

Inventor: Vohra
Serial No.

PATENT APPLICATION
Navy Case No. 82,310

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**TUNABLE WAVELENGTH ADD/DROP MULTIPLEXER
BASED ON INTEGRATED OPTIC DEVICES**

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BACKGROUND OF THE INVENTION

Field of the Invention

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Generally, this invention pertains to an optical wavelength add/drop multiplexing unit and more specifically to a tunable optical wavelength add/drop multiplexing unit for both digital and analog applications.

Description of the Related Prior Art

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Last decade has seen an explosion in applications involving fiber optic technology. Fiber optic systems have primarily been used to develop very high information capacity transmission systems. The information capacity or 'capacity' of an optical communication link has doubled every year during the past half decade or so. The technology has reached a state of maturity where large systems manufacturers are routinely deploying wavelength division multiplexed (WDM) systems with total capacity exceeding 400 Gigabits/second on a single optical fiber. Such high capacity transmission systems are playing a critical role in revolutionizing today's society, from e-commerce to e-mail and from voice-over-IP to plain old voice. The advent of WDM systems and the component used in WDM systems have also positive benefits in other

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NOTICE

The above identified patent application is available for licensing.
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5 areas including analog transmission systems like microwave photonic systems and fiber optic sensor systems, both of great importance in numerous applications for governmental as well as the commercial market.

Almost all of the WDM based optical communications systems deployed so far can be classified as optical transport systems or more commonly known as point-to-point
10 communications systems. In such systems, relevant information (e.g., internet data traffic) is electronically multiplexed to a high bit rate. The multiplexed electrical information is then imposed upon an optical amplitude modulator and transported via optical fiber from point A to B (as shown in **Figure 1**). If this process is repeated at several wavelengths then the optical transport system is referred to as a WDM system. This approach has become “the standard”
15 approach for high bit rate optical transport systems. The invention of optical amplifiers (e.g., erbium doped fiber amplifiers) have greatly enhanced the practicality and elegance of WDM systems and is primarily responsible for accelerating the rate of deployment of WDM systems and also the number of multi-millionaires in the country. Such point-to-point optical transport systems are considered ‘present’ generation systems and are commercially available from various
20 well known corporations like Lucent Technologies, Nortel Networks, Cisco Systems and Ciena.

The next generation of optical communications systems are expected to provide significantly greater functionality than just transport of optical signals from point A to point B. Optical transport systems are expected to evolve into true optical networks. Optical networks will allow routing and reconfiguration of traffic in the optical domain. In present generation
25 optical transport systems routing of data is done primarily in the electrical domain. In other

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5 words, the optical signals are converted from optical to electrical domain at their destination and
are then electrically manipulated such that certain traffic is dropped while new traffic is added.
That is the main reason why high speed electrical routers are in great demand. In future optical
communication systems the manipulation and routing of optical channels is expected to occur in
the optical domain. Naturally, at some point within the network, optical signals will have to be
10 converted into electrical signals but that conversion will take place towards the 'edge' of a given
network rather than at the 'core' of the network. This is expected to greatly enhance network
speed, allow for dynamic reconfigurability, and enhanced reliability. The cost per bit is also
expected to drop due to the deployment of an optical layer. In order to achieve a true all-optical
layer within a data network, devices which allow adding and dropping data channels in the
15 optical domain will be essential. In order to facilitate dropping and adding wavelengths in WDM
optical networks, there will be tremendous demand for wavelength agile products. Wavelength
agile products are products which allow you to manipulate optical signals without converting
them into the electrical domain. Such products are sometimes also referred to as wavelength
management products.

20 One of the products that will be in high demand will be a tunable wavelength add/drop
multiplexers (t-WADM). Fixed wavelength add/drop multiplexers are already becoming
commercial but these products require that the wavelengths to be dropped at a specific site
(commonly known as a node) be known ahead of time. Fixed notch filter, typically made from
fiber Bragg gratings, are utilized to make such fixed wavelength add/drop multiplexers.

25 However, optical networks of the future require that a node be established within the network

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5 where any or all wavelengths can be dropped on demand. In other words, the number of
wavelengths to be dropped or added in an optical network will be a dynamic parameter which
will depend on local traffic demands and changing customer needs. Programmable or tunable
all-optical wavelength add/drop devices will become crucial in such networks. In short, the need
for dynamic reconfigurability at the optical level in a WDM transport network will require
10 programmable or tunable wavelength add/drop multiplexers (t-WADM) at numerous locations in
the network.

The t-WADM also can be used in applications not involving optical communication. For
instance, optical fiber systems are seeing a lot of use in defense related microwave photonics
applications. Optically controlled phase array radars are now being deployed on a trial basis in
15 the Navy. Such optically controlled phased array radars also exploit the WDM technology
primarily developed for optical communication systems but extremely beneficial in more defense
related applications. The t-WADM products can also be used in high count fiber sensor systems
typically used for advanced underwater applications like acoustic arrays. Fiber optic acoustic
arrays are now scheduled for fleet insertion. Acoustic arrays are also being used for developing
20 advanced underwater acoustic surveillance arrays. These arrays already utilize WDM
architecture and the t-WADM can potentially be utilized in fiber sensor systems.

SUMMARY OF THE INVENTION

The object of this invention is to provide an optical system which allows dropping and
25 adding of optical data channels in wavelength agile optical communications networks.

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5 This and other objectives of this invention are achieved by a tunable wavelength add/drop
(t-WADM) device utilizing an integrated optic digital switch (DOS) which is an integrated optic
on/off switch with high extinction ratio and a digital response curve. The t-WADM is
comprised of a multiwavelength input (which serves as a data input port), a low loss optical
circulator or an optical coupler, a wavelength division de-multiplexer which splits the input
10 multi-wavelength data stream into its individual components, a modified multi-channel DOS, a
telecommunications grade optical fiber, and a wavelength multiplexer for adding optical data
channels. The input multi-wavelength data stream from a network is sent to a wavelength de-
multiplexer where it is demultiplexed into individual wavelengths which are applied to an array
of Y-branch digital optical switching devices controlled by a computer. If a specific wavelength
15 is to be dropped, it is diverted towards a branch of a given switch which has a fiber pig tail
attached. If a specific wavelength is to be sent through (neither dropped or added) then the signal
is diverted towards a mirrored end of the Y-branch, where it is reflected back towards the
wavelength de-multiplexers to the circulator and goes out the output end of the t-WADM. If a
wavelength 'slot' has been vacated by dropping a channel then a new data stream may be added in
20 that slot.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a conventional WDM point-to-point optical communication system.

Figure 2 shows a typical switching and routing instruments at the receive end of a

5 communications link in the prior art.

Figure 3a shows a schematic of an integrated optic base tunable optical add/drop multiplexer unit using a modified Y-branch digital optical switch.

Figure 3b shows a schematic of a modified Y-branch digital optical switch.

Figure 3c shows a typical transfer curve of a digital optical switch.

10 **Figure 4** shows a schematic of an integrated optic based tunable optical add/drop multiplexer unit using a modified Y-branch digital optical switch with adding functionality shown.

Figure 5 shows a second embodiment with channel adding capability through the digital optical switch.

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DESCRIPTION OF THE PREFERRED EMBODIMENT

A tunable wavelength add/drop multiplexer (t-WADM) device **10**, as shown in **Figure 3a**, is based on a digital optical switch (DOS) **12**, as shown in **Figure 3b**. A DOS **12** is essentially an integrated optic on/off switch with extremely high extinction ratio and a digital response curve. The basic premise of the DOS **12** is that it is a Y-branch optical waveguide created in a material which supports waveguiding at communications wavelengths. The coupling of optical modes in such a waveguide configuration is such that one wavelength **16** of a multiple-wavelength optical light **14** is split equally (**18** and **22**), i.e., 50:50, among the two output ports **24** and **26**. The DOS **12** is created using an electro-optically active materials, such as lithium

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5 niobate (LiNbO_3) or similar materials, or a thermo-optically active material, such as silicon, then an appropriate voltage **28** from a applied voltage source **32** with the aid of electrodes **34** and **36**, in order to alter the 50:50 balance and subsequently allow all of the light **16** to exit one or the other ports **24** and **26** of the DOS **12**. It essentially functions as a digital 1x2 optical switch with extremely high extinction ratio (>50dB). A transfer curve of a typical DOS **12** is shown in
10 **Figure 3c**.

A first preferred embodiment of a DOS based tunable wavelength add/drop multiplexer (t-WADM) device **10**, as shown in **Figure 4**. The t-WADM **20** consists of an input of a multi-wavelength optical data stream **14**, a low loss optical circulator or an optical coupler **42**, a wavelength division de-multiplexer **38** which splits the input multi-wavelength data stream **14**
15 into its individual wavelength components, $\lambda_1, \lambda_2, \dots, \lambda_n$, **16** a modified multi-channel Y-branch DOS **12**, telecommunications grade optical fiber **44**, and a wavelength multiplexer **46** for adding optical channels. Optical amplifiers **48** and power equalizers **52** are shown for completeness but may or may not be included depending on system **10** requirements.

The Y-branch DOS **12** is configured such that one of the two outputs **18** has a mirror **54**
20 at the end of the waveguides **18** while the other waveguide **22** has an optical fiber pig tail **56** (see **Figure 3b** for detail). Depending on the voltage **28** from the applied voltage source **32** applied to the electrodes **34** and **36** all of the light **16** can be diverted into the waveguide **18** with the mirrored end **54** or it can be sent to the waveguide **22** with the fiber pig tail **56**. The voltage levels required on the electrodes **34** and **36** to obtain such functionality are modest, between 5

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5 and 15 volts. If the switch **12** is configured such that all the light **16** is sent to the mirrored side of the Y-branch **18**, then the light **16** will reflect back from the mirror **54** and will be sent back along the same path as it arrived from. If the light is sent to the branch **22** of the waveguide **16** containing the fiber pig tail **56**, then it will couple into the fiber. This describes the operation of a single Y-branch digital optical switch **12** with one mirrored end **54** and one fiber pig tailed **56**
10 end. This device is referred to as a modified DOS **12**. In the utilization of the mirrored DOS **12**, one such modified Y-branch DOS **12** is required for every wavelength **16**. Arrays of Y-branch DOS **12** can be made on a single electro-optically active switch or can be made as individual switches and packaged as a unit.

The input multi-wavelength data stream **14** from the network is sent to a wavelength de-
15 multiplexer, such as a 16 channel DWD manufactured by E-TEK of San Jose, CA, where it is demultiplexed into individual wavelengths **16**. The individual wavelengths **16** are sent into an array of modified Y-branch digital optical switching devices **12** fabricated monolithically or individually on a electro-optically active substrate (e.g., LiNbO_3) or a thermo-optically active substrate material. The modified DOS **12** is controlled with a computer **58** which imposes
20 programmable voltage signals **62** onto the DOS electrodes **64**. If a specific wavelength **16** is to be dropped, then it diverts that particular wavelength **16** towards the branch of the switch **22** which has a fiber pig tail **56** attached to it. On the other hand, if a specific wavelength **16** is to be sent through (i.e., neither dropped or added) then it diverts the signal towards the mirrored end of the switch **18**, where it reflects back towards the wavelength demultiplexers **38** to the circulator

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5 **42** and goes out to the output end of the t-WADM **66**. Such channels are referred to as 'through' channels.

10 If a wavelength 'slot' has been vacated by dropping a channel then it is likely that a new set of data stream might be added in that slot. This operation is accomplished by inserting an optical coupler **68** as part of the through channel fiber **44**. A wavelength multiplexer **46** is used to add channels **72** in vacated wavelength slots (dropped data traffic). This may be followed by optical amplification **48** and power equalizing **52**, as previously noted, which then completes the drop/add operation by transmitting the wavelength multiplexed add/drop signal through the output of the t-WADM device **66**.

15 The entire operation is computer **58** controlled in terms of providing commands **62** to the relevant electrodes **64** on the Y-branch digital optical switches **12** for channel adding and dropping, as needed. The whole process may be performed remotely by sending commands to the control computer **58**. As can be anticipated, this approach has many benefits in data traffic routing in global optical communications systems. This sub-system also has wide applications in WDM based photonics systems and networks fiber sensor arrays.

20 A third embodiment of a DOS based tunable wavelength add/drop multiplexer (t-WADM) device **30**, is shown in **Figure 5**. This embodiment **30** uses an integrated optic Y-branch digital optical switches **12** as described for the first embodiment **20**. It is similar to the approach described above in terms of dropping wavelengths **16** or sending wavelengths through but it differs in terms of adding wavelengths. Wherein the first embodiment **20**, added

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5 wavelengths were not being sent through the Y-branch DOS 12 array. In this embodiment 30, an optical transmitter 76, such as a tunable laser Lucent C489 or a bank of ITU grid DFB lasers, is de-multiplexed in a WDM 78 to produce individual optical signals 72 which are applied through an associated optical coupler 68 for every channel through which wavelengths 72 are added. For practical purposes or for the purpose of making a more integrated (i.e., space savings)
10 system, this approach may be preferred in certain circumstances.

The embodiments taught here allow for many advantages and new features sought by the optical telecommunication industry including: (1) it allows dropping and adding any or all wavelengths at the optical level which allows for the flexibility in data trafficking that is needed in advanced communications systems, (2) Y-branch DOS does not possess a DC bias drift as does
15 Mach-Zehnder based optical switching elements, this generally simplifies things for systems applications, (3) monolithic integration of switches, (4) fast switching times (nano-seconds possible), (5) flat band-pass filter response, (6) proven fabrication technology (Si and LiNbO₃) and (7) no dispersion in switching elements (i.e., DOS), thus allowing cascadability, and (8) bit rate and format independent operation..

20 It should be noted that choice of material to be used for the switching elements (i.e., Y-branch DOS) can be rather large, even though silicon or LiNbO₃ waveguide technology would be the obvious choice. Silicon does not allow for fast switching times and relies on thermo-optic switching but may prove to be more cost effective, while LiNbO₃ based Y-branch DOS allows for extremely fast switching times. Other materials (e.g., polymer Y-branch DOS) may also be

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5 used for fabricating the switching elements.

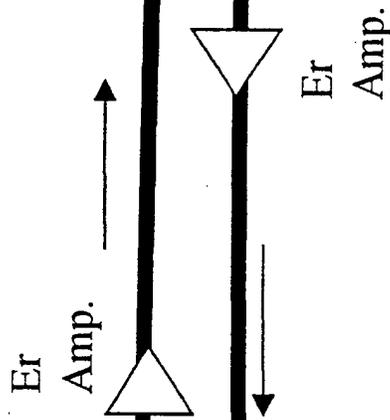
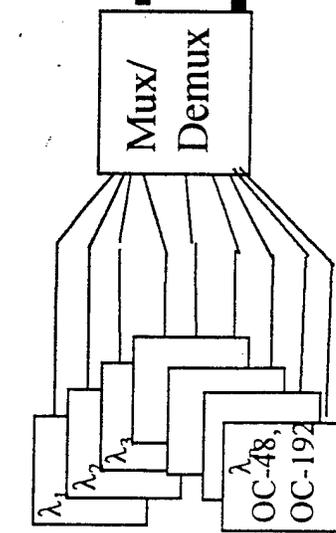
Although the invention has been described in relation to an exemplary embodiment thereof, it will be understood by those skilled in the art that still other variations and modifications can be affected in the preferred embodiment without detracting from the scope and spirit of the invention as described in the claims.

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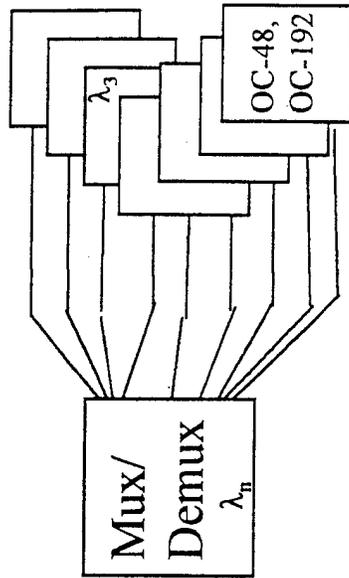
ABSTRACT OF THE INVENTION

A tunable wavelength add/drop (t-WDM) device utilizes a multiwavelength input (which serves as a data input port), a low loss optical circulator or an optical coupler, a wavelength
10 division de-multiplexer which splits the input multi-wavelength data stream into its individual components, a modified multi-channel DOS, a telecommunications grade optical fiber, and a wavelength multiplexer for adding optical data channels. The input multi-wavelength data stream from a network is sent to a wavelength de-multiplexer where it is demultiplexed into individual
15 wavelengths which are applied to an array of Y-branch digital optical switching devices controlled by a computer. If a specific wavelength is to be dropped, it is diverted towards a branch of a given switch which has a fiber pig tail attached.. If a specific wavelength is to be sent through (neither dropped or added) then the signal is diverted towards a mirrored end of the Y-branch, where it is reflected back towards the wavelength de-multiplexers to the circulator and goes out the output end of the t-WADM. If a wavelength 'slot' has been vacated by a dropping channel then
20 a new data stream may be added in that slot.

Optical Translator Units - OTUs
(Data Transmitter/Receiver Banks)



Optical Translator Units - OTUs
(Data Transmitter/Receiver Banks)



Prior Art

Figure 1

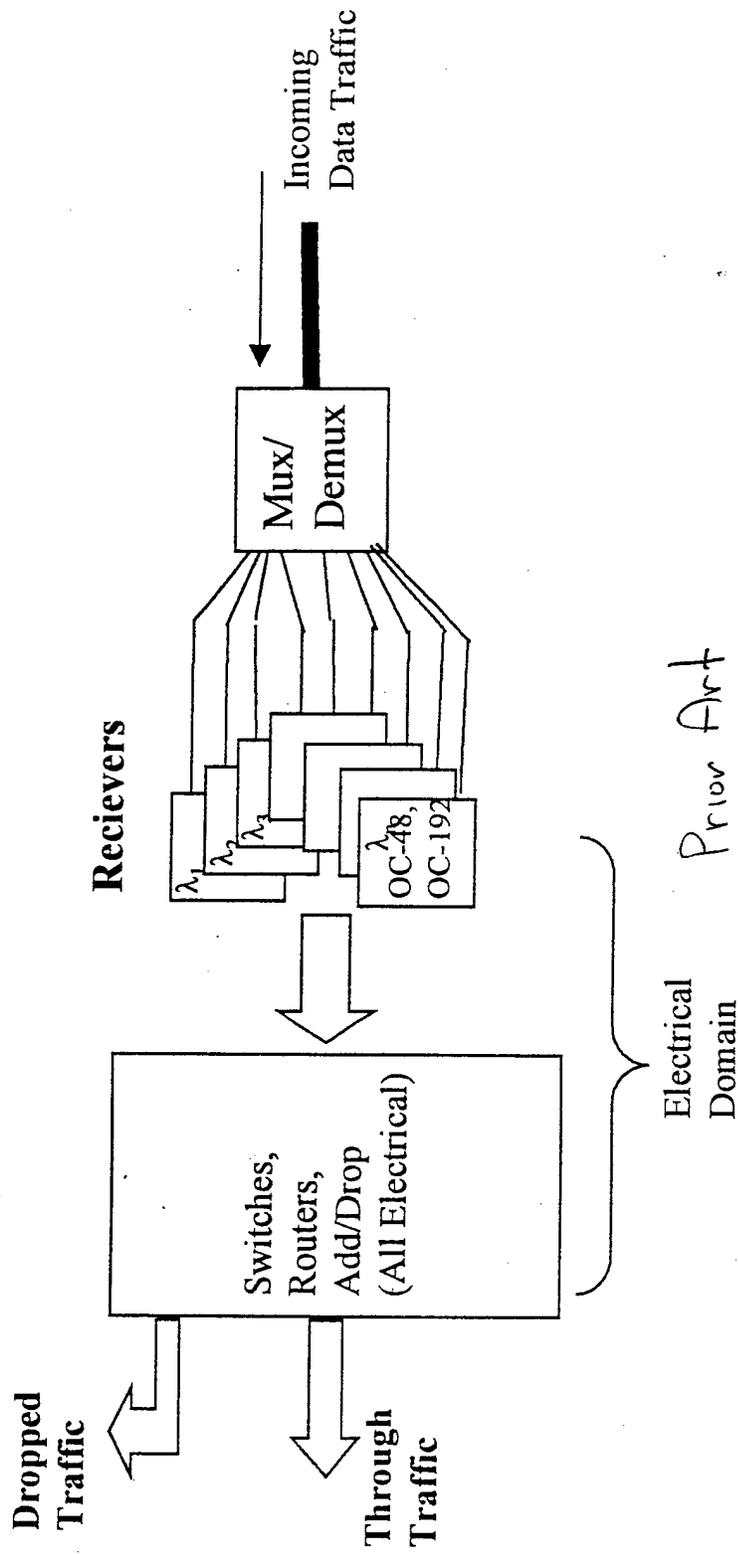
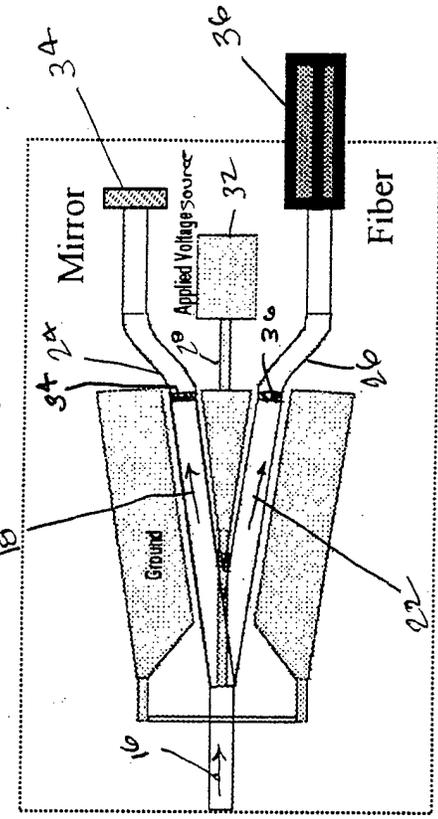
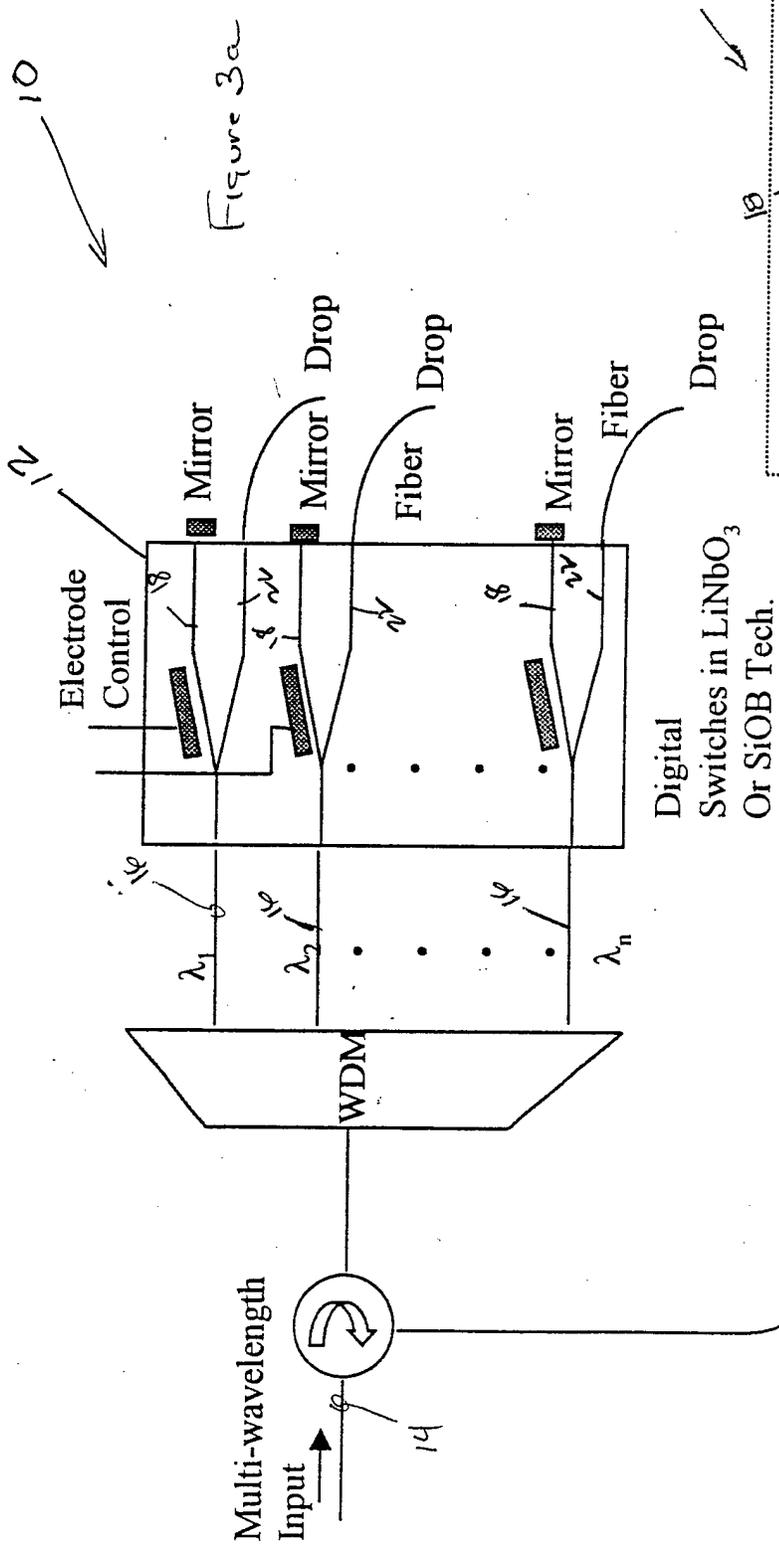
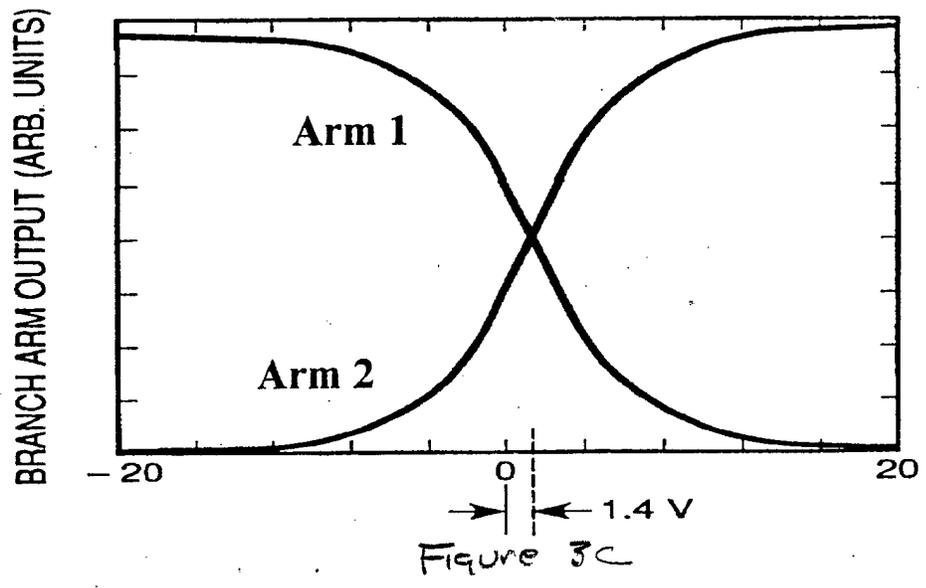
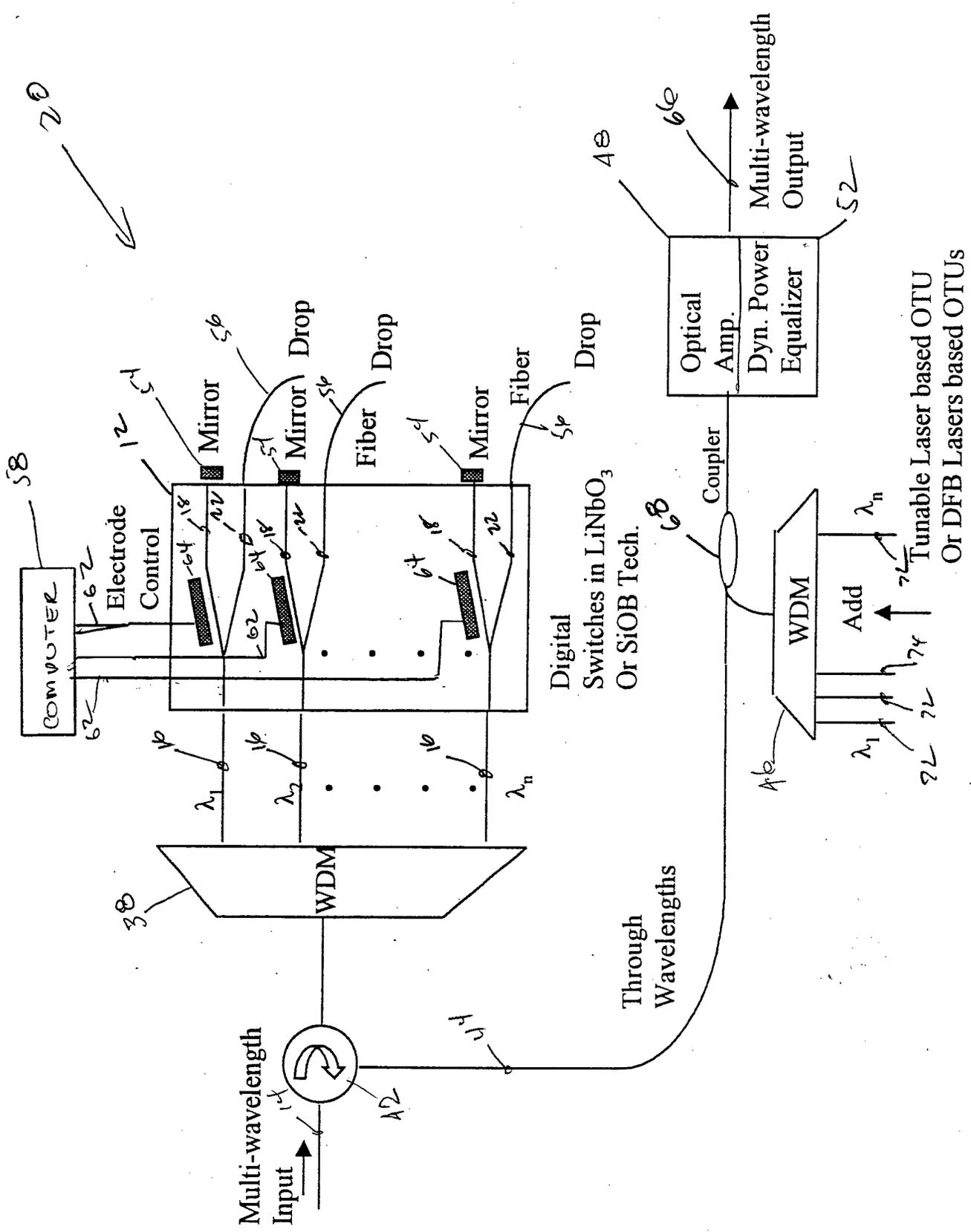


Figure 2



Digital Switches in LiNbO_3 Or SiOB Tech.





Tunable Laser based OTU
 Or DFB Lasers based OTUs

Figure 4

30

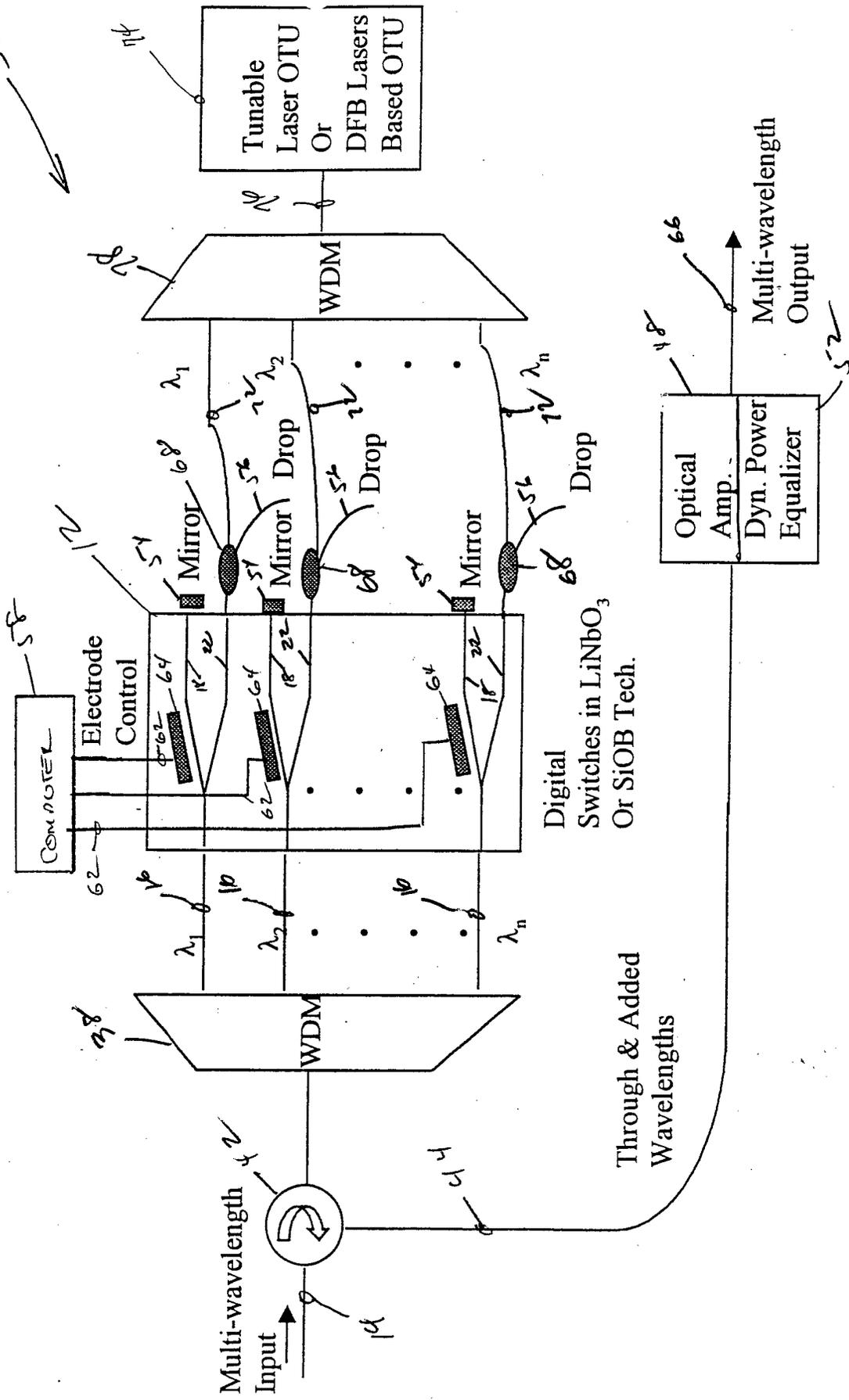


Figure 5