

Serial Number                    09/317,085  
Filing Date                      21 May 1999  
Inventor                         Theodore R. Anderson

NOTICE

The above identified patent application is available for licensing. Requests for information should be addressed to:

OFFICE OF NAVAL RESEARCH  
DEPARTMENT OF THE NAVY  
CODE 00CC  
ARLINGTON VA 22217-5660

**DISTRIBUTION STATEMENT A**  
Approved for Public Release  
Distribution Unlimited

DTIC QUALITY INSPECTED 4

19991119 120

1 Attorney Docket No. 78767

2  
3 PLASMA ANTENNA WITH TWO-FLUID IONIZATION CURRENT

4  
5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used  
7 by or for the Government of the United States of America for  
8 governmental purposes without the payment of any royalties  
9 thereon or therefor.

10  
11 CROSS REFERENCES TO RELATED PATENT APPLICATION

12 The instant application is related to two co-pending U.S.  
13 Patent Applications entitled STANDING WAVE PLASMA ANTENNA WITH  
14 PLASMA REFLECTOR (Navy Case No. 78772); and PLASMA ANTENNA WITH  
15 ELECTRO-OPTICAL MODULATOR (Navy Case No. 78773) having same  
16 filing date.

17  
18 BACKGROUND OF THE INVENTION

19 (1) Field of the Invention

20 The present invention relates generally to communications  
21 antennas, and more particularly to plasma antennas adaptable for  
22 use in any of a wide range of frequencies.

23 (2) Description of the Prior Art

24 A specific antenna typically is designed to operate over a  
25 narrow band of frequencies. However, the underlying antenna  
26 configuration or design may be adapted or scaled for widely  
27 divergent frequencies. For example, a simple dipole antenna

1 design may be scaled to operate at frequencies from the 3-4 MHz  
2 band up to the 100 MHz band and beyond.

3 At lower frequencies the options for antennas become fewer  
4 because the wavelengths become very long. Yet there is a  
5 significant interest in providing antennas for such lower  
6 frequencies including the Extremely Low Frequency (ELF) band,  
7 that is less than 3 kHz, the Very Low Frequency (VLF) band  
8 including signals from 20 kHz to 60 kHz and the Low Frequency  
9 (LF) band with frequencies in the 90 to 100 kHz band. However,  
10 conventional half-wave and quarter-wave antenna designs are  
11 difficult to implement because at 100 Hz, for example, a quarter-  
12 wave length is of the order of 750 km.

13 Notwithstanding these difficulties, antennas for such  
14 frequencies are important because they are useful in specific  
15 applications, such as effective communications with a submerged  
16 submarine. For such applications, conventional ELF antennas  
17 comprise extremely long, horizontal wires extended over large  
18 land areas. Such antennas are expensive to construct and  
19 practically impossible to relocate at will. An alternative  
20 experimental Vertical Electric Dipole (VEP) antenna uses a  
21 balloon to raise one end of a wire into the atmosphere to a  
22 height of up to 12 km or more. Such an antenna can be relocated.  
23 To be truly effective the antenna should extend along a straight  
24 line. Winds, however, can deflect both the balloon and wire to  
25 produce a catenary form that degrades antenna performance. Other  
26 efforts have been directed to the development of a corona mode

1 antenna. This antenna utilizes the corona discharges of a long  
2 wire to radiate ELF signals.

3 Still other current communication methods for such submarine  
4 and other underwater environments include the use of mast mounted  
5 antennas, towed buoys and towed submersed arrays. While each of  
6 these methods has merits, each presents problems for use in an  
7 underwater environment. The mast of current underwater vehicles  
8 performs numerous sensing and optical functions. Mast mounted  
9 antenna systems occupy valuable space on the mast which could be  
10 used for other purposes. Consequently, as a practical matter,  
11 the use of such antennas for ELF or other low frequency  
12 communications is not possible because they require too much  
13 space. For both towed buoys and towed submersed arrays, speed  
14 must be decreased to operate the equipment.

15 Conventional plasma antennas are of interest for  
16 communications with underwater vessels since the frequency,  
17 pattern and magnitude of the radiated signals are proportional to  
18 the rate at which the ions and electrons are displaced. The  
19 displacement and hence the radiated signal can be controlled by a  
20 number of factors including plasma density, tube geometry, gas  
21 type, current distribution, applied magnetic field and applied  
22 current. This allows the antenna to be physically small, in  
23 comparison with traditional antennas. Studies have been  
24 performed for characterizing electromagnetic wave propagation in  
25 plasmas. Therefore, the basic concepts, albeit for significantly  
26 different applications, have been investigated.

1           With respect to plasma antennas, U. S. Patent No. 1,309,031  
2 to Hettinger discloses an aerial conductor for wireless signaling  
3 and other purposes. The antenna produces, by various means, a  
4 volume of ionized atmosphere along a long beam axis to render the  
5 surrounding atmosphere more conductive than the more remote  
6 portions of the atmosphere. A signal generating circuit produces  
7 an output through a discharge or equivalent process that is  
8 distributed over the conductor that the ionized beam defines and  
9 that radiates therefrom.

10           U. S. Patent No. 3,404,403 to Vellase et al. uses a high  
11 power laser for producing the laser beam. Controls repeatedly  
12 pulse and focus the laser at different points thereby to ionize a  
13 column of air. Like the Hettinger patent, a signal is coupled  
14 onto the ionized beam.

15           U. S. Patent No. 3,719,829 to Vaill discloses an antenna  
16 constructed with a laser source that establishes an ionized  
17 column. Improved ionization is provided by means of an auxiliary  
18 source that produces a high voltage field to increase the initial  
19 ionization to a high level to form a more highly conductive path  
20 over which useful amounts of electrical energy can be conducted  
21 for the transmission of intelligence or power. In the Hettinger,  
22 Vellase et al. and Vaill patents, the ionized columns merely form  
23 vertical conductive paths for a signal being transmitted onto the  
24 path for radiation from that path.

25           U. S. Patent No. 3,914,766 to Moore discloses a pulsating  
26 plasma antenna, which has a cylindrical plasma column and a pair

1 of field exciter members parallel to the column. The location  
2 and shape of the exciters, combined with the cylindrical  
3 configuration and natural resonant frequency of the plasma  
4 column, enhance the natural resonant frequency of the plasma  
5 column, enhance the energy transfer and stabilize the motion of  
6 the plasma so as to prevent unwanted oscillations and unwanted  
7 plasma waves from destroying the plasma confinement.

8 U. S. Patent No. 5,594,456 to Norris et al. discloses an  
9 antenna device for transmitting a short pulse duration signal of  
10 predetermined radio frequency. The antenna device includes a gas  
11 filled tube, a voltage source for developing an electrically  
12 conductive path along a length of the tube which corresponds to a  
13 resonant wavelength multiple of the predetermined radio frequency  
14 and a signal transmission source coupled to the tube which  
15 supplies the radio frequency signal. The antenna transmits the  
16 short pulse duration signal in a manner that eliminates a  
17 trailing antenna resonance signal. However, as with the Moore  
18 antenna, the band of frequencies at which the antenna operates is  
19 limited since the tube length is a function of the radiated  
20 signal.

21 Notwithstanding the disclosures in the foregoing references,  
22 applications for ELF frequencies still use conventional land-  
23 based antennas. There remains a requirement for an antenna that  
24 can be mast mounted or otherwise use significantly less space  
25 than the existing conventional land-based antennas for enabling  
26 the transmission of signals at various frequencies, included ELF

1 and other low-frequency signals, for transmission in an  
2 underwater environment.

3  
4 SUMMARY OF THE INVENTION

5 Accordingly it is an object of the present invention to  
6 provide an antenna capable of operation with ELF signals.

7 Another object of this invention is to provide an antenna  
8 that is capable of transmitting signals in different frequency  
9 ranges including the ELF range.

10 Still another object of this invention is to provide an ELF  
11 antenna that is transportable.

12 Yet another object of this invention is to provide an ELF  
13 antenna that can be mounted in a restricted volume.

14 In accordance with this invention, an antenna for operating  
15 at a reference frequency radiates a field by repetitively  
16 producing a plasma in a confined, vertically extending volume.  
17 The plasma has a characteristic relaxation time when the ionizing  
18 process ceases. The interval between successive repetitive  
19 energizations is less than the characteristic relaxation time.

20 In accordance with another aspect of this invention, a  
21 communications system for operating at a reference frequency  
22 includes a high-power laser that generates a laser beam along an  
23 axis positioned to be vertically directed into the atmosphere.  
24 The laser operates in a pulsed manner to produce a vertical  
25 plasma column in the atmosphere. The plasma has a characteristic  
26 relaxation time, and the interval between successive pulses

1 applied to the laser is less than the characteristic relaxation  
2 time. A modulation signal controls the pattern of the repetitive  
3 pulsing and operates at a reference frequency that is less than  
4 the pulse repetition frequency for energizing the laser.  
5 Alternately exciting and extinguishing the plasma in response to  
6 the modulating signal enables a current having alternating  
7 directions to be developed in the plasma at the reference  
8 frequency.

9  
10 BRIEF DESCRIPTION OF THE DRAWINGS

11 The appended claims particularly point out and distinctly  
12 claim the subject matter of this invention. The various objects,  
13 advantages and novel features of this invention will be more  
14 fully apparent from a reading of the following detailed  
15 description in conjunction with the accompanying drawings in  
16 which like reference numerals refer to like parts, and in which:

17 FIG. 1 depicts an embodiment of this invention during one  
18 operating mode;

19 FIG. 2 depicts the antenna system of FIG. 1 in a second mode  
20 of operation;

21 FIG. 3 comprises a set of graphs that are useful in  
22 understanding this invention;

23 FIG. 4 represents another set of graphs that are useful in  
24 understanding this invention; and

25 FIG. 5 depicts another embodiment of an antenna constructed  
26 in accordance with this invention.



1                                    DESCRIPTION OF THE PREFERRED EMBODIMENT

2                    FIGS. 1 and 2 schematically depict a structure that forms an  
3 antenna 10 in accordance with this invention. In this particular  
4 embodiment the antenna 10 includes a laser 11 operated by a laser  
5 power supply 12. A positioner 13 locates the laser 11 so that  
6 the emitted laser beam from an output aperture 14 travels along a  
7 vertical axis 15 into the atmosphere. When the laser is active,  
8 the laser beam interacts with a medium above it to form an  
9 unbounded plasma column 16 as known in the art. This plasma  
10 column 16 comprises ions and electrons that will produce an  
11 upward current in response to an abrupt ionization of the air in  
12 the column 16.

13                    Specifically, the abrupt ionization of the air will create a  
14 two-fluid plasma (i.e., a plasma comprising ions and electrons)  
15 by different density gradients. The current magnitude will be  
16 dependent upon the difference in the diffusion times of the  
17 electrons and ions in the plasma. Extinguishing the plasma  
18 produces a downward current because the electrons and ions have  
19 different relaxation times during that process. In FIG. 1, an  
20 upward arrow 17A represents the upward current; in FIG. 2, a  
21 downward arrow 17B represents the downward current.  
22 Consequently, the arrows 17A and 17B in FIGS. 1 and 2 represent  
23 two oppositely polarized currents produced at the frequency at  
24 which the plasma column 16 is excited and extinguished.  
25 Alternatively, the currents 17A and 17B can be considered as  
26 currents of opposite direction.

1           It has been determined that this plasma current,  $I_p$ , will  
2           have a much greater magnitude than the current  $I_A$  in a  
3           conventional antenna. As previously indicated, conventional ELF  
4           antennas have a length  $L_A$  that is quite long. In accordance with  
5           conventional antenna analysis, two antennas provide equal  
6           radiation if they have an equal  $I \cdot L$  product where  $I$  is the  
7           current in the antenna and  $L$  is the length of the antenna.  
8           Assuming the conventional antenna has a length  $L_A$ , the length  $L_p$   
9           of the plasma antenna will be:

$$L_p = \frac{I_A}{I_p} L_A \quad ( 1 )$$

10  
11           Thus, if the plasma generates a current  $I_p$  that has a  
12           greater magnitude than the current  $I_A$  of a conventional antenna,  
13           the length  $L_p$  of the plasma antenna can be decreased by a  
14           corresponding amount. For applications in which the plasma  
15           column 16 in FIGS. 1 and 2 reaches well into the atmosphere a  
16           combination of increased current and length may provide even  
17           greater field strengths than presently available in ELF  
18           applications. It is expected that the plasma current for a given  
19           frequency will be up to 2 to 5 times or more the corresponding  
20           antenna current.

21           The basic criterion for providing such an antenna is that  
22           the plasma in the column must have an electron density of at  
23           least  $10^{12}$  electrons per cubic centimeter. Although it may be  
24           possible to provide that level of ionization over time intervals

1 associated with ELF frequencies, such continuous wave devices for  
2 use in antennas are prohibitively expensive. Pulse mode lasers  
3 offer a better option as ionizers. In FIGS. 1 and 2 the laser 11  
4 comprises a CO<sub>2</sub>, Nd:YAG or other laser. Typically these lasers  
5 operate in a pulse mode with a pulse repetition frequency that is  
6 much higher than ELF. For example, a CO<sub>2</sub> laser may operate with  
7 a pulse repetition frequency (PRF) in the megahertz range; one  
8 such CO<sub>2</sub> laser, operates at about 67 MHz with a 33% duty cycle.

9 When the laser power supply 12 generates a single pulse, the  
10 laser beam ionizes the air in the column 16 to form a gas plasma.  
11 When the laser beam extinguishes, the plasma extinguishes with a  
12 characteristic relaxation time as known in the art. FIG. 3  
13 depicts this action by showing a pulse train 20 at some pulse  
14 repetition frequency with the pulse train shifting between an ON  
15 level 21 and OFF level 22. With this pulse train, the initial  
16 pulse can be considered to fully ionize the air in a column. A  
17 straight, sloped line 23 extending from a zero ionization level  
18 to a maximum (MAX) ionization level depicts this interaction.  
19 The straight line 23 represents a first order approximation of  
20 the relationship between level and time; the actual relationship  
21 is nonlinear. The details of this relationship are not necessary  
22 to an understanding of this invention.

23 After the pulses terminate at 24 in FIG. 3, the plasma goes  
24 through its relaxation and recombination and then extinguishes.  
25 The time for this relaxation and recombination is depicted as a  
26 straight line 25 that also is a first order approximation of the

1 actual change in ionization over that time, represented as an  
2 interval  $t_r$ .

3 The OFF time 22, between successive pulses in the pulse  
4 train 20 is selected to limit the amount of relaxation between  
5 successive pulses. For example, the interval is chosen to limit  
6 the relaxation to about 10% of the maximum ionization. The OFF  
7 time 22 is then selected so that succeeding pulse at the PRF  
8 energizes the laser 12 before the ionization relaxes to that  
9 reduced level. A portion 26 of the ionization graph in FIG. 3  
10 extending between the rise and relaxation lines 23 and 25, shows  
11 the effect of repetitive pulses having an OFF time corresponding  
12 to above criterion. Although there is a minor variation in the  
13 ionization level in the column during successive pulses, that  
14 variation is less than about 10% of the maximum ionization.  
15 Therefore, the variation is insignificant with respect to the  
16 operation of this invention.

17 In FIG. 3, it is assumed that ionization reaches a maximum  
18 during a single laser pulse; that is, the first pulse in a series  
19 of pulses. In certain applications full ionization may be  
20 achieved only after multiple pulses. Again, however, the time  
21 involved in reaching full ionization will be insignificant in the  
22 time domain of ELF and other like signals. That is, in the  
23 domain of the low frequency signals, the full ionization will be  
24 achieved instantaneously.

25 FIGS. 1 and 2 also depict a signal processor 27 that  
26 controls the energy radiated from the antenna 10 during a

1 transmitting mode. The signal processor 27 can produce a  
2 modulating signal of the well known ASK, FSK or FM variety at a  
3 reference frequency. In the case of ELF applications, the  
4 reference frequency might be 100Hz. FIG. 4 depicts an ASK  
5 modulating signal 28 generated by the signal processor 27 for the  
6 letter "A" in Morse code. A first pulse 28(1) turns on the laser  
7 power supply 12 to produce a pulse train 29(1) of a corresponding  
8 "dot" duration. As previously indicated, a net upward current  
9 will be generated due to the different diffusion coefficients of  
10 ions and electrons during the abrupt ionization of the column 16  
11 in FIG. 1. The trailing edge of the pulse 28(1) will terminate  
12 the pulse train 29(1) allowing the ionization to terminate. The  
13 different relaxation times of electrons and ions under this  
14 condition, generate the downward current. Thus, in FIG. 4 the  
15 leading edge of the pulse 28(1) produces a positive current pulse  
16 30; the trailing edge, a negative going pulse 31 thereby  
17 producing an alternating cycle.

18 Likewise, an elongated pulse 28(2) representing the "dash"  
19 of the letter "A" energizes the laser power supply 12 to produce  
20 an elongated pulse train 29(2). As a result an upward current  
21 pulse 32 is generated with the onset of the ionization of the  
22 leading edge of the pulse 28(2) while the corresponding negative  
23 going pulse 33 is generated at the trailing edge of the pulse  
24 28(2).

25 These current pulses generate an electric field that  
26 radiates from the antenna 10. In this embodiment, the ELF signal

1 has the form of a series of oppositely poled pulses having a time  
2 duration dependent upon the ON time of the modulating signal 28.

3 As will be apparent, if the signal processor 27 generates a  
4 fixed-width pulse having two different frequencies, the antenna  
5 10 can radiate an FSK signal. Alternatively, if the signal  
6 processor 27 can generate a frequency-modulated signal, the  
7 antenna 10 can radiate a frequency-modulated signal. FKS and FM  
8 modulating signals typically are more effective at higher  
9 frequencies.

10 Although the foregoing description has been in terms of  
11 communications in the ELF range, the general principles of this  
12 invention are equally applicable to signals in the kHz and MHz  
13 ranges. At such higher reference frequencies, an antenna 10  
14 constructed in accordance with this invention, still has the same  
15 basic structure as depicted in FIGS. 1 and 2, but may include a  
16 bounded plasma column. Specifically, in FIG. 5 the antenna 10  
17 includes a laser 11 that directs a laser beam out an aperture 14  
18 along an axis 15 into the atmosphere. The positioner 13 locates  
19 the axis 15. The laser power supply 12 generates pulses  
20 modulated in accordance with the signal from the signal processor  
21 27 as previously described.

22 At these higher frequencies, however, the length of the  
23 plasma column can be reduced over that required for ELF signals.  
24 Dependent upon space constraints, at some higher frequency it  
25 will be possible to construct the antenna 10 with a tube 40 of a  
26 ceramic, glass or like material that defines a bounded volume

1 along the axis 15 in which the ionization will occur. This tube  
2 can include an end cap 41 to provide a closed bounded column.  
3 The use of such a column can improve the efficiency of ionization  
4 and increase the current produced by the changes in ionization.  
5 Further, the tube 40 isolates the ionization column from any  
6 environmental influences, such as wind.

7 Therefore there has been disclosed in the foregoing figures  
8 an antenna in which an ionizing mechanism, such as a laser,  
9 produces a plasma column that is periodically excited and  
10 extinguished. The resulting differential rates of diffusion and  
11 relaxation of the ions and electrons within the plasma produce  
12 current pulses of opposite direction at the beginning and the end  
13 of each ionization cycle. These currents then produce an  
14 electric field that is radiated. As the only hardware associated  
15 with the antenna includes the laser, laser power supply, and  
16 signal processor, this construction provides a compact,  
17 transportable antenna structure even for ELF applications. As  
18 the radiated field is generated by the plasma itself, there is no  
19 need for gas discharge mechanisms located in the ion beam as in  
20 the prior art devices. Moreover, this invention enables the  
21 construction of an antenna that is significantly shorter than a  
22 conventional antenna for the same frequency.

23 This invention has been described in terms of specific  
24 implementations. Different lasers or ionization sources,  
25 different laser power supply operations and different signal  
26 processor operations can all be incorporated in a plasma antenna

1 that relies upon the different diffusion and relaxation rates for  
2 ions and electrons in the plasma. Therefore, it is the intent  
3 to cover all such variations and  
4 modifications as come within the true spirit and scope of this  
5 invention.



1 Attorney Docket No. 78767

2

3 PLASMA ANTENNA WITH TWO-FLUID IONIZATION CURRENT

4

5 ABSTRACT OF THE DISCLOSURE

6 A plasma antenna is provided having an ionizer, which when  
7 energized, generates a bounded or unbounded plasma column  
8 extending along a vertical axis. When ionization is initiated,  
9 the difference in the diffusion characteristics of ions and  
10 electrons and the resulting gas plasma produce a current pulse in  
11 a first direction. As the plasma extinguishes, the difference in  
12 relaxation times for the ions and electrons in the plasma  
13 produces a second current pulse of opposite direction. The  
14 alternating current pulses generate an electric field that  
15 radiates from the plasma column.

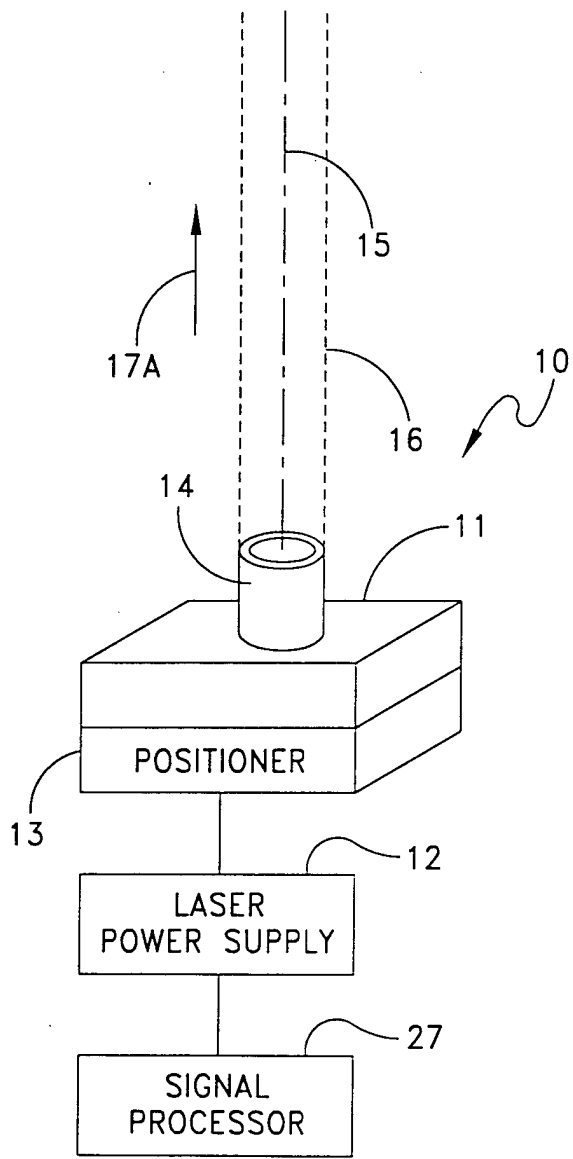


FIG. 1

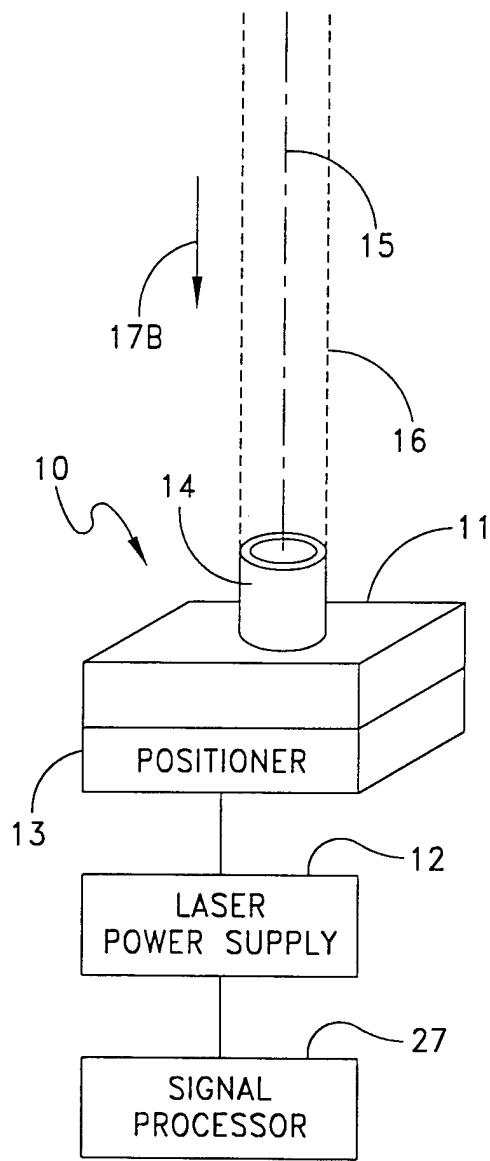


FIG. 2

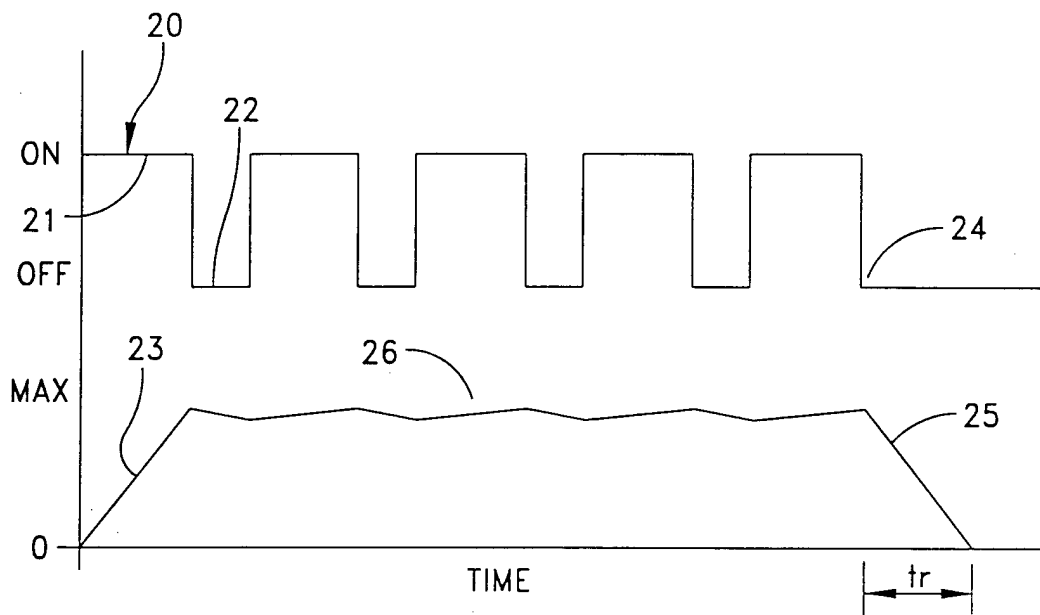


FIG. 3

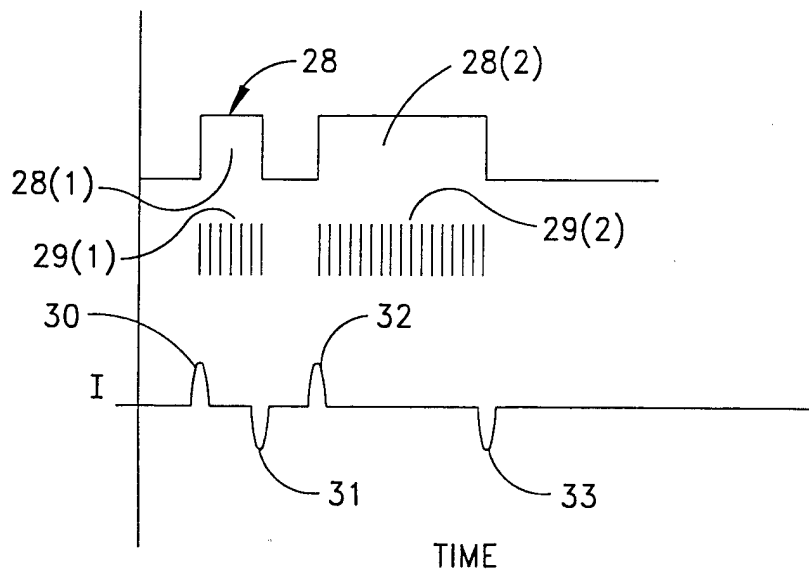


FIG. 4

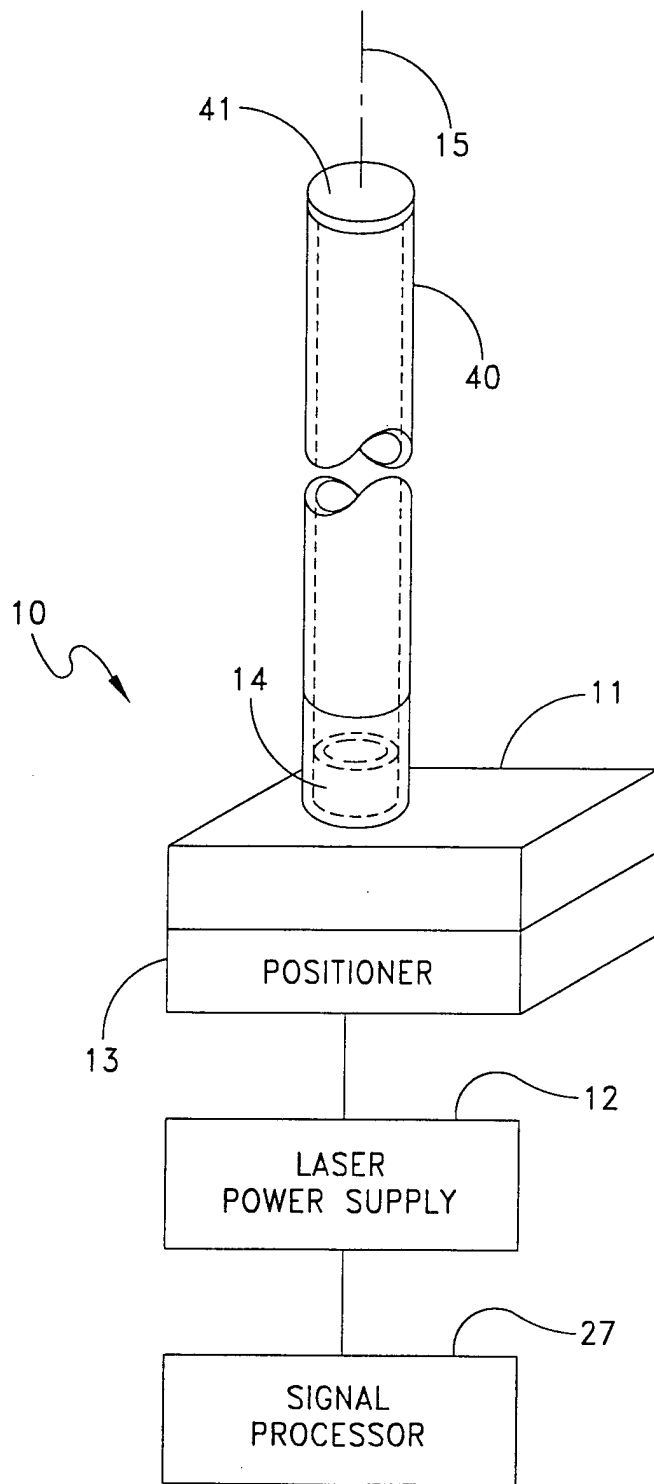


FIG. 5