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ACOUSTICALLY DRIVEN PLASMA ANTENNA

STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and  
7 used by or for the Government of the United States of America  
8 for governmental purposes without the payment of any royalties  
9 thereon or therefor.

BACKGROUND OF THE INVENTION

12 (1) Field of the Invention

13 The present invention relates generally to communications  
14 antennas, and more particularly to plasma antennas adaptable  
15 for use in any of a wide range of frequencies.

16 (2) Description of the Prior Art

17 A specific antenna typically is designed to operate over a  
18 narrow band of frequencies. However, the underlying antenna  
19 configuration or design may be adapted or scaled for widely  
20 divergent frequencies. For example, a simple dipole antenna  
21 design may be scaled to operate at frequencies from the 3-4 MHz  
22 band up to the 100 MHz band and beyond.

23 At lower frequencies the options for antennas become fewer  
24 because the wavelengths become very long. Yet there is a  
25 significant interest in providing antennas for such lower  
26 frequencies including the Extremely Low Frequency (ELF) band,

1 that is less than 3 kHz, the Very Low Frequency (VLF) band  
2 including signals from 20 kHz to 60 kHz and the Low Frequency  
3 (LF) band with frequencies in the 90 to 100 kHz band. However,  
4 conventional half-wave and quarter-wave antenna designs are  
5 difficult to implement because at 100 Hz, for example, a  
6 quarter-wave length is of the order of 750 km.

7         Notwithstanding these difficulties, antennas for such  
8 frequencies are important because they are useful in specific  
9 applications, such as effective communications with a submerged  
10 submarine. For such applications, conventional ELF antennas  
11 comprise extremely long, horizontal wires extended over large  
12 land areas. Such antennas are expensive to construct and  
13 practically impossible to relocate at will. An alternative  
14 experimental Vertical Electric Dipole (VEP) antenna uses a  
15 balloon to raise one end of a wire into the atmosphere to a  
16 height of up to 12 km or more. Such an antenna can be  
17 relocated. To be truly effective the antenna should extend  
18 along a straight line. Winds, however, can deflect both the  
19 balloon and wire to produce a catenary form that degrades  
20 antenna performance. Other efforts have been directed to the  
21 development of a corona mode antenna. This antenna utilizes  
22 the corona discharges of a long wire to radiate ELF signals.

23         Still other current communication methods for such  
24 submarine and other underwater environments include the use of  
25 mast mounted antennas, towed buoys and towed submersed arrays.

1 While each of these methods has merits, each presents problems  
2 for use in an underwater environment. The mast of current  
3 underwater vehicles performs numerous sensing and optical  
4 functions. Mast mounted antenna systems occupy valuable space  
5 on the mast which could be used for other purposes. For both  
6 towed buoys and towed submersed arrays, speed must be decreased  
7 to operate the equipment. Consequently, as a practical matter,  
8 the use of such antennas for ELF or other low frequency  
9 communications is not possible because they require too much  
10 space.

11 Conventional plasma antennas are of interest for  
12 communications with underwater vessels since the frequency,  
13 pattern and magnitude of the radiated signals are proportional  
14 to the rate at which the ions and electrons are displaced. The  
15 displacement and hence the radiated signal can be controlled by  
16 a number of factors including plasma density, tube geometry,  
17 gas type, current distribution, applied magnetic field and  
18 applied current. This allows the antenna to be physically  
19 small, in comparison with traditional antennas. Studies have  
20 been performed for characterizing electromagnetic wave  
21 propagation in plasmas. Therefore, the basic concepts, albeit  
22 for significantly different applications, have been  
23 investigated.

24 With respect to plasma antennas, U. S. Patent No.  
25 1,309,031 to Hettinger discloses an aerial conductor for

1 wireless signaling and other purposes. The antenna produces,  
2 by various means, a volume of ionized atmosphere along a long  
3 beam axis to render the surrounding atmosphere more conductive  
4 than the more remote portions of the atmosphere. A signal  
5 generating circuit produces an output through a discharge or  
6 equivalent process that is distributed over the conductor that  
7 the ionized beam defines and that radiates therefrom.

8 U. S. Patent No. 3,404,403 to Vellase et al. uses a high  
9 power laser for producing the laser beam. Controls repeatedly  
10 pulse and focus the laser at different points thereby to ionize  
11 a column of air. Like the Hettinger patent, a signal is  
12 coupled onto the ionized beam.

13 U. S. Patent No. 3,719,829 to Vaill discloses an antenna  
14 constructed with a laser source that establishes an ionized  
15 column. Improved ionization is provided by means of an  
16 auxiliary source that produces a high voltage field to increase  
17 the initial ionization to a high level to form a more highly  
18 conductive path over which useful amounts of electrical energy  
19 can be conducted for the transmission of intelligence or power.  
20 In the Hettinger, Vellase et al. and Vaill patents, the ionized  
21 columns merely form vertical conductive paths for a signal  
22 being transmitted onto the path for radiation from that path.

23 U. S. Patent No. 3,914,766 to Moore discloses a pulsating  
24 plasma antenna, which has a cylindrical plasma column and a  
25 pair of field exciter members parallel to the column. The

1 location and shape of the exciters, combined with the  
2 cylindrical configuration and natural resonant frequency of the  
3 plasma column, enhance the natural resonant frequency of the  
4 plasma column, enhance the energy transfer and stabilize the  
5 motion of the plasma so as to prevent unwanted oscillations and  
6 unwanted plasma waves from destroying the plasma confinement.

7 U. S. Patent No. 5,450,223 to Wagner et al. discloses an  
8 optical demultiplexer for optical/RF signals. The optical  
9 demultiplexer includes an electro-optic modulator that  
10 modulates a beam of light in response to a frequency  
11 multiplexed radio-frequency information signal.

12 U. S. Patent No. 5,594,456 to Norris et al. discloses an  
13 antenna device for transmitting a short pulse duration signal  
14 of predetermined radio frequency. The antenna device includes  
15 a gas filled tube, a voltage source for developing an  
16 electrically conductive path along a length of the tube which  
17 corresponds to a resonant wavelength multiple of the  
18 predetermined radio frequency and a signal transmission source  
19 coupled to the tube which supplies the radio frequency signal.  
20 The antenna transmits the short pulse duration signal in a  
21 manner that eliminates a trailing antenna resonance signal.  
22 However, as with the Moore antenna, the band of frequencies at  
23 which the antenna operates is limited since the tube length is  
24 a function of the radiated signal.

1           A number of other references disclose various components  
2 for the production of ion beams and ion plasma. For example,  
3 U. S. Patent No. 5,017,835 to Oeschner discloses a high-  
4 frequency ion source for production of an ion beam. The source  
5 comprises a tubular vessel shaped to match the desired shape of  
6 the beam and designed to accommodate an ionizable gas. A coil  
7 surrounds the vessel and is coupled to a high-frequency  
8 generator through a resonant circuit. A Helmholtz coil pair  
9 matched to the shape of the vessel generates a magnetic field  
10 directed normally to the axis of the coil surrounding the  
11 vessel.

12           U. S. Patent No. 5,225,740 to Ohkawa discloses a method  
13 and apparatus for producing a high density plasma. The plasma  
14 is produced in a long cylindrical cavity by the excitation of a  
15 high-frequency whistler wave within the cavity. This cavity  
16 and the plasma are imbedded in a high magnetic field with  
17 magnetic lines of force passing axially or longitudinally  
18 through the cavity. Electromagnetic energy is then coupled  
19 axially into the cylindrical cavity using a resonant cavity.  
20 In one embodiment electromagnetic energy is coupled radially  
21 into the cylindrical cavity using a slow wave structure.

22           U. S. Patent No. 5,350,454 to Ohkawa discloses a plasma  
23 processing apparatus for controlling plasma constituents using  
24 neutral and plasma sound waves. The plasma sound wave  
25 comprises a periodic wave form controlled to include at least a

1 second harmonic component. Applying the sound wave imparts a  
2 drift velocity to contaminant particles, such as micronized  
3 dust particles. The drift velocity, including its direction,  
4 is controlled by controlling the harmonic content, intensity  
5 and/or phase of the neutral or plasma sound wave.

6 U. S. Patent No. 5,648,701 to Hooke et al. discloses  
7 electrode designs for high pressure magnetically assisted  
8 inductively coupled plasmas. The plasma is formed in a vessel  
9 at a pressure of at least 100 mtorr. An antenna with a  
10 substantially planar face is positioned adjacent a portion of  
11 the vessel for applying an electromagnetic field to the plasma  
12 gas thereby to generate and maintain a plasma. Another  
13 magnetic field is also applied with a component in a direction  
14 substantially perpendicular to the planar face of the antenna.

15 U. S. Patent No. 5,594,456 to Norris et al. discloses a  
16 gas-filled tube for operating as an rf antenna that transmits a  
17 short pulse duration signal of predetermined radio frequency  
18 and that eliminates any trailing antenna resonance signal. A  
19 voltage source develops an electrically conductive path along  
20 the length of the tube corresponding to a resonant wavelength  
21 multiple of the predetermined radio frequency. A signal  
22 transmission source coupled to the tube supplies a radio  
23 frequency signal to the conductive path.

24 Notwithstanding the disclosures in the foregoing  
25 references, applications for ELF frequencies still use



1 conventional land-based antennas commonly called Horizontal  
2 Electric Dipole (HED) antennas. There remains a requirement  
3 for an antenna that can be mast mounted or otherwise use  
4 significantly less space than the existing conventional land-  
5 based antennas for enabling the transmission of signals at  
6 various frequencies, included ELF and other low-frequency  
7 signals, for transmission in an underwater environment.

8

9

#### SUMMARY OF THE INVENTION

10 Accordingly it is an object of the present invention to  
11 provide an antenna capable of operation with ELF signals.

12 Another object of this invention is to provide an antenna  
13 that is capable of transmitting signals in different frequency  
14 ranges including the ELF range.

15 Still another object of this invention is to provide an  
16 ELF antenna that is transportable.

17 Yet another object of this invention is to provide an ELF  
18 antenna that can be mounted in a restricted volume.

19 In accordance with this invention, an antenna is formed by  
20 providing a plasma column in a defined volume extending along a  
21 longitudinal axis. Modulated acoustic energy is applied to the  
22 plasma column. The resulting acoustic waves become ion  
23 acoustic waves in the plasma that oscillate ions and electrons  
24 in the plasma along the direction of the longitudinal axis.

1 The reciprocating ions and electrons radiate a modulated  
2 electromagnetic field from the plasma.

3

4 BRIEF DESCRIPTION OF THE DRAWINGS

5 The appended claims particularly point out and distinctly  
6 claim the subject matter of this invention. The various  
7 objects, advantages and novel features of this invention will  
8 be more fully apparent from a reading of the following detailed  
9 description in conjunction with the accompanying drawings in  
10 which like reference numerals refer to like parts, and in  
11 which:

12 FIG. 1 is a schematic view that depicts one embodiment of  
13 an acoustically driven plasma antenna according to this  
14 invention;

15 FIG. 2 is a graph that is useful in understanding the  
16 operation of the antenna in FIG. 1;

17 FIG. 3 is a schematic view of a portion of the plasma  
18 antenna that is useful in understanding this invention; and

19 FIG. 4 presents a series of graphs depicting the operation  
20 of the antenna under various operating conditions.

21

22 DESCRIPTION OF THE PREFERRED EMBODIMENT

23 FIG. 1 depicts a transmitter system 10 that includes a  
24 plasma antenna 11 constructed in accordance with this  
25 invention. As will become apparent this system is capable of

1 transmitting signals over a wide range of frequencies including  
2 extra low frequencies (i.e., in the ELF range).

3 The plasma antenna 11 includes a closed end tube 12 that  
4 extends along a longitudinal axis 13. The axis is vertically  
5 orientated in FIG. 1, but can be at any oblique or horizontal  
6 orientation. The tube 12 is filled with an ionizable gaseous  
7 medium. The gaseous medium can comprise atmospheric gas or any  
8 of the inert gases.

9 An ionizer 14 and power supply 15 provide a mechanism for  
10 maintaining a plasma within the tube 12. The ionizer may  
11 comprise a laser, a rf generator or an arc discharge device or  
12 any other device capable of producing the plasma within the  
13 closed volume defined by the tube 12. The basic criterion is  
14 that the medium within the tube 12 and the ionizer 14 have the  
15 capability of maintaining an electron density of at least  $10^{12}$   
16 electrons per cubic centimeter within the plasma. Pulsed  $\text{CO}^2$   
17 or Nd:YAG lasers are examples of mechanisms for providing such  
18 ionizing functions. Although FIG. 1 depicts the ionizer 14 and  
19 power supply 15 as being positioned at the side of the tube 12,  
20 the ionizer itself could be located at the end of the tube such  
21 as the top 12a of tube 12 shown in FIG. 1.

22 One end of the tube 12, the bottom end 12b in FIG. 1, will  
23 be closed by an acoustic window 16 adapted to allow an acoustic  
24 wave at the normal operating frequency for the transmitting  
25 system 10 to transfer into the plasma with minimal attenuation

1 and distortion. Such acoustic windows are well know in the  
2 art.

3 An electro-acoustic transducer, shown as a speaker 20, is  
4 positioned to direct an acoustic wave along the longitudinal  
5 axis 13 through the plasma in the tube 12. The driving force  
6 is provided by a driver circuit 21 that is constituted by a  
7 power amplifier capable of providing an acoustic wave of  
8 adequate power as will be described later. A signal source 22  
9 generates a message to be transmitted over time. A modulator  
10 23 amplitude modulates, phase modulates or frequency modulates  
11 a carrier frequency by the signal to be transmitted. For  
12 example, the carrier frequency for an ELF application might be  
13 100 Hz. The driver 21 then amplifies this ELF modulated  
14 carrier having a 100 Hz nominal frequency for producing an  
15 acoustic wave transmitted from the speaker 20.

16 As the acoustic wave generated by the speaker 20  
17 propagates through the window 16 and the plasma in the tube 12,  
18 it can be considered to be an ion acoustic wave. The result is  
19 the formation of pressure gradients that produce ion and  
20 electron motion within the plasma.

21 FIG. 2 depicts the effect of a horizontally propagated  
22 acoustic wave as it passes through a plasma along an axis 25.  
23 The acoustic wave is represented as a graph 30 with an area 31  
24 of increased pressure and an area 32 of decreased pressure.  
25 Assume the spacing of the lines in graph 33 depicts the density

1 of the ions and electrons throughout the tube in response to  
2 the wave and that the line spacing at 34 represents the normal  
3 density of those particles. In the area 31 of increased  
4 pressure there will be an increased density of particles at 35  
5 whereas the density will be rarefracted at an area 36  
6 corresponding to the area 32 of decreased pressure area.

7 This is also shown in FIG. 3 where the pressure wave is  
8 shown as propagating along the vertical axis 13 of the tube 12  
9 with the area 31 of increased pressure producing the  
10 concentration of ions and electrons at 35. The area 32 of  
11 decreased pressure produces the rarefracted density of ions and  
12 electrons at 36. As the wave moves through the plasma along  
13 the axis 13, areas of high density will produce an upward  
14 particle flow depicted by upward directed arrow 40 whereas in  
15 areas of reduced pressure the ion electron motion will be in  
16 the direction of downward directed arrow 41. Thus, the  
17 particles will reciprocate or oscillate in a vertical direction  
18 as an ion acoustic wave travels through the plasma in the tube  
19 12.

20 Stated differently and as known, an ion acoustic wave is a  
21 longitudinal pressure wave in which the ions provide the  
22 inertia and the electrons the restoring force. Hence the ion  
23 acoustic wave can be considered an ion oscillation. At a  
24 resonance ion frequency, the ions will have much more charge  
25 density than electrons oscillating at the electron resonance

1 frequency. As a consequence, the ions oscillating at resonance  
2 and the carrier frequency, including frequencies in the ELF  
3 range, can provide greater charge movement and a greater dipole  
4 moment than the electrons. Consequently, the current caused by  
5 the moving particles can be considered as being solely the  
6 result of ion travel.

7 With this background, certain quantitative aspects of the  
8 antenna system 11 in FIG. 1 can be disclosed. First, the  
9 relationship between velocity wave length and frequency of an  
10 acoustic wave is given as:

$$\lambda_a f_a = v_a \quad ( 1 )$$

11 wherein  $v_a$  = the velocity of an acoustic wave in air or in the  
12 medium in the tube 12,  $\lambda_a$  is the acoustic wave length and  $f_a$  is  
13 the acoustic frequency. Because the acoustic velocity is low,  
14 the tube length of this device can be extremely short with  
15 respect to that of a conventional antenna. For example,  
16 whereas a full wave length at 100 Hz is 3,200 km, in an  
17 acoustic wave, that has an acoustic velocity  $v_a = 333$  m/s, a  
18 100 Hz wave has a full wavelength of 3.33 meters.  
19 Consequently, if the tube 12 and the plasma column in that tube  
20 is at least 3.33 meters long, i.e.,  $l > 3.333$ m, a modulated  
21 signal at 100 Hz should be radiated from the plasma. The  
22 antenna, therefore is significantly shorter than a conventional  
23 full wave antenna. Moreover, in the ELF range, any form of

1 standing wave antenna that produces effective levels of  
2 electromagnetic radiation will be even shorter.

3 If it is assumed that the acoustic wave has a sinusoidal  
4 form, the acoustic pressure  $p$  is expressed as:

$$p = p_{pk} \cos(\omega t - kz - \phi) \quad ( 2 )$$

5 where  $p_{pk}$  represents the peak pressure induced by the acoustic  
6 wave,  $\omega$  is the frequency,  $k$  is the wave number and  $\phi$  is a  
7 phase shift. As also known, the acoustic particle velocity for  
8 ions is:

$$\vec{v} = \frac{\hat{z}}{\rho c} p_{pk} \cos(\omega t - kz + \phi) \quad ( 3 )$$

9 where  $\hat{z}$  represents a unit vector,  $\rho$  is the density of the  
10 medium and  $c$  is the speed in the medium (i.e., 333 m/s in the  
11 atmosphere). Thus the ions will oscillate at the acoustic  
12 frequency and cause the radiation of an electromagnetic field  
13 at that frequency.

14 For a sinusoidal wave, the acoustic intensity is:

$$I = \frac{[p^2]_{avg}}{\rho c} \quad ( 4 )$$

15 and the acoustic power  $P_{ac}$  is given as:

$$P_{ac} = \frac{P^2}{\rho c} A \quad ( 5 )$$

16 where  $A$  represents the cross-sectional area of the plasma  
17 column, normal to the axis 13 in FIG. 1 and  $[p^2]_{avg}$  represents  
18 the average value of the pressure squared.

1 As known, the conversion of energy in an antenna  
2 establishes the following relationship between input power,  
3  $P_{in}$ , and output power  $P_{out}$  as:

$$P_{in} = \frac{P^2}{\rho c} A = P_{out} + Loss \quad ( 6 )$$

4 where  $Loss$  represents Bremsstrahlung and other losses produced  
5 within the plasma and the conversion into electromagnetic  
6 energy. These are expected to be small.

7 Using the known acoustic power equation, acoustic power dB  
8 can be converted to pressure by:

$$p_s^2 = p_{ref}^2 \cdot 10^{\frac{L_p}{10}} \quad ( 7 )$$

9 where  $p_s$  represents the pressure of the sound,  $p_{ref}^2 = 20 \times 10^{-6}$   
10 and  $L_p$  is the sound pressure dB. Solving equation (6) by  
11 substituting equation (7) yields:

$$P_{out} = \frac{p_s^2}{\rho c} A = \frac{p_{ref}^2}{\rho c} \cdot 10^{\frac{L_p}{10}} \cdot A \quad ( 8 )$$

12 Equation (8) represents the relationship between acoustic  
13 power, radiated power and the cross-sectional area of the tube  
14 assuming losses can be ignored.

15 Graph 50 in FIG. 4 depicts the relationship between  
16 acoustic input power and radiated output power over the range  
17 from 80 to 140 dB for the input power for a column having a  
18 diameter of  $0.01\text{m}^2$ . Graph 51 represents an increase of area of  
19 a factor of 10. This increase produces a ten-fold increase in



1 radiated power. Graph 52 depicts the output power as a  
2 function of acoustic power for another factor of 10 in the  
3 increasing cross-sectional area for the tube 12. It again  
4 produces about a 10-fold increase in the output power from the  
5 antenna.

6 Graph 53 depicts the output power from a conventional  
7 Corona Mode Antenna (CMA) operating in a corresponding  
8 frequency range. If the acoustic wave energy exceeds about 105  
9 dB, then the power out of the antenna 11 shown in FIG. 1 will  
10 be greater than the power of the conventional antenna. As will  
11 be apparent, this improved operating result will be achieved  
12 with a mechanism that is significantly less cumbersome and much  
13 more compact than a conventional CMA antenna.

14 Further, as will be apparent from FIG. 1, the antenna  
15 system and even its corresponding ionizer, power supply, signal  
16 source, modulator and driver can all be mounted in such a way  
17 to allow the structure to be a mobile structure. There is no  
18 need for any aerostats or supported CMA transmitting antennas  
19 and other elements that require large spaces.

20 Thus, in summary, in accordance with this invention, a  
21 plasma is excited externally by an acoustic wave that becomes  
22 an ion acoustic wave in the plasma. The ion acoustic wave  
23 produces ion oscillations that, in turn, radiate an  
24 electromagnetic field corresponding to the acoustic pressure  
25 developed by the acoustic wave. This antenna allows a

1 significant reduction in antenna length, especially for ELF and  
2 other low frequencies. Thus, the system constructed in  
3 accordance with this invention meets the several objectives of  
4 this invention.

5 This invention has been described in terms of specific  
6 implementations. As described, lasers or other ionizing  
7 mechanisms can be used to provide the plasma. A speaker has  
8 been disclosed as an electromagnetic transducer. Other  
9 transducers may also be substituted. Therefore, it is the  
10 intent to cover all such variations and  
11 modifications as come within the true spirit and scope of this  
12 invention.

2  
3 ACOUSTICALLY DRIVEN PLASMA ANTENNA

4  
5 ABSTRACT OF THE DISCLOSURE

6 A plasma antenna with an acoustic modulator is provided.  
7 An ionizer produces a plasma in a horizontal tube to form a  
8 bounded plasma column extending along a longitudinal axis. An  
9 amplitude-, phase- or frequency-modulated signal is applied to  
10 an acoustic transducer that directs an acoustic wave along the  
11 longitudinal axis into the plasma. The acoustic wave acts as  
12 an ion acoustic wave to oscillate ions parallel to the axis.  
13 This movement radiates an amplitude-, phase- or frequency-  
14 modulated electromagnetic field from the plasma column.

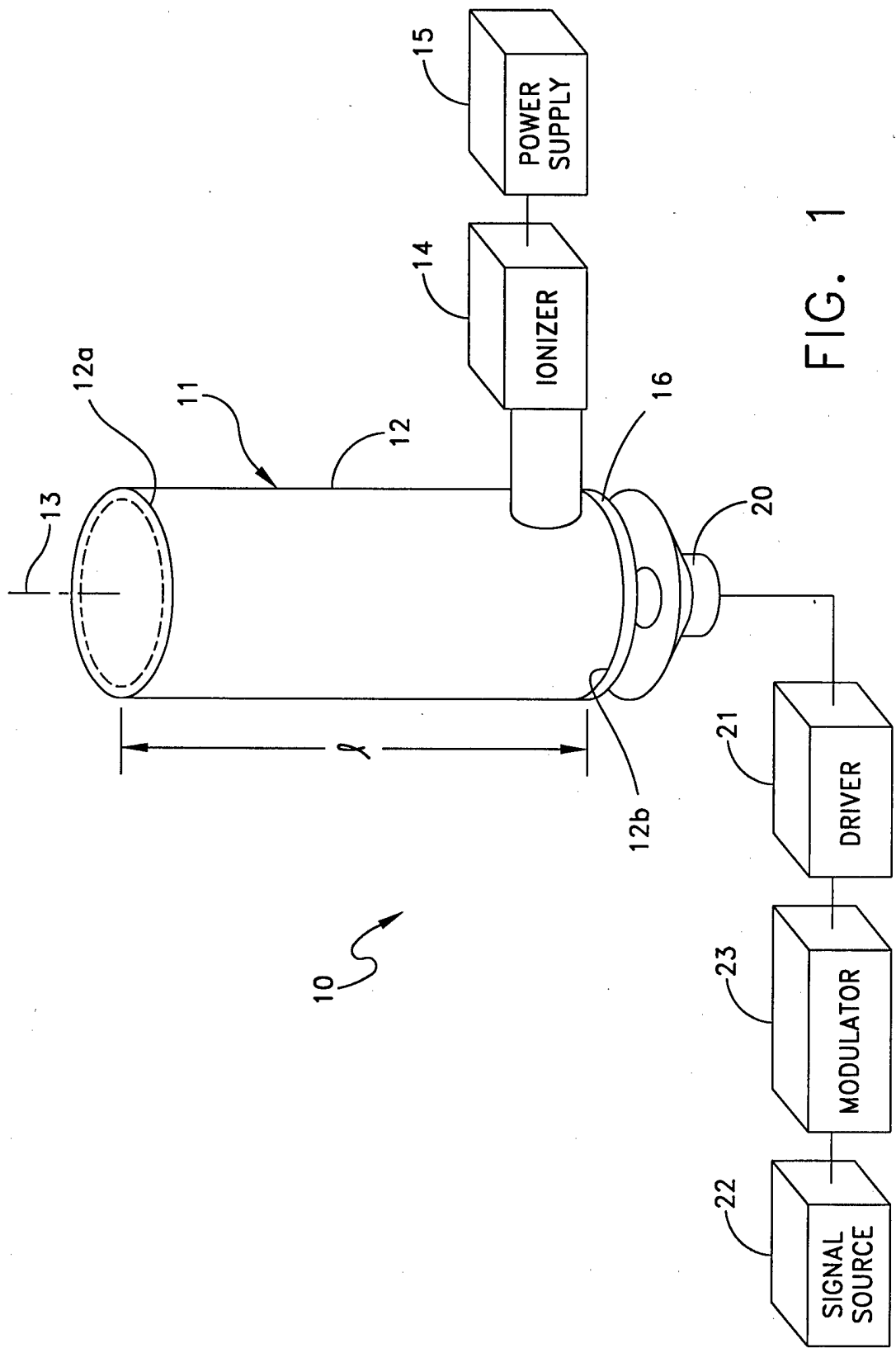


FIG. 1

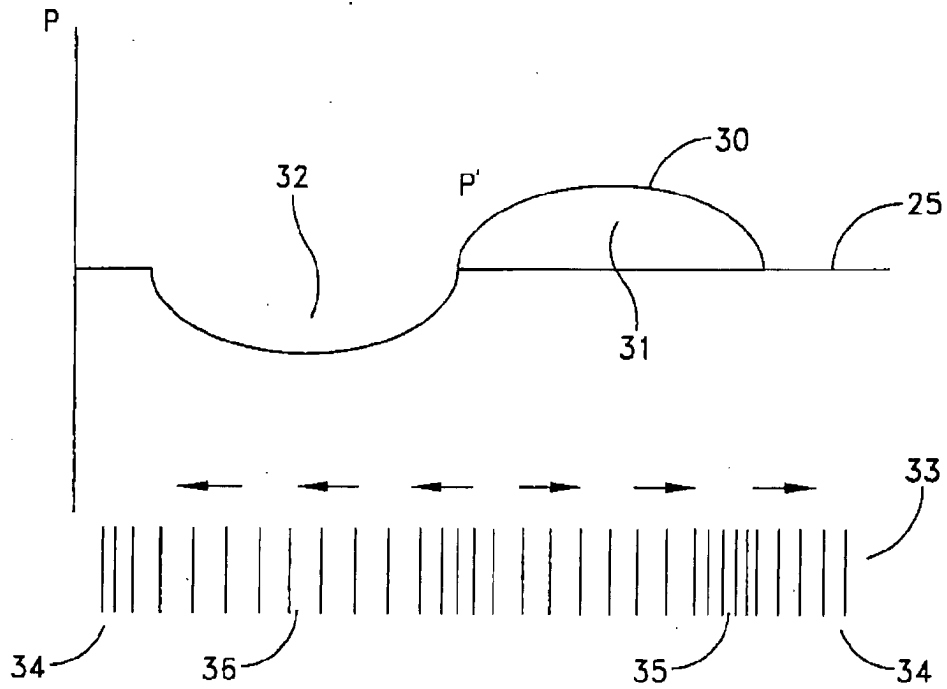


FIG. 2

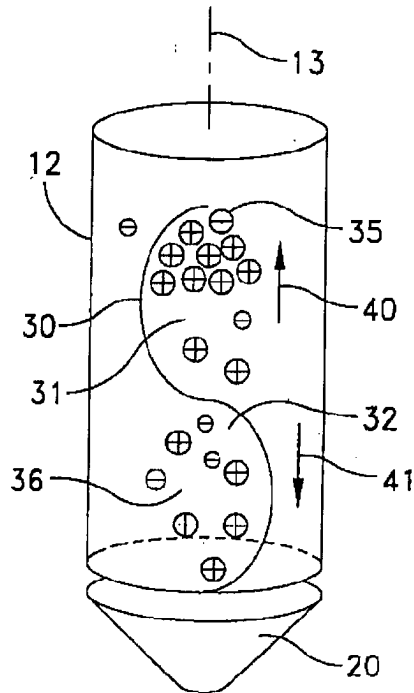


FIG. 3

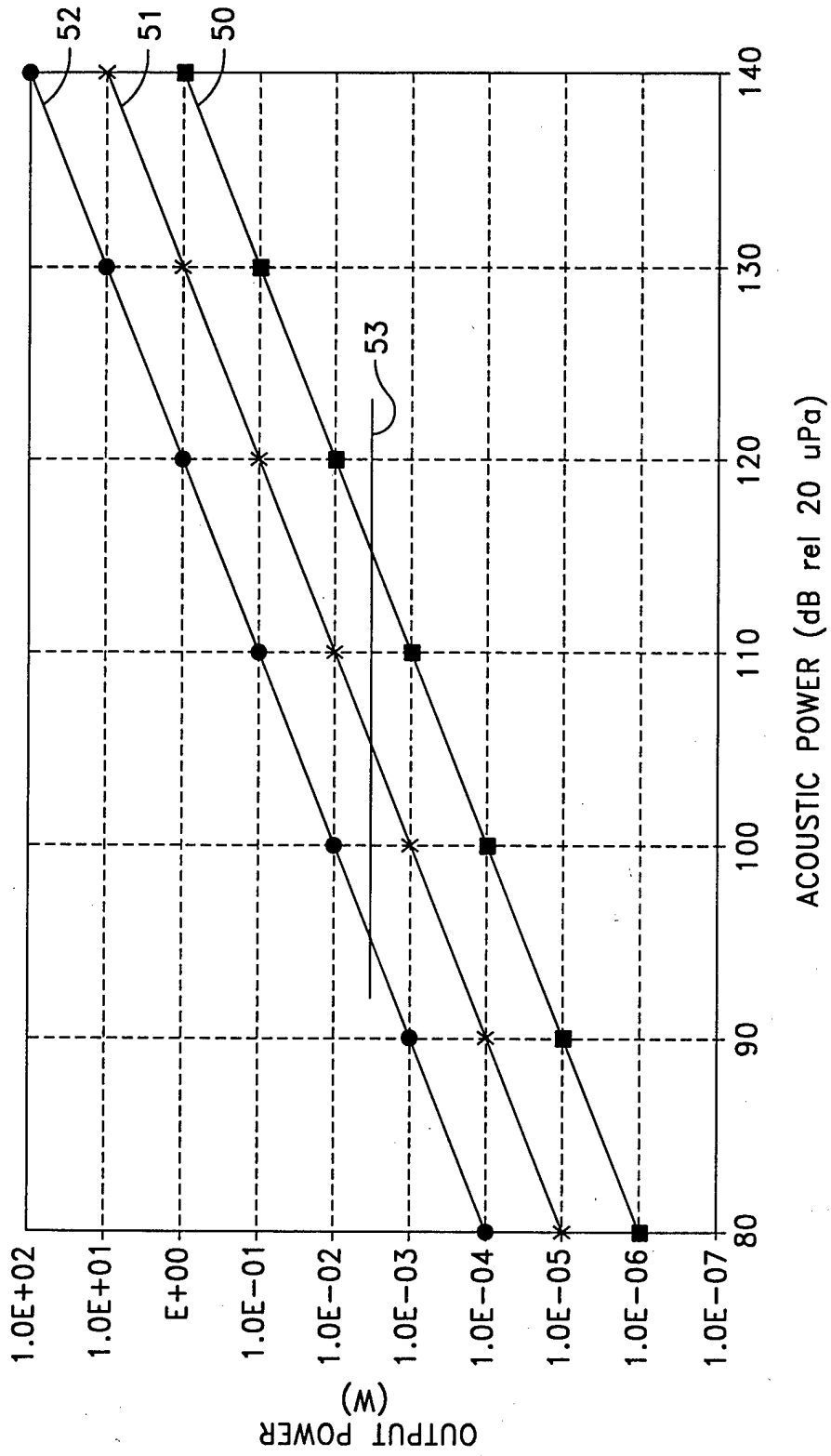


FIG. 4