





# STANDARDIZED UXO TECHNOLOGY DEMONSTRATION SITE SCORING RECORD NO. 945

#### SITE LOCATION: ABERDEEN PROVING GROUND

DEMONSTRATOR:

DARTMOUTH COLLEGE, THAYER SCHOOL OF ENGINEERING

14 ENGINEERING DRIVE

HANOVER, NH 03755

TECHNOLOGY TYPE/PLATFORM: HIGH-POWER NRL TEMTADS 2X2 PUSH CART

AREAS COVERED: BLIND GRID

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#### Report Produced for:

Strategic Environmental Research and Development Program/Environmental Security Technology Certification Program, Munitions Management Alexandria, VA 22350

U.S. Army Test and Evaluation Command Aberdeen Proving Ground, MD 21005-5001

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#### **DEPARTMENT OF THE ARMY US ARMY ABERDEEN TEST CENTER 400 COLLERAN ROAD** ABERDEEN PROVING GROUND, MARYLAND 21005-5059

TEDT-AT-SLM

MEMORANDUM FOR Program Manager - SERDP/ESTCP, Munitions Management, Dr. Herb Nelson, 4800 Mark Center Drive, Suite 17D08, Alexandria, VA 22350-3600

SUBJECT: Standardized Unexploded Ordnance (UXO) Technology Demonstration Site Scoring Record No. 945, ATEC Project No. 2011-DT-ATC-DODSP-F0292.

- 1. Subject report has been approved by this headquarters and is submitted for your information and retention.
- 2. The point of contact for this office is Mr. Gene L. Fabian, TEDT-AT-SLM, 410-278-7421 or gene.l.fabian.civ@mail.mil.

FOR THE COMMANDER:

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Director, Survivability/Lethality Directorate

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inert unexploded ordnance (UXO) using the Aberdeen Proving Ground (APG) Standardized UXO Technology Demonstration Site. This scoring record was coordinated by the Standardized UXO Technology Demonstration Site Scoring Committee. Organizations of the committee include the U.S. Army Corps of Engineers, the Environmental Security Technology Certification Program, the Strategic Environmental Research and Development Program, the Institute for Defense Analysis, the U.S. Army Environmental Command, and the U.S. Army Aberdeen Test Center.

#### 15. SUBJECT TERMS

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#### **SECTION 1. GENERAL INFORMATION**

#### 1.1 BACKGROUND

Technologies under development for the detection and discrimination of unexploded ordnance (UXO) require testing so that their performance can be characterized. To that end, Standardized Test Sites have been developed at Aberdeen Proving Ground (APG), Maryland, and U.S. Army Yuma Proving Ground (YPG), Arizona. These test sites provide a diversity of geology, climate, terrain, and weather as well as diversity in ordnance and clutter. Testing at these sites is independently administered and analyzed by the Government for the purposes of characterizing technologies, tracking performance with system development, comparing performance of different systems, and comparing performance in different environments (app E, ref 1).

The Standardized UXO Technology Demonstration Site Program is a multiagency program spearheaded and funded by the Environmental Security Technology Certification Program (ESTCP), the Strategic Environmental Research and Development Program (SERDP). The U.S. Army Aberdeen Test Center (ATC) provides programmatic and field support for technology demonstration and evaluation, and maintains a repository of inert munition items available to the UXO community.

#### 1.2 SCORING OBJECTIVES

The objective in the Standardized UXO Technology Demonstration Site Program is to evaluate the detection and discrimination capabilities of a given technology under various field and soil conditions. Inert munitions and clutter items are positioned in various orientations and depths in the ground.

The evaluation objectives are as follows:

- a. To determine detection and discrimination effectiveness under realistic scenarios with various targets, geology, clutter, density, topography, and vegetation.
  - b. To determine cost, time, and workforce requirements to operate the technology.
- c. To determine the demonstrator's ability to analyze survey data in a timely manner and provide prioritized Target Lists with associated confidence levels.
- d. To provide independent site management to enable the collection of high quality, ground-truth (GT), geo-referenced data for post-demonstration analysis.

#### 1.2.1 Scoring Methodology

a. The scoring of the demonstrator's performance is conducted in two stages: response stage and discrimination stage. For both stages, the probability of detection ( $P_d$ ) and the false alarms are reported as receiver-operating characteristic (ROC) curves. False alarms are divided into those anomalies that correspond to emplaced clutter items, measuring the probability of clutter detection ( $P_{cd}$ ) or the probability of false positive ( $P_{fp}$ ). Those that do not correspond to any known item are termed background alarms. The background alarms are addressed as either probability of background alarm ( $P_{ba}$ ) or background alarm rate (BAR).

- b. The response stage scoring evaluates the ability of the system to detect emplaced targets without regard to ability to discriminate munitions from other anomaly sources. For the blind grid response stage, the demonstrator provides a target response from each and every grid square along with a threshold below which target responses are deemed insufficient to warrant further investigation. This list is generated with minimal processing and, since a value is provided for every grid square, includes amplitudes both above and below the system noise level. For the open field, the demonstrator provides a list of all anomalies deemed to exceed a demonstrator selected target detection threshold. An item (either munition or clutter) is counted as detected if a demonstrator indicates an anomaly within a specified distance (Halo Radius ( $R_{halo}$ )) of a GT item.
- c. The discrimination stage evaluates the demonstrator's ability to correctly identify munitions as such and to reject clutter. For the blind grid discrimination stage, the demonstrator provides the output of the discrimination stage processing for each grid square. For the open field, the demonstrator provides the output of the discrimination stage processing for anomaly reported in the response stage. The values in these lists are prioritized based on the demonstrator's determination that a location is likely to contain munitions. Thus, higher output values are indicative of higher confidence that a munitions item is present at the specified location. For digital signal processing, priority ranking is based on algorithm output. For other discrimination approaches, priority ranking may be based on rule sets or human judgment. The demonstrator also specifies the threshold in the prioritized ranking that provides optimum performance, (i.e., that is expected to retain all detected munitions and reject the maximum amount of clutter).
- d. The demonstrator is also scored on efficiency and rejection ratios, which measure the effectiveness of the discrimination stage processing. The goal of discrimination is to retain the greatest number of munitions detections from the anomaly list, while rejecting the maximum number of anomalies arising from non-munitions items. Efficiency measures the fraction of detected munitions retained after discrimination, while the rejection ratio measures the fraction of false alarms rejected. Both measures are defined relative to the maximum number of munitions detectable by the sensor and its accompanying clutter detection/false positive rate or BAR.
- e. Based on configuration of the GT at the standardized sites and the defined scoring methodology, in some cases, there exists the possibility of having anomalies within overlapping halos and/or multiple anomalies within halos. In these cases, the following scoring logic is implemented:
- (1) In situations where multiple anomalies exist within a single R<sub>halo</sub>, the anomaly with the strongest response or highest ranking will be assigned to that particular GT item. If the responses or rankings are equal, then the anomaly closest to the GT item will be assigned to the GT item. Remaining anomalies are retained and scored until all matching is complete.
- (2) Anomalies located within any  $R_{halo}$  that do not get associated with a particular GT item are excess alarms and will be disregarded.
- f. In some cases, groups of closely spaced munitions have overlapping halos. The following scoring logic is implemented (app A, fig. A-1 through A-9):
  - (1) Overall site scores (i.e., P<sub>d</sub>) will consider only isolated munitions and clutter items.
- (2) GT items that have overlapping halos (both munitions and clutter) will form a group and groups may form chains.

- (3) Groups will have complex halos composed of the composite halos of all its GT items.
- (4) Groups will have three scoring factors: groups found, groups identified, and group coverage. Scores will be based on 1:1 matches of anomalies and GT.
- (a) Groups found (found). The number of groups that have one or more GT items matched divided by the total number of groups. Demonstrators will be credited with detecting a group if any item within the group is matched to an anomaly in their lists.
- (b) Groups identified (ID). The number of groups that have two or more GT items matched divided by the total number of groups. Demonstrators will be credited with identifying that a group is present if multiple items within the composite halo are matched to anomalies in their lists.
- (c) Group coverage (coverage). The number of GT items matched within groups divided by the total number of GT items within groups. This metric measures the demonstrator accuracy in determining the number of anomalies within a group. If five items are present and only two anomalies are matched, the demonstrator will score 0.4. If all five are matched, the demonstrator will score 1.0.
  - (5) Location error will not be reported for groups.
- (6) Demonstrators will not be asked to call out groups in their scoring submissions. If multiple anomalies are indicated in a small area, the demonstrator will report all individual anomalies.
  - (7) Excess alarms within a halo will be disregarded.
- g. All scoring factors are generated utilizing the Standardized UXO Probability and Plot Program, version 4.

#### 1.2.2 **Scoring Factors**

Factors to be measured and evaluated as part of this demonstration include:

- a. Response stage ROC curves:
- (1) Probability of detection for the response stage (P<sub>d</sub><sup>res</sup>).
- (2) Probability of clutter detection (Pcd).
- (3) Background alarm rate (BAR<sup>res</sup>) or probability of background alarm (P<sub>ba</sub><sup>res</sup>).
- b. Discrimination stage ROC curves:
- (1) Probability of detection for the discrimination stage (P<sub>d</sub><sup>disc</sup>).
- (2) Probability of false positive (P<sub>fp</sub>).
- (3) Background alarm rate (BAR<sup>disc</sup>) or probability of background alarm (P<sub>ba</sub><sup>disc</sup>).

- c. Metrics:
- (1) Efficiency (E).
- (2) False positive rejection rate (R<sub>fp</sub>).
- (3) Background alarm rejection rate (Rba).
- d. Other:
- (1) Probability of detection by size, depth, and density.
- (2) Classification by type (i.e., 20-, 40-, 105-mm, etc.).
- (3) Location accuracy for single munitions.
- (4) Equipment setup, calibration time, and corresponding worker-hour requirements.
- (5) Survey time and corresponding worker-hour requirements.
- (6) Reacquisition/resurvey time and worker-hour requirements (if any).
- (7) Downtime due to system malfunctions and maintenance requirements.

#### **SECTION 2. DEMONSTRATION**

#### 2.1 DEMONSTRATOR INFORMATION

#### 2.1.1 Demonstrator Point of Contact (POC) and Address

POC: Fridon Shubitidze

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14 Engineering Drive, Hanover, NH 03755

#### 2.1.2 System Description (Provided by Demonstrator)

The standard Naval Research Laboratory (NRL) Time-Domain Electromagnetic Multi-Sensor Towed Array Detection System (TEMTADS) 2x2 is a time-domain electromagnetic induction (EMI) instrument, which has been updated for this demonstration with the intended purpose of improving the detection and classification of small and/or deep UXO. The NRL TEMTADS 2x2's transmitter electronics were updated in the course of SERDP project MR- 2225 to produce higher Tx currents. The instrument has a transmitter (Tx) array with four coplanar square coils, together with four triaxial receivers (Rx) placed at the center of each Tx (fig. 1). Each Rx cube contains three orthogonal coils and thus registers all three vector components of the impinging signals. The Tx coils, with transmitter currents of approximately 13.5 A, illuminate a buried target, and the target responses are collected with a 500-kHz sample rate after turn off of the excitation pulse. The system operates in both static (cued) and dynamic modes. For dynamic mode, the raw decay measurements are grouped into 19 logarithmically-spaced gates whose center times range from 25 µs to 2.77 ms with 20-percent widths. For cued mode, the raw decay measurements are grouped into 121 logarithmically-spaced gates whose center times range from 25 µs to 24.35 ms with 5-percent widths. The sensor is placed on a cart (fig. 2), which provides a sensor-to-ground offset of 20 cm or less.

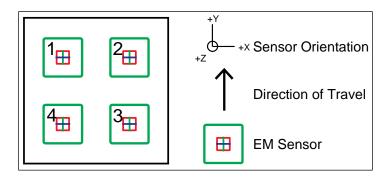


Figure 1. Schematic diagram of the NRL TEMTADS 2x2 EMI sensor array: 2 x 2 sensor array 0.8 x 0.8 m in size. Each unit consists of a 35- x 35-cm Tx loop and 8-cm triaxial Rx cube.



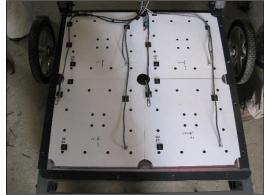


Figure 2. NRL TEMTADS 2x2 (left) cart alone, and (right) with weather shield removed.

Application of the updated NRL TEMTADS 2x2 is straightforward. In survey mode, the system collects a series of closely-spaced parallel lines of survey data, then the survey data are processed and a list of detected targets positions is developed for cued mode. In this demonstration, where only the Calibration, Blind, and Small Munitions Test Grids are being investigated, the areas are arranged in grids of test cells and the cell center positions are known. Each target position is flagged with a non-metallic pin flag using cm-level Global Positioning System (GPS). The sensor is positioned over each target in turn. With the system positioned over the target, the Tx are activated sequentially and during the Tx, all four Rx record data. The complete set of data for each target is then inverted for target characteristics and classification. In addition, to demonstrate the system's applicability in dynamic mode for targets detection, picking and targets full classifications complete survey data are collected, inverted and classified for the Small Munitions Test Grid.

**Support Equipment Required:** Overnight storage for the sensor protected from the elements and access to electrical power for battery charging is required. This and workspace for the data quality control analyst located in the building at the test site. The calibration area, blind grid cell centers, and the Small Munitions Test Grid need to be accurately flagged by ATC.

**Frequency and Radio Utilization:** Dartmouth is licensed for the following frequencies for GPS corrections:

461.0250 MHz	462.1250 MHz	464.5000 MHz	464.6250 MHz	464.7250 MHz
461.0750 MHz	462.3750 MHz	464.5500 MHz	464.6500 MHz	464.7500 MHz
461.1000 MHz	462.4000 MHz	464.6000 MHz	464.7000 MHz	

Dartmouth anticipates using GPS and GPS data radios for this demonstration. Access to one of these frequencies free of interference is required. Dartmouth currently uses 464.6250 MHz, but any of the above frequencies may be used.

#### **Demonstrator's Field Personnel:** The personnel on-site were:

Fridon Shubitidze, Dartmouth College. Dan Steinhurst, Nova Research, Inc. Glenn Harbaugh, Nova Research, Inc. Ben Andrews, Nova Research, Inc.

#### 2.1.3 <u>Data Processing Description (Provided by Demonstrator)</u>

#### **Target Selection Criteria:**

Targets for this demonstration are those emplaced in the Calibration Lane and the Blind and Small Munitions Test Grids. This will be primarily a cued, classification data collection. This allows for a direct comparison of the demonstration results with the results of earlier demonstrations of other technologies, such as Metal mapper, TEM-8, and OPTEMA. However, time allowing, a dynamic survey will be done over the Small Munitions Test Grid. Since these systems are designed for both cued and dynamic models the comparison would be a useful one. Each data set will be used independently for targets classifications.

a. What kind of preprocessing (if any) is applied to the raw data (e.g., filtering, etc.)?

The high power NRL TEMTADS 2x2 preprocessing is a batch process of all binary waveform survey data via an EM3D acquire program. The program performs programmable stacking, hardware low-pass filtering, and exports a binary TEM file as well as an ASCII CSV file containing data at each of the time gates along the transmitter current waveform. The raw CSV data are passed to data filtering software, which normalizes data on corresponding Tx currents; subtracts background; forms multi-static response (MSR) data matrix; and estimates its eigenvalues time decays. In addition, the number of extracted eigenvalues above a background threshold are estimated for target detection and picking.

b. What is the format of the data both pre- and post-processing of the raw data (e.g., ASCII, binary, etc.)?

Both a TEM file and a matching ASCII CSV file are produced by the G&G Science EM3D software. Post-processed data and inversion and classification results are stored as ASCII data files.

c. What algorithm is used for detection (e.g., peaks of signal surpassing threshold, etc.)?

In the cued mode Calibration, Blind and Small Munition Test Grid surveys, possible target locations are predetermined. However, in dynamic survey mode the Joint Diagonalization (JD) algorithm will be used for target detection and picking. Once targets are detected, then survey data will be inverted using the orthonormalized, volume magnetic source (ONVMS) technique.

d. Why is this algorithm used and not others?

The JD algorithm has been shown to provide a quick estimate of the number and even types of targets present.

e. On what principles is the algorithm based (e.g., statistical models, heuristic rules, etc.)?

The JD algorithm is based on finding the eigenvalues and eigenvectors of the MSR matrix as a function of time while preserving the order of the eigenvalues which are above the sensor's noise thresholds. This allows a quick determination of the physics-based sources in view of the sensor.

f. What tunable parameters (if any) are used in the detection process (e.g., threshold on signal amplitude, window length, filter coefficients, etc.)?

Site-specific noise threshold.

g. What are the final values of all tunable parameters for the detection algorithm?

The final values for the tunable parameters will be determined for each (Blind and Small Munitions) grid after data are collected. The background threshold values will be determined independently for each area surveyed.

#### **Parameter Estimation:**

a. Which characteristics will be extracted from each detected item and input to the discrimination algorithm (e.g., depth, size, polarizability coefficients, fit quality, etc.)?

MSR data, eigenvalues time decays, total ONVMS (effective principal axis polarizabilities), time decays and fit quality.

b. Why have these characteristics been chosen and not others (e.g., empirical evidence of their ability to help discriminate, inclusion in a theoretical tradition, etc.)?

Recent live-site ESTCP discrimination pilot studies indicate that these parameters are the best characteristics for UXO/clutter classification.

c. How are these characteristics estimated (e.g., least-mean-squares fit to a dipole model, etc.)? Include the equations that are used for parameter estimation.

Dartmouth used a least squares approach to recover the parameter vector  $\mathbf{v}$ , which in this case contains intrinsic (effective principal axis polarizabilities) information about the object and its location and orientation. Specifically, if  $\mathbf{d}^{\text{obs}}$  is the vector of the measured secondary field and  $\mathbf{F}(\mathbf{v})$  the forward problem solution, the least squares approach uses as criterion:

$$\underset{\mathbf{v}}{\text{minimize}} \quad \phi(\mathbf{v}) = \frac{1}{2} \left\| \mathbf{d}^{\text{obs}} - \mathbf{F}(\mathbf{v}) \right\|^{2}.$$

JD, also referred to as simultaneous matrix diagonalization, is a numerical approach for estimating the common eigenvalues and eigenvectors of a set of related square matrices. Here the JD will be applied to the updated 2x2 3D TEMTAD system's MSR data matrix for detecting and discriminating subsurface. Given the measured MSR matrices  $\bar{H}(t_q)$  of size  $M_T \times M_R$ , where  $M_T$  and  $M_R$  (=  $M_T$  by assumption), respectively represent the numbers of Tx and Rx coils, JD finds an orthogonal matrix  $\bar{V}$  such that the products  $\bar{H}(t_q) = \bar{V} \bar{D}(t_q) \bar{V}^T$  are as diagonal as possible for

all  $q=1,2,\ldots,N_{\scriptscriptstyle I}$ , where  $N_t$  is the number of time gates and  $\bar{V}^{\scriptscriptstyle T}$  denotes the transpose of  $\bar{V}$ . Studies have shown that the number of nonzero eigenvalues of the MSR matrix (*i.e.*, those above the noise threshold) depend on the number the targets in the snapshot, while their time-decay patterns are intrinsic properties of the individual targets and provide robust targets detection and classification features.

The ONVMS model is as a generalized volume dipole model, which in a special limited case coincides with an infinitesimal dipole model approach. The great advantage of the ONVMS technique is that it takes into account mutual couplings between different parts of the target, and avoids matrix singularity problems in case of multiple objects. The technique is applicable for both single and multi-objects cases. Once the magnetic dipole polarizability tensor elements and dipole locations are determined, one could group them by proximity according to the volume distribution, and then for each group calculate the total polarizability tensor. These diagonal elements have been shown to be intrinsic to the object and can be used on its own or combined with other quantities in discrimination processing. Overall, in the ONVMS approach the scattered magnetic field is approximated as a superposition of the fields radiated by these elementary sources, whose amplitudes are normalized with the impinging primary magnetic field. The scattered magnetic field at any point outside the target's volume is represented using orthonormalized functions as:

$$\mathbf{H}(\mathbf{r}) = \sum_{i=1}^{N_i} \overline{\overline{\psi}}_i(\mathbf{R}_i) \cdot \mathbf{b}_i,$$
 (6)

Where:

 $\mathbf{R}_i = \mathbf{r} - \mathbf{r}_i^{\scriptscriptstyle V}$ ,  $\bar{\psi}_i(\mathbf{r})$  is an orthonormalized function, and  $\boldsymbol{b}_i$  is orthogonal-function expansion coefficient. First, the orthonormal functions  $\bar{\psi}$  are constructed as linear combinations of the Green's functions using the Gram–Schmidt orthonormalization process, then using the linear independence of Green's Dyadics, the amplitudes of the  $\bar{\mathbf{M}}_i(p)$  polarizability tensor elements are determined without solving a linear system of equations. Once the  $\bar{\mathbf{M}}_i(p)$  magnetic dipoles polarizability tensor elements and dipoles locations are determined, one could group them by the volume distribution and for each group calculate the total polarizability tensor. The principal axis effective polarizabilities (total ONVMS) are targets intrinsic properties and used for classification.

d. What tunable parameters, if any, are used in the characterization process (e.g., thresholds on background noise, etc.)?

MSR data, eigenvalue time decays and effective principal-axis polarizabilities for expected ordnance items determined from calibration and previously used data.

#### Classification:

a. What algorithm is used for discrimination (e.g., multi-layer perception, support vector machine, etc.)?

Generalized fingerprinting for effective polarizabilities and pattern matching for the eigenvalues.

b. Why is this algorithm used and not others?

The algorithm is appropriate for Dartmouth's procedure, which compares fit quality using previously-determined UXO effective polarizabilities with unconstrained fit quality and was proven effective in the ESTCP UXO Classification Study.

c. Which parameters are considered as possible inputs to the algorithm?

Unconstrained fit qualities. After calculating the total ONVMS for both one and multi-targets inversion routines, Dartmouth compares these with standard values obtained from data acquired over the targets in the calibration grid and from Dartmouth's library.

d. What are the outputs of the algorithm (probabilities, confidence levels)?

Closeness of measured response to UXO response.

e. How is the threshold set to decide where the munitions/non-munitions line lies in the discrimination process?

Training data on UXO and clutter acquired in calibration lanes at APG and other demonstration sites.

#### **Training:**

a. Which tunable parameters have final values that are optimized over a training set of data and which have values that are set according to geophysical knowledge (i.e. intuition, experience, common sense)?

Quality of fit between effective polarizabilities for test target and library UXO-item is optimized.

- (1) For those tunable parameters with final values set according to geophysical knowledge:
- (a) What is the reasoning behind choosing these particular values?

Not applicable.

(b) Why were the final values not optimized over a training set of data?

Not applicable.

- (2) For those tunable parameters with final values optimized over the training set data:
- (a) What training data are used (e.g., all data, a randomly chosen portion of data, etc.)?

All training data on UXO and clutter acquired in calibration lane at APG.

(b) What error metric is minimized during training (e.g. mean squared error, etc.)? Mean squared error.

(c) What learning rule is used during training (e.g., gradient descent, etc.)?

Not applicable.

(d) What criterion is used to stop training (e.g., number of iterations exceeds threshold, good generalization over validation set of data, etc.)?

Limits of training data.

(e) Are all tunable parameters optimized at once or in sequence (in sequence = parameter 1 is held constant at some common sense values while parameter 2 is optimized, and then parameter 2 is held constant at its optimized value while parameter 1 is optimized)?

All at once.

b. What are the final values of all tunable parameters for the characterization process?

Best threshold setting.

#### 2.1.4 <u>Data Submission Format</u>

Data were submitted for scoring in accordance with data submission protocols outlined on the U.S. Army Environmental Command (USAEC) Web site <a href="www.uxotestsites.org">www.uxotestsites.org</a>. These submitted data are not included in this report in order to protect GT information.

# 2.1.5 <u>Demonstrator Quality Assurance (QA) and Quality Control (QC) (Provided by Demonstrator)</u>

General system functionality and individual sensor response are checked daily to ensure adequate system performance. Before beginning survey work each day, one or more standard objects are measured. The resulting signals and inversion results are checked against standard values.

Every 1 to 2 hr, all survey data are transferred to the field data analyst for preliminary data quality checks. The individual sensor files are examined for completeness and consistency. It is at this stage that any sensor malfunctions, etc., are flagged and reported to the field crew for correction.

#### 2.1.6 Additional Records

The following record(s) by this vendor can be accessed via the Internet as Microsoft Word documents at www.uxotestsites.org.

Scoring Record No. 946.

#### 2.2 APG SITE INFORMATION

#### 2.2.1 Location

The APG Standardized UXO Test Site is located within a secured range area of the Aberdeen Area. The Aberdeen Area of APG is located approximately 30 miles northeast of Baltimore at the northern end of the Chesapeake Bay. The Standardized UXO Test Site encompasses 17 acres of upland and lowland flats, woods, and wetlands.

#### 2.2.2 Soil Type

According to the soils survey conducted for the entire area of APG in 1998, the test site consists primarily of Elkton Series type soil (ref 2). The Elkton Series consists of very deep, slowly permeable, poorly drained soils. These soils formed in silty aeolin sediments and the underlying loamy alluvial and marine sediments. They are on upland and lowland flats and in depressions of the Mid-Atlantic Coastal Plain. Slopes range from 0 to 2 percent.

The U.S. Army Corps of Engineers Engineering Research and Development Center (ERDC) conducted a site-specific analysis in May 2002 (ref 3). The results basically matched the soil survey mentioned above. Seventy percent of the samples taken were classified as silty loam. The majority (77 percent) of the soil samples had a measured water content between 15 and 30 percent with the water content decreasing slightly with depth.

For more details concerning the soil properties at the APG test site, go to <a href="www.uxotestsites.org">www.uxotestsites.org</a> on the Web to view the entire soils description report.

#### 2.2.3 Test Areas

A description of the test site areas at APG that were in place when the test was performed in July 2015 is presented in Table 1. The test site layout is shown in Figure 3.

TABLE 1. TEST SITE AREAS

Area	Description
Calibration lanes	Contains 14 standard munitions items buried in six positions, with representation of clutter, at various angles and depths to allow demonstrators to calibrate their equipment.
Blind grid	Contains 400 grid cells in a 0.5-acre site. The center of each grid cell contains either munitions, clutter, or nothing.
Open field	A 10-acre site composed of generally open and flat terrain with minimal clutter and minor navigational obstacles. Vegetation height varies from 15 to 25 cm. This area is subdivided into four subareas (legacy, direct fire, indirect fire, and challenge).
	Open field (legacy)  The legacy subarea contains the same wide variety of randomly-placed munitions that were present in the open field prior to the January 2008 general reconfiguration of the site.
	Open field (direct fire)  The direct fire subarea contains only three munition types that could be typically found at an impact area of a direct fire weapons range. Munitions and clutter are placed in a pattern typical for these munitions.
	Open field (indirect fire)  The indirect fire subarea contains only three munition types that could be typically found at an impact area of an indirect fire weapons range. Munitions and clutter are placed in a pattern typical for these munitions.
	Open field (challenge)  The challenge subarea is easily reconfigurable to meet the specific needs and requirements of the demonstrator or the program sponsor. Any results from this area are not reported in the standardized scoring record.
Woods	1.34-acre area consisting of cleared woods (tree removal with only stumps remaining), partially cleared woods (including all underbrush and fallen trees), and virgin woods (i.e., woods in natural state with all trees, underbrush, and fallen trees left in place).
Moguls	1.30-acre area consisting of two areas (the rectangular or driving portion of the course and the triangular section with more difficult, non-drivable terrain). A series of craters (as deep as 0.91 m) and mounds (as high as 0.91 m) encompass this section.

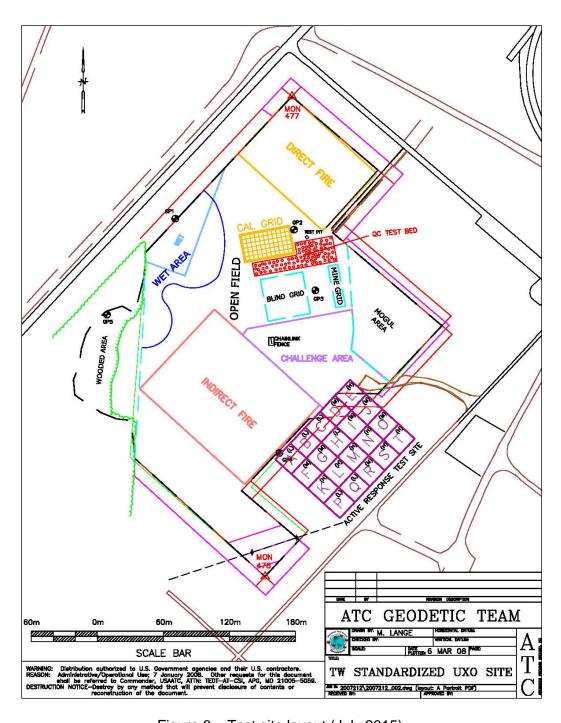


Figure 3. Test site layout (July 2015).

#### 2.2.4 Standard and Nonstandard Inert Munitions Targets

The standard and nonstandard munitions items emplaced in the test areas are presented in Table 2. Standard targets are members of a set of specific munitions items that have identical properties to all other items in the set (caliber, configuration, size, weight, aspect ratio, material, filler, magnetic remanence, and nomenclature). Nonstandard targets are inert munitions items having properties that differ from those in the set of standardized items.

TABLE 2. INERT MUNITIONS TARGETS

	Munition	Calibration		Open Field	Open Field	Open Field		
Item	Type	Lanes	Blind Grid	Direct Fire	Indirect Fire	Legacy	Moguls	Woods
20-mm projectile M55	S	Х				X	X	Х
25-mm projectile M794	S	X	Х	Х				
37-mm projectile M47	S	Х	Х	Х				
40-mm projectile MKII bodies	S	X				Х	Х	Х
BDU-28 submunition	S	X				Х	X	X
BLU-26 submunition	S	X				Х	Χ	X
M42 submunition	S	X				X	Χ	X
57-mm projectile APC M86	S	X				Х	Х	Х
60-mm mortar M49A3	S	Х	X		X			
2.75-in. rocket M230	S	X				Х	Х	Х
81-mm mortar M374	S	X	Х		Х	Х	Х	Х
105-mm HEAT rounds M456	S					Х	Χ	X
105-mm HEAT round M490	S	X	Х	Х				
105-mm projectile M60	S	X	Х		Х	Х	Х	Х
155-mm projectile M483A1	S	X				Х	X	X
20-mm projectile M55	NS					X	Χ	X
20-mm projectile M97	NS					Х	Х	Х
40-mm projectile M813	NS					Х	Χ	Х
60-mm mortar (JPG)	NS					Х	Х	Х
60-mm mortar M49	NS					Х	Х	Х
2.75-in. rocket M230	NS					X	X	Х
2.75-in. rocket XM229	NS					Х	Х	X
81-mm mortar (JPG)	NS					Х	Х	X
81-mm mortar M374	NS					Х	Х	Х
105-mm projectile M60	NS					Х	Х	X
155-mm projectile M483A	NS					X	X	Х

APC = armored personnel carrier
HEAT = high-explosive antitank
JPG = Jefferson Proving Ground
NS = nonstandard munition
S = standard munition

2	3		SHR	/FV	COMI	MENTS
		$\mathbf{A} \mathbf{I} \mathbf{C}$	-711K	V I I	<b>1 -1 / IVII</b>	VI

None.

#### **SECTION 3. FIELD DATA**

#### **3.1 DATE OF FIELD ACTIVITIES** (27 through 30 July 2015)

#### 3.2 AREAS TESTED/NUMBER OF HOURS

Areas tested and total numbers of hours operated at each site are presented in Table 3.

TABLE 3. AREAS TESTED AND NUMBER OF HOURS

Area	No. of Hours			
Calibration lanes	3 hr, 5 min			
Blind grid	13 hr, 35 min			

Note: Table 3 represents the total time spent in each area.

#### 3.3 TEST CONDITIONS

#### 3.3.1 Weather Conditions

An APG weather station located approximately 1 mile west of the test site was used to record average temperature and precipitation on a half-hour basis for each day of operation. The temperatures presented in Table 4 represent the average temperature during field operations from 0700 to 1700 hr, while precipitation data represent a daily total amount of rainfall. Hourly weather logs used to generate this summary are provided in Appendix B.

TABLE 4. TEMPERATURE/PRECIPITATION DATA SUMMARY

Date, 2015	Average Temperature, °F	Total Daily Precipitation, in.
27 July	75.5	0.67
28 July	82.1	0.00
29 July	81.1	0.00
30 July	80.5	0.27

#### 3.3.2 Field Conditions

Dartmouth surveyed the calibration grid, blind grid and small munition grid. The field was dry throughout all the blind grid. The calibration area had a couple of wet spots due to rain early in the morning on the first day of testing.

#### 3.3.3 Soil Moisture

Three soil probes were placed at various locations within the site to capture soil moisture data: blind grid, calibration, open field, and wooded areas. Measurements were collected in percent moisture and were taken twice daily (morning and afternoon) from five different soil depths (1 to 6 in., 6 to 12 in., 12 to 24 in., 24 to 36 in., and 36 to 48 in.) from each probe. Soil moisture logs are provided in Appendix C.

#### 3.4 FIELD ACTIVITIES

#### 3.4.1 Setup/Mobilization

These activities included initial mobilization and daily equipment preparation and breakdown. A four-person crew took 1 hr, 15 min to perform the initial setup and mobilization. A total of 25 min of equipment preparation was accrued, and end of day equipment breakdown totaled 1 hr, 10 min.

#### 3.4.2 Calibration

Dartmouth spent a total of 3 hr 5 min in the calibration lanes, of which 2 hr and 30 min were spent collecting data. One calibration exercise occurred while surveying the blind grid lasting 10 min.

#### 3.4.3 **Downtime Occasions**

Occasions of downtime are grouped into five categories: equipment/data checks or equipment maintenance, equipment failure and repair, weather, demonstration site issues, or breaks/lunch. All downtime is included for the purposes of calculating labor requirements (section 5) except for downtime due to demonstration site issues. Demonstration site issues, while noted in the daily log, are considered nonchargeable downtime for the purposes of calculating labor costs and are not discussed. Breaks and lunches are discussed in this section and billed to the total site survey area.

- **3.4.3.1** Equipment/data checks, maintenance. Equipment data checks and maintenance activities accounted for 20 min of site usage time. These activities included changing out batteries and performing routine data checks to ensure the data were being properly recorded/collected. Dartmouth spent 35 min for breaks and lunches.
- **3.4.3.2** Equipment failure or repair. No equipment failures occurred during the blind grid survey.
- **3.4.3.3 Weather.** No weather delays occurred during the blind grid survey.

#### 3.4.4 Data Collection

The total time Dartmouth spent in each test area collecting data is provided in Table 5.

TABLE 5. DATA COLLECTION
TIME PER AREA

Area	Time
Calibration grid	2 hr, 30 min
Blind grid	9 hr, 20 min

#### 3.4.5 **Demobilization**

The Dartmouth survey crew conducted a demonstration of the calibration and small munition grid. Demobilization occurred on 30 July 2015. On that day, it took the crew 45 min to break down and pack up their equipment.

#### 3.5 PROCESSING TIME

The scoring submittal data were provided 6 August 2015.

#### 3.6 DEMONSTRATOR'S FIELD PERSONNEL

Fridon Shubitidze, Dartmouth College. Dan Steinhurst, Nova Research, Inc. Glenn Harbaugh, Nova Research, Inc. Ben Andrews, Nova Research, Inc.

#### 3.7 DEMONSTRATOR'S FIELD SURVEYING METHOD

Dartmouth surveyed the small munition grid in a linear fashion. Flags were emplaced to ensure an accurate position of each row while surveying.

#### 3.8 SUMMARY OF DAILY LOGS

Daily logs capture all field activities during this demonstration and are provided in Appendix D.

#### SECTION 4. TECHNICAL PERFORMANCE RESULTS

#### 4.1 ROC CURVES USING ALL MUNITIONS CATEGORIES

The probability of detection for the response stage ( $P_d^{res}$ ) and the discrimination stage ( $P_d^{disc}$ ) versus their respective probability of clutter detection or probability of false positive within the blind grid area is shown in Figure 4. The probabilities plotted against their respective BAR within the blind grid is shown in Figure 5. Both figures use horizontal lines to illustrate the performance of the demonstrator at two demonstrator-specified points: at the system noise level for the response stage, representing the point below which targets are not considered detectable, and at the demonstrator's recommended threshold level for the discrimination stage, defining the subset of targets the demonstrator would recommend digging based on discrimination. Note that all points have been rounded to protect the GT.

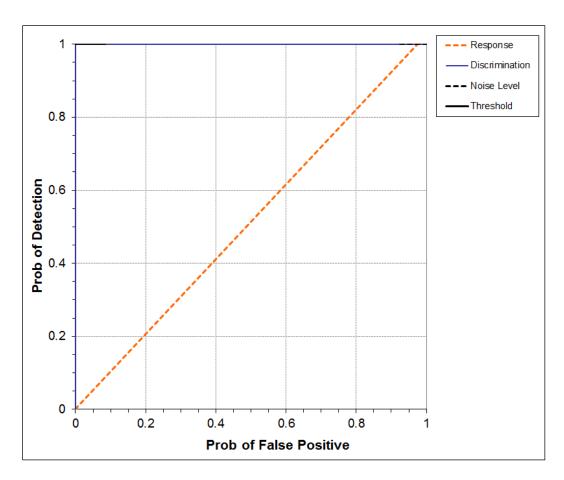


Figure 4. TEMTADS/push cart blind grid probability of detection for response and discrimination stages versus their respective probability of false positive.

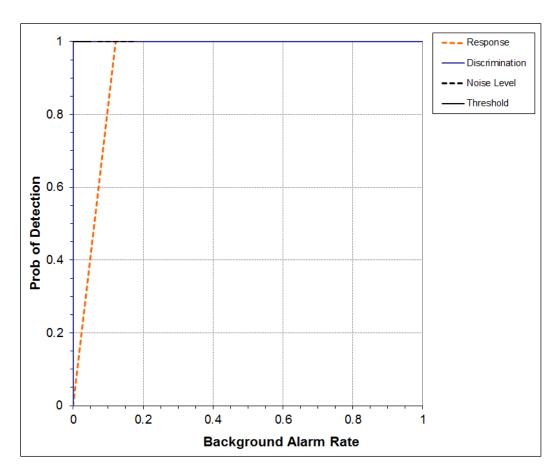


Figure 5. TEMTADS/push cart blind grid probability of detection for response and discrimination stages versus their respective probability of background alarm.

#### 4.2 PERFORMANCE SUMMARIES

Results for the blind grid test area are presented in Table 6 (labor requirements are provided in section 5). The response stage results are derived from the list of anomalies above the demonstrator-provided noise level. The results for the discrimination stage are derived from the demonstrator's recommended threshold for optimizing munitions related cleanup by minimizing false alarm digs and maximizing munitions recovery. The lower and upper 90-percent confidence limits on  $P_{d}$ ,  $P_{cd}$ , and  $P_{fp}$  were calculated assuming that the number of detections and false positives are binomially distributed random variables.

TABLE 6. BLIND GRID TEST AREA RESULTS

	Response Stage						Discrimination Stage			
Munitions <sup>a</sup>	Pd <sup>res</sup> : by typ	е			Pd <sup>disc</sup> : by type					
Scores	All Types	105-mm	81/60-mm	37/25-mm	All Types	105-mm	81/60-mm	37/25-mm		
	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00		
	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00		
	0.98	0.93	0.93	0.93	0.98	0.93	0.93	0.93		
	By Depth <sup>b</sup>									
0 to 4D	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00		
4D to 8D	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00		
8D to 12D	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00		
Clutter Scores	P <sub>cd</sub>				$P_fp$					
				By Mass						
By Depth <sup>b</sup>	All Mass	0 to 0.25 kg	>0.25 to 1 kg	>1 to 8 kg	All Mass	0 to 0.25 kg	>0.25 to 1 kg	>1 to 8 kg		
All Depth	0.99				0.07					
·	0.98	0.95	1.00	1.00	0.03	0.00	0.02	0.30		
	0.95				0.02					
0 to 0.15 m	0.99	0.98	1.00	1.00	0.02	0.00	0.00	0.33		
0.15 to 0.3 m	0.88	0.60	1.00	1.00	0.13	0.00	0.14	0.25		
0.3 to 0.6 m	NA	NA	NA	NA	NA	NA	NA	NA		
			Backg	round Alarm Ra	ites					
	<b>P</b> <sub>ba</sub> <sup>res</sup> : 0.12				P <sub>ba</sub> disc: 0.0	00				

<sup>&</sup>lt;sup>a</sup>In cells with offset data entries, the numbers to the left are the result and the two numbers to the right are an upper and lower 90-percent confidence interval for an assumed binomial distribution.

NA = not available

#### 4.3 EFFICIENCY, REJECTION RATES, AND TYPE CLASSIFICATION

Efficiency and rejection rates are calculated to quantify the discrimination ability at specific points of interest on the ROC curve: (1) at the point where no decrease in  $P_d$  is suffered (i.e., the efficiency is by definition equal to one) and (2) at the operator selected threshold. These values are presented in Table 7.

TABLE 7. BLIND GRID EFFICIENCY AND REJECTION RATES

	Efficiency (E)	False Positive Rejection Rate	Background Alarm Rejection Rate
At operating point	1.00	0.97	1.00
With no loss of Pd	1.00	1.00	1.00

<sup>&</sup>lt;sup>b</sup>All depths are measured to the center of the object.

At the demonstrator's recommended setting, the munitions items that were detected and correctly discriminated were further scored on whether their correct type could be identified (table 8). Correct type examples include 20-mm projectile, 105-mm HEAT projectile, and 2.75-in. rocket. A list of the standard type declaration required for each munitions item was provided to demonstrators prior to testing. The standard types for the three example items are 20-mmP, 105H, and 2.75-in.

TABLE 8. BLIND GRID CORRECT TYPE CLASSIFICATION OF TARGETS CORRECTLY DISCRIMINATED AS MUNITIONS

Size	Percentage Correct
25-mm	100
37-mm	100
60-mm	100
81-mm	100
105-mm	87
105-mm artillery	93
Overall	97

#### 4.4 LOCATION ACCURACY

The mean location error and standard deviations appear in Table 9. These calculations are based on average missed distance for munitions correctly identified during the response stage. Depths are measured from the center of the munitions to the surface. For the blind grid, only depth errors are calculated because (X, Y) positions are known to be the centers of the grid square.

TABLE 9. BLIND GRID MEAN LOCATION ERROR AND STANDARD DEVIATION

	Mean	Standard Deviation
Northing	NA	NA
Easting	NA	NA
Depth	0.104	0.068

NA = not available

#### **SECTION 5. APPENDIXES**

#### APPENDIX A. TERMS AND DEFINITIONS

#### **GENERAL DEFINITIONS**

Anomaly: Location of a system response deemed to warrant further investigation by the demonstrator for consideration as an emplaced munitions item.

Detection: An anomaly location that is within R<sub>halo</sub> of an emplaced munitions item.

Military Munitions: Specific categories of military munitions that may pose unique explosive safety risks, including UXO as defined in 10 USC 101(e)(5), discarded military munitions as defined in 10 USC 2710(e)(2) and/or munitions constituents (e.g., TNT, RDX) as defined in 10 USC 2710(e)(3) that are present in high enough concentrations to pose an explosive hazard.

Emplaced Munitions: A munitions item buried by the government at a specified location in the test site.

Emplaced Clutter: A clutter item (i.e., non-munitions item) buried by the government at a specified location in the test site.

R<sub>halo</sub>: A predetermined radius about an emplaced item (clutter or munitions) within which an anomaly identified by the demonstrator as being of interest is considered to be a detection of that item. For the purpose of this program, a circular halo 0.5 m in radius is placed around the center of the object for all clutter and munitions items.

Small Munitions: Caliber of munitions less than or equal to 40 mm (includes 20-mm projectile, 25-mm projectile, 37-mm projectile, 40-mm projectile, submunitions BLU-26, BLU-63, and M42).

Medium Munitions: Caliber of munitions greater than 40 mm and less than or equal to 81 mm (includes 57-mm projectile, 60-mm mortar, 2.75-in. rocket, and 81-mm mortar).

Large Munitions: Caliber of munitions greater than 81 mm (includes 105-mm HEAT, 105-mm projectile, and 155-mm projectile).

Group: Two or more adjacent GT items with overlapping halos.

GT: Ground truth

Response Stage Noise Level: The level that represents the signal level below which anomalies are not considered detectable. Demonstrators are required to provide the recommended noise level for the blind grid test area.

Discrimination Stage Threshold: The demonstrator-selected threshold level that is expected to provide optimum performance of the system by retaining all detectable munitions and rejecting the maximum amount of clutter. This level defines the subset of anomalies the demonstrator would recommend digging based on discrimination.

Binomially Distributed Random Variable: A random variable of the type which has only two possible outcomes, say success and failure, is repeated for n independent trials with the probability p of success and the probability 1-p of failure being the same for each trial. The number of successes x observed in the n trials is an estimate of p and is considered to be a binomially distributed random variable.

#### RESPONSE AND DISCRIMINATION STAGE DATA

The scoring of the demonstrator's performance is conducted in two stages: response stage and discrimination stage. For both stages, the probability of detection ( $P_d$ ) and the false alarms are reported as ROC curves. False alarms are divided into those anomalies that correspond to emplaced clutter items, measuring the probability of clutter detection ( $P_{cd}$ ) or probability of false positive ( $P_{fo}$ ). Those that do not correspond to any known item are termed background alarms.

The response stage is a measure of whether the sensor can detect an object of interest. For a channel instrument, this value should be closely related to the amplitude of the signal. The demonstrator must report the response level (threshold) below which target responses are deemed insufficient to warrant further investigation. At this stage, minimal processing may be done. This includes filtering long- and short-scale variations, bias removal, and scaling. This processing should be detailed in the data submission.

For a multichannel instrument, the demonstrator must construct a quantity analogous to amplitude. The demonstrator should consider what combination of channels provides the best test for detecting any object that the sensor can detect. The average amplitude across a set of channels is an example of an acceptable response stage quantity. Other methods may be more appropriate for a given sensor. Again, minimal processing can be done, and the demonstrator should explain how this quantity was constructed in their data submission.

The discrimination stage evaluates the demonstrator's ability to correctly identify munitions as such, and to reject clutter. For the same locations as in the response stage anomaly list, the discrimination stage list contains the output of the algorithms applied in the discrimination-stage processing. This list is prioritized based on the demonstrator's determination that an anomaly location is likely to contain munitions. Thus, higher output values are indicative of higher confidence that a munitions item is present at the specified location. For electronic signal processing, priority ranking is based on algorithm output. For other systems, priority ranking is based on human judgment. The demonstrator also selects the threshold that the demonstrator believes will provide optimum system performance, (i.e., that retains all the detected munitions and rejects the maximum amount of clutter).

Note: The two lists provided by the demonstrator contain identical numbers of potential target locations. They differ only in the priority ranking of the declarations.

#### **GROUP SCORING FACTORS**

Based on configuration of the GT at the standardized sites and the defined scoring methodology, there exists munitions groups defined as having overlapping halos. In these cases, the following scoring logic is implemented (fig. A-1 through A-9):

- a. Overall site scores (i.e., P<sub>d</sub>) will consider only isolated munitions and clutter items.
- b. GT items that have overlapping halos (both munitions and clutter) will form a group and groups may form chains.
- c. Groups will have a complex halos composed of all the composite halos of all its GT items.
- d. Groups will have three scoring factors: groups found groups identified and group coverage. Scores will be based on 1:1 matches of anomalies and GT.
- (1) Groups Found (Found). The number of groups that have one or more GT items matched divided by the total number of groups. Demonstrators will be credited with detecting a group if any item within the group is matched to an anomaly in their list.
- (2) Groups Identified (ID). The number of groups that have two or more GT items matched divided by the total number of groups. Demonstrators will be credited with identifying that a group is present if multiple items within the composite halo are matched to anomalies in their list.
- (3) Group Coverage (Coverage). The number of GT items matched within groups divided by the total number of GT items within groups. This metric measures the demonstrator accuracy in determining the number of anomalies within a group. If five items are present and only two anomalies are matched, the demonstrator will score 0.4. If all five are matched the demonstrator will score 1.0.
  - e. Location error will not be reported for groups.
- f. Demonstrators will not be asked to call out groups in their scoring submissions. If multiple anomalies are indicated in a small area, the demonstrator will report all individual anomalies.
  - g. Excess alarms within a halo will be disregarded.

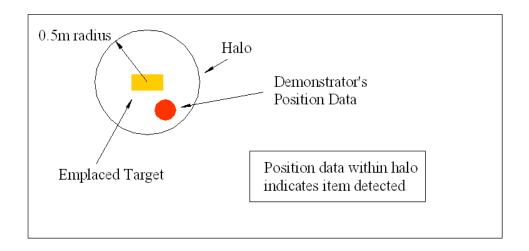


Figure A-1. Example of detected item.

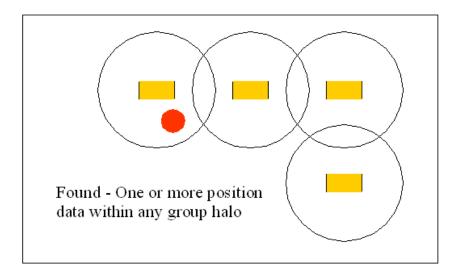


Figure A-2. Example of group found (found).

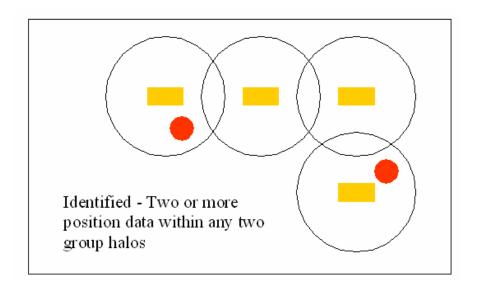


Figure A-3. Example of group identified (ID).

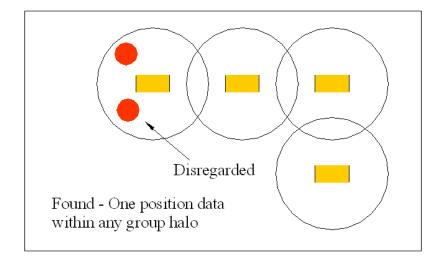


Figure A-4. Example of excess alarms disregarded.

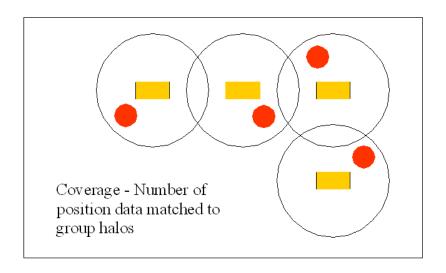


Figure A-5. Example of a group.

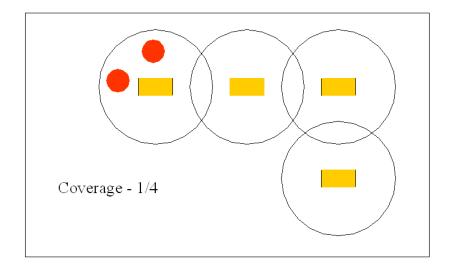


Figure A-6. Example of group (1/4 = 0.25).

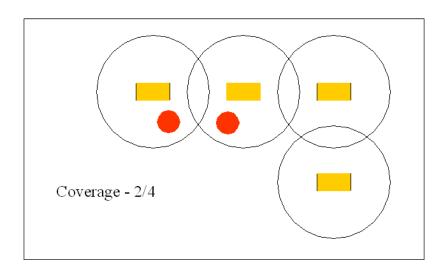


Figure A-7. Example of group (2/4 = 0.5).

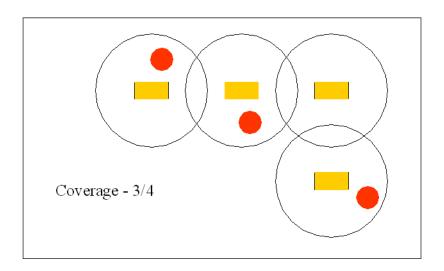


Figure A-8. Example of group (3/4 = 0.75).

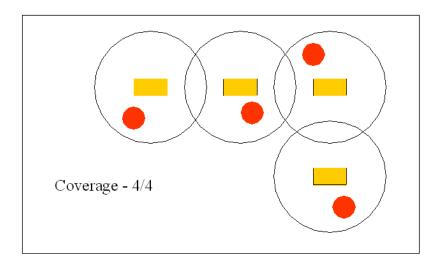


Figure A-9. Example of group (4/4 = 1.0).

#### RESPONSE STAGE DEFINITIONS

Response Stage Probability of Detection ( $P_d^{res}$ ):  $P_d^{res} = (No. of response-stage detections)/(No. of emplaced munitions in the test site).$ 

Response Stage Clutter Detection (cdres): An anomaly location that is within Rhalo of an emplaced clutter item.

Response Stage Probability of Clutter Detection ( $P_{cd}^{res}$ ):  $P_{cd}^{res} = (No. of response-stage clutter detections)/(No. of emplaced clutter items).$ 

Response Stage Background Alarm (ba<sup>res</sup>): An anomaly in a blind grid cell that contains neither emplaced munitions nor an emplaced clutter item. An anomaly location in the open field or scenarios that is outside R<sub>halo</sub> of any emplaced munitions or emplaced clutter item.

Response Stage Probability of Background Alarm ( $P_{ba}^{res}$ ): Blind grid only:  $P_{ba}^{res} =$  (No. of response-stage background alarms)/(No. of empty grid locations).

Response Stage Background Alarm Rate (BAR<sup>res</sup>): Open field any challenge area (including the direct and indirect firing sub areas) only: BAR<sup>res</sup> = (No. of response-stage background alarms)/(arbitrary constant).

Note that the quantities  $P_d^{res}$ ,  $P_{cd}^{res}$ ,  $P_{ba}^{res}$ , and BAR<sup>res</sup> are functions of  $t^{res}$ , the threshold applied to the response-stage signal strength. These quantities can therefore be written as  $P_d^{res}(t^{res})$ ,  $P_{cd}^{res}(t^{res})$ ,  $P_{ba}^{res}(t^{res})$ , and BAR<sup>res</sup>( $t^{res}$ ).

#### **DISCRIMINATION STAGE DEFINITIONS**

Discrimination: The application of a signal processing algorithm or human judgment to sensor data to discriminate munitions from clutter. Discrimination should identify anomalies that the demonstrator has high confidence correspond to munitions, as well as those that the demonstrator has high confidence correspond to non-munitions or background returns. The former should be ranked with highest priority and the latter with lowest.

Discrimination Stage Probability of Detection ( $P_d^{disc}$ ):  $P_d^{disc}$  = (No. of discrimination-stage detections)/(No. of emplaced munitions in the test site).

Discrimination Stage False Positive (fp<sup>disc</sup>): An anomaly location that is within R<sub>halo</sub> of an emplaced clutter item.

Discrimination Stage Probability of False Positive ( $P_{fp}^{disc}$ ):  $P_{fp}^{disc}$  = (No. of discrimination stage false positives)/(No. of emplaced clutter items).

Discrimination Stage Background Alarm (badisc): An anomaly in a blind grid cell that contains neither emplaced munitions nor an emplaced clutter item. An anomaly location in the open field or scenarios that is outside  $R_{halo}$  of any emplaced munitions or emplaced clutter item.

Discrimination Stage Probability of Background Alarm ( $P_{ba}^{disc}$ ):  $P_{ba}^{disc}$  = (No. of discrimination-stage background alarms)/(No. of empty grid locations).

Discrimination Stage Background Alarm Rate (BAR<sup>disc</sup>): BAR<sup>disc</sup> = (No. of discrimination-stage background alarms)/(arbitrary constant).

Note that the quantities  $P_d^{disc}$ ,  $P_{fp}^{disc}$ ,  $P_{ba}^{disc}$ , and BAR<sup>disc</sup> are functions of  $t^{disc}$ , the threshold applied to the discrimination-stage signal strength. These quantities can therefore be written as  $P_d^{disc}(t^{disc})$ ,  $P_{fp}^{disc}(t^{disc})$ ,  $P_{ba}^{disc}(t^{disc})$ , and BAR<sup>disc</sup>( $t^{disc}$ ).

#### RECEIVER-OPERATING CHARACTERISTIC (ROC) CURVES

ROC curves at both the response and discrimination stages can be constructed based on the above definitions. The ROC curves plot the relationship between  $P_d$  versus  $P_{cd}$  or  $P_{fp}$  and  $P_d$  versus BAR or  $P_{ba}$  as the threshold applied to the signal strength is varied from its minimum ( $t_{min}$ ) to its maximum ( $t_{max}$ ) value.<sup>1</sup>  $P_d$  versus  $P_{fp}$  and  $P_d$  versus BAR being combined into ROC curves are shown in Figure A-10. Note that the "res" and "disc" superscripts have been suppressed from all the variables for clarity.

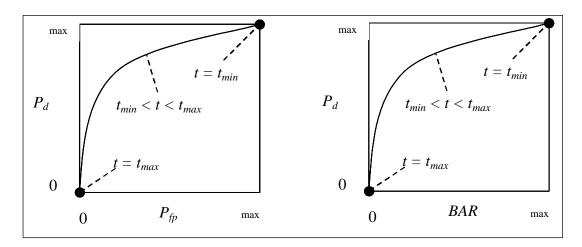


Figure A-10. ROC curves for open field testing. Each curve applies to both the response and discrimination stages.

#### METRICS TO CHARACTERIZE THE DISCRIMINATION STAGE

The demonstrator is also scored on efficiency and rejection ratio, which measure the effectiveness of the discrimination stage processing. The goal of discrimination is to retain the greatest number of munitions detections from the anomaly list while rejecting the maximum number of anomalies arising from non-munitions items. The efficiency measures the fraction of detected munitions retained by the discrimination, while the rejection ratio measures the fraction of false alarms rejected. Both measures are defined relative to the entire response list, i.e., the maximum munitions detectable by the sensor and its accompanying clutter detection rate/false positive rate or background alarm rate.

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 $<sup>^1</sup>$ Strictly speaking, ROC curves plot the  $P_d$  versus  $P_{ba}$  over a predetermined and fixed number of detection opportunities (some of the opportunities are located over munitions and others are located over clutter or blank spots). In an open field scenario, each system suppresses its signal strength reports until some bare-minimum signal response is received by the system. Consequently, the open field ROC curves do not have information from low signal-output locations, and, furthermore, different contractors report their signals over a different set of locations on the ground. These ROC curves are thus not true to the strict definition of ROC curves as defined in textbooks on detection theory. Note, however, that the ROC curves obtained in the blind grid test sites are true ROC curves.

Efficiency (E):  $E = P_d^{disc}(t^{disc})/P_d^{res}(t_{min}^{res})$ : Measures (at a threshold of interest) the degree to which the maximum theoretical detection performance of the sensor system (as determined by the response stage  $t_{min}$ ) is preserved after application of discrimination techniques. Efficiency is a number between 0 and 1. An efficiency of 1 implies that all of the munitions initially detected in the response stage were retained at the specified threshold in the discrimination stage,  $t^{disc}$ .

False Positive Rejection Rate ( $R_{fp}$ ):  $R_{fp} = 1 - [P_{fp}^{disc}(t^{disc})/P_{cd}^{res}(t_{min}^{res})]$ : Measures (at a threshold of interest) the degree to which the sensor system's false positive performance is improved over the maximum false positive performance (as determined by the response stage  $t_{min}$ ). The rejection rate is a number between 0 and 1. A rejection rate of 1 implies that all emplaced clutter initially detected in the response stage were correctly rejected at the specified threshold in the discrimination stage.

Background Alarm Rejection Rate (Rba):

```
\begin{array}{ll} \mbox{Blind grid:} & R_{ba} = 1 - [P_{ba}{}^{disc}(t^{disc})/P_{ba}{}^{res}(t_{min}{}^{res})]. \\ \mbox{Open field:} & R_{ba} = 1 - [BAR^{disc}(t^{disc})/BAR^{res}(t_{min}{}^{res})]). \end{array}
```

Measures the degree to which the discrimination stage correctly rejects background alarms initially detected in the response stage. The rejection rate is a number between 0 and 1. A rejection rate of 1 implies that all background alarms initially detected in the response stage were rejected at the specified threshold in the discrimination stage.

#### CHI-SQUARE COMPARISON

The Chi-square test for differences in probabilities (or 2 by 2 contingency table) is used to analyze two samples drawn from two different populations to see if both populations have the same or different proportions of elements in a certain category. More specifically, two random samples are drawn, one from each population, to test the null hypothesis that the probability of event A (some specified event) is the same for both populations.

The test statistic of the 2 by 2 contingency table is the Chi-square distribution with one degree of freedom. When an association between a more challenging terrain feature and relatively degraded performance is sought, a one-sided test is performed. A two-sided 2 by 2 contingency table is used in the Standardized UXO Technology Demonstration Site Program to compare performance between any two areas or subareas when the direction of degradation cannot be predetermined.

For a one-sided test, a significance level of 0.05 is used to set the critical decision limit. It is a critical decision limit because if the test statistic calculated from the data exceeds this value, then the lower proportion tested will be considered significantly less than the greater one (degraded). If the test statistic calculated from the data is less than this value, then no degradation can be said to exist because of the terrain feature introduced.

For a two-sided test, a significance level of 0.10 is used to allow 0.05 on either side of the decision. It is a critical decision limit because if the test statistic calculated from the data exceeds this value, then the two proportions tested will be considered significantly different. If the test statistic calculated from the data is less than this value, then the two proportions tested will be considered not significantly different.

An exception must be applied when either a 0 or 100 percent success rate occurs in the sample data. The Chi-square test cannot be used in these instances. Instead, Fischer's test is used, and the critical decision limit for one-sided tests is the chosen significance level, which in this case is 0.05. With Fischer's test, if the test statistic is less than the critical value, then the proportions are considered to be significantly different.

An example follows that illustrates Standardized UXO Technology Demonstration Site blind grid results compared to those from the open field legacy. It should be noted that a significant result does not prove a cause-and-effect relationship exists between the two populations of interest; however, it does serve as a tool to indicate that one data set has experienced a degradation or change in system performance at a large enough level than can be accounted for merely by chance or random variation. Note also that a result that is not significant indicates that there is not enough evidence to declare that anything more than chance or random variation within the same population is at work between the two data sets being compared.

Demonstrator X achieves the following overall results after surveying the blind grid and open field (legacy) using the same system (results indicate the number of munitions detected divided by the number of munitions emplaced):

Blind grid Open field 
$$P_d^{res} 100/100 = 1.0$$
 8/10 = 0.80

P<sub>d</sub><sup>res</sup>: BLIND GRID versus OPEN FIELD (legacy). Using the example data above to compare probabilities of detection in the response stage, all 100 munitions out of 100 emplaced munitions items were detected in the blind grid while 8 munitions out of 10 emplaced were detected in the open field. Fischer's test must be used since a 100-percent success rate occurs in the data. Fischer's test uses the four input values to calculate a test statistic of 0.0075 that is compared against the critical value of 0.05. Since the test statistic is less than the critical value, the smaller response stage detection rate (0.80) is considered to be significantly less at the 0.05 level of significance. While a significant result does not prove a cause-and-effect relationship exists between the change in survey area and degradation in performance, it does indicate that the detection ability of demonstrator X's system seems to have been degraded in the open field relative to results from the blind grid using the same system. This is an example of a one-sided Chi-squared test.

APPENDIX B. DAILY WEATHER LOGS

		Average	Total	
Date, 2015	Time, EST	Temperature, °F	Precipitation, in.	
,	0700	70.1	0.00	
	0800	70.9	0.00	
	0900	71.9	0.00	
	1000	73.9	0.00	
	1100	75.3	0.00	
27 July	1200	76.1	0.00	
	1300	76.9	0.00	
	1400	76.9	0.00	
	1500	77.8	0.00	
	1600	79.7	0.00	
	1700	80.6	0.00	
	0700	71.2	0.00	
	0800	73.6	0.00	
	0900	77.5	0.00	
	1000	80.3	0.00	
	1100	82.4	0.00	
28 July	1200	83.5	0.00	
-	1300	85.0	0.00	
-	1400 1500	85.6 87.1	0.00	
<del> </del>	1600	88.1	0.00	
	1700	88.5	0.00	
	0700	68.8	0.00	
	0800	72.7	0.00	
<del> </del>	0900	77.6	0.00	
<del> </del>	1000	80.5	0.00	
-	1100	82.7	0.00	
29 July	1200	83.9	0.00	
	1300	85.4	0.00	
	1400	86.5	0.00	
	1500	86.3	0.00	
	1600	84.1	0.00	
	1700	83.7	0.00	
	0700	77.8	0.00	
[	0800	78.6	0.00	
[	0900	80.0	0.00	
	1000	81.1	0.00	
	1100	82.1	0.00	
30 July	1200	82.8	0.00	
	1300	84.1	0.00	
	1400	85.9	0.00	
	1500	83.1	0.00	
	1600	74.3	0.00	
	1700	76.1	0.00	

EST = Eastern Standard Time

APPENDIX C. SOIL MOISTURE

Date: 27 July 2015							
		AM Reading,	PM Reading,				
Probe Location	Layer, in.	%	%				
	0 to 6	27.5	27.4				
	6 to 12	33.9	33.9				
Calibration lanes	12 to 24	37.3	37.1				
	24 to 36	41.7	41.6				
	36 to 48	58.6	58.5				
	0 to 6		18.8				
	6 to 12		27.4				
Blind grid	12 to 24		35.5				
	24 to 36		39.7				
	36 to 48		43.4				

Date: 28 July 2015						
		AM Reading,	PM. Reading,			
Probe Location	Layer, in.	%	%			
	0 to 6					
	6 to 12					
Calibration lanes	12 to 24					
	24 to 36					
	36 to 48					
	0 to 6	18.7	18.7			
	6 to 12	27.3	27.2			
Blind grid	12 to 24	35.3	35.2			
	24 to 36	39.7	39.6			
	36 to 48	43.3	43.3			

Date: 29 July 15							
		AM Reading,	PM Reading,				
Probe Location	Layer, in.	%	%				
	0 to 6						
	6 to 12						
Calibration lanes	12 to 24						
	24 to 36						
	36 to 48						
	0 to 6	18.7					
	6 to 12	27.2					
Blind grid	12 to 24	35.2					
	24 to 36	39.6					
	36 to 48	43.3					

			Status	Status							
Date,	No. of		Start	Stop	Duration		Operational Status	Track			
2015	People	Area Tested	Time, hr	Time, hr	min	Operational Status	Comments	Method	Pattern	Field Co	nditions
			0830	0945	75	Initial setup	Initial mobilization	GPS	Linear	Hot dry	Cloudy
			0945	1015	30	Collecting data	Collect data	GPS	Linear	Hot dry	Cloudy
		Calibration	1015	1215	120	Collecting data	Collect data	GPS	Linear	Hot dry	Cloudy
07 1		Lanes	1215	1225	10	Downtime due to equipment	Download data	GPS	Linear	Hot dry	Cloudy
27 July	4					maintenance/check					
			1225	1250	25	Break/lunch	Break/lunch	GPS	Linear	Hot dry	Cloudy
		Blind Test	1250	1540	170	Collecting data	Collect data	GPS	Linear	Hot dry	Cloudy
		Grid	1540	1610	30	Daily start, stop	Equipment breakdown	GPS	Linear	Hot dry	Cloudy
			0730	0755	25	Daily start, stop	Set up equipment	GPS	Linear	Hot dry	Sunny
			0755	0805	20	Calibration	Calibration	GPS	Linear	Hot dry	Sunny
			0805	0955	110	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			0955	1005	10	Downtime due to equipment	Change batteries	GPS	Linear	Hot dry	Sunny
		Blind Test				maintenance/check					
28 July	4	Grid	1005	1200	115	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			1200	1235	35	Break/lunch	Break/lunch	GPS	Linear	Hot dry	Sunny
			1235	1520	165	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			1520	1530	10	Downtime due to equipment	Download data, battery	GPS	Linear	Hot dry	Sunny
						maintenance/check	issue				_
			1530	1610	40	Daily start, stop	Equipment breakdown	GPS	Linear	Hot dry	Sunny
		Blind Test	0745	0815	30	Daily start, stop	Set up equipment	GPS	Linear	Hot dry	Sunny
		Grid	0815	0930	75	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			0930	1150	160	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
29 July	4	Small	1150	1230	40	Break/lunch	Break/lunch	GPS	Linear	Hot dry	Sunny
		Munition	1230	1235	5	Calibration	Calibration	GPS	Linear	Hot dry	Sunny
			1235	1540	185	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			1540	1610	30	Daily start, stop	Equipment breakdown	GPS	Linear	Hot dry	Sunny
			0745	0805	20	Daily start, stop	Set up equipment	GPS	Linear	Hot dry	Sunny
		4 Small Munition	0805	0855	50	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			0855	0920	25	Downtime due to equipment	Battery swapped	GPS	Linear	Hot dry	Sunny
30 July						failure					
	4		0920	1025	65	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			1025	1215	110	Downtime due to equipment	Battery swapped	GPS	Linear	Hot dry	Sunny
			1015	4000	05	failure	0 "	000			
			1215	1320	65	Collecting data	Collect data	GPS	Linear	Hot dry	Sunny
			1320	1405	45	Demobilization	Demobilization	GPS	Linear	Hot dry	Sunny

#### APPENDIX E. REFERENCES

- 1. Standardized UXO Technology Demonstration Site Handbook, DTC Project No. 8-CO-160-000-473, Report No. ATC-8349, March 2002.
- 2. Aberdeen Proving Ground Soil Survey Report, October 1998.
- 3. Data Summary, UXO Standardized Test Site: APG Soils Description, May 2002.

#### APPENDIX F. ABBREVIATIONS

APC = armored personnel carrier APG = Aberdeen Proving Ground

ATC = U.S. Army Aberdeen Test Center ATSS = Aberdeen Test Support Services

BAR = background alarm rate EMI = electromagnetic induction

ERDC = U.S. Army Corps of Engineers Engineering Research and Development Center

EST = Eastern Standard Time

ESTCP = Environmental Security Technology Certification Program

GPS = Global Positioning System

GT = ground truth

HEAT = high-explosive antitank

ID = identified

JD = Joint Diagonalization

JPG = Jefferson Proving Ground

MSR = multi-static response

NRL = Naval Research Laboratory

NS = nonstandard munition

ONVMS = orthonormalized volume magnetic source

P<sub>ba</sub> = probability of background alarm P<sub>cd</sub> = probability of clutter detection

P<sub>d</sub> = probability of detection

 $P_d^{res}$  = probability of detection for the response stage = probability of detection for the discrimination stage

P<sub>fp</sub> = probability of false positive

POC = point of contact
QA = quality assurance
QC = quality control

R<sub>ba</sub> = background alarm rejection R<sub>fp</sub> = false positive rejection

R<sub>halo</sub> = Halo Radius

ROC = receiver-operating characteristic

S = standard munition

SERDP = Strategic Environmental Research and Development Program

TDSS = Threat Detection and Systems Survivability

TEMTADS = Time-Domain Electromagnetic Multi-Sensor Towed Array Detection System

USAEC = U.S. Army Environmental Command

UXO = unexploded ordnance

YPG = U.S. Army Yuma Proving Ground

#### APPENDIX G. DISTRIBUTION LIST

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