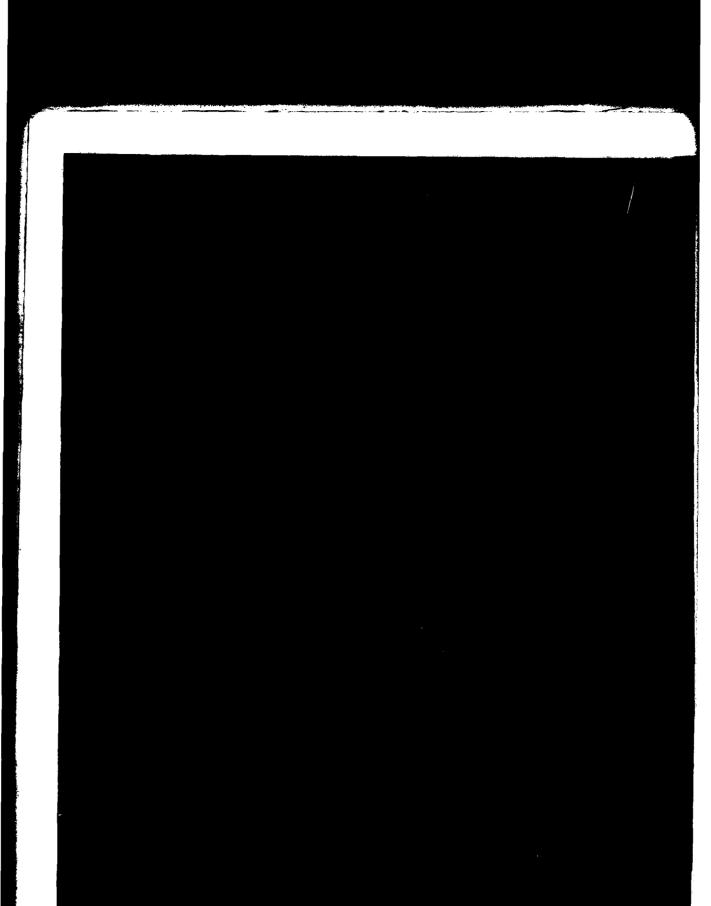
Using the Corps of Engineer's Screening Criteria for the initial review of the spillway adequacy, it has been determined that the structure would be overtopped for all storms exceeding 4% of the Probable Maximum Flood (PMF). Due to the condition of the structure, it is questionable as to whether it could withstand a substantial flow over the crest. Therefore, a flood-wave analysis was performed. This analysis indicates that in the event of a complete breaching of the dam water surface levels downstream could reach depths which pose significant danger to residents. The spillway is, therefore, adjudged as seriously inadequate.

Due to the serious nature of the deficiencies on this dam, it is recommended that as soon as possible, the water surface in the lake be lowered to a level at least 10 feet below the top of the dam. For the time, until this action is taken, a detailed emergency operation plan and warning system should be developed and around the clock surveillance should be provided during periods of unusually heavy precipitation.

Within 3 months of the notification of the owner, additional hydrologic/ hydraulic investigations should be commenced. These investigations should stempt to more accurately define the site specific characteristics of the watershed and determine appropriate mitigating measures to be taken in response to the seriously inadequate spillway capacity. Further investigation Into structural stability, including subsurface and structural explorations, should be commenced within the same time frame. An investigation into the "reatments required to repair the other deficiencies which exist on this structure should also be commenced within 3 months. Within 18 months of the ite of notification, appropriate remedial measures should be completed.

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# **DISCLAIMER NOTICE**

THIS DOCUMENT IS BEST QUALITY PRACTICABLE. THE COPY FURNISHED TO DTIC CONTAINED A SIGNIFICANT NUMBER OF PAGES WHICH DO NOT REPRODUCE LEGIBLY. This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines way be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

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#### PREFACE

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM RAINBOW LAKE DAM I.D. No. NY-18 UPPER HUDSON RIVER BASIN HAMILTON COUNTY, NEW YORK

## TABLE OF CONTENTS

PAGE NO.

-		Assessment	_
-		OVERVIEW PHOTOGRAPH	-
1		PROJECT INFORMATION	1
	1.1	GENERAL	1
	1.2	DESCRIPTION OF PROJECT	1
	1.3	PERTINENT DATA	2
2		ENGINEERING DATA	4
	2.1	GEOTECHNICAL DATA	4
	2.2	DESIGN RECORDS	4
	2.3	CONSTRUCTION RECORDS	4
	2.4	OPERATION RECORDS	4
	2.5	EVALUATION OF DATA	4
3		VISUAL INSPECTION	5
	3.1	FINDINGS	5
	3.2	EVALUATION OF OBSERVATIONS	6
4		OPERATION AND MAINTENANCE PROCEDURES	7
	4.1	PROCEDURE	7
	4.2	MAINTENANCE OF DAM	7
	4.3	WARNING SYSTEM IN EFFECT	7
	4.4	EVALUATION	7

.

PAGE NO.

5	HYDROLOGIC/HYDRAULIC	8
5.1	DRAINAGE AREA CHARACTERISTICS	8
5.2	ANALYSIS CRITERIA	8
5.3	SPILLWAY CAPACITY	8
5.4	RESERVOIR CAPACITY	8
5.5	FLOODS OF RECORD	8
5.6	OVERTOPPING POTENTIAL	8
5.7	EVALUATION	8
6	STRUCTURAL STABILITY	9
6.1	EVALUATION OF STRUCTURAL STABILITY	9
7	ASSESSMENT/RECOMMENDATIONS	11
7.1	ASSESSMENT	11
7.2	RECOMMENDED MEASURES	11

## APPENDIX

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- A. PHOTOGRAPHS
- B. VISUAL INSPECTION CHECKLIST
- C. HYDROLOGIC/HYDRAULIC ENGINEERING DATA AND COMPUTATIONS
- D. STABILITY COMPUTATIONS
- E. REFERENCES
- F. DRAWINGS

#### PHASE 1 REPORT

#### NATIONAL DAM SAFETY PROGRAM

Name of Dam:Rainbow Lake Dam I.D. No. NY 18State Located:New YorkCounty Located:HamiltonWatershed:Upper Hudson River BasinDate of Inspection:October 18, 1979

#### ASSESSMENT

Visual inspection of this dam and engineering analyses which have been performed revealed conditions which constitute a hazard to human life or property. As a result of these determinations the dam has been assessed as "unsafe, emergency".

Serious leaks through the dam and along the interface between the dam and its foundation were noted. There was overall deterioration of the concrete and masonry sections. A void near the top of the masonry section provides evidence of past overtopping. Stability analyses performed for the structure indicate that under extreme loading conditions ice loading,  $\frac{1}{2}$  PMF, PMF safety factors against both sliding and overturning are unacceptable (below 1.0).

Using the Corps of Engineer's Screening Criteria for the initial review of the spillway adequacy, it has been determined that the structure would be overtopped for all storms exceeding 4% of the Probable Maximum Flood (PMF). Due to the condition of the structure, it is questionable as to whether it could withstand a substantial flow over the crest. Therefore, a flood-wave analysis was performed. This analysis indicates that in the event of a complete breaching of the dam water surface levels downstream could reach depths which pose significant danger to residents. The spillway is, therefore, adjudged as seriously inadequate.

Due to the serious nature of the deficiencies on this dam, it is recommended that as soon as possible, the water surface in the lake be lowered to a level at least 10 feet below the top of the dam. For the time, until this action is taken, a detailed emergency operation plan and warning system should be developed and around the clock surveillance should be provided during periods of unusually heavy precipitation.

Within 3 months of the notification of the owner, additional hydrologic/ hydraulic investigations should be commenced. These investigations should attempt to more accurately define the site specific characteristics of the watershed and determine appropriate mitigating measures to be taken in response to the seriously inadequate spillway capacity. Further investigation into structural stability, including subsurface and structural explorations, should be commenced within the same time frame. An investigation into the treatments required to repair the other deficiencies which exist on this structure should also be commenced within 3 months. Within 18 months of the date of notification, appropriate remedial measures should be completed.

George Koch

George Koch Chief, Dam Safety Section New York State Department of Environmental Conservation NY License No. 45937

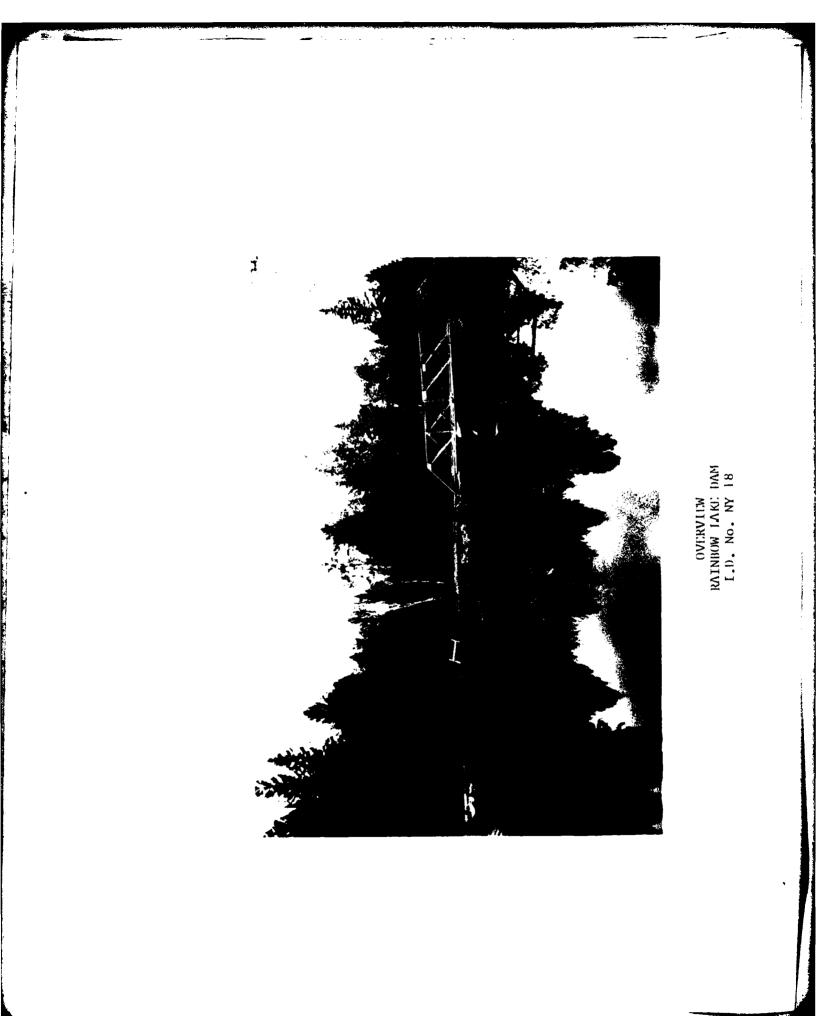
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Col. Clark H. Benn New York District Engineer

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Approved By:

Date:



PHASE 1 INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM RAINBOW LAKE DAM I.D. No. NY 18 #186-849 UPPER HUDSON RIVER BASIN HAMILTON COUNTY, NEW YORK

#### SECTION 1: PROJECT INFORMATION

#### 1.1 GENERAL

#### a. Authority

The Phase 1 inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.

#### b. Purpose of Inspection

This inspection was conducted to evaluate the existing conditions of the dam, to identify deficiencies and hazardous conditions, to determine if these deficiencies constitute hazards to life and property, and to recommend remedial measures where required.

#### 1.2 DESCRIPTION OF PROJECT

#### a. Description of Dam

The Rainbow Lake Dam is a masonry and concrete dam with the spillway located in the center of the structure, and a small bridge crossing over the spillway.

The dam is approximately 75 feet long and a maximum of 25 feet high. of the dam. The lower portion of the central section dam on either side of the spillway is composed of a mixture of concrete and cobbles. The upper portion of the dam is laid up stone with mortar in the joints between stones. The stones near the center of the structure are predominantly cobble sized while at either end of the dam larger stones are present.

The spillway is 19 feet wide. The crest of the spillway is approximately 4 feet below the top of the dam. The water flowing over the crest plunges 7 feet onto a 4 foot long inclined concrete section. At the end of the inclined section, there is another verticle drop of about 10 feet to the base of the dam.

The plans for the structure indicate that there is a reservoir drain in the center of the spillway section. This drain consists of a sluice gate 2 feet wide by 1.5 feet high. The existence of this drain could not be verified during the visual inspection. No apparent means of controlling the drain sluice gate was found.

A small corrugated metal pipe arch (13"x22") located on the southern end of the dam provides a small amount of additional spillway capacity. The invert of this pipe is approximately 1 foot below the crest of the dam. A steel truss bridge with a timber plank deck crosses over the spillway. There are concrete piers on either end of the spillway. Piers do not extend the full width of the bridge, so a stell frame has been constructed to support the downstream edge of the bridge. The concrete bridge abutments are approximately 5 feet beyond the piers and are a part of the main dam.

#### Location

This dam is located in the Town of Indian Lake on Wilderness Road, which is off County Route 4. It is just downstream of Wilderness Rainbow Lake Lodge. The dam is approximately 5 miles southeast of the village of Indian Lake.

#### Size Classification

c. Size Classification The dam is 25 feet high and the lake has a storage capacity of 97.4 acre-feet. Therefore, the dam is in the small size category as defined by the Recommended Guidelines for Safety Inspection of Dams.

#### d. Hazard Classification

The dam is classified as "high" hazard due to the presence of 10 to 15 houses and mobile home trailers along the banks downstream of the dam.

#### Ownership

The dam is owned by 208633 Holdings Ltd. of Hawley, Pennsylvania. The administrator for this company is Mr. Art Lemp, Mr. Lemp's address is Box 1000, Hemlock Farms, Hawley, Pennsylvania 18428 and his phone number is (717) 775-7393. The caretaker for the property is Mr. Jerry Campbell. His phone number is (518) 648-5151.

#### Purpose of Dam

The dam is used to maintain the water surface of Rainbow Lake for recreational purposes.

#### Design and Construction History

No information about the original construction of the dam was available. The dam was reconstructed in 1929 and except for minor repairs has remained essentially unchanged since that time.

#### h. Normal Operating Procedures

Water flows over an ungated spillway. There are provisions for up to 3 feet of stoplogs. These stoplogs are added during the summer months to raise the level of the lake.

#### 1.3 PERTINENT DATA

a. Drainage Area (acres)	5626
<u>b. Discharge at Dam</u> (cfs) Spillway at Top of Dam 13"x22" Pipe Arch at Top of Dam	370 3
<u>c. Elevation (plan datum)</u> Top of dam	117.5

	77/*2
Spillway Crest (With 6"x6" Timber)	114.2
Invert of 13"x22" Pipe Arch	116.5

Lake Surface Elevation-(USGS Datum) (USGS-Thirteenth Lake, NY Quad, 1954)	1680
<u>d. Reservoir - Surface Area</u> Top of Dam Spillway Crest	(acres) 26.6 14.7
<u>e. Storage Capacity</u> Top of Dam Spillway Crest	(acre-feet) 97.4 37.7
<u>f. Dam</u> Type: Concrete and Masonry Dam Length (ft.) Crest Elevation (Plan Datum) Crest Width (ft.)	75 117.5 12.4
<u>g. Spillway</u> Type: Uncontrolled concrete rectangular weir. to 3.3 feet of flashboards. Possibly se in place below flashboards Length (ft.)	

h. Reservoir Drain Type: Sluice gate 2 feet wide by 1.5 feet high Control: No apparent means of control

#### 2.1 GEOTECHNICAL DATA

#### a. Geology

The Rainbow Lake Dam is located in the Adirondack Highlands physiographic province of New York State. The original rock was sedimentary with large intrusions of igneous rocks (anorthosites, granites, gabbros). Much of this rock has been metamorphosed by heat, pressure, folding and faulting. Surface features of the rock reflects the effects of glaciation, A review of the "Brittle Structures Map of the State of New York" indicated that there are no faults in the immediate vicinity of the dam.

The surficial soils are the result of glaciations during the Cenozoic Era, the last of which was the Wisconsin glaciation.

#### b. Subsurface Investigations

No records of any subsurface investigations were available either from the original construction or the 1929 reconstruction. In the application for the reconstruction, it was stated that the dams would be founded on rock which contained no porous seams or fissures.

#### 2.2 DESIGN RECORDS

No records from the original construction of the dam were available. An application for the 1929 reconstruction provided certain design data concerning the structure. This design data included sketches of the structure, However, measurements made during the visual inspection did not agree with these sketches. A sketch of the field measurements has been included in Appendix F.

- 2.3 <u>CONSTRUCTION RECORDS</u> No construction records were available.
- 2.4 <u>OPERATION RECORDS</u> No operation records were available.

#### 2.5 EVALUATION OF DATA

Data available for the preparation of this report was very limited. The primary source of information was the Department of Environmental Conservation files. Due to the limited data available, certain assumptions had to be made concerning the structure. Within these limitations, the available data was adequate for the purpose of the Phase 1 inspection.

#### SECTION 3: VISUAL INSPECTION

#### 3.1 <u>FINDINGS</u>

#### . General

Visual inspection of the Rainbow Lake Dam was conducted on October 18, 1979. The weather was generally overcast with the temperature in the fifties. The water surface at the time of inspection was 3 feet below the crest of the dam. Water was flowing over the spillway at a depth of several inches.

#### b. Dam

The main dam can be considered to consist of three sections differentiated by the composition. There is a central section on either side of the spillway which will be discussed as lower and upper portions. The third section is the low masonry portions on either end of the dam.

The concrete on the lower portion of the central section was badly deteriorated. The surface was entensively worn with boulders and cobbles protruding from all exposed faces. Seepage was noted coming through this segment in several locations. In addition, there was extensive seepage along the interface between the concrete and the rock foundation.

The upper portion of the central section is laid-up stone with a small amount of mortar between stones. The stones appeared to be slightly larger than those in the lower section, but they were still predominantly cobble size. Mortar was missing in some of the joints between stones at the northern end of the spillway. The top layers of stones had been removed on the section beyond the southern end of the spillway, leaving a void under the bridge deck. The void is approximately 1 foot deep and 3 feet wide and extends from the downstream face to within 2 feet of the upstream face. As a temporary repair measure, the upstream portion of this void had been filled with sand bags, stone, plastic and some concrete. This had returned the crest at the face of this section to its previous elevation.

Due to the bedrock elevation, the height of the dam is less on either end than it is in the central section. This lower section on the southern end of the dam is deteriorated and leaking in several locations. Three areas of substantial leakage were noted along the base of the section. The low section on the northern end of the dam is composed of large boulders and random fill. No leaks were observed on this section.

#### c. Spillway

Visual inspection of the spillway was hindered by water flowing over the crest. There are provisions for up to 3 feet of stop logs above the spillway crest. At the time of the inspection, all these stop logs had been removed. It is possible that there are several timbers in place which raise the spillway crest. The flow over the spillway prevented inspection of these timbers. This dam had previously been inspected on October 4, 1979. Some of the stop logs had been in place at that time. Photographs from that inspection seem to indicate that the spillway is in satisfactory condition.

The small pipe arch at the southern end of the dam was in satisfactory condition. Water flowing over the spillway prevented any observation of the reservoir drain. Its existence could not be verified.

#### d. Downstream Channel

The channel immediately downstream of the dam was cut into bedrock and was in good condition. The stream then proceeded in a westerly direction in a meandering rock-filled channel.

<u>e. Reservoir</u> There were no signs of soil instability in the reservoir area.

#### f. Appurtenant Structures-Bridge

The steel truss bridge with the wood plank deck across the spillway is in good condition. The device for removing the stop logs, which is located on the top of the bridge, appears to be operable. The legs of the frame founded in the stream channel which supports the downstreamside of the bridge were bowed in the downstream direction. The abutments to the bridge are formed by the dam itself. The seepage and deterioration of the dam on either end of the spillway was previously discussed. Seepage has resulted in the downstream corner of the southern abutment being undermined.

#### 3.2 EVALUATION OF OBSERVATIONS

Visual observations revealed several serious deficiencies on this structure. The following items were noted.

(1) The overall deterioration of the concrete and masonry sections which compose the dam.

(2) The seepage through the dam and along the interface between the dam and bedrock.

- (3) The void at the top of the masonry section under the bridge deck.
- (4) The undermining of the corner of the southern bridge abutment.

#### SECTION 4: OPERATION AND MAINTENANCE PROCEDURES

- 4.1 <u>PROCEDURES</u> There are no formal operating procedures for this dam. Stop logs are removed in the fall to provide additional spillway capacity, They are replaced during the summer months to provide a higher lake level.
- 4.2 <u>MAINTENANCE OF DAM</u> No regular maintenance is performed on this structure.

#### 4.3 <u>WARNING SYSTEM IN EFFECT</u> No apparent warning system for evacuation of downstream residents is present.

#### 4.4 EVALUATION

The operation and maintenance procedures on this dam are unsatisfactory. The overall poor condition of the dam is evidence of the deficiency in maintenance procedures. The installation of flashboards decreases the spillway capacity which is rated as seriously inadequate even with no flashboards in place. This in turn increases the possibility of overtopping.

#### SECTION 5: HYDRAULIC/HYDROLOGIC

#### 5.1 DRAINAGE AREA CHARACTERISTICS

Delineation of the watershed draining into the reservoir pool area was made using the U.S.G.S. 15 minute quadrangles for Thirteenth Lake and Newcomb, NY. The 8.79 square mile drainage area consists primarily of wooded lands with several ponds located in the lower portion of the drainage area. Relief in the drainage area is relatively steep with slopes ranging from 10 to 36%. Mountain peaks occur at elevations from 500 to 1600 feet above the normal lake level.

#### 5.2 ANALYSIS CAPACITY

The analysis of the floodwater retarding capability of this dam was performed using the Corps of Engineers HEC-1 computer program, Dam Safety version. This program incorporates the Snyder Synthetic Unit Hydrograph" method and the "Modified Puls" flood routing procedure. The spillway design flood selected for analysis was the PMF in accordance with recommended guidelines for the U.S. Army Corps of Engineers.

5.3 SPILLWAY CAPACITY

The damhas an ungated rectangular spillway which provides the almost all of the available spillway capacity. A small corrugated metal pipe arch located on the southern end of the dam provides an insignificant amount of additional spillway capacity. The spillway operates under weir flow conditions and was analyzed as a sharp crested weir having a discharge coefficient (c) of 3.32.

5.4 RESERVOIR CAPACITY

Normal storage capacity of the reservoir between the spillway crest and the top of the dam is 60 acre feet which is equivalent to a runoff depth of 0.13 inches over the drainage area. Total storage capacity of the dam is 97.4 acre feet.

#### 5.5 FLOODS OF RECORD

No information was available regarding the occurence of the maximum known flood.

5.6 OVERTOPPING POTENTIAL

Analysis using the PMF and one-half the PMF indicates that the dam does not have sufficient spillway capacity. For a PMF peak outflow of 11,132 cfs, the dam would be overtopped to a computed depth of 6.30 feet. For the peak outflow from one-half the PMF, the depth of overtopping would be 3.81 feet. All storms exceeding 4% of the PMF will result in the dam being overtopped. The spillway only has sufficient capacity to discharge 373 cfs.

5.7 EVALUATION

Using the Corps of Engineers screening criteria for initial review of spillway adequacy, it has been determined that the dam would be overtopped by all storms exceeding 4% of the PMF. A flood wave analysis, assuming complete breaching of the dam, indicates that the water surface levels downstream of the dam could reach depths which pose a significant danger to residents.

The spillway capacity is, therefore, adjudged to be seriously inadequate.

#### SECTION 6: STRUCTURAL STABILITY

#### 6.1 EVALUATION OF STRUCTURAL STABILITY

#### a. Visual Observations

Visual observations revealed that the structure is in poor condition. Extensive deterioration and serious leaks were noted. The masonry near the top of dam was in poor condition and did not appear to be capable of withstanding severe or prolonged overtopping.

#### b. Data Review and Stability Evaluation

The primary source of structural information was two sheets prepared by H. Atkinson in 1929. These sheets contained sketches of the proposed reconstruction which was implemented in 1929.

Stability analyses were performed for both the main dam section and the spillway section. The following conditions were analyzed for each case:

a. Normal conditions with the reservoir level at the spillway crest;

b. Reservoir level at spillway crest with an ice load of 10,000 lb/ft;

c. One-half PMF; water flowing over the top of dam to a depth of 3.81 ft.

d. PMF; water flowing over the top of dam to a depth of 6.30 feet.

The analyses performed (See Appendix D) indicate that the factors of safety against overturning and sliding for each of the sections are as follows:

#### Main Dam Section

	<u>Factors</u> of S	<u>Safety</u>
Case	Overturning	Sliding
a. Reservoir level at spillway	crest, 1.58	1.16
b. Same as (a) plus an ice load	of	
10000 lb/ft	.43	.43
c. One-half PMF, water flowing	3.81	
feet over top of dam	1.15	.78
d. PMF, water flowing 6.30 fee	t over	
top of dam	.97	.64

#### Spillway Section

		Factors of Safe	ty
Cas		Overturning	Sliding
a.	Reservoir level at spillway		
	crest,	.80	.35
Ъ.	Same as (a) plus an ice load of 10,000 lb/ft	.15	.18
c.	One-half PMF; water flowing		
•	3.81 feet over top of dam	.67	.29
d.	PMF; water flowing 6.30 feet ov		
	top of dam	.60	.27

The stability analyses indicate that the stability of both sections of the structure is seriously deficient. The safety factors fall to critical levels under extreme loading conditions (ice load, ½ PMF, PMF).

Due to the lack of accurate data concerning the structure, the analyses were based on approximate dimensions. Field investigations are

required to obtain more information about the foundation bedrock and about the dimensions of the dam itself. This information should then be incorporated into a more detailed structural stability analysis for each of the sections of the dam. This analysis should be combined with studies of the other structural deficiencies noted on this structure. The studies should result in a coordinated design to correct the deficiency in the overall stability of the structure, as well as the localized defects (deteriorated concrete, leakage, etc.)

#### d. Seismic Stability

This dam is located in Seismic Zone 2. Due to the location, a seismic stability analysis was performed in accordance with Corps of Engineers guidelines. The seismic analysis was performed for normal conditions with the water level near the top of dam. For the main dam section the safety factor against overturning with seismic considerations included is 1.36 and against sliding is 1.16. For the spillway section, the safety factors against both overturning and sliding are below 1.0. These low safety factors further indicate that additional stability studies are required.

#### SECTION 7: ASSESSMENT/RECOMMENDATION

#### 7.1 ASSESSMENT

#### Safetv

The Phase 1 inspection of the Rainbow Lake Dam revealed that the dam is in poor condition with several serious deficiencies. Serious leaks and substantial deterioration of the structure were noted by the visual inspection. Engineering investigations indicated that the spillway capacity is seriously inadequate (unable to discharge outflow of 1/2 the PMF), and that the dam may be unstable under extreme loading conditions. For these reasons, this dam has been assessed as "unsafe".

#### Adequacy of Information

Information which was available concerning this structure was extremely limited. The 1929 sketches of the dam provided some information concerning the structure. However, the dimensions shown on these plans did not agree with measurements made during the visual inspection. Information about the lake was obtained from the 1971 plan of the area prepared by Edward C. Hess Associates, Inc. Due to the limited amount of information available, certain assumptions had to be made concerning both the dam and the reservoir.

## Need for Additional Investigations

c. Need for Additional investigations Since the spillway was assessed as seriously inadequate, additional hydrologic and hydraulic investigations are required to more accurately determine the site specific characteristics of the watershed.

Further invectigation of the structural stability of the dam is also required. These studies should include subsurface and structural investigations to obtain information about the condition of the structure and its foundation. This information should then be incorporated into a detailed stability evaluation.

d. Urgency

Due to the poor condition of this structure, immediate attention is required. Until the required repairs are made, the water level should be lowered to a level at least 10 feet below the top of the dam. If the reservoir drain is operational and capable of lowering the level of the lake to the required elevation, it may be used. If it is not operational, some other method of lowering the water surface must be devised.

The additional hydrologic and hydraulic investigations which are needed should be commenced within 3 months of the date of final approval of this report. Investigation of the structural stability of the dam should also be commenced within 3 months.

Mitigating measures deemed necessary as a result of the investigations and repairs required due to the overall deterioration should be completed within 1 year of the date of final approval of this report.

#### 7.2 RECOMMENDED MEASURES

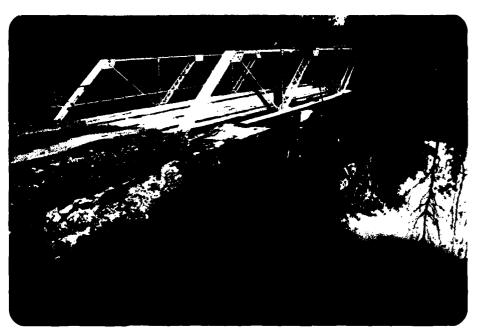
- a. Lower the water surface to 10 feet below the top of dam until required repairs and modifications are made.
- b. The serious leaks both in the dam and along the interface between

the dam and bedrock should be repaired.

- c. Additional necessary repairs dealing with the overall deterioration of the dam should be made.
- d. After completing the hydrological investigations, mitigating measures dealing with the seriously inadequate spillway capacity should be determined.
- e. After the structural stability analysis has been completed, appropriate remedial work should be undertaken.
- f. The void at the top of the masonry section under the bridge deck should be repaired.

APPENDIX A

PHOTOGRAPHS



Upstream Face - Note Void to Left of Spillway



Downstream Face on South End of Spillway - Note Void at Top and Deterioration on Lower Portion



Area on Upstream Face to Left of Spillway in Which Void Had Been Partially Filled



Upper Portion of Central Section at Northern End of Spillway - Note Deterioration of Concrete and Seepage at the Base.



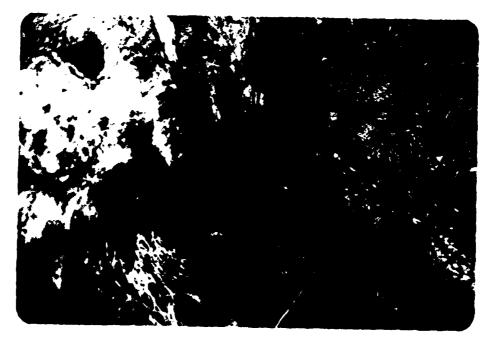
Southern End of Dam - Lower Portion of Central Section in Foreground -Also Steel Frame which Supports Bridge



Outlet of Small Pipe Arch at Southern End of Dam



Downstream Face of Low Masonry Portion to South of Spillway -Note Seepage Near Center of Picture



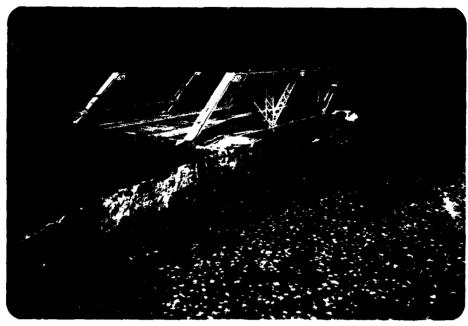
Close-up of Leak Shown in Picture Above



Upper Portion of Central Section to North of Spillway



Downstream Face of Northern Low Masonry Section



Dam and Spillway As It Appeared on 10/4/79 With Some Flashboards in Place



Downstream View of Spillway with Flashboards in Place on 10/4/79

## APPENDIX B

VISUAL INSPECTION CHECKLIST

1	General Name of Dam <u>RAINBOW LAKE DAM</u>		
1	Name of Dam <u>NAINBOW LAKE DAM</u>		
	Fed. I.D. # <u>N.Y. 18</u> DEC Dam No. <u>186-849</u> - <b>D.</b> .		
	River Basin UPPER HUDSON		
	Location: Town INDIAN LAKE County HAMILTON		
	Stream Name BIGBROOK		
	Tributary of		
	Latitude (N) <u>43°44.4'</u> Longitude (W) <u>74°13'</u>		
	Type of Dam MASONRY-LAID UP STONE WITH EARTH FILL FORMING CREST		
	Hazard Category		
	Date(s) of Inspection (0/18/79		
	Weather Conditions 50's PARTLY CLOUDY		
	Reservoir Level at Time of Inspection <u>3'BELow BRIDGE DECK</u>		
•	Inspection Personnel R. WARRENDER; W. LYNICH		
•			
•	Persons Contacted (Including Address & Phone No.)		
•			
-			
. •			
•			
	History:		
1	Date Constructed Date(s) Reconstructed		
	Designer		
(	Constructed By		
(	Owner		

	(1)	Erosion at Contact
(	(2)	Seepage Along Contact
		<u>System</u>
a. 1	Jesci	ription of System NonE
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- b. (		tion of System
-		
c. I	Discl	narge from Drainage System
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a.	Slopes SATISFACTORY		
Ъ.	Sedimentation NONE APPARENT		
с.	Unusual Conditions Which Affect Dam Swampy AREA WITH LAKE IN		
	CENTER-LAKE GOES BACK FOR A MILE OR TWO.		
Ar	Area Downstream of Dam		
a.	Downstream Hazard (No. of Homes, Highways, etc.) 10-15 Nomes NEAR		
	STREAM (ABOUT 5 OF THESE ARE HOUSE TRAILERS)		
b.	Seepage, Unusual Growth SEEPAGE EXTENSIVE THROUGH DAM		
c.			
đ.	MOVEMENT Condition of Downstream Channel <u>ROCK FILLED</u> MEANDERING CHANNEL BEYOND DAM		
	Condition of Downstream Channel ROCK FILLED MEANDERING		
<u>Sp</u>	Condition of Downstream Channel <u>Rock FILLED MEANDERING</u> CHANNEL BEYOND DAM illway(s) (Including Discharge Conveyance Channel)		
<u>Sp</u>	Condition of Downstream Channel <u>ROCK FILLED</u> , MEANDERING CHANNEL BEYOND DAM		
<u>Sp</u> a.	Condition of Downstream Channel <u>ROCK FILLED</u> <u>MEANDERING</u> <u>CHANNEL BEYOND DAM</u> <u>illway(s) (Including Discharge Conveyance Channel)</u> General <u>CENTRAL SPILLWAY CHANNEL</u> <u>1</u> , <u>3" × 22"</u> <u>PIPE ARCH</u> <u>ON SOUTH SEE END - OUTLETS ON BEDROCK SLOPE</u>		
<u>Sp</u> a.	Condition of Downstream Channel <u>ROCK FILLED</u> <u>MEANDERING</u> <u>CHANNEL BEYOND DAM</u> <u>illway(s) (Including Discharge Conveyance Channel)</u> General <u>CENTRAL SPILLWAY CHANNEL</u> , 1,3" × 22" PIPE ARCH		
<u>Sp</u> a.	Condition of Downstream Channel <u>ROCK FILLED</u> <u>MEANDERING</u> <u>CHANNEL BEYOND DAM</u> <u>illway(s) (Including Discharge Conveyance Channel)</u> General <u>CENTRAL SPILLWAY CHANNEL</u> <u>Lad'x ZZ' PIPE ARCH</u> <u>ON SOUTH SEE END - OUTLETS ON BEDROCK SLOPE</u> <u>Condition of Service Spillway - CHANNEL - PROVISIONS For UP 7</u>		

!.	Condition of Auxiliary Spillway	SMALL PIPE ARCH - SATISFACTORY			
	CONDITION - INVERT ABOUT 1' BELOW BOAM CREST				
		· · · · · · · · · · · · · · · · · · ·			
		Channel Good BEAROCK			
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	FOR A UISTANCE THEN	BOULDER FILLED & MEANDERIN			
	<u></u>	· · · · · · · · · · · · · · · · · ·			
les	ervoir Drain/Outlet - DRAIN WAS IN	DICATED ON PLANS BUT COULD NOT ED DUE TO WATER FLOWING IN SPILL			
	Type: Pipe Conduit	Other			
	Material: Concrete Met	al Other			
		ngth			
		Exit			
	Physical Condition (Describe):	Unobservable			
	Material:				
		Alignment			
	Structural Integrity:				
	Hydraulic Capability:				
	NONE OBSERVE	N			
	Means of Control: Gate	Valve Uncontrolled			
	Operation: Operable	Inoperable Other			
	Present Condition (Describe):	EVEN LE THE DRAIN DOES EXIST			

- 9) <u>Structural</u>
  - a. CONCRETE SURFACES SPALLING-DETERIORATED-SERIOUS LEAKAGE LOWER PART IS CONCRETE TYPE CONSTRUCTION - UTTER BARE SUBSTANTIAL DETERIORATION UPPER PART IS LAID UP STONE & MORTAR-MUCH OF MORTAR IS MISSING & STONES ARE SITTING IN PLACE
  - b. Structural Cracking <u>Some NOTED MUCH CONCRETE</u> HAS BEEN <u>ERODED ON LOWER PART OF DAM NEAR SPILLWAY - REMAINING MASON</u>RYE <u>CONCRETE SEEMS</u> TO BE T
  - c. Movement Horizontal & Vertical Alignment (Settlement) No MOVEMENT BEDROCH FOUNDATION
  - d. Junctions with Abutments or Embankments <u>ABUTMENTS GENERALLY</u> OKAY
  - e. Drains Foundation, Joint, Face None
  - F. Water Passages, Conduits, Sluices <u>SPILLWAY IN CENTER-SATISFAC</u>TORY <u>FROM WHAT CAN BE SEEN. WATER FLOWING OVER HINDERED</u> <u>THE INSPECTION.</u>

g. Seepage or Leakage SUBSTANTIAL LEAKAGE - SOME COMING THROUGH THE DAM ITSELF - MOSTLY ALONG THE DAM-FOUNDATION INTERFACE 3 MAJOR LEAKS ON SOUTH SIDE AT 6,14' \$ 18' FROM EDGE OF SPILLWAY CHANNEL. ANOTHER MAJOR LEAK AT INTERFACE AT NORTHERN END OF SPILLWAY 1

	Joints - Construction, etc. JOINTS BETWEEN DIFFERENT TYPES OF
	CONSTRUCTION APPEARED OKAY
	Foundation LEDGE ROCK- SEEPAGE ALONG INTERFACE WITH DAM
•	
	Abutments
•	
•	Control Gates <u>Now</u> E
	Approach & Outlet Channels $DUT = T OTAY - EXCEPT FOR SUCCHT UNAFRO$
	Approach & Outlet Channels <u>DUTLET OMAY - EXCEPT</u> For SLIGHT UNDERG OF DAM AT SOUTH D.S. CORNER OF SPILLWAY
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	OF DAM AT SOUTH D.S. CORNER OF SPILLWAY Energy Dissipators (Plunge Pool, etc.) <u>NATURAL BEDROCK POOL</u>
	OF DAM AT SOUTH D.S. CORNER OF SPILLWAY Energy Dissipators (Plunge Pool, etc.) <u>NATURAL BEDROCK POOL</u> Intake Structures <u>None</u> Stability <u>QuéSTIONABLE - NO</u> SIGNS OF OVERALL MOVEMENT

.

8

10) Appurtemant Structures (Power House, Look, Southeuse, Other)

a. Description and Condition <u>BRIDGE CROSSES SPILLWAY-</u> <u>STEEL TRUSS BRIDGE WITH WOOD</u> <u>DECPLANK DECK.</u> <u>Two CHANNELS WHICH SUPPORT DOWNSTREAM PORTION</u> <u>OF BRIDGE ARE BOWED OUTWARD SLIGHTLY.</u> <u>BRIDGE IN SATISFACTORY CONDITION, BUT ABUTMENTS</u> <u>ÉPIERS WHICH ARE FORMED BY THE DAM ITSELF</u> <u>ARE RATHER DETERIORATED.</u> <u>DEVICE ON TOP OF BRIDGE CAN BE USED FOR</u> <u>REMOVING STOP LOGS</u>

# APPENDIX C

# HYDROLOGIC/HYDRAULIC ENGINEERING DATA AND COMPUTATIONS

#### CHECK LIST FOR DAMS HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

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AREA-CAPACITY DATA:

۰.

		Elevation (ft.)	Surface Area (acres)	Storage Capacity (acre-ft.)
1)	Top of Dam	117.5	26.6	142.5
2)	Design High Water (Max. Design Pool)			
3)	Auniliery Spillway Crest	114.2		62.7
4)	Pool Level with Flashboards		······	
5)	Service Spillway Crest	<b>***</b>		· ·

#### . DISCHARGES

		Volume (cfs)
1)	Average Daily	·
2)	Spillway @ Maximum High Water	370
	Spillway @ Design High Water Pipe Arch & Max High Water Spillway @ Auxiliary Spillway Great Elevation	3
5)	Low Level Outlet	
6)	Total (of all facilities) @ Maximum High Water	373
7)	Maximum Known Flood	

CREST:		ELEVATION: <u>117,5</u>
Type: MASONRY AND E	TARTH	
Vidth: 12.4 ft	Length:	75 ft.
Spillover <u>CONCRETE</u>	CHANNEL	
Location CENTER OF		
SPILLWAY:		
PRINCIPAL	•	EMERGENCY
	Elevation	
CONCRETE - VERTICAL DROP		
19ft	Width	
Ţyr	e of Control	
ľu	Incontrolled	
	Controlled:	
FLASHBOARNS - UP TO 3 FT. (Flash	Type boards; gate)	· •
UP TO 3 FT	Number	
S	ize/Length	

2

Invert Material Anticipated Length of operating service

\_\_\_\_ Chute Length \_\_\_\_\_ \_ Height Between Spillway Crest & Approach Channel Invert

(Weir Flow)

OUTLET STRUCTURES/EMERGENCY DRAWDOWN FACILITIES: - ACCORDING TO PLANS

3

Type: Gate Sluice Conduit	Penstock	
Shape : <u>RECTANGOLAR</u>		
size: Zft x 1.5ft		•
Elevations: Entrance Invert UNKNOWN		;
Exit Invert UNKNOWN		
Tailrace Channel: Elevation		
HYDROMETEROLOGICAL GAGES: Type : None		
Location:		
Records:		
Date		
Max. Reading		
FLOOD WATER CONTROL SYSTEM: .		
Warning System: NONE		
Method of Controlled Releases (mechanisms):		

NONE EXCEPT FOR REMOVAL OF FLASH BOARDS

11205	BASIN RUNOFF CHARACTERISTICS:
	Use - Type: Forester
	in - Relief: Steep
	ce - Soil: RELATIVELY PERMEABLE
	f Potential (existing or planned extensive alterations to existing (surface or subsurface conditions)
	None
Poten	tial Sedimentation problem areas (natural or man-made; present or fu <u>Possible Substantial</u> SEDIMENTATION IN
	RESERVOIR NEAR DAM
Poten	tial Backwater problem areas for levels at maximum storage capacity including surcharge storage:
Poten	
Poten	including surcharge storage:
Poten	including surcharge storage:
	including surcharge storage:
	<pre>including surcharge storage:</pre>
	<pre>including surcharge storage:</pre>
Dikes	Including surcharge storage: NoNE - Floodwalls (overflow & non-overflow ) - Low reaches along the Reservoir perimeter: Location: NoNE Elevation:
	Including surcharge storage: NoNE - Floodwalls (overflow & non-overflow ) - Low reaches along the Reservoir perimeter: Location: NoNE Elevation:

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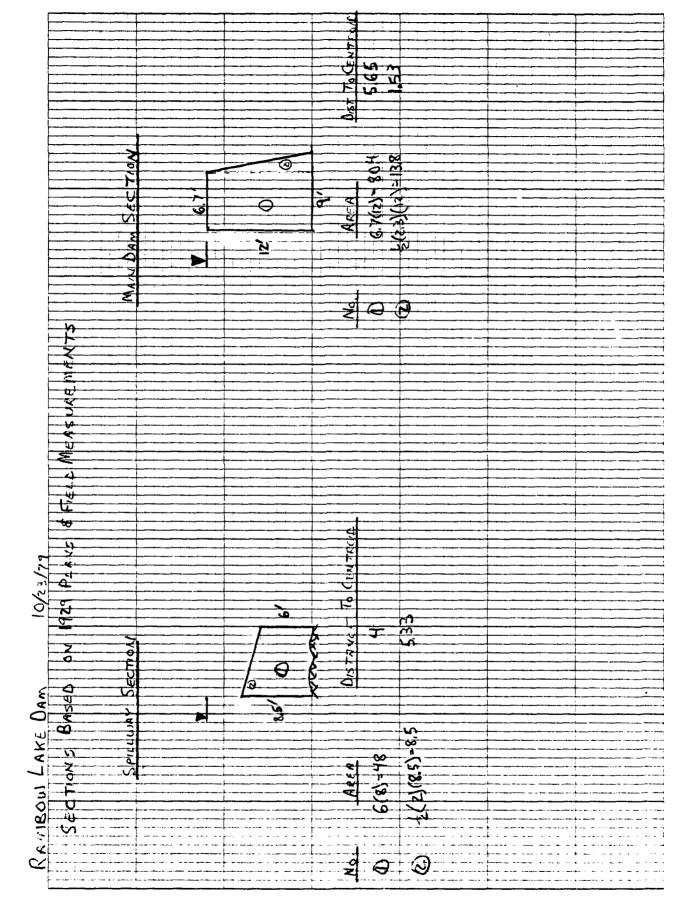
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APPENDIX D

تفاقم أشتعهم فالكلا فبليد المراجع يتباري

STABILITY COMPUTATIONS



46 0700

HIN KEUFFEL & ESSER CO MAIN IN USA

## INPUT TO STABILITY ANALYSIS PROGRAM

# INPUT ENTRY

### PROGRAM No.

Unit Weight of Dam (K/ft <sup>3</sup> )	0
Area of Segment No. 1 ( $ft^2$ )	1
Distance from Center of Gravity of Segment No. 1 to Downstream Toe (ft)	2
Area of Segment No. 2 ( $ft^2$ )	3
Distance from Center of Gravity of Segment No. 2 to Downstream Toe (ft)	4
Area of Segment No. 3 $(ft^2)$	5
Distance from Center of Gravity of Segment No. 3 to Downstream Tow (ft)	6
Base Width of Dam (Total) (ft)	7
Height of Dam (ft)	8
Ice Loading (K/L ft.)	9
Coefficient of Sliding	10
Unit Weight of Soil (K/ft <sup>3</sup> )	11
Active Soil Coefficient - Ka	12
<b>Passive</b> Soil Coefficient - Kp	13
Height of Water over Top of Dam or Spillway (ft)	14
Height of Soil for Active Pressure (ft)	15
Height of Soil for Passive Pressure (ft)	16
Height of Water in Tailrace Channel (ft)	17
Weight of Water (K/ft <sup>3</sup> )	18
Area of Segment No. 4 (ft <sup>2</sup> )	19
Distance from Center of Gravity of Segment No. 4 to Downstream Toe (ft)	20
Height of Ice Load or Active Water (ft)	46

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APPENDIX E REFERENCES

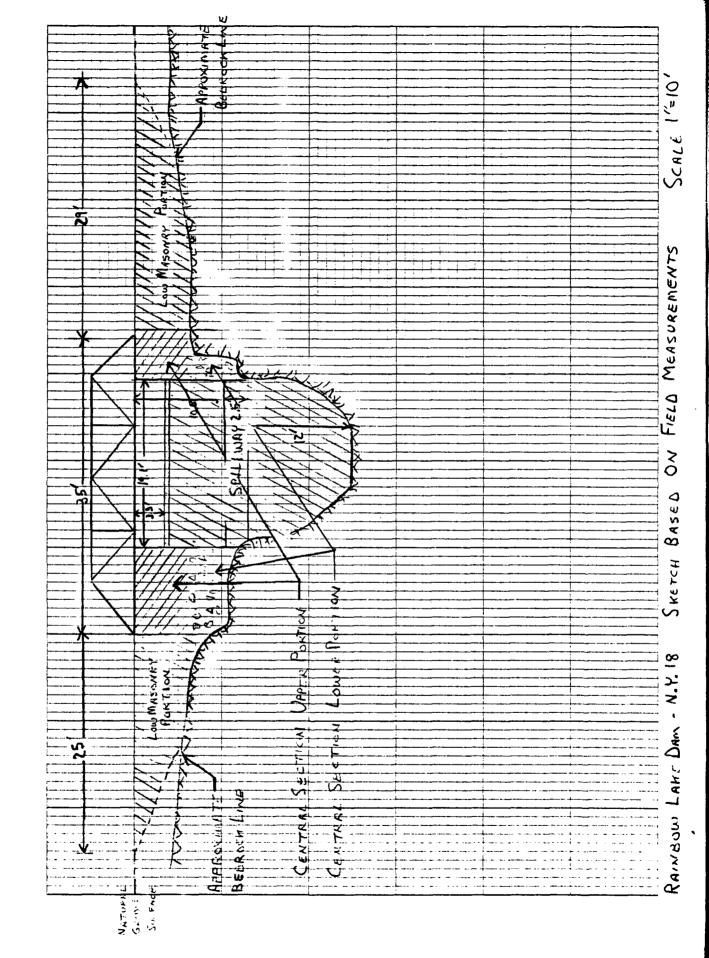
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### APPENDIX E

#### REFERENCES

- 1) U.S. Department of Commerce, Technical Paper No. 40, Rainfall Frequency Atlas of the United States, May 1961.
- 2) H.W. King and E.F. Brater, <u>Handbook of Hydraulics</u>, 5th edition, McGraw-Hill, 1963.
- 3) University of the State of New York, <u>Geology of New York</u>, Education Leaflet 20, Reprinted 1973.
- 4) Elwyn E. Seelye, <u>Design</u>, 3rd edition, John Wiley and Sons, Inc., 1960
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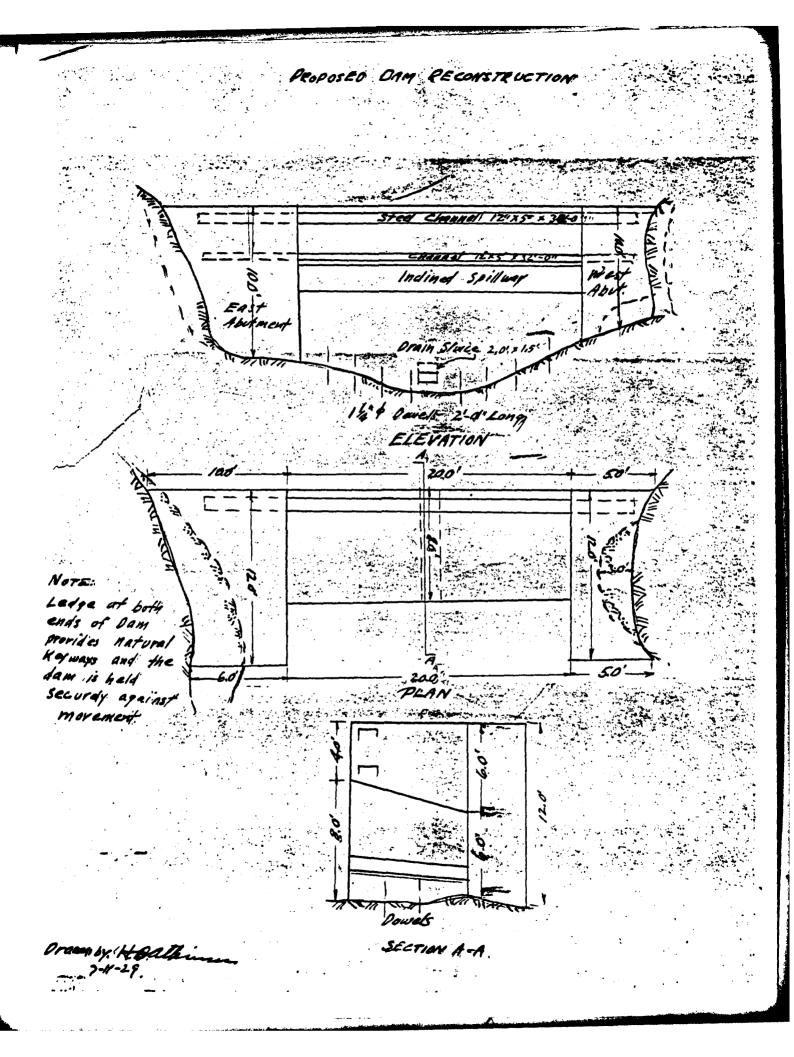
APPENDIX F

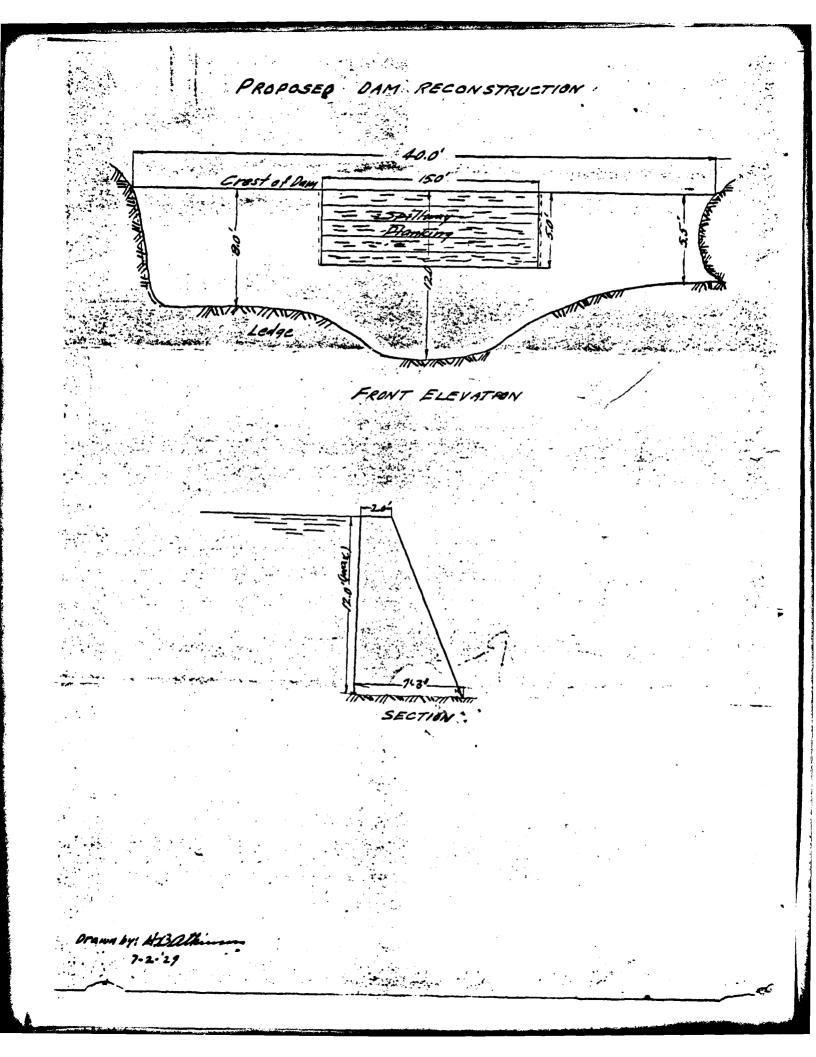


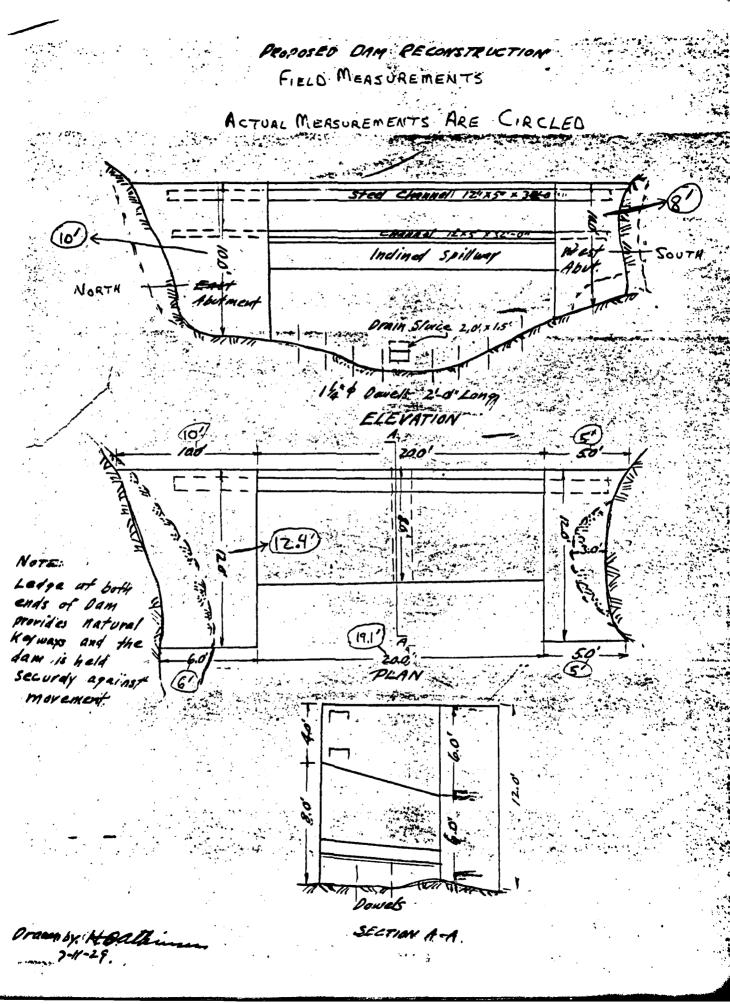
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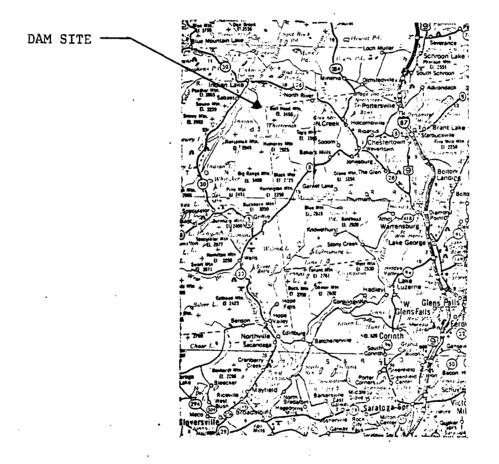
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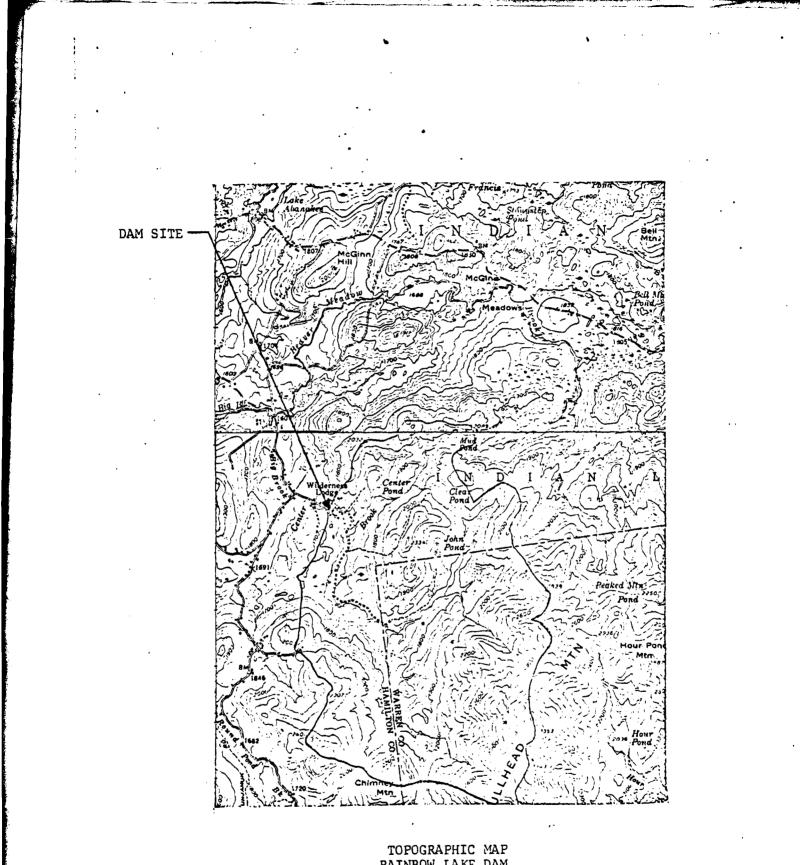






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