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Development of a Software-Defined Radar

by Benjamin Kirk, Jonathan Owen, Kyle Gallagher,
Anthony Martone, Kelly Sherbondy, Ram Narayanan, and
Shannon Blunt

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Development of a Software-Defined Radar

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14. ABSTRACT In wartime environments, radio frequency interference (RFI) is created by communication devices, jammers, and other electronic sources. The RFI spectrum is assumed to be nonstationary over time, which creates potential to disrupt desired radar operation. The cognitive radar system discussed herein mitigates the effects of RFI by sensing and adapting the transmitted waveform to the widest available (unoccupied) instantaneous bandwidth in real time. Consequently, the radar range resolution and target detection are ameliorated. Results of a prototype cognitive radar implemented on an Ettus X310 software-defined radio are presented.					
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Contents

List of Figures	iv
List of Tables	v
Acknowledgments	vi
1. Introduction	1
2. Hardware	1
3. Software	3
4. Conclusions	8
5. Future Work	12
6. References	16
Appendix A. UBX-160 Isolation vs. Frequency	17
Appendix B. Software-Defined Radio Receiver Cascade Calculations	19
Appendix C. Spurious Free Dynamic Range (SFDR) Test Setup	23
Appendix D. Phase Coherent Radar Virtual Instruments (VI)	27
List of Symbols, Abbreviations, and Acronyms	33
Distribution List	35

List of Figures

Fig. 1	PPS vs. I/O trigger rise times for phase coherent operations	3
Fig. 2	LabVIEW virtual interface for control of SDR and processing received data	4
Fig. 3	DC offset removal subVI implemented in LabVIEW.....	5
Fig. 4	Range profile subVI implemented in LabVIEW	6
Fig. 5	Producer–consumer loop example	7
Fig. 6	Full transmission bandwidth achieved using 2 160-MHz chirp waveforms.....	8
Fig. 7	Testbed for cognitive radar demonstration.....	9
Fig. 8	Received pseudorandom RFI.....	10
Fig. 9	Radar operation over a 160-MHz instantaneous bandwidth with interference	10
Fig. 10	Radar operation using optimal instantaneous transmission bandwidth	11
Fig. 11	Range profiles calculated from matched filter while transmitting chirp waveforms over the full transmission bandwidth (red) or the optimized bandwidth (blue)	12
Fig. 12	FPGA VI loop that ensures data are written to the BRAM address in a timed sequence and only executes when the user wants to change the output waveform	13
Fig. 13	Second-loop stage of the FPGA VI that reads data from the BRAM and writes them to a FIFO buffer before outputting the waveform....	14
Fig. 14	Second-loop stage of the FPGA VI that reads data from the BRAM and stores them in a FIFO buffer. The disabled code in the last step of the sequence is part of the debugging process.....	14
Fig. 15	Transmit loop on the FPGA VI that reads the waveform from the FIFO buffer and outputs the samples. A state machine is used to transmit the waveform when it is available and output zeros if idle.....	15
Fig. A-1	Isolation (dB) vs. frequency (MHz) for the RF front-end chain (UBX-160 daughterboards) of the universal software radio peripheral (USRP) X310	18
Fig. B-1	Noise figure and gain calculations for the RF front-end chain (UBX-160 daughterboards) of the USRP X310	20
Fig. B-2	Cascaded noise figure, gain calculations, and saturation detection for the RF front end chain (UBX-160 daughterboards) of the USRP X310	21
Fig. C-1	Received intermodulation products for SFDR calculation.....	24

Fig. C-2	SFDR measurement at center frequency $f_c = 50 \text{ MHz}$	25
Fig. D-1	LabVIEW user interface for control of the software-defined radio (SDR) and processing received data. The left panel controls transceiver (Tx) and receiver (Rx) channel configuration. The middle panel selects the displayed data. The right panel controls various data monitoring operations.....	28
Fig. D-2	High-level block diagram of host virtual instrument for the phase coherent SDR system. All following figures describe specific operation details, including field-programmable gate array (FPGA) first-in, first-out (FIFO)..	28
Fig. D-3	Transmitter loop that includes logic for streaming the Tx waveform to the FPGA FIFO for transmission, loading parameters from the output of the spectrum sensing, multi-objective optimization (SS-MO) algorithm, and Tx channel waveform reconfiguration upon the user's request.....	29
Fig. D-4	Producer loop that fetches received data from the universal software radio peripheral (USRP) FPGA and adds them to the processing queue that is initialized outside the loop. Logic is also included to reconfigure certain receiver parameters upon the user's request.....	29
Fig. D-5	Consumer loop for processing the acquired receiver data. Upper left: Loop reads an element from the queue, performs calibration corrections, and passes data into the main case statement that updates the display every 100 ms—saving resources on host computer. Bottom right: Main case statement can perform data-saving operations, instantiate the SS-MO algorithm based on the present received data, and plot processed data. Top right: Calculates a “flicker” rate caused by an unknown issue where blank data are received due to missed synchronization triggers or lack of available transmission data from stream failure.	30
Fig. D-6	First half of the trigger loop: loop generates synchronized transmit and receive software triggers and writes them to the FPGA. Timer is user configurable.	30
Fig. D-7	Second half of the trigger loop: this loop generates synchronized transmit and receive software triggers and writes them to the FPGA. Timer is user configurable. Last frame of the flat sequence writes a true and then false to create a “rising edge” for the FPGA to detect. .	31

List of Tables

Table 1	Gain, noise figure, and output power with input power $P_{in} = -15 \text{ dBm}$ and variable attenuation $P_{var} = -10 \text{ dB}$	3
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1. Introduction

The Ettus X310 software-defined radio (SDR) is a cheap alternative to creating custom radio systems for research and reconfigurable prototyping. Using National Instruments LabVIEW, software necessary for developing a cognitive radar prototype on the Ettus X310 SDR was developed. This technical report outlines the benefits and drawbacks of the SDR hardware and LabVIEW software control for prototype development.

The fundamental hardware limitations of the Ettus X310 and associated UBX-160 transceiver daughterboards are introduced. The developed LabVIEW functionalities for interfacing with the SDR hardware are specified, followed by a description of anticipated future work.

2. Hardware

The Ettus X310 in use is equipped with the following hardware^{1,2}:

- Two 14-bit, 200-MS/s analog-to-digital converters
- Two 16-bit, 800-MS/s digital-to-analog converters
- XC7K410T field-programmable gate array (FPGA) containing 28 Mb of block random-access memory (BRAM)³
- 1 GB of dynamic RAM (DRAM)
- Two UBX-160 transceiver daughterboards
- 4 × peripheral component interconnect express (PCIe) and 10-Gb Ethernet communication interfaces
- GPS-disciplined oscillator (GPSDO)
- 15-pin, low-current, 3.3-V, auxiliary general purpose input/output (GPIO)
- 10-MHz reference clock input or output synchronization
- Pulse per second (PPS) trigger input and output (I/O) for firmware control

The SDR, which was populated with UBX-160 daughterboards, has a frequency range support of 50 MHz to 6 GHz. The raw stream rate of data, using the full transceiver duplex at maximum channel bandwidths, is 2.98 GB/s*. The current

* $200 \text{ MSPL/s per channel} \times 4 \text{ channels} \times 4 \text{ Bytes/SPL} \div 2^{30} = 2.98\text{-GB/s stream rate}$

state of software control only allows the use of 2 complex channels due to the data stream rate limitations of the PCIe interface[†]. The 10-Gb Ethernet interface is not currently supported for use with custom FPGA reconfiguration. Complex received data are streamed to the host computer for further processing. The size of each data block can be set according to user specifications.

Each UBX-160 transceiver daughterboard has one receiver (Rx) and one transceiver (Tx) port. The isolation between these ports with no cable connection varies between 25–60 dB depending on the frequency of operation. Each Rx channel has approximately 45 dB of image rejection during in-phase/quadrature (I/Q) demodulation. Isolation versus frequency is shown in Appendix A, Fig. A-1.

The SDR has an internal local oscillator (LO) phase noise of -90 dBc/Hz at a 1-kHz offset, or a frequency accuracy of 2.5 ppm. The GPSDO reduces the phase noise to -142 dBc/Hz at a 1-kHz offset. The GPSDO improves the frequency accuracy to 20 ppb, or 0.1 ppb if the GPS is synchronized with a GPS constellation.

The 15-pin auxiliary GPIO can read or write low-frequency square wave signals. The GPIO breakout could potentially be used to control multiple SDRs simultaneously from a master controller. The PPS trigger was originally programmed for synchronizing timestamps between multiple devices. By reconfiguring the internal FPGA, the PPS trigger is currently programmed to act as a square wave trigger with user-defined periodicity. A comparison of GPIO and PPS rise times for triggering a waveform output is shown in Fig. 1. The PPS trigger is superior to the GPIO for accurate triggering due to faster rise time and should be used for triggering other RF devices.

[†] $4 \times$ PCIe, version 1.0 is limited to 2-GB/s stream rate (1 GB/s uplink and 1 GB/s downlink)

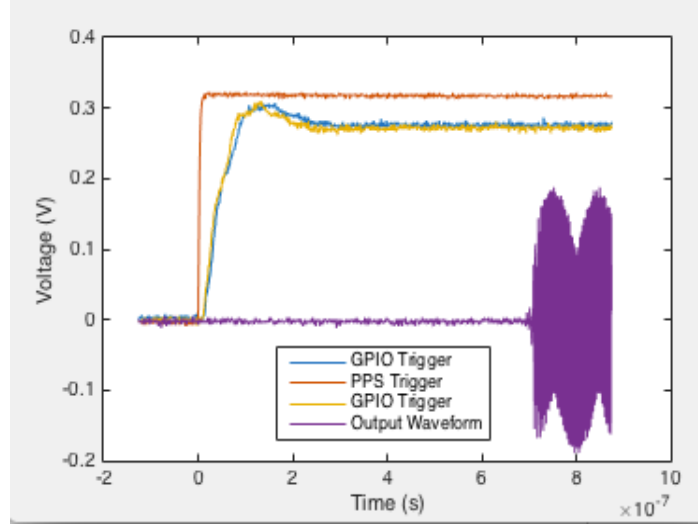


Fig. 1 PPS vs. I/O trigger rise times for phase coherent operations

The SDR receiver hardware is configured according to the user-programmed center frequency. The following receiver frequency bands have different hardware chain configurations: 50–500 MHz, 500 MHz to 1.5 GHz, and 1.5–6 GHz. A programmable variable attenuator determines the total receiver gain, which can be varied between -6.5 and 21.2 dB. Theoretical values of gain and noise figure are listed in Table 1 for the receiver frequency bands with a constant input power of -15 dBm and of the variable attenuator set to 10 dB. Using component datasheets, the theoretical receiver operation values have been determined in a spreadsheet presented in Appendix B.

Table 1 Gain, noise figure, and output power with input power $P_{in} = -15$ dBm and variable attenuation $P_{var} = -10$ dB

	Frequency band 50 MHz to 500 MHz	Frequency band 500 MHz to 1.5 GHz	Frequency band 1.5 GHz to 6 GHz
Total gain (dB)	15.0	23.6	20.2
Noise figure (dB)	13.3	5.0	7.9

The spurious free dynamic range (SFDR) of each receiver channel is between 79 dB and 86 dB across the frequency range of 50 MHz to 5.5 GHz. A description of the test setup for calculating SFDR is presented in Appendix C.

3. Software

A 2-channel phase coherent radar was developed by making modifications to the LabVIEW FPGA example virtual instruments (VI) screen-oriented text editor “USRP Simple Streaming with Time”.⁴ An overview of the radar utilities and

current software limitations are presented in this section. The front panel of the host interface for the SDR is shown in Fig. 2. The interface displays transceiver channel configuration in the left pane, plots results in the center pane, and data control in the right pane. Figures describing the major components of the LabVIEW software are described in Appendix D.

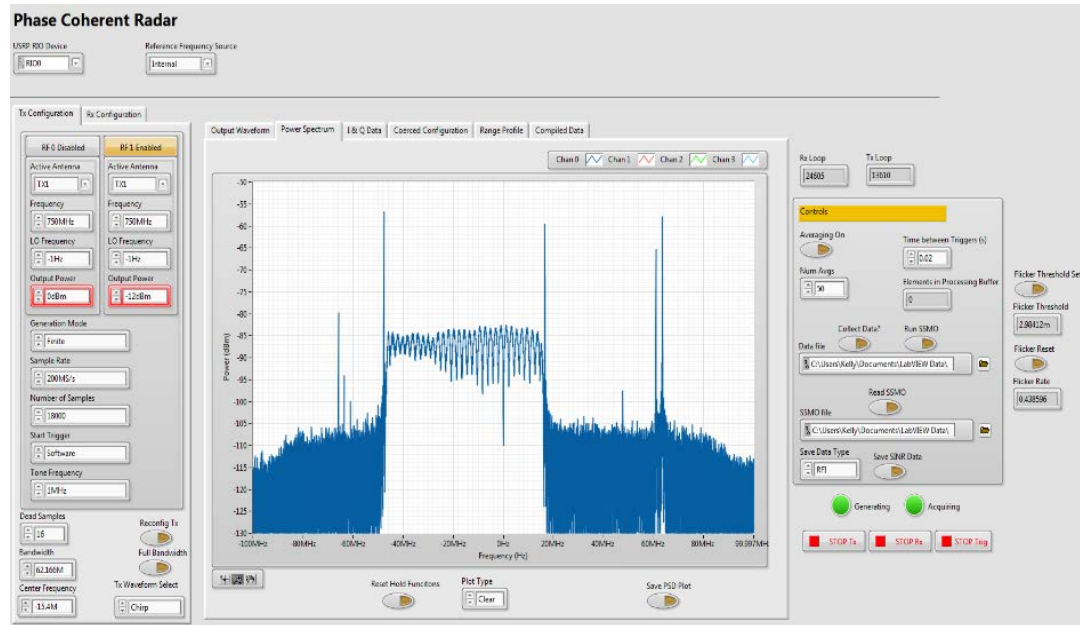


Fig. 2 LabVIEW virtual interface for control of SDR and processing received data

Currently, only 2 complex transmission or reception channels can be used simultaneously due to data-processing rate limitations. Utilization of 1 transmission channel and 1 reception channel allows for phase coherent radar operation. Important features added to the LabVIEW FPGA template for phase coherent radar functionality are listed below. (A software description of each function is described in the remainder of this section.)

- Carrier cancellation
- Coherent averaging
- Range profile
- Power spectrum with calibrations
- Max/min hold
- I/Q data capture
- Producer-consumer loops

- Sinusoid, sinc impulse, and chirp waveform transmission
- Transmitter channel reconfiguration on the fly
- Software-defined triggering signals

Due to LO phase interference with the RF signal carrier, a prominent LO leakage is found after frequency down-conversion at DC. To cancel out the DC carrier re-emergence, the mean complex value of the received data are subtracted from the complex data. Figure 3 demonstrates the block logic used to achieve DC cancellation of the received baseband data.

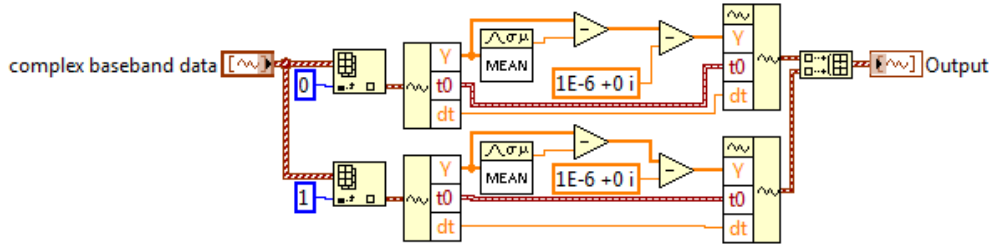


Fig. 3 DC offset removal subVI implemented in LabVIEW

The matched filter range profile is calculated in real time using fast Fourier transform (FFT) operations to perform a cross-correlation between the transmitted waveform and the received complex data. Figure 4 demonstrates the block logic used to achieve real-time range profile generation using an applied matched filter. The range profile is defined mathematically in terms of the transmitted signal $s(f)$ and the received signal $x(f)$ in Eq. 1:

$$r(t) = \mathcal{F}^{-1}\{x(f)s(f)^*\}. \quad (1)$$

The range profile is formed by performing the FFT of the transmitted and received signal, applying windowing in the frequency domain, conjugating the transmitted signal, performing a point-by-point multiplication of the 2 signals, and then computing the inverse FFT of the product. The output is converted from volts to dBV and is output on the bottom right wire. The 2 wires on the top right are used to generate an appropriate x-axis for the range profile plot.

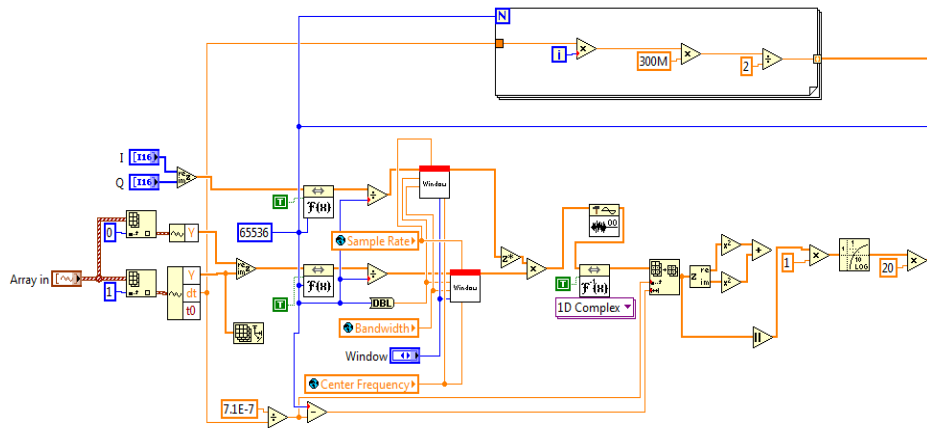


Fig. 4 Range profile subVI implemented in LabVIEW

The power spectra of received waveforms are calculated using an FFT with zero padding for precise frequency resolution. The received waveform power spectra are calibrated to the front ports of the SDR using given UBX-160 specifications and measurements made using a calibrated spectrum analyzer.⁵ Calibrations account for the variable attenuation. Maximum and minimum hold functions can be applied to the received power spectra. These hold functions are implemented by comparing the current spectrum plot, calculated from a received data block at time t_1 , to the subsequent received spectrum, calculated from a received data block at a later time t_2 . Each discrete frequency is then compared and the maximum or minimum power value at each frequency is maintained. Additionally, the complex received signal that is processed through LabVIEW may be saved to an external comma separated values (csv) file from the VI user interface.

Because multiple signal-processing operations are occurring continuously, producer-consumer loops are implemented to regulate processing traffic and allocate processing blocks to critical operations. The producer-consumer loops act as intermediary data buffers on the host computer.⁶ The producer loop instantiates host code to interface and acquire received data from the SDR. The acquired signal data are then sent from the producer loop to the consumer loop, which performs signal processing and displays data on the user interface. Without producer-consumer loops, received data may be lost or operations may become unsynchronized. The host computer's operations must be computationally efficient so that the producer loop queue does not occupy the host memory. An indicator of the number of producer elements in queue exists in the data control pane of the user interface for analyzing host-side computational efficiency. An example of the standard structure for producer-consumer loops in LabVIEW is shown in Fig. 5.

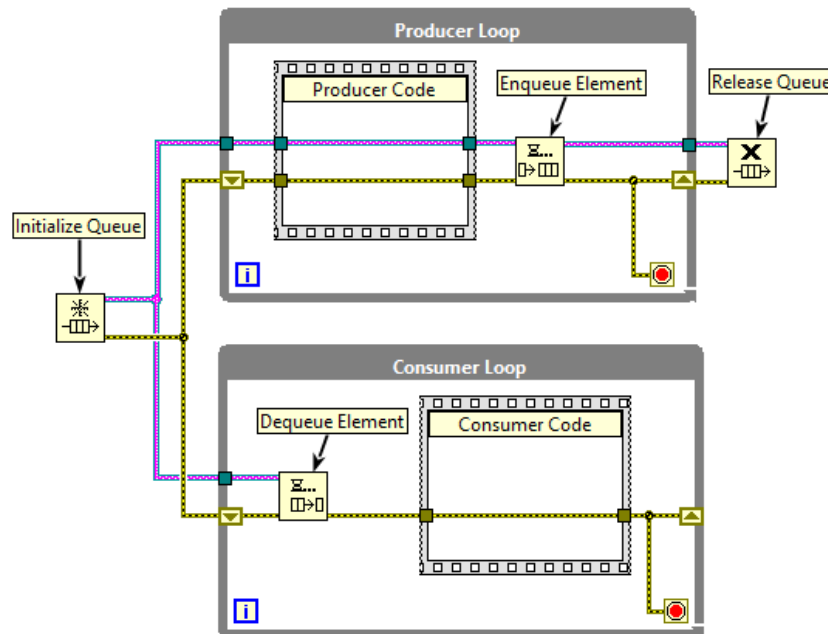


Fig. 5 Producer-consumer loop example

The updated transmitter software includes the ability to alternate between single tone, sinc pulse, and chirp waveform transmissions. Carrier frequency, bandwidth, and waveform sample resolution may be changed on the fly during radar operation. Transmitted waveforms may be time delayed by zero padding the beginning of the signal. Previous attempts to change the carrier frequency and sample rate of the receiver on the fly caused undesired effects and often required the SDR to be reset for proper reconfiguration.

The software-defined trigger is the most significant feature needed to realize a functional radar with transmitter and receiver channel synchronization. In the standard FPGA software template, continuous and finite modes of operation for the transmitter and receiver are available. The continuous mode triggers the receiver and transmitter to operate as fast as possible with no synchronization. The finite mode allows for the specification of a trigger source. Because no reliable trigger source is provided, the firmware of the PPS internal source was reconfigured to operate as a synchronization trigger (see hardware description). The host computer's software is programmed to operate on a timed loop that controls a trigger signal that is sent to the PPS source and transceiver channels to synchronize processes. The frequency of the trigger source is specified by the user in the data control pane of the VI. The trigger time period is reconfigurable on the fly. If the trigger period becomes too small and the host computer cannot process data fast enough, then the data buffer will overflow and cause LabVIEW to crash. The indicator of the number of elements in the producer loop buffer should be monitored

to ensure it is not increasing rapidly. Solutions to decrease the computational speed of the host computer will be investigated in the future.

Utilization of 2 transmission channels to achieve a maximum transmission bandwidth of 320 MHz is supported while no reception channels are in use. Two adjacent 160 MHz chirp waveforms are shown in Fig. 6. Each transmitter channel is capable of arbitrary waveform generation.

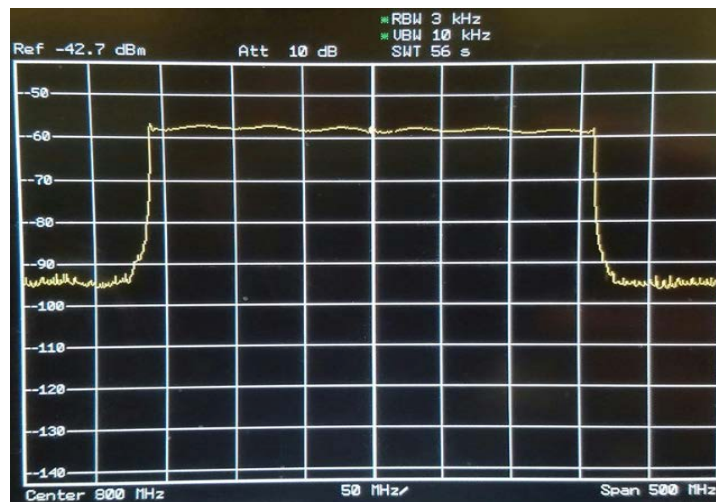


Fig. 6 Full transmission bandwidth achieved using 2 160-MHz chirp waveforms

4. Conclusions

The benefits of using cognitive radar are best demonstrated in terms of target detection capabilities. The test setup in Fig. 7 is used for demonstrating cognitive radar operations using the SDR and an Agilent M8190A arbitrary waveform generator (AWG). Green arrows denote a PCI express connection and blue lines indicate coaxial RF connections.

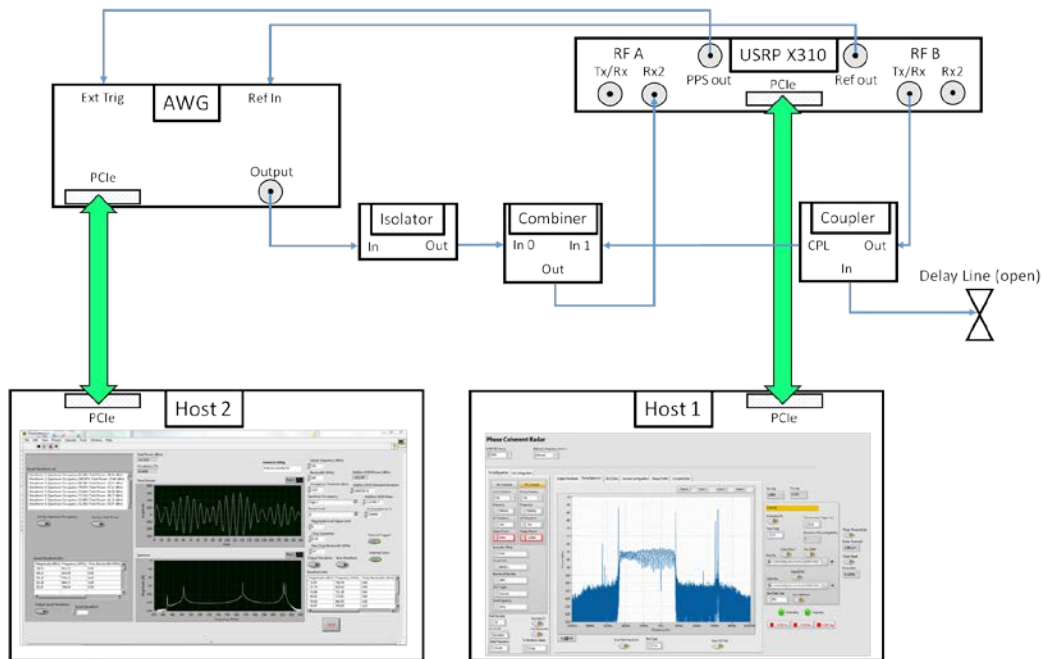


Fig. 7 Testbed for cognitive radar demonstration

The transmitter in the SDR is capable of transmitting diverse waveforms, including chirp waveforms with a triggered pulse repetition interval. A transmitted chirp waveform was coupled into a delay line. The unterminated delay line emulates a point target at a distance equal to the length of the delay line divided by its velocity factor. The reflection from the open-ended delay line was coupled into an RF signal combiner. To emulate a time-varying RF environment, an AWG was used to generate pseudorandom spectra. The spectra consist of randomly distributed sinusoids and chirp waveforms. The selection of percent occupancy and total energy of the pseudorandom spectra was influenced by data collected outdoors with a spectrum analyzer at Adelphi Laboratory Center in Adelphi, Maryland. The signal combiner was connected to the receive port of the SDR. An example spectrum of radio frequency interference (RFI) received on the SDR is displayed in Fig. 8.

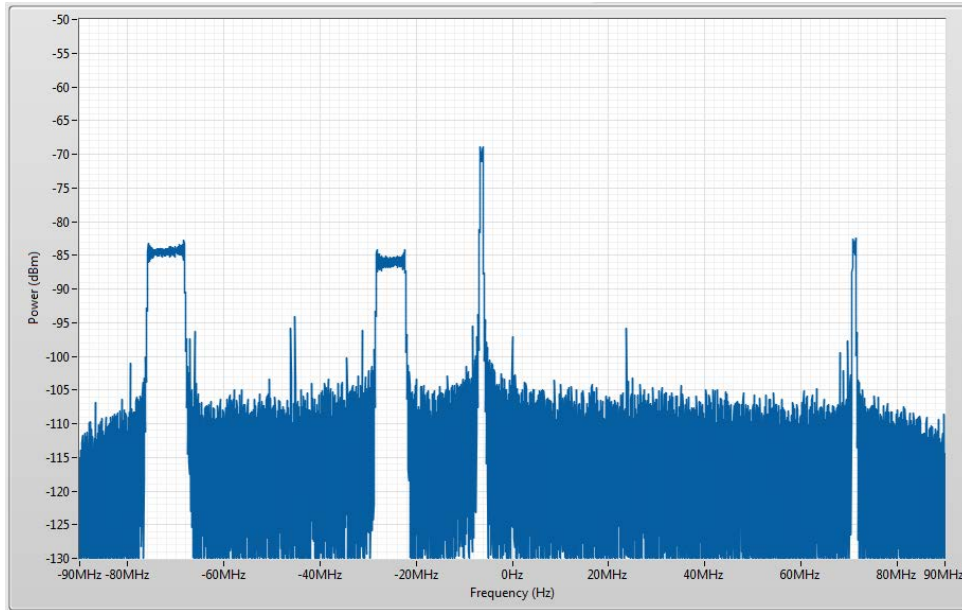


Fig. 8 Received pseudorandom RFI

Without a spectrum sensing algorithm, a standard radar system transmitting a chirp waveform may operate over the full 160 MHz of instantaneous bandwidth without considering RFI. Small radar targets would be difficult to identify due to the signal corruption caused by the RFI while operating over the full transceiver bandwidth. Radar operation over the full instantaneous bandwidth with interference is shown in Fig. 9.

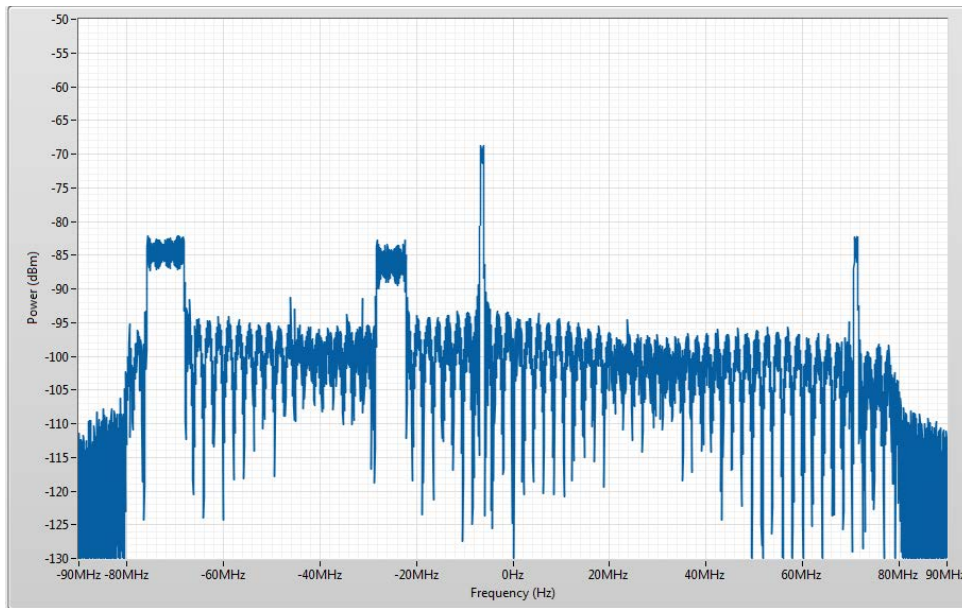


Fig. 9 Radar operation over a 160-MHz instantaneous bandwidth with interference

The cognitive radar implemented on the SDR operates using the spectrum sensing, multi-objective optimization (SS-MO) algorithm,⁷ which determines the widest unoccupied frequency band based on total energy and percent occupancy. The optimal bandwidth parameters from the SS-MO algorithm are used to update the occupied band of the transmitted waveform and avoid RFI. All devices are connected through a network-attached storage that is capable of communicating with all systems and storing collected data. Upon receiving the optimal band parameters from the SS-MO algorithm, the SDR is reconfigured to transmit within the desired instantaneous band. The reconfigured chirp waveform that is avoiding RFI is shown in Fig. 10.

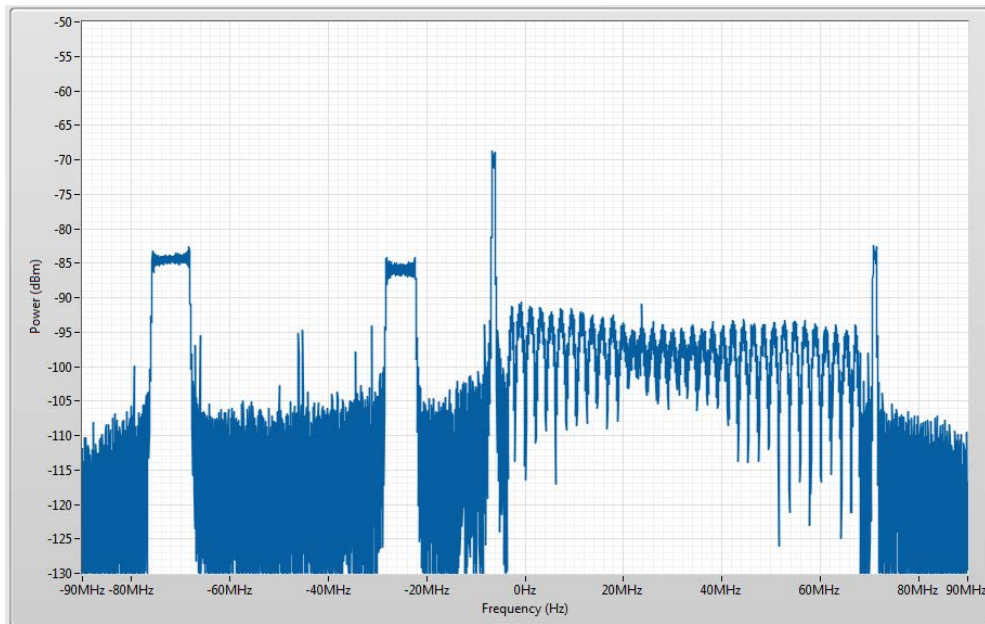


Fig. 10 Radar operation using optimal instantaneous transmission bandwidth

By reconfiguring the transmitter operating band to an unoccupied frequency range, the target detection capability is significantly improved. Figure 11 displays the range profile determined from the given test setup transmitting a chirp waveform occupying the full transmission bandwidth and the optimal transmission bandwidth. The range profile determined using the full 160-MHz transmission bandwidth appears in red, while the range profile determined using the reconfigured optimum transmission is shown in blue. While operating over the full transmission bandwidth, the range profile has a relatively high noise floor due to RFI, which masks a small third target caused by reflections in the delay line. By reconfiguring the chirp waveform to operate in the optimal bandwidth, the range profile noise floor decreases significantly such that the third target can be easily detected. It is noted that by operating over a narrower bandwidth, the range resolution becomes

coarser. Range resolution is related to waveform bandwidth, B , by Eq. 2. From this framework, the operation of the SS-MO algorithm in a cognitive radar system to improve target detection has been validated.

$$\Delta R \approx \frac{c}{2B} . \quad (2)$$

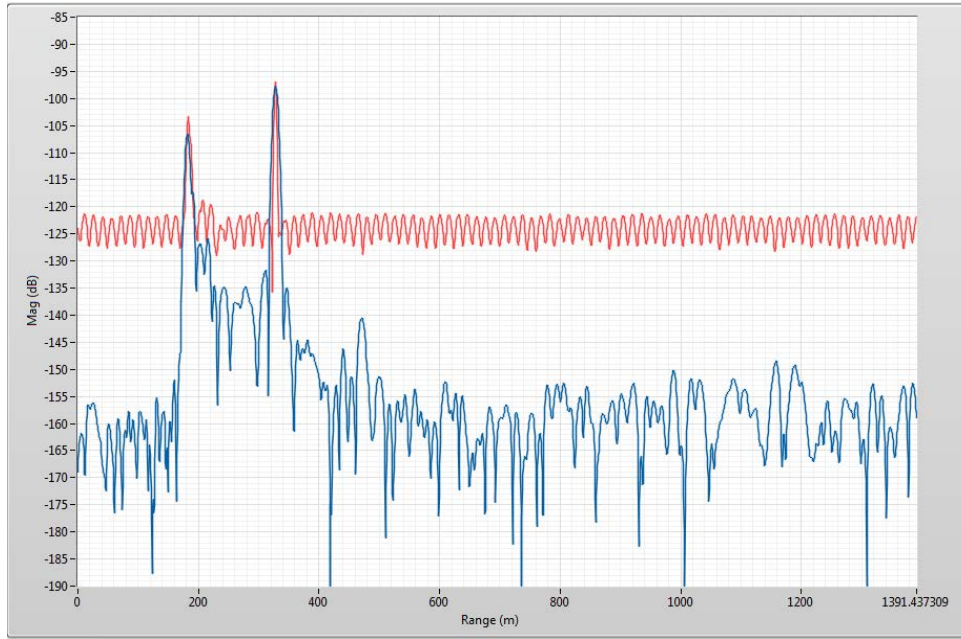


Fig. 11 Range profiles calculated from matched filter while transmitting chirp waveforms over the full transmission bandwidth (red) or the optimized bandwidth (blue)

5. Future Work

Multiple updates to the current VI will be investigated in the future. The most desired functional improvement is to operate the transmitter channel outputs using the FPGA functions. This implementation would allow allocation of streaming rates for other purposes between the host computer and SDR. Other desirable implementations are to

- Operate post-processing operations on the FPGA.
- Create an operation mode for rapid acquisition of data with little data processing.
- Improve data rates by utilizing FPGA block RAM.
- Limit the number of transceiver samples with first-in, first-out (FIFO) sizes.
- Implement real-time cognitive radar operation.

- Operate SSMO on FPGA using sequential operations.
- Investigate utilization of the 1-GB peripheral DDR3 RAM.
- Achieve nonlinear phase coherency between multiple channels.
- Improve multi-device time synchronization.
- Achieve wider bandwidths over time using frequency band stitching.
- Add further basic network analyzer capabilities.
- Reduce crosstalk between channels via hardware modifications.
- Improve consistency of triggered operations and real-time waveform reconfigurations.

Implementation of arbitrary waveform generation on the FPGA is under investigation. The Kintex-7 FPGA is capable of interacting with either its own internal BRAM or the peripheral DRAM. The BRAM that is hosted by the FPGA requires fewer handshaking protocols to interface with than the DRAM. By coding all transmission operations onto the FPGA, the full duplex operation with maximum transmitter and receiver bandwidths could be achieved. Simple read and write operations from host to BRAM were verified to work properly using a test bench that significantly simplified the FPGA code. However, when it was attempted to add the BRAM as a stage between the host and transmitter, the expected performance did not occur. The attempted BRAM implementation is shown in Figs. 12–15.

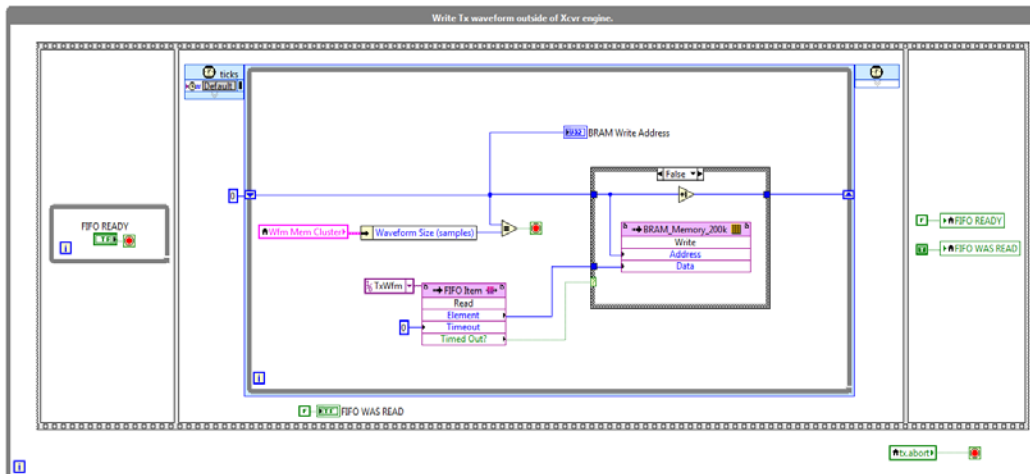
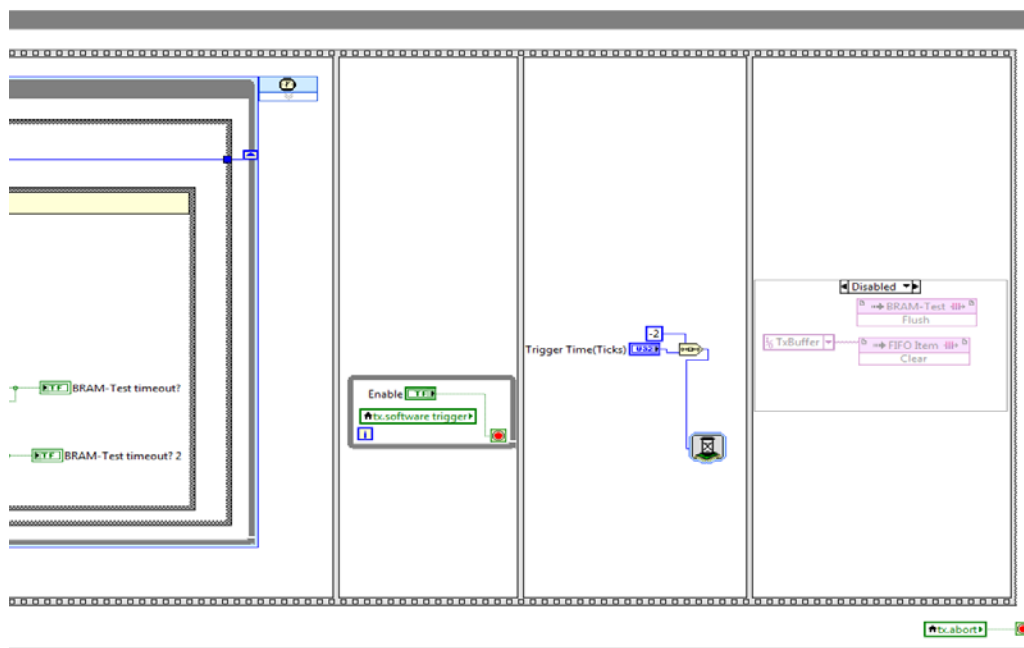
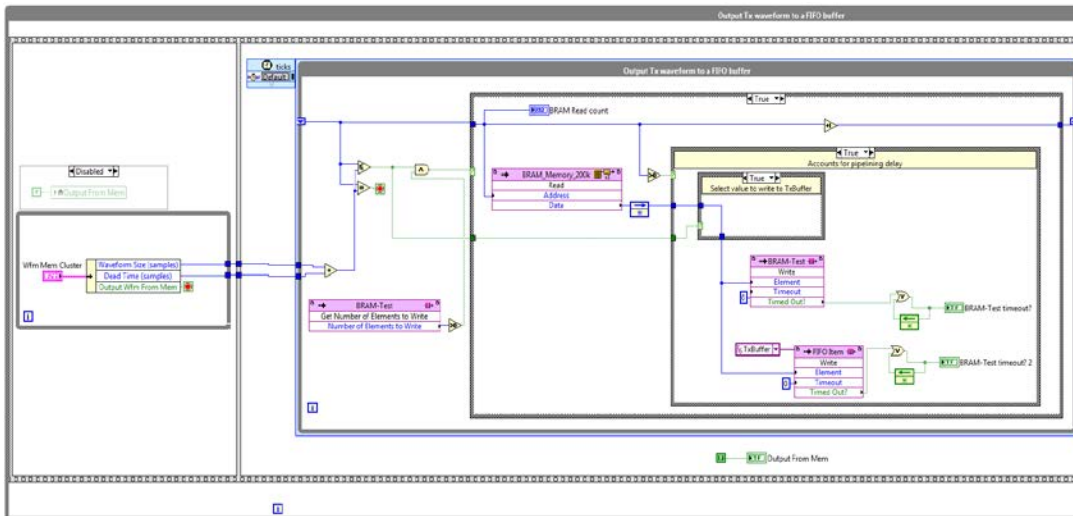


Fig. 12 FPGA VI loop that ensures data are written to the BRAM address in a timed sequence and only executes when the user wants to change the output waveform



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Appendix A. UBX-160 Isolation vs. Frequency

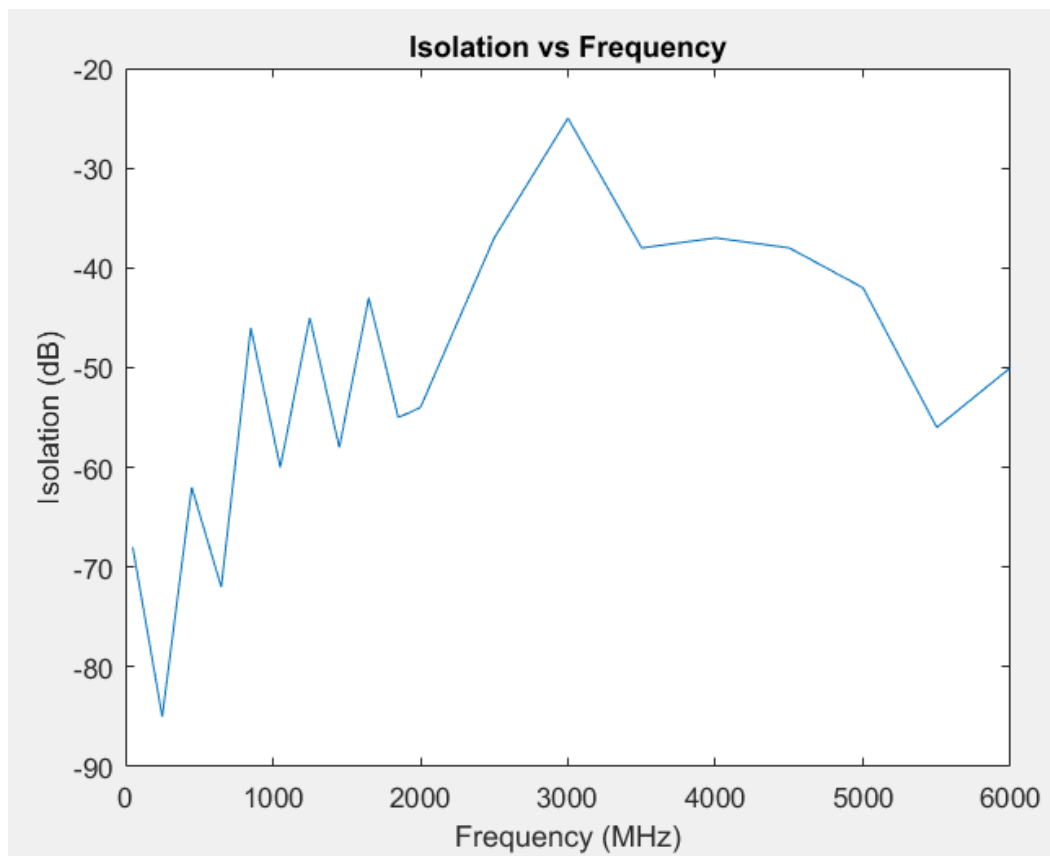


Fig. A-1 Isolation (dB) vs. frequency (MHz) for the RF front-end chain (UBX-160 daughterboards) of the universal software radio peripheral (USRP) X310

Appendix B. Software-Defined Radio Receiver Cascade Calculations

User inputs		SFR Rx					
Input power (dBm):		-15			Calculated values		
Item	Description	Gain (dB)	NF (dB)	1dBCO (dBm)	Gain (1:1)	NF(1:1)	1dBCI (dBm)
CBL	Cable	-0.5	0.5	300	0.891251	1.122018	300.5
SW1	AS236-321LF	-1.3	1.3	32.7	0.74131	1.348963	34
A1	MGA-62563	20.4	1.4	17.7	109.6478	1.380384	-2.7
F1	LFCN-1700+	-0.97	0.97	300	0.799834	1.250259	300.97
SW2	SKY13350-385LF	-0.8	0.8	24.2	0.831764	1.202264	25
AT1	HMC624ALP4e	-10	10	20	0.1	10	30
SW3	SKY13350-385LF	-0.8	0.8	24.2	0.831764	1.202264	25
AT2	N/A	-1.5	1.5	300	0.707946	1.412538	301.5
F2	LFCN-2600+	-1.2	1.2	300	0.758578	1.318257	301.2
F3	LFCN-490+	-1.2	1.2	300	0.758578	1.318257	301.2
Mix1	LTC5510	3	13	14.6	1.995262	19.95262	11.6
AT3	N/A	-1.5	1.5	300	0.707946	1.412538	301.5
F4	855916	-5	5	300	0.316228	3.162278	305
F5	LFCN-2600+	-1.2	1.2	300	0.758578	1.318257	301.2
SW4	SKY13350-385LF	-0.8	0.8	24.2	0.831764	1.202264	25
A2	NBB-400	15.5	4.3	13	35.48134	2.691535	-2.5
AT4	N/A	-1.5	1.5	300	0.707946	1.412538	301.5
TF1	TCM1-63AX+	-2.5	2.5	300	0.562341	1.778279	302.5
IQ_split	ADL5380	6.9	10.9	16.9	4.897788	12.30269	10
NOTE: AT1 is variable (0 to -32 dB)							

Fig. B-1 Noise figure and gain calculations for the RF front-end chain (UBX-160 daughterboards) of the USRP X310

Cascaded values								
Gain (1:1)	NF(1:1)	Gain (dB)	NF (dB)	Output Power (dBm)	Compressed?	Generating Harmonics	Sig.	NFDEG (dB)
0.891251	1.122018	-0.5	0.5	-15.5	NO	NO		0.5
0.660693	1.513561	-1.8	1.8	-16.8	NO	NO		1.3
72.4436	2.089296	18.6	3.2	3.6	NO	NO		1.4
57.94287	2.092751	17.63	3.207175	2.63	NO	NO		0.00717489
48.19478	2.096241	16.83	3.214413	1.83	NO	NO		0.007238097
4.819478	2.282984	6.83	3.585028	-8.17	NO	NO		0.370615006
4.008667	2.324952	6.03	3.664139	-8.97	NO	NO		0.079111471
2.837919	2.427863	4.53	3.852242	-10.47	NO	NO		0.188102587
2.152782	2.540008	3.33	4.04835	-11.67	NO	NO		0.196108047
1.633052	2.687843	2.13	4.294038	-12.87	NO	NO		0.245688359
3.258367	14.29349	5.13	11.55138	-9.87	NO	NO		7.257344027
2.306747	14.4201	3.63	11.58968	-11.37	NO	NO		0.038299505
0.729458	15.35747	-1.37	11.8632	-16.37	NO	NO		0.273514331
0.55335	15.79376	-2.57	11.98486	-17.57	NO	NO		0.121659247
0.460257	16.15929	-3.37	12.08422	-18.37	NO	NO		0.099366611
16.33052	19.83449	12.13	12.97421	-2.87	NO	NO		0.889987662
11.56112	19.85975	10.63	12.97974	-4.37	NO	NO		0.005527775
6.501297	19.92707	8.13	12.99443	-6.87	NO	NO		0.014696403
31.84198	21.6656	15.03	13.35771	0.03	NO	NO		0.363272409

Fig. B-2 Cascaded noise figure, gain calculations, and saturation detection for the RF front-end chain (UBX-160 daughterboards) of the USRP X310

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Appendix C. Spurious Free Dynamic Range (SFDR) Test Setup

Two isolated signal generators each generate a single tone. The tones are separated by 10 MHz in frequency and are merged using a high-isolation power combiner. The combined first-order tones are input to the front end of the software-defined radio (SDR) receiver.

After passing through the receiver chain, third-order intermodulation products are created by nonlinear components. The powers of the first-order input tones are compared to the powers of the nearby third-order intermodulation products. With a 1:1 increase of power for the 2 first-order tones, a 3:1 increase of power is expected for the 2 third-order harmonics when the input power is varied. A screen capture of the first-order and third-order tones is shown in Fig. C-1.

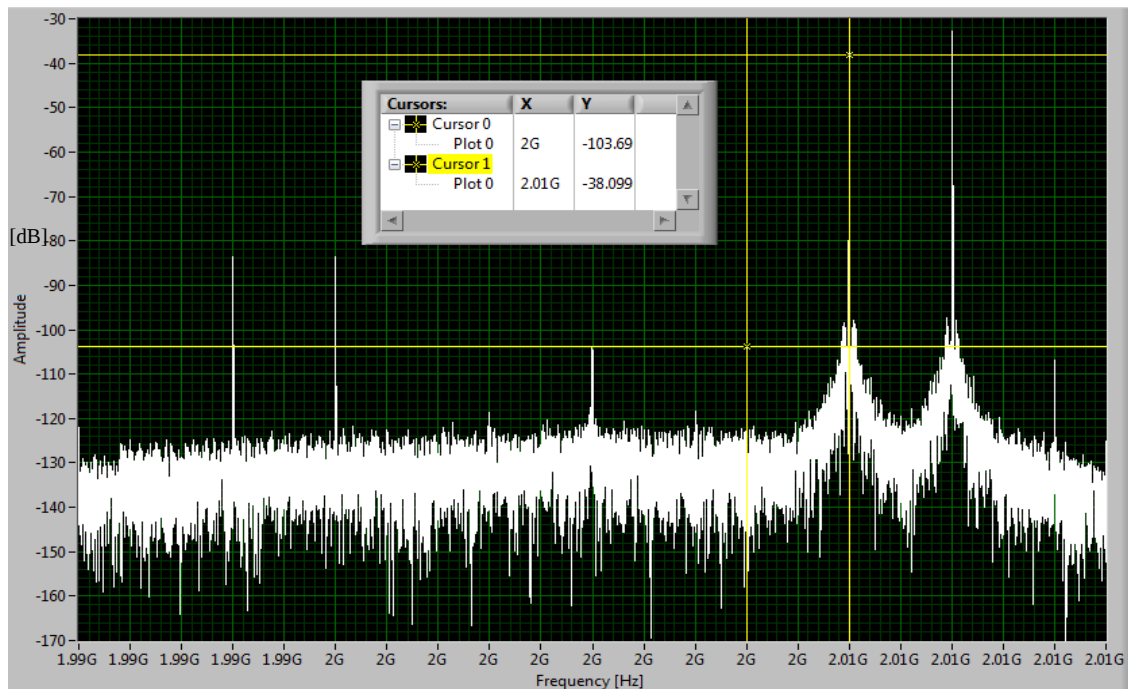


Fig. C-1 Received intermodulation products for SFDR calculation

The received first-order tone and third-order tone powers are plotted against the known input signal powers. A line of best fit is created for the first-order powers and for the third-order intermodulation powers. The SFDR is the difference in power between the first-order tones and third-order intermodulation products where the third-order line of best fit intercepts the noise floor (i.e., there are no detectable “spurs” generated by the receive chain for a given input power). One result is shown in Fig. C-2.

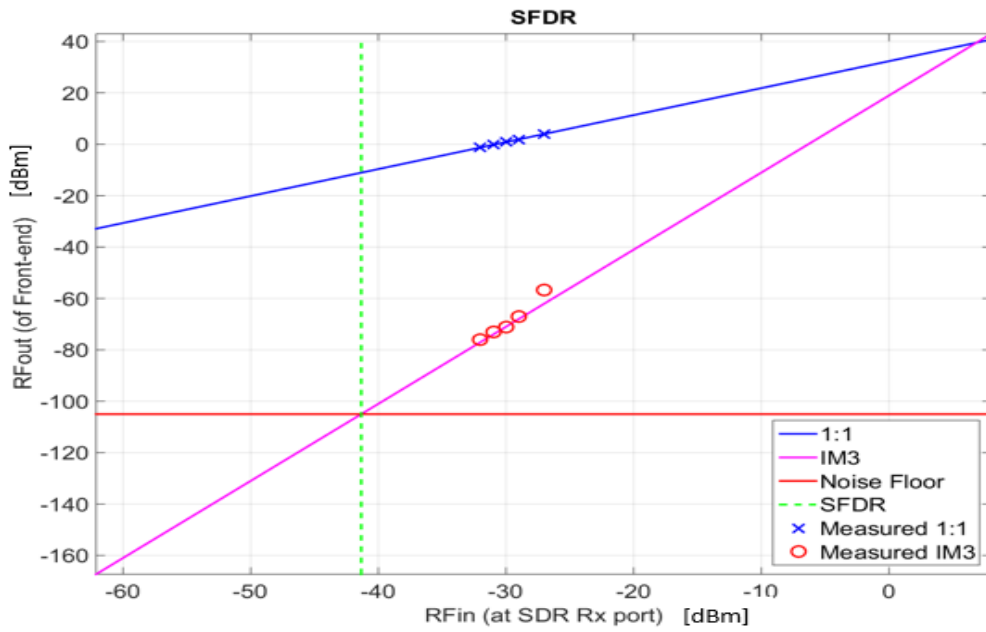


Fig. C-2 SFDR measurement at center frequency $f_c = 50$ MHz

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Appendix D. Phase Coherent Radar Virtual Instruments (VI)

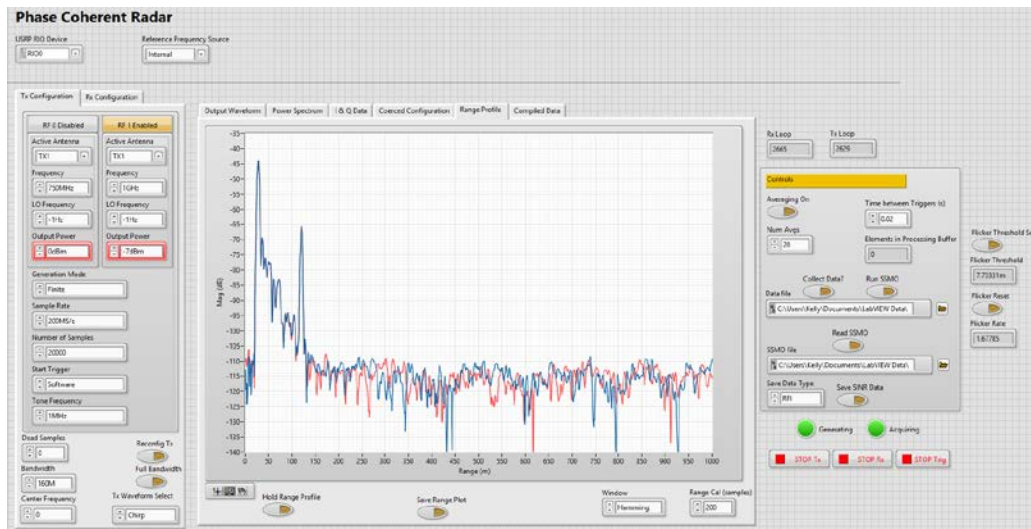


Fig. D-1 LabVIEW user interface for control of the software-defined radio (SDR) and processing received data. The left panel controls transceiver (Tx) and receiver (Rx) channel configuration. The middle panel selects the displayed data. The right panel controls various data monitoring operations.

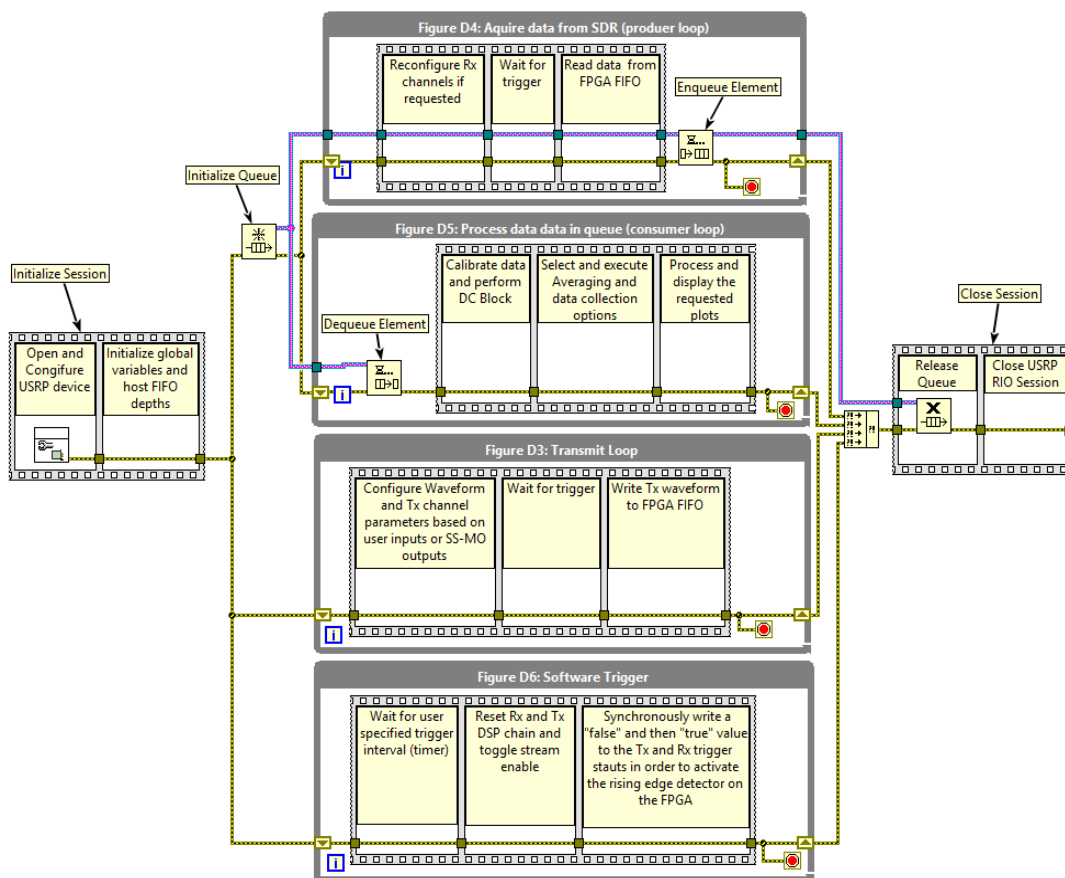
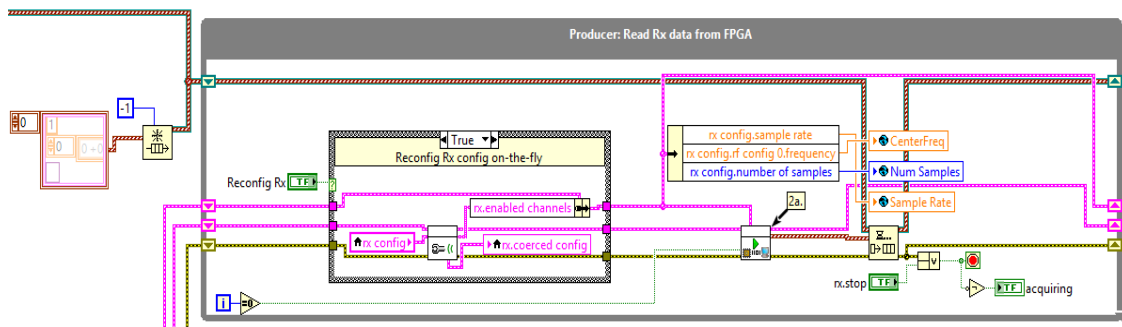
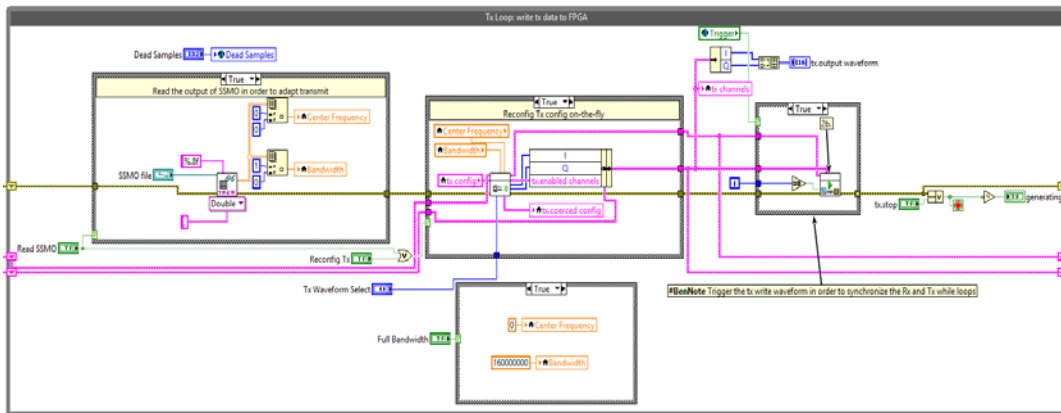


Fig. D-2 High-level block diagram of host virtual instrument for the phase coherent SDR system. All following figures describe specific operation details, including field-programmable gate array (FPGA) first-in, first-out (FIFO).



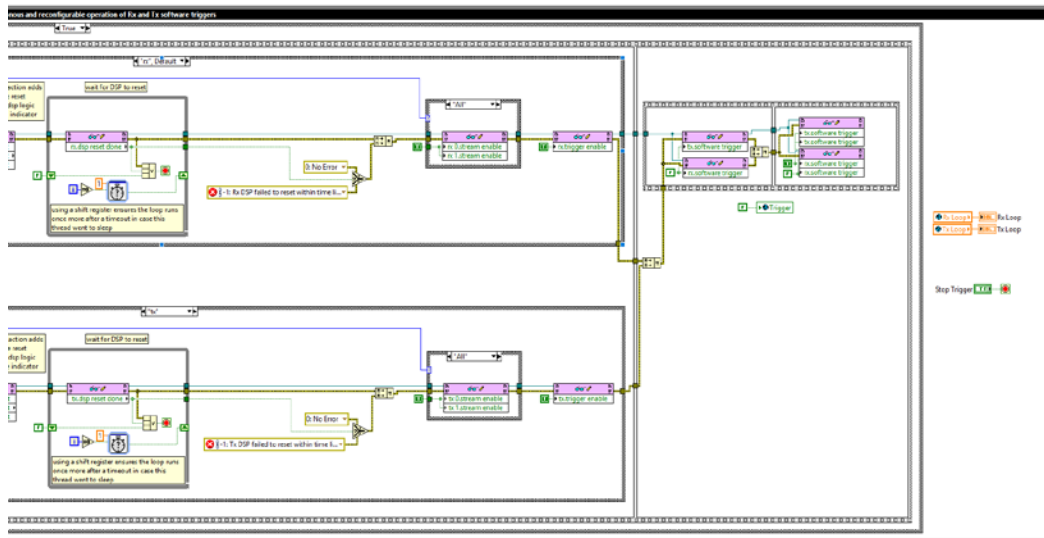


Fig. D-7 Second half of the trigger loop: loop generates synchronized transmit and receive software triggers and writes them to the FPGA. Timer is user configurable. Last frame of the flat sequence writes a true and then false to create a “rising edge” for the FPGA to detect.

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List of Symbols, Abbreviations, and Acronyms

AWG	arbitrary waveform generator
BRAM	block random-access memory
csv	comma separated values
dBc/Hz	decibels relative to the carrier/hertz
DC	direct current
DRAM	dynamic random-access memory
FFT	fast Fourier transform
FIFO	first-in, first-out
FPGA	field-programmable gate array
GB	gigabyte
Gb	gigabit
GPIO	general purpose input/output
GPS	global positioning system
GPSDO	GPS-disciplined oscillator
I/O	input/output
I/Q	in-phase/quadrature
LO	local oscillator
MS/s	mega samples per second
PCIe	peripheral component interconnect express
ppb	parts per billion
PPS	pulse per second
RF	radio frequency
RFI	radio frequency interference
Rx	receiver
SDR	software-defined radio

SFDR	spurious free dynamic range
SS-MO	spectrum sensing, multi-objective optimization
Tx	transceiver
USRP	universal software radio peripheral
VI	virtual instruments

1 DEFENSE TECHNICAL
(PDF) INFORMATION CTR
DTIC OCA

2 DIR ARL
(PDF) RDRL DCM
IMAL HRA RECORDS MGMT
RDRL IRB
TECH LIB

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7 DIR ARL
(PDF) RDRL SER U
B KIRK
J OWEN
K GALLAGHER
A MARTONE
K SHERBONDY

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