



DEPARTMENT OF THE NAVY
NAVAL UNDERSEA WARFARE CENTER
DIVISION NEWPORT
OFFICE OF COUNSEL (PATENTS)
1176 HOWELL STREET
BUILDING 112T, CODE 000C
NEWPORT, RHODE ISLAND 02841-1708



PHONE: 401 832-4736
DSN: 432-4736

FAX: 401 832-1231
DSN: 432-1231

Attorney Docket No. 84959
Date: 16 October 2006

The below identified patent application is available for licensing. Requests for information should be addressed to:

PATENT COUNSEL
NAVAL UNDERSEA WARFARE CENTER
1176 HOWELL ST.
CODE 000C, BLDG. 112T
NEWPORT, RI 02841

Serial Number 10/911,765
Filing Date 30 July 2004
Inventor Michael R. Benjamin

If you have any questions please contact James M. Kasischke, Supervisory Patent Counsel, at 401-832-4230.

DISTRIBUTION STATEMENT
Approved for Public Release
Distribution is unlimited

20061023000

UNMANNED VEHICLE CONTROL SYSTEM

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT MICHAEL R. BENJAMIN, employee of the United States Government, Citizen of the United States of America and resident of Boston, County of Suffolk, Commonwealth of Massachusetts, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

JAMES M. KASISCHKE, ESQ.
Reg. No. 36562
Naval Undersea Warfare Center
Division, Newport
Newport, Rhode Island 02841-1708
TEL: 401-832-4736
FAX: 401-832-1231

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as U.S EXPRESS MAIL, Mailing Label No. EV 326644690 US in envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 30 July 2004

(DATE OF DEPOSIT)


APPLICANT'S ATTORNEY

30 July 2004
DATE OF SIGNATURE

1 Attorney Docket No. 84959

2

3 UNMANNED VEHICLE CONTROL SYSTEM

4

5 This application claims the benefit of U.S. Provisional
6 Application No. 60/491,489, filed July 31, 2003 and which is
7 entitled MULTI OBJECTIVE OPTIMIZATION MODEL FOR VEHICLE CONTROL
8 by Michael R. Benjamin.

9

10 STATEMENT OF GOVERNMENT INTEREST

11 The invention described herein may be manufactured and used
12 by or for the Government of the United States of America for
13 governmental purposes without the payment of any royalties
14 thereon or therefor.

15

16 BACKGROUND OF THE INVENTION

17 (1) Field of the Invention

18 The invention relates to a vehicle control system for
19 autonomously piloting a vehicle utilizing a multi-objective
20 optimization method that evaluates a plurality of objective
21 functions to determine the best decision variables satisfying
22 those objectives.

23 (2) Description of the Prior Art

24 The mission assigned to an underwater vehicle strongly
25 shapes the navigation complexity and criteria for success. While

1 many problems are similar between commercial and military AUVs,
2 there is a stronger emphasis in military vehicles in reasoning
3 about other nearby moving vessels. Military AUVs (more commonly
4 referred to as unmanned underwater vehicles (UUVs)) are typically
5 designed to operate in congested coastal situations, where a
6 near-collision or mere detection by another vessel can jeopardize
7 the AUV. The scenario considered in this application therefore
8 centers around the need to consider preferred relative positions
9 to a moving contact, while simultaneously transiting to a
10 destination as quickly and directly as possible. By "preferred
11 relative position", we primarily mean collision avoidance, but
12 use this term also in reference to other objectives related to
13 relative position. These include the refinement of a solution on
14 a detected contact, the avoidance of detection by another
15 contact, and the achievement of an optimal tactical position
16 should an engagement begin with the contact.

17 Other researchers have submitted material in the art of
18 autonomous vehicle navigation.

19 Rosenblatt in "DAMN: A Distributed Architecture for Mobile
20 Navigation," PhD thesis, Carnegie Mellon University, 1997 teaches
21 the use of behavior functions voting on a single decision
22 variable with limited variation. Multiple behavior functions
23 provide votes for an action having five different possibilities.
24 Additional control is provided by having a mode manager that
25 dynamically adjusts the weights of the behavior functions. While

1 Rosenblatt indicates that decision variables for turns and speed
2 are desirable, coupling of these two decision variables into a
3 single control system at the same time is not provided.

4 Rieki in "Reactive Task Execution of a Mobile Robot," PhD
5 Thesis, University of Oulu, 1999, teaches action maps for each
6 behavior that can be combined to guide a vehicle using multiple
7 decision variables. Rieki discloses action maps for obstacle
8 avoidance and velocity.

9 These publications fail to teach the use of multiple
10 decision variables having large numbers of values. No method is
11 taught for determining a course of action in real time from
12 multiple behavior functions. Furthermore, these publications do
13 not teach the use of action duration as a decision variable.

14

15

SUMMARY OF THE INVENTION

16 This invention provides a method for autonomously
17 controlling a vehicle. This includes comprising establishing
18 decision variables for maneuvering the vehicle and behavior
19 functions associated with the decision variables. The behavior
20 functions give a score indicating the desirability of engaging in
21 the associated behavior. The behavior functions are weighted. A
22 summation of the weighted behavior functions is solved while the
23 vehicle is operating to determine the values of the decision
24 variables giving the highest summation of scores. In a preferred
25 method, an optimal structure for the behavior functions and

1 summation solution is taught. The vehicle is then guided in
2 accordance with the determined decision variable values.

3

4

BRIEF DESCRIPTION OF THE DRAWINGS

5

6

7

8

9

A more complete understanding of the invention and many of the attendant advantages thereto will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in conjunction with the accompanying drawings wherein:

10

FIG. 1 is a diagram of the basic vehicle navigation problem;

11

FIG. 2 is a flow chart of the vehicle navigation system;

12

FIG. 3 is a diagram showing the vehicle navigation problem

13

applied to marine vehicles;

14

FIG. 4 is a diagram illustrating aspects of the closest

15

point aspect of the shortest path behavior function; and

16

FIG. 5 is the algorithm for finding the shortest path.

17

18

DESCRIPTION OF THE PREFERRED EMBODIMENT

19

This invention sets up a control system for a vehicle 10

20

moving through time and space, where periodically, at fixed time

21

intervals, a decision is made as to how to next control the

22

vehicle. FIG. 1 shows the vehicle 10 traveling along a path 12

23

at times T_{m-1} to T_m . Before expiration of the time interval

24

between T_{m-1} and T_m , vehicle 10 must decide its next course and

1 speed. Some of the multiplicity of course choices are
2 represented by dashed lines 14A, 14B and 14C.

3 The vehicle control loop 20 is shown as FIG. 2. At the
4 start of the control loop 20, the vehicle receives environmental
5 and database inputs as identified in step 22. This information
6 is transferred to a plurality of behavior functions 24 that are
7 set up as interval programming (IvP) functions for each
8 individual behavior of the vehicle. Each behavior function 24
9 has access to the information in the environment from step 22
10 that is relevant in building its IvP function. Each IvP function
11 is defined over a common decision space, where each decision
12 precisely spells out the next action for the vehicle 10 to
13 implement starting at time T_m . The behavior functions 24 can be
14 weighted to give preferences to certain behaviors. In step 26,
15 the behavior functions are solved. Each iteration of this
16 control loop involves the building interval programming functions
17 in step 24 and solving this interval programming problem in step
18 26. Generic solution of an interval programming problem is
19 discussed in U.S. Patent Application Ser. No. 10/631,527, A
20 MULTI-OBJECTIVE OPTIMIZATION METHOD, which is incorporated by
21 reference herein. Solution can be performed by formulating the
22 problem as a summation of the weighted behavior functions.
23 Solutions to the behavior functions are known, so the control
24 system can find the optimal control variables by searching
25 through the variables to find the maximum of this summation.

1 This solution results in control variables for vehicle
2 navigation. These control variables are assigned to the vehicle
3 for navigation in step 28. The algorithm is then iterated in
4 loop 30.

5 In the following text and as shown in FIG. 3, the
6 environment, decision space, and behaviors are described for the
7 application of this technology to marine vehicle navigation. The
8 rationale for using the decision variables chosen here is also
9 discussed. The information that composes the vehicle's relevant
10 environment can be divided into the following four groups: a)
11 bathymetry data, b) destination information, c) ownship position
12 information, and d) contact position information. The bathymetry
13 data represents an assumed map of the environment, telling us
14 what is reachable from where, and at which depths. This includes
15 land 40, ocean 42 and a destination 43. Destination 43 is simply
16 given as latitude, longitude pair, d_{LAT} , d_{LON} . The vehicle of
17 interest 44 is hereinafter referenced as ownship 44. The
18 position information for ownship 44 is given by the terms os_{LAT}
19 and os_{LON} . This is the expected vehicle 44 position at time T_m ,
20 based on its position at time T_{m-1} and the choice of course 46 and
21 speed executed at T_{m-1} . Likewise, the position for a contact 48 is
22 given by cn_{LAT} and cn_{LON} , based on the contact's observed course 50
23 and speed at time T_{m-1} . In addition, the terms cn_{CRS} and cn_{SPD}
24 indicate the expected course 52 and speed of the contact 48 at
25 time T_m , which is simply the previous course and speed.

1 During the time interval $[T_{m-1}; T_m]$, the contact 48 is
 2 assumed to be on a straight linear track. The calculated ownship
 3 maneuver 54A, 54B or 54C would still be carried out regardless of
 4 a change in course or speed made by the contact 48 in this time
 5 interval. Should such a change occur, the new cn_{CRS} and cn_{SPD} would
 6 be noted, the next cn_{LAT} and cn_{LON} calculated, and the process of
 7 determining the maneuver at time T_{m+1} begun. The implementation of
 8 a tight control loop, and the willingness to repeatedly
 9 reconsider the next course of action, ensures that the vehicle 44
 10 is able to quickly react to changes in its perceived environment.

11 In application to a marine vehicle, the following three
 12 decision variables are used to control the vehicle 44: $x_c =$
 13 course, $x_s =$ speed, and $x_t =$ time. They are summarized, with
 14 their corresponding domains and resolutions in the Table, below.

15

16	Name	Meaning	Domain	Resolution
17	x_c	Ownship course starting at time	$T_m [0; 359]$	1 degree
18	x_s	Ownship speed starting at time	$T_m [0; 30]$	1 knot
19	x_t	Intended duration of the next ownship leg	$[1; 90]$	1 minute

20

21 The selection of these three decision variables, and the
 22 omission of others, reflects a need to present both a
 23 sufficiently simple scenario here, as well as a sufficiently
 24 challenging motion planning problem. The omission of variables
 25 for controlling vehicle depth, for example, may seem strange

1 since we are focusing on marine vehicles. However, the five
2 objective functions focus on using the interval programming to
3 solve the particularly challenging problem of shortest/quickest
4 path navigation in the presence of moving obstacles.

5 Although reasoning about vehicle depth is critically
6 important for successful autonomous undersea vehicle operation,
7 none of the objective functions we implement here involve depth
8 because of the added processing complexity. In the scenario
9 described, it is assumed that the depth remains fixed at a preset
10 level. The same holds true for other important control variables,
11 namely the ones that control the rate of change in course, speed
12 or depth. Again for the sake of simplicity, it is assumed that a
13 course or speed change will take place at some reasonable rate.
14 Alternatively, we can regard such maneuvers as happening
15 instantaneously, and include the error that results from this
16 erroneous assumption into general unpredictability of executing
17 an action in a world with limited actuator precision. Certainly,
18 the decision space will grow in size and complexity as more
19 realistic scenarios are considered.

20 Even when limited to the three variables above, with their
21 domains and resolutions, the decision space contains $360 \times 31 \times$
22 $90 = 1,004,400$ elements. By comparison, none of the decision
23 spaces considered by the prior art contained more than 1,000
24 elements, even if those decision spaces were composed as the
25 Cartesian product of their variable domains. Future versions of

1 this invention may consider depth, course change rate, speed
2 change rate, and other decision variables.

3 Accordingly, this invention provides behaviors for: Safest
4 Path, Shortest Path, Quickest Path, Boldest Path, and Steadiest
5 Path. Other behaviors may be developed for this application
6 taking into account other system information.

7 The objective of the safest path behavior is to prevent
8 ownship 44 from coming dangerously close to a particular contact
9 48, and is defined over the three decision variables x_c , x_s , and
10 x_t . We describe how to build an IVP function, $f_{IVP}(x_c; x_s; x_t)$,
11 based on an underlying function, $f_{CPA}(x_c; x_s; x_t)$. The latter
12 function is based on the closest point of approach, (CPA),
13 between the two vehicles during a maneuver, $[x_c; x_s; x_t]$, made
14 by ownship 44. This function is calculated in a three step
15 process:

- 16 [1] Determine the point in time when the closest point of
17 approach occurs, x'_t .
- 18 [2] Calculate the distance between vehicles at this time
19 x'_t .
- 20 [3] Apply a utility metric to this distance.

21 After discussing how $f_{CPA}(x_c; x_s; x_t)$ is calculated, the
22 creation of $f_{IVP}(x_c; x_s; x_t)$ from this function is discussed.

23 To calculate $f_{CPA}(x_c; x_s; x_t)$, we first need to find the point
24 in time, x'_t , in the interval $[0; x_t]$, when the CPA occurs. To do
25 this, we need expressions telling us where ownship 44 and the

1 contact 48 are at any point in time, as well as an expression for
 2 their relative distance. Recall that at time, T_m , ownship will be
 3 at a certain relative position to the contact, and after a
 4 particular maneuver, given by $[x_c; x_s; x_t]$, will be at a new point
 5 in the ocean and at a new relative position. For ownship, the new
 6 latitude and longitude position is given by:

$$7 \quad f_{LAT}(x_c; x_s; x_t) = (x_s)(x_t) \cos(x_c) + OS_{LAT} \quad (1)$$

$$8 \quad f_{LON}(x_c; x_s; x_t) = (x_s)(x_t) \sin(x_c) + OS_{LON} \quad (2)$$

9 The resulting new contact position is similarly given by the
 10 following two functions:

$$11 \quad g_{LAT}(x_t) = \cos(cncrs)(cnsdp)(x_t) + cn_{LAT} \quad (3)$$

$$12 \quad g_{LON}(x_t) = \sin(cncrs)(cnsdp)(x_t) + cn_{LON} \quad (4)$$

13 The latter two functions are defined only over x_t since the
 14 contact's course and speed are assumed not to change from their
 15 values of cn_{CRS} and cn_{SPD} . Note these four functions ignore earth
 16 curvature. The distance between ownship and the contact, after a
 17 maneuver $[x_c; x_s; x_t]$ is expressed as:

18

$$19 \quad dist^2(x_c; x_s; x_t) = (f_{LAT}(x_c; x_s; x_t) - g_{LAT}(x_t))^2 + (f_{LON}(x_c; x_s; x_t) -$$

$$20 \quad g_{LON}(x_t))^2. \quad (5)$$

21

22 Barring the situation where the two vehicles are at identical
 23 course and speed, the CPA is at a unique minimum point in the
 24 above function. We find this stationary point by expanding this
 25 function, collecting like terms, and taking the first derivative.

1 with respect to x_t , setting it to zero, and solving for x_t . By
 2 expanding and collecting like terms we get:

$$3 \quad dist^2(x_c; x_s; x_t) = k_2 x_t^2 + k_1 x_t + k_0 \quad (6)$$

4 where

$$k_2 = \cos^2(x_c) \cdot x_s^2 - 2 \cos(x_c) \cdot x_s \cdot \cos(cn_{CRS}) \cdot cn_{SPD} + \cos^2(cn_{CRS}) \cdot cn_{SPD}^2 +$$

$$\sin^2(x_c) \cdot x_s^2 - 2 \sin(x_c) \cdot x_s \cdot \sin(cn_{CRS}) \cdot cn_{SPD} + \sin^2(cn_{CRS}) \cdot cn_{SPD}^2$$

$$5 \quad k_1 = 2 \cos(x_c) \cdot x_s \cdot os_{LAT} - 2 \cos(x_c) \cdot x_s \cdot cn_{LAT} - 2 os_{LAT} \cdot \cos(cn_{CRS}) \cdot cn_{SPD} +$$

$$2 \cos(cn_{CRS}) \cdot cn_{SPD} \cdot cn_{LAT} + 2 \sin(x_c) \cdot x_s \cdot os_{LON} - 2 \sin(x_c) \cdot x_s \cdot cn_{LON} -$$

$$2 os_{LON} \cdot \sin(cn_{CRS}) \cdot cn_{SPD} + 2 \sin(cn_{CRS}) \cdot cn_{SPD} \cdot cn_{LON} \quad (7)$$

$$k_0 = os_{LAT}^2 - 2 os_{LAT} \cdot cn_{LAT} + cn_{LAT}^2 + os_{LON}^2 - 2 os_{LON} \cdot cn_{LON} + cn_{LON}^2$$

6 From this we have:

$$7 \quad dist^2(x_c; x_s; x_t)' = 2k_2 x_t + k_1 \quad (8)$$

8 We note that the distance between two objects cannot be negative,
 9 so the point in time, x_t' , when $dist^2(x_c; x_s; x_t)$ is at its
 10 minimum is the same point where $dist(x_c; x_s; x_t)$ is at its
 11 minimum. Also, since there is no "maximum" distance between two
 12 objects, a point in time, x_t' , where $2k_2 x_t + k_1 = 0$ must represent a
 13 minimum point in the function $dist(x_c; x_s; x_t)$. Therefore x_t' is
 14 given by:

$$15 \quad x_t' = \frac{-k_1}{2k_2} \quad (9)$$

16 If $x_t' < 0$, meaning the closest point of approach occurred prior
 17 to the present, we set $x_t = 0$, and if $x_t' > x_t$, we set $x_t' = x_t$.

18 When ownship and the contact have the same course and speed,
 19 i.e., $x_c = cn_{CRS}$ and $x_s = cn_{SPD}$, then k_1 and k_2 equal zero, and x_t'

1 is set to zero, since their relative distance will not change
2 during the time interval $[0; x_t]$.

3 Having identified the time, x_t' , at which the closest point
4 of approach occurs, calculating this corresponding distance is a
5 matter of applying the distance function, given above, to x_t' .

$$6 \text{ cpa}(x_c; x_s; x_t) = \text{dist}(x_c; x_s; x_t'). \quad (10)$$

7 The actual objective function reflecting the safest-path
8 behavior, $f_{CPA}(x_c; x_s; x_t)$, depends on both the CPA value and a
9 utility metric relating how good or bad particular CPA values are
10 with respect to goals of the safest-path behavior. Thus $f_{CPA}(x_c;$
11 $x_s; x_t)$ will have the form:

$$12 f_{CPA}(x_c; x_s; x_t) = \text{metric}(\text{cpa}(x_c; x_s; x_t)). \quad (11)$$

13 We first consider the case where $f_{CPA}(x_c; x_s; x_t)$ represents a
14 "collision-avoidance" objective function. In a world with perfect
15 knowledge and perfectly executed actions, a constraint-based
16 approach to collision avoidance would be appropriate, resulting
17 in $\text{metric}_a(d)$ below, where d is the CPA distance, and $-M$ is a
18 sufficiently large negative number acting as -1 . Allowing for
19 error, one could instead use

20

$$21 \text{metric}_a(d) = -M \text{ if } d = 0 \quad (12)$$

$$22 \quad \quad \quad = 0 \text{ otherwise}$$

23 or,

24

$$25 \text{metric}_b(d) = -M \text{ if } d \leq 300 \quad (13)$$

1 = 0 otherwise

2

3 use metric_b(d) where maneuvers that result in CPA distances of
4 less than 300 yards are treated as "collisions" to allow room for
5 error, or a buffer zone.

6 Instead, we use a metric that recognizes that this collision
7 safety zone is gray, or fuzzy. Under certain conditions,
8 distances that would otherwise be avoided, may be allowed if the
9 payoff in other goals is high enough. Of course, some distances
10 remain intolerable under any circumstance. Having specified a
11 function to compute the CPA distance and a utility metric based
12 on the CPA distance, the specification of $f_{CPA}(x_c; x_s; x_t)$ is
13 complete. Based on this function, we then build the function
14 $f_{IVP}(x_c; x_s; x_t)$.

15 Now that $f_{CPA}(x_c; x_s; x_t)$ has been defined, we wish to build a
16 version of $f_{IVP}(x_c; x_s; x_t)$ that closely approximates this
17 function. It is desirable to create as accurate a representation
18 as possible, as quickly as possible, using as few pieces as
19 possible. This in itself is a non-trivial multi-objective
20 problem. Fortunately, fairly naive approaches to building this
21 function appear to work well in practice, with additional room
22 for doing much better given more thought and design effort. To
23 begin with, we create a piecewise uniform version of $f_{IVP}(x_c; x_s;$
24 $x_t)$. This function gives a score for every possible course, $x_c;$
25 speed, $x_s;$ and duration, x_t . The score gives a desirability of

1 following these variables in view of potential collision with the
2 contact.

3 The questions of acceptable accuracy, time, and piece-count
4 are difficult to respond to with precise answers. The latter two
5 issues of creation time and piece-count are tied to the tightness
6 of the vehicle control loop. This makes it possible to work
7 backward from the control loop requirements to bound the creation
8 time and piece-count. However, the control loop time is also
9 application dependent. The most difficult issue is knowing when
10 the function $f_{IVP}(x_c; x_s; x_t)$ is an acceptably accurate
11 representation of $f_{CPA}(x_c; x_s; x_t)$. Although it is difficult to
12 pinpoint, at some point the error introduced in approximating
13 $f_{CPA}(x_c; x_s; x_t)$ with $f_{IVP}(x_c; x_s; x_t)$ becomes overshadowed by the
14 subjectivity involved in $f_{CPA}(x_c; x_s; x_t)$.

15 Characteristics of different versions of $f_{IVP}(x_c; x_s; x_t)$ can
16 be analyzed experimentally to note when poorer versions begin to
17 adversely affect vehicle behavior. There is a trade off between
18 the number of pieces in the piecewise function, the creation
19 time, and the error associated therewith. With an increasing
20 number of pieces, it has been found that there is a point of
21 diminishing returns where additional pieces have a smaller return
22 in reduced error. An ideal piece count cannot be formulated on
23 each iteration of the control loop; however, enough analysis of
24 the vehicle can allow choice of a piece-count that works
25 sufficiently well in all situations.

1 The shortest path behavior is concerned with finding a path
2 of minimal distance from the current position of the vehicle
3 (OS_{LAT} ; OS_{LON}) to a particular destination [d_{LAT} ; d_{LON}]. As with the
4 previous behavior, the aim is to produce an IVP function $f_{IVP}(x_c;$
5 $x_s;$ $x_t)$ that not only indicates which next maneuver(s) are
6 optimal with respect to the behavior's goals, but evaluates all
7 possible maneuvers in this regard. The primary difference between
8 this behavior and the previous behavior, is that here, $f_{IVP}(x_c;$
9 $x_s;$ $x_t)$ is piecewise defined over the latitude-longitude space
10 rather than over the decision space. The function $f_{IVP}(x_c;$ $x_s;$ $x_t)$,
11 as in other behaviors, is created during each iteration of the
12 control loop, and must be created quickly. In the shortest path
13 behavior, an intermediate function, $spath(p_{LAT}; p_{LON})$, is created
14 once, off-line, for a particular destination, and gives the
15 shortest-path distance to the destination given a point in the
16 ocean, [p_{LAT} ; p_{LON}]. The creation of $spath(p_{LAT}; p_{LON})$ is described
17 below. This function in turn is built upon a third function,
18 $bathy(p_{LAT}; p_{LON})$, which returns a depth value for a given point in
19 the ocean, and is described below.

20 The function $bathy(p_{LAT}; p_{LON})$ is a piecewise constant
21 function over the latitude-longitude space, where the value
22 inside each piece represents the shallowest depth within that
23 region. This function is formed in a manner similar to that
24 taught by U.S. Patent Application Ser. No. 10/631,527, A MULTI-
25 OBJECTIVE OPTIMIZATION METHOD which has been incorporated by

1 reference herein. The "underlying" function in this case is a
2 large file of bathymetry data, where each line is a triple: [P_{PLAT};
3 P_{PLO}; depth]. These bathymetry files can be obtained for any
4 particular region of the ocean from the Naval Oceanographic
5 Office Data Warehouse, with varying degrees of precision, i.e.,
6 density of data points.

7 The primary purpose of the bathy(P_{PLAT}; P_{PLO}) function is to
8 provide a quick and convenient means for determining if one point
9 in the ocean is directly reachable from another. Consider the
10 example function, bathy(P_{PLAT}; P_{PLO}), which is an approximation of
11 the bathymetry data. This data can be used in determining whether
12 the proposed destination point is reachable from all points
13 inside a current region, for a given depth. The function
14 spath(P_{PLAT}; P_{PLO}) is built by using the function bathy(P_{PLAT}; P_{PLO})
15 and performing many of the above such queries. The accuracy in
16 representing the underlying bathymetry data is enhanced by using
17 finer latitude and longitude pieces. However, the query time is
18 also increased with more pieces, since all pieces between the two
19 points must be retrieved and tested against the query depth.
20 Actually, just finding one that triggers an unreachable response
21 is sufficient, but to answer that the destination is reachable,
22 all must be tested.) The preferred function bathy(P_{PLAT}; P_{PLO}) uses
23 a uniform piecewise function.

24 An equivalent non-uniform function can be constructed by
25 combining neighboring pieces with similar values. Further

1 consolidation can be done if a range of operating depth for the
2 vehicle is known a priori. For example, if the vehicle will
3 travel no deeper than 30 meters, then the function can be
4 simplified, since pieces with depths of 30 and 45 meters are
5 functionally equivalent when the vehicle is restricted to depths
6 less than 30 meters.

7 The function $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ is a piecewise linear function
8 over the latitude-longitude space, where the value inside each
9 piece represents the shortest path distance to the destination
10 $[d_{\text{LAT}}; d_{\text{LON}}]$, given a bathymetry function, $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$, and a
11 specific operating depth. On a basic level, this function only
12 considers simple linear distance, but it is recognized that one
13 of ordinary skill in the art would consider other factors, such
14 as preferred depth, current flow, and proximity to obstacles with
15 uncertainty in order to provide a more robust implementation.
16 These factors are discussed in the prior art to John Reif and
17 Zheng Sun, "Motion Planning in the Presence of Flows,"
18 *Proceedings of the 7th International Workshop on Algorithms and*
19 *Data Structures (WADS2001)*, pages 450-461, Brown University,
20 Providence, RI, August 2001. Volume 2125 of Lecture Notes in
21 Computer Science.

22 In building $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ for a particular destination and
23 depth, the latitude-longitude space is divided into either free
24 space, or obstacles, based on the $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$ function. A
25 simple case is shown below in FIG. 4. FIG. 4 provides a map 60 of

1 latitude-longitude pieces. Pieces identified by the bathymetry
2 function as being impassable are cross hatched as identified by
3 piece 62. The destination is shown as "o" identified as 64. In
4 the first stage of building $\text{spath}(P_{\text{LAT}}; P_{\text{LON}})$, all latitude-
5 longitude pieces are identified such that all interior positions
6 of the piece are reachable to the destination on a single direct
7 linear path. In FIG. 4, these "direct-path" pieces are indicated
8 by the empty pieces 66. The other pieces, such as the pieces
9 identified as 68, are marked with ∞ , since their distance to the
10 destination 64 is initially unknown. Choosing these pieces to be
11 uniform was done only for clarity in these examples. The pieces
12 in $\text{spath}(P_{\text{LAT}}; P_{\text{LON}})$ and $\text{bathy}(P_{\text{LAT}}; P_{\text{LON}})$ are not required to be
13 uniform, and the algorithm provided below is not dependent on
14 uniform pieces.

15 After the first stage, there exists a "frontier" of pieces
16 identified as 70, each having a directly-reachable neighbor 72
17 that has a known shortest-path distance. For these frontier
18 pieces 70, one can at least improve the " ∞ " distance by
19 proceeding through its neighbor 72. But consider the case of the
20 piece identified as 74, where a frontier piece has two such
21 neighbors. Unless an effort is made to properly "orient" the
22 frontier, unintended consequences may occur. Furthermore, even if
23 the correct neighbor is chosen, we can often do better than
24 simply proceeding through the neighbor. This section describes
25 implementation of an all-sources shortest path algorithm. The

1 only value we ultimately care about for each piece is the linear
2 interior function indicating the shortest-path distance for a
3 given interior position. However, the following intermediate
4 terms are useful:

5 $\text{dist}(pc_a, pc_b)$ = Distance between center points of pc_a and pc_b .

6 $pc_a \rightarrow \text{dist}$ = Distance from the center point of pc_a to the
7 destination.

8 $pc_a \rightarrow \text{waypt}$ = The next waypoint for all points in pc_a .

9 After the first stage of finding all directly reachable
10 pieces 66, the value of $pc_a \rightarrow \text{waypt}$ for such pieces is simply the
11 coordinates of destination point 64, $[d_{\text{LAT}}; d_{\text{LON}}]$, and NULL for
12 all other pieces. By keeping the waypoint for each piece, we can

13 reconstruct the actual path that the shortest-path distance is
14 based upon. The basic algorithm is given in FIG. 5. Three
15 subroutine calls are left un-expanded: $\text{setDirectPieces}()$,
16 $\text{sampleFrontier}()$, and $\text{refine}()$, on lines 0, 3, and 5. The basic
17 idea of the while loop is to continue refining pieces on the
18 frontier until a set amount (in this case 100) of successive
19 refinements fail to exceed a fixed threshold of improvement.

20 The function $\text{sampleFrontier}(\text{amt})$ searches for pairs of
21 neighboring pieces, $[pc_a, pc_b]$, where one piece could improve its
22 path by simply proceeding through its neighbor. The pairs of
23 pieces are randomly chosen by picking points in the latitude-
24 longitude space. The opportunity for improving pc_a through its
25 neighbor, pc_b , is measured by: $\text{opp}_a = pc_a \rightarrow \text{dist} - (\text{dist}(pc_a, pc_b) +$

1 $pc_b \rightarrow dist$). Each pair of pieces is then placed in a fixed-length
2 priority queue, where the maximum element is a (frontier) pair
3 with the greatest opportunity for improvement. This queue will
4 never be empty but will eventually contain only pairs with little
5 or no opportunity for improvement. There is also no guarantee
6 that the same pair is not in the queue twice.

7 After a certain amount of sampling is done, the maximum pair
8 is popped from the queue as in line 4 in FIG. 5. The function
9 $refine(pc_a, pc_b)$ is then executed, returning the measure of
10 improvement given by val . The counter, $threshCount$, is
11 incremented if the improvement is insignificant, eventually
12 triggering the exit from the while-loop. If the improvement in
13 pc_a is significant, it will likely create a good opportunity for
14 improvement in other neighbors of pc_a . These neighbors (pairs)
15 are therefore evaluated and pushed into the priority queue.
16 The $refine(pc_a, pc_b)$ function should, at the very least, make the
17 simple improvement of setting the $pc_a \rightarrow waypt$ to an interior point
18 in pc_b , e.g. the center point, and the linear function inside pc_a
19 is set to represent the distance to this new way-point, plus the
20 distance from that way-point to the destination. Other
21 refinements can be made that search for shortcuts points along
22 the path from pc_b to its way-point. If such a point is found, it
23 becomes the value of $pc_a \rightarrow waypt$, and the appropriate linear
24 interior distance function is calculated. The value returned by
25 $refine(pc_a, pc_b)$ is the difference in $pc_a \rightarrow dist$ before and after

1 the function call.

2 In $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$, the shortest distance for each point is
3 based on a particular set of waypoints composing the shortest
4 path, so the next waypoint is stored with each point in latitude-
5 longitude space. This forms a linked list from which a full set
6 of waypoints can be reconstructed for any given start position.

7 Once the function $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ has been created for a
8 particular destination and depth, the function $f_{\text{IVP}}(x_c; x_s; x_t)$ for
9 a given ownship position can be quickly created. Like $\text{bathy}(p_{\text{LAT}};$
10 $p_{\text{LON}})$ and $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$, this function is defined over the
11 latitude-longitude space, but the function $f_{\text{IVP}}(x_c; x_s; x_t)$ is
12 defined only over the points reachable within one maneuver. A
13 distance radius is determined by the maximum values for x_s and
14 x_t . The objective function, $f_{\text{IVP}}(x_c; x_s; x_t)$, produced by this
15 behavior ranks waypoints based on the additional distance, over
16 the shortest-path distance, that would be incurred by traveling
17 through them.

18 For each piece in $f_{\text{IVP}}(x_c; x_s; x_t)$, the linear interior
19 function represents a detour distance calculated using three
20 components. The first two are linear functions in the piece
21 representing the distance to the destination, and the distance to
22 the current ownship position. The third component is simply the
23 distance from the current ownship position to the destination,
24 given by $\text{spath}(OS_{\text{LAT}}; OS_{\text{LON}})$. Thus, the linear function
25 representing the detour distances for all points $[x; y]$ in a

1 given piece, is given by: $(m_1 + m_2)(x) + (n_1 + n_2)(y) + b_1 + b_2 -$
2 $\text{spath}(\text{OS}_{\text{LAT}}, \text{OS}_{\text{LON}})$. A utility metric is then applied to this
3 result to both normalize the function $f_{\text{IVP}}(x_c; x_s; x_t)$, and allow a
4 nonlinear utility to be applied against a range of detour
5 distances.

6 The objective functions built by the shortest path behavior
7 may also reflect alternative paths that closely missed being the
8 shortest, from a given position. For example, the shortest path
9 from positions just south of an island to the destination just
10 north of the island may proceed either east or west depending on
11 the starting position. A north-south line of demarcation can be
12 drawn that determines the direction of the shortest path. When
13 ownship is nearly on this line, the resulting objective function,
14 $f_{\text{IVP}}(x_c; x_s; x_t)$, reflects both alternative paths. If the shortest
15 path proceeds east around the island, positions north-west can
16 still be ranked highly due to the alternative, near-shortest path
17 even though these positions represent a significant detour from
18 the true shortest path. The presence of alternatives is important
19 when the behavior needs to cooperate with another behavior that
20 may have a good reason for not proceeding east.

21 The three functions in this behavior are coordinated to
22 allow repeated construction of $f_{\text{IVP}}(x_c; x_s; x_t)$ very quickly, since
23 it needs to be built and discarded on each iteration of the
24 control loop.

25 The bathymetry data is assumed to be stable during the

1 course of an operation. Thus the piecewise representation of this
2 data, $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$, is calculated once, off-line, and its
3 creation is not subjected to real-time constraints. The function
4 $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ is stable as long as the destination and
5 operating depth remain constant.

6 An implementation of $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ having sufficient speed
7 has been developed. Alternatively, storing previously calculated
8 versions of $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ for different depths or destinations
9 is another viable option. The volatile function, $f_{\text{IVP}}(x_c; x_s; x_t)$,
10 can be calculated very quickly since so much of the work is
11 contained in the underlying $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ function. The
12 relationship between these three functions results in the
13 appearance that ownship is performing "dynamic replanning" in
14 cases where the shortest path becomes blocked by another vessel.
15 The result is a behavior that has a strong "reactive" aspect
16 because it explicitly states all its preferred alternatives to
17 its most preferred action. It also has a strong "planning" aspect
18 since its action choices are based on a sequence of perhaps many
19 actions.

20 In transiting from one place to another as quickly as
21 possible, proceeding on the shortest path may not always result
22 in the quickest path. If the shortest path is indeed available at
23 all times to the vehicle, at the vehicle's top speed, then the
24 shortest path will indeed be the quickest. Other issues, such as
25 collision avoidance with other moving vehicles, may create

1 situations where the vehicle may need to leave the shortest path
2 to arrive at its destination in the shortest time possible.

3 Concerning the boldest path behavior, sometimes there is
4 just no good decision or action to take. But this doesn't mean
5 that some are not still better than others. By including time,
6 x_t , as a component of our action space, we leave open the
7 possibility for a form of procrastination, or self-delusion. If
8 the vehicle's situation is doomed to be less than favorable an
9 hour into the future, no matter what, actions that have a time
10 component of only a minute appear to be relatively good. By
11 narrowing the window into the future, it is difficult to
12 ~~distinguish which initial actions may actually lead to a minimal~~
13 amount of damage in the future. The boldest-path behavior
14 therefore gives extra rating to actions that have a longer
15 duration, i.e., higher values of x_t . This is not to say that
16 choosing an action of brief duration, followed by different one,
17 can sometimes be advantageous.

18 Other relevant behavior functions and decision variables can
19 be determined in view of the mission of the vehicle. These
20 techniques could also be applied to commercial autonomous
21 vehicles.

22 Although we seek the optimum ($x_c; x_s; x_t$) at each iteration
23 of the vehicle control loop, there is a certain utility in
24 maintaining the vehicle's current course and speed. In practice,
25 when ownship is turning or accelerating, it not only makes noise,

1 but also destabilizes its sensors for a period, making changes in
2 a contact's solution harder to detect. The steady-path behavior
3 implements this preference to keeping a steady course and speed
4 by adding an objective function ranking values of x_c and x_s
5 higher when closer to ownship's current course and speed.

6 After choosing the behavior equations for the vehicle, these
7 equations are converted to interval functions as taught by the
8 method. The behavior functions are weighted and summed to give
9 an interval programming problem. At each time interval, the
10 vehicle solves the interval programming problem. This can be
11 performed by searching through the behavior functions to
12 determine optimal values of the functions. ~~These optimal values~~
13 give the best course of action for the vehicle. The vehicle then
14 implements this action and proceeds to formulate the next
15 interval programming problem.

16 In light of the above, it is therefore understood that
17 within the scope of the appended claims, the invention may be
18 practiced otherwise than as specifically described.

UNMANNED VEHICLE CONTROL SYSTEM

ABSTRACT OF THE DISCLOSURE

6 A method for autonomously controlling a vehicle includes
7 establishing decision variables for maneuvering the vehicle.
8 Behavior functions are established for behaviors of the vehicle
9 as a function of at least one of the established decision
10 variables. These behavior function give a score which may be
11 weighted, indicating the desirability of engaging in the
12 associated behavior. A summation of the weighted behavior
13 functions can be solved while the vehicle is operating to
14 determine the values of the decision variables giving the highest
15 summation of scores. In a preferred method, an optimal structure
16 for the behavior functions and summation solution is taught. The
17 method then guides the vehicle in accordance with the determined
18 decision variable values.

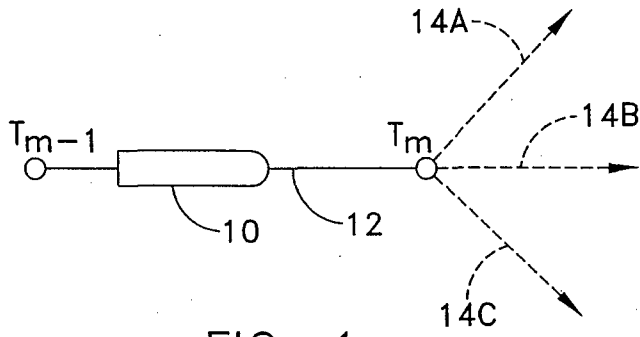


FIG. 1

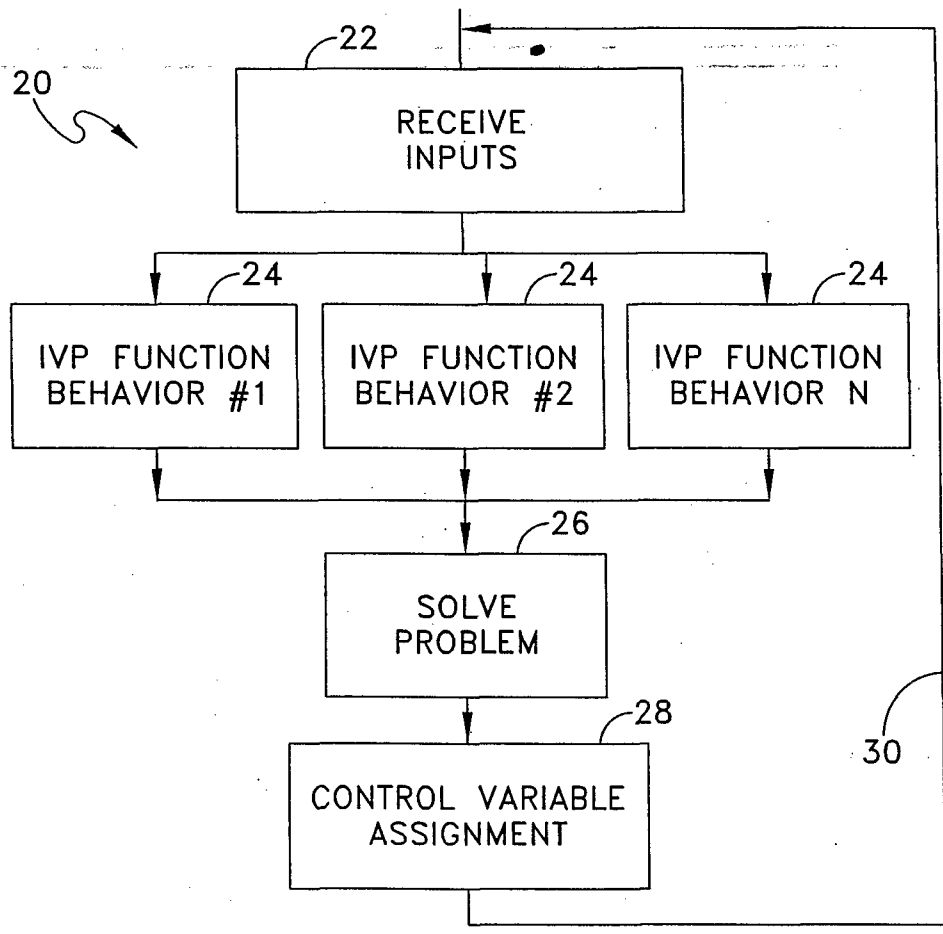


FIG. 2

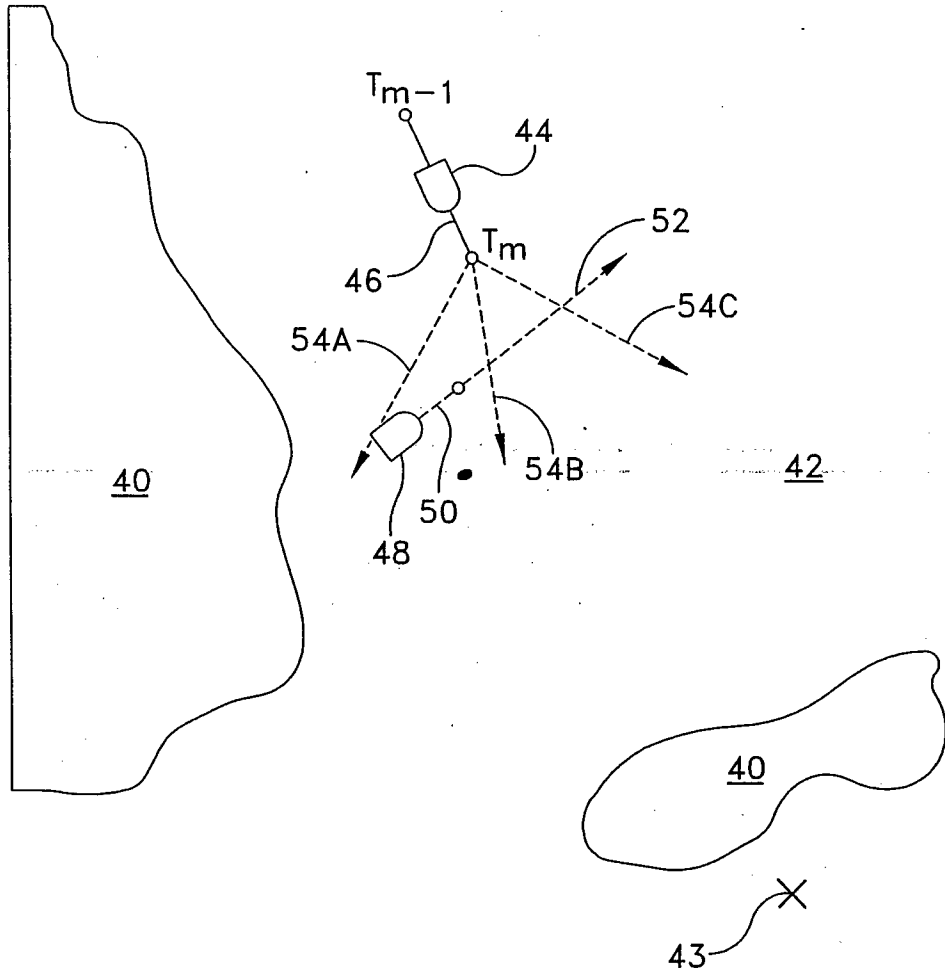


FIG. 3

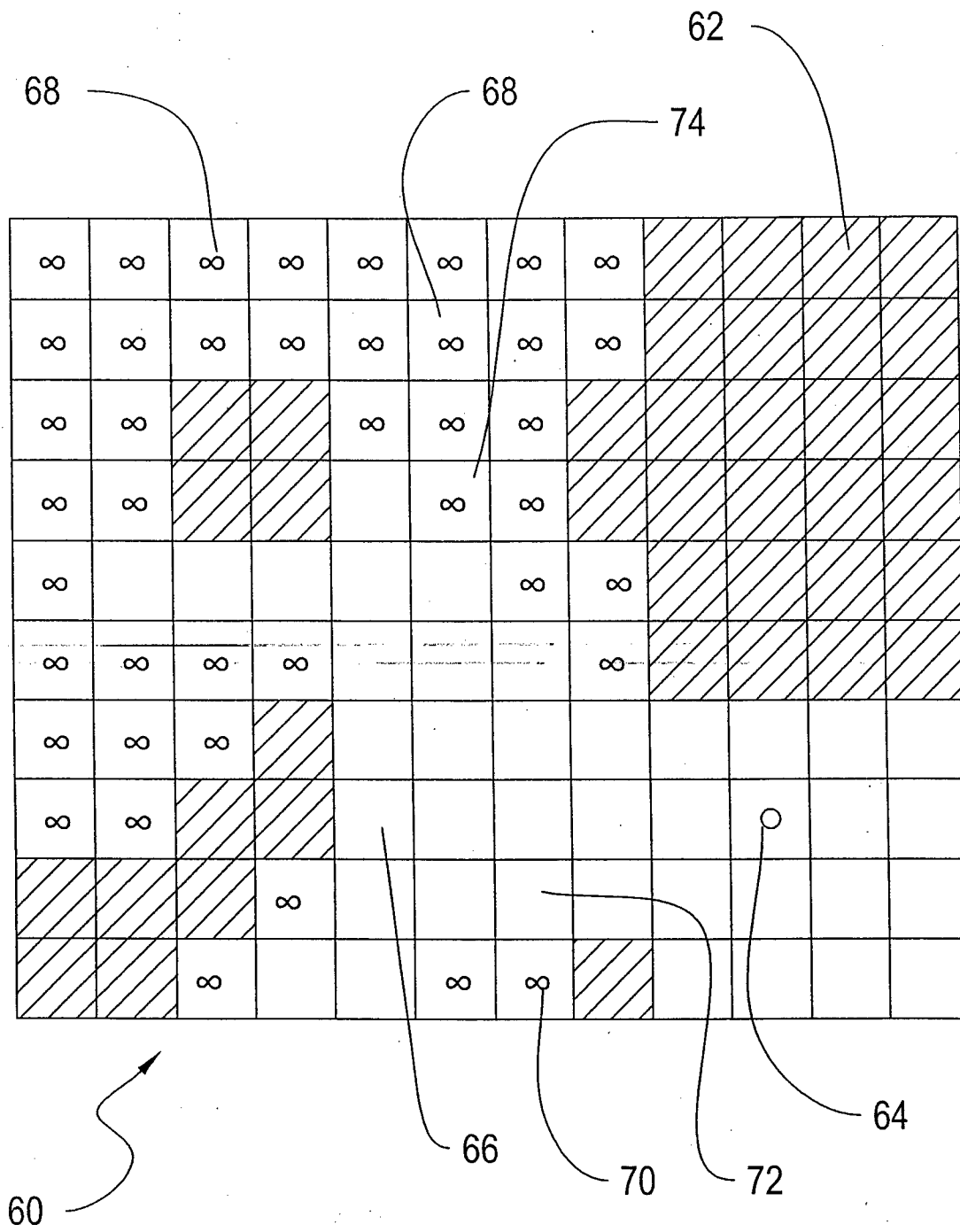


FIG. 4

All-Pairs Shortest Path()

```
0. setDirectPieces()
1. threshCount = 0
2. while(threshCount < 100)
3.   sampleFrontier(50)
4.   pqueue/extract-max(pca, pcb)
5.   val = refine(pca, pcb)
6.   if(val < thresh)
7.     threshCount = threshCount + 1
8.   else
9.     threshCount = 0
```

FIG. 5