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BOTTOM ACTIVATED RETRACTABLE CONTROL
SURFACE FOR AN UNMANNED UNDERSEA VEHICLE

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT (1) DANIEL W. FRENCH, and (2) JOHN J. VAILLANCOURT, citizens of the United States of America, employees of the United States Government, and (3) THOMAS W. HEDLY, JR., citizen of the United States of America, residents (1) Portsmouth, County of Newport, State of Rhode Island (2) Tiverton, County of Newport, State of Rhode Island, and (3) Portsmouth, County of Newport, State of Rhode Island have invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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PATENT TRADEMARK OFFICE

1 Attorney Docket No. 79355

2
3 BOTTOM ACTIVATED RETRACTABLE CONTROL
4 SURFACE FOR AN UNMANNED UNDERSEA VEHICLE

5
6 STATEMENT OF GOVERNMENT INTEREST

7 The invention described herein may be manufactured and
8 used by or for the Government of the United States of America
9 for governmental purposes without the payment of any royalties
10 thereon or therefor.

11
12 CROSS REFERENCE TO OTHER PATENT APPLICATIONS

13 Not applicable.

14
15 BACKGROUND OF THE INVENTION

16 (1) Field of the Invention

17 This invention generally relates to a bottom activated
18 retractable control surface for unmanned undersea vehicles.
19 More particularly, the invention relates to a bottom activated
20 retractable control surface for unmanned undersea vehicles
21 which is automatically retractable without user intervention.

22 (2) Description of the Prior Art

23 The current art for control fins on an unmanned undersea
24 vehicle is not in question. However, the manner of retracting
25 and deploying these control fins has not been addressed in the
26 manner of the present invention. At the present time, if an
27 unmanned undersea vehicle needs to rest on the bottom of the

1 ocean, a standard control fin mounted on the underside of the
2 vehicle can be seriously damaged due to this maneuver and its
3 resulting contact on the ocean floor.

4 Thus, a problem exists in the art whereby damage occurs
5 to the fins of the underwater vehicle during bottom maneuvers.
6 The invention allows the lower quadrant fin(s) to retract as
7 the unmanned underwater vehicle settles on the ocean bottom.
8 Also when the vehicle is on the surface, the invention gives
9 the capability of controlling surface maneuvering.

10 The following patents, for example, disclose various
11 types of fins or rudders for underwater vehicles, but do not
12 disclose automatically retractable fins as occurs in the
13 present invention.

14 U.S. Patent No. 1,246,475 to Schneider;

15 U.S. Patent No. 3,093,105 to Rebikoff;

16 U.S. Patent No. 3,752,105 to Hackett;

17 U.S. Patent No. 3,805,540 to Crabille;

18 U.S. Patent No. 3,902,441 to Scholle; and

19 U.S. Patent No. 5,235,926 to Jones.

20 Specifically, the patent to Schneider discloses a
21 submerging rudder for submarines. In particular, the device
22 therein is for the purpose of causing submerging rudders of
23 submarines to disappear or to be retracted during the periods
24 in which they are not required to operate, and thus
25 diminishing as far as possible the resistance offered by them
26 to the progress of the vessel or partly into the interior of
27 the hull. According to this invention the rudders which are

1 capable of being retracted into a recess in the interior of
2 the hull of the vessel, are rendered capable of pivoting, that
3 is to say, of operating by rotation, after their protrusion
4 from the said recess. For this purpose the rudder blade is
5 mounted on a rudder shank which can be kept permanently
6 retracted, and on which the rudder blade is adapted to slide
7 for the purposes of being protruded and retracted. The
8 rotation of the rudder shank carries around with it the
9 sliding rudder blade and thus allows of rotating the latter
10 for steering purposes as desired as soon as it has been moved
11 out into its position of complete protrusion. Although the
12 rudder retracts into the submarine, it does not automatically
13 operate during an impact of the rudder against an obstacle.
14 Instead, the rudder is manually retracted.

15 Crabille relates generally to boat rudders, and more
16 specifically to an automatic flip-up rudder for use on air-
17 boats and other boats wherein the rudder is the sole object
18 which protrudes beneath the hull. The rudder is adapted to
19 yieldably pivot in a vertical plane out of engagement with any
20 obstruction which may be encountered. The rudder is mounted
21 such that it will be automatically returned to an effective
22 operating position after passing over the obstruction.
23 Further, it should be understood that Crabille is a spring-
24 loaded rudder that can "break away" when striking an object
25 and retract automatically when the object has been cleared.
26 The retraction is exterior to the vehicle due to placement of
27 the rudder at the outset, and any retraction/restoration does

1 not affect the external volume of the vehicle. Instead, the
2 rudder remains within the volume of water and does not alter
3 the flowline of the vehicle.

4 The patent to Rebikoff relates to an arrangement
5 applicable to submarine vessels in which there is provided a
6 submarine vessel having a pair of fins (or hydroplane) for
7 controlling the descent and ascent and stability of the
8 vessel, wherein each fin is articulated about an axis sub-
9 stantially perpendicular to the plane of the fin, whereby the
10 fin can fold back partially or wholly against the vessel on
11 striking an obstacle against the action of resilient means
12 which normally hold the fin in its proper position. The fins
13 fold back when they strike an obstacle and once the obstacle
14 has been cleared, regain their original position under the
15 action of a restoring spring or equivalent means. When the
16 fins are retracted totally or even partially, their action is
17 evidently different from that in their normal position. The
18 fins are always parallel to the direction of movement. The
19 shock causing retraction is therefore always perpendicular to
20 the shaft at which the fin is articulated and there is there-
21 fore no risk of deformation of this shaft. This patent,
22 however, does not allow the fins to retract fully into the
23 body of the vehicle. If bottom operation were desirable, the
24 fins taught by Rebikoff cannot completely recess into the
25 vehicle and damage would likely occur. Also, the fin could
26 still interfere with an obstacle since the surfaces are still
27 "exposed" when retracted.

1 The patent to Hackett discloses a rudder construction for
2 small boats, particularly sail boats, in which the rudder is
3 mounted on its rotatable support arm for pivotal movement on a
4 horizontal axis to prevent damage to the rudder in the event
5 that it strikes an obstruction in the water. A detent means
6 yieldably retains the rudder in its normal vertical position
7 or a horizontal or intermediate position. The tiller may be
8 manipulated to move the rudder to any of a plurality of
9 positions. More specifically, the design relates to a surface
10 craft rudder that will move up into a set number of preset
11 notches in the design. The device does not automatically
12 return into its operating position after object impact and
13 instead must be manually returned to an operating position.
14 Further, the notched positions limit the number of positions
15 of the rudder and an infinite number of positions within the
16 fully extended and fully retracted range are not obtainable.

17 Scholle discloses a sailboat having retractable and self-
18 ejectable hydraulic controls. A small lightweight sailboat of
19 the type readily transported in passenger vehicles such as
20 station wagons and the like is provided with hydraulic
21 controls integrally and movably coupled to the hull of the
22 sail-boat. The hydraulic controls comprise rudder and
23 centerboard assemblages, each of which are pivotally coupled
24 to the hull of the sailboat in a manner enabling them to be
25 retracted and self-ejected when maneuvering the boat through
26 shallow waters or over submerged obstacles. Due to the manner
27 in which these hydraulic control assemblages are pivotally

1 coupled to the hull of the sailboat, they can be fully
2 retracted within the sailboat hull and onto the deck of the
3 sailboat and secured in their fully retracted positions for
4 storage when the sailboat is not in use or when preparing the
5 sailboat for overland transport. Retraction and storage of
6 the rudder assemblage is achieved by pivotally mounting the
7 rudder at the stern of the sailboat in a manner which permits
8 the rudder to be rotated onto and laid flat upon the deck.
9 Retraction and storage of the centerboard assemblage is
10 achieved by pivotally mounting a centerboard within a
11 removable centerboard trunk in a manner which permits the
12 centerboard to be locked within the centerboard trunk and
13 released therefrom by controls housed within the trunk. Thus,
14 the rudder hinges upward upon obstacle impact but does not
15 return to operating position automatically. Although the
16 centerboard does spring load in the retracted position upon an
17 obstacle impact, manual intervention must be used to put the
18 centerboard back in an operation position when reaching a
19 fully retracted position. Further, the centerboard does not
20 rotate for steering and thus cannot retract in a rotated
21 position.

22 Jones discloses a pair of pivotally attached fins
23 depending into the water below the hull of the boat. When
24 turning maneuvers are executed, the tendency of the boat to
25 skid sideward is resisted by the downwardly engaged fin
26 panels. When underwater objects or the bottom of a body of
27 water are encountered, the leading edge of the fins strike the

1 objects(s), causing the fins to pivot upward to clear the
2 obstacle(s) and then return automatically to the water to
3 provide an extra measure of boating safety. The fins are not
4 used for steering the vehicle and are simply hinged with no
5 spring loading. The stabilizers re-enter the water due to the
6 drag of two small wings extending normally from the
7 stabilizer. These wings will "grab" the water to pull the
8 stabilizers back down into the water. Spring energy is not
9 used herein and the stabilizers cannot rotate for steering.

10 In view of the prior art, there exists a need for
11 providing a totally autonomous retraction control for a rudder
12 that retracts out of the flow into the hull of the vehicle
13 allowing bottoming of the vehicle with no damage to the
14 rudder. The device should allow both low-speed control and
15 high-speed maneuvering while allowing obstacle avoidance by
16 permitting the rudder to bend out the way of debris.

17 18 SUMMARY OF THE INVENTION

19 Therefore it is an object of this invention to provide a
20 bottom activated control device in an underwater vehicle.

21 Another object of this invention is to provide a bottom
22 activated control device in an underwater vehicle which
23 retracts fully within the hull of a vehicle.

24 Still another object of this invention is to provide a
25 bottom activated control fin in an underwater vehicle in which
26 the control fin is automatically retracted into the well

1 portion of the underwater vehicle upon contact of the control
2 fin with an outside force other than fluid.

3 A still further object of the invention is to provide a
4 bottom activated control fin in an underwater vehicle in which
5 the control fin is automatically retractable even if the
6 control fin is rotated with respect to the longitudinal axis
7 of the underwater vehicle.

8 Yet another object of this invention is to provide a
9 bottom activated retractable control fin for an underwater
10 vehicle which is simple to manufacture and easy to use.

11 In accordance with one aspect of this invention, there is
12 provided a bottom activated retractable control device in an
13 underwater vehicle. The device includes a fin member having a
14 front edge, a trailing edge opposite to the front edge, a
15 bottom edge between the front edge and the trailing edge, and
16 an arm portion extending from and coextensive with the leading
17 edge and away from the bottom edge. A pivot housing is
18 provided for receiving the arm portion of the fin member, the
19 housing enabling both a vertical pivot of the fin member upon
20 contact of the fin with an object and axial rotation of the
21 fin about the arm portion of the fin member. A well is formed
22 in the bottom surface of the underwater vehicle, the well
23 corresponding in depth to a fully retracted position of the
24 fin member and in width to any rotated position of the fin
25 member. A spring member extends through the pivot housing and
26 the arm portion, the spring member normally biasing the fin
27 member away from the vehicle, the fin member automatically

1 retracting into as much as an entirety of the well in response
2 to a force against the control fin.

3

4 BRIEF DESCRIPTION OF THE DRAWINGS

5 The various objects, advantages and novel features of
6 this invention will be more fully apparent from a reading of
7 the following detailed description in conjunction with the
8 accompanying drawings in which like reference numerals refer
9 to like parts, and in which:

10 FIG. 1A is a bottom perspective view of a first preferred
11 embodiment of the present invention having retractable control
12 fins;

13 FIG. 1B is a side view of the first preferred embodiment
14 according to FIG. 1A;

15 FIG. 1C is an end view of the first preferred embodiment
16 according to FIG. 1A;

17 FIG. 2 is a side view showing full vertical rotation of a
18 single control fin according to the preferred embodiment of
19 the present invention;

20 FIG. 3A is a detailed perspective view of a single
21 control fin according to the preferred embodiment of the
22 present invention;

23 FIG. 3B is a detailed perspective view of the pivot
24 housing of the prevention invention;

25 FIG. 4A is a perspective view of a single control fin
26 extending from a vehicle well according to the preferred
27 embodiment of the present invention; and

1 FIG. 4B is a perspective view of a single control fin
2 retracted into the vehicle well according to the preferred
3 embodiment of the present invention.
4

5 DESCRIPTION OF THE PREFERRED EMBODIMENT

6 In general, the present invention is directed to a bottom
7 activated retractable control surface such as a fin 10 for an
8 undersea vehicle 12, in which the bottom mounted control
9 surface 10 automatically retracts into the body of the vehicle
10 12.

11 Referring first to FIGS. 1A, 1B, and 1C, there is shown
12 an underwater unmanned vehicle 12 having two separate bottom
13 activated control surfaces/fins 10 mounted thereto. The
14 unmanned underwater vehicle 12 is shown in FIG. 1A from a
15 bottom perspective, in FIG. 1B from the side, and in FIG. 1C
16 from an end thereof. The vehicle 12 includes a bottom surface
17 14, a front end 16, and a rear end 18 opposite that of the
18 front end 16. A well portion 50 is formed in the bottom
19 surface 14 of the vehicle for receiving fins 10 when
20 retracted.

21 It is intended that this vehicle 12 is designed for
22 bottom operations and the potential damage to the lower
23 control surfaces 10 is significantly reduced (or possibly
24 eliminated) with the retractable feature of the control fins
25 10. A fixed control surface would be damaged during bottom
26 operations. It should be understood, however, that the scope
27 of this invention is not intended to be limited by the

1 specific example herein and may be applied to other craft
2 which are likely to encounter such resistance.

3 FIG. 2 illustrates the control fin 10 of the present
4 invention, including a full range of motion thereof. The
5 intent of the graphic is to show the rotational path of the
6 fin 10 from a full-extended position 22 to full-retracted
7 position 24. The normal operating position of the control fin
8 10 is the extended position 22. The rotation to the retracted
9 position 24 is initiated by contact of the fin 10 with an
10 object or a bottom surface of a body of water and associated
11 with forward and/or vertical motion of the vehicle 12 settling
12 on the bottom.

13 FIG. 3A illustrates additional details of the retractable
14 control surface/fin 10. The control fin 10 includes a leading
15 edge 34, a trailing edge 36, an upper surface 38, and a bottom
16 surface 40. In the preferred embodiment, each of the upper
17 surface and the bottom surface are substantially parallel to
18 each other. It can be seen from the figures that the fin 10
19 in fact resembles an airplane fin due the steering and
20 maneuvering capability thereof. In addition, a projection arm
21 42 extends from the leading edge 34 of the fin 10 at the upper
22 surface 38 thereof. A pivot pin 43 is positioned in the
23 projection arm 42 perpendicular to the plane of fin 10.

24 Referring now to FIG. 3B, the control fin 10 is mounted
25 to a pivot housing 30 by means of the pivot pin 43 of the
26 control fin 10. The pivot housing 30 and pivot pin 43 are
27 mechanically linked to a torsional spring 32. More

1 specifically, the pivot housing 30 is substantially block
2 shaped and includes a longitudinal hole 44 formed therethrough
3 and a slotted portion 46 at a lower central part thereof.
4 Projection arm 42 extends into slot 46. The slot 46 is
5 defined by depending legs 48 as shown. At the outer sides of
6 the depending legs 48, the pivot pin 43 is rotatably mounted
7 such that the pivot pin 43 extends through the depending legs
8 48. In a preferred embodiment, torsional springs 32 are
9 joined between pivot pin 43 and housing 30 on each side of
10 housing 30.

11 The spring 32 is pre-loaded with the control fin 10 in
12 the extended 22 or down position shown. Rotation of the
13 control fin 10 is initiated by forward and/or upward contact
14 of the control fin 10 with an object such as the bottom of the
15 ocean. This contact is mainly due to the vehicle 12 gliding
16 or hovering down to rest on the bottom. The fin 10 is
17 normally biased in the extended position 22.

18 An actuator (not shown) rotates a control shaft 26 and
19 hence the pivot housing 30 about a vertical axis of the pivot
20 housing 30. The control shaft 26 thus controls rotation of
21 the fin 10 about the control shaft rotation axis. This
22 rotation is controlled by the vehicle. Steering control of
23 the fin 10 is not the intended feature of the present
24 invention and will not be explained further herein. Still
25 further, it should be understood that the "vertical" rotation
26 of the control fin 10 is the result of contact with an
27 external object. It is possible to vertically rotate the

1 control fin 10 while it is in any point of rotation about the
2 vertical axis of the control shaft 26. This is a
3 substantially advantageous feature and one which has not been
4 previously known in the art.

5 FIG. 4 illustrates the retraction of the control fin 10
6 in combination with the well portion 50 of the vehicle 12.
7 The control fin 10 is illustrated in both its extended 22 and
8 retracted 24 position. As described with reference to FIG.
9 1B, the retraction well 50 is a recessed pocket in the bottom
10 surface 14 of the vehicle 12. The lower portion of the well
11 50 is flush with the bottom surface 14 of the vehicle 12. The
12 control fin 10 is capable of being completely retracted into
13 the well 50 and protected from damage from the bottom and
14 weight of the vehicle 10. Likewise, retraction of the fin 10
15 may be at any of a plurality of retracted degrees depending
16 upon the amount of contact with the fin and a distance away
17 from the object contacted.

18 The retraction well 50 is shaped in an angular form,
19 which matches the angular sweep of the control fin 10 as
20 rotated by the pivot housing 30 and the control shaft 26.
21 Once again, this feature allows the control fin 10 to retract
22 into or extend from the well 50 at any angle of fin operation.
23 The control fin 10 does not have to be parallel with the
24 vehicle axis (straight) for it to operate or for it to extend
25 from or retract into the well 50.

26 Thus, the present invention also allows an unmanned
27 underwater vehicle 12 to have surface capability. The

1 inventive control fins 10 on the bottom of the vehicle 12 give
2 the vehicle rudder control while on the surface of the water.
3 By allowing the control fins 10 to retract, the bottom
4 maneuver can take place without damaging the fins 10.

5 It will be understood that the torsional spring 32 may be
6 reduced in pre-loaded torque to allow the fin 10 to retract at
7 certain higher speeds of the vehicle 12. Accordingly, the
8 faster the vehicle 12 travels, the more the fin 10 can
9 retract, due to drag on the fin(s). The slower the vehicle 12
10 travels, then the more the fin 10 will extend due to reduced
11 drag. This alternative is an added bonus, because research
12 has shown that controllability at low speeds is more difficult
13 and the extra extension of fin 10 will provide additional
14 control. The higher speed operations will benefit in
15 efficiency by having the fins 10 retracted and thereby causing
16 less drag on the vehicle 12.

17 Further, the size of the invention may be changed to
18 accommodate the task and all parts can be enlarged or
19 miniaturized. Spring tension may also be changed to
20 accommodate the task at hand.

21 Accordingly, it is anticipated that the invention herein
22 will have far reaching applications other than those of
23 underwater vehicles.

24 This invention has been disclosed in terms of certain
25 embodiments. It will be apparent that many modifications can
26 be made to the disclosed apparatus without departing from the
27 invention. Therefore, it is the intent of the appended claims

1 to cover all such variations and modifications as come within
2 the true spirit and scope of this invention.

1 Attorney Docket No. 79355

2

3

BOTTOM ACTIVATED RETRACTABLE CONTROL

4

SURFACE FOR AN UNMANNED UNDERSEA VEHICLE

5

6

ABSTRACT OF THE DISCLOSURE

7 A bottom activated retractable control device includes a
8 fin member having a front edge, a trailing edge opposite to
9 the front edge, a bottom edge between the front edge and the
10 trailing edge, and an arm portion extending from and
11 coextensive with the leading edge and away from the bottom
12 edge. The arm portion includes a pivot pin extending in a
13 perpendicular direction from each side of the arm portion. A
14 pivot housing having an aperture is provided for receiving the
15 pivot pin of the arm portion, the housing enabling both a
16 vertical pivot of the fin member upon contact of the fin with
17 an object and axial rotation of the fin about the arm portion
18 of the fin member. A well is formed in the bottom surface of
19 an underwater vehicle corresponds in depth to a fully
20 retracted position of the fin member and in width to any
21 rotated position of the fin member. A spring member is joined
22 between the pivot housing and the pivot pin, the spring member
23 normally biasing the fin member away from the vehicle, the fin
24 member pivoting into as much as an entirety of the well in
25 response to a force against the control fin.

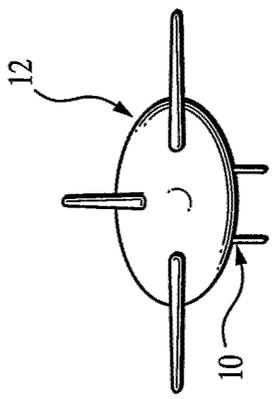


FIG. 1C

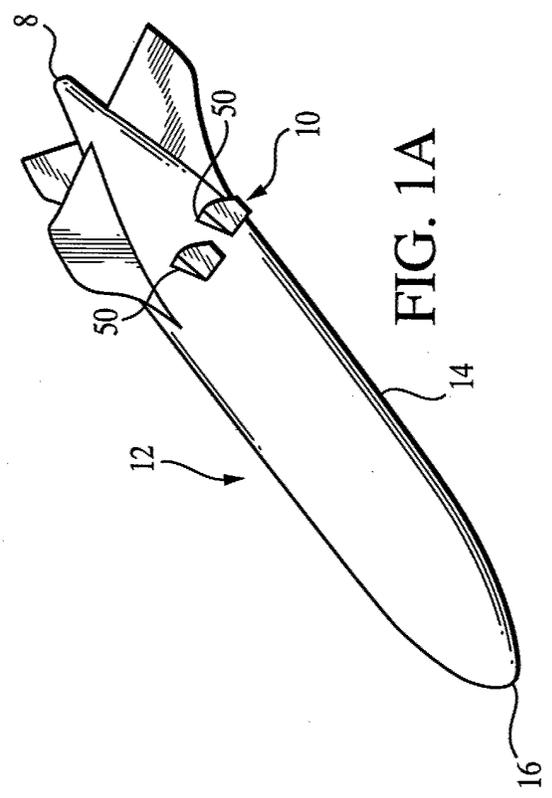


FIG. 1A

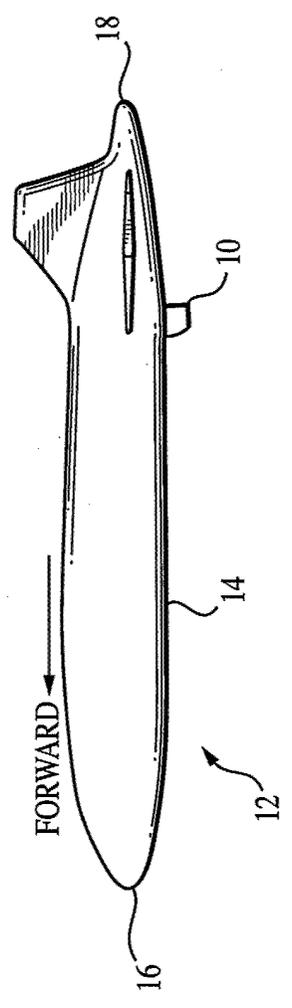


FIG. 1B

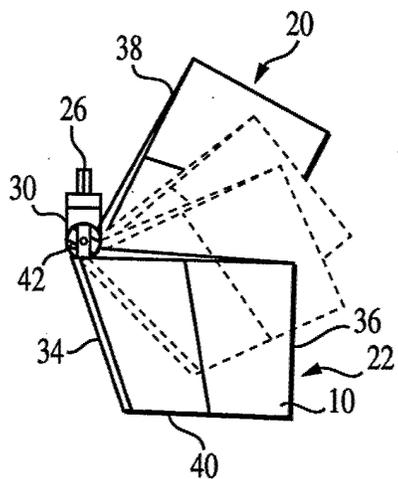


FIG. 2

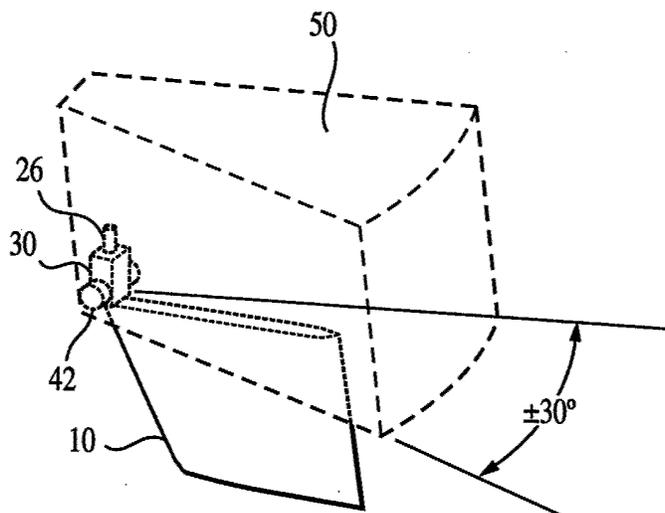


FIG. 4A

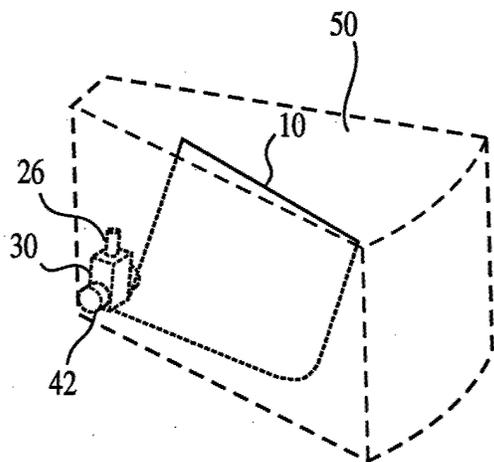


FIG. 4B

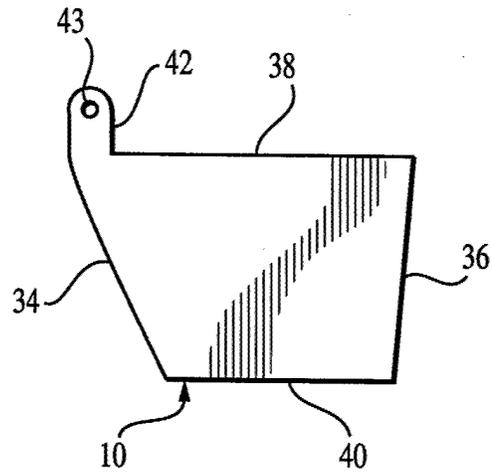


FIG. 3A

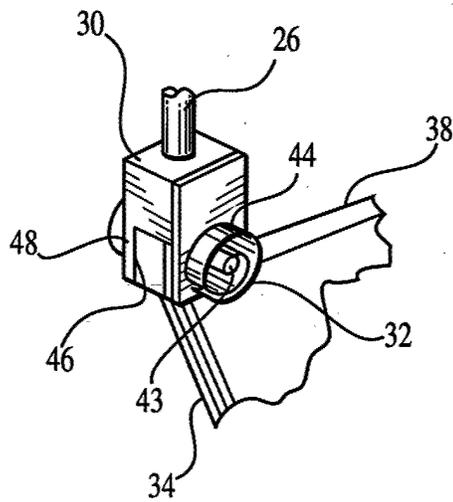


FIG. 3B