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1 Attorney Docket No. 78499

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3 RADIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM

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5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used
7 by or for the Government of the United States of America for
8 governmental purposes without the payment of any royalties
9 thereon or therefore.

10
11 CROSS-REFERENCE TO RELATED PATENT APPLICATION

12 This patent application is co-pending with two related
13 patent applications filed on the same date, entitled: COMPACT
14 DRIVE SHAFT FLOATING SEAL SYSTEM, Attorney Docket No. 78495, and
15 AXIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM, Attorney Docket
16 No. 78498, both having the same inventors as this patent
17 application.

18
19 BACKGROUND OF THE INVENTION

20 (1) Field Of The Invention

21 The present invention relates to sealing assemblies used on
22 rotating shafts and more particularly, relates to a radially
23 pressure balanced floating seal system used on a drive shaft.

1 (2) Description Of The Prior Art

2 O-ring seals are commonly used to seal rotating shafts used
3 in vehicles or machinery. For example, in an existing torpedo
4 tail cone assembly 10, FIG. 1, the drive shaft 12 of the torpedo
5 is typically sealed with an O-ring seal system having a seal
6 housing 14 and an O-ring seal 15 within a groove in an internal
7 annular surface of the housing 14. The seal housing 14 is located
8 within the tail cone housing 16 near the bearing 18. When the
9 torpedoes have stable and concentric shaft bearing mounts
10 relative to the seal, non-floating seal housings can be used, and
11 these housings will still maintain reasonable clearance to
12 prevent rubbing between the shaft 12 and the seal housing 14.
13 Larger shafts that are mounted soft enough to move or float
14 relative to the seal housing require floating seal housings. The
15 floating seal housing moves with the drive shaft 12 generally in
16 a radial direction as indicated by arrow 2 maintaining clearance
17 of the shaft 12 and preventing the shaft 12 from rubbing against
18 the seal housing 14.

19 In some types of O-ring sealing systems (not shown), two O-
20 rings are used on each side of a lubricant recess containing oil
21 or another type of lubricant for lubricating the O-ring seals.
22 Canting (or slanting) the O-rings within the seal housing
23 facilitates active lubrication of the seals as the shaft rotates

1 and improves the life span and capability of the seals. In
2 floating seal housings, however, standard canted O-ring seals
3 have resulted in an unbalanced radial or side force on the seal
4 housings. If the system is not axially pressure balanced, the
5 net axial force in a pressurized environment may not permit the
6 floating seal housing to float freely in the radial direction.
7 Thus, the unbalanced radial or side force often cannot overcome
8 the radial friction force due to axial pressure, and the shaft
9 will rub against the housing when the floating seal housing is
10 unable to float in response to the unbalanced radial force.

11

12

SUMMARY OF THE INVENTION

13 One object of the present invention is a radially pressure
14 balanced seal housing minimizes the potential of rubbing and
15 failure.

16 Another object of the present invention is a radially
17 pressure balanced seal housing in which the sealing members are
18 effectively lubricated.

19 A further object of the present invention is a radially
20 pressure balanced seal housing having a minimized seal length.

21 The present invention features a floating seal system for
22 sealing a rotating shaft. The floating seal system comprises an
23 outer seal housing having an internal recessed region and an

1 inner seal housing received in the internal recessed region. The
2 outer seal housing and the inner seal housing define an aperture
3 for receiving the shaft. The inner seal housing is movable in a
4 generally radial direction with respect to the outer seal housing
5 allowing radial movement of the shaft.

6 The inner seal housing includes an annular internal surface
7 defining the aperture through the inner seal housing, a lubricant
8 recess formed within the annular internal surface of the inner
9 seal housing for receiving lubricant and for holding the
10 lubricant against the shaft, and first and second sealing members
11 retaining grooves formed within the annular internal surface of
12 the inner seal housing. First and second inner sealing members
13 are disposed within respective first and second sealing member
14 retaining grooves. The first and second sealing member retaining
15 grooves and the first and second inner sealing members are double
16 canted such that the first and second inner sealing members are
17 lubricated by lubricant from the lubricant recess and are
18 radially balanced with respect to the shaft.

19 In the preferred embodiment, a retaining member coupled to
20 the outer seal housing retains the inner seal housing within the
21 internal recessed region while allowing the inner seal housing to
22 move in the generally radial direction. An end annular sealing
23 member is disposed between an end face of the inner seal housing

1 and a side of the internal recessed region, for sealing the inner
2 seal housing against the outer seal housing. One or more torque
3 members extend from the outer seal housing into engagement with
4 the inner seal housing for preventing rotation of the inner seal
5 housing relative to the outer seal housing. The inner seal
6 housing preferably includes one or more torque member receiving
7 regions and respective elastomer bushings in the torque member
8 receiving regions for receiving the torque member thus balancing
9 the sides for radial loading. The torque member receiving
10 regions preferably includes a clearance under the torque members
11 for allowing the inner seal housing to move in the generally
12 radial direction. The at least one torque member can include
13 multiple discrete torque members or one uniformly distributed
14 (360 degree) torque member.

15 According to the preferred embodiment of the inner seal
16 housing, the first and second sealing member retaining grooves
17 each have a first groove portion and a second groove portion.
18 The first groove portion and the second groove portion of the
19 first sealing member retaining groove each have an end lying in a
20 first radial plane generally orthogonal to an axis of the inner
21 seal housing and form an angle with respect to the first radial
22 plane. The first groove portion and the second groove portion of
23 the second sealing member retaining groove each have an end lying

1 in a second radial plane generally orthogonal to the axis of the
2 inner seal housing and form an angle with respect to the second
3 radial plane.

4 In one preferred embodiment of the inner seal housing, the
5 lubricant recess lies in a third radial plane generally
6 orthogonal to the axis of the inner seal housing. In another
7 preferred embodiment, the lubricant recess has a first recessed
8 portion and a second recessed portion. The first recessed
9 portion and the second recessed portion of the lubricant recess
10 each have an end lying in a third radial plane generally
11 orthogonal to the axis of the inner seal housing and form an
12 angle with respect to the third radial plane. The first recessed
13 portion and the first groove portions of the first and second
14 sealing member retaining grooves are generally parallel, and the
15 second recessed portion and the second groove portions of the
16 first and second sealing member retaining grooves are generally
17 parallel.

18

19

BRIEF DESCRIPTION OF THE DRAWINGS

20 These and other features and advantages of the present
21 invention will be better understood in view of the following
22 description of the invention taken together with the drawings
23 wherein:

1 FIG. 1 is a cross-sectional view of a torpedo tail cone
2 assembly having an O-ring seal system for sealing a drive shaft
3 according to the prior art;

4 FIG. 2 is cross-sectional view of a radially pressure
5 balanced floating seal system according to the present invention;

6 FIG. 3 is a cross-sectional view of an inner, floating seal
7 housing having straight or uncanted O-ring grooves;

8 FIG. 4 is a cross-sectional view of an inner, floating seal
9 housing having standard canted O-ring grooves;

10 FIGS. 5A-5B are cross-sectional views of inner seal housings
11 having double canted O-ring grooves, according to two embodiments
12 of the present invention;

13 FIG. 6 is a cross-sectional view of an inner seal housing
14 having double canted O-ring grooves and a double canted lubricant
15 recess, according to another embodiment of the present invention;
16 and

17 FIG. 7 is a comparative layout of double and single canted
18 O-rings and defined variables.

19

20 DESCRIPTION OF THE PREFERRED EMBODIMENT

21 A radially pressure balanced floating seal system 20, FIG.
22 2, according to the present invention, is used to seal a rotating
23 shaft 12 while allowing movement of the shaft in a radial

1 direction 2. In one example, the floating seal system 20 is
2 assembled in a tail cone housing 16 of a torpedo proximate the
3 shaft bearings 18, which are preferably mounted in a resilient
4 elastomer 19. The floating seal system 20 is held in place by a
5 spiral ring 22 or other similar retaining member or mechanism,
6 and the bearings 18 are held in place by a retaining ring 24 or
7 other similar retaining member or mechanism. A seal ring 26 made
8 of ground and polished, hard, chrome-plated, stainless steel or
9 alternative compatible material is preferably disposed around the
10 shaft 12 and between the shaft 12 and the floating seal system
11 20. The present invention contemplates other uses for the
12 floating seal system 20 in other types of vehicles or with
13 rotating shafts in other types of machines.

14 The floating seal system 20 includes an outer seal housing
15 30 and an inner seal housing 32 that "floats" relative to the
16 outer seal housing 32. The outer seal housing 30 and inner seal
17 housing 32 are preferably made of anodized aluminum or other
18 compatible material and the radial wall thickness of the inner
19 seal housing 32 is in the range of about 0.6 inches depending on
20 the application. One or more pins 34 or other similar members
21 extend from the outer seal housing 30 to a pocket 36 in the tail
22 cone housing 16 to prevent rotation of the outer seal housing 30
23 relative to the tail cone housing 16. An outer O-ring 38 or

1 other type of sealing member is preferably placed between the
2 outer seal housing 30 and the tail cone housing 16.

3 The outer seal housing 30 includes an internal recessed
4 region 40, for receiving the inner seal housing 32, such that the
5 outer and inner seal housings 30, 32 form a shaft receiving
6 aperture that receives the rotating shaft 12. The inner seal
7 housing 32 is movable generally in the radial direction 2 with
8 respect to the outer seal housing 30 to allow radial movement of
9 the shaft 12. The inner seal housing 32 is preferably retained
10 within the outer seal housing 30 with a retaining ring 44 or
11 other similar retaining member or mechanism. An end O-ring 45 or
12 other type of sealing member is preferably disposed between an
13 end face of the inner seal housing 32 and a wall of the internal
14 recessed region 40 for sealing the inner seal housing 32 with
15 respect to the outer seal housing 30.

16 Two or more equally loaded torque members 46 extend from the
17 outer seal housing 30 to engage the inner seal housing 32 and
18 prevent rotation of the inner seal housing 32 while allowing the
19 inner seal housing 32 to move radially. In the exemplary
20 embodiment, the torque member(s) 46 include tabs, bolts, or pins
21 that are inserted into a respective torque member receiving
22 region or recess 48 in the inner seal housing 32. An elastomer
23 bushing 50 is preferably disposed within each recess 48. The

1 elastomer bushings 50 preferably have a relatively low
2 compression and shear spring rate. These are application
3 dependent and are compared with the lateral or side spring rates
4 of O-rings 60a and 60b. Load is spread equally by the sealing
5 torque from the shaft. This results in minimal side forces on
6 the inner seal housing 32 as a result of the torque and/or as a
7 result of the off-set displacements of the shaft 12 compared to
8 the outer seal housing 30, and also reduces lateral compression
9 of O-rings 60a and 60b. This minimizes the chance of housing 32
10 rubbing on seal ring 54. The clearance C_1 between the inner seal
11 housing and outer seal housing and the clearance C_2 beneath the
12 torque member(s) 46 are designed to exceed the maximum
13 eccentricity of the shaft centerline or axis 4 and are preferably
14 in a range of about .06 to .09 inches depending on the design
15 application.

16 The inner seal housing 32 further includes a lubricant
17 recess 52 formed within an internal annular surface 54 of the
18 inner seal housing 32 for containing oil or other lubricant. A
19 first hole 56 is used to inject the oil into the recess 52 (e.g.,
20 to about 60 to 70% full) and is sealed with a self sealing plug
21 58 or other sealing mechanism. A second hole (not shown) can
22 also be provided for venting during filling through the first
23 hole 56.

1 Inner O-rings 60a, 60b or other similar sealing members are
2 disposed on each side of the lubricant recess 52 in O-ring
3 grooves 62a, 62b. Preferably, only the O-rings 60a, 60b touch
4 the seal ring 26 around the shaft 12, and the O-rings 60a, 60b
5 cause the inner seal housing 32 to radially position itself. The
6 floating seal system 20 example shown here is also an axially
7 pressure balanced to minimize friction between the side O-ring 45
8 and the wall of the internal recessed region 40 and to allow the
9 inner seal housing 32 to radially align itself even under
10 pressure. The system is substantially axially pressure balanced
11 because the inner seal housing 32 is surrounded by environmental
12 pressure on the outside surfaces including the ends. O-ring 45
13 seals the inner 32 and outer 30 housing interface. O-ring 60b
14 seals at a slightly smaller diameter than O-ring 45. This
15 results in a nearly, but not completely, axially pressure
16 balanced system. Clearance between the internal annular surface
17 54 of the inner seal housing 32 and the seal ring 26 around the
18 shaft 12 is determined by the maximum pressure to be sealed. In
19 one example, this clearance is about .008 in. radially at 620
20 psi. The .008 inch radial clearance is required to clear the
21 shaft yet prevent extrusion of the O-rings at pressure and is
22 design dependent. A low friction material 64 can be used on the
23 internal annular surface 54 in the lubricated area as well as

1 outside the lubricated area to prevent galling during any
2 unintended contact. Examples of low friction material 64 include
3 a plain bearing material, self lubricating material, and/or
4 integral low friction coatings.

5 The O-rings 60a, 60b and O-ring grooves 62a, 62b are
6 preferably double canted so that the lubricant in the lubricant
7 recess 52 actively lubricates the O-rings and so that the inner
8 seal housing 32 is radially balanced. An inner seal housing 70,
9 FIG. 3, having a lubricant recess 72 and straight sealing grooves
10 74a, 74b parallel to the lubricant recess 72 is radially
11 balanced. However, the O-rings 76a, 76b in the straight grooves
12 74a, 74b are not actively lubricated by the lubricant in the
13 lubricant recess 72 as the shaft 12 rotates within the inner seal
14 housing 70. The straight sealing grooves 74a, 74b minimize the
15 overall sealing length L_1 for given shoulder lengths T and widths
16 of grooves 74a, 74b and lubricant recess 72. Minimizing the
17 sealing length L_1 is advantageous for applications that have a
18 limited space to install shaft seals.

19 An inner seal housing 80, FIG. 4, having simple canted (or
20 slanted) lubricant recess 82 and O-ring grooves 84a, 84b permits
21 better lubrication than the straight O-rings shown in FIG. 3 as
22 the shaft 12 rotates. However, the canted O-rings 86a, 86b
23 expose the housing 80 to unbalanced asymmetric radial pressure

1 and require a longer sealing length L_2 . Because the pressure P_1
2 outside of the seal is greater than the pressures P_2 and P_3 , the
3 canting of the O-rings 86a, 86b results in a net radial side
4 force F that places pressure on the internal surface 88 of the
5 housing 80 in the region 89 beneath the O-ring 86b when assembled
6 on the shaft 12. This net radial side force F can overcompress
7 the O-rings 84a, 84b and cause the shaft 12 to rub on the
8 internal surface 88 of the inner seal housing 80.

9 One preferred embodiment of the inner seal housing 90, FIGS.
10 5A-5B, includes a lubricant recess 92 and first and second double
11 canted O-ring grooves 94a, 94b. Each of the double canted O-ring
12 grooves 94a, 94b include first groove portions 95a, 95b and
13 second groove portions 96a, 96b that are symmetric with respect
14 to the axis 4. The first and second groove portions 95a, 96a of
15 the first O-ring groove 94a each have a point 97a, 98a that lies
16 in a first radial plane 6a generally orthogonal to the axis 4 of
17 the housing 90. The first and second groove portions 95a, 96a
18 form an angle α with respect to the first radial plane 6a. The
19 angle α is preferably less than twice the standard canted angle,
20 for example, in the range of no more than 4° to 10° . This will
21 permit sufficient axial sweep speed and proper geometry for re-
22 lubrication of the O-ring surface. Similarly, the first and

1 second groove portions 95b, 96b of the second O-ring groove 94b
2 each have a point 97b, 98b that lies in a second radial plane 6b
3 generally orthogonal to the axis 4 of the housing 90, and the
4 first and second groove portions 95b, 96b form an angle α with
5 respect to the second radial plane 6b.

6 In this embodiment, the lubricant recess 92 is generally
7 straight (i.e., uncanted) (FIG. 5A) or shaped to maximize volume
8 (FIG. 5B) and generally lies in a third radial plane 6c between
9 the first and second double canted O-ring grooves 94a, 94b.
10 Because each of the double canted O-ring grooves 94a, 94b having
11 symmetrical first and second groove sections 95a, 96a, 95b, 95a,
12 the pressure areas are symmetric and the net radial side force is
13 zero.

14 Another preferred embodiment of the inner sealing housing
15 100, FIG. 6, includes a double canted lubricant recess 102 as
16 well as first and second double canted O-ring grooves 104a, 104b.
17 The double canted lubricant recess 102 includes first and second
18 lubricant recess sections 101, 103 that form an angle α with
19 respect to the third radial plane 6c. The first groove sections
20 105a, 105b are generally parallel to the first lubricant recess
21 section 101, and the second groove sections 106a, 106b are
22 generally parallel to the second lubricant recess section 103.

1 This double canted arrangement of the lubricant recess 102 and
2 the O-ring grooves 104a, 104b provides active lubrication and
3 radial pressure balancing, while also further minimizing the
4 sealing length L_4 , as compared to the inner sealing housing 90
5 having the straight, uncanted lubricant recess 92 (FIG. 5).

6 Double canted O-rings have several considerations that must
7 be addressed when implementing them in place of single canted O-
8 rings. The geometry and variables are shown in FIG. 7. The
9 contact surface length, L_c , of the O-ring on the shaft surface is
10 equal to or less than the O-ring width, L_s , and depends upon the
11 squeeze and hardness of the O-ring.

12 Both double and single canted O-rings require sufficient
13 cant angle to produce at least the minimum required reciprocating
14 speed in addition to providing the proper geometry for contact
15 surface lubrication during rotation. The standard canting angle,
16 θ , is designed primarily to provide an average axial sweep rate
17 of the O-ring along the shaft surface in one revolution of the
18 shaft. It is preferred that this sweep rate results in a
19 relative minimum movement of the O-ring along the shaft of at
20 least 20 ft/min in order to minimize the static friction effects
21 (called stiction) on the O-ring as it stops and starts its
22 sinusoidal sliding along the shaft.

1 generally provided at 2 to 5 degrees depending on the specific
2 application and design.

3 Secondly, the angles required for proper lubrication of the
4 O-ring surface are described. From FIG. 7, it can be seen that
5 the minimum angle, θ , would occur when $L = L_c$. It is preferred
6 to have $L > L_c$ to provide superior lubrication. The cant angle
7 that is chosen by the designer, in conjunction with the minimum
8 sweep speed requirements, may be large enough to also ensure
9 proper lubrication.

10 The equation below describes the sweep rate relationships
11 for the double canted O-ring:

$$12 \quad MRS2 = 4(D)(\tan \theta)(\omega)(ft/12in) \geq 20 \text{ ft/min} \quad (4)$$

$$13 \quad \text{Where: } \tan \theta = 2L/D \quad (5)$$

14 $MRS2$ = the minimum reciprocating speed in feet per
15 minute for double cant O-rings

$$16 \quad \text{Thus: } MRS2 = 8(L)(\omega)(ft/12in) \geq 20 \text{ ft/min} \quad (6)$$

17 The two design criteria for double cant angle O-rings are
18 discussed below in further detail. First, the double canted O-
19 ring of the current invention sweeps back and forth fully twice
20 each shaft revolution. Thus, the required cant angle, θ , for the
21 double canted O-ring is nominally only one half that of θ_c for the

1 single cant to produce the required minimum sweep rate to avoid
2 stiction.

3 Secondly, the cant angle, , must be large enough to
4 lubricate the O-ring contact surface on each half revolution or
5 twice during each full sweep of the O-ring. The double canted O-
6 ring will require only one-half a revolution for lubrication,
7 while the single canted O-ring requires a full revolution.
8 Again, the cant angle that is chosen by the designer, in
9 conjunction with the minimum sweep speed requirements, may be
10 large enough to also ensure proper lubrication.

11 Accordingly, the present invention provides a radially
12 pressure balanced floating seal system that eliminates unbalanced
13 canted O-rings that cause net radial side forces and rubbing of
14 the shaft on the seal housing. The radially balanced floating
15 seal system of the present invention also actively lubricates the
16 seals and minimizes the sealing length.

17 In light of the above, it is therefore understood that
18 the invention may be
19 practiced otherwise than as specifically described.

2

3 RADIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM

4

5 ABSTRACT OF DISCLOSURE

6 A radially pressure balanced floating seal system is used to
7 seal a rotating shaft, such as a drive shaft in a torpedo, or
8 other type of vehicle or machinery. The radially pressure
9 balanced floating seal includes an outer seal housing and an
10 inner seal housing that floats with respect to the outer seal
11 housing. The outer seal housing is secured proximate the shaft
12 bearings, for example, in the tail cone of a torpedo. The inner
13 seal housing is secured within an internal recessed region in the
14 outer seal housing, and two or more discrete torque members or
15 one distributed torque member extend from the outer seal housing
16 to the inner seal housing to prevent rotation of the inner seal
17 housing while allowing movement generally in a radial direction.
18 The inner seal housing includes a lubricant recess formed within
19 an internal annular aperture of the inner seal housing for
20 containing lubricant. Double canted O-rings are disposed on each
21 side of the lubricant recess in double canted O-ring grooves.
22 The double canted grooves and O-rings prevent unbalanced radial
23 forces that might cause rubbing of the shaft against the seal

- 1 housing. In one embodiment, the lubricant recess is double
- 2 canted to also minimize the sealing length.

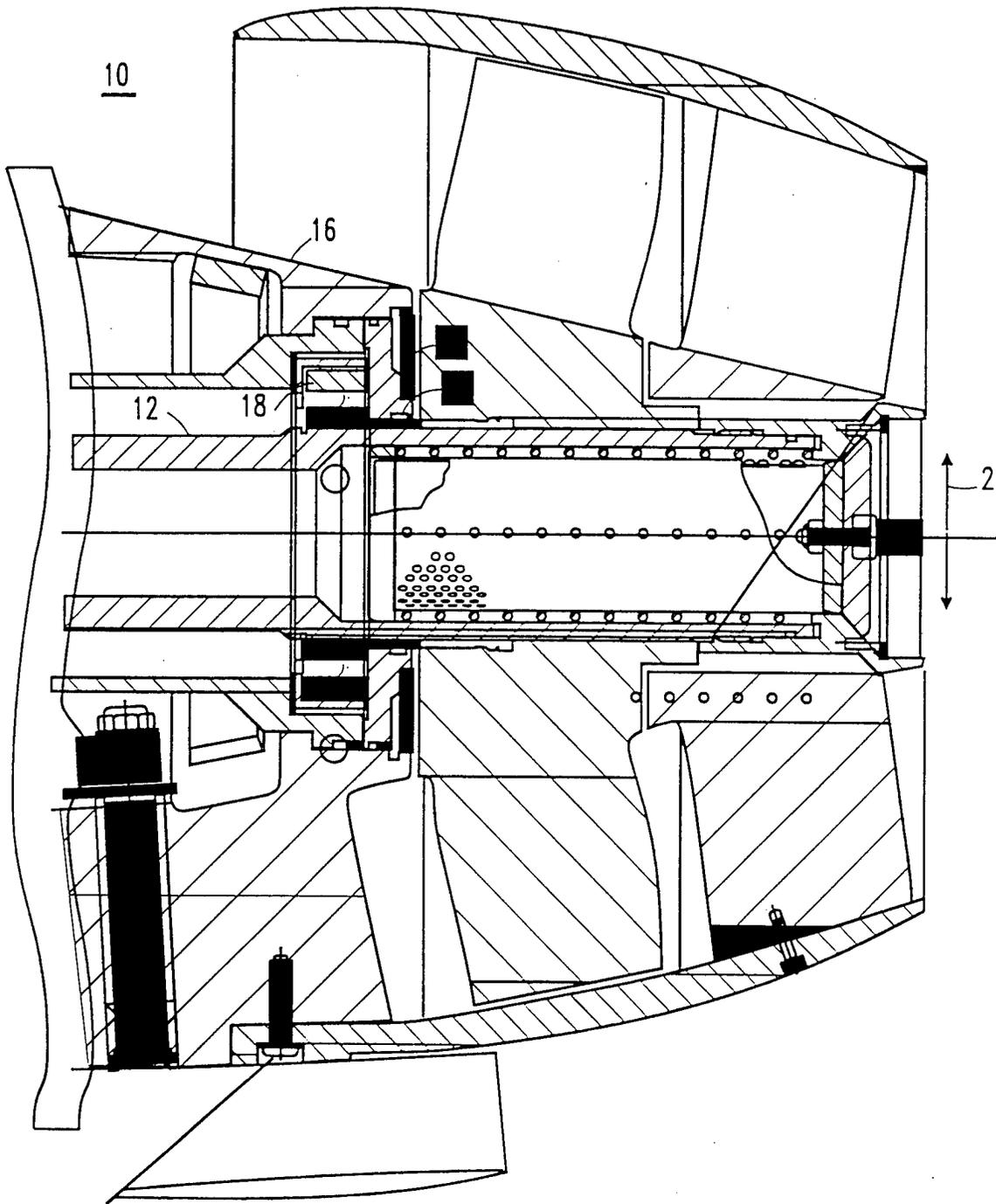
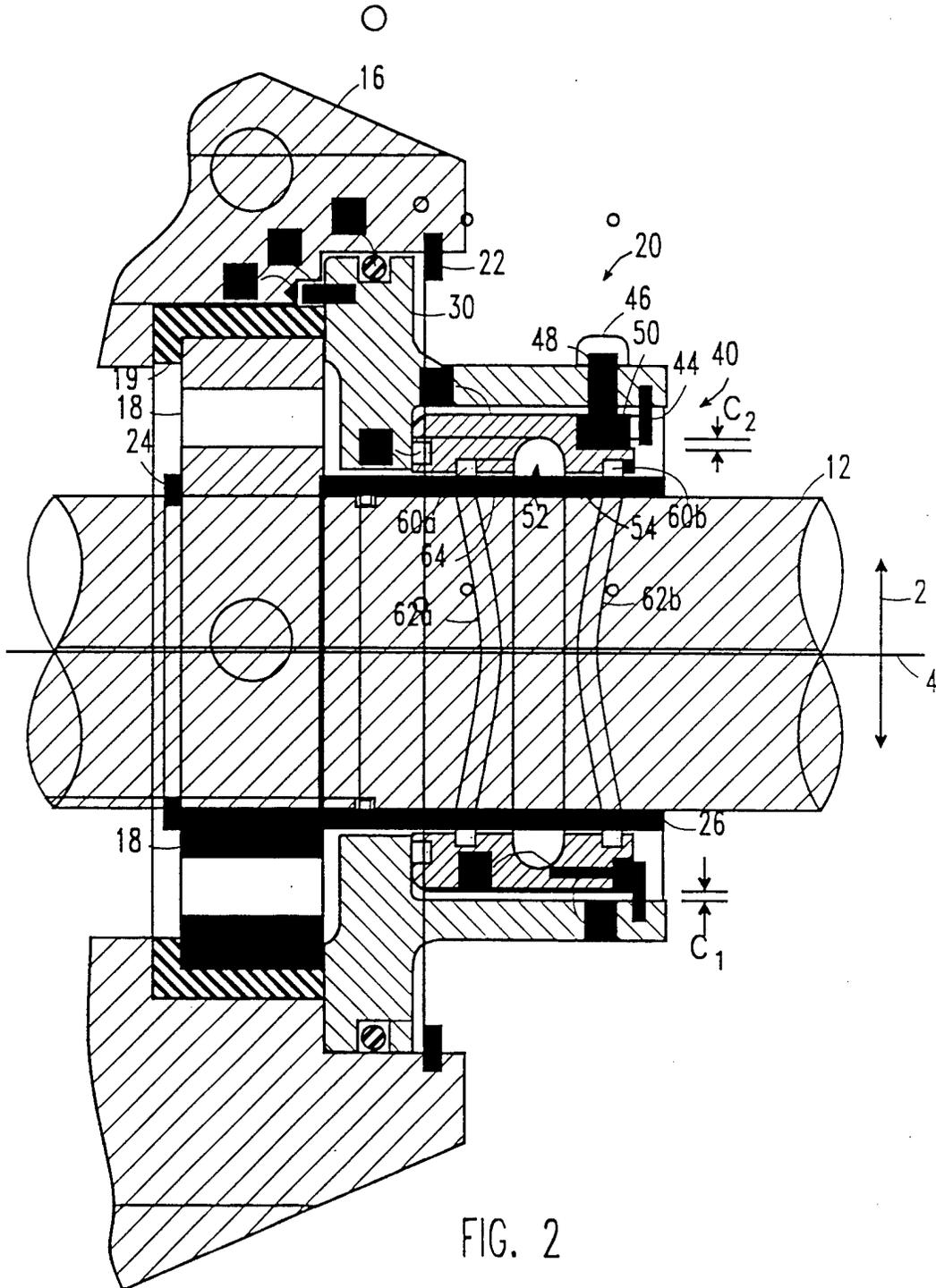


FIG. 1
PRIOR ART



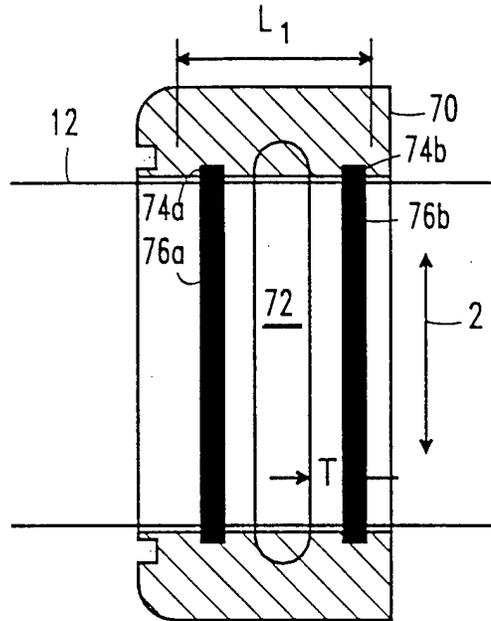


FIG. 3
PRIOR ART

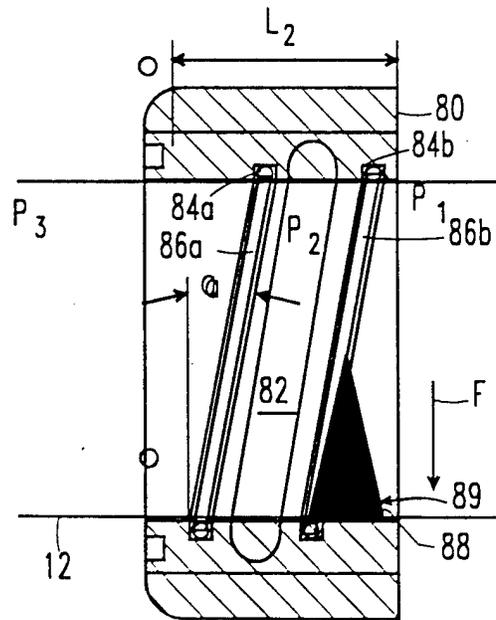


FIG. 4
PRIOR ART

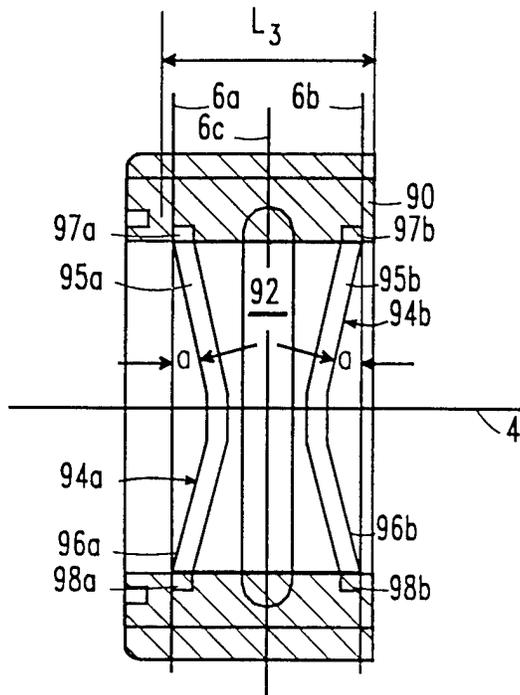


FIG. 5A

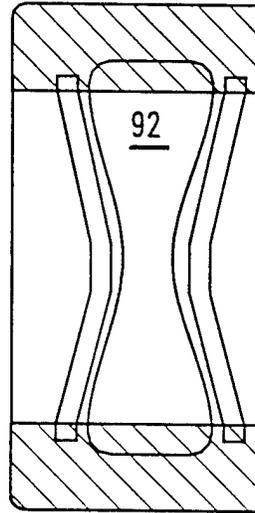


FIG. 5B

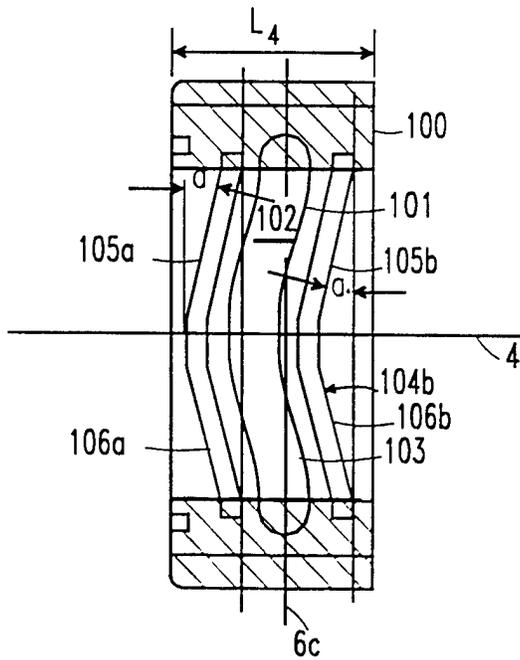
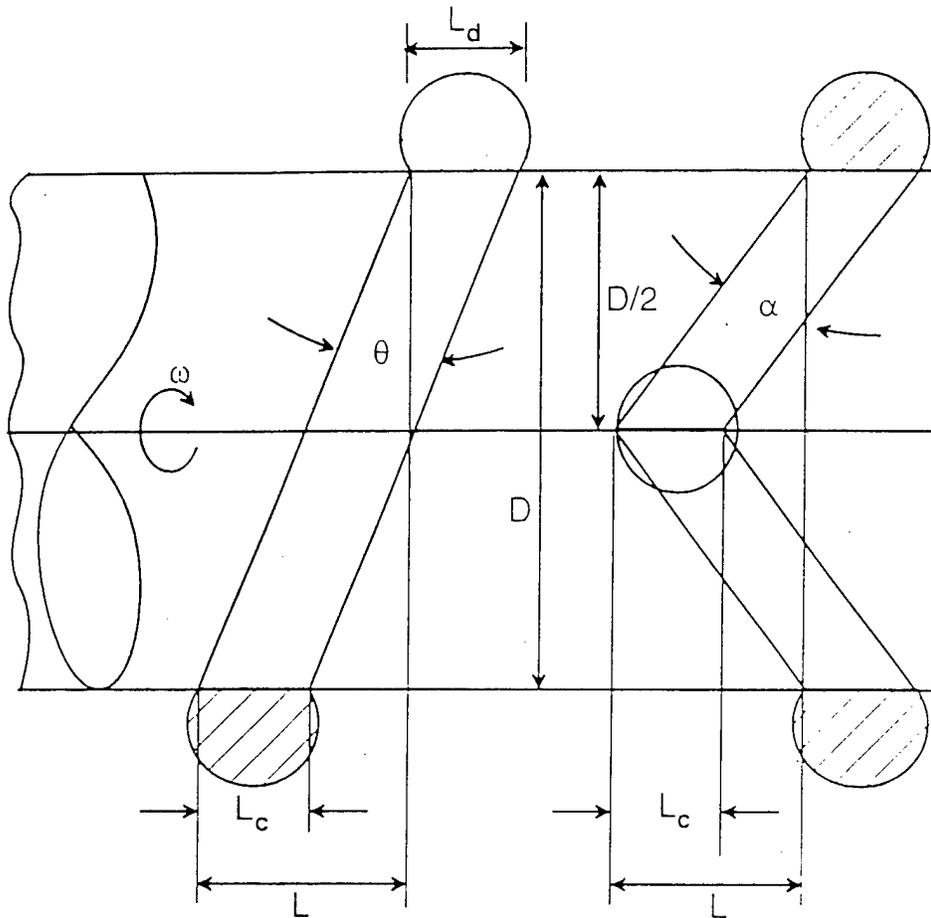


FIG. 6



- ω = SHAFT VELOCITY IN REVOLUTIONS PER MINUTE
 θ = SINGLE CANT SLANT ANGLE = $\text{ARCTAN}(L/D)$
 α = DOUBLE CANT SLANT ANGLE = $\text{ARCTAN}(2L/D)$
 D = SHAFT DIAMETER AND SEAL BORE
 L_d = NOMINAL O-RING CROSS SECTION DIAMETER
 L_c = O-RING SURFACE CONTACT LENGTH ($.3L \geq L_c \leq 1.0L$)
 L = CONTACT SURFACE DISPLACEMENT (L MINIMUM = L_c)
 BETTER LUBRICATION WHEN ($L > L_c$)

FIG. 7