



**DEPARTMENT OF THE NAVY**

OFFICE OF COUNSEL  
NAVAL UNDERSEA WARFARE CENTER DIVISION  
1176 HOWELL STREET  
NEWPORT RI 02841-1708

IN REPLY REFER TO:

Attorney Docket No. 82599  
Date: 20 August 2003

The below identified patent application is available for licensing. Requests for information should be addressed to:

PATENT COUNSEL  
NAVAL UNDERSEA WARFARE CENTER  
1176 HOWELL ST.  
CODE 000C, BLDG. 112T  
NEWPORT, RI 02841

Serial Number      10/404,654  
Filing Date        4/14/03  
Inventor            G. Clifford Carter et al

If you have any questions please contact James M. Kasischke, Acting Deputy Counsel, at 401-832-4736.

20030915 087

ADAPTIVE SONAR SIGNAL PROCESSING METHOD AND SYSTEM

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT (1) G. CLIFFORD CARTER, and (2) BERHANE ADAL, employees of the United States Government, citizens of the United States of America and residents of (1) Waterford, County of New London, State of Connecticut and (2) Middletown, County of Newport, State of Rhode Island, have invented certain new and useful improvements entitled as set forth above of which the following is a specification:

JAMES M. KASISCHKE, ESQ.  
Reg. No. 36562  
Naval Undersea Warfare Center  
Division Newport  
Newport, Rhode Island 02841-1708  
TEL: 401-832-4736  
FAX: 401-832-1231

**DISTRIBUTION STATEMENT A**  
Approved for Public Release  
Distribution Unlimited



23523

PATENT TRADEMARK OFFICE

1 Attorney Docket No. 82599

2

3 ADAPTIVE SONAR SIGNAL PROCESSING METHOD AND SYSTEM

4

5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used  
7 by or for the Government of the United States of America for  
8 governmental purposes without the payment of any royalties  
9 thereon or therefor.

10

11 BACKGROUND OF THE INVENTION

12 (1) Field Of The Invention

13 The present invention generally relates to an adaptive  
14 passive sonar signal processing method and system.

15 (2) Description of the Prior Art

16 Sonar signal processing systems are known in the art.  
17 Edelblute et al. U.S. Patent No. 4,754,282 discloses a data  
18 analysis system which uses an Eckart filter that has weights that  
19 are updated in accordance with a beam formed output. However,  
20 this system does not consider the environmental factors existing  
21 in an ocean environment in which a target is located. Zurek et  
22 al. 4,956,867 discloses an adaptive noise canceling apparatus in  
23 which adaptive filtering is inhibited in certain circumstances.  
24 Dragoset, Jr. U.S. Patent No. 5,448,531 discloses a method for  
25 adaptively creating a filter capable of removing coherent

1 environment noise from a seismic recording. O'Brien, Jr. et al.  
2 U.S. Patent No. 5,537,368 discloses an adaptive statistical  
3 filter system updated using data representative of sensed target  
4 motion and noise. Wynn U.S. Patent No. 6,313,738 discloses an  
5 adaptive noise cancellation system that adaptively updates the  
6 weights of the system's adaptive filters based upon an input  
7 signal.

8 Other prior art systems utilize Eckart filters whose  
9 coefficients are obtained using pre-defined spectral levels of  
10 the noise and target. However, sonar systems utilizing such  
11 Eckart filters typically detect signals and generate bearing,  
12 range, speed, aspect and depth information that has less than  
13 desirable performance, e.g., reliability and accuracy.

14 None of these aforementioned patents disclose the technique  
15 of using a filter having adaptively calculated coefficients that  
16 are based upon the power spectrum of the target and the total  
17 noise in the ocean environment in which the target is located.

18

19

#### SUMMARY OF THE INVENTION

20 It is therefore an object of the present invention to  
21 provide a sonar signal processing method and system that utilizes  
22 a filter that filters beamformed data based on received sonar  
23 signals emanating from a target or source in an ocean  
24 environment.

1           It is another object of the present invention to provide a  
2 sonar system that adaptively calculates filter coefficients that  
3 depend upon the gain of a sonar sensor array that receives the  
4 sonar signals.

5           Yet another object of the present invention is to provide a  
6 sonar system that system that adaptively calculates filter  
7 coefficients that depend upon the total noise in the ocean  
8 environment in which the target is located.

9           Thus, the present invention is directed to a method and  
10 system for processing received sonar signals. The method and  
11 system generate bearing data signals based on the received sonar  
12 signal. The method and system continuously determine the signal  
13 strength of the received sonar signal and also continuously  
14 determine the total noise from the received sonar signal in the  
15 ocean environment in which the target is located. The method and  
16 system provide a sensor gain in response to the determined total  
17 noise and the signal strength, and adaptively calculate filter  
18 coefficients from the sensor gain and the determined total noise.  
19 The method and system also filter the generated bearing data  
20 signals using a filter having the calculated filter coefficients.  
21 In one embodiment, an Eckart filter is used to filter the  
22 generated bearing data signals.

23           Additional objects, features, aspects and advantages of the  
24 present invention are apparent from the drawings and  
25 specification which follow.

1 BRIEF DESCRIPTION OF THE DRAWINGS

2 The features of the invention are believed to be novel and  
3 the elements characteristic of the invention are set forth with  
4 particularity in the appended claims. The figures are for  
5 illustration purposes only and are not drawn to scale. The  
6 invention itself, however, both as to organization and method of  
7 operation, may best be understood by reference to the detailed  
8 description which follows taken in conjunction with the  
9 accompanying drawings in which:

10 FIG. 1 is a block diagram of the adaptive sonar signal  
11 processing system in accordance with one embodiment of the  
12 present invention; and

13 FIG. 2 is a block diagram of the adaptive sonar signal  
14 processing system in accordance with another embodiment of the  
15 present invention.

16  
17 DESCRIPTION OF THE PREFERRED EMBODIMENT

18 In describing the preferred embodiments of the present  
19 invention, reference will be made herein to FIGS. 1-2 of the  
20 drawings in which like numerals refer to like features of the  
21 invention.

22 Referring to FIG. 1, there is shown a block diagram of the  
23 adaptive sonar signal processing system of the present invention.  
24 The system shown in FIG. 1 is configured as an open-loop sonar  
25 signal processing system. Sensor 10 receives acoustic signals

1 emanating from an object or target in an ocean environment. The  
2 received acoustic signals have a signal strength that depends  
3 upon several factors that are discussed in the ensuing  
4 description. In a preferred embodiment, sensor 10 has a variable  
5 gain. In one embodiment, sensor 10 comprises an array of  
6 acoustic transducers. Sensor 10 outputs sensed acoustic signals  
7 12 for input into beamforming processor 14. Beamforming  
8 processor 14 receives and processes sensed acoustic signals 12  
9 received from sensor 10 and generates selected bearing signal 15  
10 which defines the target bearing. Sensor 10 and beamforming  
11 processor 14 are well known in the art and, therefore, are not  
12 discussed in detail.

13 Selected bearing signal 15 is inputted into filter 16. In  
14 accordance with the present invention, filter 16 has variable  
15 coefficients that are adaptively calculated in response to the  
16 gain of sensor 10 and the total noise in the ocean environment in  
17 which the target is located. Filter 16 outputs filtered target  
18 bearing signal 17. In one embodiment, filter 16 is configured as  
19 an Eckart filter. For purposes of example, the ensuing  
20 description is in terms of filter 16 being configured as an  
21 Eckart filter. However, it is to be understood that an Eckart  
22 filter is just one example and that other types of filters can be  
23 used as well. The manner in which the filter coefficients of  
24 Eckart filter 16 are calculated is described in detail in the  
25 ensuing description.

1           Filtered target bearing signal 17 is inputted into sonar  
2 signal processor 18 which processes signal 17 and outputs data  
3 signal 19 which defines the bearing, range, depth, speed and  
4 aspect of the object. Sonar signal processor 18 is configured to  
5 implement various signal processing functions and algorithms such  
6 as analog-to-digital conversion, Fourier transforms and analysis,  
7 averaging, etc. which are known in the art. Data signal 19 is  
8 inputted into display device 20 which displays the bearing,  
9 range, depth, speed and aspect of the object in a predetermined  
10 format. Data signal 19 can also be provided to other peripheral  
11 devices (not shown) such as data storage systems, combat fire  
12 control systems, weapon control systems, etc.

13           Filter coefficient generation module 24 adaptively  
14 calculates coefficients for Eckart filter 16 in response to the  
15 gain of sensor 10 and the total noise in the ocean environment in  
16 which the target is located.

17           Data input interface 25 allows a user or sonar operator  
18 to input data signals that are processed by self noise processor  
19 26 and environment model processor 28. The sonar operator inputs  
20 data into data input interface 25 which defines the speed of the  
21 ship or vessel which is towing sensor 10. This speed is referred  
22 to as "ownship" speed. Data input interface 25 outputs the  
23 ownship speed data as data signal 29. Data signal 29 is input  
24 into self-noise processor 26. Self-noise processor 26 comprises  
25 a library which contains noise data corresponding to particular



1 ships and various speeds of ships. In response to signal 29,  
2 self-noise processor 26 outputs data signal 30 which represents  
3 the self-noise power spectrum associated with the ship towing  
4 sensor 10. Signal 30 is inputted into noise power summing module  
5 31.

6 The sonar operator also inputs data into data input  
7 interface 25 that defines sea state/wind speed, time (i.e. night,  
8 day, season, etc.), location (i.e., geographical location), sound  
9 velocity profile, sensor depth, and shipping density. This data  
10 is outputted from data input interface 25 as a plurality of  
11 signals referred to by numeral 32. Signals 32 are provided to  
12 environment model processor 28. The sonar operator also uses  
13 data input interface 25 to input hypothesized target bearing,  
14 range, depth, speed, aspect, and type data corresponding to the  
15 target. Data input interface 25 outputs the hypothesized target  
16 bearing, range, and depth data as target positioning signal 34  
17 and the hypothesized target speed and aspect data signal 35.  
18 Signal 34 is provided to environment model processor 28.  
19 Processor 28 processes signals 32 and 34 and outputs ambient  
20 noise data signal 36 and a channel propagation loss data signal  
21 38. Ambient noise data signal 36 is inputted into noise power  
22 summing module 31 and is summed with the self-noise power defined  
23 by data signal 30 to produce a total noise power spectrum signal  
24 40. Signal 40 is inputted into filter coefficient generation  
25 module 24.

1 Target speed and aspect signal 35 is inputted into target  
2 data processor 42. Target data processor 42 comprises a library  
3 or data base that has information stored therein which is used to  
4 process signal 35. Such information includes target signature  
5 recognition data that is associated with a plurality of possible  
6 target types, speeds and aspects. Target data processor 42  
7 outputs a target power spectrum signal 43 that represents the  
8 power spectrum of the target. The channel loss propagation  
9 signal 38 and power spectrum signal 43 are provided to  
10 attenuation processor 44. Attenuation processor 44 processes  
11 these signals 38 and 43 and generates attenuation signal 48 which  
12 represents the amount of attenuation sustained by the acoustic  
13 signals as they travel from sensor 12 to beamforming processor  
14 14. Attenuation signal 48 is inputted into sensor gain  
15 adjustment module 46. Sensor gain adjustment module 46 processes  
16 attenuation signal 48 and generates a sensor gain signal 50. The  
17 gain of the sensor compensates for channel propagation loss.  
18 Sensor gain signal 50 is inputted into filter coefficient  
19 generation module 24. Although not shown in FIG. 1, it is to be  
20 understood that sensor gain signal 50 is also routed to sensor 10  
21 in order to adjust the sensor gain appropriately.

22 Filter coefficient generation module 24 adaptively  
23 calculates coefficients for the Eckart filter based on the total  
24 noise defined by total noise power spectrum signal 40 and sensor  
25 gain signal 50 and outputs updated filter coefficient signal 52.

1 Since the data defined by total noise power spectrum signal 40  
2 and sensor gain signal 50 is updated over time as a result of new  
3 or updated data being inputted into environment model processor  
4 28 and target data processor 42 via data input interface 25, the  
5 coefficients for the Eckart filter are continually and  
6 automatically adjusted thereby resulting in significantly more  
7 accurate filter coefficients. As a result, sonar signal  
8 processor 18 outputs relatively more reliable and accurate data  
9 pertaining to target bearing, depth, range, speed, and aspect.  
10 Thus, the open-loop feature of the system shown in FIG. 1  
11 exhibits operating characteristics that provide for relatively  
12 improved detection functions in comparison to prior art systems.  
13 Such improved reliability and accuracy provides for optimum  
14 detection of a particular target at a particular range and depth.  
15 Furthermore, the overall processing time for producing the target  
16 bearing, depth, range, speed and aspect data is decreased.  
17 Additionally, the relatively high efficiency and accuracy of the  
18 processing function of the adaptive sonar signal processing  
19 system of the present invention allows relatively smaller sensor  
20 arrays to be utilized thereby reducing costs.

21 Referring to FIG. 2, there is shown another embodiment of  
22 the adaptive sonar signal processing system of the present  
23 invention. The embodiment shown in FIG. 2 is a closed-loop  
24 adaptive sonar signal processing system. In this embodiment, the  
25 sonar operator does not input hypothesized target bearing, range

1 and depth into data input interface 25. Thus, target positioning  
2 signal 34 is not utilized and therefore is not shown in FIG. 2.  
3 Furthermore, the sonar operator does not input hypothesized  
4 target speed and aspect data. As a result, target aspect signal  
5 35 only contains target type information. Sonar signal processor  
6 18 outputs two additional signals 100 and 102. A calculated  
7 target position signal 100 defines the target bearing, range and  
8 depth data and is fed back to environment model processor 28. A  
9 calculated target aspect signal 102 represents target speed and  
10 aspect data and is fed back to target data processor 42. Thus,  
11 environment model processor 28 processes bearing, range and depth  
12 data based on received acoustic signals instead of hypothesized  
13 data. Similarly, target data processor 42 processes target speed  
14 and aspect data based on received acoustic signals instead of  
15 hypothesized data. Since the data defined by signals 40 and 50  
16 is constantly being updated as a result of the feedback feature  
17 of the system shown in FIG. 2, the coefficients of Eckart filter  
18 16 are constantly and automatically updated. Thus, the closed-  
19 loop system of FIG. 2 exhibits operating characteristics that  
20 provide for relatively improved tracking functions in comparison  
21 to prior art systems.

22 The signal processors of the adaptive sonar signal  
23 processing system of the present invention can be implemented  
24 with commercially available signal processing hardware and  
25 software. Sensor gain adjustment device 46 as well as sonar

1 display device 20 are known in the art and can be realized by  
2 suitable commercially available devices.

3 The method and system of the present invention can be  
4 applied to other types of acoustic signals (i.e. other than  
5 underwater acoustic signals) and electromagnetic signals used in  
6 communication systems.

7 The principals, preferred embodiments and modes of operation  
8 of the present invention have been described in the foregoing  
9 specification. The invention which is intended to be protected  
10 herein should not, however, be construed as limited to the  
11 particular forms disclosed, as these are to be regarded as  
12 illustrative rather than restrictive. Variations in changes may  
13 be made by those skilled in the art without departing from the  
14 spirit of the invention. Accordingly, the foregoing detailed  
15 description should be considered exemplary in nature and not  
16 limited to the scope and spirit of the invention as set forth in  
17 the attached claims.

1 Attorney Docket No. 82599

2

3 ADAPTIVE SONAR SIGNAL PROCESSING METHOD AND SYSTEM

4

5 ABSTRACT OF THE DISCLOSURE

6 A method and system for processing received sonar signals.

7 The method and system generate bearing data signals based on the  
8 received sonar signal. The method and system continuously  
9 determine the signal strength of the received sonar signal and  
10 also continuously determine the total noise from the received  
11 sonar signal in the ocean environment in which the target is  
12 located. The method and system provide a sensor gain in response  
13 to the determined total noise and the signal strength, and  
14 adaptively calculate filter coefficients from the sensor gain and  
15 the determined total noise. The method and system also filter  
16 the generated bearing data signals using a filter having the  
17 calculated filter coefficients.

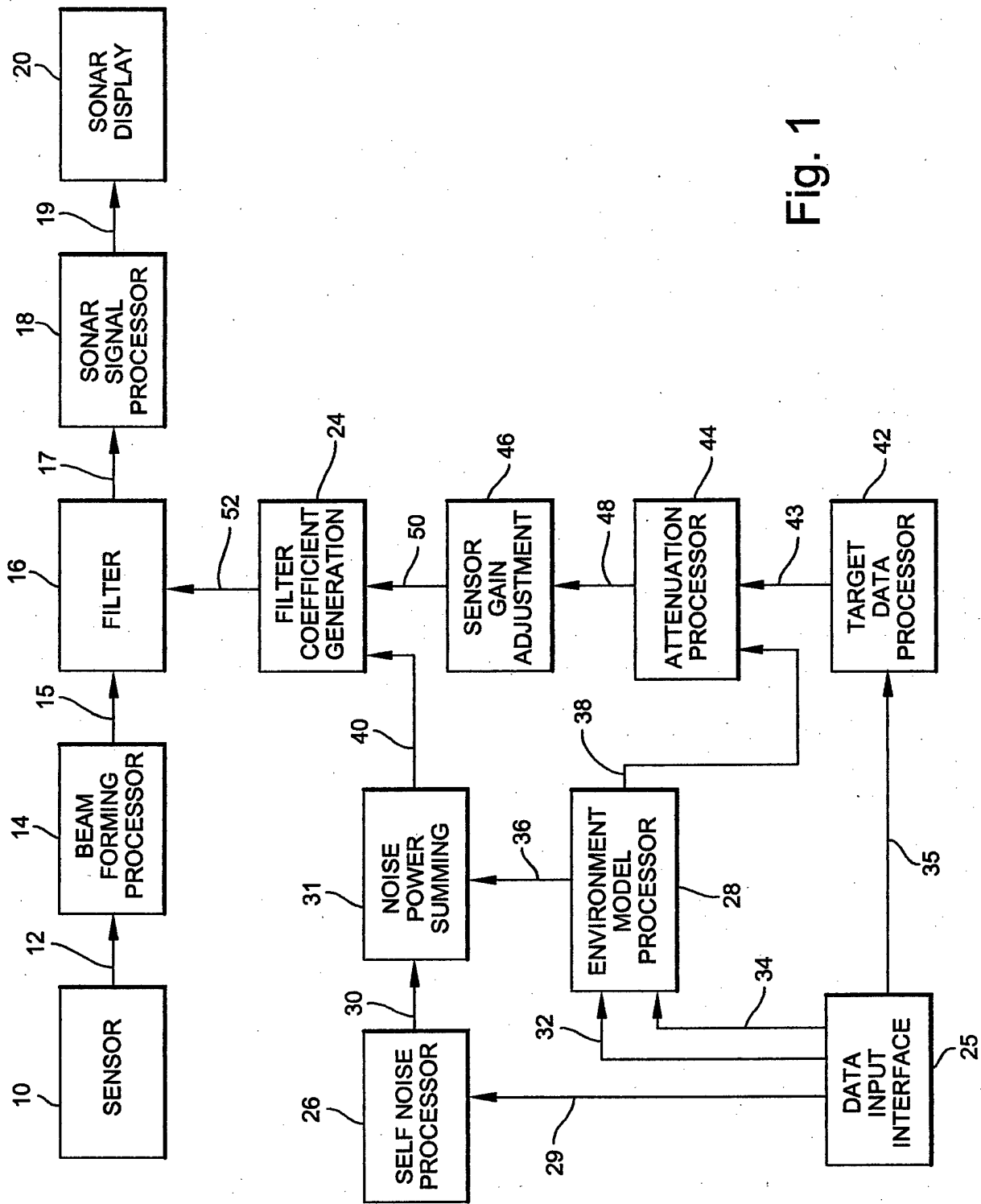


Fig. 1

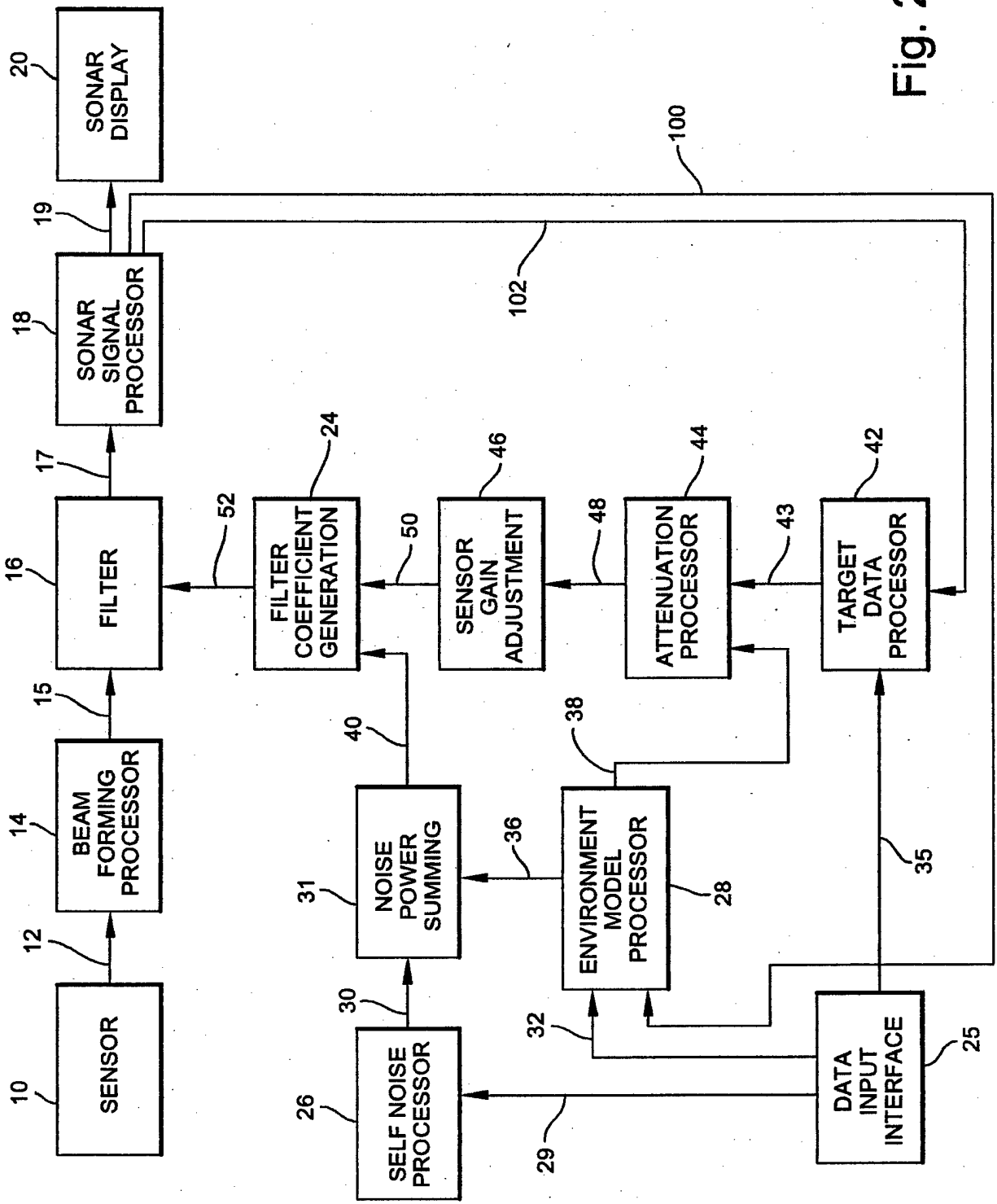


Fig. 2