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WATERWAY SHIELDING SYSTEM AND METHOD

TO WHOM IT MAY CONCERN:

BE IT KNOWN THAT DONALD H. STEINBRECHER, employee of the United States Government, citizen of the United States of America, resident of Brookline, County of Norfolk, Commonwealth of Massachusetts, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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DATE OF SIGNATURE

1 Attorney Docket No. 84429

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WATERWAY SHIELDING SYSTEM AND METHOD

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STATEMENT OF GOVERNMENT INTEREST

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BACKGROUND OF THE INVENTION

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(1) Field of the Invention

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(2) Description of the Prior Art

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The Meridian World database contains listings of 9,597 worldwide harbors and ports. About 6-billion tons of sea-borne cargo is delivered annually to nearly 10,000 ports and harbors throughout the world. More than three-fifths of the world's oil trade moves by sea. In the United States alone, approximately 50,000 ships, each having a capacity in excess of 300 tons, call

1 on roughly 360 United States ports every year. With more than
2 95,000 miles of open shoreline and 25,000 miles of navigable
3 waterways, the United States is faced with a formidable
4 undertaking in order to secure its water assets. For instance,
5 there presently exists no practical system capable of monitoring
6 the subsurface of thousands of transport hulls as the transports
7 enter ports and waterways to determine if it might have been
8 altered in between ports to carry explosives or weapons of mass
9 destruction.

10 Any threat to sea-borne trade must be considered a serious
11 attempt to adversely affect the world economy. In early October
12 2002, A French VLCC (Very Large Crude Carrier) chartered by
13 Malaysian state oil company Petronas was attacked by terrorist
14 suicide bombers off the coast of Yemen. The VLCC, known as the
15 LIMBURG, was seriously damaged in the attack and one crewmember
16 was killed.

17 Following the attack, al-Queda issued a statement which
18 warned that the attack on the Limburg, "was not an incidental
19 strike at a passing tanker but...on the international oil-carrying
20 line in the full sense of the word."

21 A significant portion of all world-wide sea-borne trade
22 moves through a few critical maritime choke points where mines or
23 other interference could have a serious negative impact on the
24 world economy. These critical choke points offer terrorists an

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1 opportunity to have a large world economic impact by targeting a
2 very small area.

3 The Strait of Malacca is a narrow sea passage between
4 Sumatra and the Malay Peninsula that links the Indian and Pacific
5 Oceans, which is only 1.5 miles wide at its narrowest point. It
6 is the shortest sea route between three of the world's most
7 populous countries, India, China, and Indonesia, and is
8 considered to be the key choke point in Asia. More than 50,000
9 vessels transit the Strait each year. The VLCCs inch their way
10 through the strait with barely a meter or two of clearance above
11 the bottom.

12 The strait has been described as a perfect place for an
13 ambush. In October 2002 terrorists bombed a nightclub on the
14 Indonesian Island of Bali. The incident raised concerns that the
15 Strait may also be a target. There are reports that Singapore
16 and Malaysia have begun escorting the VLCCs and have increased
17 naval patrols in the straight. However, this is only a small
18 step toward eliminating the threat of terrorism in the region.

19 If the strait were closed, world-wide freight rates would
20 immediately rise and all excess capacity of the world fleet would
21 likely be absorbed.

22 The Bosphorus strait, located in Turkey, is one of the
23 world's busiest shipping channels. More than 50,000 vessels
24 annually transit the 17-mile long waterway that divides Asia from
25 Europe and connects the Black Sea with the Mediterranean Sea.

1 The sea-borne traffic includes approximately 15 Oil Tankers/Day
2 moving 2-million barrels/day of crude through the strait to
3 Western and Southern European markets.

4 Only one-half mile wide at the narrowest point, the Turkish
5 straits offer another terrorist opportunity to have a major
6 impact on the world economy.

7 The Strait of Hormuz, which connects the Persian Gulf with
8 the Gulf of Oman and the Arabian Sea, is by far the world's most
9 important oil chokepoint. The tanker traffic through the
10 Strait carries more than 13-million barrels/day of crude to the
11 United States, Western Europe, and Japan. Inbound and outbound
12 sea-borne traffic are confined to 2-mile wide channels separated
13 by a 2-mile wide buffer zone.

14 Although the six-mile wide corridor provides a more
15 challenging terrorist target, mining the channel with remotely
16 controlled devices could be accomplished by a small force over a
17 period of time. The days or weeks necessary to clear the mines
18 and insure safe passage after such an event would result in a
19 major shortage of energy and may precipitate a world-wide
20 economic crisis.

21 The Gould Island Acoustic Communication and Tracking Range
22 (GIATR) has been in operation for several years along
23 Narragansett-Bay. GIATR provides a test facility for acoustic
24 communications research and development with unmanned undersea
25 vehicles (UUV). As well, a variety of sensors monitor

1 environmental conditions in the Bay to provide data to build a
2 historical database, which can be used for tracking changes that
3 may be of interest. GIATR comprises nodes with acoustic
4 transducers and acoustic arrays which are hardwired to shore
5 power and provide Ethernet quality fiber-optic communications
6 paths that link to the land. The equipment attached to a node
7 can use up to 500 Watts of primary power and can link to topside
8 equipment, or a surface network, over a high-speed fiber
9 connection.

10 Acoustic communications (ACOMMS) coherent-modem development
11 work of Naval Undersea Warfare Center (NUWC) professionals Dr.
12 Josko Catipovic and Dr. Daniel Nagel is extensively reported in
13 available literature.

14 While the ocean is a complex and difficult signal
15 transmissions environment, coherent ACOMMS experiments using
16 GIATR and other ranges have consistently demonstrated that a data
17 rate-distance product of 100 kbit at a distance of one km is
18 achievable using coherent ACOMMS modems developed at NUWC.

19 Another NUWC professional, Dr. Francis Chan, has developed
20 a database management system that allows querying a database of
21 more than 10,000 surface ships on the basis of observable
22 attributes.

23 The above cited prior art does not provide a system that
24 may be used for continuously and automatically monitoring and
25 identifying thousands of surface and subsea water traffic. As an

1 example only, the prior art does not provide a means for
2 automatically producing a signature for each transport, including
3 the subsurface features, for identification in any of the
4 thousands of ports, littoral areas, coastal lines, and other
5 waterways through which it may travel.

6 The solutions to the above-described problems are highly
7 desirable but have never been obtained or available in the prior
8 art. Consequently, those skilled in the art will appreciate the
9 present invention that addresses the above and other problems.

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SUMMARY OF THE INVENTION

12 An object of the present invention is to monitor ingress and
13 egress to and from harbors and ports and perform surveillance and
14 monitoring of waterways generally.

15 Another object of the present invention to render secure
16 important harbors, waterways, and coastal approaches within the
17 United States economic zone and/or worldwide.

18 Yet another object of the present invention is to provide a
19 plurality of undersea networks that will support undersea data
20 collection, data movement, and data fusion in ports, harbors, and
21 littoral regions.

22 Yet another object of the present invention is to provide
23 an automated system that produces an integrated intelligence
24 picture of sea-borne traffic moving on the surface or underwater
25 in a seaway.

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1 Yet another object of the present invention is to integrate
2 the common undersea picture derived from an undersea network of
3 sensors and acoustic systems with a surface-ship database and to
4 provide an automated ship-identification process to create an
5 information system to support enhanced control and improved
6 security for all affected seaway traffic.

7 These and other objects, features, and advantages of the
8 present invention will become apparent from the drawings, the
9 descriptions given herein, and the appended claims. However, it
10 will be understood that above listed objects and advantages of
11 the invention are intended only as an aid in understanding
12 aspects of the invention, are not intended to limit the invention
13 in any way, and do not form a comprehensive list of objects,
14 features, and advantages.

15 Accordingly, a waterway shield system may be provided which
16 comprises, for example, one or more elements such as a first
17 plurality of fixed underwater nodes mounted within a first
18 waterway wherein the first plurality of fixed underwater nodes
19 may comprise a sonar array and an acoustic communications
20 transducer. The first plurality of fixed underwater nodes is
21 preferably operable for producing sonar data for a hull of a
22 first ship in the first waterway. Each of the first plurality of
23 fixed underwater nodes preferably receives power from a generator
24 mounted adjacent thereto. The invention may also comprise one or
25 more processors operable for utilizing the sonar data for the

1 hull of the first ship in the first waterway from the first
2 plurality of fixed underwater nodes for identifying the first
3 ship in the first waterway.

4 The system may further comprise an acoustic database which
5 may comprise a predetermined compilation of historic acoustic
6 attribute information for hulls for each of a plurality of ships.
7 The one or more processors are operable for comparing the
8 historic acoustic attribute information in the acoustic database
9 with the acoustic attribute data for the hull of the first ship
10 in the first waterway.

11 A second plurality of fixed underwater nodes may be mounted
12 in a second waterway. Each of the second plurality of fixed
13 underwater nodes may comprise a sonar array and an acoustic
14 communications transducer. The second plurality of fixed
15 underwater nodes is preferably operable for producing sonar data
16 for a hull of a ship (or entity) in the second waterway. Each of
17 the second plurality of fixed underwater nodes may receive power
18 from a generator mounted adjacent thereto. The one or more
19 processors are operable for utilizing the historical acoustic
20 attribute data for the hull of the second ship in the second
21 waterway from the second plurality of fixed underwater nodes for
22 identifying the ship (or entity) in the first waterway.

23 The waterway shield system may further comprise one or more
24 UUVs.

1 In one embodiment, one or more underwater energy
2 replenishment stations may be utilized for the store energy
3 sources on board the UUVs so that the UUVs may stay operational
4 in the water indefinitely.

5 In one embodiment, the one or more underwater refueling
6 stations are each powered by one or more underwater power
7 generators.

8 In one embodiment, the one or more UUVs may be operable for
9 deploying one or more of the first plurality of fixed underwater
10 nodes at predetermined locations within the first waterway. The
11 one or more UUVs may also be operable for installing one or more
12 sensors on one or more of the first plurality of fixed underwater
13 nodes mounted within the first waterway.

14 The waterway shield system may further comprise an optical
15 database which may comprise a compilation of optical signature
16 information for each of a plurality of ships, wherein the one or
17 more processors are operable for comparing the optical signature
18 information in the optical database with optical data related to
19 the first ship in the first waterway for identifying the first
20 ship.

21 A combiner processor function may be provided during
22 processing for combining results from the comparing of sonar
23 signature information in the acoustic database and from the
24 comparing of the optical signature information in the optical
25 database. The combiner compares the candidates from the sonar

1 and optical processor functions to further limit the number of
2 possible candidates for identification.

3 The invention provides a method for providing a waterway
4 shield wherein the method may comprise one or more steps such as,
5 for instance, deploying, or mounting, a plurality of underwater
6 nodes in a first waterway wherein each underwater node comprises
7 a sonar array and an acoustic communications transceiver, and/or
8 scanning a first hull of a first ship in the first waterway
9 utilizing the underwater nodes to produce first sonar data
10 descriptive of the first hull of the first ship, and/or
11 transmitting the first sonar data descriptive of the first hull
12 of the first ship utilizing the acoustic communication
13 transceivers of the first plurality of underwater nodes.

14 The method may further comprise one or more UUVs for
15 transporting and deploying of the plurality of underwater nodes
16 in the first waterway. The UUVs may preferably utilize
17 underwater energy replenishment stations whereby the UUVs may
18 remain substantially continuously in operation in a desired
19 location. The underwater energy replenishment station may
20 preferably be powered by utilizing an underwater power generator,
21 such as a tidal current, or other underwater current impelled
22 power generator. As well, the first plurality of underwater
23 nodes may also be powered with a respective underwater generator
24 mounted on or adjacent to each of the underwater nodes.

1 The method may further utilize the first sonar data
2 descriptive of the first hull of the first ship for searching a
3 sonar signature database which comprises sonar data descriptive
4 of hulls for a plurality of ships. Moreover, the method may
5 comprise detecting other physical attributes of the first ship
6 and searching at least one physical attribute database which
7 comprises physical attribute data descriptive of a plurality of
8 ships. By comparing results after searching the sonar signature
9 database and searching the physical attribute database, the
10 method improves accuracy in identifying the first ship.

11 In one embodiment, the method comprises employing a
12 plurality of UUVs around a perimeter of the waterway, and
13 providing one or more underwater energy replenishment stations
14 for the plurality of UUVs. Other steps may comprise mounting
15 sensors on the one or more UUVs for detecting at least one of
16 chemical, biological, radiation physical attributes related to
17 the first ship or a wake of the first ship.

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19 BRIEF DESCRIPTION OF THE DRAWINGS

20 A more complete understanding of the invention and many of
21 the attendant advantages thereto will be readily appreciated as
22 the same becomes better understood by reference to the following
23 detailed description when considered in conjunction with the
24 accompanying drawings, wherein like reference numerals refer to
25 like parts and wherein:

1 FIG. 1 is an elevational view of a subsea water current
2 power generator to supply power to operate an autonomous subsea
3 network in accord with the present invention;

4 FIG. 2 is a schematic of ship identification data flow in
5 accord with one embodiment of the invention;

6 FIG. 3 is a diagrammatic view of subsurface hull sonar
7 scanning for vessel identification in accord with the present
8 invention;

9 FIG. 4 is a diagrammatic view of an autonomous subsea
10 network in accord with the present invention; and

11 FIG. 5 is a block diagram schematic of a nationwide or
12 worldwide system that combines information from a plurality of
13 local waterway systems to provide a world-wide database and
14 processing system.

15 16 DESCRIPTION OF THE PREFERRED EMBODIMENT

17 The present invention may be utilized to support the safety
18 and security of all seaborne trade terminals by guarding ingress
19 at ports, harbors, and littoral areas throughout the world. The
20 initiative produced thereby may be seen as essential to the
21 continued growth of the world economy in the face of terrorism.

22 The present invention comprises a system of sensors,
23 networks, databases, and algorithms, among other things, that
24 work together to provide a picture of a waterway, which can be
25 used to make informed decisions regarding entities using the

1 waterway. In one embodiment, the present invention will
2 automatically evaluate the state of information objects and
3 present these evaluated information objects in a form that
4 supports a decision process. The term "information object" is
5 used to represent the result of a process that answers a question
6 about a waterway picture. An information object is the result of
7 fusing one or more data objects. The data objects result from
8 sensor functions and collectively represent the history of the
9 waterway picture in its most fundamental form.

10 The algorithms that create information objects from data
11 objects are "object oriented" and managed by an "object broker"
12 in a manner common to all objected-oriented programming. The
13 continuous evaluation of the state of all information objects is
14 the highest level of automation envisioned. The current state of
15 a particular collection of information objects will support a
16 particular action but any decision to execute a particular action
17 will remain outside the scope of the present invention.

18 Referring to the drawings, and more specifically to FIG. 4,
19 there is shown an embodiment of waterway shield system 100 for a
20 particular waterway in accord with the present invention. One of
21 the problems of implementing a waterway shield is that of
22 providing power to the numerous elements of the waterway shield
23 system which may be separated by miles. Ideally, the
24 installation time is kept to a minimum by avoiding the need for
25 running communication lines, power cables, and the like and using

1 UUVs to deploy and maintain the underwater stations. Otherwise,
2 the installation and maintenance costs of providing a system
3 useable in hundreds or thousands of locations may be prohibitive.
4 However, many commercial interests may arise from the existence
5 of an underwater communication network (Undernet) deployed within
6 large areas of the world's littoral regions. Already,
7 aquaculture is a multi-billion dollar business. Undersea mining
8 operations are also rapidly expanding. Diamond mining alone
9 accounts for more than two billion dollars annually. Developing
10 and deploying an Undernet as an extension of the worldwide
11 Internet could support automated surveillance of our coastal
12 regions as well as provide an infrastructure for commercial
13 utilization of our coastal littoral areas.

14 Referring now to FIG. 1, there is shown a type of water
15 current-powered submersible generator 10 that may be utilized for
16 generation of power for remotely positioned elements of a
17 waterway shield system in accord with the present invention.
18 While this specific generator is shown, other types of generators
19 may also be utilized, for example, chemical and biological fuel
20 cells. Submersible generator 10 was previously utilized with
21 towed seismic sleds. However, in accord with the present
22 invention, submersible generator 10 is preferably fixed in
23 position whereby propeller 12 is rotated in response to
24 predictable tidal water currents. In some locations, tidal water
25 currents provide a continuous water flow that may be utilized to

1 power remote elements of the system as discussed hereinafter.
2 Even in a relatively small package format of about a cubic foot,
3 submersible generator 10 may produce several amperes of current
4 at 12 volts DC with ordinary tidal current flow. Generator 10 is
5 preferably provided in an oil-filled waterproof housing 14 which
6 may be mounted to a sonar node package, and is shown mounted to
7 sonar node package 16 shown in waterway shield system 100 of FIG.
8 4. Generator 10 may be rotatably mounted utilizing mount 20 or
9 connector 22 such that housing 14 rotates so that water flow is
10 in line with arrow 18 shown in FIG. 1. Rotatable connection of
11 mount 20 or connector 22 to package 16 to maintain water flow in
12 line of arrow 18 may be achieved by use of a Cardan joint. Mount
13 20 and/or connector 22 may be positioned on the housing, such as
14 below or above the housing, at any desired position depending on
15 the particular configuration desired.

16 While generator 10 is shown as a convenient means for power
17 generation, other types of generators such as wave motion power
18 generators, thermal gradient generators, sun-power generators,
19 wind-power generators, and the like may also be utilized for
20 operating the various components of system 100, depending on the
21 characteristics of the waterway and environment. In this way,
22 the various components of system 100 can be installed and
23 operated quickly and efficiently without the need for laying and
24 maintaining cables, supply power lines, and the like. If power
25 is readily available to at least one node by a cable, as might be

1 the situation close to an existing station on the edge of the
2 waterway, then that node might also be utilized as a gateway to a
3 surface network for the other nodes. However, other means for
4 connecting nodes such as wireless transmission via water mounted
5 antenna are also available.

6 FIG. 3 discloses the undersea hull scanning aspect of the
7 present invention. In one preferred embodiment of the invention,
8 autonomous undersea nodes capable of deriving power from local
9 environmental sources can become the primary building blocks for
10 an Undernet, or undersea Internet. For instance, nodes 24, 26,
11 28, 30, and 32 comprise sonar arrays and may be utilized to
12 create a digital image of hull 34 for ship 36. In one preferred
13 embodiment, a synthetic aperture sonar system, which may be
14 formed utilizing nodes 24, 26, 28, 30, and 32, will create a
15 digital image of the hull of a passing vessel that can be added
16 to the known attributed of the specific ship in a master
17 database, as discussed hereinafter. Each of the nodes can
18 communicate the captured data along a desired data path, which
19 may involve relays of the signal, to a gateway node. The nodes
20 therefore create an underwater network, with many possible signal
21 paths, which may be utilized for communication purposes.

22 The synthetic-aperture process can be used to develop higher
23 resolution images than would be obtained from individual signal
24 returns. The individual signal returns from the array comprising
25 nodes 24, 26, 28, 30, and 32 can be combined using synthetic-

1 aperture processing algorithms in order to create a better image
2 of an entity moving in the field of view of the array. The
3 location of each array node is accurately known and a local time
4 stamp is used to ensure that each acoustic-signal data object can
5 be located in three-dimensional space and in time, which provides
6 the four dimensions necessary to combine signals from the
7 individual nodes. An accurate reconstruction of the sound image
8 of a moving entity will also require an knowledge of the speed
9 and direction of transit, which can also be derived from the
10 array data using well known algorithms.

11 Nodes 24, 26, 28, 30, and 32 may preferably be deployed such
12 that their positions are accurately known. The nodes comprise
13 circuitry such as highly accurate clocks so the direction and
14 distance of acoustic signals received can be measured with high
15 resolution. Nodes 24, 26, 28, 30 and 32 may be utilized to
16 measure, record and convert into the acoustic pressure wave
17 information for any objects such as ships, submarines, or the
18 like, into data comprised of time-dependent and location-
19 dependent sound-wave attributes of the objects. The information
20 collected for an object may be termed as data objects. For
21 example, data objects may include acoustic data related to the
22 hull of a ship, diver or UUV that moves through the waterway and
23 within at least one of the fields of view of the acoustic arrays
24 provided at each of nodes 24, 26, 28, 30 and 32. Each of said
25 plurality of underwater nodes is equipped with a transceiver

1 element of a communication system for receiving and transmitting
2 data objects over an effective network that connects the nodes
3 24, 26, 28, 30, and 32 to a data processing center, such as
4 facility 42 shown in FIG. 4 or processing center 102 shown in
5 FIG. 5. At facility 42 or center 102 a plurality of time-
6 dependent sound-wave-attribute data objects from said plurality
7 of underwater nodes may be processed into acoustic pressure wave
8 images using, for example, synthetic-aperture processing
9 techniques or side-scan aperture processing techniques.

10 The data processing center 42 or 102 have a capability for
11 collecting attributes of sound-wave images of a particular
12 physical object into a relational database that contains a
13 variety of other attributes associated with the same physical
14 object, which may have been obtained from at least one other
15 source. The data processing center preferably has at a minimum
16 a capability for identifying context-sensitive changes in one of
17 more physical-object attributes.

18 Synthetic-aperture signal processing algorithms may be used
19 to build images of the objects moving in predictable ways through
20 the sonar-array field of view. The resulting feature vectors
21 will enhance the known properties of ships in a master database,
22 such as databases 118 in FIG. 5. A preferred embodiment of the
23 present invention expands the existing surface-ship database to
24 include ship-hull attributes that can be identified using
25 automatic sonar imaging techniques. Other acoustic

1 identification information may also be detected such as propeller
2 noise, engine noise, water noise due to engagement with the
3 ship's hull, and the like, which may be utilized in the database
4 to further provide a signature of the ship-hull attributes.

5 Currently no automated system is capable of collecting ship-
6 hull attributes with sufficient accuracy to build a database of
7 ship-hull "fingerprints." Hull fingerprints could be used to
8 identify new features of interest that may be a cause for alarm,
9 such as objects attached to the exterior surface of a ship's
10 hull. Preferably, prototype ship-hull scanning systems will be
11 used to evaluate the potential of using readily available
12 commercial-off-the-shelf (COTS) systems to discover ship-hull
13 attributes of interest, which could significantly enhance the
14 value of a ship-attributes database.

15 Automating the process of collecting acoustic ship-hull
16 attributes will significantly expand our ability to identify
17 changes that may portend a cause for concern. For example, ship-
18 hull attributes collected at a departure point could be compared
19 with attributes collected when the same entity arrives at another
20 port in order to place in evidence any changes that have occurred
21 in transit. Acoustic ship-hull attributes may also be used to
22 covertly identify ships attempting to hide their identity by
23 altering top-side appearance or flying a different flag. A ship
24 interdicted in December, 2002 was flying a flag not of its
25 country of origin and was found to have the flags of many nations

1 in its hold. This ship most likely passed through the straight of
2 Malacca and could have been surveyed in those confined waters.

3 The scanning system will also preferably be utilized to
4 detect and identify fully submerged entities that pass through
5 the sonar-array field of view such as divers, UUVs, and
6 submarines.

7 In the embodiment of system 100 shown in FIG. 4, two side-
8 scan sonar systems 16 and 38 will be used to simultaneously
9 illuminate the main shipping corridor from fixed sites.
10 Accordingly, sonar systems 16 and 38 may illuminate ships such as
11 ship 44 but also may be utilized to illuminate UUVs, divers, and
12 the like. While only one node is shown in FIG. 4 for 16 and 38,
13 each of sonar systems 16 and 38 may actually comprise additional
14 nodes which have an acoustic communication link therebetween.
15 Gateway node 40, in this example, is hardwired through fiber-
16 communications links 48 to surface network, or topside processing
17 units, located in facility 42 adjacent to the waterway. All
18 nodes are operable to communicate data via underwater
19 transceivers to gateway node 40 either directly or in relay
20 fashion whereby gateway node 40 is utilized to transmit the data
21 to a surface network. However, gateway node 40 may also utilize
22 other types of communications links such as floating antennas,
23 antennas which may be raised and lowered, and the like, which
24 could also be utilized for transmitting and receiving radio
25 signals. Multiple or backup gateway nodes may also be utilized.

1 Digital image scanning techniques from camera stations, as
2 indicated at 46 in FIG. 4, may be utilized to automatically
3 obtain surface features of ship 44. Such image techniques may
4 include use of images produced from all electromagnetic radiation
5 spectrums including radar, infrared, and the like.

6 In FIG. 4, at least some and preferably all the remotely
7 positioned undersea nodes, such as nodes 16 and 38, are
8 preferably capable of autonomous operation and derive primary
9 power from environmental energy sources. These autonomous nodes
10 may be connected to a common network using coherent underwater
11 acoustic communication data links as indicated at 50 and 52,
12 which have already been developed and tested as capable of
13 transmitting high resolution sonar image data within a reasonable
14 time frame.

15 The autonomous nodes, such as nodes 16 and 38 may be
16 deployed and maintained using dedicated UUV support. For
17 instance UUV 54 or other UUVs may be utilized for initially
18 deploying nodes 16 and 38. A UUV capable of automated deployment
19 of payloads is disclosed in commonly assigned U.S. Patent No.
20 5,675,116 to C.F. Hillenbrand, which is hereby incorporated by
21 reference. (Particularly note the embodiments of figures 9 and
22 10.) UUV 54 or other UUVs may also be utilized to provide
23 increased information and monitoring by way of acoustic
24 surveillance or sensors for chemical, biological, or radiological
25 attributes related to a ship or its wake. Chemical, biological,

1 radiological, and environmental sensors can be attached to and
2 incorporated into the operation of, or removed from, the
3 autonomous nodes 16 and 38 using UUV support. Techniques for
4 docking and establishing operational communication between
5 underwater equipments are disclosed in commonly assigned U.S.
6 Patent No. 5,349,916 to C.F. Hillenbrand, which is hereby
7 incorporated herein by reference. Another illustrative technique
8 for establishing operational communication between functional
9 underwater equipments is disclosed in commonly assigned U.S.
10 Patent No. 5,291,194 to G. Ames, which is hereby incorporated
11 herein by reference. Sensor software support at each node can be
12 remotely configured over the network connection so that new
13 sensors can be added to the undersea system when each becomes
14 available.

15 In one preferred embodiment, the automated data-collection
16 process at each node is also managed remotely so that essential
17 data is pulled from the sensors when it is needed. However, each
18 node preferably has a capability to enter an alarm state if
19 locally sensed conditions exceed established alarm levels. When
20 a node enters an alarm state, alerts are automatically dispatched
21 through the communication links 48, 50, and 52.

22 UUVs, such as UUV 54, may also have sensors and/or sonar
23 arrays mounted thereon. In this manner, sea-based UUVs may be
24 utilized to maintain continuous perimeter surveillance and
25 provide in-bound traffic alerts over an underwater communication

1 network (Undernet) for entities of interest entering economic-
2 zone borders. Sea-based UUVs may be stationed near a twelve-mile
3 limit for indefinite periods of time while relaying sensor data
4 over the Undernet and periodically re-powering at fueling
5 stations where environmentally derived power is available. For
6 instance, generators 10 may be mounted to provide a fueling
7 station such as underwater fueling station 56 which may comprise
8 battery storage that is charged up by one or more generators 10,
9 or other types of power.

10 UUVs may be utilized for detecting chemical, biological,
11 and radiological traces in a ship's wake and for making closer
12 inspections of the hull or for detecting/retrieving things left
13 by the ship. In a preferred embodiment, a suite of UUVs capable
14 of supporting surveillance and enforcement decisions may be
15 provided that can act in response to attribute observations.
16 UUVs may be developed with the capability to inspect ship hulls
17 for mines, detect divers in harbors, and also monitor
18 unauthorized UUV traffic in areas of concern. It will be
19 understood that UUVs and other submersibles are not only
20 available to governments for national defense and homeland
21 security purposes, but are also procurable on the world market by
22 others with sufficient financial resources, such as terrorists,
23 illegal drug purveyors, and the like. Thus, it is highly likely
24 that unauthorized UUVs will be or perhaps already have been

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1 exploited by terrorists or others seeking to avoid the normal
2 channels of entry into an economic zone.

3 While the principal driving force for sea-basing UUVs is the
4 safety and security of our navy and of commercial seaborne trade,
5 an operational Undernet may also establish an opportunity for new
6 ocean-based commercial activity that could be supported with
7 undersea communications. In many parts of the world,
8 aquaculture, ocean mining, and fish farming are fast-growing
9 economic segments. For example, a government-sponsored
10 initiative in Australia seeks to increase aquaculture production
11 to more than \$2.5 billion by 2010 and create 23,000 new jobs.
12 Extensive private commercial activity could significantly change
13 the economics of deploying an Undernet throughout the littoral
14 zones adjacent to the 95,000 miles of United States coastline.

15 Many of the observable attributes can be determined
16 automatically in near real-time by digital image processing
17 techniques, which leads to a preferred embodiment of automatic
18 surface-ship identification module or system 60 as indicated in
19 optical partition or block 62 of identification system 60 as
20 shown in FIG. 2. Identification system 60 may be located locally
21 with respect to an individual waterway as an integral component
22 of system 100 and/or may be connected to overall system 150 as
23 discussed hereinafter. Alternately, identification system 60 may
24 be remotely located from the waterway as desired. Identification
25 system 60 integrates intelligence feature vectors from multiple

1 sources and may have a plurality of different intelligence
2 processing blocks which are utilized simultaneously for
3 identification purposes. For example, identification system 60
4 may comprise optical block 62, acoustic block 64, electronic 66
5 block, and position database block 68, to automatically partition
6 the surface-ship database into a few probable matches or "hits"
7 by combining the results as indicated at 72 so that if necessary
8 an operator, as indicated at 74, can make a final identification
9 from the so determined possibilities 70. It will be understood
10 that identification system 60 may utilize additional processing
11 blocks for utilizing other intelligence. Combiner 72 may be
12 programmed for computing how to combine the possibilities as
13 discussed hereinafter. After confirmation is made, the operator
14 may choose to further update the attributes databases.

15 In one embodiment of the invention, each block of ship
16 identification system 60 shown in FIG. 2 may operate with a
17 substantially similar basic structure utilizing sensors to
18 identify elements for look up in local databases and/or system
19 wide 118 databases as may be developed by combining all databases
20 as indicated in 150 of FIG. 5. For instance, sensors 76 as
21 indicated in FIG. 2 may be comprised of various sonar arrays from
22 UUVs and/or underwater nodes discussed hereinbefore that transmit
23 high resolution sonar data to provide observed acoustic
24 information 78. Based on acoustic information 78, queries may be
25 developed as indicated at query builder 80 for local table of

1 data 82 and system wide acoustic attribute or signature database
2 84 which may preferably be comprised of or linked with databases
3 118 from system 150. By matching observed acoustic data with
4 the acoustic attribute database information, a list of candidates
5 for the identification process is obtained as indicated at 86.
6 The acoustic database may include features such as a sonar scan
7 of the hull, propeller noise, engine type noise, etc. The
8 candidate list is compared, reduced, and/or combined at 72 along
9 with candidate lists from blocks 62, 66, and 68 to compute the
10 final list of likely candidates 70. Combiner 72 may provide a
11 processor with algorithms that are used to add candidates when
12 uncertain and delete candidates when analysis data is fairly
13 certain to determine the best list of candidates. However,
14 secondary lists, and so forth may also be provided for use by the
15 operator as desired.

16 Sensor/processor 88 of optical block 62 may utilize digital
17 imaging sensors, such as, for example only, CCD sensors in
18 digital cameras, and algorithms for identifying attributes which
19 are cataloged in local and world wide databases 90. As before, a
20 query builder may be utilized to look up the attributes detected
21 to produce a list of candidates.

22 Sensor/processor 92 of emissions block 66 may comprise
23 passive radiation or active radiation detectors such as radar and
24 the like. The observed attributes 94 may be compared with

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1 emission attributes or signatures stored in databases 96 to
2 provide a list of potential identification candidates.

3 Other information such as ship position tables 98, own ship
4 transmissions 99, ship speed, identification transceivers, and
5 the like may also be utilized to produce a list of candidates for
6 identification purposes and/or narrow the list or provide
7 discrepancies. After review and confirmation by an operator as
8 indicated at 74, if necessary, the updated information may be
9 stored in local and system wide databases such as acoustic
10 signature databases, radiation signature databases, visual
11 databases, and ship position databases. Discrepancies between
12 identifications may raise warnings that indicate further
13 investigation is required.

14 The automated ship recognition system 60 of FIG. 2 uses
15 observed features to partition the database into a few likely
16 candidates. New features are preferably continuously added to
17 the database as intelligence systems collect more information.
18 Accordingly, system 100 of FIG. 4 with automatic under-hull
19 scanning capability, as it is implemented in numerous waterways,
20 would therefore expand a preferred embodiment surface-ship
21 database features to include an enhanced set of underwater
22 features.

23 Systems 60 and system 100 will integrate the common
24 undersea picture derived from an undersea network of sensors and
25 sonar systems with other databases to provide an automatic ship-

1 identification process and thereby create an information system
2 which will support enhanced control and improved security for all
3 affected seaway traffic.

4 The surface-ship master database(s) are preferably shared
5 across the global information infrastructure so that attributes
6 collected at one location may be used at other locations as a
7 particular ship passes from one port to another as indicated in
8 FIG. 5 with system 150 utilizing worldwide database 118. The
9 search for critical information reduces to detecting attribute
10 changes of an unusual nature. Thus, the more attributes that are
11 known about a particular ship and stored in database 118, the
12 more likely it is that a significant deviation will be
13 recognized. Therefore, in accord with one embodiment of the
14 present invention, hundreds or thousands of sensor networks 100
15 may be deployed in harbors and littoral regions. Networks 100,
16 which will support a variety of sensors, will be capable of
17 automatically scanning the under hull of ships entering and
18 leaving a harbor. As shown in data processing system 150 of
19 FIG. 5, data from hundreds or thousands of networks 100 may be
20 processed at one or more processors 102 operable for searching
21 and adding attributes to database 118, preferably largely under
22 software control, to there define a future generation of undersea
23 networks that will support undersea data collection, data
24 movement, and data fusion in ports, harbors, and littoral regions
25 throughout the world. Thus, the present invention can provide a

1 foundation that will bring an added level of security to world-
2 wide sea-borne trade and can help to insure the world economy,
3 such as by helping to secure the important oil-route waterways
4 linking the Middle-East oil fields to the world's major economic
5 centers.

6 Additional information regarding ship movement may be
7 provided as indicate in FIG.5. For instance, regulations may
8 require regular ship location transmissions such as indicated at
9 104 which can be utilized to provide location information for
10 each ship in the database. Manifests 106 and routes 108 may also
11 be available. Ocean sensors 110 (e.g., autonomous sonar sensors
12 with antenna transmitters), observation of normal or suspicious
13 activity by naval ships or submarines 112, and satellite
14 information 114 may also be utilized as cross-checks and/or to
15 provide additional location and/or warning information.

16 The cost for the system may be carried at least partially by
17 commercial interests that normally have a need to know where
18 shipments of interest on any particular ship are presently
19 located, where competitor ships may be located, estimated time of
20 arrivals, and so forth, as indicated at 116 which may be a
21 subscription website. Commercial interests could pay to obtain
22 what might be quite valuable shipping/logistics information
23 regarding ships available for cargo, cargo capacities, fuel
24 levels, cargo status, destinations of cargos, present heading and
25 routes, time of arrivals, types of cargos which may be carried,

1 and the like which could be utilized to significantly reduce
2 costs while increasing efficiency and speed of cargo delivery.

3 In summary of the present invention, a foundation is
4 provided for deploying sensor networks in harbors and littoral
5 regions. Autonomous undersea nodes, such as nodes 24-32, which
6 are capable of deriving power from local environmental sources,
7 such as generator 10, can become the primary building blocks for
8 an Undernet, or undersea Internet. The autonomous undersea nodes
9 are able to communicate between themselves with underwater
10 transceivers. Acoustic communications systems may be comprised
11 of underwater modems.

12 In each waterway system, such as system 100 of FIG. 4, sea-
13 based UUVs, such as UUV 54, could maintain continuous perimeter
14 surveillance and provide in-bound traffic alerts over the
15 Undernet for entities of interest entering economic-zone borders.

16 The presence of an Undernet, or a plurality of systems 100
17 interconnected to each other through surface network connections,
18 could provide a means of communications throughout littoral areas
19 and may also result in expanded commercial economic activity such
20 as aquaculture, mining, and fish farming. If this were to become
21 a reality, then much of the cost of deploying an Undernet might
22 be supported by commercial interests, thereby following a model
23 similar to the evolution of the Internet.

24 For example, aquaculture is one of Australia's fastest
25 growing primary industries. The Australian Federal Government

1 recently announced an Aquaculture Industry Action Agenda with a
2 goal of tripling production to \$2.5 billion USD by 2010 and
3 creating 29,000 new jobs.

4 The present invention comprises a comprehensive approach to
5 providing safe passage on the high seas and safe harbors
6 throughout the world that is capable of supporting continuous UUV
7 operations in harbors and littoral regions. The use of an
8 interconnecting system as indicated at 150 in FIG. 5 would
9 provide the ability to closely monitoring water traffic above and
10 below the surface. Processors such as processor 60 may be
11 utilized to automatically identify water traffic and sound alerts
12 due to suspicious actions or vessels by identifying context-
13 sensitive changes in one or more physical object attributes. As
14 an example only, suspicious actions or vessels may include items
15 attached to hulls, altered hull characteristics, unexpected hull
16 draft, unidentifiable vessels, unauthorized UUVs, submarines,
17 launched items, buoys, submerged items, divers, and the like
18 which are out of the ordinary and/or contrast with expected data.

19 While the principal driving force for sea-basing UUVs is the
20 safety and security of our navy and of commercial sea-borne
21 trade, an operational Undernet may also establish an opportunity
22 for new ocean-based commercial activity that could be supported
23 with undersea communications.

24 It will be understood that many additional changes in the
25 details, materials, steps and arrangement of parts, which have

1 been herein described and illustrated in order to explain the
2 nature of the invention, may be made by those skilled in the art
3 within the principle and scope of the invention as expressed in
4 the appended claims.

1 Attorney Docket No. 84429

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WATERWAY SHIELDING SYSTEM AND METHOD

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ABSTRACT OF THE DISCLOSURE

6 A waterway shield system comprises a plurality of autonomous
7 underwater nodes wherein each underwater node comprises acoustic
8 detectors which may comprise horizontal and/or vertical acoustic
9 arrays which may be directly mounted thereto or extend outwardly
10 therefrom. Each underwater node comprises an acoustic modem for
11 transmitting high resolution acoustic data to a gateway node that
12 provides a connection to a surface system and a network of other
13 underwater nodes in other waterways. The data from the
14 underwater nodes may be utilized to produce acoustic attribute
15 data for hulls of ships in the waterways. An acoustic database
16 is provided that compiles the predetermined acoustic attribute
17 data for a variety of ships and other entities thereby providing
18 previously stored identifying means. The acoustic database is
19 utilized in conjunction with one or more databases of other
20 physical attributes of ships or other objects to thereby provide
21 an automated identification process.

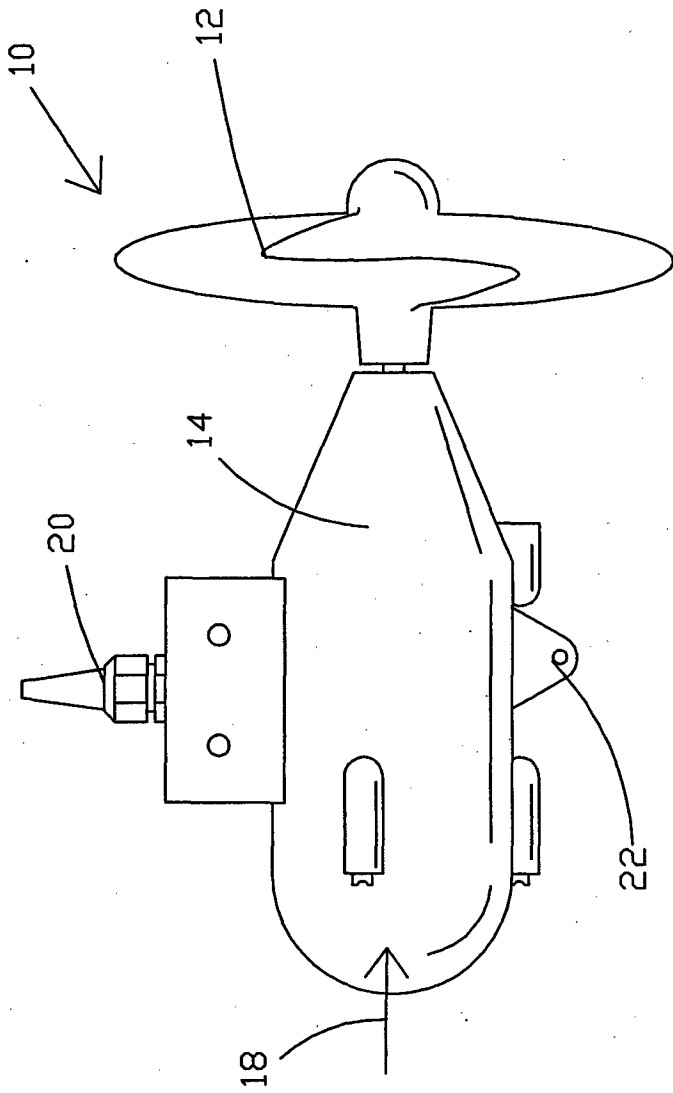


FIG. 1

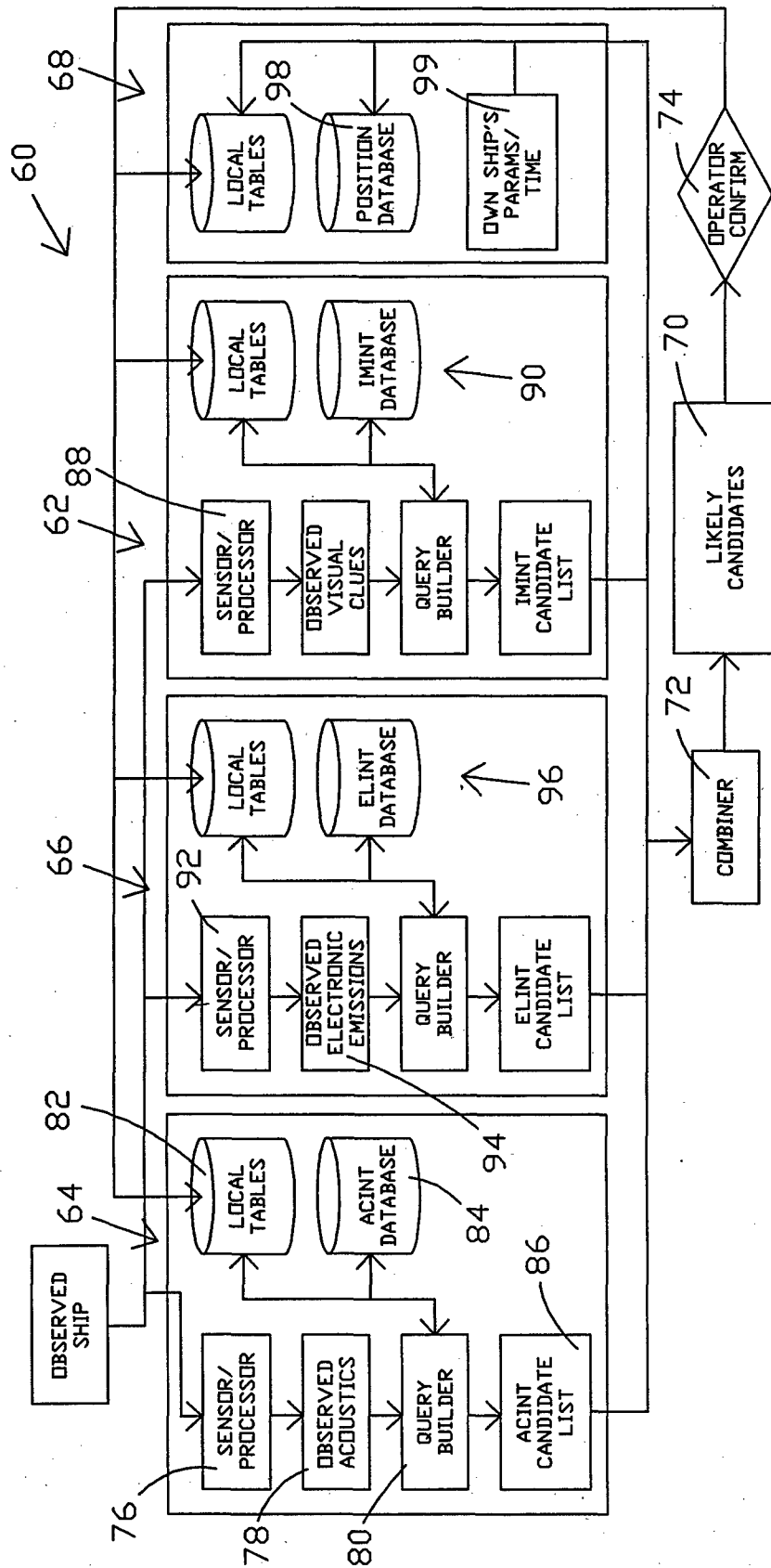


FIG. 2

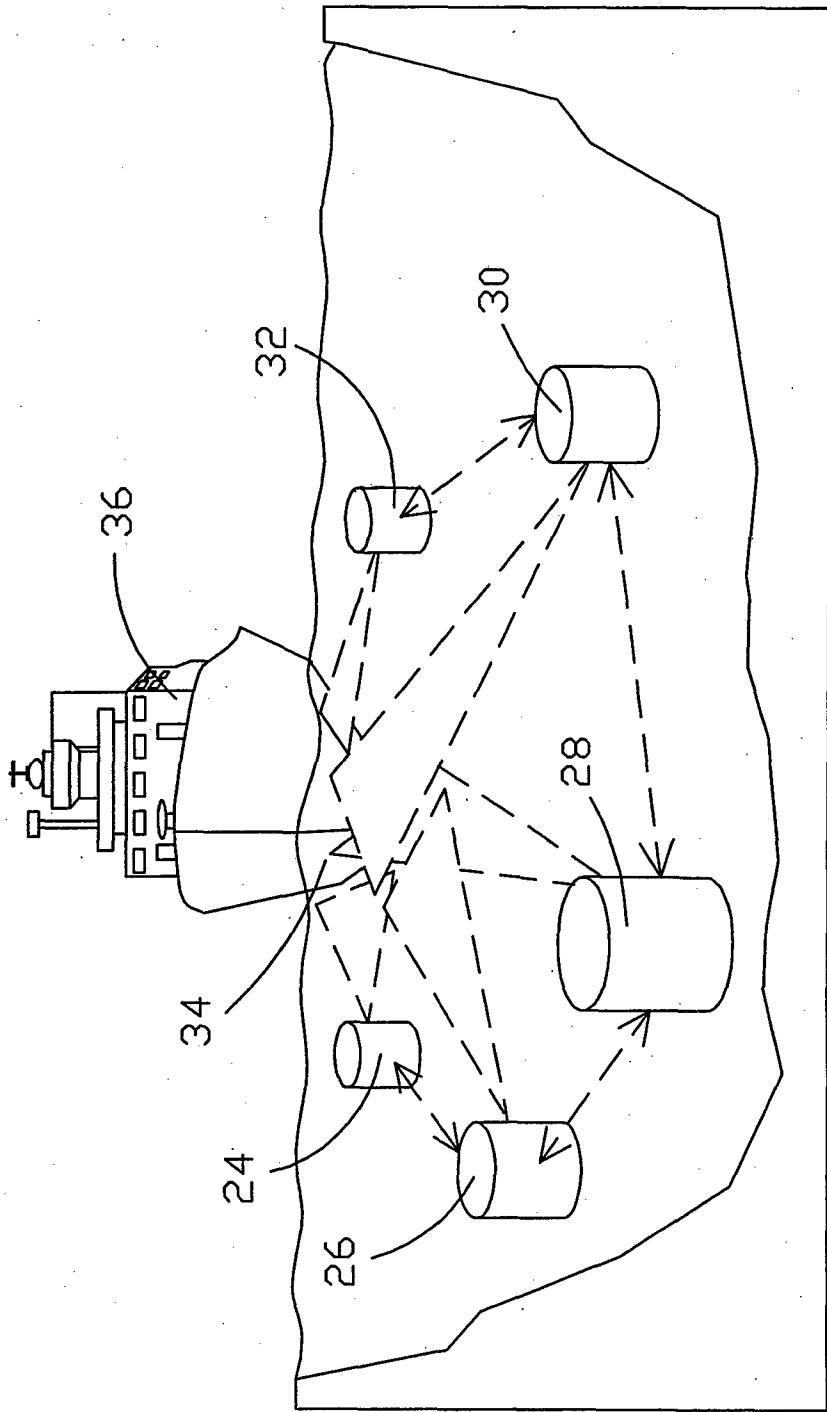


FIG. 3

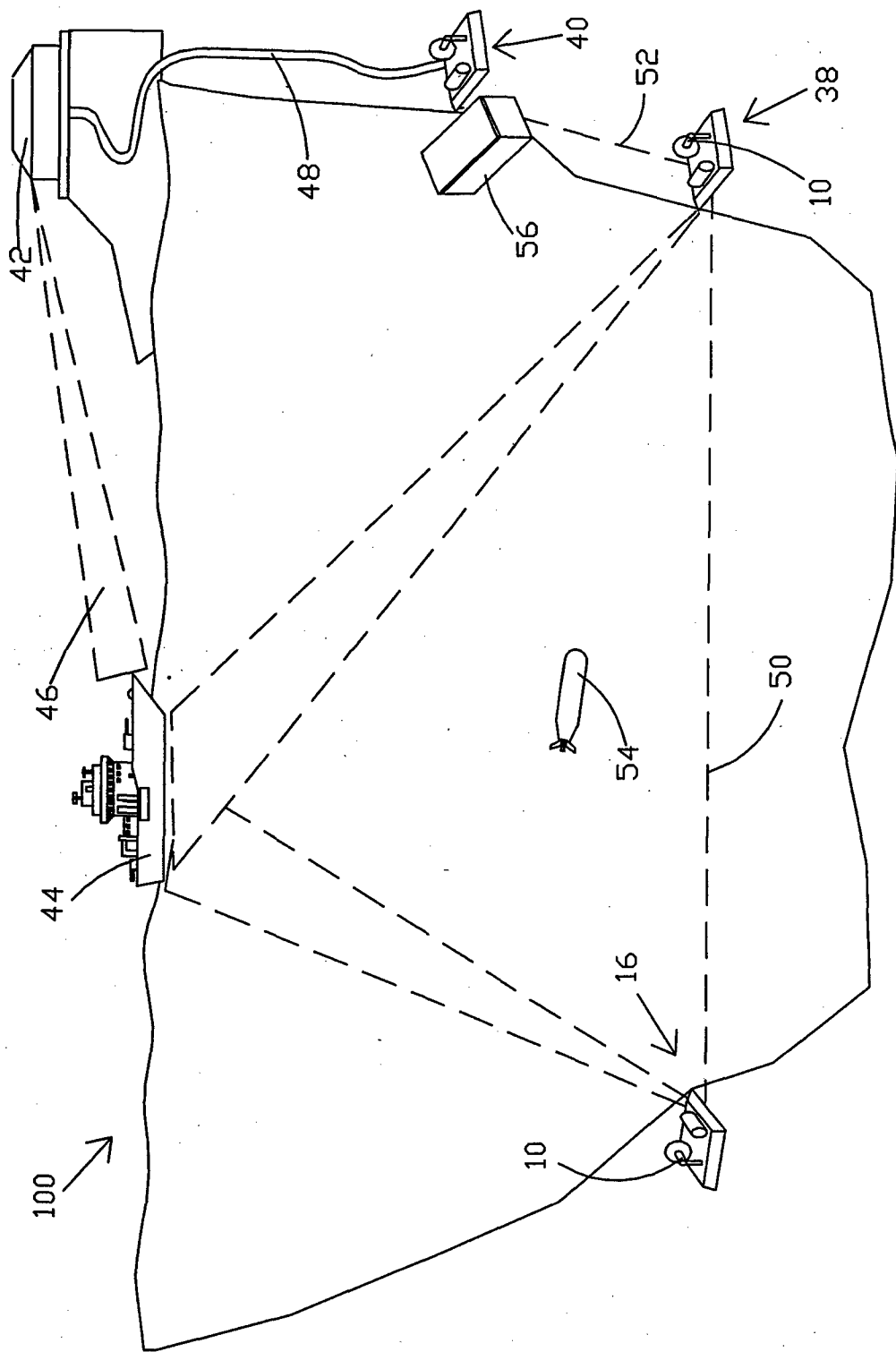


FIG. 4

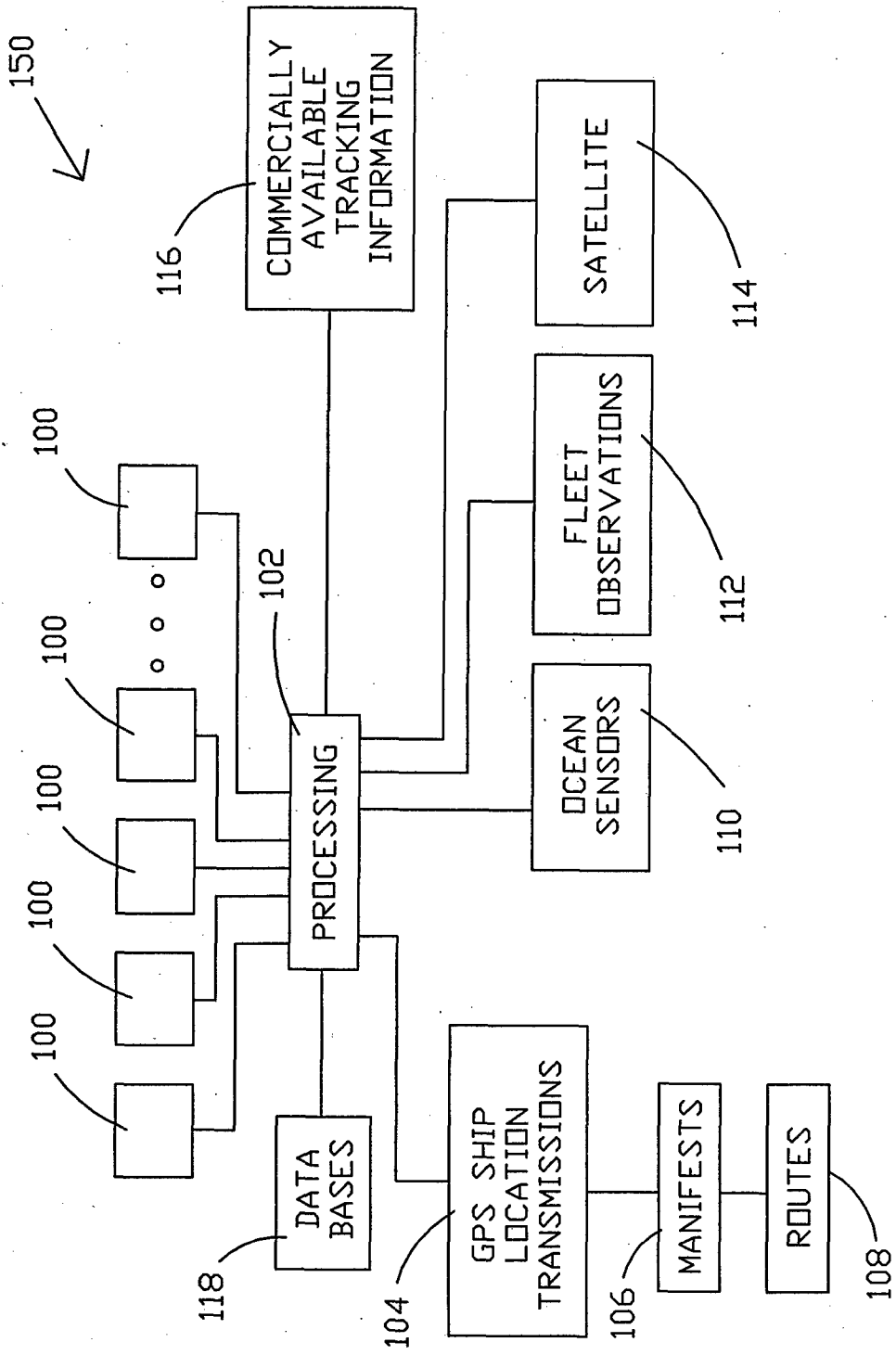


FIG. 5