



DEPARTMENT OF THE NAVY

OFFICE OF COUNSEL
NAVAL UNDERSEA WARFARE CENTER DIVISION
1176 HOWELL STREET
NEWPORT RI 02841-1708

IN REPLY REFER TO:

Attorney Docket No. 85001

Date: 17 May 2005

The below identified patent application is available for licensing. Requests for information should be addressed to:

PATENT COUNSEL
NAVAL UNDERSEA WARFARE CENTER
1176 HOWELL ST.
CODE 000C, BLDG. 112T
NEWPORT, RI 02841

Serial Number 11/081,895
Filing Date 14 March 2005
Inventor Donald H. Steinbrecher

DISTRIBUTION STATEMENT A
Approved for Public Release
Distribution Unlimited

If you have any questions please contact Michael F. Oglo, Patent Counsel, at 401-832-4226.

20050523 004

1 Attorney Docket No. 85001

2
3 APPARATUS AND METHOD FOR GENERATING
4 ELECTRIC ENERGY IN A FLUID ENVIRONMENT

5
6 STATEMENT OF GOVERNMENT INTEREST

7 The invention described herein may be manufactured and used
8 by or for the Government of the United States of America for
9 governmental purposes without the payment of any royalties
10 thereon or therefor.

11
12 CROSS REFERENCE TO OTHER PATENT APPLICATIONS

13 Not applicable.

14
15 BACKGROUND OF THE INVENTION

16 (1) Field of the Invention

17 The invention relates to the generation of electrical
18 energy, and is directed more particularly to an apparatus and
19 method for generating electric energy in a fluid environment,
20 such as in shore and harbor areas, rivers, ocean bays and inlets,
21 and ocean off-shore.

22 (2) Description of the Prior Art

23 Undersea communications networks and sensors deployed by the
24 United States Navy require a source of electrical power.
25 Typically, such power is provided by shore or ship based

1 generators and/or batteries housed in network nodes and in
2 sensors.

3 There is a need for the generation of the electrical power
4 needs of underwater sensors, instruments, and communications
5 nodes locally. Local electrical power is needed to support
6 sensor functions, recharge power supplies of garaged unmanned
7 underwater vehicles, acoustic communication links, and for
8 deploying and retrieving sea-surface buoys which, in turn,
9 establish satellite communication links, and to provide local
10 computer power for data fusion and other system functions.

11 12 SUMMARY OF THE INVENTION

13 An object of the invention is, therefore, to provide an
14 apparatus for generating electric energy in an under-sea
15 environment, the apparatus requiring no outside source of fuel or
16 power.

17 A further object of the invention is to provide a method for
18 generating electric energy in an under-water environment by means
19 of a self-powered apparatus.

20 With the above and other objects in view, as will
21 hereinafter appear, a feature of the invention is the provision
22 of an apparatus for generating electrical energy in a fluid
23 environment. The apparatus includes a wing member for
24 disposition in the fluid and pivotally movable about an axis in
25 response to flow of the fluid thereabout. Connecting rods are

1 each pivotally mounted at a first end thereof on the wing on
2 opposite sides of the axis, a crank member is attached to a
3 second end of each of the connector rods and is rotatable about a
4 crank member pivot axis by movement of the attached connector
5 rod. A housing supports the wing member, a gear system disposed
6 in the housing, and an axle interconnects each of the crank
7 members and the gear system. An electrical generator is disposed
8 in the housing and driven by the gear system.

9 In accordance with a further feature of the invention, there
10 is provided an apparatus for generating electrical energy in a
11 fluid environment. The apparatus includes a wing member for
12 disposition in the fluid and having a hydrodynamic axis about
13 which the wing member is movable in pivotal fashion in response
14 to flow of the fluid thereabout, two connector rods, each
15 pivotally mounted at a first end thereof on the wing at
16 respective points removed in opposite directions from the
17 hydrodynamic axis, a first crank member to which a second end of
18 a first of the connector rods is pivotally fixed at a selected
19 distance from a pivot axis of the first crank member, a second
20 crank member to which a second end of a second of the connector
21 rods is pivotally fixed at the selected distance from a pivot
22 axis of the second crank member, a housing for supporting the
23 wing member, and a gear system disposed in the housing. Each of
24 the crank members is fixed to an axle extending into the housing
25 and connected to the gear system, and an electrical generator is

1 disposed in the housing and driven by the gear system to produce
2 electrical energy. Movement of the wing about the hydrodynamic
3 axis thereof causes movement of the connector rods which causes
4 movement of the crank members fixed upon the axles, the gear
5 system being actuated by the rotation of the axles to drive the
6 electrical generator.

7 In accordance with a still further feature of the invention
8 there is provided a method for generating electrical energy in a
9 fluid environment. The method includes the steps of providing an
10 assembly comprising an apparatus for generating electrical energy
11 in a fluid environment. The apparatus includes a wing member for
12 disposition in the fluid and pivotally movable about a
13 hydrodynamic center axis in response to flow of the fluid
14 thereabout, connector rods each pivotally mounted at a first end
15 thereof on the wing on opposite sides of the hydrodynamic center
16 axis, a crank member attached to a second end of each of the
17 connector rods and rotatable about a crank member pivot axis by
18 movement of the attached connecting rod, a housing supporting the
19 wing member, a gear system disposed in the housing, an axle
20 interconnecting each of the crank members and the gear system, an
21 electrical generator disposed in the housing and driven by the
22 gear system, and a shell disposed around the crank member, the
23 housing, and portions of the connector rods and axles. The
24 method includes the further steps of placing the shell in the
25 fluid with the wing held by the first ends of the connecting rods

1 at a disposition in the fluid spaced from the shell, the wing
2 being free to move pivotally about the wing hydrodynamic center
3 axis in response to flow of the fluid, and providing an output
4 line from the generator to a selected electricity consumer.

5 The above and other features of the invention, including
6 various novel details of construction and combinations of parts
7 and method steps, will now be more particularly described with
8 reference to the accompanying drawings and pointed out in the
9 claims. It will be understood that the particular device and
10 method embodying the invention are shown by way of illustration
11 only and not as limitations of the invention. The principles and
12 features of this invention may be employed in various and
13 numerous embodiments without departing from the scope of the
14 invention.

15 16 BRIEF DESCRIPTION OF THE DRAWINGS

17 Reference is made to the accompanying drawings in which is
18 shown an illustrative embodiment of the invention, from which its
19 novel features and advantages will be apparent.

20 In the drawings:

21 FIG. 1 is a perspective view of one form of an apparatus for
22 generating electrical energy in a fluid environment, illustrative
23 of an embodiment of the invention;

24 FIG. 2 is a sectional view taken through a wing portion of
25 the apparatus of FIG. 1, in a fore-and-aft direction;

1 FIG. 3A is a diagrammatic view of the wing portion of FIG. 2
2 in combination with connector rods, crank members, and a housing
3 portion of the apparatus of FIG. 1, single large dot 44, 45
4 representing both an axle and a pivot axis;

5 FIG. 3B is similar to FIG. 3A, but showing an alternative
6 disposition of the elements of the apparatus shown in FIG. 3A,
7 single large dot 44, 45 representing both an axle and a pivot
8 axis;

9 FIG. 4 is a diagrammatic illustration of components of FIG.
10 3A in combination with a trailing arm, single large dots 44, 45
11 and 46 conjointly representing an axle, pivot axis and a sealed
12 bearing; and

13 FIG. 5 is a diagrammatic illustration of the crank members
14 and the contents of the housing portion of the apparatus of FIGS.
15 3A, 3B and 4.

17 DESCRIPTION OF THE PREFERRED EMBODIMENTS

18 Referring to FIG. 1, it will be seen that an illustrative
19 apparatus includes a shell 10 having a generally domed carapace
20 portion 12, shaped similarly to the carapace of a sea turtle.
21 The shell 10 further includes a plastron, or bottom, portion (not
22 shown), shaped similarly to the plastron of a sea turtle.

23 A wing 20 is mounted at a location spaced from the carapace
24 portion 12 of the shell 10. The wing 20 is supported by a
25 forwardly disposed pair of connector rods, each rod of the pair

1 being designated herein as 22, 22a. (This compound designation
2 is sometimes employed herein to enable making collective
3 reference to structural elements as well as more specific
4 reference positional species of the structure elements.) Wing 20
5 is further supported by a rearwardly disposed pair of connector
6 rods, each rod of the pair being designated 22, 22b. Note that
7 only one rod of the pair of rearwardly disposed pair of rods is
8 exposed in FIG. 1. These rods 22 are pivotally affixed to the
9 wing and extending from the surface of the wing 20 which faces
10 the shell 10. The wing is further supported by a trailing arm 24
11 pivotally fixed to the wing 20 and, at an end remote from the
12 wing, pivotally fixed at a pivot point 28 (FIG. 4) to a post or
13 fin 26 upstanding from the shell and pivotal about point 38 (FIG.
14 4).

15 As shown in FIG. 1, the shell 10 is adapted to rest on a sea
16 bed, or the like. In placement of the apparatus, the apparatus
17 may be released at the water surface and, utilizing the wing 20,
18 glide through the water until coming to rest on the bed of the
19 body of water.

20 As shown in FIG. 2, the wing 20 is provided with a forward
21 pivot axis 30 extending wing-tip to wing-tip proximate the
22 forward edge 32 of the wing. Disposed aft of the forward pivot
23 axis 30 by about one-fourth of the fore-and-aft dimension of the
24 wing is a hydrodynamic-center axis 34 of the wing, extending
25 parallel to the forward pivot axis 30. A forward end of the

1 trailing arm 24 (FIGS. 1 and 4) is pivotally connected to the
2 wing at the center of the hydrodynamic axis, i.e. the center of
3 the tip-to-tip wing length. Disposed aft of the hydrodynamic
4 axis 34 by about one-fourth of the fore-and-aft dimension of the
5 wing is an after pivot axis 36, extending parallel to the forward
6 pivot axis 30 and hydrodynamic axis 34. The pivot axis 36 is,
7 therefore, at about the fore-and-aft mid-point of the wing.

8 Referring to FIGS. 3A and 4, it will be seen that the
9 connector rods 22 are pivotally connected to crank members 40,
10 illustrated in FIGS. 3A-5 as crank wheels. The crank wheels are
11 of equal diameter and the connector rods 22 are attached to the
12 crank wheels at respective points on the wheels disposed equal
13 distance from the centers of the wheels.

14 Alternatively, the crank members 40 may be crank rods 40a,
15 shown in phantom in FIG. 3A. The crank rods 40a are of equal
16 length. The connector rods 22 are attached to the crank rods 40a
17 at respective points of the crank rods 40a disposed at equal
18 distances from the pivot axes 45 of the crank rods 40a. (Note
19 that in FIGS. 5, 3A and 3B axle element 44, pivot axis 45, and
20 sealed bearing 46 may be represented by a single large dot.)

21 Referring now to FIGS. 1 and 3A-5, a water-tight housing 42
22 is mounted within the shell 10 (FIG. 4). The crank members 40
23 are each mounted on an end of an axle 44. The axles 44 are
24 mounted in water-tight bearings 46 (FIG. 5) and extend into the
25 interior of the housing 42. The axles 44 are each connected to a

1 reduction gear 48. (Note that in FIG 4 axel 44, pivot axis 45,
2 and sealed bearings 46 are represented by a single large dot.)

3 In the embodiment shown in the drawings, four of the
4 connector rods 22 are connected to the wing 20, two 22a at the
5 forward pivot axis 30 and two 22b at the after pivot axis 36.
6 The connector rods 22 are each connected to a crank member 40
7 which, in turn, is mounted on an axle 44 extending through a
8 sealed bearing 46 and into the housing 42.

9 The two starboard connector rods 22 are each connected to a
10 starboard crank member 40a (FIG. 5), the two starboard crank
11 members being mounted on the starboard side of the housing 42.
12 Similarly, the two port connector rods 22 are each connected to a
13 port crank member 40b, the two port crank members 40b being
14 mounted on the port side of the housing 42.

15 The forwardmost pair of crank members 40 are each mounted on
16 a forwardmost axle 44a and the after pair of crank members are
17 each mounted on an after axle 44b. The forwardmost axle 44a is
18 further affixed to a forwardmost reduction gear 48a, while the
19 after axle 44b is affixed to an after reduction gear 48b. The
20 reduction gears 48a, 48b are each engaged with an idler gear 50.
21 Fixed in the hub of the idler gear is an axle 52 having a
22 flywheel 54 fixed thereon and extending into an electrical
23 generator 56.

24 The apparatus is intended to supply power to a consumer
25 outside of the apparatus. Output line 58 is provided for

1 connection to such consumer, which as noted hereinabove, can be a
2 sensor, a communication device, an underwater vehicle, and the
3 like.

4 While it is expected that the apparatus will, in most
5 instances, be deployed to rest on a seabed, or the like, it is
6 contemplated that the apparatus will be used on the hulls of
7 water-borne vessels such as barges, and the like. In such
8 instances, the apparatus will be fixed to the hull of the vessel
9 with the wing 20 extending downwardly, or outwardly, from the
10 hull of the vessel, as depicted in FIG. 3B.

11 In use, the apparatus is typically placed upon a seabed or
12 released at or near the water surface and allowed to glide to the
13 seabed. The apparatus will typically align itself such that the
14 wing forward edge 32 is normal to the fluid flow direction. The
15 fluid flow exerts on the wing 20 a lifting force, which is normal
16 to the direction of fluid flow, a drag force which is exerted on
17 the wing 20 in the direction of fluid flow, and a pitching
18 moment, which acts at the hydrodynamic axis 34 of the wing 20 and
19 tends to increase the angle of incidence of the wing 20. The
20 pitching moment is independent of the lifting force.

21 The connector rods 22 allow the wing 20 to move in a
22 vertical swimming motion induced by the lift force, while the
23 trailing arm 24 steadies the wing 20 against horizontal motion
24 that would otherwise be induced by the drag force.

1 The pivot points of the connector rods 22 on the wing 20 are
2 symmetrically located forward and aft of the wing hydrodynamic
3 axis 34. The trailing arm pivot point is located on the
4 hydrodynamic axis 34. The after end of the trailing arm 24 is
5 pivotally connected to the fin 26. The wing supporting connector
6 rods 22 drive a transmission system 44, 48, 50, 52, 54 to cause
7 the electrical generator 56 to rotate in response to the wing 20
8 swimming motion.

9 The wing 20 is free to pivot about the connector rods 22 and
10 the trailing rigid arm 24, such that the angle of the wing 20
11 relative to the direction of fluid flow may oscillate in a smooth
12 symmetrical fashion between a peak upward angle of attack that
13 results in an upward-directed flow-induced force on the wing 20,
14 a neutral high position that results in no flow-induced force on
15 the wing 20, a peak downward angle of attack that results in a
16 downward-directed flow-induced force on the wing 20, and a
17 neutral low position that results in no flow-induced force on the
18 wing 20. As the oscillation continues, the force caused by the
19 fluid flow varies in a nearly sinusoidal manner between a peak
20 upward force and a peak downward force. The symmetry of the
21 structure insures that the magnitude of the peak upward force is
22 substantially equal to the magnitude of the peak downward force.
23 The magnitude of the total flow-induced force depends upon the
24 fluid flow velocity, the area of the wing 20, and the angle of
25 attack of the wing 20 relative to the direction of fluid flow.

1 An alternative system (not shown) includes a plurality of
2 wings, each connected to another by a plurality of connector rods
3 and each held in fore-and-aft place by a pivoting rigid trailing
4 arm, so that all wings are free to move in parallel with each
5 other, and flow-induced forces on the wings result in appropriate
6 forces on the crank members. The flow-induced total force is
7 proportional to the total area of the plurality of wings.

8 The above-described apparatus is adapted for use in seawater
9 where corrosion and organic growth are major concerns. The
10 apparatus permits most of the mechanical parts to be disposed out
11 of the water environment. Six pivot points are located in the
12 water, four to support the wing 20 by means of the connector rods
13 22 and two to allow the rigid trailing arm 24, which holds the
14 wing 20 against the force of the water current, to move through a
15 small angle to follow the up and down motion of the wing 20. If
16 desired, these six pivot points can be sealed (not shown) and
17 permanently lubricated for close to friction-free operation.

18 The wing 20 may also be used for lift for navigating the
19 apparatus from one site to another. After reaching an
20 operational site, the wing 20 is used to generate electrical
21 power from local tidal currents.

22 Using the above-described apparatus, the invention provides
23 a method for converting the undulating motion of a wing suspended
24 in a moving fluid into circular motion of a flywheel which is
25 used to drive an electrical generator. The undulating motion is

1 similar to the action of a whale or other sea creature with a
2 horizontal tail fin.

3 It will be understood that many additional changes in the
4 details, materials, steps and arrangement of parts, which have
5 been herein described and illustrated in order to explain the
6 nature of the invention, may be made by those skilled in the art
7 within the principles and scope of the invention as expressed in
8 the appended claims. For example, while the apparatus is
9 immediately intended for use of the type set forth hereinabove,
10 it is recognized that the apparatus described herein can be
11 upscaled to provide power similar to current off-shore wind
12 mills, but with no danger to birds, which is a problem with off-
13 shore "wind farms".

2
3 APPARATUS AND METHOD FOR GENERATING
4 ELECTRIC ENERGY IN A FLUID ENVIRONMENT

5
6 ABSTRACT OF THE DISCLOSURE

7 Apparatus for generating electrical energy in a fluid
8 environment, the apparatus including a wing member for
9 disposition in the fluid and pivotally movable about an axis in
10 response to flow of the fluid thereabout, connector rods each
11 pivotally mounted at a first end thereof on the wing on opposite
12 sides of the axis, a crank member attached to a second end of
13 each of the connector rods and rotatable about a pivot axis by
14 movement of the attached connector rod, a housing supporting the
15 wing member, a gear system disposed in the housing, an axle
16 interconnecting each of the crank members and the gear system,
17 and an electrical generator disposed in the housing and driven by
18 the gear system.

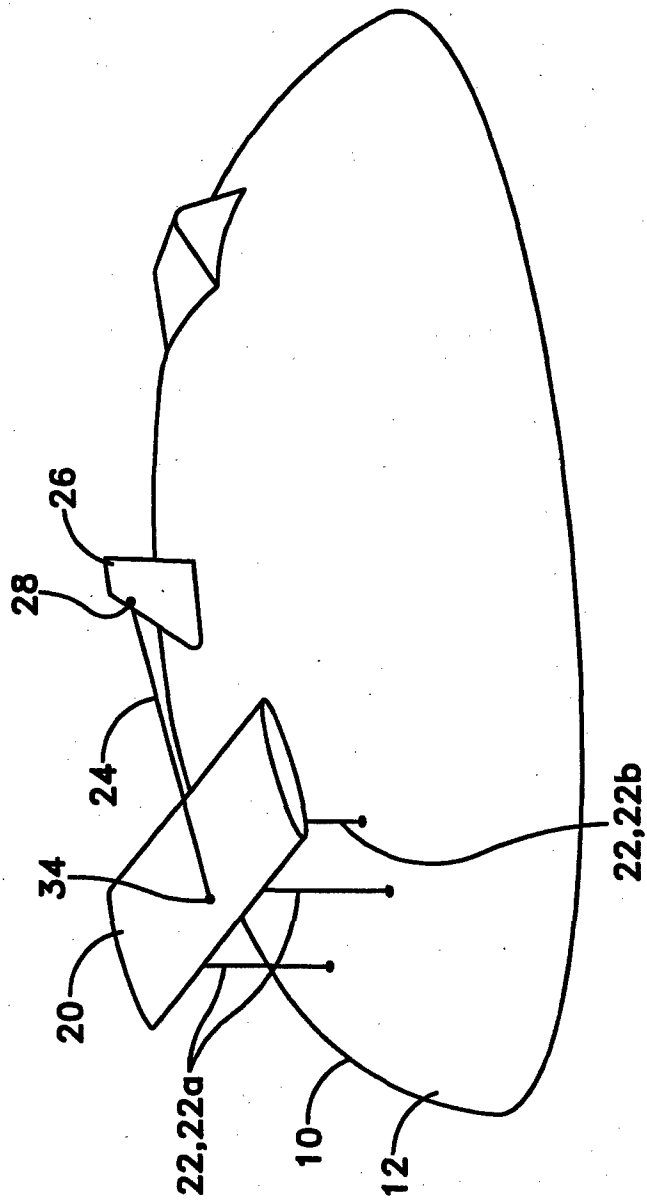


FIG. 1

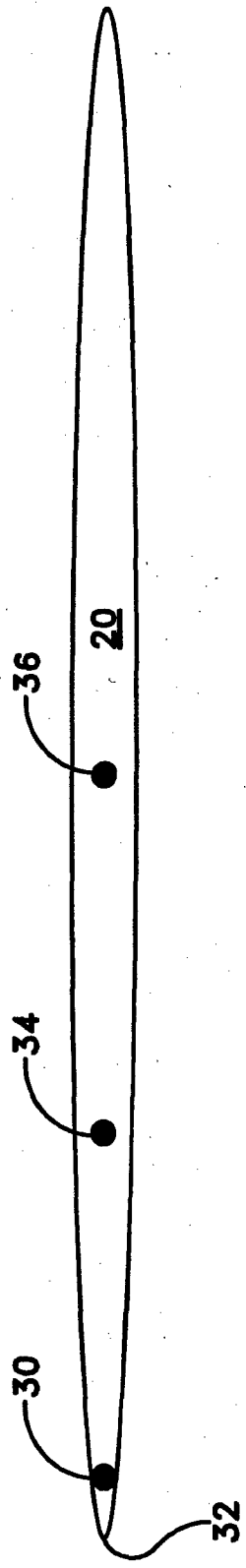


FIG. 2

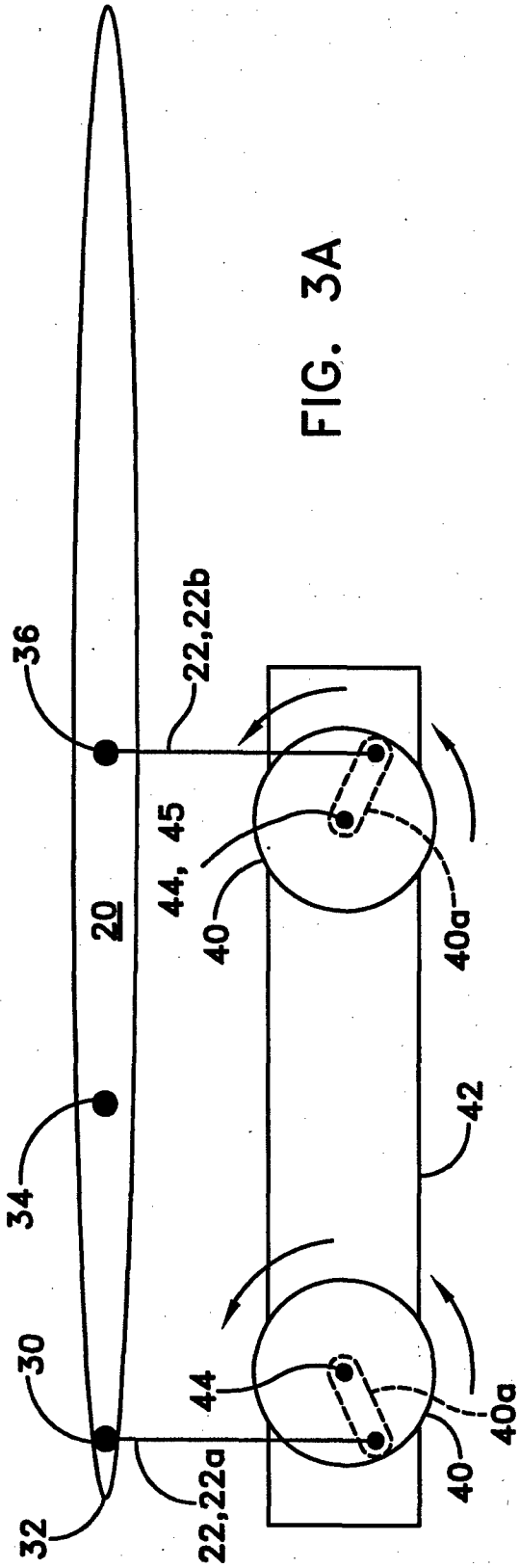


FIG. 3A

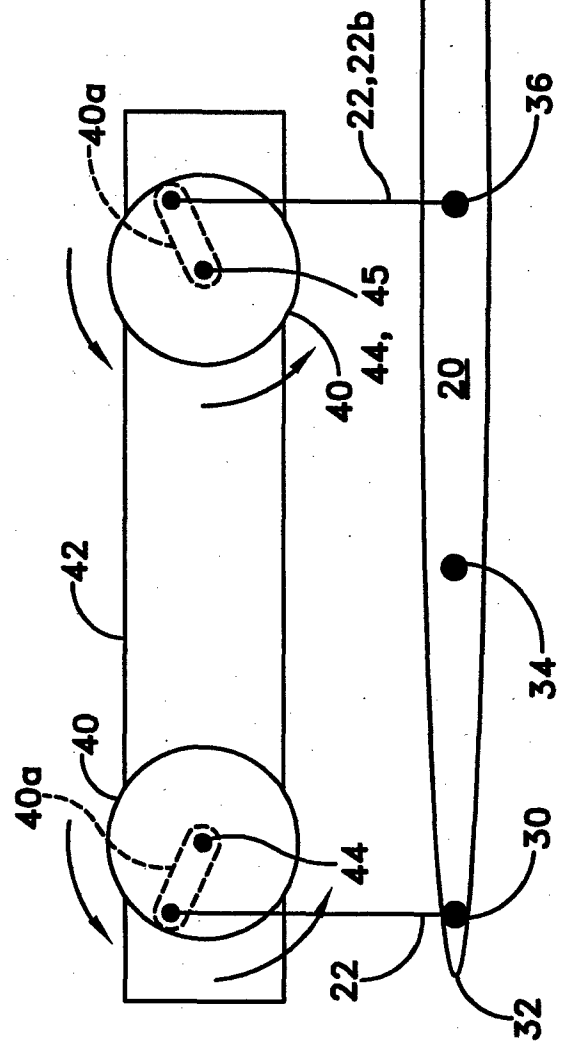


FIG. 3B

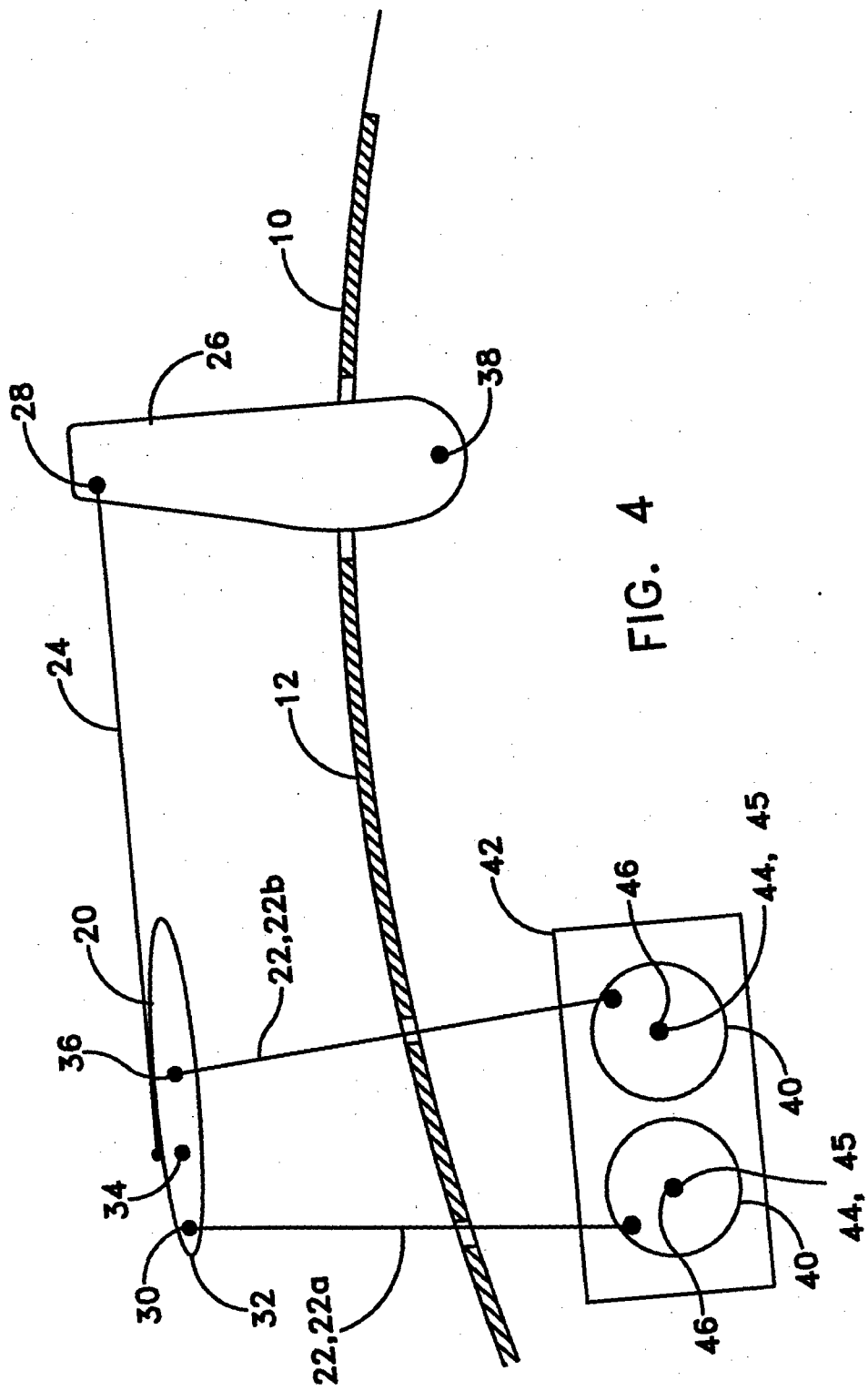


FIG. 4

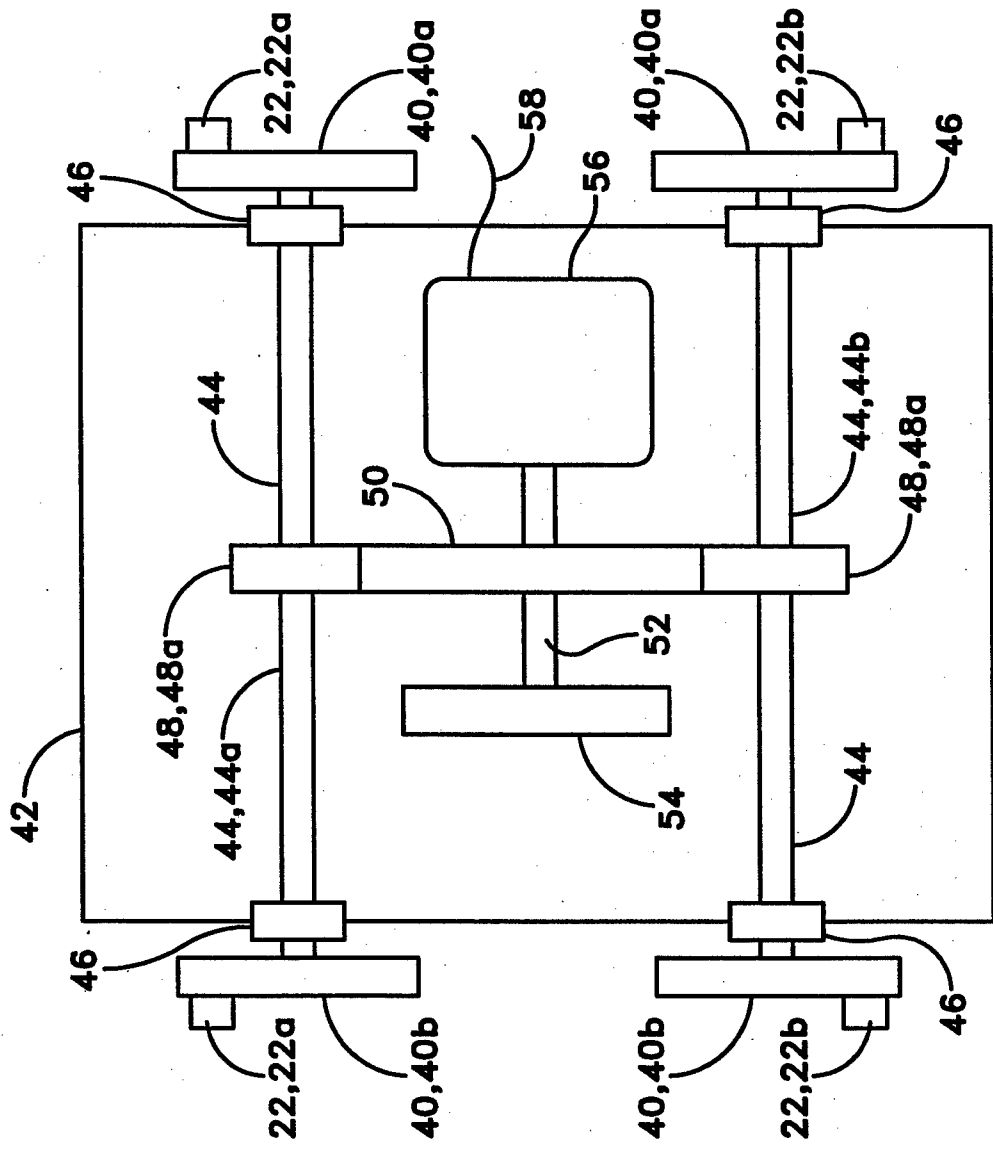


FIG. 5