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Attorney Docket No. 82925
Date: 30 November 2005

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Serial Number 11/151,191
Filing Date 31 May 2005
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20051206 044

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3 PASSIVE OPTICAL DETECTION OF UNDERWATER SOUND

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5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used
7 by or for the Government of the United States of America for
8 governmental purposes without payment of any royalties thereon or
9 therefor.

10

11 BACKGROUND OF THE INVENTION

12 1. Field Of The Invention

13 This invention relates in general to passive sensors, more
14 particularly to passive acoustic sensors, and most particularly
15 to passive acoustic sensors to detect sound emanating from under
16 water.

17 2. Description Of The Prior Art

18 There are many potential sources of acoustic sounds
19 emanating from under water, such as in the ocean. For example,
20 cetacean mammals, such as dolphins and whales, emit broadband,
21 short duration clicks for echolocation and longer duration,
22 narrow band, frequency modulated whistles for communication.
23 Also, certain man-made objects, placed or released underwater,
24 also project sounds at various frequencies.

1 The present passive method for identifying these types of
2 sounds is to place hydrophones under the water and set these
3 devices to record. After a certain period of time, these
4 recordings are assembled into database systems. The database
5 systems may be provided to researchers that study cetacean mammal
6 migratory or behavioral patterns as well as users attempting to
7 identify man-made sources projecting acoustic signatures
8 underwater.

9 However, the use of such in-water devices is problematic
10 because the devices themselves may disrupt the behavior of
11 undersea life. Also, the ships that carry, place, and maintain
12 the in-water devices produce significant noise that can also
13 disrupt undersea life or interfere with acoustic signals
14 emanating from underwater objects that the devices are attempting
15 to identify.

16 Therefore, it is desired to provide a passive sensor to
17 detect acoustic sounds emanating from under water that does not
18 interfere with or startle the source of the acoustic sounds.

20 SUMMARY OF THE INVENTION

21 The invention proposed herein comprises a passive acoustic
22 sensor that may be employed to detect sounds emanating from under
23 the surface of a body of water. The sensor is deployed above the
24 surface and has no direct interaction with anything under the
25 surface that may be emanating sounds. This allows the invention

1 to operate without interfering with potential sound sources as
2 well as allows for numerous deployment methods.

3 Accordingly, it is an object of this invention to provide a
4 passive acoustic sensor for detecting sounds emanating from under
5 the surface of a body of water.

6 It is a further object of this invention to provide a
7 passive acoustic sensor that does not interfere with or startle
8 sound sources under the surface of a body of water.

9 This invention meets these and other objectives related to
10 passive sensors for detecting sound emanating from underwater by
11 providing a passive acoustic sensor that is deployed above the
12 surface of a body of water. The passive acoustic sensor is
13 placed on a rotational platform. The platform may be attached to
14 a moving or stationary object within the body of water or a
15 moving object in the air above the water. At least one acousto-
16 optic sensor is mounted to the rotational platform. At least one
17 acousto-optic sensor, preferably a laser interferometer,
18 maintains a reference laser beam while providing at least one
19 output laser beam to at least one point on the surface of the
20 water. The acousto-optic sensor also includes receiving optics
21 to receive a reflection of the output laser beam from the
22 surface. The invention also includes a signal processor to
23 measure acoustic vibration of the surface by comparing the phase
24 modulation of the reference laser beam to the phase modulation of
25 the reflection of the output laser beam. This acoustic data

1 shows whether or not sounds are emanating from under the surface
2 of the body of water. The signal processor is also normally
3 included within a laser interferometer. A control system in
4 electrical communication with the acousto-optic sensor and in
5 mechanical communication with the rotational platform is also
6 employed. The control system includes a processor to accept and
7 process the acoustic data, a controller to move the rotational
8 platform when directed by the processor, and a recorder to record
9 the acoustic data.

11 BRIEF DESCRIPTION OF THE DRAWINGS

12 The accompanying drawings, which are incorporated in and
13 constitute a part of the specification, illustrate embodiments of
14 the invention, and, together with the description, serve to
15 explain the principles of the invention.

16 FIG. 1 is an embodiment of the present invention employing a
17 glint tracker and retro-reflectors;

18 FIG. 2 is a block diagram of a glint tracker of the present
19 invention using a digital camera for a portion of the system
20 optics; and

21 FIG. 3 is a process flow chart that generally describes the
22 operation of an embodiment of the present invention.

DESCRIPTION OF PREFERRED EMBODIMENTS

The invention, as embodied herein, comprises a passive acoustic sensor used for detecting sounds emanating from under the surface of a body of water. Specifically, the invention is designed to operate in an ocean environment, wherein turbulent waters are prevalent. Rather than employ standard hydrophones placed beneath the water to detect sound, the present invention detects sound pressure waves that occur when sound is emanated from within a body of water. Because the air-water interface at the surface of a body of water is a pressure release surface (due to the difference in acoustic impedance between the air and the water environments), the sound pressure waves created by a sound emanating under the surface cause the surface to vibrate. This vibration can be detected optically using an acousto-optic sensor, such as a laser interferometer. Therefore, detection of sounds emanating from underwater may be accomplished via an optic sensor placed above the surface of the water. The source of such sounds include cetacean mammals or man-made objects that produce acoustic signals over a relatively broad range of frequencies.

However, there are potential problems in using such a system in turbulent waters, such as the ocean. Because the water acts as a specular reflector, the narrow laser beam employed by a sensor, such as a laser interferometer, must be almost perpendicular to the surface of the water in order to obtain a reflected beam to return to the sensor in order to make the

1 optical "detection" as described above (since the transmitting
2 and receiving optics for such sensors are normally co-located).
3 Due to the turbulent nature of water in external environments,
4 potential intermittent loss of the reflected beam is expected,
5 and will increase as the slope of the wave surface changes
6 relative to the laser beam angle. Therefore, a water surface
7 with a poor reflective quality or that is highly turbulent will
8 degrade optical sensor performance by increasing signal dropout
9 rate. Various embodiments of the present invention have been
10 developed to address these issues and are described in detail
11 below.

12 Referring to FIG. 1, the invention comprises at least one
13 acousto-optic sensor 2, preferably a laser interferometer such as
14 a laser Doppler vibrometer (LDV), mounted upon a rotational
15 platform 4. The rotational platform 4 may be supported by
16 various structures or vehicles including a platform extending
17 into a body of water, a ship, or an airborne vehicle. An LDV 2
18 operates by producing an internal laser beam, which is split, a
19 reference laser beam 6 is maintained within the LDV 2, while an
20 output laser beam 8 is emitted from the LDV 2. The output laser
21 beam 8 is directed toward a reflective surface 10, in this case
22 the surface of a body of water. The output laser beam 8 becomes
23 a reflected output beam 14 at the surface 10 and reflects back
24 into the receiving optics 12, which are internal to the LDV 2. A
25 signal processor 11, also internal to the LDV 2, compares the

1 phase modulation of the reference beam 6 to the reflected output
2 beam 14 to determine if any vibration is occurring at the surface
3 10.

4 The invention also includes a system controller 16. The
5 system controller 16 is in electronic communication with the LDV
6 2, by using a connection such as an electronic cable 22. The
7 system controller 16 incorporates a processor 18 to accept and
8 process the acoustic data from the LDV 2 by accepting the
9 velocity/vibration data signal from the LDV 2 and demodulating
10 the signal to output a voltage (data), the voltage amplitude
11 corresponding to the velocity of the water surface 10. A
12 recorder 20 is also incorporated into the system controller 16 to
13 record processed acoustic velocity/vibration data.

14 The system controller 16 is also in mechanical communication
15 with the rotational platform 4 via a rotation controller 24. The
16 rotation controller 24 may be any device that can receive a
17 signal from the system controller 16 and move the rotational
18 platform 4. Such devices are well known and may be selected by
19 one skilled in the art. One example of a controller 24 may be a
20 motorized rotation stage or a dual axis tip/tilt device.
21 Additional fine scale adjustment of the laser beam would be
22 accomplished using positioning mirrors as part of a glint tracker
23 device. In a preferred embodiment, the system controller 16 is a
24 computer, most preferable a laptop or portable computer.

1 The computer 16, using the processed velocity data, via the
2 controller 24 steers the rotational platform 4, and, in turn, the
3 LDV 2 to either obtain more data points or put the LDV 2 in
4 position to obtain a reflected output beam 14. The computer 16
5 is also used to process the recorded data and rotator 24 and
6 mirror 42 steering positions used during employment. The
7 acquired time series data (as discussed further below) may be
8 averaged and beamformed. The results may be displayed on the
9 computer 16. Such results may include beam steering coordinates,
10 a plot of recorded time series data, the Fourier transform of the
11 recorded time series data, and/or a spectrogram of the data.
12 Once array data is beamformed, the computer 16 can also display
13 the direction from which a source 26 emanated sound in the water
14 relative to the center of the sensor array.

15 Referring to FIG. 3, a process flow diagram of the operation
16 of an embodiment the present invention is depicted. The process
17 includes the following steps:

18 1. START

19 The sensor is mounted on a platform above the water and
20 turned on.

21 2. DIRECT AND FOCUS LASER BEAM TO POINT ON THE WATER
22 SURFACE

23 The laser beam from the acousto-optic sensor device (LDV) is
24 directed onto a point on the water surface. The laser beam is

1 focused for this distance and sufficient laser reflections shall
2 be obtained at this location. The vibrations of the water
3 surface will modulate the laser beam reflected back towards the
4 LDV. Underwater acoustic signals cause the water surface to
5 vibrate.

6 3. RECORD n-SECONDS OF VELOCITY DATA

7 The LDV device sends a continuous stream of voltage data to
8 the system controller unit. The system controller unit decodes
9 the voltage from the LDV interferometer unit and sends a voltage
10 signal out representative of the variation in water surface
11 vibration velocity. A specific number of data samples will be
12 acquired at a specified sample rate on a laptop type computer
13 system with data acquisition capabilities (PCMCIA card for
14 example). A typical sample rate may be 50 kHz to 100 kHz. If
15 2048 samples are desired at a sample rate of 100,000 samples per
16 second, then $n = 20.48$ milliseconds of data are recorded per data
17 segment.

18 4. DISPLAY LASER BEAM COORDINATES AND TIME AND SPECTROGRAM 19 RESULTS

20 Information on the direction of the steered laser beam will
21 be displayed along with a plot of the time series data recorded
22 at this location and the spectrogram of this data. The n-second
23 data acquired will be opened by a computer program capable of
24 generating graphs and displaying the desired numerical and

1 graphical results. The numerical and graphical results, along
2 with the optical signal level received by the LDV will be
3 displayed on the computer screen.

4 5. ACQUIRED ENOUGH n-SECOND DATA SEGMENTS ?

5 It may be desired to acquire more than one n-second data
6 segment from this laser beam location in order to subsequently
7 perform time averaging of the data to reduce noise that is
8 uncorrelated in time. If more n-second data segments are desired
9 (a number may be specified a priori) then they are acquired.
10 Note, if the platform on which the acousto-optic sensor is
11 mounted is moving, then the laser beam may be steered to maintain
12 its location on the water surface while the n-second data segment
13 is being acquired.

14 6. AVERAGING

15 Once enough n-second data segments have been recorded from
16 this laser beam location, the time series data may be averaged
17 together. The time averaging process attempts to present a
18 reduced noise signal by reducing the uncorrelated noise between
19 the acquired time segments. The time-averaged data from several
20 spatially extended locations may be presented to a beamformer.
21 The beamformer algorithm may use the data to localize the sound
22 source.

7. ACQUIRED DATA AT ENOUGH SURFACE POINTS

In order to localize the sound source, data must be obtained in at least two points on the water surface. Additional points increase the angular resolution of the sound source location performance. A number or value of the surface interrogation coordinate points, where the acousto-optic sensor's laser beam is steered, may be pre-programmed. The detection process continues until enough data points at the various laser steering angles have been recorded. The process of obtaining data over a spatial aperture may also be accomplished, and preferred, with an array of passive sensor systems simultaneously recording data.

8. BEAMFORM

Once enough n-second data segments at the desired number of laser beam steering points on the water surface have been acquired, the raw data (or the time averaged data) is applied to a time delay beamformer. Additionally, information on the coordinate location of each of the sensor points on the water surface are presented to the beamformer. The beamformer output indicates the angular coordinate direction towards the sound source relative to the acousto-optic sensor location.

9. DISPLAY

The angular coordinate direction of the propagating sound that was detected by the acousto-optic sensor acquired at the various water surface locations and calculated by the beamformer is displayed on the computer screen.

1 Returning to FIG. 1, in one embodiment of the invention, not
2 depicted, a plurality of acousto-optic sensors 2 may be mounted
3 on the same or additional rotational platform 4. Each sensor 2
4 may be recorded simultaneously, thereby providing a detector
5 array with reduced (or no) required steering required to obtain
6 multiple data points or to ensure laser beam reflection. A
7 multi-sensor 2 setup improves signal acquisition time between
8 sensor array elements and enhances beamforming performance.

9 In another embodiment of the invention, laser scanning may
10 be performed at the expense of acquiring fewer data samples for a
11 smaller n-second segment at a given point on the water surface
12 10. The advantage of using laser scanning is that a larger span
13 on the water surface 10 is interrogated for beamforming analysis
14 in a shorter amount of time. The shorter time may be necessary
15 to capture the sound emanating near simultaneously across the
16 entire array of acousto-optic sensors 2.

17 As noted above, an LDV 2 requires an almost perpendicular
18 surface 10 to acquire a reflected laser beam 14 to obtain the
19 data necessary for velocity calculations. When a reflective
20 surface 10 because more turbulent, it is difficult to maintain
21 the LDV 2 in a proper position. The descriptions of the
22 following embodiments of the present invention address this issue
23 to increase and enhance performance of the present invention.

24 In one embodiment of the present invention one or a
25 plurality of retro-reflectors 30 may be deployed on the surface

1 10. A retro-reflector 30, as used herein, is an object that
2 floats on water and comprises a retro-reflective material adhered
3 to the outer surface of the retro-reflector 30. A retro-
4 reflective material, as used herein, is one that reflects light
5 directly back to its source from most angles. Most retro-
6 reflective materials reflect light directly back to its source at
7 almost any angle. Examples of retro-reflective materials are a
8 polymeric material, a reflective paint material, retro-reflective
9 tape, and retro-reflective materials such as a material
10 containing glass micro-spheres. An example of a retro-reflector
11 30 is a small float with retro-reflective material adhered to its
12 surface. By placing one or more retro-reflectors 30 on the
13 surface 10 of the water, significantly less steering of the LDV 2
14 is required to ensure that a reflected laser beam 14 is returned
15 to the LDV 2 receiving optics 12. Turbulence on the surface 10
16 is obviated due to the inherent reflective properties of the
17 retro-reflector(s) 30. Retro-reflectors 30 may be employed in
18 any embodiments of the invention as described herein to increase
19 the efficiency and enhance the operation of the system.

20 In another preferred embodiment of the invention, a laser
21 glint tracker 32 may be added to the system. A surface 10 with a
22 poor reflective quality, highly turbulent, high sea state or
23 foamy, will degrade sensor system performance by increasing the
24 signal dropout rate as discussed above. One solution is to
25 monitor the water surface 10 glint, defined as areas of direct

1 reflection back to the laser source, and to actively steer laser
2 positioning mirrors to maintain a lock onto a glint. The result
3 is that the laser output beam 8 is continuously steered onto a
4 position where it will directly reflect a beam 14 back to the
5 receiving optics 12. The tracker 32 would have its own light
6 beam 34 directed onto the water surface 10. Examples of tracker
7 systems that are similar to one used in the present invention can
8 be seen in the following U.S. patents: 5,767,941; 5,943,115;
9 6,451,008; 6,420,694; 6,400,452; and 5,973,309 which are hereby
10 incorporated by reference herein. Several of these patents refer
11 to laser-based tracker systems that are used during eye surgery
12 to accommodate eye motion during the operation. These tracking
13 systems use an algorithm related to their use in order to
14 operate. In order to employ a glint tracker system 32 in the
15 present invention, the algorithm must be modified for use on
16 hydrodynamic surfaces 10. Specific algorithm modifications
17 involve reacquiring a new glint once the glint being tracked
18 either disappears or is lost by the tracker system. The
19 algorithm must also accommodate a wider field of view to search
20 for valid water surface glints and a faster response time
21 relative to the glint lifetime at various sea state conditions.
22 The glint tracker system 32 also accommodates the alignment of
23 the LDV 2 output beam 8 by superimposing the tracker beam 34 on
24 the output beam 8 so that both beams take advantage of the
25 tracker mirror steering as further discussed below.

1 One embodiment of the glint tracker 32 incorporation in to
2 the present invention follows. The output beam 8 is directed
3 into the glint tracker 32 so that the output beam 8 and the
4 tracker beam 34 (which is generated from an infrared transmitter
5 38 such as a photodiode) are superimposed using a beam combiner
6 40. The beams 8 and 34 are directed onto the measurement surface
7 10 using scanning mirror 42. The beams 8, 34 are steered through
8 a search pattern until a reflection is detected. The tracker 32
9 uses a reflectometer (not shown) connected to the computer
10 controller 16 with an active feedback loop dependent upon
11 detector response to continually steer the tracker 32 beams 8, 34
12 onto the measurement surface 10 using scanning mirror 42, to
13 maintain lock on the reflecting portion of the surface 10. The
14 output from the LDV 2 is shown plotted as velocity output versus
15 time.

16 The laser beam 8 emanating from the LDV 2 is superimposed
17 onto the tracker laser beam 34 in such a way that once the
18 tracker 32 establishes a lock onto a surface 10 glint, the LDV
19 laser beam 8 is also redirected to the glint location on the
20 measurement surface 10. The invention establishes an apparatus
21 that combines a laser glint tracker 32 with the Laser Doppler
22 vibrometer 2 for maintaining uninterrupted LDV 2 measurement
23 while optically probing moving surfaces 10 such as the
24 hydrodynamic (moving water) surfaces. Superimposing these beams
25 together may be done by a combining lens such as a confocal lens.

1 The LDV sensor 2 can then be used to measure the water surface 10
2 vibrations with reduced signal dropout from the specular
3 reflection of the moving measurement surface 10.

4 The laser beam 8 emanating from the LDV 2 is superimposed onto
5 the tracker laser beam 34 in such a way that once the tracker
6 establishes a lock onto a surface 10 glint, the LDV laser beam 8
7 is also redirected to the glint location on the retro-reflective
8 measurement surface 10. This embodiment of the invention
9 establishes an apparatus that combines the laser glint tracker 32
10 discussed above with the Laser Doppler vibrometer 2 for
11 maintaining uninterrupted LDV 2 measurement while optically
12 probing moving retro-reflective surfaces such as the hydrodynamic
13 (moving water) surfaces 10 possibly seeded with retro-reflectors
14 30. The LDV sensor 2 can then be used to measure the surface
15 vibrations continuously without signal dropout from the specular
16 reflection of the moving retro-reflective measurement surface 10.

17 Referring to FIG 2, in order to further enhance the
18 operation of the system, a digital camera 50 may be added to the
19 laser glint tracker 32. The enhancement is provided by the
20 tracker 32 becoming image-based and actually finding the points
21 where the required reflection will occur as opposed to seeking to
22 find and maintaining the point of reflection by relying on a
23 complicated algorithm. Reacquiring a secondary glint would be
24 simplified using the image based tracker method. The image based
25 tracker method would also support an array of multiple laser

1 vibrometer sensors since it would provide several simultaneous
2 glint solutions. The use of retro-reflectors 30 further enhances
3 the image-based tracker system. Using retro-reflectors as
4 described above allows the interrogation beam 10 from the LDV 2
5 and the tracker laser beam 34 combination to reflect back along
6 the same optical direction of interrogation. The retro-
7 reflectors 30 also move in a more deterministic fashion on the
8 water surface 10 as compared to a glint feature on the water
9 surface 10 which can vanish with changing wave conditions. The
10 retro-reflectors also have a deterministic shape that is more
11 easily trackable temporally and spatially by the image-based
12 laser-glint tracking device 32. Also, the retro-reflectors
13 provide larger standoff distance as compared to using glints from
14 the water surface 10 which are typically contained mainly between
15 a +/- 20 degree cone surrounding an acousto-optic interrogation
16 that is normal to the water surface 10.

17 The image-based tracker 32 may operate employing the
18 following elements. A 5W CW laser and a Vision Research Phantom
19 digital camera, for example, with greater than or equal to
20 512x512 resolution and a minimum frame rate of 1000 frames per
21 second to provide a 1 ms time glint evolution tracking with
22 precision glint angle position information. The CW laser source
23 34 is used for illumination to produce and identify the location
24 of glints on the surface 10. The high-speed digital camera 50
25 operating at high resolution and high frame rate is used to image

1 a desired size field of view on the water surface 10 from the
2 air. The position of the glints in time and space are recorded
3 by the camera 50 and are used to direct the LDV beam 10 onto one
4 or more glints or retro-reflectors 30. The position of the LDV 2
5 and its location on the water surface 10 can be determined
6 precisely via steering angle position, GPS and standoff distance
7 calibration to provide known sensing coordinates. The placement
8 of the beam 8 is updated for each image frame and may rely on
9 interframe tracking, if required, using the non-image based
10 tracking system discussed above.

11 FIG. 2 shows a block diagram of the elements and layout of
12 an image-based tracker 32. The digital camera 50 replaces many
13 of the optics disclosed in the glint tracking systems in the
14 above referenced patents and briefly described above. A tracker
15 light source or laser beam 34 and a laser beam 8 exiting from and
16 LDV 2 are combined/superimposed using an adaptive/confocal lens
17 52. Beams 8, 34 are directed to at least one or a plurality of
18 steering mirrors 54 that direct the beams 8, 34 toward the water
19 surface (not shown). The digital camera 50 obtains continuous
20 digital images of the surface, either directly or by being
21 directed at the mirrors 54, along a similar angle to that of beam
22 34. A computer controller 56, normally either a portable
23 computer or personal computer, is in direct communication with
24 all of the elements described above in order to provide feedback
25 and control for the system.

1 In operation, the computer controller 56 turns on the LDV 2,
2 the tracker light source 34, and the digital camera 50. The
3 digital camera provides an image of the surface to the computer
4 controller 56. Areas of glint are identified and the computer
5 controller 56 steers the mirrors 54 so that the superimposed
6 beams 8, 34 are directed to an area of glint on the surface. The
7 camera 50, at time intervals selected by the user, continues to
8 take digital images of the surface so that the computer
9 controller 56 can modify the position of the beams 8, 34 through
10 the steering mirrors 54 to maintain a "lock" on areas of glint
11 from the surface.

12 The image-based laser-glint tracker 32 can substantially
13 improve the acousto-optic sensing performance of the system as
14 compared to the performance obtained using only the non image-
15 based laser glint tracker algorithm and hardware in conjunction
16 under surface glint conditions or with retro-reflectors 30. With
17 the image-based tracker 32, the system is capable of measuring
18 vibrations of specularly reflecting surfaces with high speed
19 variations of the temporal and spatial laser-glint pattern and/or
20 deterministic retroreflective surfaces in motion at a slower rate
21 than the corresponding water surface itself.

22 What is described are specific examples of many possible
23 variations on the same invention and are not intended in a
24 limiting sense. The claimed invention can be practiced using
25 other variations not specifically described above.

1 Attorney Docket No. 82925

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3 PASSIVE OPTICAL DETECTION OF UNDERWATER SOUND

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5 ABSTRACT OF THE DISCLOSURE

6 A passive acoustic sensor that may be employed to detect
7 sounds emanating from under the surface of a body of water. The
8 sensor uses optics to determine vibration on the surface of a
9 water body to detect sound pressure waves from underwater sound
10 sources. The sensor is deployed above the surface and has no
11 direct interaction with anything under the surface that may be
12 emanating sounds. This allows the invention to operate without
13 interfering with potential sound sources as well as allows for
14 numerous deployment methods.

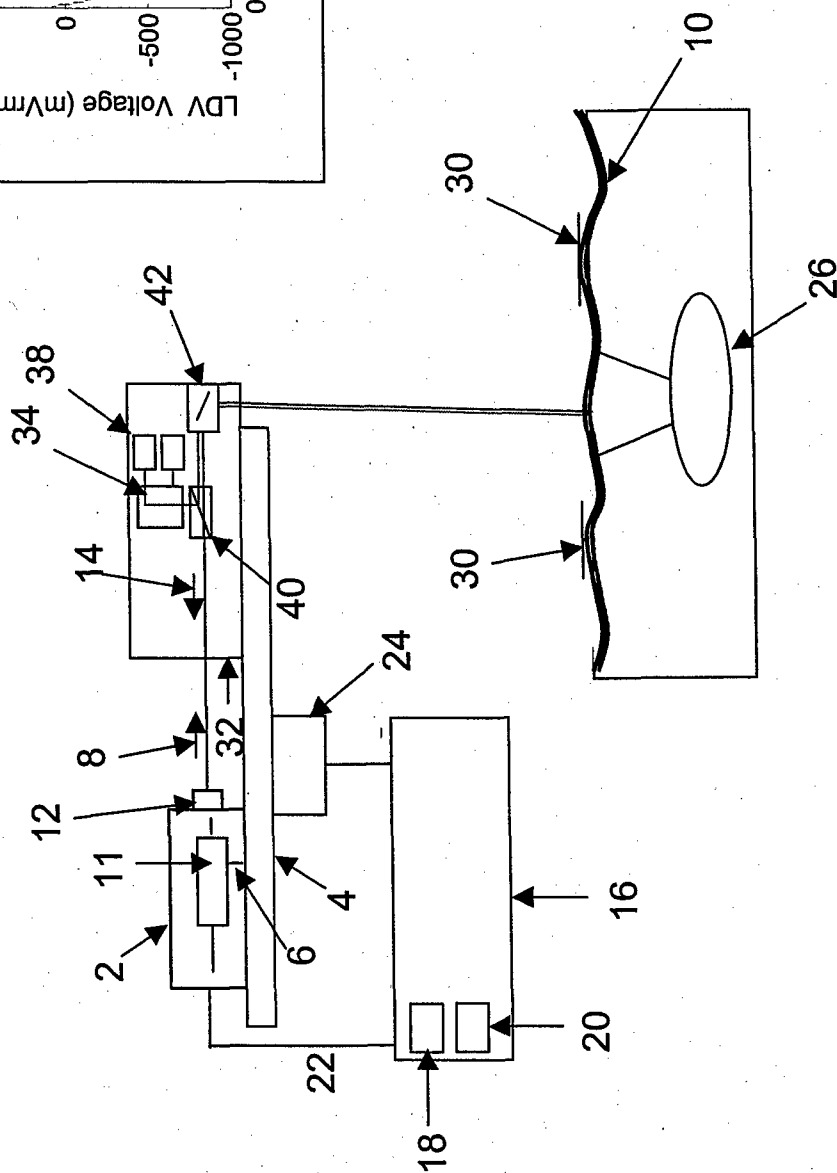
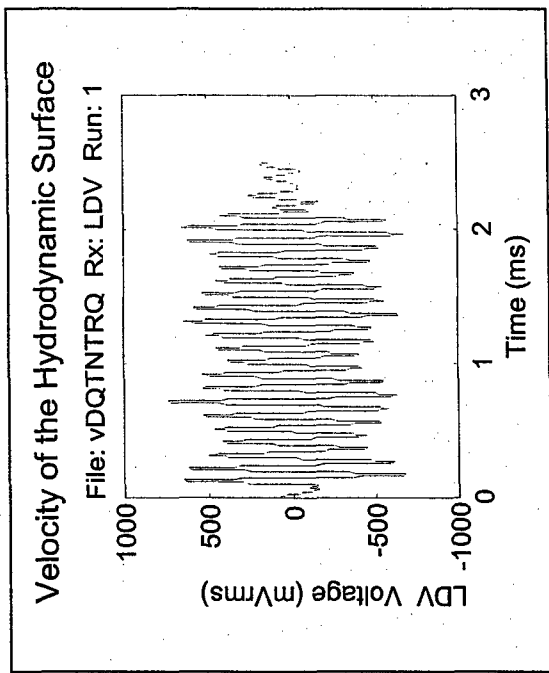


FIGURE 1

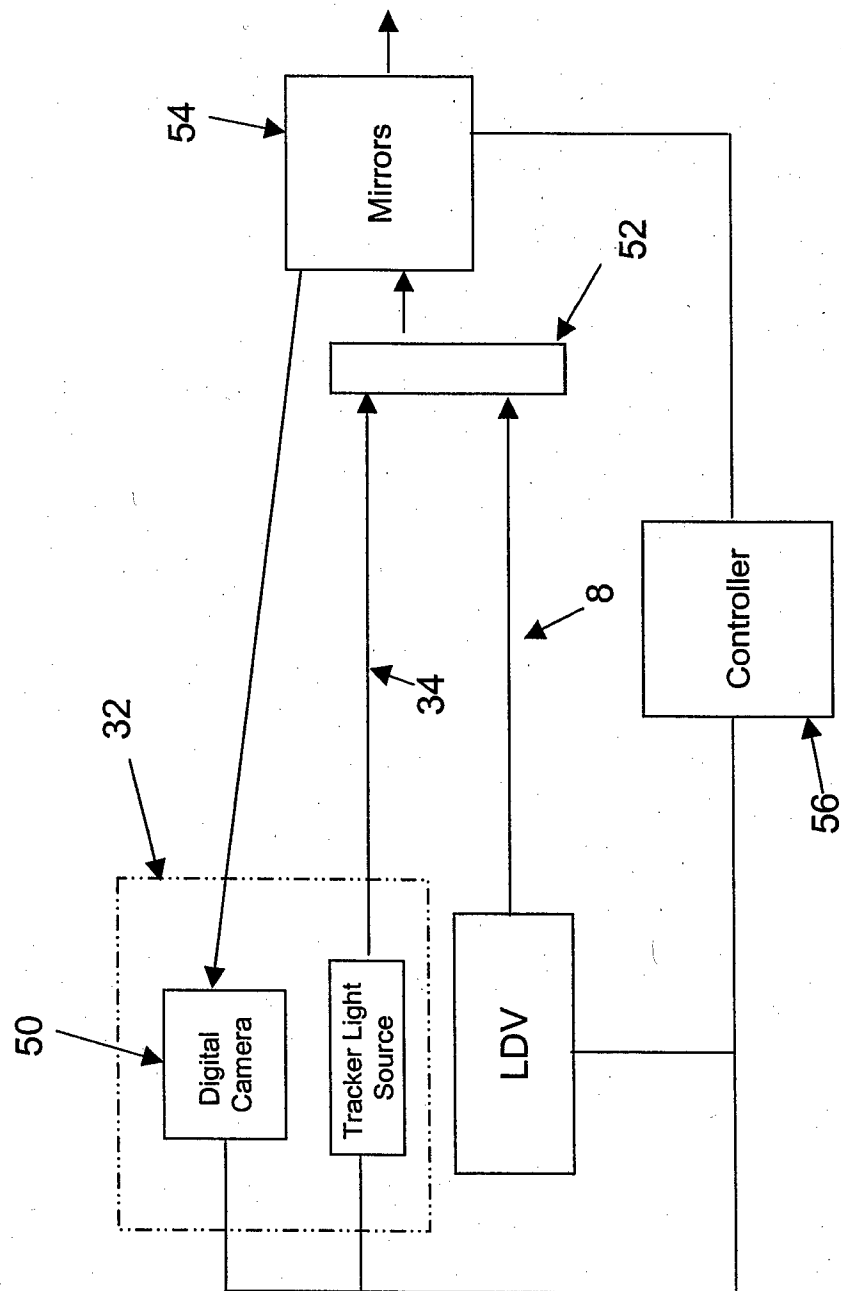


FIGURE 2

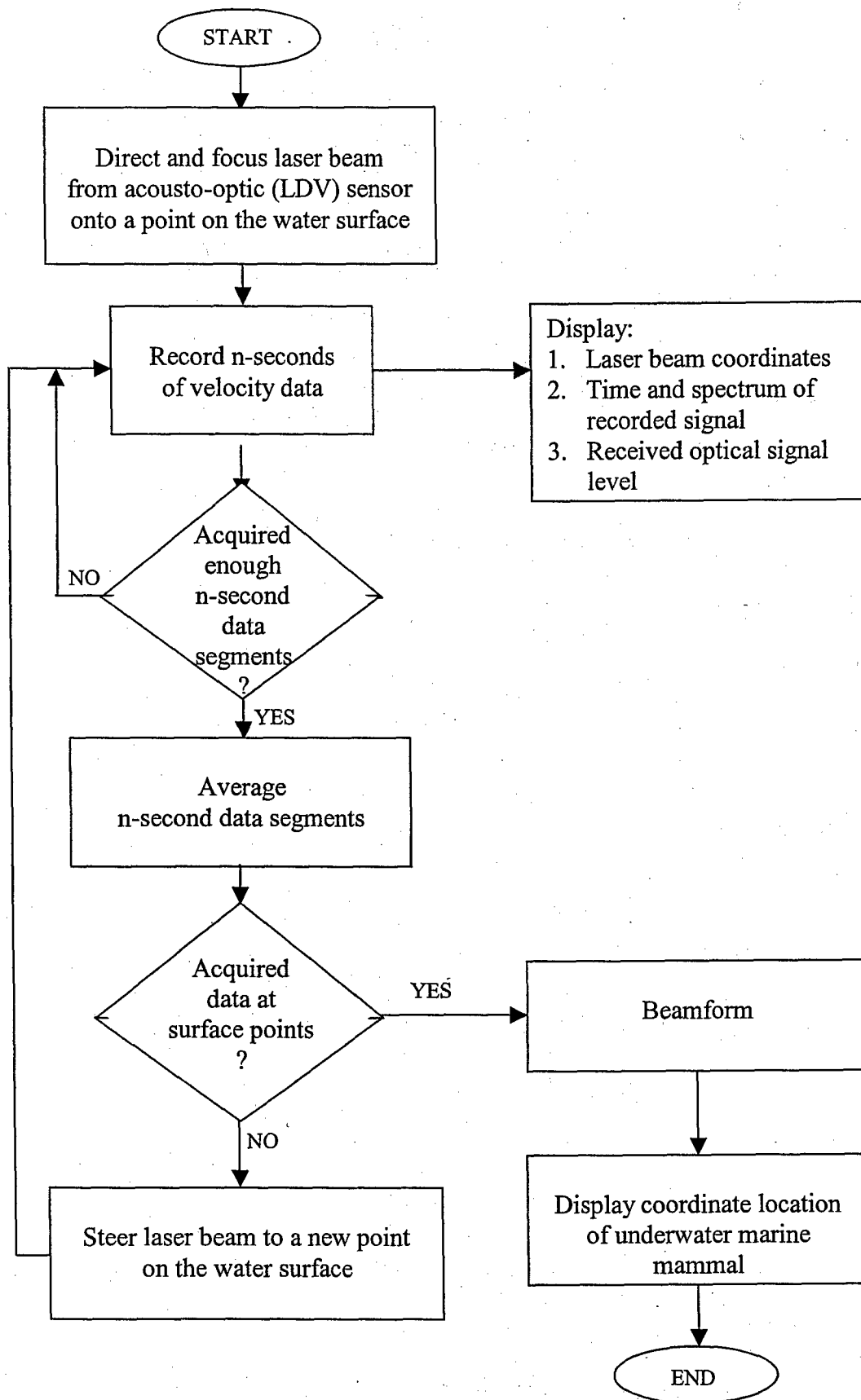


FIGURE 3