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Inventor Michael J. Josypenko

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1 Attorney Docket No. 79115

2

3 CAPACITATIVELY SHUNTED QUADRIFILAR HELIX ANTENNA

4

5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and
7 used by or for the Government of the United States of America
8 for governmental purposes without the payment of any royalties
9 thereon or therefor.

10

11 CROSS REFERENCE TO RELATED APPLICATION

12 United States Letters Patent Serial No. 08/356,803 filed
13 7/19/99 by the inventor hereof and assigned to the assignee
14 hereof is incorporated herein by reference.

15

16 BACKGROUND OF THE INVENTION

17 (1) Field of the Invention

18 This invention generally relates to antennas and more
19 specifically to quadrifilar antennas.

20 (2) Description of the Prior Art

21 Numerous communication networks utilize omnidirectional
22 antenna systems to establish communications between various
23 stations in the network. In some networks one or more stations
24 may be mobile while others may be fixed land-based or satellite
25 stations. Antenna systems that are omnidirectional in a

1 horizontal plane are preferred in such applications because
2 alternative highly directional antenna systems become difficult
3 to apply, particularly at a mobile station that may communicate
4 with both fixed land-based and satellite stations. In such
5 applications it is desirable to provide a horizontally
6 omnidirectional antenna system that is compact yet
7 characterized by a wide bandwidth and a good front-to-back
8 ratio in elevation with either horizontal or vertical
9 polarization.

10 Some prior art omnidirectional antenna systems use an end
11 fed quadrifilar helix antenna for satellite communication and a
12 co-mounted dipole antenna for land based communications.
13 However, each antenna has a limited bandwidth. Collectively
14 their performance can be dependent upon antenna position
15 relative to a ground plane. The dipole antenna has no front-
16 to-back ratio and thus its performance can be severely degraded
17 by heavy reflections when the antenna is mounted on a ship,
18 particularly over low elevation angles. These co-mounted
19 antennas also have spatial requirements that can limit their
20 use in confined areas aboard ships or similar mobile stations.

21 The following patents disclose helical antennas that
22 exhibit some, but not all, the previously described desirable
23 characteristics:

24 United States Letters Patent No. 5,485,170 (1996) to
25 McCarrick discloses a mobile satellite communications system

1 (SMAT) mast antenna with reduced frequency scanning for mobile
2 use in accessing stationary geosynchronous and/or geostable
3 satellites. The antenna includes a multi-turn quadrifilar
4 helix antenna that is fed in phase rotation at its base and is
5 provided with a pitch and/or diameter adjustment for the helix
6 elements, causing beam scanning in the elevation plane while
7 remaining relatively omni-directional in azimuth. The antenna
8 diameter and helical pitch are optimized to reduce the
9 frequency scanning effect, and a technique is disclosed for
10 aiming the antenna to compensate for any remaining frequency
11 scanning effect.

12 United States Letters Patent No. 5,701,130 (1997) to Thill
13 et al. discloses a self phased antenna element with a
14 dielectric. The antenna element has two pairs of arms in a
15 crossed relationship to transceive a signal at a resonant
16 frequency. A dielectric is disposed adjacent an arm to obtain
17 a self phased relationship in the arms at the resonant
18 frequency. The arms can form crossed loops or twisted crossed
19 loops such as a quadrifilar helix antenna element. A
20 dielectric collar on arms of the same loop causes currents to
21 be equally spaced from one another. The antenna size is
22 reduced and a cross section of the antenna element appears
23 circular without degradation of a gain pattern when the
24 dielectric is used on a certain arm.

1 In United States Letters Patent No. 5,721,557 (1998)
2 Wheeler et al. disclose a nonsquinting end-fed quadrifilar
3 helix antenna. Each conductor of the antenna is fed with a
4 successively delayed phase representation of the input signal
5 to optimize transmission characteristics. Each of the conduc-
6 tors is separated into a number, Z, of discrete conductor
7 portions by Z-1 capacitive discontinuities. The addition of
8 the capacitive discontinuities results in the formation of an
9 antenna array. The end result of the antenna array is a
10 quadrifilar helix antenna which is nonsquinting, that is, the
11 antenna radiates in a given direction independently of
12 frequency.

13 There exists a family of quadrifilar helixes that are
14 broadband impedance wise above a certain "cut-in" frequency,
15 and thus are useful for wideband satellite communications
16 including Demand Assigned Multiple Access (DAMA) UHF functions
17 in the range of 240 to 320 MHz and for other satellite
18 communications functions in the range of 320 to 410 MHz).
19 Typically these antennas have (1) a pitch angle of the elements
20 on the helix cylindrical surface from 50 down to roughly 20
21 degrees, (2) elements that are at least roughly $\frac{3}{4}$ wavelengths
22 long, and (3) a "cut-in" frequency roughly corresponding to a
23 frequency at which a wavelength is twice the length of one turn
24 of the antenna element. This dependence changes with pitch
25 angle. Above the "cut-in" frequency, the helix has an

1 approximately flat VSWR around 2:1 or less (about the Z_0 value
2 of the antenna). Thus the antenna is broadband impedance-wise
3 above the cut-in frequency. The previous three dimensions
4 translate into a helix diameter of 0.1 to 0.2 wavelengths at
5 the cut-in frequency.

6 For pitch angles of approximately 30° to 50° , such
7 antennas provide good cardioid shaped patterns for satellite
8 communications. Good circular polarization exists down to the
9 horizon since the antenna is greater than 1.5 wavelengths long
10 (2 elements constitute one array of the dual array, quadrifilar
11 antenna) and is at least one turn. At the cut-in frequency,
12 lower angled helixes have sharper patterns. As frequency
13 increases, patterns start to flatten overhead and spread out
14 near the horizon and small nulls start to form overhead. For a
15 given satellite band to be covered, a tradeoff can be chosen on
16 how sharp the pattern is allowed to be at the bottom of the
17 band and how much it can be spread out by the time the top of
18 the band is reached. This tradeoff is made by choosing where
19 the band should start relative to the cut-in frequency and the
20 pitch angle.

21 For optimum front-to-back ratio performance, the bottom of
22 the band should start at the cut-in frequency. This is
23 because, for a given element thickness, backside radiation
24 increases with frequency (the front-to-back ratio decreases
25 with frequency). This decrease of front-to-back ratio with

1 frequency limits the antenna immunity to multipath nulling
2 effects.

3 Other factors that influence the front-to-back ratio
4 include the method of feeding the antenna, the physical size of
5 antenna elements, the dielectric loading of the antenna
6 elements and the termination of the antenna elements. Looking
7 first at antenna feeding, the front-to-back ratio improves when
8 an antenna is fed in a "backfire mode" such that the antenna
9 feed point is at the top of a vertically oriented antenna, as
10 opposed to a "forward fire mode" when the feed point is at the
11 bottom of the antenna.

12 Thinner elements increase the front-to-back ratio.
13 However, as the elements become thinner, the input impedance to
14 the antenna increases and introduces a requirement for
15 impedance matching. Alternatively, lower impedances can be
16 obtained by constructing an antenna with a partial overlap of
17 the antenna elements to increase capacitance. However, a loss
18 of impedance bandwidth starts to occur since the capacitance is
19 a non-radiating capacitance; that is, no radiation can occur
20 from the overlapped areas of the antenna.

21 Increasing the dielectric loading of the helix elements
22 decreases the front-to-back ratio. Wide flat elements found in
23 many helix antennas have a pronounced loading if one side of
24 each antenna element touches a dielectric, as in the case where
25 the dielectric is a support cylinder for the antenna. If the

1 gap between adjacent elements is small, the field is strongly
2 concentrated in the gap and any dielectric in the gap will load
3 the antenna strongly. Quadrifilar helix antennas can terminate
4 with open or shorted ends remote from the feed point. It has
5 been found that antennas with open ends have a slightly higher
6 front-to-back ratio than do antennas with shorted ends.

7 My above-identified pending United States Letters Patent
8 Serial No. 08/356,803 discloses an antenna having four
9 constant-width antenna elements wrapped about the periphery of
10 a cylindrical support. This construction provides a broadband
11 antenna with a bandwidth of 240 to at least 400 MHz and with an
12 input impedance in a normal range, e.g., 100 ohms. This
13 antenna also exhibits a good front-to-back ratio in both open-
14 ended and shorted configurations. In this antenna, each
15 antenna element has a width corresponding to about 95% of the
16 available width for that element. However, it was found that
17 this antenna could require a tradeoff between the pattern
18 shapes in the transmit and receive bands. It became necessary
19 to allow patterns at lower receive frequencies to become
20 sharper overhead than desired. At higher transmit frequencies,
21 it became necessary to accept overhead patterns that were
22 flatter overhead than desired. At even higher frequencies,
23 nulls were observed in the patterns because the element lengths
24 were becoming long enough electrically for multilobing to
25 begin.

1 SUMMARY OF THE INVENTION

2 Therefore it is an object of this invention to provide a
3 broadband unidirectional hemispherical coverage radio frequency
4 antenna.

5 Another object of this invention is to provide a broadband
6 unidirectional hemispherical coverage antenna with good front-
7 to-back ratio over a range of frequencies.

8 Yet still another object of this invention is to provide a
9 broadband unidirectional hemispherical coverage antenna that
10 operates with a circular polarization and that exhibits a good
11 front-to-back ratio.

12 Yet still another object of this invention is to provide a
13 broadband unidirectional hemispherical coverage antenna that
14 provides an essentially constant radiation pattern over a range
15 of frequencies.

16 In accordance with this invention the above objects are
17 achieved by an antenna that extends along an antenna axis
18 between a feed end and an other end and that carries a
19 plurality of pairs of diametrically opposed antenna elements
20 wrapped helically about the support. Each antenna element has
21 a length determined by a cut-in frequency. A capacitive
22 network spans the antenna elements in each pair at
23 corresponding predetermined positions from the other end for

1 shorting the pairs of antenna elements at a characteristic
2 frequency greater than the cut-in frequency.

3 In accordance with another aspect of this invention, a
4 quadrifilar helix antenna operates over a frequency bandwidth
5 defined by a minimum operating frequency and extends along an
6 antenna axis between first and second ends of the antenna.
7 Four equiangularly spaced helical antenna elements extend along
8 the support between the first and second end, each antenna
9 element has a length of at least $3/4$ wavelength at the minimum
10 antenna operating frequency and has a substantially constant
11 thickness and width along its length. Each diametrically
12 opposed set of elements constitutes an element pair whereby the
13 antenna has first and second pairs of antenna elements. A
14 plurality of sets of capacitive elements connect between the
15 antenna elements in each pair, each set being connected at a
16 different position along the antenna axis and each capacitive
17 element in a set connected to said respective antenna element
18 pair at the same position along the antenna axis.

19

20

BRIEF DESCRIPTION OF THE DRAWINGS

21 The appended claims particularly point out and distinctly
22 claim the subject matter of this invention. The various
23 objects, advantages and novel features of this invention will
24 be more fully apparent from a reading of the following detailed
25 description in conjunction with the accompanying drawings in

1 which like reference numerals refer to like parts, and in
2 which:

3 FIG. 1 is a perspective view of one embodiment of a
4 quadrifilar helix antenna constructed in accordance with this
5 invention;

6 FIG. 2 is a schematic view one of a pair of antenna
7 elements in an unwrapped state for the antenna shown in FIG. 1;

8 FIG. 3 is a schematic of an embodiment of this invention
9 that produces an alternative to the antenna in FIG. 1;

10 FIGS. 4 and 5 are Smith charts for depicting calculated
11 antenna impedances; and

12 FIGS. 6A through 6L depict calculated gain comparisons of
13 antenna performance for an antenna constructed in accordance
14 with this invention and a standard antenna.

15

16 DESCRIPTION OF THE PREFERRED EMBODIMENT

17 In FIG. 1, a quadrifilar helix antenna 10, constructed in
18 accordance with this invention extends along a longitudinal
19 axis 11. Four antenna elements 12, 13, 14 and 15 wrap
20 helically about this longitudinal axis 11 and extend from a
21 feed or first end portion 16 to an unfed or second end portion
22 17. The antenna element 12 and identical antenna elements 13,
23 14 and 15 are wrapped as spaced helices about the axis 11.
24 FIG. 1 depicts the antenna elements 12 through 15 as being
25 wrapped on a form for facilitating an understanding of the

1 antenna construction. This form could be eliminated with the
2 antenna elements being self-supporting.

3 Still referring to FIG. 1, an rf source 18 and a phase
4 control 19 drive the antenna 10 at a plurality of feedpoints 20
5 proximate the axis 11 at the first end 16. A series of
6 radially extending conductive paths 20A, 20B, 20C and 20D
7 couple the central feed points 20 to each of the helically
8 wrapped elements 12 through 15, respectively. The signals
9 applied to these feedpoints are in phase quadrature. In one
10 form, an RF signal from the rf source 18 is applied to a 90°
11 power splitter with a dump port terminated in a characteristic
12 impedance, Z_0 . The two outputs of the 90° power splitter
13 connect to the inputs of two 180° degree power splitters
14 thereby to provide the quadrature phase relationship among the
15 signals on adjacent ones of the antenna elements 12 through 15.
16 It is known that swapping the output cables of the 90° power
17 splitter will cause the antenna to transfer between backfire
18 and forward radiation modes.

19 An antenna constructed in accordance with this invention
20 achieves pattern stability by making the antenna elements in
21 FIG. 1 become electrically shorter with increasing frequency
22 without altering the physical length of any of the antenna
23 elements 12 through 15. Specifically, successive sections of
24 the helix are shorted electrically progressively from the unfed
25 end as frequency increases from the cut-in frequency by means

1 of a capacitive shorting network. Obviously, a limit occurs
2 when the helix is so short as to no longer operate as a helix.

3 FIG. 2 depicts a pair of diametrically opposed antenna
4 elements, specifically antenna elements 12 and 14 from FIG. 1.
5 For clarity, the antenna elements 12 and 14 are shown in an
6 unwound state. FIG. 2 depicts a number of capacitive elements
7 connected between the antenna elements 12 and 14 so that $n-1$
8 capacitive elements $C_1 \dots C_{n-1}$ divide the antenna elements 12
9 and 14 into n segments. The pair of antenna elements 13 and 15
10 include similar capacitive elements and the positions of
11 corresponding capacitive elements in each pair will be the
12 same.

13 Still referring to FIG. 2, the capacitive elements are
14 evenly distributed along the length of the element pair until
15 reaching the radial feed sections 20A and 20C for the antenna
16 elements 12 and 14. The capacitors increase in value from the
17 unfed end 17 to the feed end 16; that is:

$$18 \quad C_1 < C_2 < \dots < C_{n-1} < C_n \quad (1)$$

19 With this relationship among the capacitive elements, the
20 individual capacitors at the unfed end 17 start to short out
21 the helix at low frequencies. As frequency increases, the
22 capacitive elements closer to the feed point 20 start to short
23 out the helix, thus effectively shortening the helix with
24 frequency in a progressive fashion.

1 More specifically, following the principles for the
2 frequency independent behavior with a log periodic dipole, the
3 taper in capacitance values can be selected to vary
4 logarithmically, so that the capacitance of a given capacitor
5 C_i is a constant multiple of the capacitance of the preceding
6 capacitor toward the unfed side 17, C_{i-1} . That is, in equation
7 form:

$$8 \qquad C_i = \tau C_{i-1} \qquad (2)$$

9 where i is the capacitor number for $2 \leq i \leq n-1$ and τ is a
10 constant.

11 In practice it has been found that it is easier to
12 construct the antenna if each of the capacitive elements shown
13 in FIG. 2 are formed by a pair of capacitors in series. FIG. 3
14 depicts the capacitive element that would replace the C_1
15 capacitor in FIG. 2 as including two capacitors, C_{1A} and C_{1B} in
16 which:

$$17 \qquad C_{1A} = C_{1B} = 2C_1 \qquad (3)$$

18 This facilitates the connection of two pairs of corresponding
19 capacitive elements to the two pairs of opposed antenna
20 elements at the same relative positions along the length of the
21 antenna. In addition it has been found that the range of
22 capacitance values were specified by extreme values for the C_1
23 and C_{n-1} capacitors, and not by τ . Rather τ was determined by
24 the capacitance values. The extreme case occurs if the
25 capacitor C_1 shorts the helix at the lowest frequency of

1 operation, since the next few capacitors in sequence would be
2 close to shorting out the element resulting in a partial
3 shorting of the antenna elements even at the lowest operating
4 frequency. Obviously, the shorting effect should only occur at
5 higher frequencies.

6 At the frequencies involved with such antennas, the wires
7 connecting the capacitors to the antenna elements and to each
8 other have a finite series inductance that must be compensated.
9 This compensation can be achieved by canceling the impedance
10 with some or all of the impedance for the capacitors connected
11 to the wires.

12 For example, if a connecting wire has an effective
13 physical length of 9" and a radius of 0.2388", the wire will
14 have an inductance of 1.633×10^{-7} Henries. At an operating
15 frequency of 200 MHz, the required capacitance for canceling
16 the wire impedance is 3.88 pF. Given the foregoing
17 considerations, the value of C_1 must be less than 3.88pF.

18 It has been found that the use of spaced capacitive shunts
19 applied to a portion of the antenna can stabilize the pattern
20 over a greater bandwidth that can be achieved without the
21 capacitive shunts. As a specific example, capacitive shunts
22 would improve an antenna having the following characteristics:

1

Parameter	Value
Operating Mode	Forward fire
Unfed end impedance	Open
Input impedance	200 ohms
Helix cylinder diameter	9"
Cylinder length	30.5"
Antenna element material	Copper
Antenna element diameter	0.2388"
Number of segments	N=32
Frequency range	200 - 400 MHz
Pitch angle	40°

2

3 FIGS. 4 and 5 are calculated Smith charts that depict the
4 variation of input impedance for the foregoing antenna without
5 any shunting capacitive elements in FIG. 4 and with the
6 addition of such shunting capacitive elements in FIG. 5 using a
7 range of capacitors from C2 = 0.05 pF to C10 = 0.025 pF that
8 covered about one-third of the antenna starting proximate the
9 unfed end 17. Each Smith chart is based upon the same
10 characteristics impedance of $Z_0 = 200$ ohms and shows that the
11 impedance does not vary significantly when these capacitive
12 shunts are added to the antenna, although FIG. 5 shows some
13 loss of bandwidth especially at the higher frequencies.

14 Each of FIGS. 6A through 6L depict the patterns produced
15 by the antenna with and without shunting capacitive elements.
16 In each, the solid line 41 depicts the pattern for a

1 conventional antenna; the dashed line 42, the pattern for the
2 antenna modified in accordance with this invention. Each of
3 FIGS. 6A through 6L is marked with the frequency for the
4 patterns.

5 There is little difference in performance up to 330 MHz,
6 as shown in FIGS. 6A through 6E. That is, the patterns are
7 essentially the same and stable with respect to different
8 frequencies. As seen in FIG. 6F, the conventional antenna
9 begins to generate multiple lobes at 43 as the pattern 41
10 begins to flatten and energy dissipating horizontally begins to
11 increase. The lobes 43 become progressively more pronounced as
12 the frequency increases as can be seen in FIGS. 6G through 6L.
13 That is, they are most pronounced in FIG. 6L. There is little
14 indication of multiple lobes in patterns 42.

15 Below 340 MHz patterns 42 exhibit some flattening with
16 frequency with respect to the corresponding patterns 41.
17 However, FIGS. 6F through 6L show that this difference ceases
18 to exist above 340 MHz. The patterns 41 in FIG. 6K and 6L at
19 390 MHz and 400 MHz show the formation of nulls at 44. No such
20 nulls appear in patterns 42 at these frequencies.

21 Comparing at the patterns in FIGS. 6A through 6L, it will
22 be apparent that the shunting capacitors have stabilized the
23 patterns 42 over those patterns 41 produced with a
24 corresponding antenna without shunting capacitive elements.
25 Moreover, these results are based upon an analysis of an

1 antenna with a 40° pitch angle. Many quadrifilar helix
2 antennas are constructed with greater pitch angles. At such
3 greater angles, the null effect shown in FIGS. 6K and 6L will
4 be more pronounced and would become evident at lower
5 frequencies. Thus, such antennas would benefit to even a
6 greater degree from the capacitive shunting of this invention.
7 Although there is some loss of impedance matching at higher
8 frequencies and some loss in the front-to-back ratios, the use
9 of shunting capacitive elements will improve antenna
10 performance where pattern stability is a major consideration.

11 Thus, in accordance with this invention a quadrifilar
12 helix antenna is provided with a capacitive shunting network
13 that electrically reduces the length of antenna elements as
14 operating frequency increases. As a result, the energy
15 radiates from the antenna with a pattern that is stable over a
16 wide range of operating frequencies without the need of
17 physical rearrangement of the antenna elements. While this
18 antenna has been depicted in terms of a specific capacitive
19 shunting arrangement, including spacings and relative
20 capacitance values, it will be apparent that a number of
21 different variations could also be included other than the
22 structures shown in FIGS. 2 and 3. Consequently, it is the
23 intent to cover all such variations

1 and modifications as come under the true spirit and scope of
2 this invention.

1 Attorney Docket No. 79115

2

3 CAPACITATIVELY SHUNTED QUADRIFILAR HELIX ANTENNA

4

5 ABSTRACT OF THE DISCLOSURE

6 A quadrifilar helix antenna is provided having a
7 feedpoint for the antenna connecting to individual helical
8 antenna elements. A capacitive network, distributed along the
9 length of the antenna, constitutes a variable frequency
10 shunting network. At each position a first capacitive
11 structure, that may comprise a single capacitor or multiple
12 capacitors in series, interconnects a first pair of opposite
13 antenna elements; a second capacitive structure interconnects
14 the second pair of opposite antenna elements. As an applied
15 frequency increases, the capacitive structures progressively
16 short the opposite antenna elements thereby electrically
17 reducing the antenna length.

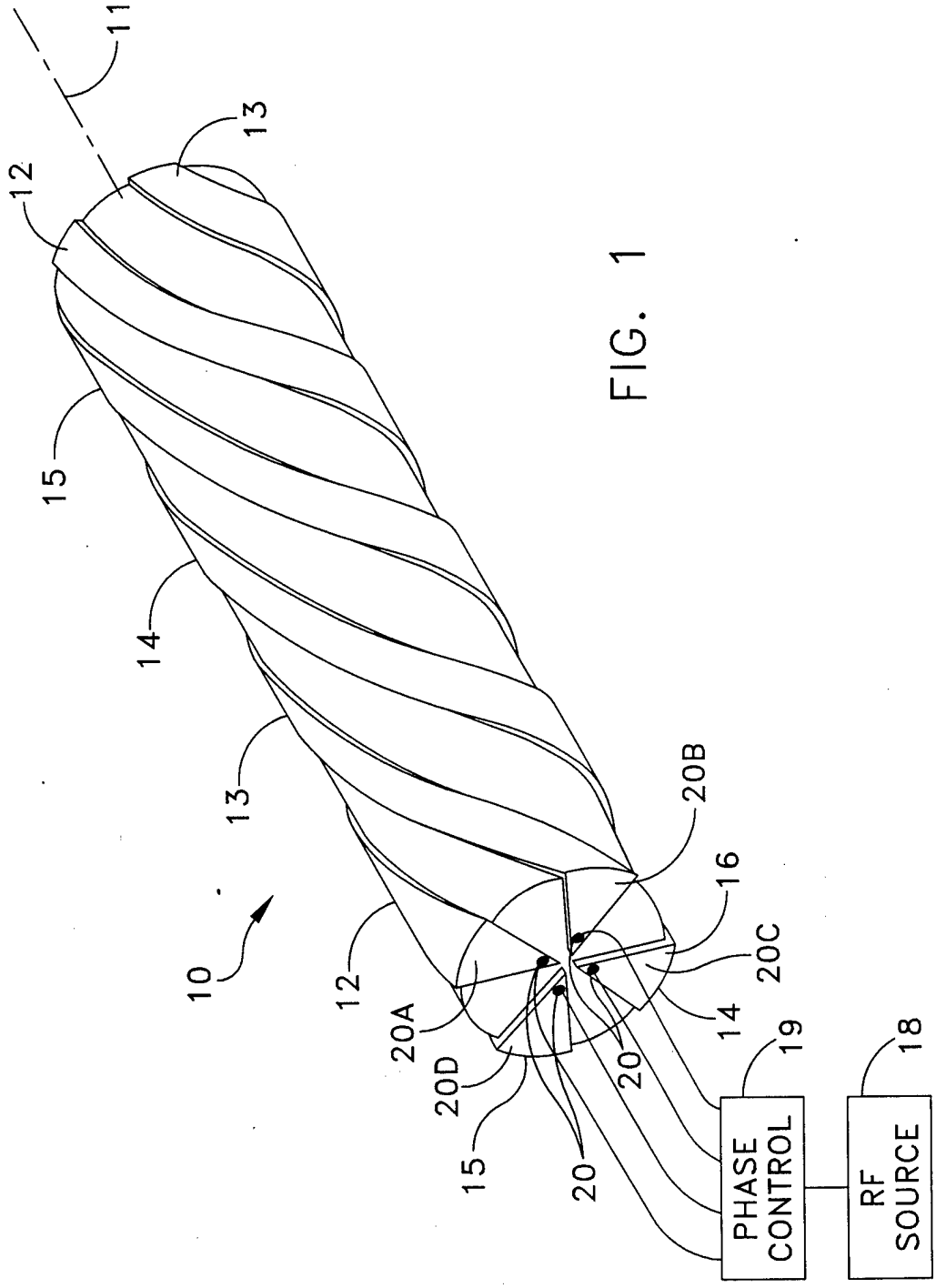


FIG. 1

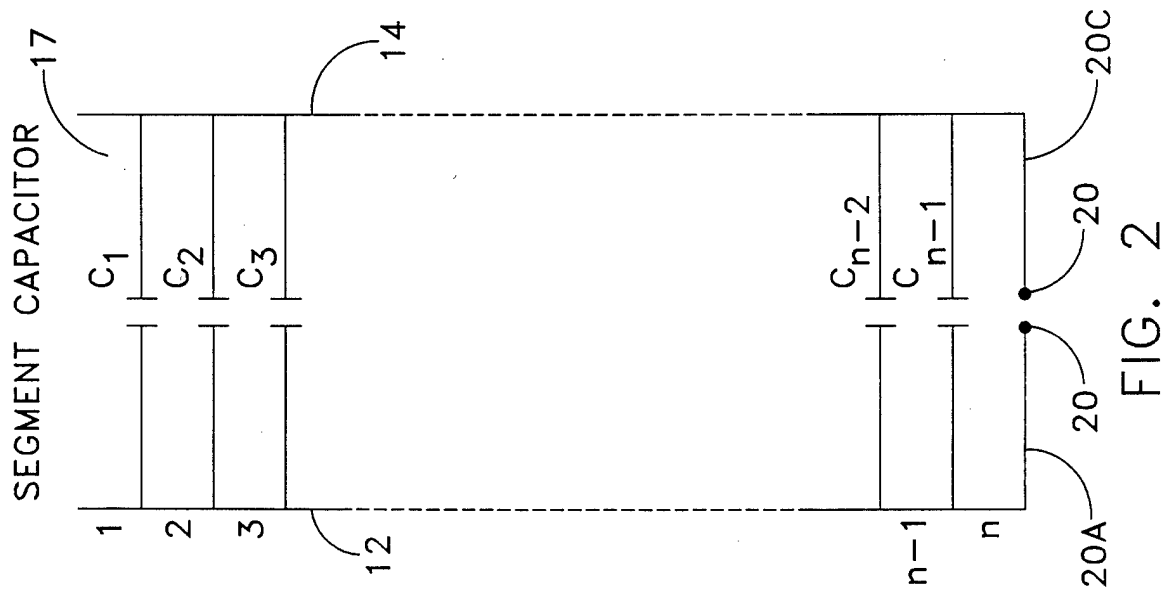


FIG. 2

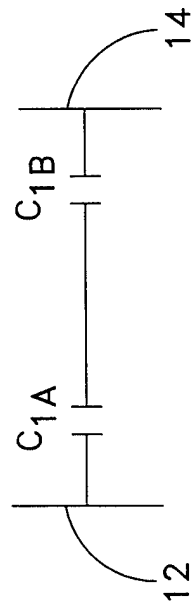
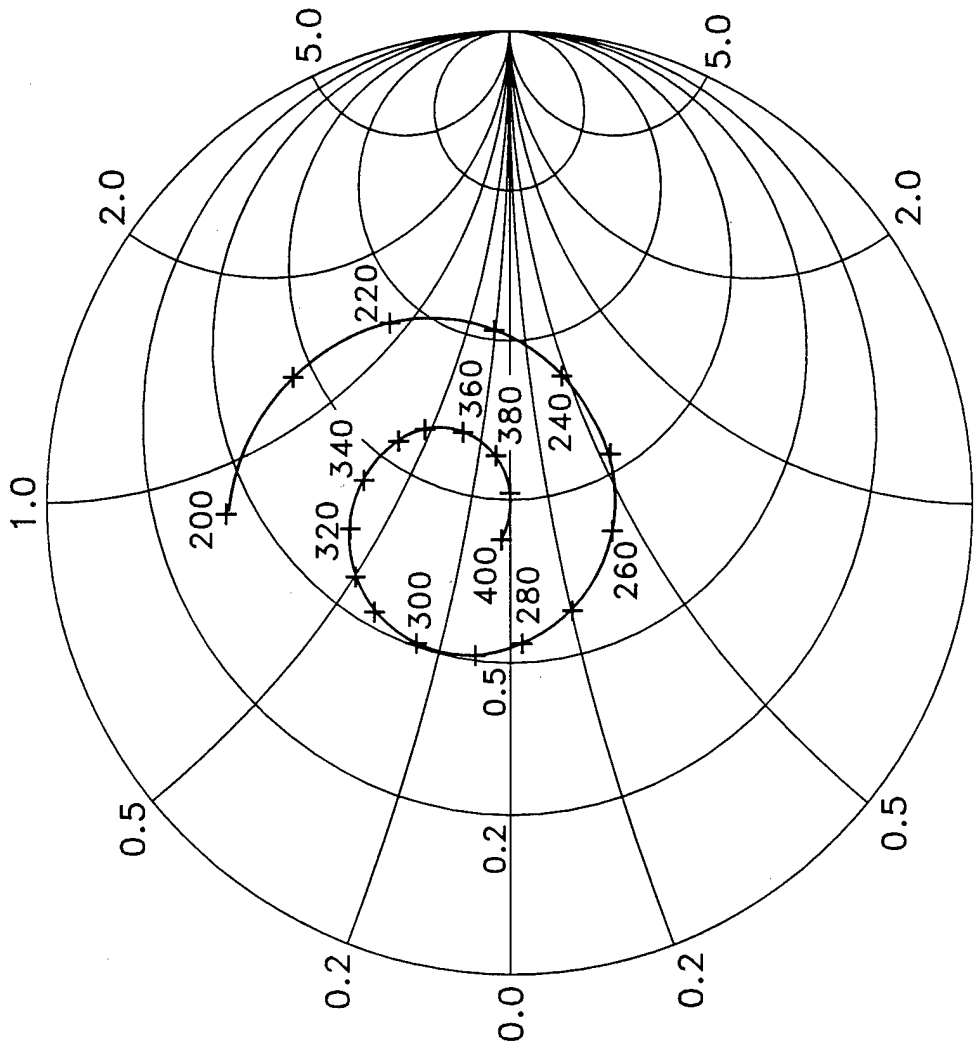
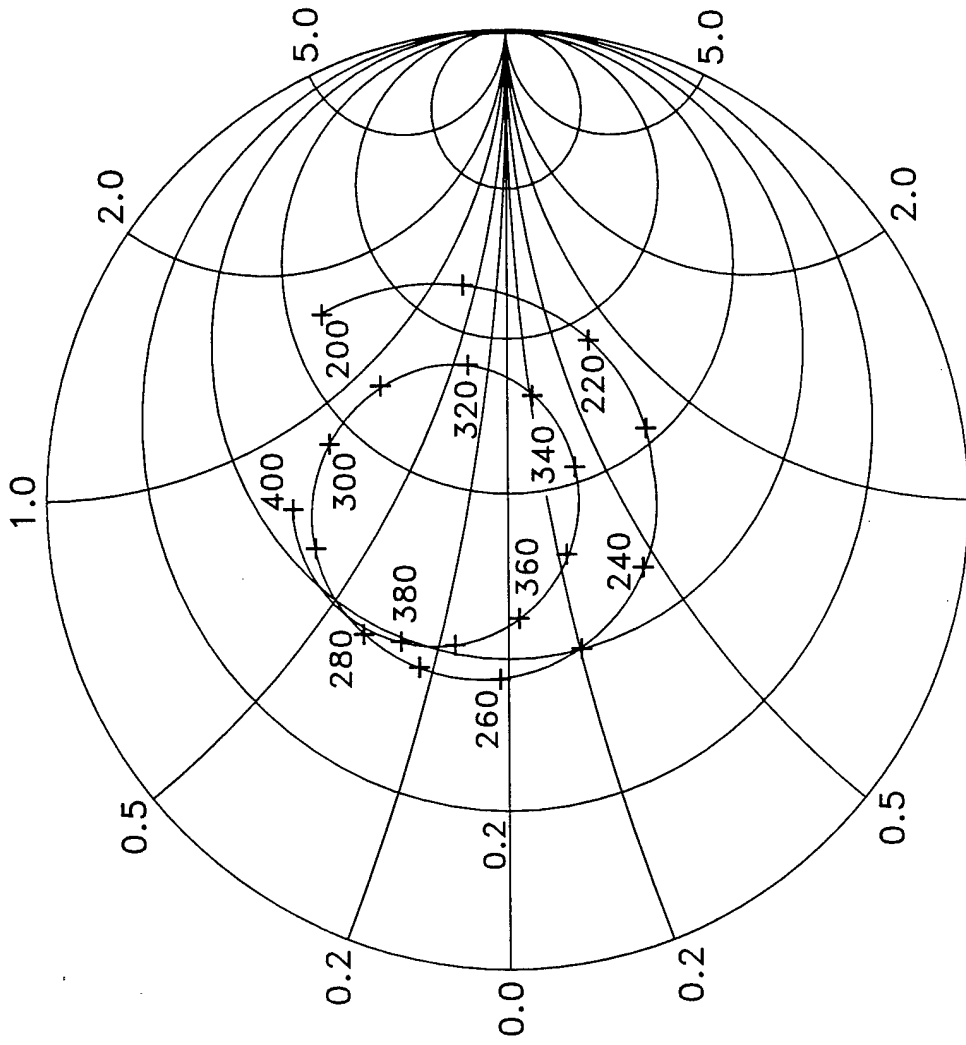


FIG. 3



($Z_0=200$)

FIG. 4



(Z₀=200)

FIG. 5

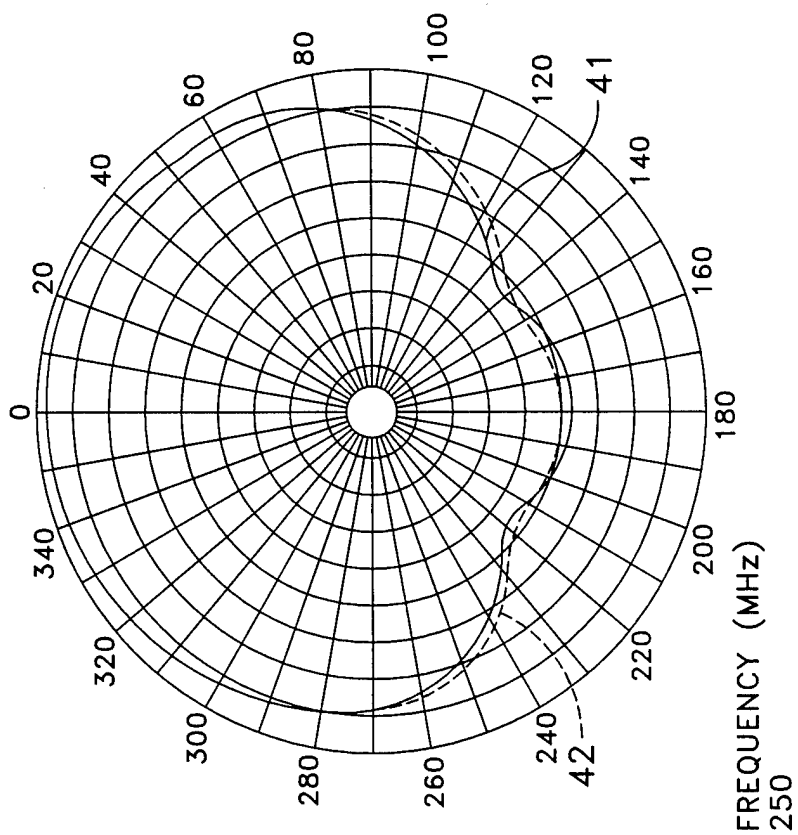


FIG. 6B

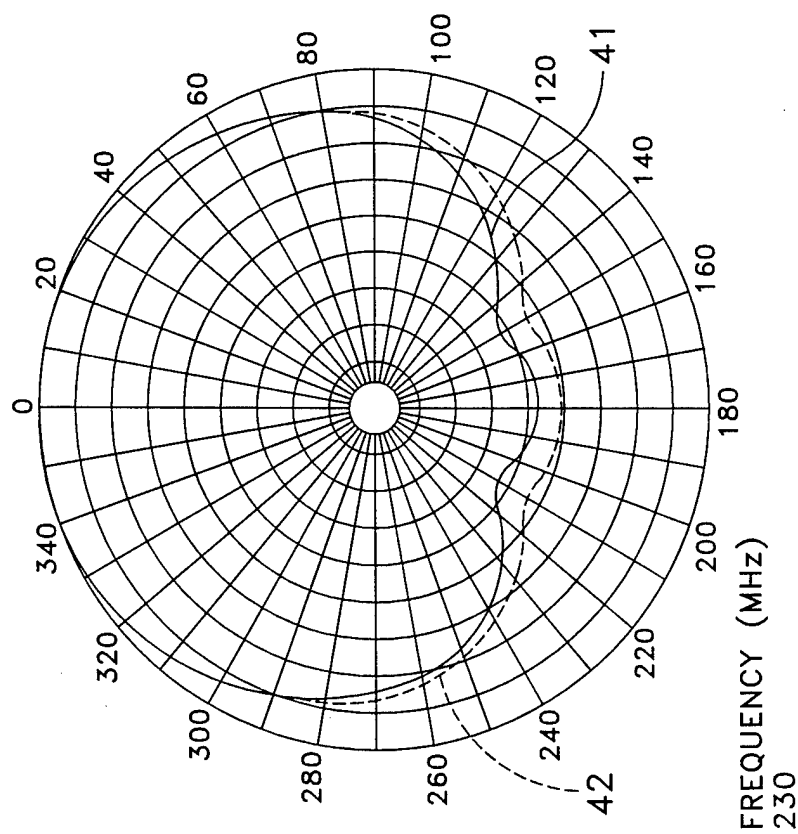


FIG. 6A

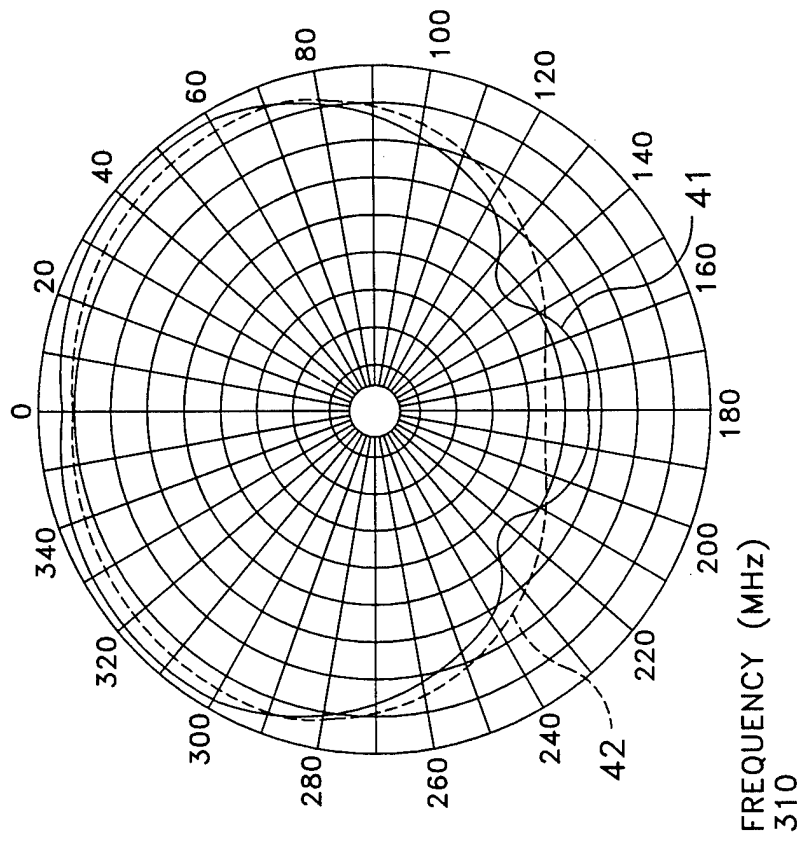


FIG. 6C

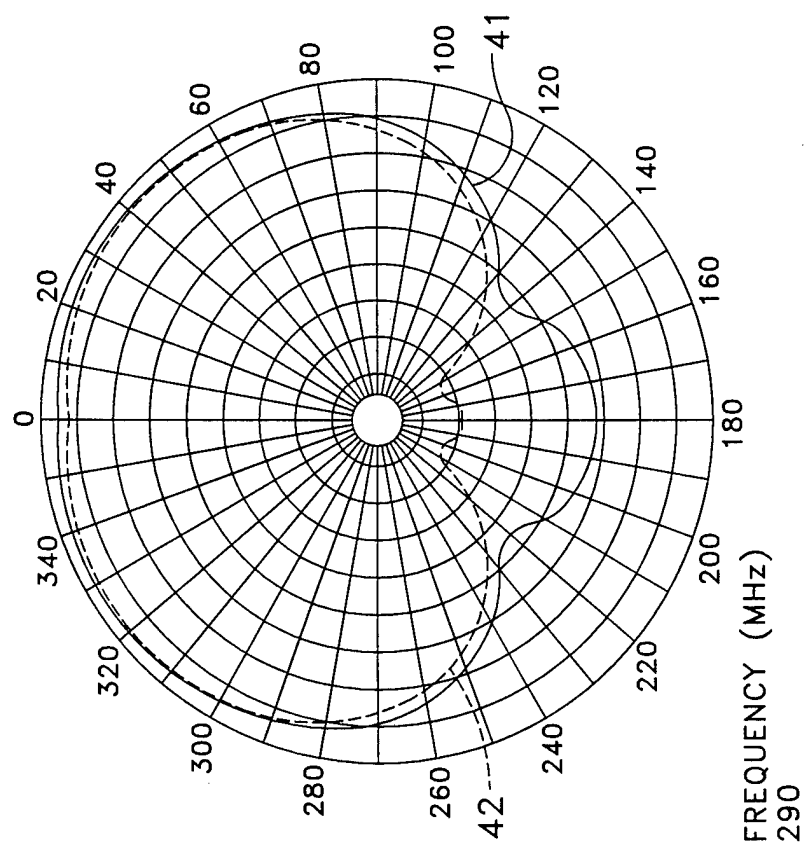


FIG. 6D

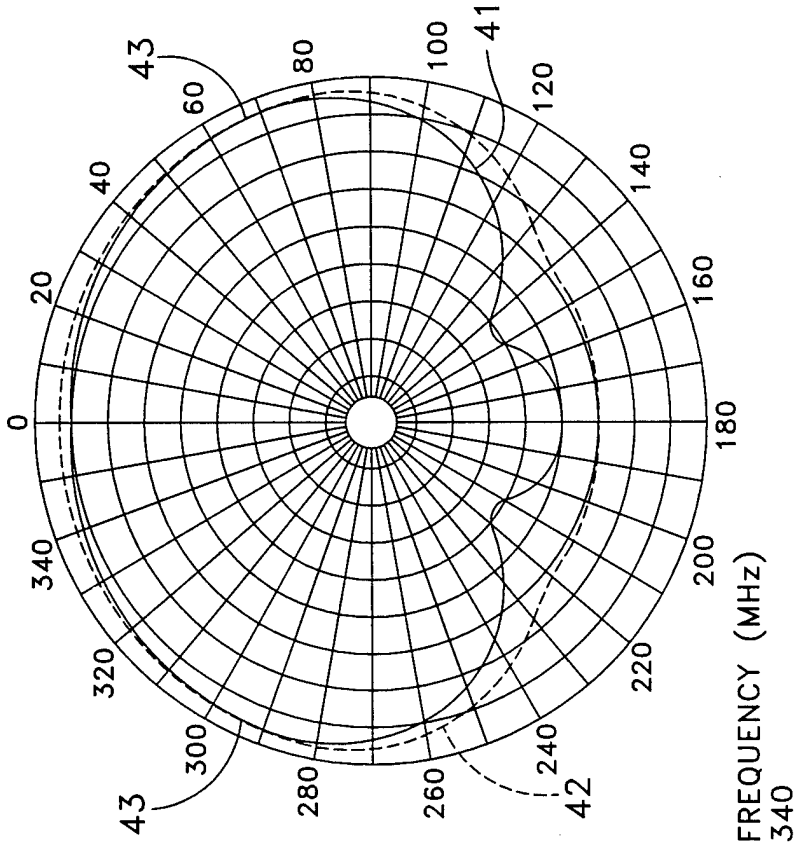


FIG. 6E

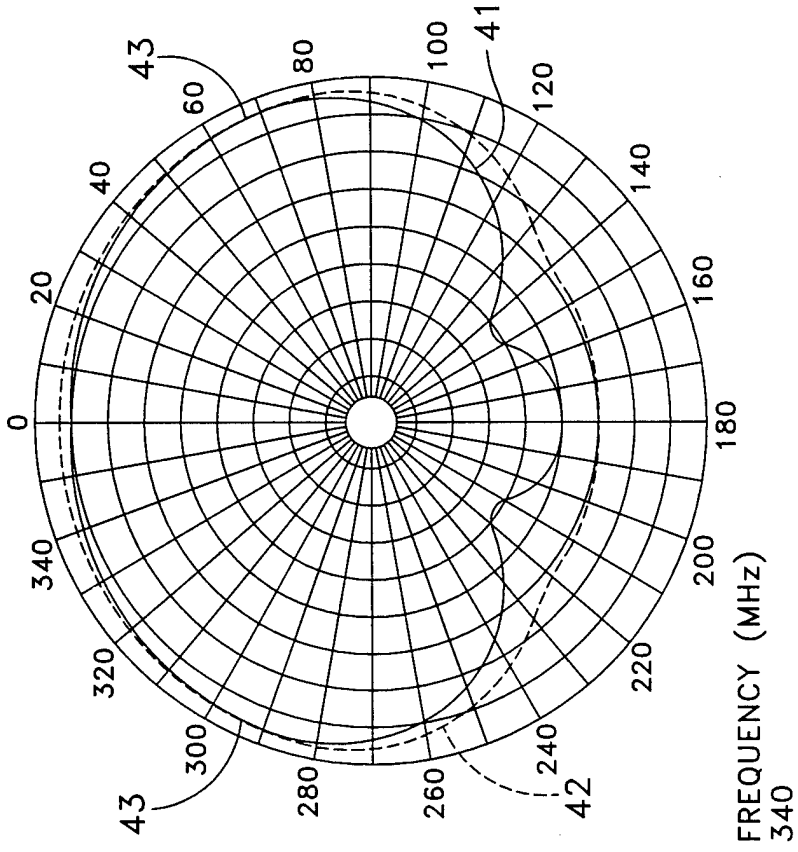


FIG. 6F

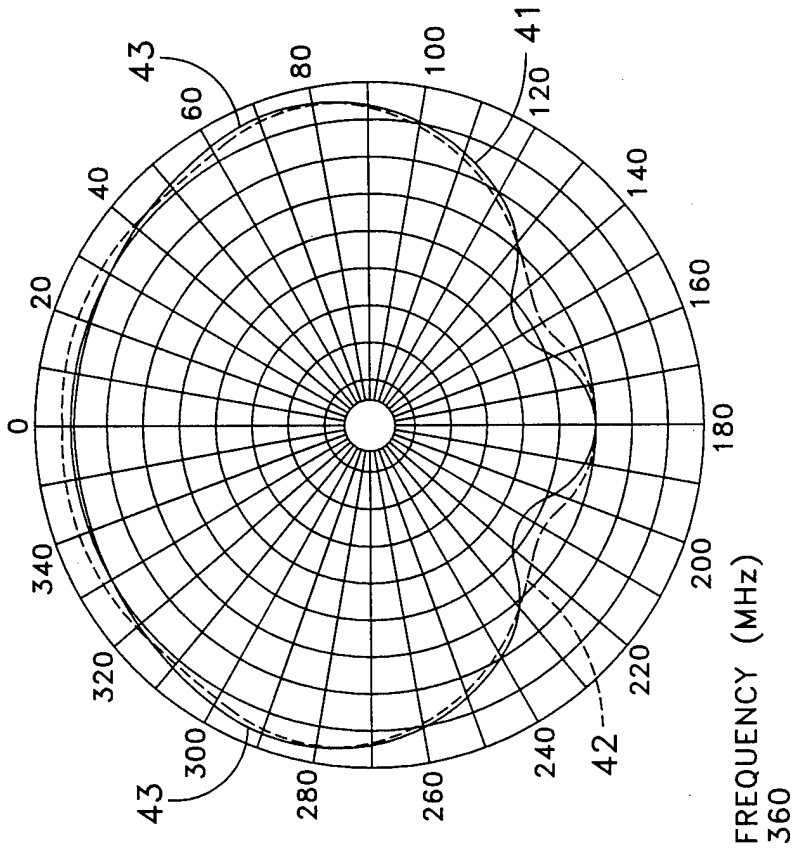


FIG. 6G

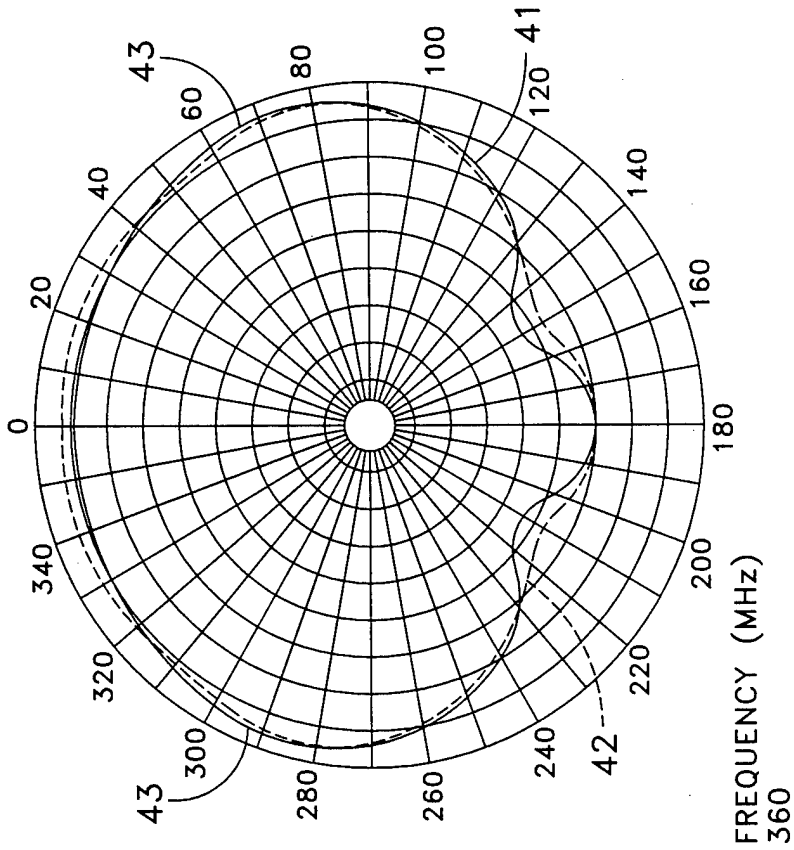


FIG. 6H

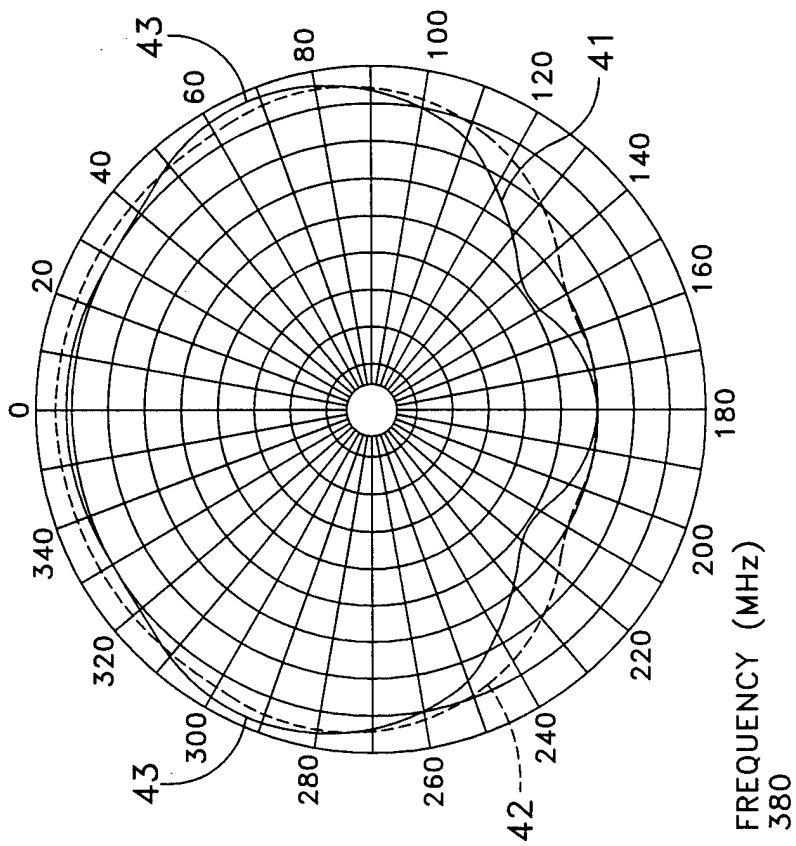


FIG. 6J

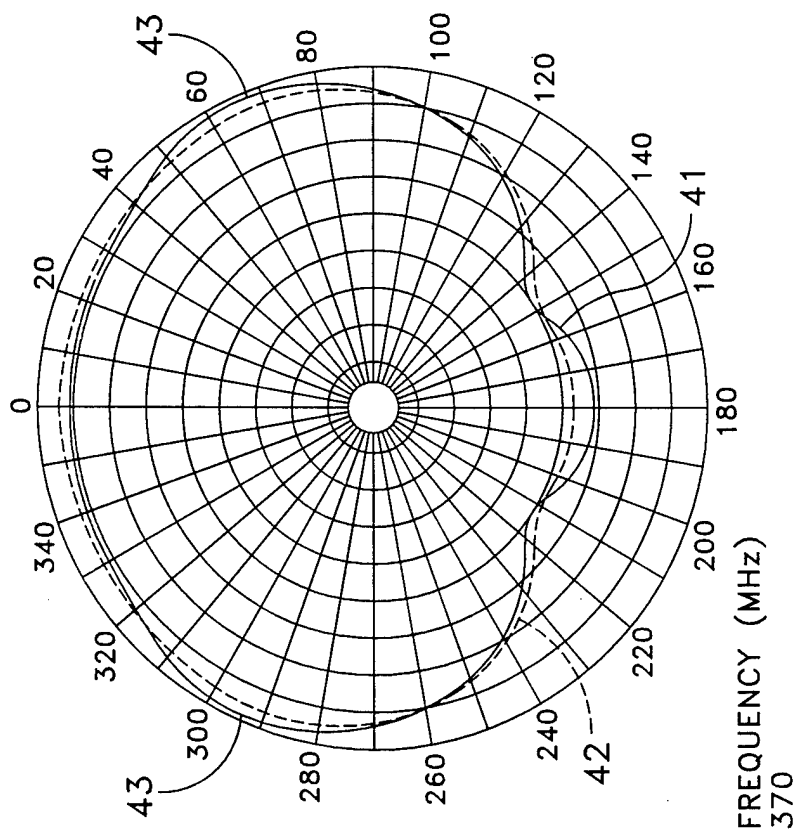


FIG. 6I

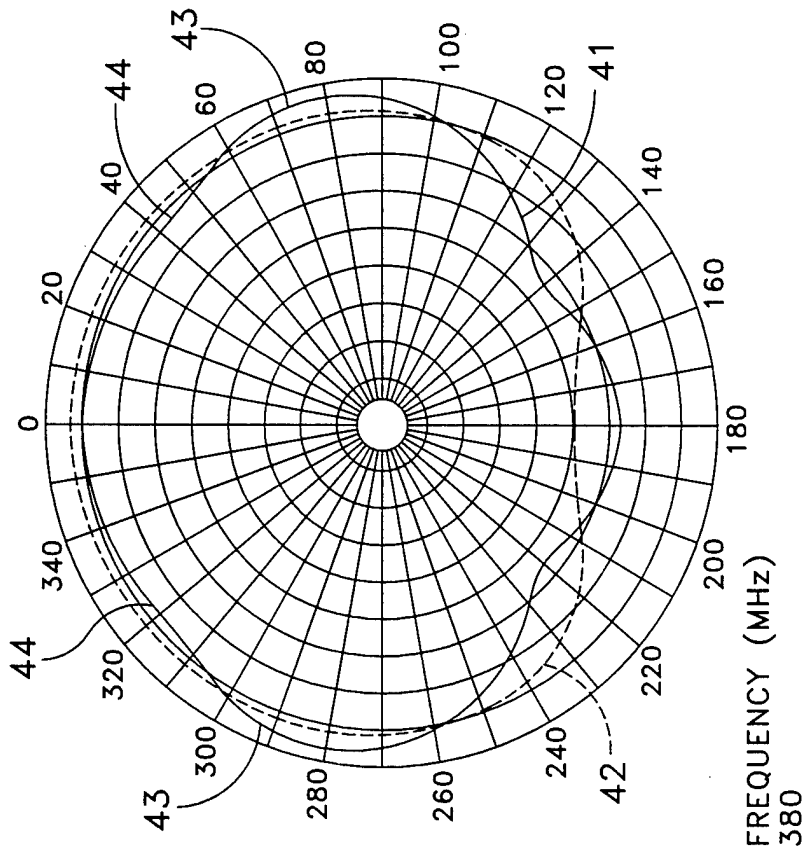


FIG. 6L

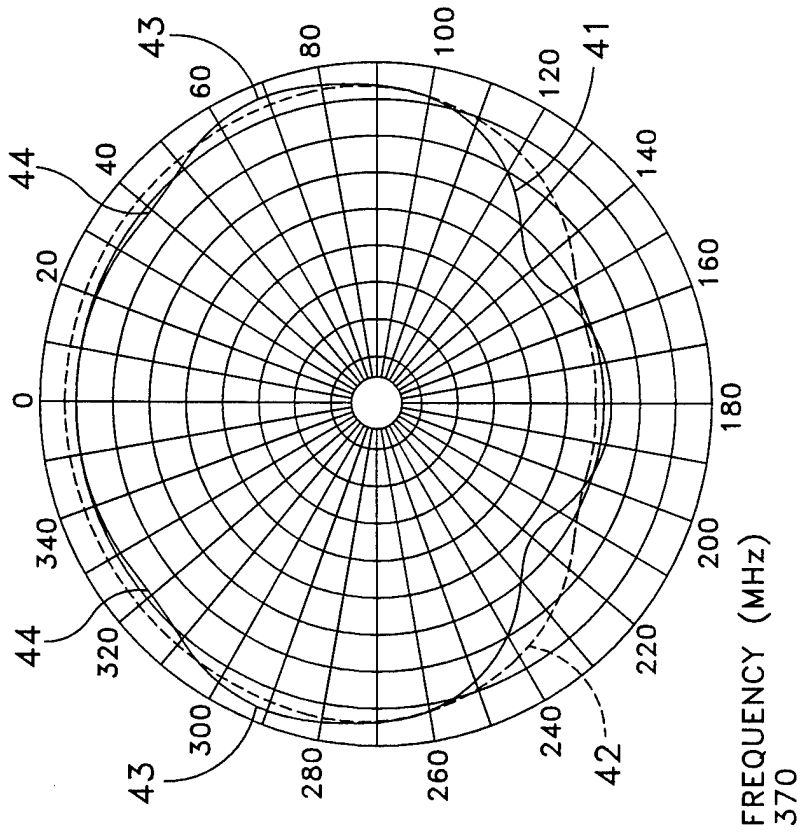


FIG. 6K