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IN REPLY REFER TO:

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Attorney Docket No. 84273  
Customer No. 23523

A SYSTEM AND APPARATUS FOR MEASURING  
DISPLACEMENTS IN ELECTRO-ACTIVE MATERIALS

TO ALL WHOM IT MAY CONCERN

BE IT KNOWN THAT LOUIS G. CARREIRO employee of the United States Government, AND LAWRENCE J. REINHART, citizens of the United States of America, and residents respectively of Westport, County of Bristol, Commonwealth of Massachusetts and Wilmington, County of Middlesex, Commonwealth of Massachusetts, have invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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1 Attorney Docket No. 84273

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STATEMENT OF GOVERNMENT INTEREST

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The invention described herein may be manufactured and used

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by or for the Government of the United States of America for

9

governmental purposes without the payment of any royalties

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thereon or therefore.

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CROSS REFERENCE TO OTHER RELATED APPLICATIONS

13

This patent application is co-pending with a related patent

14

application entitled AN IMPROVED SYSTEM AND APPARATUS FOR

15

MEASURING DISPLACEMENTS IN ELECTRO-ACTIVE MATERIALS (Navy Case

16

No. 96212), by Louis G. Carreiro and Lawrence J. Reinhart both

17

of whom are inventors as to this application.

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BACKGROUND OF THE INVENTION

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(1) Field of the Invention

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The present invention relates to a device for measuring

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displacements in a solid material, and more specifically to a device

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for applying uniaxial hydraulic pressure to the surface of an

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electro-active material while at the same time permitting a light

1 source to be focused on the same surface in order to perform  
2 interferometric non-contact displacement measurements of the material  
3 under controlled conditions of pressure, temperature and applied  
4 voltage.

5 (2) Description of the Prior Art

6 The active elements of most sonar transducers consist of  
7 rings, disks or plates fabricated with electro-active (piezo-  
8 electric and electrostrictive) ceramics such as lead zirconium  
9 titanate (PZT) and with emerging materials such as the solid  
10 solution of lead magnesium niobate and lead titanate (PMN-PT).  
11 In a common configuration, these elements are bonded together  
12 with epoxy to form a stack that is then placed under a  
13 compressive load. When the stack is electrically driven, the  
14 applied compressive force opposes the tensile stress (internal  
15 strain) generated in the ceramic. This arrangement prevents the  
16 ceramic from going into tension and thus reduces the chance of  
17 failure due to fracturing.

18 Attempts to measure the electromechanical properties of  
19 stack elements often result in data that is difficult to  
20 interpret since the epoxy adhesive, metal electrodes and  
21 compression fixture tend to mask the properties of the ceramic.  
22 Therefore, a device for the direct characterization of the pre-  
23 stressed ceramic that eliminates the unwanted contributions from  
24 the stack assembly components is needed.

1           Currently, there exists a quasi-static apparatus used to  
2 determine the 33-mode properties of electro-active ceramics  
3 under simultaneous conditions of high electrical drive,  
4 electrical bias, compressive load and temperature.

5           With the above-mentioned quasi-static apparatus, a sample  
6 with dimensions of 2 mm x 2 mm x 10 mm. (an aspect ratio of 5:1  
7 ensures 33-mode operation) is placed under a unidirectional  
8 compressive load along its length. The pre-stress is applied  
9 over a range of 0 to 10 ksi with a pneumatic piston designed to  
10 have low mechanical loss and low ac stiffness so that a  
11 "constant stress" boundary condition is met. The entire  
12 apparatus is placed onto a thermal control system in order to  
13 obtain data versus temperature. The sample is then electrically  
14 driven with a 10 Hz sine wave of the order of 2.0 Mv/m. The  
15 charge versus the applied field is measured using an integrating  
16 capacitor, and the longitudinal strain versus the field is  
17 measured with strain gauges attached to the sides of the sample.  
18 From these measurements, the large signal dielectric constant  
19  $\epsilon_{33}^T$  the piezoelectric constant  $d_{33}$ , and the coupling factor,  $k_{33}$ ,  
20 can be calculated as a function of drive signal, bias field,  
21 pre-stress and temperature. Young's modulus is obtained from the  
22 measurement of strain versus applied stress.

23           The device described above has several limitations. The  
24 required geometry and small sample size often cause problems with

1 mechanical alignment, and under compressive load, samples are prone  
2 to mechanical cracking and electrical breakdown. Precise attachment  
3 of the strain gauges to the samples is difficult, affecting the  
4 reproducibility of the measurements from sample to sample.  
5 Furthermore, the gauges introduce stray capacitance, and due to their  
6 close proximity, exhibit electrical cross talk and promote electrical  
7 discharge arcing. Since temperature is controlled via an  
8 environmental chamber, long equilibration times are required before  
9 data can be acquired. In addition, temperature gradients within the  
10 chamber also affect the ability to repeat the measurements. For the  
11 most part, the prior art apparatus lacks the reliability and  
12 precision that is necessary to characterize electro-active ceramics  
13 in a reproducible and efficient manner. What is needed is a device  
14 capable of applying uniaxial hydraulic pressure to the surface of an  
15 electro-active material while at the same time performing non-contact  
16 displacement measurements of the material under controlled conditions  
17 of pressure, temperature and applied voltage.

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#### SUMMARY OF THE INVENTION

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It is a general purpose and object of the present invention to  
provide a means of measuring displacement in electro-active material  
under applied voltage through the application of a uniaxial constant  
force without the use of strain gauges.

1           It is a further object to provide a means of measuring  
2 displacement in electro-active material through a non-contact means  
3 such as laser interferometry.

4           Another object is to provide a means for measuring displacement  
5 in electro-active material that will not subject samples of the  
6 material to mechanical cracking and electrical breakdown.

7           Still another object is to provide a means for measuring  
8 displacement in electro-active material that will ensure  
9 reproducibility of the measurements from sample to sample.

10          These objects are accomplished with the present invention  
11 through the use of a high pressure optical cell, essentially a  
12 testing chamber with an optical aperture capable of containing a  
13 sample of electro-active material and subjecting it to high levels of  
14 hydraulic pressure, in conjunction with a laser interferometer system  
15 and thermal control system. The cell allows non-contact  
16 interferometric displacement measurements of electro-active  
17 (piezoelectric and electrostrictive) materials to be performed under  
18 controlled conditions of pressure, temperature and applied voltage.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

21          A more complete understanding of the invention and many of  
22 the attendant advantages thereto will be readily appreciated as  
23 the same becomes better understood by reference to the following

1 detailed description when considered in conjunction with the  
2 accompanying drawings wherein:

3 FIG. 1 shows a cross section of the high pressure optical  
4 cell in conjunction with an interferometer; and

5 FIG. 2 shows a block diagram of the system components  
6 comprising the high pressure optical cell in use with a voltage  
7 source, blocking circuit, laser interferometer and thermal  
8 control system.

9

#### 10 DESCRIPTION OF THE PREFERRED EMBODIMENT

11 Referring now to FIG. 1 there is shown a high pressure  
12 optical cell 10 in use with a laser interferometer 12. Laser  
13 interferometer 12 is configured for a single beam 14. In the  
14 preferred embodiment, the cell 10 consists of a stainless steel  
15 cell body 16, however, it is to be understood that the present  
16 device is not limited to that particular metal and could be made  
17 of titanium or other materials. The cell 10 houses a cavity 18  
18 with a single quartz glass window 20 that is transparent to  
19 laser radiation of a particular wavelength. In the preferred  
20 embodiment, the wavelength is  $\lambda = 632 \text{ nm}$ , but is not limited as  
21 such. It is to be understood that the present device is not  
22 limited to the use of quartz glass for the window 20 and could  
23 be made of other optically transparent materials, providing said  
24 materials are transparent to the laser radiation in use. The



1 window 20 has an anti-reflective coating 22 on its surface  
2 transparent to light of the same wavelength as the laser beam 14  
3 to prevent multiple reflections in the cavity 18 that might give  
4 rise to false signals to the interferometer detector 12. The  
5 window 20 can also be beveled to allow the internal pressure to  
6 seal the quartz glass against the cell body 16 thus insuring a  
7 hermetic seal.

8       The cavity 18 is filled with a high dielectric oil 26 with  
9 a matching index of refraction to that of the quartz window 20.  
10 The high dielectric oil 26 is introduced into the cavity 18  
11 through the liquid pressure inlet/outlet port 28 of the cell  
12 body 16 from a pressure pump control system 56 that generates  
13 and controls hydraulic pressure. A cylindrical compression seal  
14 30 with a rubber gasket 32 fits inside the cavity 18 in a piston  
15 like manner onto a specimen 34 of electro-active material. The  
16 increasing liquid pressure in the cavity 18 seals the perimeter  
17 of the specimen 34. This sealing process provides a uniaxial  
18 constant force over the entire surface of the specimen 34 thus  
19 minimizing edge effects. Electrical contact is accomplished  
20 with wire leads 36 and 38 that are soldered to the specimen 34  
21 and then passed through small openings 40 in the cell body 16,  
22 which are then sealed with epoxy. The electrical connections  
23 are made from the cell body 16 via leads 36 and 38 to a blocking  
24 circuit 48 and high voltage power supply 50 as illustrated in

1 FIG. 2. In the preferred embodiment, a vacuum chuck base plate  
2 44 holds the specimen 34 in place, however, it is to be  
3 understood that the present device is not limited to that  
4 particular means of holding the specimen 34 in place. The cell  
5 body 16 is secured to the plate 44 by torque bolts 46. The  
6 entire fixture comprising the cell body 16, specimen 34 and  
7 plate 44 is placed onto a thermal control system 54 as shown in  
8 FIG. 2 in order to control the temperature of the sample.

9 The cell 10 is designed to measure the displacement of  
10 electro-active materials under uniaxial pressure loads in high-  
11 voltage electric fields. In the preferred embodiment, the cell  
12 body 16 accommodates a specimen 34 with cross-sectional area  
13 ranging from 0.25 to 1.0 in<sup>2</sup> and thickness ranging from 0.1 to  
14 0.25 inches. It is to be understood, however, that the present  
15 device is not to be limited by the size of the specimen 34 that  
16 it can accommodate. The specimen 34 is placed on base plate 44  
17 positioned directly beneath rubber gasket 32 of the compression  
18 seal 30. Wire leads 36 and 38 are soldered to the specimen 34.  
19 The torque bolts 46 are then tightened at a specified torque.  
20 The cavity 18 of the cell 10 is flooded with the high-dielectric  
21 oil 26 while allowing air to bleed from the system. The cavity  
22 18 is pressurized to the desired level. The cell 10 and  
23 attached plate 44 are then placed onto the thermal control  
24 system 54 to achieve a desired temperature. The cell body 16

1 and attached plate 44 are then mounted onto a three-way high  
2 precision optical stage (not shown), with tilt and yaw  
3 capability, which is positioned in the beam path 14 of the laser  
4 interferometer 12. The cell 10 is optically aligned to the  
5 interferometer 12 to acquire data as high-voltage electric  
6 fields cause displacement in the electro-active specimen 34.

7 This invention has several distinct advantages over the  
8 prior art. The present invention utilizes a non-contact method  
9 that measures strain via a laser interferometer 12, unlike prior  
10 art methods that employs strain gauges physically attached to  
11 the specimen 34. This feature allows measurements to be  
12 performed with nanometer resolution. Unidirectional (uniaxial)  
13 pre-stresses of up to 20 ksi are applied using hydraulic fluid  
14 18 rather than mechanical compression. Since the ends of the  
15 specimen 34 are not clamped (between the platens of a press)  
16 they are free to move, eliminating the need for geometries with  
17 fixed aspect ratios. The present invention offers a variable  
18 frequency range of 1.0 Hz to 20 kHz and is not limited to a  
19 single operating frequency. Since the laser beam 14 can be  
20 positioned anywhere on the surface of the specimen 34,  
21 homogeneity of the surface can be evaluated.

22 What has thus been described is a device for applying  
23 uniaxial hydraulic pressure to the surface of an electro-active  
24 material while at the same time permitting a light source to be

1 focused on the same surface in order to perform non-contact  
2 interferometric displacement measurements of the material under  
3 controlled conditions of pressure, temperature and applied  
4 voltage.

5 Obviously many modifications and variations of the present  
6 invention may become apparent in light of the above teachings.  
7 For example the cell 10 may be made of various materials capable  
8 of withstanding high pressures. The dielectric fluid 18 can be  
9 any of a number of fluids. The optical aperture 20 may be made  
10 of any optically transparent material. The laser frequency of  
11 the interferometer can vary according to the type of  
12 measurements taken.

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13 In light of the above, it is therefore understood that  
14 within the scope of the appended claims, the invention may be  
15 practiced otherwise than as specifically described.

1 Attorney Docket No. 84273

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A SYSTEM AND APPARATUS FOR MEASURING

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ABSTRACT OF THE DISCLOSURE

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A device designed to apply uniaxial pressure to the surface of

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an electro-active material while simultaneously applying a current to

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the material under controlled temperature conditions and then

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measuring the displacement of the material by means of a laser

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interferometer. The device involves a housing with a chamber in

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which a sample of material is secured. The chamber has an aperture

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with a quartz window that allows the laser beam from the

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interferometer to pass. The sample is connected to electrodes and

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the chamber is filled with dielectric oil that applies the uniaxial

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pressure to one side of the sample. The device is placed onto a

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thermal control system. When the appropriate thermal and pressure

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conditions are established, current is applied to the sample and the

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interferometer measures the displacement.

Declaration and Power of Attorney For Utility or Design Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am original, second and joint inventor with LOUIS G. CARREIRO of the subject matter which is claimed and for which a patent is sought on the invention entitled: **A SYSTEM AND APPARATUS FOR MEASURING DISPLACEMENTS IN ELECTRO-ACTIVE MATERIALS**, the specification of which:

(check one)  is attached hereto.

was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application:

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

| Prior Foreign Applications |           |                        | Priority Claimed |    | Certified Copy Attached |    |
|----------------------------|-----------|------------------------|------------------|----|-------------------------|----|
| (Number)                   | (Country) | (Day/Month/Year Filed) | Yes              | No | Yes                     | No |

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and hereby certify that the Government of the United States has the irrevocable right to prosecute this application:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of second inventor: LAWRENCE J. REINHART

Inventor's signature Lawrence J. Reinhart

Date: 07/23/04

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Declaration and Power of Attorney For Utility or Design Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am original, first and joint inventor with Lawrence J. Reinhart of the subject matter which is claimed and for which a patent is sought on the invention entitled: **A SYSTEM AND APPARATUS FOR MEASURING DISPLACEMENTS IN ELECTRO-ACTIVE MATERIALS**, the specification of which:

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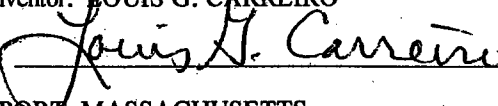
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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