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1 Attorney Docket No. 78498

2
3 AXIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM

4
5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used
7 by or for the Government of the United States of America for
8 governmental purposes without the payment of any royalties
9 thereon or therefore.

10
11 CROSS-REFERENCE TO RELATED PATENT APPLICATION

12 This patent application is co-pending with two related
13 patent applications filed on the same date, entitled: COMPACT
14 DRIVE SHAFT FLOATING SEAL SYSTEM, Attorney Docket No. 78495, and
15 RADIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM, Attorney Docket
16 No. 78499, both having the same inventors as this patent
17 application.

18 BACKGROUND OF THE INVENTION

19 (1) Field Of The Invention

20 The present invention relates to sealing assemblies used on
21 rotating shafts and more particularly, relates to an axially
22 pressure balanced floating seal system used on a drive shaft.

1 (2) Description Of The Prior Art

2 O-ring seals are commonly used to seal rotating shafts used
3 in vehicles or machinery. For example, in an existing torpedo
4 tail cone assembly 10, FIG. 1, the drive shaft 12 of the torpedo
5 is typically sealed with an O-ring seal system having a seal
6 housing 14 and an O-ring seal 15 within a groove in an internal
7 annular surface of the housing 14. The seal housing 14 is
8 located within the tail cone housing 16 near the bearing 18.
9 When the torpedoes have stable and concentric shaft bearing
10 mounts relative to the seal, non-floating seal housings can be
11 used, and these housings will still maintain reasonable clearance
12 to prevent rubbing between the shaft 12 and the seal housing 14.
13 Larger shafts that are mounted soft enough to move or float
14 relative to the seal housing require floating seal housings. The
15 floating seal housing moves with the drive shaft 12 generally in
16 a radial direction as indicated by arrow 2 maintaining clearance
17 of the shaft 12 and preventing the shaft 12 from rubbing against
18 the seal housing 14.

19 In order to allow greater torpedo reliability and operations
20 at depth, replacement of the prior art seal described above was
21 proposed. If a larger envelope is available for a seal, an
22 axially pressure balanced floating seal system could be
23 implemented to allow radial movement of the drive shaft. The

1 seal should also be operative at greater pressures while the
2 drive shaft is rotating at operational speeds.

3

4 SUMMARY OF THE INVENTION

5 One object of the present invention is a high pressure,
6 dynamic seal system that moves radially with the drive shaft at
7 all operating pressures.

8 Another object of the present invention is a high pressure
9 seal system fitting that can be used in current systems with only
10 minor modifications.

11 A further object of the present invention is a reliable high
12 pressure seal system in which the sealing members are effectively
13 lubricated.

14 Accordingly, the present invention provides an axially
15 pressure balanced floating seal system positioned within a
16 structure about a rotating shaft. The floating seal system has a
17 cylindrical outer seal housing with a cylindrical internal
18 recessed region formed therein. A retaining flange extends
19 radially from the housing into the internal recessed region. A
20 cylindrical inner seal housing is positioned within the internal
21 recessed region. The inner seal housing has an annular internal
22 surface for accommodating the rotating shaft. Two sealing member
23 retaining grooves are provided in the annular internal surface

1 and a lubricant recess or oil gallery is formed between the two
2 grooves. An intermediate seal is retained on the inner seal
3 housing forward face between the inner seal housing and the outer
4 seal housing at the retaining flange. Sealing members are
5 positioned in the sealing member retaining grooves at
6 substantially the same radius as the intermediate seal.

7

8

BRIEF DESCRIPTION OF THE DRAWINGS

9 These and other features and advantages of the present
10 invention will be better understood in view of the following
11 description of the invention taken together with the drawings
12 wherein:

13 FIG. 1 is a cross-sectional view of a torpedo tail cone
14 assembly having an O-ring seal system for sealing a drive shaft
15 according to the prior art;

16 FIG. 2 is cross-sectional view of a substantially pressure
17 balanced floating seal system according to a first embodiment of
18 the present invention;

19 FIG. 3 is cross-sectional view of a pressure balanced
20 floating seal system according to a second embodiment of the
21 present invention;

1 FIG. 4 is cross-sectional view of a pressure balanced
2 floating seal system according to a third embodiment of the
3 present invention;

4 FIG. 5 is cross-sectional view of a pressure balanced
5 floating seal system according to a fourth embodiment of the
6 present invention;

7 FIG. 6 is an end view of a seal system having a tab ring
8 taken along line 6--6 of FIG. 5; and

9 FIG. 7 is cross-sectional view of a pressure balanced
10 floating seal system according to a final embodiment of the
11 present invention.

12

13 DESCRIPTION OF THE PREFERRED EMBODIMENT

14 FIG. 2 shows a compact drive shaft floating seal system,
15 according to the present invention, is used to seal a rotating
16 shaft 12 while allowing movement of the shaft in a radial
17 direction 2 at operating pressure differentials. In this
18 example, the floating seal system 20 is assembled in a tail cone
19 housing 16 of a torpedo proximate the shaft bearings 18, which
20 are preferably mounted in a resilient elastomer 22. In reference
21 to this and other drawings, the direction toward the tail cone
22 will be referred to as "forward", the direction away from the
23 tail cone as "aft", the direction upward or downward away from

1 the center as "outward" and the direction toward the center as
2 "inward". The floating seal system 20 is held in place by a
3 spiral ring 24 or other similar retaining member or mechanism,
4 and the bearings 18 are held in place by a retaining ring 26 or
5 other similar retaining member or mechanism. A seal ring 28 made
6 of ground and polished in place, hard, chrome-plated, stainless
7 steel or alternative compatible material is preferably disposed
8 around the shaft 12 between the shaft 12 and the floating seal
9 system 20. Seal ring 28 is preferably statically sealed on shaft
10 12 with a radial O-ring. The present invention contemplates
11 other uses for the floating seal system 20 in other types of
12 vehicles or with rotating shafts in other types of machines.

13 The floating seal system 20 includes an outer seal housing
14 30 and an inner seal housing 32 that floats in a radial direction
15 relative to the outer seal housing 30. Outer seal housing 30 and
16 inner seal housing 32 are preferably made of anodized aluminum or
17 other compatible material, and the radial wall thickness of the
18 inner seal housing 32 is in the range of about 0.6 inches
19 depending on the application. One or more pins 34 or other
20 similar members extend from the outer seal housing 30 to a pocket
21 36 in the tail cone housing 16 to prevent rotation of the outer
22 seal housing 30 relative to the tail cone housing 16. An outer

1 O-ring 38 or other type of sealing member is preferably placed
2 between the outer seal housing 30 and the tail cone housing 16.

3 The outer seal housing 30 includes an internal recessed
4 region 40, for receiving the inner seal housing 32, such that the
5 outer and inner seal housings 30, 32 form a shaft receiving
6 aperture that receives the rotating shaft 12. Inner seal housing
7 32 is nested against a retaining flange 42 extending radially
8 inward into recessed region 40. A torque bolt 44 positioned in
9 an outer torque bolt aperture 46 formed in outer seal housing 30
10 joins inner seal housing 32 in an inner torque bolt aperture 48.
11 A minimum of two torque bolts 44 are necessary to minimize side
12 loads on inner seal housing 32. An elastomeric bushing 50
13 positioned in inner torque bolt aperture 48 prevents transfer of
14 vibrations from inner seal housing 32 to outer seal housing 30.
15 A clearance present between torque bolt 44 and the base of inner
16 torque bolt aperture 48 allows radial movement of inner seal
17 housing 32. The inner seal housing 32 is preferably retained
18 within the outer seal housing 30 with a retaining ring 52
19 positioned within a groove in the wall of recessed region 40;
20 however, another similar retaining member or mechanism can be
21 used for this purpose. Inner seal housing 32 is sealed against
22 outer seal housing 30 retaining flange 42 by an intermediate O-
23 ring 54 positioned in an intermediate seal retaining groove 55.

1 Inner seal housing 32 can be removed from outer seal housing 30
2 without removing outer seal housing from tail cone housing 16 by
3 removing retaining ring 52 and torque bolt 44.

4 Inner seal housing 32 is sealed against seal ring 28 by two
5 O-rings 56a and 56b housed within O-ring grooves 58a and 58b.
6 Preferably, only the O-rings 56a, 56b touch the seal ring 28
7 around the shaft 12. A lubricant recess 60 is disposed between
8 O-ring grooves 58a and 58b formed within an internal annular
9 surface 62 of the inner seal housing 32 for containing oil or
10 other lubricant. A first hole 64 is used to inject the oil into
11 the recess 60 (e.g., to about 60 to 70% full) and is sealed with
12 a self sealing plug 66 or other sealing mechanism. A second hole
13 (not shown) can also be provided for venting during filling
14 through the first hole 64.

15 Seal system 20 is expected to be used in a pressurized
16 environment where the pressure external to the seal system 20
17 exceeds the pressure within tail cone 16. At all but extreme
18 pressures, inner seal housing 32 will align itself with seal ring
19 28 on shaft 12 by radially repositioning itself. At extremely
20 high pressure differentials the inner seal housing 32 will be
21 pushed forward and grounded against retaining flange 42 by the
22 small region of inner seal housing 32 within intermediate O-ring
23 54 and outside the sealing radius of O-ring 56b. Because inner

1 seal housing 32 is subjected to high pressure on its aft face,
2 outside surface and most of its forward face, the force on the
3 inner seal housing 32 is this small region multiplied by the
4 pressure differential. The pressure differential causing this
5 grounding is dependent on the stiffness of intermediate O-ring 54
6 and the axial spacing between inner seal housing 32 and outer
7 seal housing 30. During alignment, O-rings 56a and 56b touch the
8 seal ring 28 and slide inner seal housing 32 radially until
9 properly positioned. Radial self-positioning of housing 32
10 allows shaft 12 and seal assembly 20 to be manufactured with
11 looser tolerances and limits potential contact between inner seal
12 housing 32 and seal ring 28.

13 FIGS. 3-5 show alternate embodiments of the invention having
14 many parts which are the same or extremely similar to those in
15 the first embodiment. These parts will share the same reference
16 numbers. Altered parts will be given new reference numbers.

17 FIG. 3 shows a second embodiment of the invention having a
18 fully pressure balanced floating seal system 68. Inner seal
19 housing 70 has an internal annular surface 72 having a greater
20 diameter. A sealing flange 74 extends radially inward to a
21 smaller diameter than internal annular surface 72. Sealing ring
22 76 has sealing section 78 having an increased diameter and a
23 collar 80 having a reduced diameter. Sealing flange 74 is

1 positioned about collar 80, and internal annular surface 72 is
2 positioned about sealing section 78.

3 Intermediate O-ring 54 is positioned to create a seal at
4 substantially the same diameter as the seal created by O-rings
5 56a and 56b. This results in a pressure balanced seal because
6 inner seal housing 70 has the same force on one face as on the
7 other face.

8 Assembly of this embodiment is more complex because the
9 inner diameter of inner seal housing 70 is smaller than seal ring
10 76. The propeller hub 81 and seal ring 76 must be removed before
11 inner seal housing 70 can be removed from shaft 12 in an aft
12 direction. Typically, seal ring 76 is shrunk on shaft 12 by
13 thermal shrink fitting and it is not removable. This embodiment
14 provides a removable seal ring 76, that is statically sealed to
15 shaft 12 by O-ring 83. Seal ring 76 is prevented from rotating
16 relative to shaft 12 by pin 85. Although these features are not
17 shown in other embodiments, they can be incorporated allowing
18 easier assembly and disassembly.

19 FIG. 4 shows a third embodiment of the floating seal system
20 of the current invention. This embodiment features pilot
21 bearings 82 and 84 positioned outside O-rings 56a and 56b. In
22 order to accommodate the bearings, inner seal housing 86 is
23 provided with a greater axial length. Likewise, seal ring 88 has

1 additional length. Because of the increased length of housing
2 86, first hole 64 and sealing plug 66 are repositioned and
3 resized.

4 First pilot bearing 82 is mounted between seal ring 88 at
5 collar 90 and inner seal housing 86 at sealing flange 92. Second
6 pilot bearing 84 is mounted between seal ring 88 sealing section
7 94 and internal annular surface 96 of inner seal housing 86.

8 Pilot bearings 82 and 84 can be any known kind of bearing capable
9 of withstanding the forces necessary to float inner seal housing
10 86. These can be ball bearings, roller bearings, journal
11 bearings or the like which are lubricated or sealed as desired.

12 FIG. 5 shows a fourth embodiment of the floating seal system
13 having pilot bearings 98a and 98b mounted between O-rings 56a and
14 56b. Because bearings 98a and 98b are located between O-rings
15 56a and 56b, they will be lubricated by the oil in the
16 lubrication recess. O-ring grooves 100a and 100b are positioned
17 further apart to accommodate pilot bearings 98a and 98b. This
18 embodiment also provides a tab ring 102 which will be discussed
19 with relation to FIG. 6.

20 O-ring grooves 100a, 100b and O-rings 56a, 56b are
21 positioned at the extremities of internal annular surface 104 of
22 inner seal housing 106. Pilot bearings 98a and 98b are
23 positioned on each side of lubrication groove 60 and mounted

1 between internal annular surface 104 and seal ring 108 sealing
2 section 110. In order to position bearings 98a and 98b within
3 inner seal housing 106, the housing must be manufactured in two
4 pieces, an inner seal housing body 106a and an inner seal housing
5 insert 106b. A spacer 112 is positioned within lubrication
6 groove 60 to prevent insert 106b from moving axially within inner
7 seal housing body 106a, and a body sealing O-ring 113 is
8 positioned between body 106a and insert 106b preventing leakage
9 therebetween. An insert retaining ring 115 is positioned in a
10 groove formed in body 106a for preventing axial motion of insert
11 106b out of body 106a.

12 Referring additionally to FIG. 6, tab ring 102 is provided
13 between inner seal housing 106 and outer seal housing 114 in
14 order to prevent rotation and permit radial repositioning of
15 inner seal housing 106. Tab ring 102 is a circular band
16 preferably having two outer tabs 116 extending radially outward
17 and two inner tabs 118 extending radially inward. Outer seal
18 housing 114 has an outer tab ring groove 120 formed therein
19 having outer tab pockets 122 arrayed therein corresponding to
20 outer tabs 116. Outer tab pockets 122 are in communication with
21 the aft face of outer seal housing 114 to allow insertion of tab
22 ring 102 before insertion of retaining ring 52 into groove.
23 Inner seal housing 106 has a plurality of inner tab pockets 124

1. formed therein and arrayed about the outer surface corresponding
2. to inner tabs 118. A gap is provided between the tabs and the
3. bases of the pockets to allow radial movement of inner seal
4. housing 106 within outer seal housing 114. A similar gap is also
5. provided between the tab ring body and the inner and outer
6. surfaces of the respective housings. Another number of tabs 116
7. and 118 can be provided, but a circumferential gap must exist
8. between tabs 116 and 118 and pockets 122 and 124 to allow
9. floating of tab ring 102. The transmission of circumferential
10. forces by tab ring 102 prevents inner seal housing 106 from
11. rotating with respect to outer seal housing 114. Tab ring 102
12. can be provided on other embodiments as a replacement to torque
13. bolt 44.

14. FIG. 7 shows a final embodiment of the floating seal system
15. 126 having a fully pressure balanced dynamic seal system that
16. does not employ a face seal such as seal 54 of FIG. 3. In this
17. embodiment, inner seal housing 128 does not extend within the
18. outer radius of sealing ring 130, and inner seal housing 128 can
19. be removed without removing sealing ring 130. In order to seal
20. the space between outer seal housing 132 and inner seal housing
21. 128, a sealing tube 134 is statically mounted on sealing ring
22. 130. Sealing tube 134 includes a forward seal 136a, an aft seal
23. 136b, and a flexible member 138 joining the two seals. The

1 forward and aft seals each have a ring shaped mount 140 with an
2 O-ring 142 positioned therein. Flexible member 138 is joined at
3 its forward end to the forward ring shaped mount 140 and at its
4 aft end to the aft ring shaped mount 140. Flexible member 138 is
5 shown as an accordion pleated tube, but another longitudinally
6 flexible structure can be used. The longitudinally flexible
7 attribute of the structure allows variation in the space between
8 sealing ring shoulder and outer seal housing. Outer seal housing
9 132 is provided with an inner radial flange 144 for retaining
10 sealing tube 134.

11 In light of the above, it is therefore understood that
12 the invention may be
13 practiced otherwise than as specifically described.

2

3 AXIALLY PRESSURE BALANCED FLOATING SEAL SYSTEM

4

5 ABSTRACT OF THE DISCLOSURE

6 A pressure balanced floating seal system positioned within a
7 structure about a rotating shaft. The floating seal system has a
8 cylindrical outer seal housing having a cylindrical internal
9 recessed region formed in the outer seal housing with a retaining
10 flange extending into said internal recessed region. A
11 cylindrical inner seal housing is positioned within the internal
12 recessed region. The inner seal housing has an annular internal
13 surface for accommodating the rotating shaft. Two sealing member
14 retaining grooves are formed in the inner seal housing annular
15 internal surface and a lubricant recess is formed between the two
16 grooves. An intermediate seal is retained on the forward side of
17 the inner seal housing forward face between the inner seal
18 housing and the outer seal housing at the retaining flange.
19 Sealing members are positioned in the sealing member retaining
20 grooves at substantially the same radius as the intermediate
21 seal.

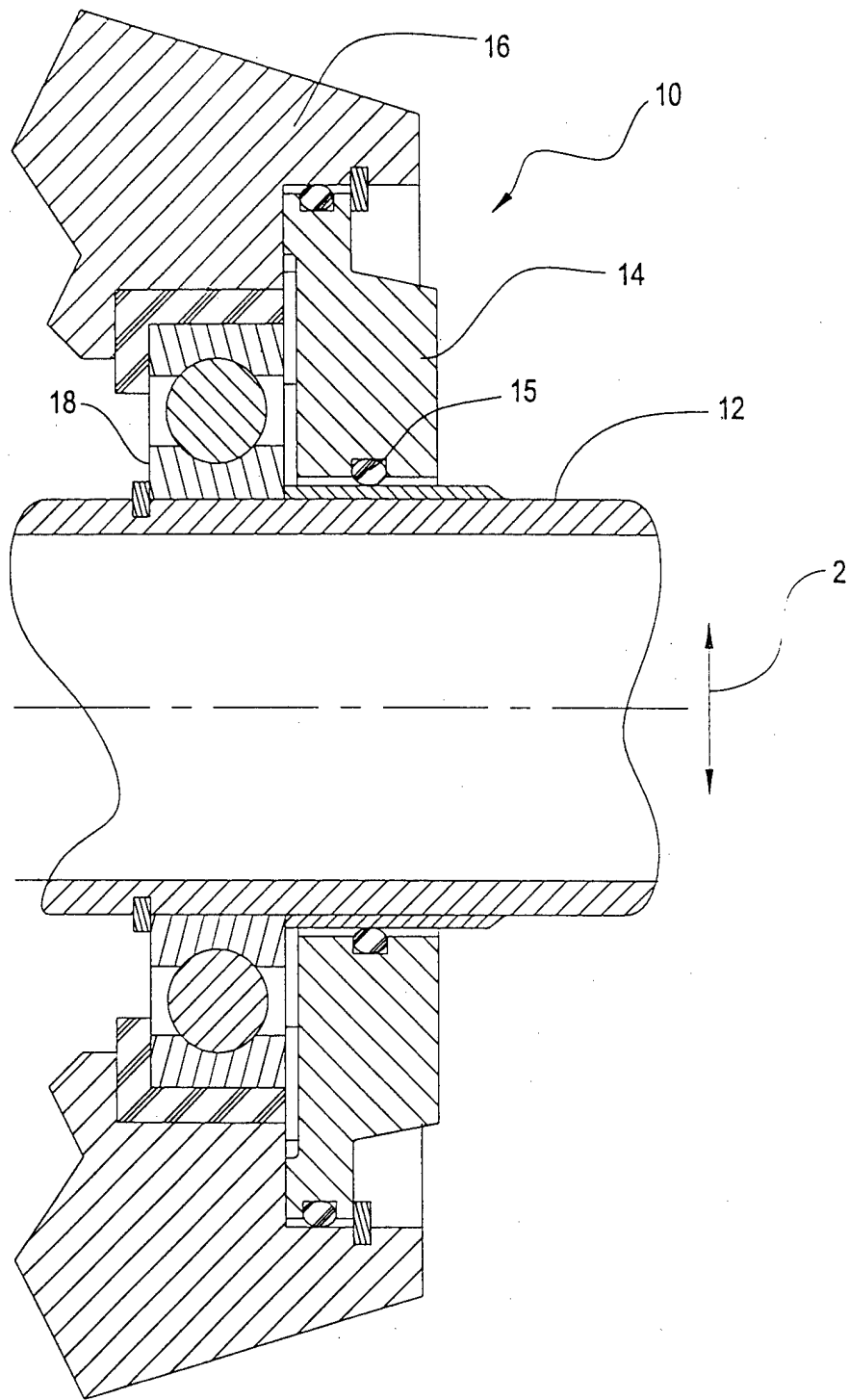


FIG. 1
Prior Art

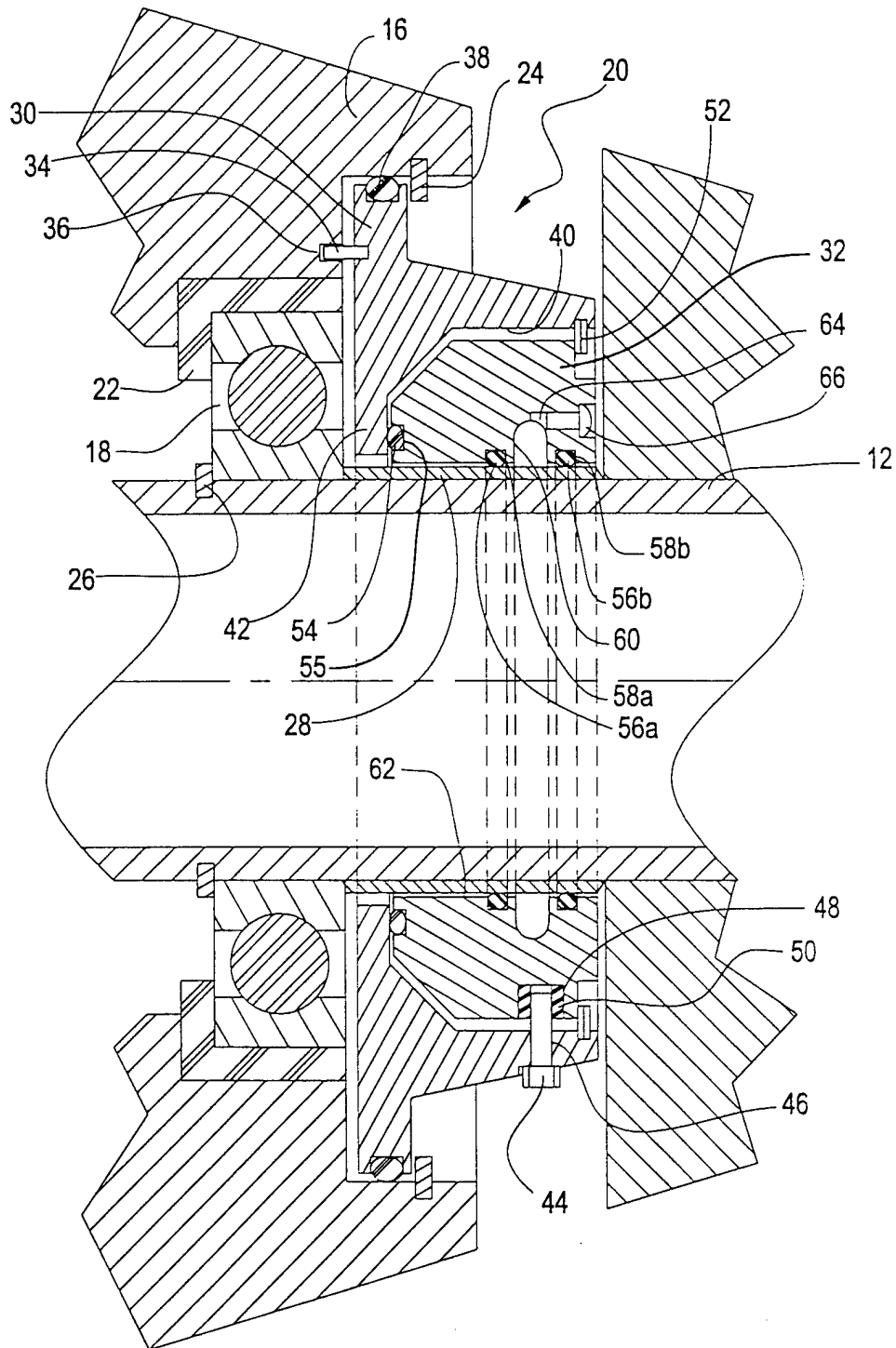


FIG. 2

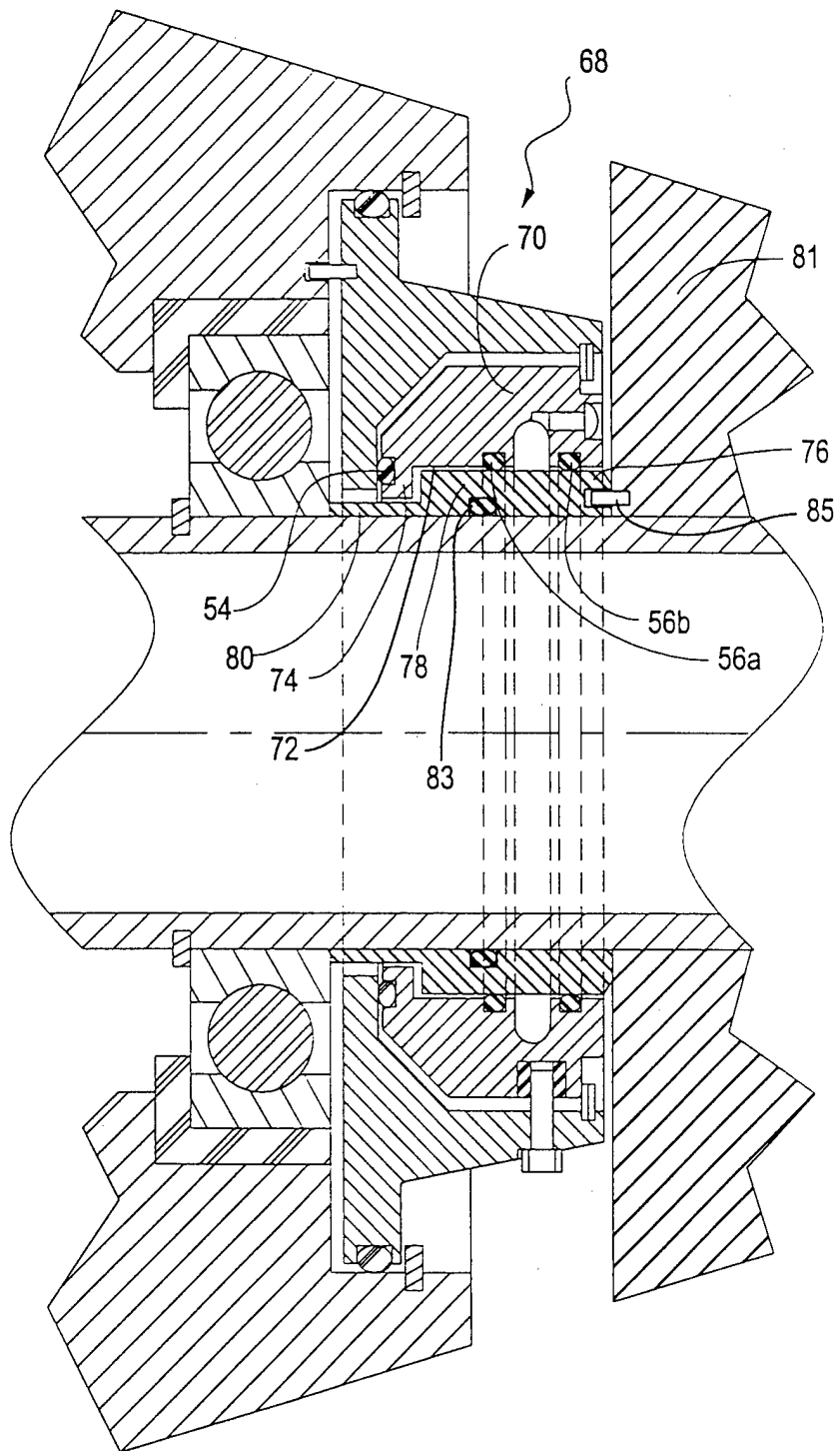


FIG. 3

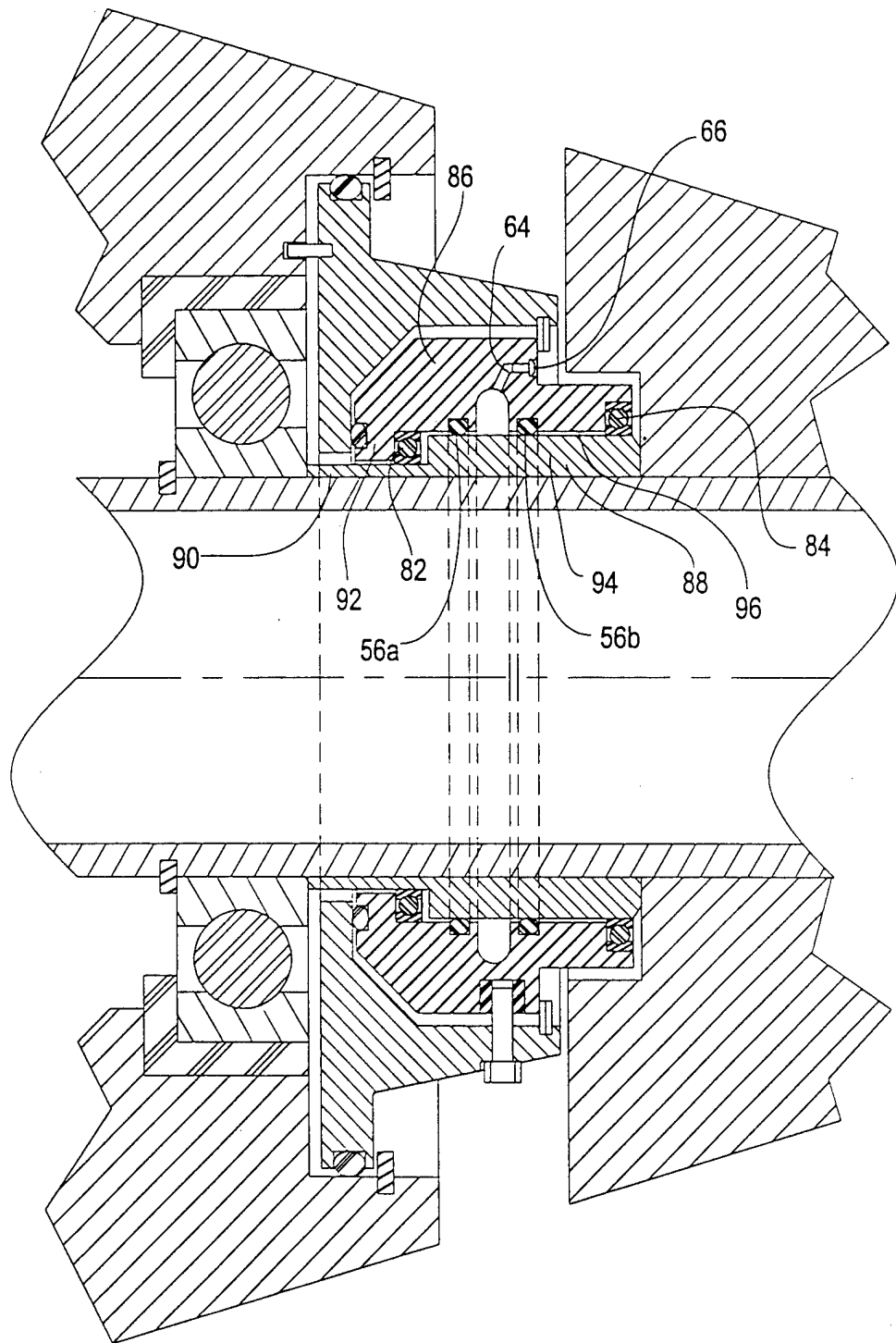


FIG. 4

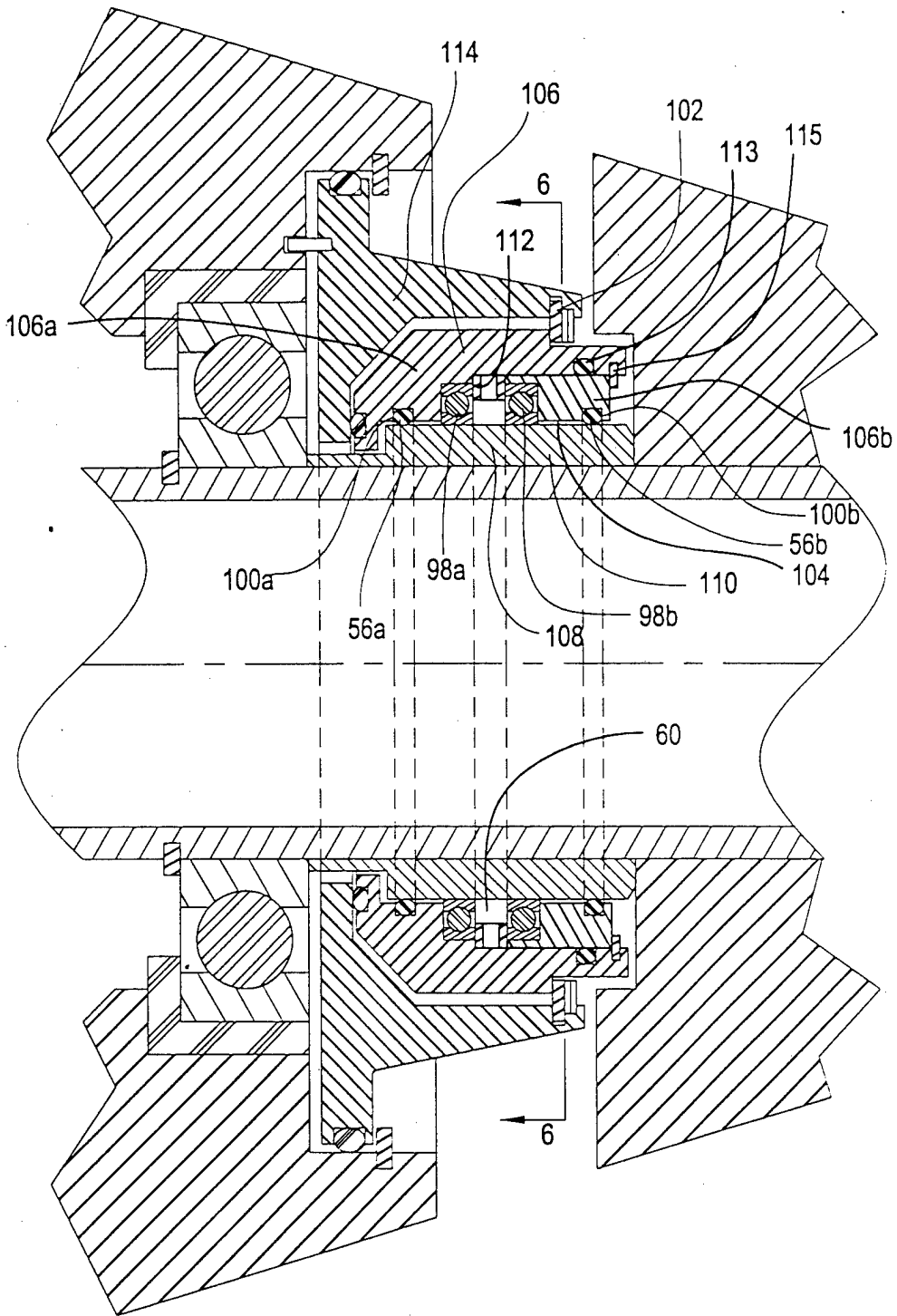


FIG. 5

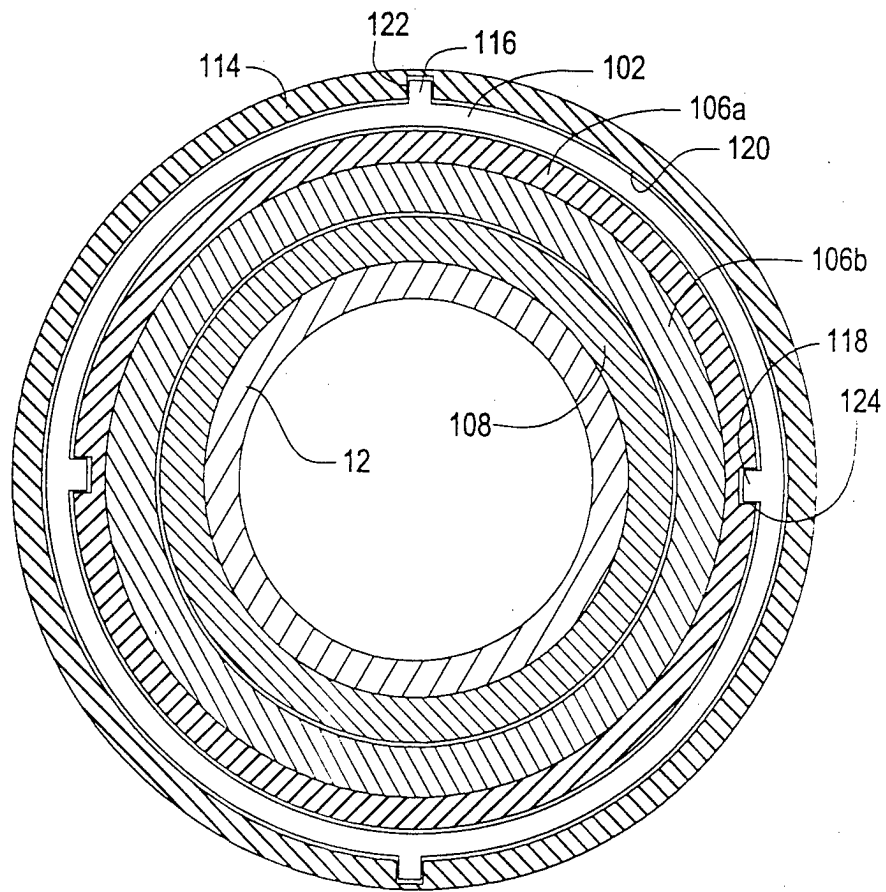


FIG. 6

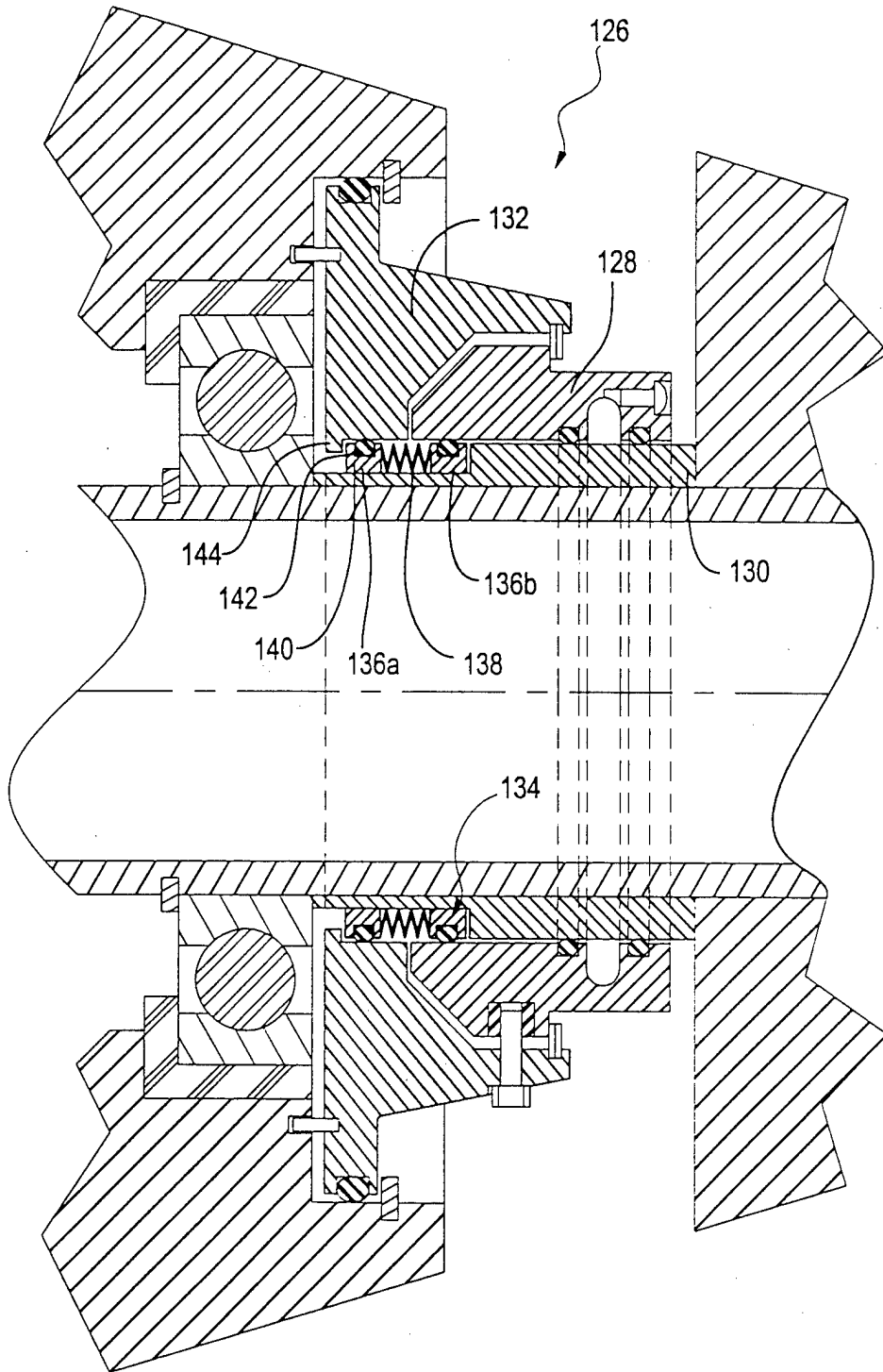


FIG. 7