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#### PREFACE

This final report documents work conducted under Task Number 2 of Contract Number DOT-CG-72074-A from January 17, 1978 to January 31, 1979. The work was performed at Clemson University under the auspices of the U. S. Coast Guard, with LTjg Steven F. Wiker serving as program technical monitor. The principal investigators were Dr. R. Michael Harnett and Manohar G. Bijlani.

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#### THE INVOLVEMENT OF COLD WATER

IN RECREATIONAL BOATING ACCIDENTS

FOR

UNITED STATES COAST GUARD

U. S. Coast Guard Headquarters

Contract No. DOT-CG-72074-A

Task Number 2

From

Clemson University

by

R. M. Harnett, Ph.D. and M. G. Bijlani

April 30, 1979

#### 1.0 INTRODUCTION

1.1 General Background

It is well known that the cold aspect of cold-water immersion may be fatal. There are at least three mechanisms by which the cold can produce fatalities. First, it has been suggested by Welch (1) that sudden, wholebody immersion in cold water may precipitate a lethal stimulation of two conflicting reflexes -- the "torso reflex" and the the "dive reflex". The torso reflex is stimulated by cold water coming in contact with the torso. When stimulated alone it produces an elevation in heart rate and potentially uncontrollable gasping respiration. It was observed by Keatinge, et al., (8) who cited large increases in blood pressure and pulse rate and hyperventilation during cold showers (0-2.5°C) over the chest. The dive reflex is stimulated by cold water on the face and produces a depression in both heart and respiration rates. Nemiroff, et al., (9), summarized in (10), cite the retarding effects of the dive reflex upon heart activity as a partial explanation of survival of cold-water submersions lasting several minutes. When these two reflexes are stimulated simultaneously the result is uncertain and probably varies from individual to individual. Simultaneous stimulation may interfere with normal cardiopulmonary activities sufficiently to produce a fatality through what is often called "sudden drowning syndrome". In this report the term "sudden death" shall be used.

Second, if the victim survives the initial immersion, the debilitating effects of cold exposure may soon render him unable to save himself. In the absence of flotation assistance, this cold-induced debilitation could precipitate a drowning that would not have occurred in warm water or if the victim had been adequately protected. Cold debilitation may be either physical or mental. The physical debilitation is typified by reduced grip strength and results largely from the surface cooling of musculature. Mental debilitation is characterized by clouded consciousness and impaired cerebration, is associated with the cooling of deeper tissues and may result from the cold-induced production of histamine-like substances (7).

A third potentially-lethal mechanism associated with immersion in cold water is hypothermia -- loss of heat from the body core. Table I summarizes a variety of publications correlating various cold-related symptoms to their associated levels of core cooling and indicates "severe hypothermia" at all rectal temperatures below 33°C. It is not a trivial matter to establish levels of hypothermia which would be lethal. As indicated in (5), some evidence has been found that the only <u>definitive</u> criterion for lethal hypothermia is the failure of the victim to respond to resuscitation attempts. Notwithstanding the variation in the temperature at which it occurs, lethal hypothermia must be regarded as eminent at rectal temperatures below 26°C. As indicated in Table I, a victim would normally experience physical and mental debilitation before hypothermia progresses to lethal levels. Survival beyond the onset of the debilitation would normally require flotation assistance.

The distinction between these three lethal mechanisms may be important from an avoidance point of view. Equipment which could protect an individual from sudden death might be of little value in hypothermia protection. Similarly, equipment which might give good protection against hypothermia might not protect the limbs well enough to preserve the capability to perform life-sustaining selfaid actions.

From the point of view of an accident investigation, it may be very

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### TABLE I LEVELS OF HYPOTHERMIA

°F		
99.6	37.6	"Normal" Rectal Temperature
96.8	36 .	Increased Metabolic Rate in attempt to balance heat loss
95.0	35	Shivering maximum at this temperature
93.2	34	Patients usually responsive and normal blood pressure
91.4	33	SEVERE HYPOTHERMIA BELOW THIS TEMPERATURE
89.6	32	Consciousness clouded Pupils dilated
87.8	31	Blood Pressure difficult to obtain shivering ceases
86	30]	Progressive loss of consciousness
85.2	29	Slow pulse and respiration
82.4	28	Ventricular fibrillation may develop if heart irritated
80.6	27	Voluntary motion lost along with pupillary light reflex, deep tendon and skin reflexes - appear dead
78.8	26	Victims seldom conscious
77.0	25	Ventricular fibrillation may appear spontaneously
75.2	24	Pulmonary Edema develops
73.4	23	
71.6	22	Maximum risk of fibrillation
69.8	21	
68.0	20	Heart Standstill
66.2	19	
64.4	18	Lowest Accidental Hypothermic patient with recovery
62.6	17	ISO-ELECTRIC EEG
48.2	9	Lowest Artificially Cooled Hypothermic patient with recovery

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To assure that all relevant available data was reviewed in performing this task, a number of attempts were made to identify institutional sources for data and analyses relevant to the objectives of this task. Included in these attempts were the following:

- 1. A check of the National Safety Council's Accident Facts
- 2. Contacting the National Center for Health Statistics
- Contacting the 25 largest life insurance companies in the U. S. (criterion for size was amount of life insurance in force)
- 4. Contacting the American Council of Life Insurance (ACLI)
- 5. A check made by the ACLI of <u>Medical Risks</u>: <u>Patterns of</u> <u>Mortality and Survival</u> which is jointly sponsored by the Association of Life Insurance Medical Directors and the Society of Actuaries
- 6. Contacting the Commission on Professional and Hospital Activities
- 7. Contacting the Health Insurance Association of America

The result of these checks was the finding that in none of the respective data bases was there a classification of hypothermia as a cause of death. Specific responses from the insurance companies ranged from, "We never see the diagnosis of 'hypothermia'," to "While hypothermia is listed in the International Classification of Diseases, the ultimate death code falls under the miscellaneous category of 'ill-defined and unknown causes'." Of course the problem is not so much that hypothermia is ill-defined as it is that hypothermia is difficult to specifically diagnose. No method is presently known which will determine whether a reduction in body temperature took place before and was the cause of death, or whether the reduction occurred after the victim died of other causes.

After unsuccessfully making the attempts listed above to obtain additional information, the scope of Task 2 reduced, largely, to an analysis of the data contained in the BAR's archived at U. S. Coast Guard Headquarters. The exception is an attempt to collect certain <u>specific</u> items of data determined to be particularly useful. This collection of supplemental data is discussed in Section 4.2 of this report.

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#### 2.0 RELEVANT DATA AND ANALYSIS

This chapter characterizes the information available in the file of BAR's archived at U. S. Coast Guard Headquarters and summarizes previous analysis aimed at immersion hypothermia.

#### 2.1 Boating Accident Reports

The Federal Boat Safety Act of 1971 requires that the operator of a boat involved in an accident which resulted in either a fatality, an injury, or property damage in excess of \$100, file a report. The Coast Guard-approved form for reporting boating accidents is form CG-3865 (Rev. 5-75), a copy of which is in Appendix A. The various states are permitted to include additional reporting requirements if they so choose. The general types of information which are contained in a complete BAR are the following:

- 1. Information on the operator of the boat
- 2. Description of the boat
- 3. Time and place of accident
- 4. Environmental conditions prevailing at the time of the accident
- 5. General flotation aid information
- Name, address, age, swimming skills and cause of death (drowning, disappearance or other) for each fatality, and
- 7. Brief narrative description of accident

The BAR's for accidents involving fatalities are often accompanied by additional information of the following types:

- 1. Detailed narrative reports of investigating officers
- 2. Statements of survivors and/or witnesses
- 3. Death certificates
- 4. Autopsy reports

BAR's are sometimes submitted with no back-up information and/or incompletely filled out. Some fatal boating accidents are not reported at all. These unreported fatalities are sometimes detected through newspaper articles describing the accident to some level of detail. Copies of these clippings are available in the accident report files at Coast Guard Headquarters. Several data elements on the BAR are of particular relevance to a determination of the possible causal involvement of immersion hypothermia. They are:

- I. Water temperature
- 2. Water conditions (weather)
- 3. The victim's swimming ability
- 4. The victim's usage of flotation aids

In addition air temperature could have some influence on the occurrence of hypothermia; but its contribution in affecting the onset of immersion hypothermia would normally be dwarfed by that of the water.

In addition to the file of reports, a computer information system at Coast Guard Headquarters contains coded summaries of the BAR's. This coded data serves as the basis for the Coast Guard's annual publication of <u>Boating</u> <u>Statistics (CG-357)</u>. This computer data base has a category of information called "Accident Descriptors". A list of 45 coded descriptors is available. The individual coding the accident may choose up to 3 of the 45 descriptors as is appropriate. One of the descriptors (Number 7) is, "exposure, shock, hypothermia".

The complete reports for certain years are also available on microfiche.

#### 2.2 The Accident Recovery Model

The Accident Recovery Model (ARM) was developed to support the evaluation of the role of personal flotation devices (PFD's) in saving lives and the effectiveness of proposed regulatory and educational programs. ARM was constructed to be representative of reported recreational boating accidents in an "average" year and is based on a sample of 1,513 boating accident victims whose histories were drawn from the Coast Guard collection of BAR's for 1969 through 1977. The greatest sampling was from 1975 (47.5%), 1973 (14.5%) and 1970 (10.9%). The least sampling was from 1974 (0.8%).

Among other subjects of analysis, Reference 2 addresses a quantification of the frequency of hypothermia-related fatalities. The determination was based on the distributions of "time in the water" and water temperatures among fatal accidents. This data from ARM was combined with survival-time data for heavily clothed people by Hayward, et al., (3). Several interesting observations are made in (2).

- I. "water temperatures are unknown for almost 56% of the victims that wind up in the water."
- "time in the water is unknown for nearly 62% of the victims who enter the water."
- "between 26 and 202 boating deaths per year are influenced by hypothermia."
- 4. "nearly three-fourths of the fatalities, for whom time in the water is known, occur in the first 15 minutes."

It should be noted that items I and 2 are expressed as percentages of boating accident victims who enter the water, not as percentages of boating accident fatalities. It would be expected that time in the water would be unknown for an even larger percentage if only fatalities are considered. It should also be noted that the total time a deceased victim was in the water is not the correct basis for determining the onset of hypothermia. Only the amount of time in the water prior to death is relevant. For example, an individual could die of asphyxiation in cold water and subsequently cool sufficiently to give the appearance of profound hypothermia. Only "time alive in the water" is relevant in determining the potential involvement of hypothermia.

The range of uncertainty in the number of hypothermia-related fatalities (item 3) results from the incomplete data referred to in items I and 2 above. Based on an estimated 1,467 annual boating fatalities, this range of uncertainty represents from 1.8% to 13.8% of boating fatalities. The fourth observation is significant in that it indicates that hypothermia could not have occurred in the group of fatalities mentioned but that sudden drowning syndrome can not be ruled out.

The ARM-based hypothermia analysis (2) exhibits specific deficiencies with respect to the objectives of the present effort.

- while a range of hypothermia-related boating fatalities is given from 1.8% to 13.8% of all boating fatalities, no point estimate of this percentage is given
- time other than time alive in the water may have been used in determining the onset of hypothermia
- 3. no efforts were made to resolve the fundamental problem lack of water temperatures and time alive in the water data

4. the 26 fatalities determined to be hypothermia-related do not constitute an adequate basis for a demographic analysis of high-risk populations

These deficiencies arise primarily because ARM was concerned with all types of boating accidents not just fatalities. Of the 1,513 individual's accident circumstances reviewed and included in the ARM sample, only 277 were fatalities.

#### 2.3 Preliminary Conclusions

Based on a review of the nature of the data available for analysis (BAR's and backup reports) and the previous analysis directed toward hypothermia assessments (ARM analysis) several preliminary conclusions were drawn concerning the most appropriate directions for the present effort.

- the analysis should be based on an intensive review of boating fatalities
- specific fatalities involving hypothermia as a causative factor should be identified because of the detailed data needed to support the demographic characterization of high-risk populations
- 3. the computer coding of the BAR's should not be used as the sole data source because of the additional information available in the narrative on the BAR's and in the materials attached to them
- 4. the analysis should be based on the records for a year which were available in their entirety on microfiche because of the volume of BAR's and supporting material to be reviewed. The most recent such year (1974) was chosen
- 5. as had been anticipated earlier, attempts to obtain supplemental information would be needed and reasonable attempts should be made (discussed in Chapter 4)
- 6. a systematic schema (discussed in Chapter 3) is needed, for classifying fatalities regarding the involvement of hypothermia as a causative factor, which makes maximum use of available and obtainable data.

Conclusions 2 and 3 are based upon the notion that a better result will be obtained by a thorough review and systematic interpretation of the basic data, than by an analysis of accident patterns based largely upon assumptions. Conclusion 4 is based upon the realization that the BAR's and all associated supplemental reports must be available to the research team on a daily basis and that paper-copy duplicates of this material represents a prohibitive volume of paper. By basing the analysis on one year's data, the only question to be considered in using the results is the representativeness of the year chosen.

There was nothing outstanding about the weather in 1974 as there was in 1976 and 1977. Based on data presented in <u>Boating Statistics 1976</u> (CG-357), the average number of annual boating fatalities in the 10 years from 1967 through 1976 was approximately 1437. The 1446 fatalities cited in CG-357 for 1974 makes it very representative of this period. The same could not be said for 1971 (with 1582 fatalities), 1973 (with 1754 fatalities) or 1976 (with 1264 fatalities). Additionally, the continual improvement in the completeness and quality of reporting of boating accidents was felt to support the selection of the most recent representative year to serve as the basis for analysis.

#### 3.0 METHOD FOR CLASSIFICATION OF ACCIDENTS

This chapter describes the process by which the reports concerning 1974 boating fatalities were reviewed and analyzed leading to the classification of the fatalities regarding the involvement of sudden death, debilitation death and hypothermia.

#### 3.1 Classification System

The classification system which was used for summarizing the findings of reviewing the BAR's represents an attempt to distinguish between those fatalities which were cold-related and those which were not; but it also represents an attempt to distinguish between occurrences of the three coldrelated, lethal mechanisms discussed in Chapter 1.0. The classifications employed are as follows:

- I. Fatality involves sudden death
- 2. Fatality involves debilitation death
- 3. Fatality involves hypothermia
- 4. Fatality involves debilitation death or hypothermia
- 5. Report indeterminate
- 6. Fatality not cold-related
- 7. Report missing

It is important to keep in mind that, even though the report is being addressed in two of these classifications, the individual fatalities are being classified and not the accidents. The term "indeterminate" is used to classify a fatality which is generally well reported but which can not be resolved regarding hypothermia involvement. The term "missing" is applied to reports which could not be found in the 1974 microfiche even though the computer file contains the case numbers.

Fatalities which are reported sufficiently well to be classified are assigned one of the first 6 classifications. Classifications I through 4 are assigned to fatalities which are definitely cold-related. Classification 5 indicates that available data does not indicate conclusively whether or not cold played a causal role in the fatality. Classification 6 indicates that we have sufficient evidence to conclude that the fatality was not cold related. This general trichotomization of the classifiable fatalities regarding the causal involvement of cold exposure is suggested by the survival time - water temperature

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relationships shown in Figure I which were developed by DeForest and Beckman (4). The recognition of a marginal zone is consistent with the uncertainty arising from the variability in response to cold exposure to be expected as a result of inter-individual variations in somatotype, clothing and other factors.

#### 3.2 BAR Review Logic

There are a number of bases on which one might conclude the involvement of hypothermia in a boating fatality. The bases to be used in this study were selected and then reduced to the form of a logic chart. This facilitated the classification of the boating fatalities which occurred in 1974. The logic utilized for reviewing the BAR's consists of a sequence of 14 questions (indicated QI through QI4) depicted in Figure 2. The logic distinguishes among the first 6 classifications listed in Section 3.1 as appropriate descriptors for the fatality. The outcome of each BAR review is an arrival at one of the terminal nodes (indicated by circles) in Figure 2. The nodes correspond to the 6 classifications as follows:

Node

Sudden death
Debilitation death
Hypothermia
Death due to debilitation or hypothermia
Report indeterminate
Fatality not cold-related

Logical conclusions at nodes S, D, HI, H2, H3 or DH are taken collectively as indications of cold-related fatalities.

The logic was modified after the analysis to eliminate certain detailed provisions which were not needed to classify the 1974 fatalities (e.g.; treatment of uncertainty at block Q7 of Figure 2). Since the objective did not call for developing completely general logic that could be used for any year, the logic presented in Figure 2 was simplified to include only those provisions needed to classify the 1974 fatalities.

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FIGURE I

HYPOTHERMIA SURVIVAL TIMES VERSUS WATER TEMPERATURE \*

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FIGURE 2 BAR REVIEW LOGIC

#### Bases for Concluding Fatality Not Cold-Related

The first basis for determining that a fatality is not cold-related (node NI) is that there is an identifiable definitive cause of death which is not cold-related. Examples of such causes of death are heart attacks and trauma. They do not include such things as drowning or disappearance as indicated on either the death certificate or the BAR.

The second basis for concluding that a fatality was not cold-related (node N2) is that node HI was not reached, water temperature and time alive in the water are found to be associated with the safe region of Figure 1, and either the fatality was not "immediate" or if it was it occurred in water so warm (over 60°F) as to render it unlikely that it was cold-induced. These last checks are intended to preclude sudden deaths from being included in the N2's. The assumption is made that debilitation deaths do not occur in the safe region of Figure 1.

The third basis for concluding that a fatality was not cold-related (node N3) is that nodes HI, H2 and S were not reached and the weather was not caim. The precise definition of calm weather is given as a ground rule in Section 3.3. The unsettled nature of the weather is taken as an indication that the water surface was sufficiently rough to provide an identifiable definitive cause for the fatality regardless of PFD usage or swimming skills. It is possible that an individual with good general water skills and good personal flotation could, by controlling his breathing, survive the continual impingement of rough water upon his breathing passages and survive long enough for hypothermia to become life-threatening. However, it is recognized that only one episode of the uncontrolled breathing, associated with the stimulation of cough, swallow, or sneeze reflexes, may be needed to precipitate an irreversible aspiration or ingestion of water resulting in drowning. This fact is the basis for adoption of the logical convention of declaring rough weather/water a definitive cause for drownings.

The fourth basis for concluding that a fatality was not cold-related(node N4) is that nodes HI, H2 and S were not reached and the victim was a non-swimmer and was not supported by flotation assistance. The methods observed for

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receiving flotation assistance are discussed in Section 3.3. The absence of both swimming skills and flotation assistance is regarded as adequate definitive explanation for a water-immersion fatality, removing the necessity to resort to more complicated mechanisms.

The last basis for concluding that a fatality was not cold related (node N5) is that nodes H1, H2 and S were not reached and the water temperature is known to be at least 70°F. While the definitive cause of death in these cases is uncertain, it is a virtual certainty that they were not cold-related.

#### Basis for Concluding Sudden Death Syndrome Involved

The basis for concluding a fatality to have resulted from sudden death syndrome (node S) is that node Ni was not reached, the death is known to have occurred "immediately" after immersion, and it occurred in water sufficiently cold (below 60°F) to make sudden death a plausible definitive cause of death. The term "immediate" in block Q5 refers to circumstances described in the narrative reports as, for example, "he fell into the water and never came up." A victim seen struggling in the water for even a few minutes would not be expected to suffer sudden death. There is no particular water temperature known above which sudden death will not occur. Its occurrence depends on the individual's clothing, somatotype and physical susceptibility as well as water temperature. It is predicated upon the simultaneous stimulation of the dive reflex and the torso reflex. The basis for using 60°F in block Q6 is that Welch (i) mentions several research findings indicating the dive reflex is not "pronounced" until water temperature is below 68°F and that the torso reflex is accentuated by cold water (52°F) compared to warm (82°F).

#### Bases for Concluding Debilitation Death or Hypothermia Involved

The first basis for concluding that hypothermia was a causative factor (node HI) is its appearance as "cause of death" on a death certificate. Of course this is rarely found because of the difficulty in diagnosing hypothermia. Consideration was given to ignoring this finding in some cases based on other facts which may be known about the fatality. However it was decided that the individuals making the determinations immediately after the accidents were in a better position to make a judgment about the cause of death than are the reviewers of their written records. The error they should more commonly make is the declaration of "drowning" as the cause of death which, in fact, resulted from a complex set of interacting factors.

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The second basis for determining hypothermia involvement (node H2) is that node NI was not reached and a water temperature and time alive in the water were found which are associated with the lethal region of Figure 1. It should be noted that the H2 classification explicitly excludes cases of sudden death and presumably excludes debilitation death as well.

The remaining conclusions of hypothermia and the conclusions of debilitation death result downstream of block Q8 and are summarized, under the assumption that water temperature is less than 70°F in Table 2.

The H3 conclusion of hypothermia results when nodes NI, N2 and N3 were not reached and the victim had flotation assistance, regardless of swimming skills. The rationale is that flotation assistance should, in calm weather, preserve life beyond the time when cold-debilitation has removed one's ability to save himself. Unless a rescue is accomplished to deter the onset of hypothermia, it will develop to lethal levels. This serves as a sufficient definitive cause for the fatality.

The conclusion of debilitation death (node D) results when nodes NI, N2, and N3 were not reached and the victim was a swimmer but had no flotation assistance. In this case he can save himself as long as he is able to swim but is very susceptible to cold-induced physical and mental debilitation.

The conclusion of debilitation death or hypothermia (node DH) results when nodes NI, N2 and N3 were not reached, the victim was a swimmer, and it is not known whether or not he had flotation assistance. In this case we conclude that either debilitation death or hypothermia was the definitive cause of the fatality but we are unable to distinguish which it was because of the absence of flotation assistance data.

#### Bases for Concluding Report Indeterminate

The first basis for concluding a report to be indeterminate (node UI) is that HI, H2, NI, N2, N3 and S were not reached, the water temperature is unknown but the victim possessed either swimming skills, flotation assistance or both. Based on the swimming ability and/or flotation assistance the victim is ascribed a reasonable chance of survival, but based on the uncertainty about water temperature it is not possible to draw an inference concerning the causal involvement of cold exposure. The UI classifications are illustrated in Table 4.

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#### TABLE 2 SELECTED CLASSIFICATIONS: WATER TEMPERATURE BELOW 70°F

Classiflea	Swi	immer		
		Yes	No	?
	Yes	H3	Н3	Н3
Flotation	No	D	N4	U2
Assistance	?	DH	U2	U2

TABLE 3 SELECTED CLASSIFICATIONS: WATER TEMPERATURE AT LEAST 70°F

Classifica	Sw	lmmer		
		Yes	No	?
Elotation	Yes	N5	N5	N5
Assistance	No	N5	N4	N5
	?	N5	N5	N5

TABLE 4 SELECTED CLASSIFICATIONS: WATER TEMPERATURE UNKNOWN

Classific	Sw	lmmer		
		Yes	No	?
	Yes	UI	UI	UI
Flotation Assistance	No	UI	N4	U2
	7	UI	U2	U2

The other basis for concluding a report to be indeterminate (node U2) is illustrated in Tables 2 and 4. It results when nodes HI, H2, NI, N2, N3 and S were not reached and the absence of swimming skills or uncertainty about them combine with the absence of flotation assistance or uncertainty about it and also with water which is either below 70°F or of unknown temperature. The further classification of a fatality initially classified as U2 would require water temperature data and either a positive finding concerning swimming skills or flotation assistance or a negative finding concerning both. The U2 fatalities can not be resolved by the acquisition of water temperature data alone.

#### 3.3 Ground Rules

There are many factors in addition to those appearing in the review logic in Figure 2, which may affect the outcome of a boating accident. The logic involves many simplifications made as concessions to clarity in communicating the major points. The logic should be regarded, as it was employed, as a general guide for logical analysis. Many special cases were found during the review which required special consideration. This section presents statements of ground rules which were adopted for dealing with the more significant ones.

#### Type of Hypothermia Considered

The term hypothermia in Figure 2 is interpreted to mean immersion hypothermia. Only possible immersion-hypothermia fatalities were reviewed beyond block Q2.

#### Cause of Death

The cause of death referred to in block Q2 of Figure 2 is interpreted to mean a definitive cause of death as opposed to an unsupported indication of drowning or disappearance.

#### Time Alive in the Water

In some cases time alive in the water is not known exactly but a bound (upper or lower) on its value is determinable. In these cases, the analysis proceeds to block Q4 and the bounding value is used in conjunction with water temperature (if it was known) and the information in Figure 1 to determine if a conclusion could be supported. For example, if a victim

was alive less than 2 hours in 60°F water the review continues to block Q5. If the time bound had been 3 hours, no conclusion would be supported at block Q4 and the analysis would proceed to Q7.

#### Immediate Death

The term "immediate" death used in block Q5 is determined on the basis of narrative descriptions of the accident and is not based on a particular time period for the occurrence of the fatallty.

#### Weather

The "calm" weather referred to in block Q7 is taken to mean winds not exceeding 15 miles per hour and waves not exceeding 2 feet. Other combinations of conditions are regarded as "rough" weather.

#### Turbulent Water

Turbulent water as found in mountain rivers and streams and in ocean surf is treated as rough weather at block Q7. This determination relied heavily on descriptions of the accident sites in narrative reports.

#### Flotation Assistance

Flotation assistance is regarded as being satisfactorily provided by any of several media, including a properly-used, Coast Guard-approved PFD, a capsized boat and a tree top. A victim is normally found wearing his PFD if he was wearing one properly when the accident occurred. Modes of flotation assistance other than PFD's are recognized only if there are witness accounts specifically indicating their use.

#### Swimming Skill

The classification of an individual as a swimmer, at blocks Q9 and Q12, is negated by factors such as the wearing of hip boots, the existence of appropriate injuries, and the impairment of a panicked non-swimmer holding the swimmer.

#### Unconscious Victim

An unconscious victim is regarded the same as a conscious non-swimmer at blocks Q9 and Q12.

#### Alcohol

The use of alcohol by a boating accident victim is treated in one of two ways depending upon the amount consumed generally as indicated in

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narrative reports. Victims who were described as "drunk" are regarded as functional non-swimmers. Victims who were not described as drunk but had been drinking are regarded as retaining their intrinsic swimming skills.

#### 3.4 Types of Errors Anticipated

The review procedure discussed in this chapter was formulated to be systematic, understandable, and consistent with existing information on the three cold-related, lethal mechanisms, and to make maximum use of all obtainable data. It involves a number of simplifying assumptions which can be expected to result in certain errors whose nature may be predicted. These errors manifest themselves as erroneous classifications and are discussed as such in the following paragraphs. Because of the conservative rature of the H1, H2, and N2 classifications no logical errors are expected.

#### Errors in S Classifications

For a fatality to be classified as sudden death (block Q6) it is required that the water be below 60°F, that no other identifiable definitive cause of death be known and that it be known that the death or disappearance occurred immediately after entry into the water. For this last fact to be known generally requires that the accident have been witnessed by an observer, either a bystander or a surviving companion in the boat. It is reasonable to expect that sudden deaths may occur in circumstances which preclude us from having information on the immediacy of the fatality. These fatalities will be classified as appropriate downstream of block Q7 and will not be classified as sudden deaths. Thus the single S node is a conservative classification which will provide a lower bound on the number of sudden deaths.

#### Errors in N3 Classifications

Weather and water conditions are assigned a prominent role in determining the outcomes of the logical analysis schema shown in Figure 2. Rough water is taken as a satisfactory definitive cause for a fatality regardless of the victim's PFD condition and swimming skills. The requirements for a victim of rough-water immersion to survive, even with a PFD, were described in Secton 3.2. The probability that a recreational boater could survive even an hour of the treatment resulting from rough-water immersion is not regarded as large; but it is not 0.0. Thus some cold-related fatalities may be erroneously classified as N3. An error of this type results in excess N-node classifications.

#### Errors in H3 Classifications

The H3 classification, at block Q14, of hypothermia involved is based on information that flotation assistance was used by the victim. Flotation assistance is defined to include some objects, such as trees and capsized boats, which may require some conscious effort by the victim to keep him afloat. If the victim does not take steps to secure himself to his source of flotation he may become separated from it due to cold-related debilitation. The only significance of this to the classification schema is that two coldrelated lethal mechanisms are imperfectly distinguished with the result that some debilitation deaths may be classified as hypothermia deaths.

#### Errors in N4 Classifications

The N4 classification at block Q12 is predicated, in part, upon the determination at block Q8 that the victim had no flotation assistance. Considered as no flotation assistance are the proper use of a non-approved PFD and the improper use of an approved PFD as well as the improper use of a non-approved PFD. These off-nominal PFD conditions may give some individuals sufficient flotation for a reasonable expectation of survival. Thus some of the fatalities classified as N4 may be directly due to debilitation or hypothermia resulting from cold-water immersion.

#### Errors in D Classification

Because of the simplifications involved in disregarding off-nominal PFD conditions (discussed in the previous paragraph) the debilitation death classification at block QI3 could be applied to some fatalities which were in fact due to hypothermia.

#### Summary

The logical errors made in the H3 and D classifications effect only the distinction between hypothermia and debilitation deaths and are, in fact, offsetting errors. The logical errors made in the S, N3 and N4 classifications all serve to erroneously increase the number of N-node classifications. Some of the real sudden deaths may be finally classified as D, H3 or DH but some may be classified N3 or N4. Therefore it must be concluded that the logical schema in Figure 2 tends to classify too many fatalities as "not cold-related. The magnitude of this error is not thought to be large.

#### 4.0 ACCIDENT DATA REVIEWED

In finality two general types of data were used as the basis for reviewing the 1974 boating fatality history. First, data which could be extracted from the BAR's and their associated narrative reports was summarized and reviewed. This led to the immediate classification of many fatalities and also to the identification of certain important data voids. Efforts to fill these voids produced the supplemental data which was also used in the review.

#### 4.1 Data From BAR's

Boating accidents in 1974 which involved one or more fatalities were identified by printing from the computer summary lists of case numbers, states and dates of accidents involving fatalities. These lists were used as the directory to BAR's in the microfiche which report fatalities.

The BAR's and narrative reports for each fatal accident so identified were reviewed and the following data was summarized for each <u>fatality</u> on special coding sheets.

1.	reviewer	S	ini	tia	IS

- 2. case number
- 3. state
- 4. date of accident
- 5. victim's name
- 6. victim's sex
- 7. victim's age
- 8. victim's PFD condition
- 9. victim's swimming ability
- 10. definitive cause of death (if known)
- 11. distance from shore
- 12. time alive in water
- 13. water temperature
- 14. air temperature
- 15. name of body of water
- 16. type body of water
- 17. review's finding (node from Figure 2)
- 18. remarks

The remarks field was used to record any pertinent observations about the victim's state of alcohol intoxication and about the prevailing weather and/or water conditions at the time of the accident.

The review and summary of the BAR's produced many data voids, particularly in PFD condition, swimming ability, time alive in the water, and water temperature. These four data items were the principal ones needed to allow completion of the classification of several fatalities. Of the four data items, it was felt that a reasonable expectation of obtaining supplemental data existed only for swimming skills and water temperature.

#### 4.2 Supplemental Data

The supplemental data which was sought to allow more complete classification of the fatalities was of two types - swimming skills data and water temperature data.

#### Swimming Skills Data

An effort was directed toward obtaining additional data regarding the swimming skills of victims of 1974 boating fatalities. This effort produced the following results.

Skill Level	Number	Classification
Poor Fair	8	Non-swimmer
Good	21	Swimmer
Unknown	<u> </u>	Unknown

The supplemental swimming-skills data effort led to the identification of 35 out of 50 fatalities who possessed swimming skills sufficiently well developed to indicate a good chance of survival even without flotation.

#### Water Temperature Data

The best source for water temperature data on a nation-wide basis was found to be the National Water Data Exchange (NAWDEX) operated by the Water Resources Division of the U. S. Geological Survey (USGS) in Reston, Virginia. They, of course, have access to the annual USGS water data reports, which are published by State, and the USGS Water Data Storage and Retrieval System (WATSTORE). In addition, NAWDEX has direct access to the Storage and Retrieval System (STORET) maintained by the Environmental Protection Agency. Also NAWDEX maintains a computerized Master Water Data Index identifying other water-data-collecting organizations (e.g., Corps of Engineers) around the country and the specific water data parameters they monitor. Thus NAWDEX could not only provide some data, but could provide referrals to appropriate agencies for the data they could not provide.

Having identified the best single point of contact for nation-wide water temperature data, the next question was how to communicate to NAWDEX. the times and places at which water temperature data was needed. Because of the considerable volume of data requests to be made and to facilitate NAWDEX processing the data requests, it was decided to identify the specific water data collection stations from which the data was desired. It was felt that NAWDEX should be able to respond more quickly and easily to requests for specific stations than to requests involving a narrative description of the accident site. The identification of the stations was made possible by the USGS's publication of a Catalog of Information on Water Data (6) which describes data collection station locations by body of water, state, county, nearby landmarks and longitude and latitude. Using this catalog and a set of USGS Hydrologic Unit Maps the station or stations nearest the boating fatality sites were determined and identified by their OWDC numbers. It appeared in (6) that the OWDC station numbering system was the only such unified, naton-wide scheme. Therefore data requests filed with NAWDEX were stated in terms of the OWDC numbers and the corresponding dates for which surface water temperature was desired. The requests asked that if temperature data was not available for the specific dates requested, then it be provided for the two nearest available dates before and after the requested date. They also asked that if data was not available for 1974, then it be provided for the three most recent years for which it is available.

It was determined that water temperature data was needed and would be useful for classifying 200 fatalities occurring at 179 accident sites in 1974. Because data was often not available at the exact locations of interest, it was necessary to sample multiple stations in the area of some accident locations. Water temperature data was requested from the USGS at 310 station/ date combinations and an additional 7 combinations were requested directly from various agencies in California. The 310 requests made of USGS produced referrals to 52 different local, state and regional organizations for data on 147 station/date combinations. Data was obtained directly from USGS for 113 of the remaining 163 station/date combinations. Requests were transmitted to the 52 referred organizations for the 147 station/dates involved. Five of these organizations responded by issuing referrals to other organizations. These "third generation" requests were also made. Useful

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data was received for 87 of the 147 referred station/date combinations and for 4 of the 7 California combinations. In all, data was obtained for 204 of the 317 requested station/date combinations, for a 64 percent success rate. This data supported the estimation of the water temperature associated with 157 of the 200 boating fatalities addressed.

#### Summary

Boating fatalities for 1974 were identified by reviewing the BAR's for accidents indicated in the Coast Guard computer data base to have involved fatalities. Of the 1490 boating fatalities identified in this way, BAR's could not be obtained for 15. Thus the logical classification schema discussed in Chapter 3 was applied to 1475 boating fatalities. The supplemental swimming skills data obtained for 49 fatalities was combined with the supplemental water temperature data obtained for 157 fatalities. Together, these data supported the successful classification of III fatalities which could not otherwise have been classified.

#### 5.0 CLASSIFICATION AND ANALYSIS OF 1974 BOATING FATALITIES

#### 5.1 Results of Classifications

The results of applying the classification schema discussed in Section 3.0 to the most complete data obtainable for 1974 boating fatalities are summarized in Table 5. The 1490 identified fatalities are dichotomized into 1254 for which a definite classification could be obtained and 236 which are uncertain. Of the 236 fatalities with uncertain findings of cold-related mechanisms, no information could be found for 15. For purposes of the analysis to be performed here, there are no fundamental differences among findings of UI, U2 or Report Missing. These findings all represent fatalities for which a determination of the involvement of a cold-related lethal mechanism was not possible. Of the 1254 fatalities which were definitely classified, 197 were found to be cold-related and 1057 were found to be unrelated to cold.

#### 5.2 Analysis of Results

It is desired to compute point and interval estimates for the percentages of annual fatalities which are cold-related and also for the percentages involving each cold-related lethal mechanism. The point estimators are to be best unbiased estimators for each desired percentage based on the data available for review. Two types of intervals have been constructed around each point estimate to demonstrate how much the point estimates may be expected to deviate from the actual values. A deterministic "interval of uncertainty" has been constructed to show the extreme range of variation, for each point estimate for 1974, which results from the inability to classify each of the 1490 fatalities as a node S, D, H or N. This interval does not arise from random variation in a point estimate. Its limits are absolute for the 1974 data. Random variation is considered in the construction of the second type of interval - a bound on the "95 percent confidence interval" for each point estimate. The confidence interval expresses the year-to-year variation which may be expected in the point estimate due to randomness assuming that the results of the 1254 definite classifications are representative of the real occurrences of cold-related boating fatalities.

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Node	Number	Subtotal	Classification
S	6	)	
D	101	and the second	
н	11	107	
Н2	11	197	Loid Related
Н3	25	and the shirt fails may	
DH	43		
NI	198	)	
N2	159		
N3	378		
114	248	( 1057	Not Cold Related
N5	74		
		)	
UI	43		
U2	178		
Report Missing	15	236	Uncertain
TOTAL	1490		

TABLE 5 CLASSIFICATIONS OF 1974 BOATING FATALITIES

#### Point Estimation

The point estimators, p, are all constructed using the general relation:

$$p = \left[\frac{\text{number of occurrences}}{\text{number of definite classifications}}\right] \cdot (100)$$

The estimated percent of fatalities which are cold related is denoted by  $p_c$ and is given by:

$$p_{c} = \left[\frac{197}{1254}\right] \cdot (100) = 15.7\%$$

The corresponding percentages for hypothermia and debilitation deaths require an allocation of the 43 conclusions of node DH between their respective numbers of occurrences. This will be done under the assumption that each mechanism occurred among the 43 DH fatalities in the same relative proportions that were exhibited in the D and H nodes. These proportions are 47/ (101 + 47) = 0.318 for hypothermia fatalities and 101/ (101 + 47) = 0.682 for debilitation deaths. Thus the percentages for hypothermia,  $p_{\rm h}$ , and debilitation death,  $p_{\rm d}$ , are:

$$p_{h} = \left[\frac{(0.318) \cdot (43) + 47}{1254}\right] \cdot (100) = 4.8\%$$
  
and 
$$p_{d} = \left[\frac{(0.682) \cdot (43) + 101}{1254}\right] \cdot (100) = 10.4\%$$

The percent of fatalities which involved sudden death, p, is given by:

$$p_{s} = \left[\frac{6}{1254}\right] \cdot (100) = 0.5\%$$

Because of the reasons indicated in the discussion in Section 3.2, this estimate is conservative and could involve a large proportional error. It would only require 6 undetected sudden deaths for the proportional error to be 50 percent  $(6/12 \times 100\%)$ . However, correction of this error would only increase the estimate of the percent of fatalities which are cold related by 0.5 percent.

#### Interval of Uncertainty

Having composed the best point estimates of the percentages supported by obtainable data, it is necessary to recognize the uncertainty which exists in these results. Since definite conclusions regarding cold involvement could not be supported for each fatality, the actual percentages for 1974 must lie within an interval of uncertainty which can be constructed by considering the extreme combinations of involvement which the uncertain cases might have had. The lower limits of these intervals, p<sup>2</sup>, are constructed by:

$$p^{\ell} = \left[ \frac{\text{minimum possible number of occurrences}}{\text{total number of fatalities}} \right] \cdot (100)$$

The upper limits of the intervals, p<sup>u</sup>, are obtained from:

$$p^{u} = \underbrace{\left[\frac{\text{maximum possible number of occurrences}}{\text{total number of fatalities}}\right] \cdot (100).$$

For the combination of all cold-related fatalities these lower,  $p_c^{l}$ , and upper,  $p_c^{u}$ , limits are:

$$p_{c}^{2} = \left[ \frac{197}{1490} \right] \cdot (100) = 13.2\%$$
  
and  $p_{c}^{u} = \left[ \frac{197 + 236}{1490} \right] \cdot (100) = 29.1\%$ 

The smallest percentage possible, results when the 197 identified cold-related fatalities are the only ones of the 1455 fatalities which were cold related. The largest percentage possible, results when all fatalities with uncertain cold involvements are assumed to involve a cold-related lethal mechanism.

The corresponding limits for debilitation death are:

$$p_d^{\ell} = \begin{bmatrix} 101\\ 1490 \end{bmatrix} \cdot (100) = 6.8\%$$
  
and  $p_d^{u} = \begin{bmatrix} 101 + 43 + 236\\ 1490 \end{bmatrix} \cdot (100) = 25.5\%$ 

It should be noted that in computing the upper limit on the percent of debilitation deaths the 43 node DH fatalities were all assumed to result from debilitation. These were fatalities which could be classified as "debilitation or hypothermia" but which could not be further distinguished. Therefore, they are included in the ranges of uncertainty for debilitation deaths and hypothermia  $(p_d^u \text{ and } p_h^u)$  but not for sudden death  $(p_s^u)$ . The limits of the interval of uncertainty for hypothermia are:

$$p_{h}^{\ell} = \left[\frac{47}{1490}\right] \cdot (100) = 3.2\%$$
  
and  $p_{h}^{u} = \left[\frac{47 + 43 + 236}{1490}\right] \cdot (100) - 21.9\%$ 

The lower limit for sudden death is constructed as were those for the other mechanisms yielding:

$$p_{s}^{\ell} = \left[\frac{6}{1490}\right] \cdot (100) = 0.4\%$$

The upper limit for sudden death is constructed using only those uncertain classifications for which sudden death can <u>not</u> be ruled out based on the time the victims are known to have been alive in the water. The resulting upper limit is:

$$p_s^u = \left[\frac{6+211}{1490}\right]$$
. (100) = 14.6%

Bound on the 95 Percent Confidence Interval

It is interesting to consider the random variation which might be seen from year to year in the percentages of occurrence of the cold-related lethal mechanisms. The normal method of quantifying this variation is to establish an interval within which the parameter being considered is known to lie with some established confidence level (probability). The 95 percent confidence level is commonly used and will be used in this report. To avoid making unsupportable assumptions about the precise nature of the probability distributions governing these occurrences, a non-parametric method (Chebychev's inequality) has been used to establish a bound on the 95 percent confidence interval for each of the point estimates computed previously. The following minimal assumptions must be made to establish the desired confidence interval.

> The proportional data embodied in the 1254 definite classifications is representative of the occurrences of the various types of cold-related boating fatalites.

2. Cold-related boating fatalities occur independently.

The first assumption could be invalidated if major meteorological or economic changes in the future affect recreational patterns and the associated occurrence of cold-related recreational boating fatalities. The second assumption is not strictly valid since the tendencies toward cold-related deaths, when several individuals are simultaneously immersed, are linked by the temperature of the water. The cold-related fatalities shown in Table 5 include single-and multiple-fatality accidents as follows.

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No.	Fatalities Per Accident	No. Accidents	No. Fatalities
	1	121	121
	2	28	56
	3	. 4	12
	4	2	8
	TOTALS	155	197

Multiple-fatality accidents accounted for  $(76/197) \cdot (100) = 38.6$  percent of all cold-related fatalities. It is not suggested that there is complete linking between these occurrences. Rather it is suggested that the fatalities which occur within each multiple-fatality accident may not be independent. The maximum number of "linkages" possible among n entities is equal to the number of combinations of n things taken two at a time. That is, n(n-1)/2. The maximum number of linkages possible among 197 fatalities is 19,306. The number of linkages possible in the multiple-fatality data cited above is:

 $28\left[2(2-1)/2\right] + 4\left[3(3-1)/2\right] + 2\left[4(4-1)/2\right] = 52.$ 

Thus while 38.6 percent of all cold-related fatalities could be involved in "related" accidents, they are involved in such a way that only (52/19,306) (100) = 0.27 percent of the maximum possible linkages in the outcomes could be expected.

The construction of the bounds on the confidence intervals using Chebychev's inequality requires estimates of the variances of the point estimators. The development of the confidence interval bounds will be shown here for the proportion of cold-related fatalities. Then special steps will be described which are necessary for confidence interval estimation on the proportions of the three cold-related mechanisms. The estimation begins by defining a binary variable X<sub>i</sub> as follows:

 $X_{i} = \begin{cases} 0 \text{ if fatality i not cold-related} \\ 1 \text{ if fatality i cold-related} \\ \text{for } i = 1, 2, \dots, 1254 \end{cases}$ 

Notice that the number of cold-related fatalities is  $\sum_{i=1}^{1254} X_i$  and the proportion i=1of cold-related fatalities is  $p_c = (1/1254) \begin{bmatrix} 1254 \\ \Sigma \\ i=1 \end{bmatrix}$ . To obtain the confidence interval around the <u>actual</u> proportion, an estimate of the variance of  $p_c$ , denoted by  $Var(p_c)$  and  $S^2_{p_c}$ , is required. The following basic relationship will also be used.

$$Var(p_{c}) = Var \left[ (1/1254) \frac{1254}{\Sigma} \times_{i} \right]$$
  
=  $(1/1254)^{2} \cdot Var \left[ \frac{1254}{\Sigma} \times_{i} \right]$   
=  $(1/1254)^{2} \cdot \left[ \frac{1254}{\Sigma} \quad Var(X_{1}) \right]$  {Assuming Independence}  
=  $(1/1254)^{2} \cdot \left[ \frac{1254}{\Sigma} \quad S_{x}^{2} \right]$   
=  $(1/1254)^{2} \cdot \left[ 1254 \cdot S_{x}^{2} \right]$   
Var(p\_{c}) =  $S_{x}^{2}/1254$ 

The estimation of  $S^2_x$  uses the following relation.

$$S_{x}^{2} = (1/1253) \begin{bmatrix} 1254 \\ \Sigma \\ i=1 \end{bmatrix} \\ = (1/1253) \begin{bmatrix} 1254 \\ \Sigma \\ i=1 \end{bmatrix} \\ = (1/1253) \begin{bmatrix} 197 - (1/1254) \\ (197)^{2} \end{bmatrix} \\ S_{x}^{2} = 0.132$$

Therefore S  $\stackrel{\simeq}{P_{c}}$  0.010. Using P' to represent the <u>actual</u> proportion of fatalities which are cold-related and Pr for "probability that", Chebychev's Inequality states:

$$Pr(|P_{c} - P_{c}'| \ge k \cdot S_{P_{c}}) \le 1/k^{2},$$

or equivalently

$$Pr (p_{c} - k \cdot S_{p_{c}} < P_{c} < p_{c} + k \cdot S_{p_{c}}) > 1 - 1/k^{2}.$$

The expression in the parentheses defines upper and lower limits of a confidence interval around  $P_c$ . The right-hand side of the inequality is the bound on the confidence level associated with this interval. It is desired that  $1 - 1/k^2 = 0.95$  so k = 4.472 and Chebychev's Inequality gives the following bounds on the 95 percent confidence interval.

$$Pr (0.111 < P_{1} < 0.203) > 0.95$$

This indicates that the percent of deaths which are cold-related will be between 11.1 and 20.3 percent at least 95 percent of the time.

A similar approach may be used to establish a confidence interval on  $P_d$ , the proportion of debilitation deaths. The variable  $X_1$  would be defined as follows.

 $X_{i} = \begin{cases} 0 \text{ if fatality i does not result from cold debilitation} \\ 1 \text{ if fatality i results from cold debilitation} \end{cases}$ 

for 
$$i = 1, 2, \ldots, 1254$$

In this case, because of the DH nodes, not all of the X<sub>1</sub> can be determined. However, in calculating  $p_d$  it was estimated that (0.682) (43) + 101  $\approx$  130.33 fatalities involved cold debilitation. Thus although all the X<sub>1</sub> can not be determined, it is estimated that 130 of them would be 1, 1 of them would be 0.33 and the remaining 1254 - 131 = 1123 would be 0. This information is sufficient to allow interval estimation as follows.

$$S_{x}^{2} = (1/1253) \left[ 130.11 - (1/1254)(130.33)^{2} \right] \approx 0.093$$
  
 $S_{p_{d}} \approx 0.009$ 

 $Pr(0.065 < P_{d} < 0.142) > 0.95$ 

This indicates that the percent of debilitation deaths would be between 6.5 and 14.2 percent at least 95 percent of the time.

An analysis completely analogous to the preceding one may be performed for the (0.318) 43 + 47 = 60.67 hypothermia fatalities expected among the 1254 definitely classified boating fatalities. The results are as follows.

$$S_x^2 = (1/1253) \left[ 60.45 - (1/1254) (60.67)^2 \right] \approx 0.046$$
  
 $S_{p_h} \approx 0.006$   
 $Pr(0.021 < P_h^2 < 0.075) > 0.95$ 

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The corresponding confidence interval for the percent of sudden deaths produces the following results.

$$S_x^2 = (1/1253) \left[ 6 - (1/1254) (6)^2 \right] \simeq 0.005$$
  
 $S_{p_s} \simeq 0.002$   
 $Pr(-0.004 < P_s^2 < 0.014) > 0.95$ 

Because we know  $P'_s$  may not be negative, the lower bound may be adjusted to 0.

#### Summary

The point estimates, intervals of uncertainty and 95 percent confidence intervals are summarized in Table 6 for the cold-related lethal mechanisms individually and collectively. It should be noted that the "Total Cold-Related Deaths" row in Table 6 can be obtained by summing the rows above it only for the "Point Estimate" column. Because of multiple inclusions and exclusions of uncertain and node DH classifications, the limits on the intervals of uncertainty are not additive. Because of the nonlinear way in which risk levels combine, the bounds on the 95 percent confidence intervals for the individual mechanisms are not additive. It should also be noted that the point estimates are all very much closer to the lower limits of the intervals of uncertainty than to their upper limits. This indicates that the actual percentages for 1974 are probably considerably closer to the lower limits than the upper limits. TABLE 6 PERCENTS OF 1974 BOATING FATALITIES INVOLVING COLD-RELATED LETHAL MECHANISMS

Lethal Mechanism	Point Estimate	Interval of Unc	ertainty For 1974	.95% Confiden	ce Interval
		Lower Limit	Upper Limit	Lower Bound	Upper Bound
Debilitation Death	10.4	6.8	25.5	6.5	14.2
Hypothermia	8.4	3.2	21.9	2.1	7.5
Sudden Death	0.5	4.0	14.6	o	4.
Total Cold-Related Deaths	15.7	13.2	29.1	11.2	20.3

#### 6.0 DEMOGRAPHIC ANALYSIS OF COLD-RELATED FATALITIES

This chapter presents a demographic analysis of the 197 boating fatalities which were previously classified to be cold-related. The following characteristics are considered in this analysis.

- 1. time of year of fatalities
- 2. state in which fatalities occurred
- 3. temperature of water
- 4. victim's age
- 5. victim's sex
- 6. victim's swimming ability
- 7. victim's use of flotation assistance
- 8. type of body of water
- 9. operator's age and experience
- operation at the time of the accident (general and specific activities)
- 11. type of boat
- 12. type of casualty

It should be noted that it is not necessary that the 197 fatalities classified as cold-related be the only ones which were in fact cold-related or that they be associated with the proper lethal mechanism for this demographic analysis to be accurate. It is only necessary that the classification results be generally representative of the trends which exist in the occurrence of coldrelated boating fatalities.

#### 6.1 Distribution of Cold-Related Fatalities Through Year

The time of the year when the cold-related fatalities occurred is presented for bimonthly periods in Figure 3. The frequency of cold-related fatalities peaks in the March-April period. It then falls to its minimum 4 months later in the July-August period. Following this the frequency rises, more or less steadily, back to its peak. The exception to the steady rise in frequency is the November-December level which is higher than the January-February level. This might be due to increased hunting in the November-December period as compared to the January-February one. The occurrence of the peak frequency in the March-April period might result from the high level of outdoor recreation accompanying the return of warmer weather at a time when the temperatures of most bodies of water are near their annual minimum. The data shown in Figure 3 suggests that public service announcements calling attention to the risks of cold-water immersion should be presented with greatest frequency beginning in October or November and continuing through April or May of each year.

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FIGURE 3 DISTRIBUTION OF COLD-RELATED FATALITIES THROUGH YEAR

Bi-Monthly Period

#### 6.2 Geographical Distribution of Cold-Related Fatalities

Several measures may be used to examine the geographical distribution of cold-related boating fatalities across the states. First, the number of such fatalities occurring in each state may be compared. In addition, several measures of "rate occurrence" of cold-related boating fatalities in each state could be constructed. Two such measures are the ratio of the number of cold-related fatalities to the volume of boating activity and the proportion of all boating fatalities which are cold-related. These three measures are presented in Columns A, C and E of Table 7 for each of the 50 states and the District of Columbia. The states are ranked in Table 7 in order of decreasing number of cold-related fatalities (Column A). The distribution of the 236 "Uncertain" classifications among the states is shown in Column F.

The number of cold-related fatalities ranges from 0 for several states to 16 for California. The geographical distribution of cold-related fatalities across the United States is shown in Figure 4. Each asterisk represents one cold-related fatality. The locations of the asterisks are significant only as indications of which states the fatalities took place in. Their locations within the states is not intended to indicate the exact locations of the fatalities. The average number of fatalities in each state (including the District of Columbia) is 197/51 = 3.86 fatalities per "state". Figure 5 indicates by asterisks the 23 states which had above-average numbers of cold-related fatalities. This data indicates the highest concentrations of cold-related fatalities in states in the northeast, deep south, Great Lakes and west coast regions. The biggest surprise in this result is that so many cold-related fatalities were found in gulf-coastal states.

Boating activity is difficult to measure directly. Table 7 (in Column B) presents the percent of all numbered boats in 1974 which were registered in each state (based on  $\underline{CG-357}$  (1974)). These percentages do not sum to 100 since Guam, Puerto Rico, the Virgin Islands and American Samoa were not included in Table 7. Using these percentages as measures of the relative amounts of boating activity in each state, the ratio of cold-related boating fatalities to relative amount of boating activity is shown for each state in Column C of Table 7. The ratios range from 0.0 for several states to 30.0 for Alaska. The overall ratio is 2.0. Figure 6 indicates by asterisks the 22 states which have values of the Column C ratio above 2.0. These "high risk"

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	TABLE 7		
COLD-RELATED	FATALITIES	BY	STATE

Colurn	A	B	с	D	E	F
State	Number Of Cold-Related Fatalities	Percent Of 1974 Boats Numbered	Col. A Col. B	Total 1974 Boating Fatalities	Col. A Col. D	Uncertain Classifi- cations
California	16	7.2	2.2	127	.13	17
New York	14	5.3	2.6	85	. 16	15
Alabama	10	2.3	4.3	51	.20	4
Michigan	10	7.8	1.3	69	.14	8
Alaska		0.3	30.0	48	.19	8
Minnesota	9	6.5	1.4	41	.22	13
Mississippi	8	1.0	8.0	19	.42	5
Virginia	8	1.8	4.4	46	.17	3
Washington	8	1.9	4.2	33	.24	5
Florida	7	4.4	1.6	87	.08	19
Ohio	6	3.7	1.6	38	.16	7
Oregon	6	1.5	4.0	29	.21	4
Pennsylvania	6	2.1	2.8	30	.20	0
Maine	5	1.4	3.6	30	.17	2
Maryland	5	1.7	2.9	50	.10	5
Tennessee	5	2.8	1.8	36	.14.	2
Texas	5	6.6	0.8	17	.06	1/
Wisconsin	5	5.0	0.9	33	.15	,
Arlzona	4	1.0	4.0	17	.24	2
Illinois	*	3.1	1.3	49	.00	13
Louisiana		2.3	1./	21	.05	2
North Carolina	4	1.7	2.4	36		7
Arkansas	3	1.5	2.0	20	.15	6
Idaho	3	0.8	3.8	12	.25	3
lowa	3	1.9	1.6	20	.15	
Kentucky	3	1.3	2.3	2/		-
Massachusetts		2.0	1.5	20	.11	2
Utah	3	0.5	6.0	8	.38	i
Seorgia	2	2.4	0.8	37	.05	16
len Harpshire	2	0.2	10.0		. 18	2
Oklahoma	2	2.1	0.9	54	.04	í
south caronna			0.5			
Connecticut		1.0	1.0	12	.07	5
Indiana		2.2	0.4	7	.00	â
Kansas		2.6	1.0	29	.03	6
North Dakota	and the second	0.2	5.0	1	.14	2
dyoning	i	0.2	5.0	7	.14	2 .
All Other States	٥	3.6	0.0	40	.00	5
TOTALS	197	99.7	2.0	1490	.132	236

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states follow the same general pattern as shown in Figure 4 except for the below average risk shown for Great Lakes states and the above average risk shown for Idaho, Montana, Wyoming and North Dakota. Of course, complete agreement between the two measures shown in Figures 5 and 6 should not be expected.

Column D in Table 7 shows the total number of boating fatalities determined for each state. They were identified by reviewing the BAP's for boating accidents indicated, by the Coast Guard computer data base, to have involved one or more fatalities. Column E contains the proportion of boating fatalities in each state found to be cold-related. These were obtained as ratios of elements in Column A to corresponding elements in Column D. These proportions range from 0.00 for several states to 0.42 for Mississippi. The overall average proportion is shown to be 0.132. Figure 7 indicates by asterisks the 25 states which exhibit proportions above the national average. It may be seen that the heaviest involvement of above-average proportions includes states in the northeast, Great Lakes, deep south and northwest regions. California is just below the national average.

Three logical bases have been presented for directing the geographical distribution of efforts to reduce cold-related boating fatalities. They are the following.

- 1. the number of cold-related fatalities in each state
- the ratio of cold-related fatalities to the percent of numbered boats registered in each state. This is an estimation of the number of "cold-related fatalities per unit of boating activity"
- the proportion of all boating fatalities which are coldrelated in each state

The use of these criteria should be decided on the basis of their appropriateness to the type of effort being considered for the reduction of coldrelated boating fatalities.

#### 6.3 Temperature of Water

The numbers of cold-related fatalities occurring in water of various temperatures are shown in Figure 8. The distribution is essentially uniform between 40°F and 70°F with a much smaller number (fewer than 10%) occurring in water in the 30's. Three fatalities were classified as cold-related without knowledge of the temperature of the water involved. These are shown as "Unknown" in Figure 8. Of course, no fatalities occurring in water over 70°F were classified as cold-related.

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Water Temperature ( °F )

#### 6.4 Age of the Victims

The number of cold-related fatalities occurring in various age groups are shown in Figures 9 and 10. The age groups used in <u>CG-357</u> for "operator age" were used in Figure 9. Figure 10 shows a more detailed and uniformly sub-divided age range. The distribution of victims' ages follows a pattern which is grossly unremarkable. The frequency of cold-related fatalities climbs quickly above age 10 to its peak in the 20 to 25 years interval. It then declines quickly to age 35 and is more or less constant until age 65. Only about 5 percent of the fatalities occurred at ages over 65 while about 50 percent occurred in the 20 year interval between ages 15 and 35.

#### 6.5 Sex of the Victims

The representation of the sexes among victims of the fatalities classified as cold-related is highly non-uniform. The numbers of fatalities experienced by each sex are as follows.

#### TABLE 8 COLD-RELATED FATALITIES BY SEX

	Number	Percent
Maie	180	91.4
Female	17	8.6
TOTAL	197	100.0

With over 90 percent of the cold-related fatalities occurring among males, there would seem to be merit in the notion of directing efforts to reduce cold-related fatalities, where possible, toward males.

#### 6.6 Swimming Skill and Use of Flotation Assistance

The distribution of the 197 fatalities, classified as cold-related, over the possible combinations of swimming ability and flotation assistance usage is shown in Table 9.



FIGURE 9 COLD-RELATED FATALITIES BY VICTIM'S AGE

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FIGURE 10 COLD-RELATED FATALITIES BY VICTIM'S AGE



Nu	imber of	FLOTAT	TION ASS	Totals	
Cold-Related Fatalities		Yes	No	Unknown	
	Yes	29	110	40	179
Swimmer	No	4	o	O	4
	Unknown	9	3	2	14
	OTALS	42	113	42	197

TABLE 9 SWIMMING SKILL AND USE OF FLOTATION ASSISTANCE

It should be recalled that the definition of flotation assistance includes approved PFD's properly used as well as other reliable sources of support which were known to be used. Table 9 indicates that over 55 percent of cold-related fatalities involved swimmers without flotation assistance. These are fatalities which might not have been averted by the additional use of simple flotation assistance alone.

#### 6.7 Type of Body of Water

The distribution of the cold-related fatalities over the various types of bodies of water in which they occurred is shown in Table 10. Comparing the percentages of the 197 fatalities classified as cold-related occurring in each type of body of water to the overall percentages, it may be seen that there is very little difference in the two distributions. A slightly larger percentage of cold-related fatalities than overall boating fatalities occurred in oceans and gulfs. A slightly smaller percentage of cold-related fatalities occurred in non-tidal waters.

#### 6.8 Age and Experience of the Operator

The percents of fatalities which occurred from boats being operated by individuals in various age groups is shown in Table 11.

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	Cold-Related Fatalities	All Boating Fatalities*
Ocean, Gulf	12.2%	7.7%
Great Lakes	3.6%	4.1%
Tidal Waters	18.3%	16.6%
Bay Inlet Sound Harbor	(9.1%) (2.0%) (6.6%) (0.5%)	
Non-Tidal Waters	66.0%	71.6%
Rivers Creeks Canals Lakes & Reservoirs Ponds	(28.4%) (2.0%) (0.5%) (34.5%) (0.5%)	

# TABLE IO PERCENTS OF FATALITIES BY TYPE OF BODY OF WATER

\* Computed from data in CG-357(1974)

	Cold-Related	All Boating
	Fatalities	Fatalities
Less than 12 years	0%	0.3%
12-18 years	7.6%	5.6%
19-25 years	15.7%	14.7%
26-50 years	45.2%	42.9%
Over 50 years	17.8%	16.7%
Unknown	13.7%	19.5%
No Operator	0%	0.3%

#### TABLE II PERCENTS OF FATALITIES BY OPERATOR AGE

1.

## \*Computed from data in CG-357 (1974).

Comparing the two distributions of fatalities, it may be concluded that coldrelated boating fatalities occur from boats operated by individuals whose ages follow the same pattern as exhibited by the whole of boating fatalities.

Table 12 indicates the percent of fatalities which occurred with the operators of the boats having experience in each of several categories.

#### TABLE 12 PERCENTS OF FATALITIES BY OPERATOR EXPERIENCE

Type of Boat	Experience	Cold-Related Fatalities	All Boating Fatalities *
Same	Less than 20 hours	11.2%**	9.4%
Same	20-100 hours	13.2%	13.1%
Same	100-500 hours	25.9%	16.4%
Same	Over 500 hours	23.4%	18.9%
Same	Unknown	25.4%	41.9%
Same	No Operator	0	0.3%
Different	100-500 hours	1.0%	0

\*Computed from data in CG-357 (1974)

\*\*Includes 0.5% (I operator) with over 500 hours of experience in a different type boat

The type of boat shown in Table 12 refers to whether the operator's experience

was in the same type of boat as that from which the fatalities occurred or in a different type of boat. Comparing the two experience distributions it may be seen that the main difference is that a smaller percentage of operators have unknown experience in the cold-related fatality group than in the overall boating fatality group. The reduction in the percentage with unknown experience for the cold-related fatalities is distributed largely across the two categories involving the most experience (100-500 hours and over 500 hours). Therefore it could not be said that cold-related fatalities occur in a disproportionate way due to lack of experience on the part of the boat operators.

#### 6.9 Operation at Time of Fatality

There are two distinct aspects of the "operation" in which a victim was involved at the time of his fatal accident. There is a general aspect which describes the nature of the activity which prompted the individual to venture out in the boat to begin with. This general aspect may be particularly useful in identifying groups, such as hunters, which are significantly represented among cold-related fatalities. These groups may be reached more or less directly by programs aimed at reducing the occurrence of cold-related fatalities. There is also a specific aspect of the activity at the time of the accident which may, convey useful information about the causes of these accidents for various types of general activities. Table 13 shows the distribution of the 197 cold-related boating fatalities across the various combinations of the affected 4 general activities and 11 specific activities. The general and specific activities are ranked in order of decreasing total occurrences.

Reviewing Table 13, the following results may be seen.

- 1. Approximately 43 percent of the cold-related fatalities occurred during fishing outings.
- The 84 cold-related fishing fatalities shown in Table 13 account for approximately 33 percent of the 255 fatalities indicated in CG-357 to have occurred while fishing.
- 3. Less than 10 percent of the cold-related fatalities occurred during hunting outings.
- 4. The 19 cold-related hunting fatalities shown in Table 13 account for approximately 83 percent of the 23 fatalities indicated in CG-357 to have occurred while hunting.
- 5. Approximately 48 percent of the cold-related fatalities occurred while cruising.

	TABLE 13						
COLD-RELATED	FATAL	.11	ES	BY	OPERATION	AT	THE
	TIME	0F	THE	A	CIDENT		

Number of Cold-Related Fatalities		Fishing	Boating	Hunting	Unknown	TOTALS	
Specific Activity	Cruising Drifting Rowing & Paddling Sailing Docking Weighing Anchor At Anchor Rafting Racing Under Tow Unknown	40* 27 2 3 5 4 3	34 3 17 9 4 1 4 2 2	14 5	6 3 2 1 6	94 38 21 9 7 6 5 4 2 2 9	
TOTALS		84	76	19	18	197	

\* Includes 2 who were trolling

- 6. Approximately 48 percent of the cold-related fishing fatalities occurred while cruising.
- 7. Approximately 74 percent of the cold-related hunting fatalities occurred while cruising.

It may be seen that a substantial proportion of cold-related fatalities occur while fishing and a substantial proportion of fishing fatalities are cold-related. But while a very large proportion of hunting fatalities (from a boat) are coldrelated, only a small proportion of cold-related fatalities occur while hunting. It may also be seen that a large proportion of cold-related fatalities occur while cruising. This proportion among hunting fatalities is even larger, possibly due to the statistical smallness of the number of hunting fatalities.

#### 6.10 Type of Boat

The numbers of cold-related fatalities occurring from the different types of boats involved are shown in Table 14. It may be seen that a very broad range of boat types are represented in Table 14; but open motorboats from 12 to 16 feet in length account for 39 percent of the cold-related fatalities.

#### 6.11 Type of Casualty

The term "type of casualty" is used in CG-357 to refer to the principal event which caused the accident. A more common usage would refer to the outcome of the accident, particularly to a person. The term is used here to avoid ambiguity in a comparison to results obtained from CG-357(1974).

Table 15 presents a comparison of the distribution of the cold-related fatalities and all boating fatalities across the types of casualties affected. The "unknown" category was not used in CG-357 indicating that such casualties were probably included in the "other personnel casualty" category. This would account for the differences in the two distributions in these categories. The distributions coincide very closely, particularly for the major casualties capsizing and falls overboard. The only significiant remaining difference is in the flooding category, with nearly 8 percent more cold-related fatalities resulting from flooding of the boat than is the case for all boating fatalities combined.

Type of Boat		Numbe Cold-Relat	r of ed Fatalities
I. Motorized A. Flat Bottom I I. 12' - 14 2. 14' - 18 3. Unknown	Boat ' in length ' in length length	140	3 (9) (2) (2)
<ul> <li>B. Open Motorboa</li> <li>I. Less that</li> <li>2. 12' - 14</li> <li>3. 14' - 16</li> <li>4. 16' - 18</li> <li>5. 18' - 20</li> <li>6. Over 20'</li> <li>7. Unknown</li> </ul>	at 12' in length in length in length in length in length length	99	9 (1) (57) (20) (7) (4) (6) (4)
C. Cabin Motorbo I. 16' - 24 2. 25' - 30 3. Over 30'	bat ' in length ' in length in length	2	3 (9) (10) (4)
D. Auxiliary Sa I. 30' - 60 2. Over 60'	ll ' in length in length		5 (3) (2)
11. Manual A. Sailboats 1. 14' - 18 2. Over 18' 3. Kayaks &	' in length in l <b>en</b> gth canoes with sails	56 I	(7) (2) (2)
B. Kayaks and Ca	anoes	2	I
C. Row boats and	d Dinghies	I	3
D. Rafts			5
E. Pontoon with	Paddles		I
II Unknown		1	

### TABLE 14 COLD-RELATED FATALITIES BY BOAT TYPE

III. Unknown TOTAL

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197

This seems plausible since swamped boats, as differentiated from sunk boats, would generally cause immersion of its occupants but would not necessarily threaten eminent drowning. This would present cold-related lethal mechanisms an opportunity to act if the environmental conditions permit and the victims are not satisfactorily protected.

	Cold-Related Fatalities	All Boating Fatalities*
Grounding	1.5%	1.0%
Capsizing	43.1%	41.6%
Flooding (swamping)	10.2%	2.6%
Sinking	1.5%	5.5%
Fire or Explosion (fuel)	0.5%	0.8%
Fire or Explosion (other)	0 %	0.3%
Collision With Another Vessel	1.0%	3.7%
Collision With Fixed Object	8.1%	5.0%
Striking Floating Object	2.0%	1.2%
Other Casualty to Vessel	0 %	3.7%
Falls Overboard	20.3%	22.8%
Falls Within Boat	0 %	0.7%
Struck by Boat or Propeller	0 %	1.4%
Other Personnel Casualty	1.5%	9.5%
Unknown	10.2%	0 %

#### TABLE 15 PERCENTS OF FATALITIES BY TYPES OF CASUALTY

\* Computed from data in CG-357(1974)

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#### 7.0 SUMMARY AND CONCLUSIONS

A systematic schema has been developed for the logical review of the circumstances surrounding boating fatallties. It produces classifications of the fatalities regarding the causal involvement of three cold-related lethal mechanisms - - sudden death, debilitation death and hypothermia.

An intensive review of the boating fatalities occurring in calendar year 1974 has been conducted. This produced an estimate that 15.7 percent of all boating fatalities involve one of the cold-related lethal mechanisms. In a typical year involving 1500 total boating fatalities, approximately 236 of them may be expected to be cold-related. Assuming 1974 to be a representative year, it has been shown that the percent of boating fatalities which are coldrelated may be expected to vary due to randomness, from 11.1 percent to 20.3 percent. The corresponding range of cold-related fatalities, in a year of 1500 total fatalities, is from 166 to 304.

A demographic analysis of the fatalities in 1974 classified as coldrelated has produced the following observations.

- 50 percent of cold-related fatalities occur in the 4 months from March through June.
- The states most heavily involved in cold-related fatalities are in the northeast, Great Lakes, deep south and west coast regions.
- 3. 50 percent of the victims of cold-related fatalities were between 15 and 35 years old and 5 percent were over 65.
- Over 90 percent of cold-related fatalities involve male victims.
- Over 55 percent of cold-related fatalities involve victims who could swim but were without flotation assistance at the time of their accident.
- Cold-related fatalities occur in various types of bodies of water in essentially the same proportions as do all boating fatalities combined.
- 7. Cold-related fatalities occur from boats operated by individuals whose ages and experience are very representative of those involved in all boating fatalities combined.
- Approximately 43 percent of cold-related fatalities occurred during fishing outings while 10 percent occurred during hunting outings.

- 9. 48 percent of cold-related fatalities resulted from accidents which occurred while cruising.
- 10. A very broad range of boat types were involved in cold-related accidents but 39 percent of the fatalities occurred from open motorboats between 12 and 16 feet in length.
- II. The percent of cold-related fatalities resulting from boat swampings was slightly larger than the corresponding percent of all boating fatalities.

Cold-related lethal mechanisms have been estimated to account for approximately 16 percent of all boating fatalities. This estimate probably lies in the middle ground between two schools of thought on the significance of cold. While the cold is by no means involved in a majority of recreational boating fatalities, it must be concluded to be involved in a significant proportion of them.

The problem has been shown to be more pervasive than might have been expected. The period of most frequent occurrence extends into early summer. The geographical regions of greatest risk include the deep south. Victims affected the most range from 10 to 65 years in age. Cold-related fatalities occur from all types of boats operated by individuals in all age and experience ranges.

Yet there are some clues to the nature of a high-risk population. The vast majority are males. Over half are swimmers without flotation assistance. Half are from 15 to 35 years of age. Nearly half are on a fishing outing. Nearly half are cruising at the time of their accidents. Approximately 40 percent are in open motorboats between 12 and 16 feet in length. These characteristics of the high-risk population may enable efforts aimed at reducing cold-related fatalities to be most fruitfully directed.

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# APPENDIX A

# BOATING ACCIDENT REPORT FORM

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