

Systems Engineering Research Needs and Workforce Development Assessment Technical Report SERC-2018-TR-102 31 January 2018

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Sponsor: DASD (SE) – Deputy Assistant Secretary of Defense (Systems Engineering)

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EXECUTIVE SUMMARY

This research tasks, focused on an assessment of systems engineering research needs and workforce development assessment, involved a data collection exercise resulting from 24 site visits (19) and telephone discussions (5). Additionally, we collaborated with INCOSE to run a supporting survey on this topic to all the INCOSE Fellows – worldwide (approximately 30% of all Fellows responded to the survey). Here is the list of these 24 engagements:

- 1. Sandia National Laboratories Engagement 1
- 2. Sandia National Laboratories Engagement 2
- 3. Jet Propulsion Laboratory
- 4. NSWC Corona Division
- 5. NSWC Philadelphia Division
- 6. Wright Patterson AFB AFLCMC
- 7. Naval Air Weapons Station China Lake
- 8. AMSAA Aberdeen Proving Grounds
- 9. Embedded Systems Institute Netherlands
- 10. The Aerospace Corporation
- 11. The MITRE Corporation
- 12. AFOTEC Kirkland AFB
- 13. NSWC Carderock
- 14. NSWC NUWC
- 15. NSWC Dahlgren
- 16. NAVSEA Headquarters
- 17. IC Engagement 1
- 18. IC Engagement 2
- 19. INCOSE Fellows Worldwide Survey
- 20. ARDEC Picatinny Arsenal
- 21. NAVSEA SPAWAR
- 22. NAVSEA 05T
- 23. PEO Aviation
- 24. AMRDEC NASA (Marshall) Joint Visit

The data collected suggests research priorities that broadly align with the following 4 primary research objectives. Sub-categories within each primary research objective are also highlighted:

- 1. Knowledge, Data and Machine Learning
- a) AI/Machine Learning;
- b) Data Analytics
- c) Data/Complexity
- d) Knowledge Management and Document/Requirements

- 2. Systems Engineering MBSE, Decision Making and Integration.
- a) Computational Applications in SE
- b) Decision Making
- c) Model Based SE
- d) System Integration
- e) Systems Engineering Modeling and Measurement
- 3. Management, Culture and Agility
- a) Mission Engineering
- b) Agile Engineering
- 4. Security, Trust, Risk and Testing
- a) Cyber-security
- b) Risk and Uncertainty
- c) Security, Reliability and Resilience
- d) System Trust
- e) System Testing

Python scripts using open source packages for Natural Language Processing was the tool chosen for analyzing the data collected. A script that identifies the important concepts (words) of each sentence in the minutes resulting from the engagements allowed us to identify a total of 139 research objectives across the 24 engagements. A sense for the emphasis within these identified research objectives, down to the resolution of the sub-categories identified above are reflected in Figure 1 (A through D). The data collected from the survey of the INCOSE Fellows was analyzed separately and is included in this report also.

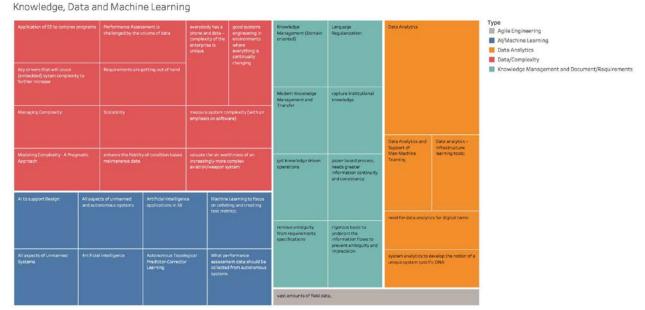


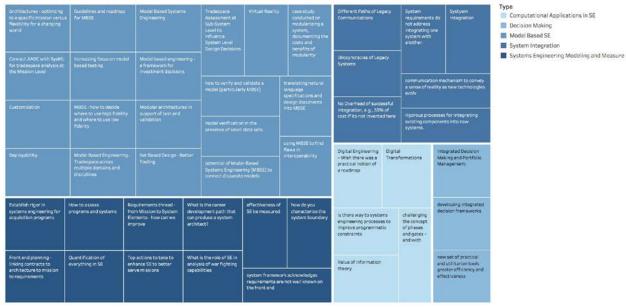
Figure 1A. Breakout of Cluster 1

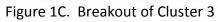
Management, Culture and Agility

ieing able to work threads etter	Mission analysis and engineering for complex systems and SoS	Movement fr policy culture an incentive culture	eto polic	nizational y in System: neering	s today at the subsy	rements are written system or stem level, t the mission	Simulation and Validation - address explicitly force on force work	Agility at Scale	Assess		How doe benefit to syste enginee	or apply ems	How to effect agile engineering ne balance	Type Agile Engineerin Kission Enginee
apability based assessment across heterogenous ystoms/platforms														
	Simulation based to supporting interope missiong ongineering	erability and	allocate requirement the mission i the system i	ts from level to	engineerin space syst	ngineuring of color of money and Arm pace systems, be acquisition lead Systematics time Dep privates acquisition states acquisition for the systematics acquisition states acquisition for the systematic states acquisition s		Armament - quest		d need for all the s		ment ly instead	Reuse for Agility and Safety:	
icidelines for SoS and Assion Engineering during				e sub-system level domains				across diverse platforms			quisition steps			
levelopment rather then ifter the fact	System requirement address integrating with another.													
low to manage the thread or operations			cost of integ at the missio thread level	on	install ent architectu systems	reand i	nvest in nteroperability among platforms	Speed to capability		capabilit: roll out n		exploring faulck ugly	greater agility	
or operations	Systems engineerin be applied at the en level, across many s	enterprise			engineering into established culture		end systems			platforms is de not so good - ap platforms to requring clo		design approaches' to avoid closing dow the design	gn development paches" at the scale o rold the enterprising down	
nteroperability across								What constraints	ta			space		
lassical Agencies and Arganizational Berriers	Tension between th thread and owners systems/programs.	of	manage the programs of hand, and m the other	record on th	heone	spanning multiple longuoges, doctrine, and		integrate faster						
						culture	for "my mission"				pact deal		all projects over a ort duration, ability	
fission Engineering seems ke a step too far	What are the resources required to integrate the Legos		multiple "lego pieces" in diverse geographical instances			versus gotta get the job done	accelerating their technology development rate		with unintended consequences t		toi	invest in strategic tters is curtailed		

Figure 1B. Breakout of Cluster 2

MBSE, Decision Making and Integration





Security, Trust, Risk and Testing

How to get SoS vulnerability analysis for prioritization of critical risk	System	n Trust:	error and fault monitoring are not able to keep up with the "speed of operations		process to assess Mean Time until Out Of Tolorance	How can systems engineering be made more resilient	Integrating system security into systems engineering practices	Reliability much more complicated when talking about threads	System of Systems Testing	Test optimization	Type Cybersecurity Risk and Uncertainty Security, Reliability and Resilience System Trust Testing
Modeling trust and organizational culture		n definition (reliability ing) for complex									resurg
System Security at Scale	accred	tion, verification and litation of models and is tools	simulation cap that they trust source of truth accepations in	as a	d systems,	Security Assessment of Architectures	System Security at Scale	System and program restlency and fresbility	Testing for cyber physical systems	Testing is too lata for some system issues	
Assessment of Cyber Risk and Abstract System Risk		Predictive Cost Estimate	n	consider risks in a more integrated	cost data is overly optimistic with the				UAS Testing		
				feshion	"ecosystem" accepts it. causing overruns	measure the secure secure		f a weapon			
Certification of systems that an used much more beyond their d life		Risk needs to be address cycle perspectiv	ed from a life			Cyber defense ins	cludes a trusted sup		leling embedded system Ir physical system	sand	
Inherent Uncertainties and Lack Continuity in Sensor Data	ot	Uncertainty quantification	an	integrated design fi uncertainty multipl conflicting prioritie	e stakeholders,	integration of SE for SoS	to cyber security es		d to develop an holistic : insecurity	ipproach to	

Figure 1D. Breakout of Cluster 4

RESEARCH TASK CONTEXT AND PREPARATION

Research Task Background

The Department of Defense (DoD) determines its research needs for the SERC in a variety of ways. The SERC executes the core-funded research outlined in the SERC Technical Plan, which was developed based on inputs from the Government's Executive Advisory Board and the SERC's Research Council. DoD organizations sponsor their own tasks within the SERC. Finally, the SERC periodically puts out a call for incubator tasks, where principal investigators put together a short research proposal which receives a modest amount of funding to develop a set of research findings and challenges, which may receive substantial funding on subsequent task orders. Although these avenues and this information is helpful in shaping DoD's SE research priorities, this particular research task was focused on engaging with S&T and Engineering leaders across the DoD's laboratories and engineering centers to understand if it was possible to identify discernable patterns across the board with regard to research priorities and opportunities for impact. This information would be useful in the ongoing initiative to update the SERC Technical Plan for the next five years.

Research Task Approach

This research task was established to identify patterns of research that are of the greatest relevance to the engineering and technical leaders at warfare centers in the Army, Navy and Air Force. Researchers engaged other Defense communities, such as the Intelligence Community with the same objective. The research patterns identified in this task will help guide SE research priorities over the next two to five years and increase the return on investment of future RTs. The SERC researchers assessed the research needs through visits and discussions with technical leaders at the various warfare centers, with a focus on the leveraging the three core competencies of the SERC:

- 1. Long-term, comprehensive systems engineering focused on DoD acquisition,
- 2. Leverage developments in systems architecting, complex systems theory, systems thinking, systems science, knowledge management and software engineering to perform research to advance the design and development of complex systems across all DoD and Intelligence Community domains.
- 3. Leverage developments in open systems standards, organizational theory, program management, SE management, and information technology to provide needed integration of program/technical management MPTs.

The research team also collected and assessed any development issues related to the engineering workforce.

The data collection exercise consisted of 24 site visits and telephone discussions. Additionally, we collaborated with INCOSE to run a supporting survey on this topic to all the INCOSE Fellows – worldwide (approximately 30% of all Fellows responded to the survey). Here is the list of these 24 engagements:

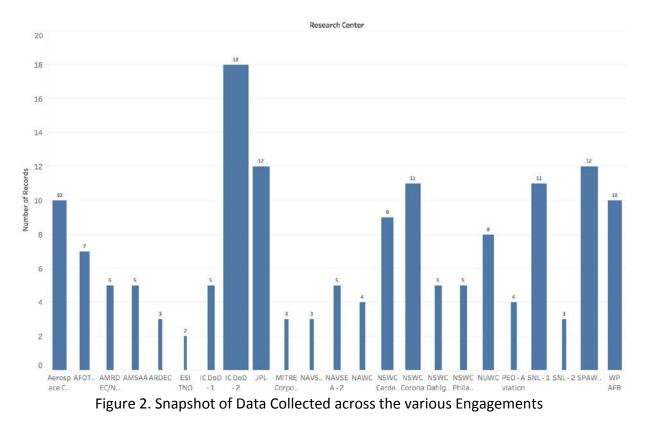
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- 20. ARDEC Picatinny Arsenal
- 21. NAVSEA SPAWAR
- 22. NAVSEA 05T
- 23. PEO Aviation
- 24. AMRDEC NASA (Marshall) Joint Visit

The site visits got triggered by a visit request and an attached Terms of Reference for the visit. An exemplar visit request letter and the attached Terms of Reference are included in Appendix A of this report. A set of the minutes resulting from these visits is included in Appendix B of this report. The names of the individuals who hosted the study team and were engaged in discussions during the visit have been removed.

OVERVIEW AND ANALYSIS OF THE DATA COLLECTED

The data collection exercise consisted of 24 site visits and telephone discussions. Additionally, we collaborated with INCOSE to run a supporting survey on this topic to all the INCOSE Fellows – worldwide (approximately 30% of all Fellows responded to the survey).

Python scripts using open source packages for Natural Language Processing was the tool chosen for analyzing the data collected. A script that identifies the important concepts (words) of each sentence in the interviews allowed us to identified 139 total research objectives across the 24 engagements. The number of objectives for each research center identified can be seen in Figure 2. It is important to note that the numbers disparity is a reflection of the nature (sometimes unique, such as in test organizations) of the organization as well as whether or not they need to or are already covering the research topic.



Thereafter a secondary script was developed to order the objectives identified based on their similarity, creating clusters of closely related objectives. After this automated identification of

clusters, we manually identified whether the clusters did identify human interpretable concepts and found clear patterns in the results. The objectives were then divided into 4 main groups of research objectives, and these 4 groups were then sub-divided into lower level objectives that are very closely related to each other.

The 4 primary research objectives are:

- 5. Knowledge, Data and Machine Learning
- 6. Systems Engineering MBSE, Decision Making and Integration.
- 7. Management, Culture and Agility
- 8. Security, Trust, Risk and Testing

The distribution of the research objectives identified in this study across the 4 primary clusters/groups can be seen in Figure 3.

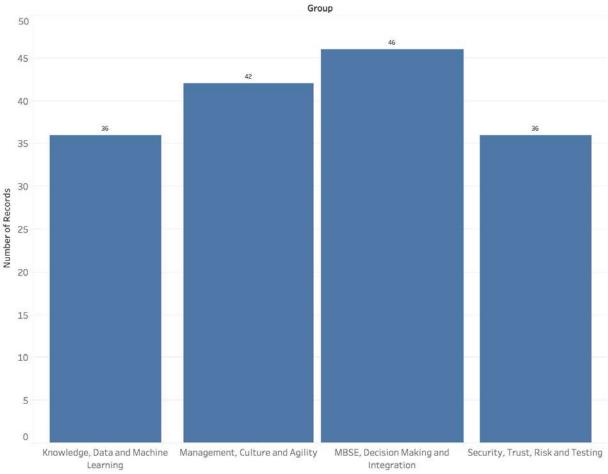


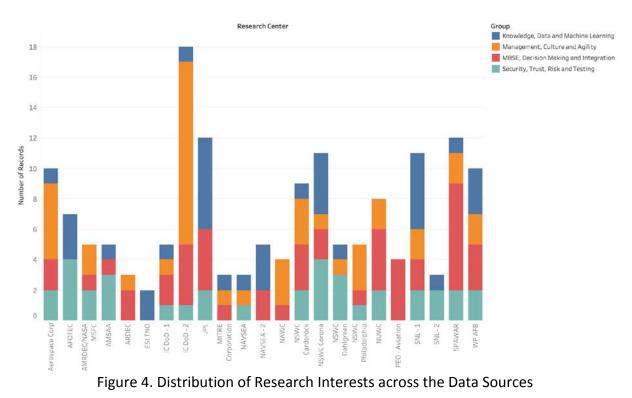
Figure 3. Distribution of Research Objectives across the 4 Primary Clusters

The types of research objectives that these groups were divided in are described in the sections that correspond to each group. An important part of the data is that the interest of the research centers varies significantly between groups of research objectives. The only research center that approached a uniform distribution of interests was Aerospace Corp, while other

research centers such as AFOTEC and ESI TNO had only interests in one or two of the groups. The distribution of interests of the across the engagement sources is shown in Figure 4.

Thereafter, the following sections show the distribution of identified research interests and research centers in each of the four groups. First, each section presents the objectives organized in the identified cluster. Afterwards for each section the following things are presented:

- A first bar or pie chart describing the amount of research interests that each research center has in that group.
- A packed bubble chart describing the amount of research interests in each research type (the sub clusters that each research group is contained). This chart also describes the amount of research interests that each research center identified in each type.
- A tree-map analyzing the patterns of the interests and the related distribution among the research centers.



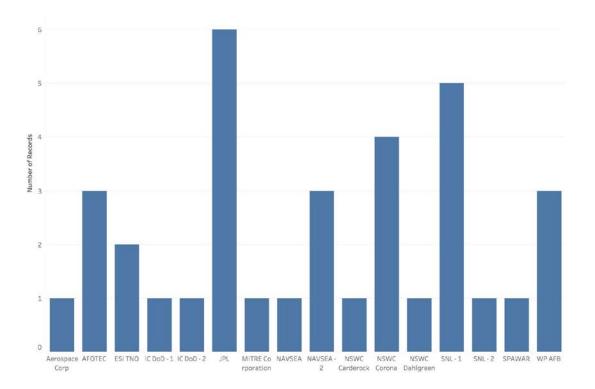
CLUSTER 1: KNOWLEDGE, DATA AND MACHINE LEARNING

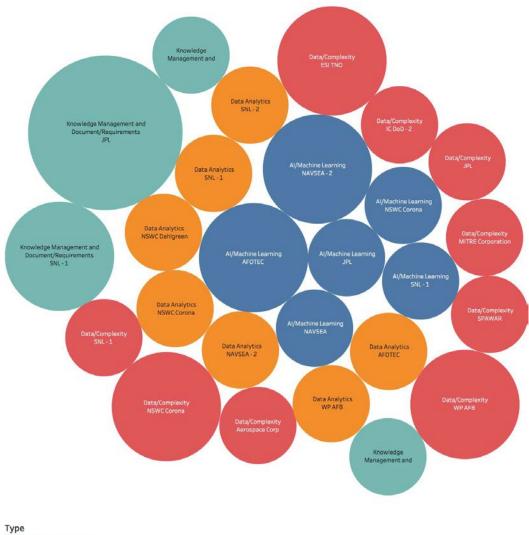
The first cluster identified was called *Knowledge, Data and Machine Learning*. It contains all the identified research interests that are data centric, either dealing with the management and complexity of the data or the application of data manipulation techniques such as *Al/Machine Learning* and *Data Analytics*. The primary sub-categories in this cluster area include the following:

- AI/Machine Learning
- Data Analytics
- Data/Complexity
- Knowledge Management and Document/Requirements

The two centers with the biggest amount of discussed research interests in this area were Sandia National Laboratory and JPL. Particularly important patterns identified include:

- JPL discussed the widest interest in knowledge, document and requirement management. They showed a significant interest in concepts such as language regularization and ambiguity minimization.
- The other types of research projects had a very diverse and uniform distribution of research centers interested, showing the prevalence of data size, availability and complexity issues that have arisen. This is perfectly coherent with the big surge of the interest in the (arguably) buzzword *big data*, which mainly deals with the massive amounts of information being produced.
- The *Data/Complexity* type of research interest was of greatest interest among all the types of research types in all the research groups, once again reinforcing the importance of dealing with big amounts of data and showing this as one of the main areas of research identified in the set of interviews.
- Even though smaller in the amount of research objectives contained, if we combine *AI/Machine Learning* and *Data Analytics*, which are disciplines with a big intersection, they also represent an important area of research identified. AFOTEC (test focus organization) was the main research center interested, but overall these research areas had a big uniformity and number of research centers with interests in them.
- The overall uniformity in the distribution of the research types *Data/Complexity, AI/Machine Learning* and *Data Analytics* show that projects in these areas have a very big potential of collaborating and/or impacting multiple research centers at the same time.





- AI/Machine Learning Data Analytics
- Data/Complexity
- Knowledge Management and Document/Requirements

Data/Complexity ESI TNO				Knowledge Management and Document/Requirements JPL	Data Analytics AFOTEC	Data Analytics NAVSEA - 2
Data/Complexity NSWC Corona						
Data/Complexity	Data/Complexity MITRE Corporation				Data Analytics NSWC Corona	Data Analytics NSWC Dahlgreen
WP AFB	Data/Complexity SNL-1			Knowledge Management and		
Al/Machine Learning AFOTEC	Al/Machine Learning JPL		chine Learning Corona		Data Analytics SNL - 1	Data Analytics SNL - 2
Al/Machine Learning NAVSEA - 2	Al/Machine Learning NAVSEA	AI/Mad SNL - 1	chine Learning	Knowledge Management and Document/Requirements NSWC Carderock	Data Analytics WP AFB	

CLUSTER 2: MBSE, DECISION MAKING AND INTEGRATION

The second cluster of research interest identified was identified as *Systems Engineering: MBSE, Decision Making and Integration*. Most research topics identified came from SPAWAR and DoD IC. Even though these were clearly the leading centers for number of identified research interests, there was still a big number of other research centers and uniformity in this cluster. The primary sub-categories in this cluster include:

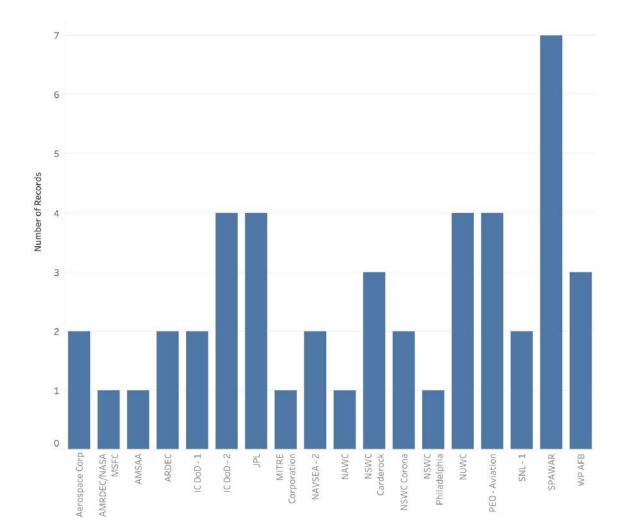
- Computational Applications in SE
- Decision Making
- Model Based SE
- System Integration
- Systems Engineering Modeling and Measurement

Particularly important patterns identified include:

• System Integration was the type of research that had the biggest amount of research interests in it. IC DoD, SPAWAR and Aerospace Corp were the research centers that identified the most interested in it, but overall it contained a big number of research centers with interest in it. Particularly notable is that, even though this type of research is identified in this second cluster of interest related to *Systems Engineering*, it arguably is closely related to the research type of *Data/Complexity* in the first cluster (*Knowledge, Data and Machine Learning*). This is coherent with the analysis presented in that section, since it is not hard to argue that at least theoretically an increase in *Data/Complexity* would have a positive feedback loop with an interest in

System Integration type of research.

- *Model Based SE* was one of the main identified interests of NUWC, being responsible of a considerable percentage of the research interests in this area. Some of the research interests contained in it were closely related with other areas, including concepts such as *model testing* and *model verification*.
- There was a big interest in the area of general *Systems Engineering Modeling and Measure*, which includes overall quantification and effectiveness measures of *Systems Engineering* concepts. The center with the highest discussed interest in this area was SPAWAR.
- There is a wide interest in research on Verification and Validation. NUWC Newport mentioned model validation in general and MBSE validation in particular. NSWC Corona asked for help with model verification in the presence of small data sets, and AMSAA asked about better understanding of V&V and accreditation of models and analysis tools. JPL mentioned V&V for learning systems, Sandia brought up V&V for additive manufacturing, and AMRDEC emphasized the issue of validation within systems engineering in general.
- One interesting area identified was the *Applications of Computer Science Concepts*. Even though small, the research interests identified represented a different combination of disciplines than most of the research interests identified. Discussed mainly by IC DoD, it consisted of trying to apply *Computer Science* concepts and disciplines to *Systems Engineering*.





Туре

- Computational Applications in SE
- Decision Making
- Model Based SE
- System Integration
- Systems Engineering Modeling and Measure

Model Based SE NUWC		Model Based SE ARDEC	Model Based SE AMRDEC/NASA MSEC	Model Based SE MITRE Corporation	Model Based SE NAVSEA - 2	System Integration SPAWAR	System Integration IC DoD - 2	System Integration JPL
Model Based SE NSWC Canderock		Model Based SE IC DoD -1	Model Based SE NAWC		Model Based SE SPAWAR		System Integr	ation
		Model Based SE PEO - Aviation	Model Based SE NSWC Corona			System Integration Aerospace Corp	WP AFB	
			Model Based SE SNL - 1			Computational Applications In SE IC DoD-2	Decision Making Aerospace Corp	
Systems Engineering Modeling and Measure SPAWAR	Systems Er JPL	igineering Modeling and Measure	Systems Engineerin Modeling and Measu AMSAA	g Systems are Modeling NSWC Co	Engineering and Measure arona		Decision 1 SNL - 1	Aaking
	Systems Er PEO - Aviat	rgineering Modeling and Measure ion	Systems Engineerin Modeling and Measu IC DoD - 2	re Modeling	Engineering 3 and Measure 1iladelphia	Computational Applications in SE NAVSEA - 2	Decision 1 WP AFB	Making
						Computational Applications in SE WP AFB		

CLUSTER 3: MISSION ENGINEERING AND AGILITY

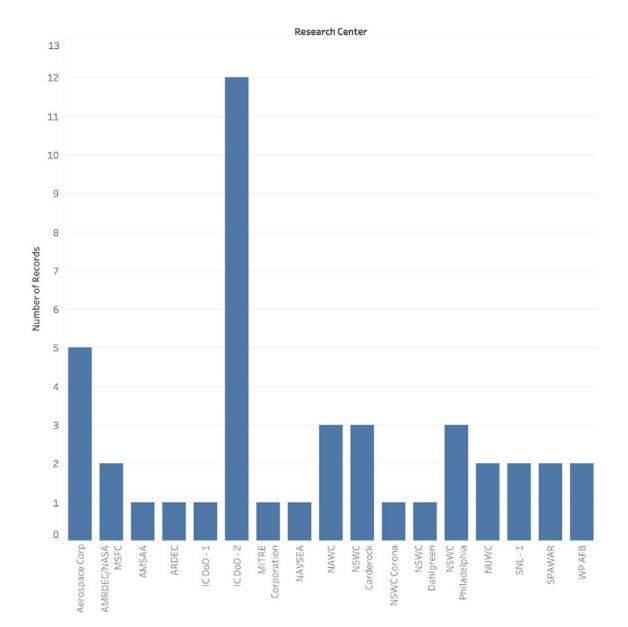
The third cluster of research interests identified was called *Mission Engineering and Agility*. Not only is this research cluster different in its nature that the others, it is also significantly different in its distribution:

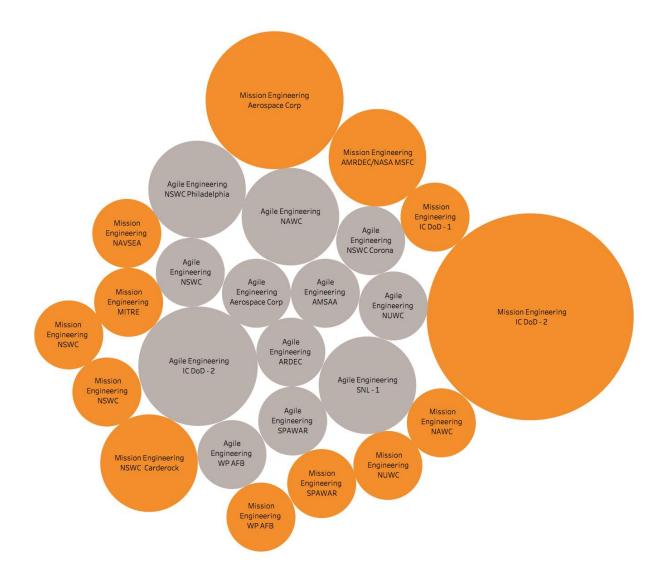
• Unlike all the other clusters, one research center identified the greater amount of research interests identified in this cluster: DoD IC. Combining the interests in both of its engagements, DoD IC is source for over identification of half of the research interests in this cluster.

Overall the "dominance" of one research center makes this cluster of research interests significantly different than the others, and is a clear consequence of the focus of IC DoD of dealing with training Systems Engineers. Overall, pursuing a research interest in this area would be highly conductive with collaboration and/or impact with IC DoD mainly, with some secondary research centers like Aerospace Corp.

The primary sub-categories in this cluster include:

- Mission Engineering
- Agile Engineering





Type Agile Engineering Mission Engineering

Mission Engineering IC DoD-2			Mission Engineering Aerospace Corp	1	Agile Engineering 1C DoD-2	Agile Engineering NAWC	Agile Engineering NSWC Philadelphia
Mission Engineering AMRDEC/NASA MSEC	Mission Engineering IC DoD - 1	Mission Engineering NAWC	Mission Engineering NSWC Dahlgreen	Mission Engineering NSWC Philadelphia	Agile Engineering SNL - 1	Agile Engineerin NSWC Carderock	a Agile Engineering NSWC Corona
	Mission Engineering MITRE Corporation				Agile Engineering AMSAA	Agile Engineerin NUWC	g Agile Engineering SPAWAR
Mission Engineering NSWC Carderock	NC Carderock N Mission Engineering NAVSEA		Mission Engineering NUWC		Agile Engineering ARDEC		
		Mission Engineering SPAWAR	È e		Agile Engineering Aerospace Corp	Agile Engineerin WP AFB	

CLUSTER 4: SECURITY, TRUST, RISK AND TESTING

The last research interest cluster identified was called *Security, Trust, Risk and Testing.* Given its nature it is related in a considerable manner to the second cluster, though given the clear distinction and amount of research interests it was deemed appropriate to create the fourth cluster separated from the second one.

This research cluster had no single research center as the most interested in it, but had a high uniformity in the distribution of research centers interested. The primary sub-categories in this cluster include:

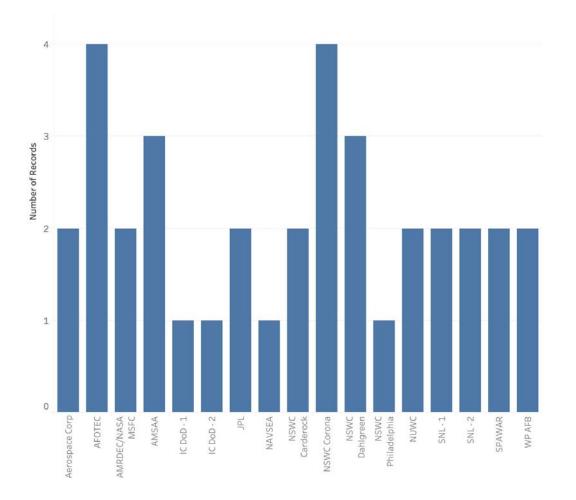
- Cyber-security
- Risk and Uncertainty
- Security, Reliability and Resilience
- System Trust
- System Testing

Particularly important patterns identified include:

• System Trust was the biggest research type identified, with almost 40% of the research interests in the cluster. This type of research contained interest of a large number of research centers, which mainly dealt with the difficulty of achieving concepts such as: system trust, source of truth, system definition and validation. It is very closely related to the Risk and Uncertainty and the Security, Reliability and Resilience types of research,

and if those three are counted together they represent over 66% of the interests in this cluster, with 11 research centers interested in this areas.

- The *Testing* area was a big priority for AFOTEC (as would be expected), with this research center accounting for all minus one of the research interests in this group.
- Cyber security was mainly identified interests of NSWC Corona, with NAVSEA and NSWC Dahlgren also expressing explicit interest in this type of research. it could be an area that rises as sub interests of some of the *System Security* interests in *System Trust*, so it could be deceptively small. Overall there was a big number of research centers interested in this area, similar to the first cluster projects in this areas have a very big potential of collaborating and/or impacting multiple research centers at the same time.





Type

- Cybersecurity
- Risk and Uncertainty
- Security, Reliability and Resilience
- System Trust
- Testing

System Trust AMSAA	System Trust IC DoD - 1 System Trust JPL	System Trust NSWC Corona	System Trust NSWC Dahlgreen	Security, Reliabil WP AFB	ity and Resilience	Security, Reliability ar Resilience AMRDEC/NA MSFC	
System Trust NUWC	System Trust	System Trust SNL · 1	SNL - 1 System Trust		Security, Reliability and Resilience IC DoD - 2	Security, Reliability ar Resilience SNL - 2	nd :
	NSWC Carderock	System Trust SNL - 2			10.000-2	SNL-2	
Risk and Uncertainty AMRDEC/NASA MSFC	Risk and Uncertainty JPL	Risk and Uncertainty NSWC Philadelphia	SNL-1				Testing NSWC Dahlgreen
				Security, Reliabil SPAWAR	ity and Resilience		
Risk and Uncertainty AMSAA	Risk and Uncertainty NSWC Carderock			Cybersecurity NSWC Corona			ybersecurity IAVSEA
Risk and Uncertainty Aerospace Corp	Risk and Uncertainty NSWC Corona	Risk and Un SPAWAR	tertainty				Sybersecurity ISWC Dahlgreen

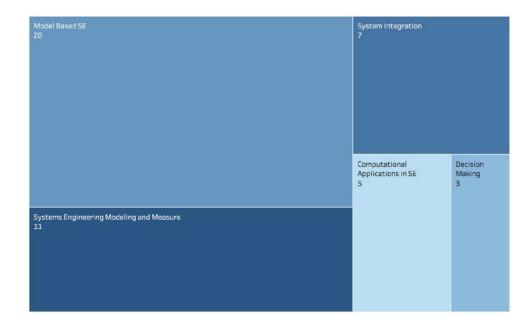
WORKFORCE ISSUES

Workforce issues were discussed explicitly in most of the 24 engagements. Some of the significant concerns included the following:

- It is often hard to compete against industry for talent, particularly IT/Software developers.
- In the current environment of competition for cybersecurity talent, some organizations are falling back on training to develop cybersecurity expertise, as opposed to hiring experts. There is a perceived need for DoD coursework to support this strategy. This need was mentioned by NSWC Dahlgren and NUWC Newport.
- Upcoming retirement of a significant portion of the workforce and the commensurate loss of domain knowledge and experience. This notion of loss of domain knowledge was brought up during almost all our engagements.
- Lack of trust among major organizations, particularly between acquisition and contractors, inhibits capability.
- Concern about transitioning to digital engineering due to a lack of talent and capability at all levels of seniority.
- Concern about skills relating to software and systems engineering and architecting; system security; analytics and machine learning. This is particularly true when hiring individuals who have the ability to go through the clearance process.

The topic of replacement of lost expertise due to retirement or other attrition led to some discussion pertaining to mentoring needs and enhanced training of the entry level workforce.

SURVEY RESULTS: INCOSE FELLOWS



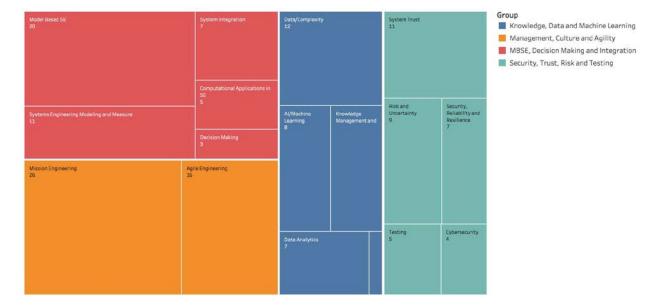
This section reflects the input received from the survey of the INCOSE Fellows, worldwide.

The general Systems Engineering Modeling and Measures along with research priorities in Model Based SE were by far the most common ones for the fellows, occupying 75% of the interests of Systems Engineering.

CONCLUSION

This project has provided a strong demand signal with regard to the research priorities in the DoD ecosystem, from the perspective of technical leaders. The five broad areas of research emphasis, based on the data collected area:

- 1. Multiple topics relating to Mission Engineering
- 2. Multiple topics relating to Agile Development and Engineering at the Scale of the Enterprise
- 3. Model Based Systems Engineering
- 4. Modeling and assessing System Trust, Security, and Resilience
- 5. Development of Pragmatic Methods to deal with and to leverage increasing Complexity and Analytics, and leveraging machine learning for this purpose.



Name and Title

Organization Address 1 Address 2

Subject: Systems Engineering Research Needs and Workforce Development Study

Dear XYZ:

The DASD, Systems Engineering, within the Office of the Secretary of Defense has created and funded a University Affiliated Research Center (UARC) to focus on systems engineering: the Systems Engineering Research Center (SERC). It is critical to supplement SERC research priorities with input from technical leaders at the various warfare centers within the Army, Navy, Air Force, and Marine Corps, given the SERC charter to conduct research leading to improved methods, tools, and processes to improve the practice of systems engineering on complex, complicated programs within the Department of Defense. This research task is focused on understanding the current state of engineering practice and the potential gaps that should be addressed by research.

This study will systemically address the following questions:

- 1. How do you apply systems engineering to complex programs? How do you address system and program resiliency and flexibility? What is the role of SE in analyzing needs and war-fighting capabilities, simulations/gaming, concept analyses, forming of CONOPS, program formulation and execution, test and integration, sustainment and capability evolution, and training?
- 2. What is your organizational policy on systems engineering? How do you assess program and system quality attributes? Are your workforce needs being met? Can you share with us your current state of practice with regard to engineering tools and environments?
- 3. From your point of view, what are the shortfalls, and key engineering and systems engineering challenges that should be addressed through focused research, leading to the development of new enabling methods, tools, and capabilities?

Our approach to these discussions will be very broad based to understand all engineering, technology, and workforce related matters of concern to the technical leaders at visited centers. Focus will be on support of the warfighter, particularly through rapid delivery of effective technology.

We would like to have several members, potentially including Ms. Baldwin (DASD (SE) – Acting), of our study group visit NAVSEA sometime during the week of October 16, subject to personnel availability.

During our visit we would like to be briefed on the overall NAVSEA mission, along with your current capabilities in engineering and systems engineering as discussed above. As part of this briefing, we would welcome your thoughts on engineering and systems engineering capability gaps that should be addressed via research, together with your thoughts on the development of the engineering workforce today and into the future. We would be able to provide you with a briefing on the Systems Engineering Research Center and its current research portfolio. Please suggest potential dates for our visit and provide a draft agenda at your earliest convenience. Supporting descriptive material that you might choose to provide in advance would be helpful.

Thank you for your assistance in this important endeavor. We look forward to our visit and to learning about the comprehensive range of NAVSEA activities.

Sincerely,

Fin h lesma

Dinesh Verma, Ph.D. Executive Director, SERC

Copy:

Ms. Kristen Baldwin, DASD (Systems Engineering), Acting Mr. Scott Lucero, Deputy Director, Strategic Initiatives, ODASD (Systems Engineering)

Attachment:

Systems Engineering Research Needs and Workforce Development Assessment Study TOR

Terms of Reference: Systems Engineering Research Needs and Workforce Development Assessment Study (SERC RT-174)

Background

The DoD makes a significant investment in research intended to improve systems engineering. The direction for this research is determined by responsible OSD personnel advised by an executive advisory board government experts, as well as industry experts, and active systems engineering researchers. This approach has been productive, but to develop a research agenda that directly addresses critical future warfighter needs, systems engineering shortfalls and opportunities and also future engineering workforce needs, research priorities must be understood from the point of view of DoD's laboratories and engineering centers.

Context and Rationale

The DASD for Systems Engineering, within the Office of the Secretary of Defense, has created and funded a University Affiliated Research Center (UARC) to focus on systems engineering research: the Systems Engineering Research Center (SERC). It is critical to supplement SERC research priorities with input from technical leaders at the various warfare centers within the Army, Navy, Air Force, and Marine Corps, given the SERC charter to conduct research leading to improved methods, tools, and processes to improve engineering on complex and complicated programs in the Department of Defense. This research task will be focused on reducing the air gap between such programs and the research being conducted by the faculty at the various SERC collaborator universities. The objective of this study will be to understand the specific systems engineering research and workforce needs at the various warfare centers, and to identify the common themes that extend across different DoD organizations.

Approach and Objectives

In close coordination with the DASD (SE), the research team will schedule visits to the various Army, Navy, Air Force, and Marine Corps warfare centers. They will also plan visits to MDA and selected IC organizations. Illustrative centers include:

- NSWC, NUWC and NAVAIR Various divisions
- SPAWAR
- SMC
- Army RDECs
- Army COE ERDC
- Army TRADOC, especially ARCIC
- AF SMC, ACC, and AFLCMC
- AFRL, NRL, ARL
- Representative PEOs
- Joint organizations such as MDA and USSOCOM
- Relevant FFRDCs and UARCs

The approach to these discussions will be broad-based to understand all engineering, technology, and workforce related matters of concern to the technical leaders at these centers. Focus will be on support of the warfighter, particularly through rapid delivery of effective technology.

Each visit will be memorialized with a visit report and white paper outlining the attendees, the agenda, any tours or lab visits, and key takeaways from the discussions regarding the pain points with regard to workforce related matters, research and development related matters, and related risks.

The various visit reports and white papers will then be consolidated into a final report and an executive presentation, identifying SE shortfalls and opportunities for research across the DoD engineering and warfare centers. The research patterns identified in this task will help guide systems engineering research priorities over the next two to five years and increase the return on investment of future SERC research tasks.

Deliverables

A008 Status report (bi-monthly)

A009 Technical and Management Work-plan (due at the start of the project)

A013 Final Technical Report (due at the completion date)

Stakeholders, Roles and Responsibilities, and the Format of the Study

The study will be conducted by the Core Study Team, with guidance and review by the Study Steering Team. Team members are delineated in Table 1.

Table 1: Study Team

Core Study Team:	Dr. Dinesh Verma, SERC (Stevens Institute of Technology) -					
	Chair of the Core Study Team					
	Dr. Paul Collopy, SERC (University of Alabama – Huntsville)					
	Dr. Spiros Pallas, SERC (Stevens Institute of Technology)					
Study Steering Team:	Ms. Kristen Baldwin, DASD (Systems Engineering), Acting					
	Mr. D. Scott Lucero, Deputy Director, Strategic Initiatives,					
	ODASD (Systems Engineering)					

APPENDIX B: LISTING OF ALL RESEARCH INTERESTS FROM ENGAGEMENT MINUTES

Research Objective	Туре	Group	Research Center
Knowledge Management	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	JPL
capture institutional knowledge	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	SNL - 1
paper based process, need consistent in information continuity and consistency.	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	SNL - 1
rigorous basis to underpin the information flows to prevent ambiguity and imprecision	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	JPL
System requirements do not address integrating one system with another.	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	Aerospace Corp
get knowledge driven operations	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	IC DoD - 1
Requirements today are written at the system or subsystem level, not at the mission level.	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	NUWC
Language Regularization	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	JPL
remove ambiguity from requirements specifications	Knowledge Management and Document/Requirements	Knowledge, Data and Machine Learning	JPL
good systems engineering in environments where everything is continually changing	Data/Complexity	Knowledge, Data and Machine Learning	Aerospace Corp
System Security at Scale	Data/Complexity	Knowledge, Data and Machine Learning	SNL - 2

What performance assessment data should be collected from autonomous systems	Data/Complexity	Knowledge, Data and Machine Learning	NSWC Corona
enhance the fidelity of condition based maintenance data	Data/Complexity	Knowledge, Data and Machine Learning	NSWC Corona
vast amounts of field data,	Data/Complexity	Knowledge, Data and Machine Learning	AMSAA
valuate the air worthiness of an increasingly more complex aviation/weapon system	Data/Complexity	Knowledge, Data and Machine Learning	WP AFB
Managing Complexity:	Data/Complexity	Knowledge, Data and Machine Learning	SNL - 1
measure system complexity (with an emphasis on software)	Data/Complexity	Knowledge, Data and Machine Learning	WP AFB
Agility at Scale	Data/Complexity	Knowledge, Data and Machine Learning	SNL - 1
Scalability	Data/Complexity	Knowledge, Data and Machine Learning	ESI TNO
Performance Assessment is challenged by the volume of data	Data/Complexity	Knowledge, Data and Machine Learning	NSWC Corona
Requirements are getting out of hand	Data/Complexity	Knowledge, Data and Machine Learning	JPL
everybody has a phone and data – complexity of the enterprise is unique	Data/Complexity	Knowledge, Data and Machine Learning	IC DoD - 2
Key drivers that will cause (embedded) sytem complexity to further increase	Data/Complexity	Knowledge, Data and Machine Learning	ESI TNO

Mission analysis and engineering for complex systems and SoS	Data/Complexity	Knowledge, Data and Machine Learning	NSWC Dahlgreen
Autonomous Topological Predictor- Corrector Learning	AI/Machine Learning	Knowledge, Data and Machine Learning	AFOTEC
Artificial intelligence	AI/Machine Learning	Knowledge, Data and Machine Learning	JPL
Machine Learning to focus on colleting and creating test metrics;	AI/Machine Learning	Knowledge, Data and Machine Learning	AFOTEC
AI to support Design:	AI/Machine Learning	Knowledge, Data and Machine Learning	SNL - 1
need for data analytics for digital twins	Data Analytics	Knowledge, Data and Machine Learning	NSWC Corona
Data analytics – infrastructure learning tools;	Data Analytics	Knowledge, Data and Machine Learning	AFOTEC
Data Analytics and Support of Man- Machine Teaming	Data Analytics	Knowledge, Data and Machine Learning	SNL - 2
Data Analytics	Data Analytics	Knowledge, Data and Machine Learning	SNL - 1
Data Analytics	Data Analytics	Knowledge, Data and Machine Learning	NSWC Dahlgreen
system analytics to develop the notion of a unique system specific DNA	Data Analytics	Knowledge, Data and Machine Learning	WP AFB
Increasing focus on model based testing	Model Based SE	MBSE, Decision Making and Integration	SNL - 1
model verification in the presence of small data sets	Model Based SE	MBSE, Decision Making and Integration	NSWC Corona

		MDCE Duiling	
how to verify and validate a model (particularly MBSE)	Model Based SE	MBSE, Decision Making and Integration	NUWC
translating natural language specifications and design documents into MBSE	Model Based SE	MBSE, Decision Making and Integration	NUWC
using MBSE to find flaws in interoperability	Model Based SE	MBSE, Decision Making and Integration	NUWC
potential of Model-Based Systems Engineering (MBSE) to connect disparate models	Model Based SE	MBSE, Decision Making and Integration	NAWC
System requirements do not address integrating one system with another.	System Integration	MBSE, Decision Making and Integration	Aerospace Corp
No Overhead of successful integration, e.g., 55% of cost if its not invented here	System Integration	MBSE, Decision Making and Integration	IC DoD - 2
rigorous processes for integrating existing components into new systems.	System Integration	MBSE, Decision Making and Integration	JPL
case study conducted on modularizing a system, documenting the costs and benefits of modularity	System Integration	MBSE, Decision Making and Integration	NUWC
Certification of systems that are being used much more beyond their design life	System Integration	MBSE, Decision Making and Integration	SNL - 1
Systems engineering needs to be applied at the enterprise level, across many systems	System Integration	MBSE, Decision Making and Integration	Aerospace Corp
cost of integration at the mission thread level	System Integration	MBSE, Decision Making and Integration	IC DoD - 2
invest in interoperability among platforms and systems	System Integration	MBSE, Decision Making and Integration	NAWC
What constraints to integrate faster	System Integration	MBSE, Decision Making and Integration	IC DoD - 2
communication mechanism to convey a sense of reality as new technologies evolv	System Integration	MBSE, Decision Making and Integration	WP AFB
What is the career development path that can produce a system architect?	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	AMSAA
system framework acknowledges requirements are not well known on the front end	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	NSWC Philadelphia

Mission Engineering seems like a step too far	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	WP AFB
Quantification of anything in SE	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	JPL
effectiveness of SE be measured	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	JPL
how do you characterize the system boundary	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	IC DoD - 2
Establish rigor in systems engineering for acquisition programs	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	NSWC Corona
allocate requirements from the mission level to the system level to the sub-system level	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	NSWC Philadelphia
new set of practical and utilitarian tools greater efficiency and effectiveness	Decision Making	MBSE,DecisionMakingandIntegration	WP AFB
developing integrated decision frameworks	Decision Making	MBSE,DecisionMakingandIntegration	Aerospace Corp
Integrated Decision Making and Portfolio Management:	Decision Making	MBSE, Decision Making and Integration	SNL - 1
Digital Engineering – Wish there was a practical notion of a roadmap	Computer Science Concepts Applications	MBSE, Decision Making and Integration	WP AFB
Value of information theory	Computer Science Concepts Applications	MBSE, Decision Making and Integration	IC DoD - 2
Is there way to systems engineering processes to improve programmatic constraints	Computer Science Concepts Applications	MBSE, Decision Making and Integration	IC DoD - 2
challenging the concept of phases and gates – and with computational design techniques	Computer Science Concepts Applications	MBSE, Decision Making and Integration	JPL
Testing for cyber physical systems	Testing	Security, Trust, Risk and Testing	AFOTEC

Testing	Security, Trust, Risk and Testing	AFOTEC
Testing	Security, Trust, Risk and Testing	AFOTEC
Testing	Security, Trust, Risk and Testing	AFOTEC
Testing	Security, Trust, Risk and Testing	NSWC Dahlgreen
Security, Reliability and Resilience	Security, Trust, Risk and Testing	WP AFB
Security, Reliability and Resilience	Security, Trust, Risk and Testing	WP AFB
Security, Reliability and Resilience	Security, Trust, Risk and Testing	Aerospace Corp
Security, Reliability and Resilience	Security, Trust, Risk and Testing	IC DoD - 2
Cybersecurity	Security, Trust, Risk and Testing	NSWC Corona
Cybersecurity	Security, Trust,	NSWC Corona
Cybersecurity	Security, Trust, Risk and Testing	NSWC Dahlgreen
Cybersecurity	Security, Trust, Risk and Testing	NAVSEA
System Trust	Security, Trust, Risk and Testing	NUWC
System Trust	Security, Trust, Risk and Testing	JPL
System Trust	Security, Trust, Risk and Testing	NSWC Dahlgreen
System Trust	Security, Trust, Risk and Testing	SNL - 2
System Trust	Security, Trust, Risk and Testing	SNL - 1
System Trust	Security, Trust, Risk and Testing	NUWC
System Trust	Security, Trust, Risk and Testing	AMSAA
System Trust	Security, Trust, Risk and Testing	AMSAA
System Trust	Security, Trust, Risk and Testing	NSWC Corona
System Trust	Security, Trust, Risk and Testing	IC DoD - 1
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integrated design frameworks: uncertainty multiple stakeholders, conflicting priorities.	Risk and Uncertainty		Security, Tr Risk and Testir	rust, 1g	Aerospace Corp
Risk needs to be addressed from a life cycle perspectiv	Risk and Uncertainty		Security, Tr Risk and Testir	rust, 1g	NSWC Corona
consider risks in a more integrated fashion	Risk and Uncertainty		Security, Tr Risk and Testir	rust, 1g	JPL
Movement from a policy culture to an incentive culture	Mission Engineering		Management, Culture Agility	and	IC DoD - 2
tension building the optimized architecture for "my mission" versus gotta get the job done	Mission Engineering		Management, Culture Agility	and	IC DoD - 2
constraints of the color of money and acquisition lead time	Mission Engineering		Management, Culture Agility	and	IC DoD - 2
install enterprise architecture and systems engineering into established culture	Mission Engineering		Management, Culture Agility	and	IC DoD - 2
Tension between the mission thread and owners of systems/programs.	Mission Engineering		Management, Culture Agility	and	IC DoD - 2
collaborative engineering of space systems, be extended into other domains	Mission Engineering		Management, Culture Agility	and	Aerospace Corp
spanning multiple languages, doctrine, and culture	Mission Engineering		Management, Culture Agility	and	Aerospace Corp
How does agile benefit or apply to systems engineering?	Agile Engineering		Management, Culture Agility	and	Aerospace Corp
exploring "quick ugly design approaches" to avoid closing down the design space	Agile Engineering		Management, Culture Agility	and	NUWC
How to effect agile engineering re balance	Agile Engineering		Management, Culture Agility	and	IC DoD - 2
capability to roll out new platforms is not so good - platforms requring decades	Development Deployment Rapidity	and	Management, Culture Agility	and	NAWC
Rapid deployment strategy instead ofs skipping acquisition steps	Development Deployment Rapidity	and	Management, Culture Agility	and	NSWC Corona
accelerating their technology development rate	Development Deployment Rapidity	and	Management, Culture Agility	and	NAWC
greater agility in system development at the scale of the enterprise	Development Deployment Rapidity	and	Management, Culture Agility	and	WP AFB

Reuse for Agility and Safety:	Development and Deployment Rapidity	Management, Culture and Agility	SNL - 1
manage the tension between programs of record on the one hand, and mission threads on the other	Project "Lego" Management	Management, Culture and Agility	NAVSEA
Being able to work threads better	Project "Lego" Management	Management, Culture and Agility	IC DoD - 2
How to manage the thread for operations	Project "Lego" Management	Management, Culture and Agility	IC DoD - 2
What are the resources required to integrate the Legos	Project "Lego" Management	Management, Culture and Agility	IC DoD - 2
multiple "lego pieces" in diverse geographical instances	Project "Lego" Management	Management, Culture and Agility	IC DoD - 1
small projects over a short duration; ability to invest in strategic matters is curtailed	Project "Lego" Management	Management, Culture and Agility	NSWC Philadelphia
cost data is overly optimistic with the "ecosystem" accepts it, causing overruns	Other	Other	NSWC Philadelphia
Customization	Other	Other	IC DoD - 1
Deployability	Other	Other	IC DoD - 1
All aspects of unmanned and autonomous systems	Other	Other	NAVSEA
install policies re admin policies	Other	Other	IC DoD - 2
policy impact: deal with unintended consequences	Other	Other	NSWC Philadelphia
Systyem Integration	System Integration	MBSE, Decision Making and Integration	SPAWAR
Systyem Integration Model Based Systems Engineering	System Integration Model Based SE	Making and	SPAWAR SPAWAR
		MakingandIntegrationMBSE,Makingand	
Model Based Systems Engineering Inherent Uncertainties and Lack of	Model Based SE	Making IntegrationandMBSE, Decision Making IntegrationandSecurity,Trust,	SPAWAR

Application of SE to complex programs	Data/Complexity	Knowledge, Data and Machine Learning	SPAWAR
Top actions to take to enhance SE to better serve missions	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	SPAWAR
What is the role of SE in analysis of war fighting capabilities	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	SPAWAR
System and program resiliency and flexibility	Security, Reliability and Resilience	Security, Trust, Risk and Testing	SPAWAR
Organizational policy in Systems Engineering	Mission Engineering	Management, Culture and Agility	SPAWAR
How to assess programs and systems	Systems Engineering Modeling and Measure	MBSE, Decision Making and Integration	SPAWAR
Speed to capability and thread identifications	Agile Engineering	Management, Culture and Agility	SPAWAR
Military Hardware and Equipment	Other	Other	NAVSEA - 2
Digital Transformations	Computer Science Concepts Applications	MBSE, Decision Making and Integration	NAVSEA - 2
Data Analytics	Data Analytics	Knowledge, Data and Machine Learning	NAVSEA - 2
Unmanned Systems	Other	Other	NAVSEA - 2
Artificial intelligence	AI/Machine Learning	Knowledge, Data and Machine Learning	NAVSEA - 2
Virtual Reality	Other	Other	NAVSEA - 2
Advanced Materials	Other	Other	NAVSEA - 2
Energy Harvesting	Other	Other	NAVSEA - 2