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CONFINED PLASMA RESONANCE ANTENNA AND PLASMA  
RESONANCE ANTENNA ARRAY

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT THEODORE R. ANDERSON, citizen of the United States of America, employee of the United States Government and resident of Galway, County of Saratoga, State of New York, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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PATENT TRADEMARK OFFICE

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3 CONFINED PLASMA RESONANCE ANTENNA AND PLASMA  
4 RESONANCE ANTENNA ARRAY

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6 STATEMENT OF GOVERNMENT INTEREST

7 The invention described herein may be manufactured and used  
8 by or for the Government of the United States of America for  
9 governmental purposes without the payment of any royalties  
10 thereon or therefor.

11

12 CROSS REFERENCE TO OTHER PATENT APPLICATIONS

13 Not applicable.

14

15 BACKGROUND OF THE INVENTION

16 (1) Field of the Invention

17 This invention generally relates to radiofrequency (RF)  
18 antennas and more particularly to RF antennas that have a  
19 compact form.

20 (2) Description of the Prior Art

21 Conventional antennas radiate RF energy from a  
22 metallic conductor. The efficiency of such an antenna depends  
23 upon its length and configuration. Antennas that are  
24 approximately one-quarter wavelength ( $\lambda/4$ ) for current fed  
25 antennas and one-half wavelength ( $\lambda/2$ ) for voltage fed antennas  
26 or an integer multiple thereof can be tuned to have a low VSWR

1 with a gain that is a strong function of antenna length.  
2 Conversely, as antennas become shorter they have lower gain.  
3 When the length becomes shorter than a single quarter or half  
4 wavelength, VSWR increases, and antenna efficiency decreases.

5 For variable frequency applications it is typical to design  
6 an antenna for a center frequency and to use various tuning  
7 methods to match the characteristic impedance of the radiating  
8 element or elements to a predetermined transmitter output  
9 impedance. Marine vessels antennas often cannot accommodate  
10 quarter-wave or half-wave antennas due to space restrictions.  
11 So the antenna radiating element is merely a stub that attaches  
12 to a tuning circuit. Such stubs can be difficult to tune and  
13 have little or no gain. Marine vessels, also incorporate one or  
14 more antenna masts that carry a number of diverse antenna  
15 structures. For such applications an antenna design must provide  
16 adequate gain within available space and must be capable of  
17 operating with physically proximate antennas at other  
18 frequencies. Antennas with short radiating elements typically  
19 interact in arrays.

20 Plasma antennas constitute another type of radiating  
21 structure. For example, United States Letters Patent No.  
22 3,544,998 (1970) to Vandenplas discloses a plasma coated  
23 antenna. An expandable sheath consisting almost entirely of  
24 positively charged ions acts electrically like a vacuum to  
25 isolate the antennas from a layer of plasma which encompasses  
26 the antenna. The plasma layer may be maintained over the  
27 antenna by a suitable container. The antenna may be selectively

1 tuned by varying either the thickness of the sheath or the  
2 density of the plasma.

3 United States Letters Patent No. 3,914,766 (1975) to Moore  
4 discloses a pulsating plasma device. This device has a  
5 cylindrical plasma column and a pair of field exciter members  
6 disposed in spaced parallel relationship to the plasma column.  
7 Means are also provided for creating an electrostatic field  
8 through which oscillating energy is transferred between the  
9 plasma column and the field exciter members.

10 Still other antenna structures exist. For example, United  
11 States Statutory Invention Registration No. H653 (1989) of  
12 Conrad discloses a superconducting, superdirective antenna  
13 array. A superconductive material is employed for the elements  
14 of the array which are arranged in a uniform half-wave dipole  
15 having a low ohmic resistance and a very high radiation  
16 efficiency. The superdirective antenna array is a linear array  
17 with element spacing of less than  $\lambda_0/2$  where  $\lambda_0$  is the center  
18 frequency of the dipoles. A dielectric window directs radiation  
19 of a very high directivity from the superconducting,  
20 superdirective antenna array.

21 United States Letters Patent No. 3,665,476 (1972) to Taylor  
22 discloses a receiving antenna for submarines. Tunnel diodes are  
23 inductively coupled to a plurality of ferrite rods by a coupling  
24 link. The tunnel diodes are back biased circuit to establish  
25 operation in the negative resistance region. Bias current and  
26 coupling are adjusted to provide cancellation of the major

1 portion of the ferrite core losses and cover losses of the main  
2 turning winding.

3       Each of the foregoing disclosed antenna structures has  
4 certain disadvantages. Specifically, each generally tends to  
5 operate at a particular frequency, not over a wide bandwidth.  
6 Moreover each usually requires use of significant space and  
7 therefore is not readily adapted for installation on an antenna  
8 mast or like supporting structure in a confined volume. Finally  
9 when such conventional antennas are located in an array, they  
10 tend to be interactive in the far field radiation. What is  
11 needed is an efficient, tunable, compact antenna structure that  
12 has a wide bandwidth and that operates independently of far  
13 field radiation from adjacent antennas in an array on a common  
14 antenna mast, particularly on marine vessels.

15  
16                                       SUMMARY OF THE INVENTION

17       Therefore it is the object of this invention to provide an  
18 antenna that is compact in design and adapted for use in a  
19 variety of applications.

20       Another object of this invention is to provide a tunable  
21 antenna that is compact in design and is adapted for use in a  
22 variety of applications.

23       Still another object of this invention is to provide an  
24 antenna that provides improved radiation at lengths less than a  
25 quarter-wavelength or half-wavelength of the frequency being  
26 radiated.

1           An antenna constructed in accordance with this invention  
2 includes a confined plasma column that extends along an axis and  
3 that is characterized by a natural resonance frequency. A  
4 modulator applies an ac field to the confined plasma column at a  
5 frequency corresponding to the natural resonance frequency  
6 whereby the plasma radiates RF energy at the frequency of the ac  
7 field.

8           In accordance with another aspect of this invention, an  
9 antenna array comprises at least first and second plasma  
10 antennas. The first plasma antenna comprises a first confined  
11 plasma column that extends along a first axis and is  
12 characterized by a first natural resonance frequency. A  
13 modulator applies an ac field to the confined plasma column at a  
14 frequency corresponding to the first natural resonance  
15 frequency. The second plasma antenna comprises a second  
16 confined plasma column extending along a second axis. The  
17 second plasma column is characterized by a second natural  
18 resonance frequency that is different from the first natural  
19 resonance frequency. A modulator applies an ac field to the  
20 second confined plasma column at a frequency corresponding to  
21 the second natural resonance frequency. When the first and  
22 second antennas are mounted in an array, the antenna with the  
23 much lower natural plasma frequency is unaffected by radiation  
24 from the other antenna.

1 BRIEF DESCRIPTION OF THE DRAWINGS

2 The appended claims particularly point out and distinctly  
3 claim the subject matter of this invention. The various  
4 objects, advantages and novel features of this invention will be  
5 more fully apparent from a reading of the following detailed  
6 description in conjunction with the accompanying drawings in  
7 which like reference numerals refer to like parts, and in which:

8 FIG. 1 is a diagrammatic depiction of a confined plasma  
9 column antenna constructed in accordance with this invention;

10 FIG. 2 is a diagram useful in understanding the operation  
11 of the antenna in FIG. 1;

12 FIG. 3 is a diagram useful in understanding the theory of  
13 operation for the antenna in FIG 1; and

14 FIG. 4 depicts, in schematic form, a two-antenna array  
15 constructed in accordance with another aspect of this invention.  
16

17 DESCRIPTION OF THE PREFERRED EMBODIMENT

18 FIG. 1 depicts an antenna 10 for radiating RF energy  
19 constructed in accordance with this invention. It includes a  
20 pressure vessel 11 of any nonconductive material that extends  
21 along an axis 12. A typical pressure vessel 11 is cylindrical  
22 and extends along the axis 12. An ionizable gas 13 fills the  
23 pressure vessel 11. A discrete ionizing source 14, such as a dc  
24 source 15, establishes a dc field across internal electrodes 16  
25 and 17 disposed at opposite ends of the pressure vessel 11. When  
26 the dc source 15 creates a sufficient potential between the  
27 electrodes 16 and 17, the gas 13 ionizes and produces unbounded



1 electrons in a plasma. This plasma has a natural resonance  
2 frequency. The combination of the pressure vessel 11, ionizable  
3 gas 12 and the ionizing source 14 constitute a confined plasma  
4 column that extends along the axis 12 and is characterized by a  
5 natural resonance frequency.

6 In this embodiment a modulating signal source 20 connects  
7 to electrodes 16 and 17 in a way to be isolated from the dc  
8 source 15. The modulating signal source 20 produces an ac field  
9 along the axis 12. The frequency of the ac field causes each  
10 pair of charged particles to act as a Hertzian dipole which  
11 oscillates at the frequency of the applied ac field. FIG. 2  
12 depicts four such charged particle pairs 21, 22, 23 and 24 lined  
13 up transversely along the axis. This analysis has been  
14 determined to be effective in frequencies as low as ELF  
15 frequencies.

16 FIG. 2 provides a basis for understanding both temporal and  
17 spatial resolutions and concepts. From a temporal viewpoint,  
18 FIG. 2 discloses one Hertzian dipole at four successive  
19 intervals over one cycle of the natural resonance frequency  
20 represented by time marks  $t=0$ ,  $t=T/4$ ,  $t=T/2$  and  $t=3T/4$ . The  
21 dipole particles at 21A and 21B are at time  $t=0$  and have  
22 maximum, but opposite charges  $+q$  and  $-q$ , respectively. One  
23 quarter wavelength later at  $t=T/4$ , the charges balance with a  
24 charge transfer from the particle shown at 22A to the particle  
25 shown at 22B. This is the beginning of a charge reversal that  
26 reaches a maximum state at  $t=3T/4$  when the particles at 23A and  
27 23B have charges  $-q$  and  $+q$ , respectively. At  $3T/4$  a charge

1 transfer is occurring from the particle at 24B to the  
2 particle at 24A.

3 From a spatial standpoint, FIG. 2 depicts four adjacent  
4 dipoles spaced along the x axis corresponding to axis 12. FIG.2  
5 depicts a spacing "d" between individual particles in a pair  
6 such as particles 21A and 21B. FIG. 2 also depicts an average  
7 spacing "z" along the x axis between adjacent particle pairs,  
8 such as the particle pair 21A-21B and the particle pair 22A-22B.

9 It is now possible to discuss the quantitative operation of  
10 a plasma antenna such as the plasma antenna 10 in FIG. 1. In  
11 addition to the diagram in FIG. 2 it is also helpful to define  
12 several axes and symbols. FIG. 3 depicts orthogonal X, Y, and Z  
13 axes.  $\theta$  is an angle in the X-Y plane and  $\phi$  is an angle of  
14 elevation from the X-Y plane. The X axis corresponds to the  
15 axis 12 in FIG. 1. Specifically modeling charged particle pairs  
16 as shown in Fig. 2 as Hertzian dipoles, the total radiated field  
17 from the antenna is the summation of the fields radiated by each  
18 individual dipole. More specifically, the force  $\vec{F}$  on an  
19 electron in a time varying, harmonic electric field  $\vec{E}$  is given  
20 as:

$$\vec{F} = -e\vec{E} \quad (1)$$

21 where  $e = 1.6 \times 10^{-19}$  C.

22 This force can also be expressed as:

$$\vec{F} = m \frac{d^2 \bar{x}}{dt^2} = -m\omega^2 \bar{x} \quad (2)$$

1 where " $\vec{x}$ " is the vector from a charged particle to its  
2 equilibrium position, " $m$ " is the electron mass and " $\omega$ " is the  
3 angular acceleration of the charged particle.

4 The dipole moment,  $N_{dip}$ , for a single dipole is the product  
5 of, " $q$ ", on a particle times the distance, " $d$ ", to the other  
6 charged particle in a dipole. That is:

$$N_{dip} = qd . \quad (3)$$

7 As also known the dipole moment per unit volume,  $\vec{p}$ , is:

$$\vec{p} = -\frac{Ne^2}{m\omega^2} \vec{E} \quad (4)$$

8 and the electromagnetic displacement vector,  $\vec{D}$ , is given as:

$$\vec{D} = \epsilon_0 \vec{E} + \vec{p} = \epsilon_0 \vec{E} - \frac{Ne^2}{m\omega^2} \vec{E} . \quad (5)$$

9 Combining and simplifying equations (1) through (5) yields:

$$\vec{D} = \epsilon_0 \left[ 1 - \frac{\omega_p^2}{\omega^2} \right] \vec{E} \quad (6)$$

10 where " $\omega_p$ " is the natural resonance frequency of the plasma that  
11 is given by:

$$\omega_p = \sqrt{\frac{Ne^2}{m\epsilon_0}} . \quad (7)$$

12 Looking at the dipole pair represented by the particle pair  
13 21Q-21B in FIG. 2, the dipole moment of particle 21A with respect  
14 to particle 21B is " $qd$ ". Mathematically, the IL product for  
15 these miniature dipoles is given as:

$$I\Delta z = j\omega p \quad (8)$$

1 where  $\Delta z$  represents the average dipole spacing along the x axis  
2 and where

$$p = q\Delta z . \quad (9)$$

3 As also known, the orthogonal electric field  
4 component,  $\vec{E}$ , and magnetic field component,  $\vec{H}$ , for a Hertzian  
5 dipole are given as:

$$\vec{E} = \hat{\theta} \sqrt{\frac{N}{\epsilon}} j \frac{kI\Delta z e^{jkr}}{4\pi r} \sin \theta \quad (10)$$

6 and

$$\vec{H} = \hat{\phi} j \frac{kI\Delta z e^{-jkr}}{4\pi r} \sin \theta \quad (11)$$

7 where "r" is the average radius to a charged particle from an  
8 origin in FIG. 3.

9 The wave impedance is given by:

$$\eta = \sqrt{\frac{\mu}{\epsilon}} \quad (12)$$

10 and the distance between the charged particles is:

$$spacing = \sqrt[3]{\frac{1}{n}} \quad (13)$$

11 where "n" is the density of the unbounded electrons or other  
12 charged particles in the plasma. The value "n" defines the  
13 natural resonance frequency for the plasma, given by:

$$\omega_p = 2\pi \sqrt{\frac{n(1.6 \cdot 10^{-19})^2}{(9.11 \cdot 10^{-31})(8.85 \cdot 10^{-12})}} \quad (14)$$

1 For a density of  $n=10^{18}$  electrons per cubic meter, the natural  
2 resonance frequency of the plasma is 900 MHz. As also known the  
3 Poynting vector is for a pair of charged particles is:

$$\begin{aligned}\langle s \rangle &= \frac{1}{2} \text{Re}[\vec{E} \times \vec{H}] \\ &= \hat{r} \frac{1}{2} \sqrt{\frac{\mu}{\epsilon}} |H_{\theta}|^2 \\ &= \hat{r} \frac{\mu}{2} \left( \frac{k|I|\Delta z}{4\pi r} \right)^2 \sin^2 \theta\end{aligned}\tag{15}$$

4 Equation 15 is summed over each possible charged particle  
5 pair in the antenna to determine net radiation pattern from the  
6 plasma column.

7 An antenna constructed in accordance with this invention  
8 and a conventional antenna will exhibit similar gain and  
9 efficiency so long as the length is an integer number of quarter  
10 or half-wavelengths. Thus for a short antenna the gain from a  
11 plasma antenna of this invention exceeds the gain of a  
12 conventional antenna of comparable length. Consequently at such  
13 antenna lengths usually required in marine vessel applications  
14 the plasma antenna is more efficient.

15 An analysis of the equations particularly equations (13)  
16 and (14) determines that the plasma antenna shown in FIG. 1 is  
17 easily tunable by changing the number of unbounded charged  
18 particles within the housing 11. Such changes can be  
19 accomplished either by varying pressure or varying the ionizing  
20 field. FIG. 1 depicts a gas source 30 with a control valve 31  
21 that selectively admits ionizing gas in 13 into the pressure

1 vessel 11. A vacuum pump 32 can exhaust ionizing gas from the  
2 chamber 11. The tuning frequency of the antenna 10 shown in  
3 FIG. 1 then can be increased by allowing gas to enter the  
4 chamber 11 from the gas source 30 through the valve 31 while  
5 blocking any exhaust through the vacuum pump 32. Conversely,  
6 the natural resonance frequency can be reduced by operating the  
7 vacuum pump 32 while the valve 31 is closed.

8 Changes in the numbers of unbounded charged particles in  
9 the plasma can also be altered if the dc source 15 changes the  
10 potential applied across the electrodes 16 and 17. Increasing  
11 the ionizing potential increases the number of charged particles  
12 that can combine with other charged particles to act as Hertzian  
13 dipoles. It will be apparent either of these approaches for a  
14 tuning can be implemented in a relatively simple manner and  
15 might be implemented independently or in conjunction with each  
16 other.

17 Still referring to FIG. 1, the ionizing gas 13 can comprise  
18 any ionizable gas including air and the inert gases. Neon and  
19 argon are preferred ionizing gases.

20 The modulating signal source 20 can be any ac or dc source.  
21 For example, the modulating signal source may apply an am or fm  
22 signal with a carrier at the natural resonance frequency. FSK  
23 or other binary modulation might also be used on a carrier.  
24 Still other such as laser-based or acoustic-based systems can  
25 apply the necessary ac field to produce radiation from the  
26 plasma. FIG. 1 also depicts an ionizing power source 15 and an  
27 independent modulating signal source 20. In certain

1 circumstances these two functions might be combined. Gain from  
2 the antenna shown in FIG. 1 is also a strong function of the  
3 relative frequencies from the modulating signal source 20 and  
4 the natural resonance frequency of the plasma 13. The gain of  
5 the radiated RF signal decreases as the difference between the  
6 modulating frequency and the natural resonance frequency  
7 increases. This feature is particularly advantageous when  
8 multiple plasma antennas mount in an array. FIG. 4 shows one  
9 simple example with an antenna mast 50. A first plasma antenna  
10 51 constructed as shown in accordance with the principles of  
11 FIG. 1 mounts to the antenna mast 50 and is driven by a first  
12 modulator 52. A second antenna 53 mounts to the antenna mast 50  
13 and is driven by a second modulator 54. Assume that the natural  
14 resonance frequency of the antenna 51 is significantly greater  
15 than that of the antenna 53. For maximum efficiency the  
16 modulator 52 will operate at that natural resonance frequency  
17 which will be higher than the operating frequency for the  
18 modulator 54.

19 The lower the relative density of the plasma antenna  
20 compared to a neighboring plasma antenna, the more invisible it  
21 is. This is partly due to the increase in skin depth of the  
22 plasma as the plasma density or plasma frequency is decreased.  
23 The plasma skin depth is equal to the speed of light divided by  
24 the plasma frequency. It is characteristic of these plasma  
25 antennas that the lower density of the plasma in the antenna 53  
26 makes the antenna 53 "invisible" to the far field radiation from  
27 the antenna 51. There is far field interaction between the

1 field radiated from the antenna 53 and the plasma in the antenna  
2 51. However, the difference between the natural resonance  
3 frequencies of the plasma in the antenna 51 and the antenna 53  
4 attenuates any far field interaction in the antenna 51. This  
5 particular feature of non-interaction in the far field is  
6 extremely beneficial when multiple antennas mount to a common  
7 antenna mast in a multiple antenna array.

8 As will now be apparent, an antenna constructed in  
9 accordance with this invention will provide satisfactory  
10 radiation levels even when the overall length of the antenna is  
11 a fraction of a wavelength because the plasma antenna produces  
12 superior gain in such situations. The antenna is readily  
13 tunable so it is adapted to a wide variety of applications.  
14 These advantages accrue because gain is not directly related to  
15 length in such antennas but rather to the match between the  
16 modulating frequency and the natural resonance frequency of the  
17 plasma column.

18 This invention has been disclosed in terms of certain  
19 embodiments. It will be apparent that many modifications can be  
20 made to the disclosed apparatus without departing from the  
21 invention. Therefore, it is the intent of the appended claims  
22 to cover all such variations and modifications as come within  
23 the true spirit and scope of this invention.



1 Attorney Docket No. 78769

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3 CONFINED PLASMA RESONANCE ANTENNA AND PLASMA

4 RESONANCE ANTENNA ARRAY

5

6 ABSTRACT OF THE DISCLOSURE

7 A plasma antenna includes a plasma column formed of an  
8 ionizable gas. A modulating carrier frequency produces Hertzian  
9 dipoles within the plasma that radiate RF energy at the  
10 modulating carrier. The antenna produces can be short and still  
11 produce significant gain when the modulating carrier frequency  
12 and the natural resonance frequency of the plasma are  
13 substantially equal.

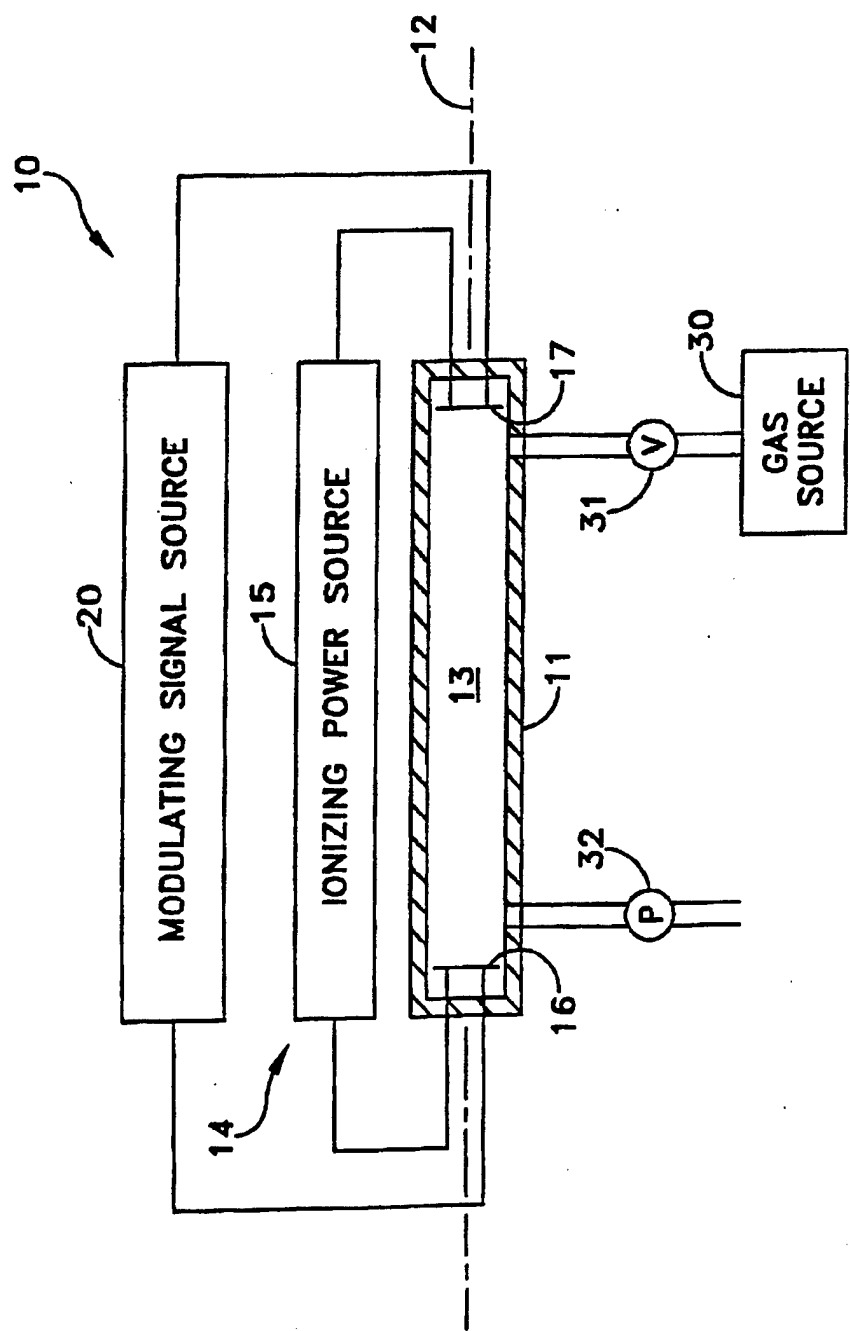


FIG. 1

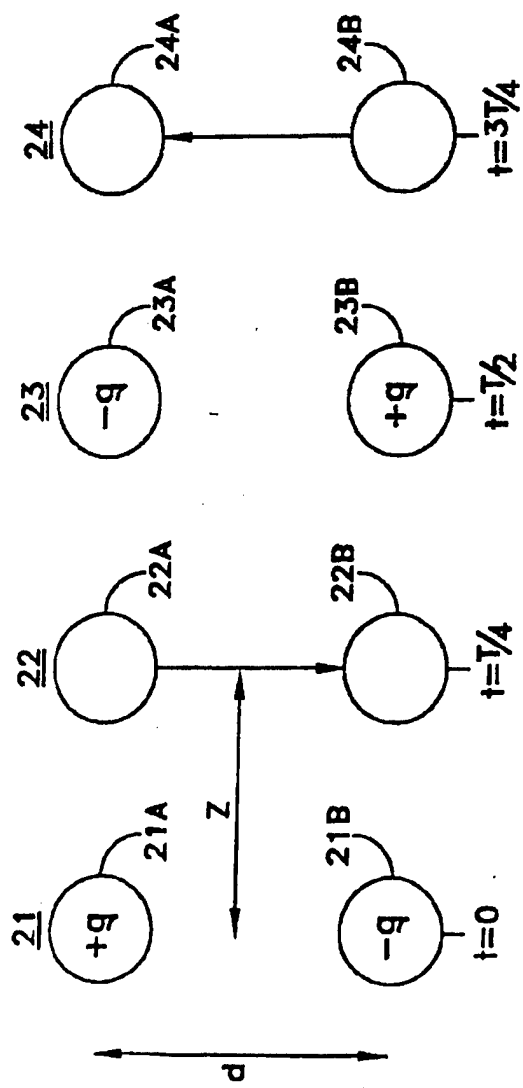


FIG. 2

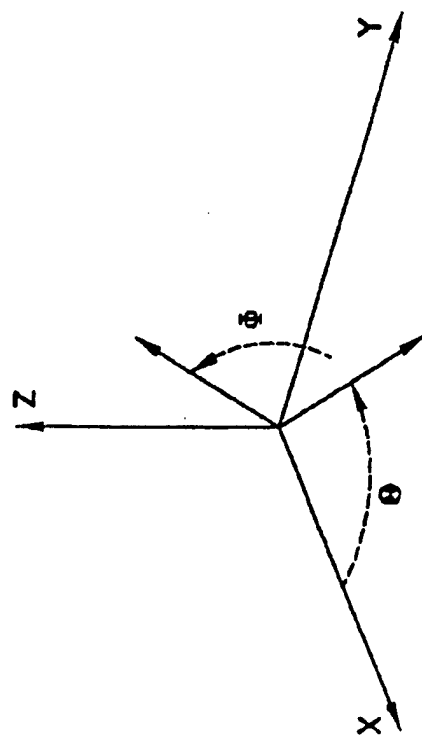


FIG. 3

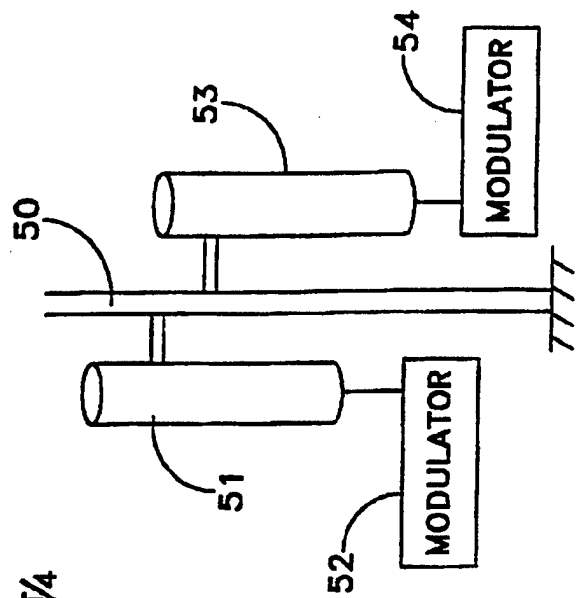


FIG. 4