

Serial Number 09/272,733
Filing Date 27 January 1999
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DTIC QUALITY INSPECTED 2

r Docket No.: N.C. 79,257

PATENT APPLICATION

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1 and antibody/antigen interactions between individual molecules. The use of atomic force
2 microscopy to study intermolecular forces is described, for example, in the following patents,
3 patent applications and publications, incorporated herein by reference: U.S. Patent No. 5,363,697
4 to Nakagawa; U.S. Patent No. 5,372,930 to Colton *et al*; Florin E.-L. *et al*, "Adhesion Forces
5 Between Individual Ligand-Receptor Pairs" *Science* 264 (1994). pp 415-417; Lee, G.U *et al*,
6 "Sensing Discrete Streptavidin-Biotin Interactions with Atomic Force Microscopy" *Langmuir*,
7 vol. 10(2), (1994) pp 354-357; Dammer U. *et al* "Specific Antigen/Antibody Interactions
8 Measured by Force Microscopy" *Biophysical Journal* Vol. 70 (May 1996) pp 2437-2441;
9 Chilikoti A. *et al*, "The Relationship Between Ligand-Binding Thermodynamics and Protein-
10 Ligand Interaction Forces Measured by Atomic Force Microscopy" *Biophysical Journal* Vol. 69
11 (Nov. 1995) pp 2125-2130; Allen S. *et al*, "Detection of Antigen-Antibody Binding Events with
12 the Atomic Force Microscope" *Biochemistry*, Vol. 36, No. 24 (1997) pp7457-7463; and Moy
13 V.T. *et al*, "Adhesive Forces Between Ligand and Receptor Measured by AFM" *Colloids and*
14 *Surfaces A: Physicochemical and Engineering Aspects* 93 (1994) pp 343-348, and U.S. Patent
15 Application Serial No. 09/074,541, filed May 8, 1998 for "APPARATUS AND METHOD FOR
16 MEASURING INTERMOLECULAR INTERACTIONS BY ATOMIC FORCE
17 MICROSCOPY" by John-Bruce DeVault Green and Gil U Lee. If interactions between
18 molecules are studied in liquids, the experimental conditions, such as pH, buffer/ionic
19 concentration, buffer/ionic species, etc. may be varied to determine the effect that these have on
20 the forces of interaction.

1 Atomic force microscopy has great potential for use in measuring intramolecular forces
2 such as those associated with the secondary or tertiary structure of RNA, DNA and proteins.
3 Modern AFM instruments have sufficient sensitivity so that when a molecule such as DNA,
4 RNA or a protein is pulled on, the forces holding together the secondary or tertiary structure of
5 the molecule can be measured. See, for example, G. Lee *et al*, "Direct Measurement of the Forces
6 Between Complementary Strands of DNA", *Science*, 1994, 266, pp 771-773, incorporated herein
7 by reference. This article describes an experiment wherein poly-cytosine molecules were
8 attached to the surfaces of a cantilever and a substrate stage of an atomic force microscope. Poly-
9 inosine having an average base length of 160 bases was allowed to hybridize with the poly-
10 cytosine so that the poly-inosine bridged the gap between the cantilever and the substrate. The
11 force versus distance curve plot that was recorded as the surfaces were brought apart and
12 separated showed a long-range cohesive force that can be attributed to the effects of
13 intramolecular forces within the poly-inosine chain. See also M. Reif *et al*, "Reversible
14 Unfolding of Individual Titin Immunoglobulin Domains by AMF," *Science*, 1997, 276, pp 1109
15 - 1112, incorporated herein by reference. The use of atomic force microscopy to sequence DNA
16 has been described, for example, in Besimon, et al, PCT Application WO/94/23065, published
17 on October 13, 1994.

18 However, the equipment and techniques currently used for atomic force microscopy are
19 not well suited for repetitive measurements of intramolecular forces such as may be required to
20 generate statistically valid data. In particular, chemically modified cantilever probe tips are

1 fragile and easily damaged or inactivated. In a typical chemically modified cantilever probe tip,
2 only the molecules that are bound to the very apex of the tip are available for interacting with a
3 substrate. The crucial area of the probe tip is typically very small and the number of molecules
4 bound thereon is very few; if anything happens to damage those few molecules or to block access
5 to, or to otherwise inactivate that small area of the probe tip, then the probe tip is rendered
6 useless and must be replaced. Replacing the probe tip usually requires replacing the entire
7 cantilever, a procedure that is expensive and time-consuming. Moreover, the typical cantilever
8 probe tip has room for only one type of grasping molecule to be immobilized on the tip, so the
9 cantilever must be replaced or modified whenever it is desired to use a different grasping
10 molecule.

11 12 **Summary of the Invention**

13 It has now been discovered that the limitations of atomic force microscopy for use in a
14 method of measuring intramolecular interactions may be overcome by carrying out the method
15 with a modified atomic force microscope apparatus. The sample support is modified so that
16 instead of being a flat surface having molecules of the sample compound or compounds spread
17 out over a relatively large area, it has a plurality of protrusions having molecules of the sample
18 compound or compounds immobilized on the tips or apices of the protrusions. The sample
19 support member of this invention may contain millions of these protrusions per square
20 centimeter. Immobilizing the sample compounds onto the tips of protrusions instead of onto a

1 flat surface places the sample compounds in more accessible position so that it is not necessary to
2 put the grasping compound on the end of a cantilever probe tip in order to access individual
3 molecules of the sample compound. A second change to the atomic force apparatus is to modify
4 the cantilever so that the grasping compound is immobilized directly onto a surface region on the
5 free end of the cantilever instead of on a probe tip.

6 In the operation of the atomic force microscope to measure intramolecular forces
7 according to the method of present invention, the surface region of the free end of the cantilever
8 is brought into contact with or into proximity with a particular protrusion so that a molecule of
9 the grasping compound binds with a molecule of the sample compound. Piezoelectric translators
10 currently used in atomic force microscopy are sufficiently precise so that a particular protrusion
11 out of millions on the sample support member can be aligned with a particular portion of the
12 surface region of the cantilever.

13 The protrusions on the sample support member are analogous to the cantilever probe tip
14 in conventional atomic force microscopy in that they allow a small number of individual
15 molecules to be isolated in a small area in an accessible geometric configuration so that
16 measurements on single molecules may be taken. A major advantage of the method of the
17 present invention is that, whereas in conventional atomic force microscopy, there is only one
18 probe tip, which located on the cantilever, in the present invention, there are numerous analogous
19 structures, the protrusions, which are located on the sample support member. In a conventional
20 atomic force microscope, if the cantilever probe tip is damaged or inactivated, an experiment

1 must be halted until the tip is replaced. In the present invention, if a particular protrusion is
2 damaged or inactivated, one may simply reposition the cantilever over a different protrusion
3 having the same sample compound immobilized thereon and continue with the process of taking
4 measurements. Thousands or millions of protrusions per square centimeter can be created and
5 chemically modified with a sample compound or compounds.

6 The surface region of the cantilever can have billions or trillions of molecules of the
7 grasping compound immobilized on it, each of which can be used to bind with a sample
8 compound immobilized on a protrusion. If molecules of the grasping compound located on one
9 part of the cantilever become damaged or inactivated in the course of an experiment, the
10 cantilever may be repositioned so that undamaged, active molecules of the grasping compound
11 located on a different portion of the cantilever can be used instead. Moreover, the surface region
12 of the cantilever can be subdivided into spatially addressable subregions each having a different
13 grasping compound immobilized thereon, so that there is no need to replace the cantilever every
14 time it is desired to use a different grasping compound.

15 By making the changes to the sample support and to the cantilever as described above, it
16 is now possible to use atomic force microscopy for taking repetitive measurements of
17 intramolecular forces so that results may be double-checked with statistical rigor without the
18 researcher having to worry about needing to replace the cantilever in the middle of an experiment
19 because of damage to or inactivation of the grasping compound or the sample compound.

20 Accordingly, in one aspect, the invention provides a method of measuring intramolecular

1 forces within a sample compound, the method comprising the steps of

2 (a) providing an atomic force microscope that includes

3 a sample support member having a plurality of protrusions, each protrusion having an
4 apical substrate region wherein each apical substrate region has been chemically modified to
5 have a sample compound immobilized thereon,

6 a cantilever having a fixed end and a free end, the free end having a surface region,
7 wherein the surface region has been chemically modified to have a grasping compound
8 immobilized thereon,

9 (b) controlling the relative position and orientation of the cantilever and the sample
10 support member to select a particular protrusion and to allow a molecule of the grasping
11 compound to bind with a molecule of the sample compound, and

12 (c) controlling the relative position and orientation of the cantilever and the sample
13 support member to vary the distance between the cantilever and the sample support member and
14 measuring forces exerted on the cantilever when the distance between the cantilever and the
15 sample support member is varied.

16
17 **Brief Description of the Drawings**

18 Fig.1 (Prior art) is a schematic, enlarged representation of an interaction between a
19 conventional cantilever and a conventional substrate.

20 Fig. 2 is a schematic, enlarged representation of an interaction between a cantilever and a

1 sample support member according to the method of the present invention.

2 Fig. 3 is a schematic, enlarged representation top view of a portion of a sample support
3 member used in the method of the invention.

4 Fig. 4 is a graph of three superimposed plots showing the force exerted on a cantilever as a
5 function of the distance between the cantilever and the sample support member as a 511-base-pair
6 (bp) fragment of DNA is stretched between the cantilever and the sample support member.

7

8 Detailed Description of the Preferred Embodiments

9 As shown schematically in Figure 1 (prior art), intramolecular force measurements using a
10 conventional atomic force microscope are carried out by stretching a sample molecule 500 between
11 a grasping molecule 300 attached to a sharp stylus or tip 200 of a conventional atomic force
12 microscope cantilever 100 and a flat substrate 400.

13 As shown schematically in Figure 2, in the method of the present invention, a cantilever 10
14 is used that has a free end 15 that has a surface region 20 that is chemically modified to immobilize
15 molecules 30 of a grasping compound thereon. The sample support member 40 used in the present
16 invention includes a plurality of protrusions 45 each having an apical substrate region 48 having
17 sample molecules 50 immobilized thereon. According to the method of present invention,
18 intramolecular forces within a sample molecule may be measured by bringing the cantilever close
19 enough to a particular protrusion so that a molecule of the grasping compound on the cantilever
20 binds with a molecule of the sample compound. Forces exerted on the cantilever are measured as the

1 cantilever is pulled towards or away from the substrate.

2 The sample compound may be any compound that has a secondary or tertiary structure
3 caused by intramolecular forces that cause the compound to adopt a particular shape or
4 conformation. Compounds having a secondary or tertiary structure include DNA, RNA and proteins.
5 For example, many types of RNA molecules form complex secondary structures that include self-
6 complementary double-stranded regions around non-complementary single strand loops.

7 The sample compound may also be a double-stranded DNA molecule and, as used herein,
8 the term "intramolecular forces" includes non-covalent bonds between chains of a DNA molecule,
9 including, for example hydrogen bonds between complementary base pairs.

10 The grasping compound can be any compound that has a binding affinity for the sample
11 compound that is strong enough so that the grasping compound can grasp the sample compound to
12 allow the sample compound to be pulled between the sample support member and the cantilever and
13 weak enough so that the sample compound can be released or pulled away from the grasping
14 compound when the experiment is over without rupturing or destroying the sample compound or the
15 grasping compound. Preferably, the grasping compound has a binding affinity for a specific site at
16 an end of the sample compound opposite to where the sample compound is attached to a protrusion
17 so that as the cantilever is pulled away from the sample support member, the entire length of a
18 molecule of the sample compound is stretched between the cantilever and the sample support
19 member. The grasping compound should be selected so that the force required to pull the grasping
20 compound away from the sample compound is greater than intramolecular forces that contribute to

1 the secondary or tertiary structure of the sample compound. For example, if the sample compound
2 is polynucleotide such as DNA or RNA, the grasping compound could be a single stranded
3 oligonucleotide that hybridizes with one end of the sample compound. If the sample compound is
4 a protein, the grasping compound could be a compound that has a strong binding affinity for one end
5 of the protein. If necessary, a sample compound can be modified to covalently attach a ligand that
6 has a strong binding partner. The binding partner could then be used as the grasping compound. For
7 example, to measure the intramolecular forces of a protein, the protein could be modified to attach
8 biotin molecules to one end of the protein molecules and then the other end of the protein molecules
9 could be immobilized on the sample support member. Streptavidin, which has a strong binding
10 affinity for biotin, could then be immobilized on the cantilever and used as the grasping compound.

11 The sample support member includes a support base having a plurality of protrusions that
12 each have a chemically modified apical substrate region. In general, the protrusions should be a size
13 and shape that allows only a small number of molecules of the sample compound to be immobilized
14 at the apex of each protrusion, so that individual molecules of the sample compound can be isolated
15 for study. (Typically, the protrusions are visible only through an optical microscope and cannot be
16 seen clearly by the naked eye.) Preferably, the protrusions are tapered or rounded with an apical
17 radius of curvature between about 5 and about 1000 nm. The apical radius of curvature of a
18 protrusion should be selected according to the coverage (the number of molecules per unit area) of
19 the molecules of the sample compound to be immobilized thereon. For compounds that are in
20 relatively low abundance or coverage, or that are difficult to immobilize, protrusions having

1 relatively large radii of curvature should be used; for example, rounded or hemispherical shapes are
2 preferred for these types of sample compounds. The large radius of curvature helps to increase the
3 odds that every protrusion will have at least one sample molecule immobilized thereon. For
4 molecules that are easy to immobilize with high coverage, tapered protrusions having relatively
5 small radii of curvature should be used. Pyramidal or conical shapes are preferred for these types of
6 sample compounds. The small radius of curvature helps to assure that even though a large number
7 of molecules may become bound to each protrusion during the immobilization process, single
8 molecules at the apices of the protrusions can be isolated for study. A sample support member can
9 be constructed with a variety of protrusions having differing radii of curvature, so that the same
10 support member can be used for immobilizing various types of sample compounds.

11 In principle, there is no limit to the overall size of the sample support member, although in
12 practice, the size of the sample support member will generally be selected according to the size of
13 the sample holding or stage area of the particular atomic force microscope instrument being used.
14 The size and spacing of the protrusions may be selected to match the size of a particular cantilever
15 so that the apical substrate region of each protrusion is accessible to the cantilever. In particular, the
16 protrusions should be spaced apart at a distance greater than the width of the cantilever so that the
17 cantilever may be maneuvered to address each protrusion without interference from neighboring
18 protrusions. On the other hand, spacing the protrusions too far apart limits the number of protrusions
19 that can be packed into a small area. Preferably, the width of the protrusions at their widest point is
20 about 0.5 - 5 μm , and the distance between adjacent protrusions is about 2 - 50 μm . Thus, a sample

1 support member that is a few square centimeters in size may have millions of protrusions.

2 In some atomic force microscopes, a cantilever is held at a fixed angle to the surface of the
3 sample substrate while the cantilever and the substrate are moved relative to each other. If this type
4 of microscope is used with a cantilever and sample support member used in the method of the
5 present invention, then the height of the protrusions can affect how great of a surface area of the
6 cantilever is accessible to the apex of the protrusions. If a protrusion is of insufficient height, the area
7 of the cantilever that can be accessed in the direction away from the free end is limited because the
8 free end would run into the sample support member. Preferably, the height of the protrusions is about
9 1 - 10 μm .

10 As shown schematically in Figure 3, the sample support member 40 will typically comprise
11 a planar array of protrusions 45. Preferably, the protrusions are arranged in a regular pattern, such
12 as a square array, so that it is easy to keep track of the location of any particular protrusion. For
13 convenience in keeping track of the location of particular protrusions and distinguishing the
14 protrusions from each other, the sample support base may include markings that are visible through
15 an optical microscope. Also, for convenience in distinguishing particular protrusions, groups of
16 protrusions may be separated by empty rows and columns.

17 Other configurations besides a planar array are possible. For example, the sample support
18 member could be a terraced structure having at least one row of protrusions on each terrace.

19 The sample support member may be made of any material that can be microfabricated.
20 Preferably, the sample support member is a silicon wafer. The protrusions are preferably integral

1 with the support base and may be made by standard microfabrication techniques such as
2 photopatterning and etching of the sample support member. In particular, methods of
3 microfabrication similar to those used to make conventional cantilever probe tips can be used to
4 make the protrusions of the sample support member. For example, a method of making tapered
5 microminiature silicon structures is described in U.S. Patents No. 5,201,992 and 5,204,581 to
6 Andreadakis, both incorporated herein by reference. The sample support member may also be made
7 by casting in a microfabricated mold.

8 The apical substrate regions of the protrusions are modified to immobilize sample
9 compounds thereon by any method known in the art for covalently or non-covalently immobilizing
10 a chemical or biochemical entity on a substrate. In particular, chemical methods used to modify
11 conventional cantilever probe tips and sample surfaces can be used to modify the protrusions of the
12 present invention. A general discussion of immobilization chemistry is found in Lee *et al*,
13 "Chemically-specific Probes for the Atomic Force microscope", Israel Journal of Chemistry, Vol.
14 36, (1996), pp 81-87, incorporated herein by reference.

15 The sample support member and/or the cantilever may be coated with one or more layers of
16 material that is useful for binding specific types of sample compounds. For example, the sample
17 support member and/or may be coated with a layer of metal, preferably gold, which allows the
18 immobilization of thiol-containing compounds. For binding onto a metal surface, both proteins and
19 nucleic acids can be modified to incorporate thiol groups into their structure. For example, with
20 proteins, intrinsic amines on the protein surface can be converted into thiols. One method of doing

1 this is by reacting the protein with a 50 to 100 molar excess of 2-iminothiolane (Traut's reagent) for
2 20 - 30 minutes. Upon completion, the excess of non-reacted Traut's reagent and the thiol-containing
3 reaction by-products may be removed by multiple extraction (3 - 5 times) with ethyl acetate. After
4 the protein is immobilized on a gold surface, non-immobilized protein may be removed by
5 prolonged washing of the protein-derivatized surface in a 0.1% to 1% solution of sodium
6 dodecylsulfate (SDS). Streptavidin, for example, may be modified in this manner and immobilized
7 onto a gold-coated cantilever surface to serve as a grasping compound to bind with biotin-modified
8 sample compounds. Nucleic acids may also be modified to incorporate thiol groups. The best way
9 to do this is to first modify the nucleic acid to incorporate amine-containing nucleotide analogs and
10 then convert the amine groups into thiol groups. This method is more cost effective and efficient
11 than direct thiolation of DNA.

12 Similarly, the sample support member and/or the cantilever may have a silicon surface and
13 the sample compound and/or the grasping compound may be modified to contain silane functional
14 groups that allow the sample compound or the grasping compound to be immobilized onto the
15 silicon surface of the sample support member or the cantilever.

16 The immobilization of the sample compound may be accomplished by means of a linking
17 compound attached to the apical substrate regions of the protrusions, wherein the linking compound
18 is a compound that is capable of binding a sample compound. Doing so provides flexibility by
19 allowing a researcher to select a particular sample compound or sample compounds to bind to the
20 sample support member at a point in time subsequent to the initial immobilization step. Any linking

1 compound known in the art for binding a sample compound to a substrate may be used. Typical
2 linking compounds are heterobifunctional crosslinkers that have a portion of the molecule that is
3 functionalized to bind a particular type of substrate and a portion of the molecule that is
4 functionalized to bind particular type of sample compound. For example, for binding the linking
5 compound to a silicon substrate, the linking compound may have one or more silane functional
6 groups of the formula $-O(CH_2)_nSi(OR)_{3-m}Cl_m$, wherein R is CH_3 or CH_2CH_3 , m is an integer from
7 0 to 3 and n is an integer from about 9 to about 25. For binding the linking compound to a metal-
8 layered substrate, the linking compound may have one or more thiol functional groups. Likewise,
9 the sample-binding portion of the linking compound may be selected to bind particular types of
10 sample compounds such as proteins or nucleic acids. For example, the linking compound may
11 include one or more maleimide groups that bind thiol-containing residues of polypeptides or the
12 linking compound may include one or more succinimide groups that bind the amine groups of
13 polypeptides or nucleic acids. The linking compound may also be photoactivatable so that the
14 compound is capable of binding a sample compound only after it has been irradiated. Examples of
15 photoactivatable linking compounds that can be immobilized on silicon are described in U.S. Patent
16 No. 5,773,308 to Conrad, incorporated herein by reference.

17 Electrochemical and electrostatic methods known in the art may also be used to immobilize
18 sample compounds on the protrusions. For example, the electrostatic potential of the surface of the
19 sample support member may be manipulated to concentrate sample compounds on the apices of the
20 protrusions.

1 The sample compounds immobilized on the protrusions may be the same or different,
2 depending on the type of research that is being conducted. For example, if a researcher is interested
3 in studying only the intramolecular forces of one specific sample compound, the sample support
4 member can be modified so that only one compound is immobilized on the protrusions. On the other
5 hand, if a researcher is interested in studying the intramolecular forces of a number of different
6 sample compounds, the sample support member could be modified so that different compounds are
7 immobilized on different, spatially addressable protrusions. Preferably, each sample compound
8 would be immobilized on a number of protrusions, so that if one protrusion having a particular
9 sample compound immobilized thereon becomes damaged, another protrusion having the same
10 sample compound can be selected for study. Also, having each sample compound immobilized on
11 a number of different protrusions allows for experiments to be repeated and for results to be double-
12 checked with statistical rigor. Any patterning method known in the art, including, but not limited to,
13 photo-patterning and μ -contact printing, can be used to create arrays of protrusions having a different
14 compounds immobilized thereon. If electrochemical methods are used to attach sample compounds
15 to the protrusions, different sample compounds may be patterned onto the sample support member
16 by using separate electrodes on different sections of the sample support member.

17 In immobilizing a sample compound or sample compounds on the apical substrate regions
18 of the protrusions, it does not matter if a particular method used has the incidental effect of also
19 immobilizing the sample compound on other portions of the sample support member, as long as
20 access to the sample compound immobilized on the apical substrate region is not blocked.

1 By providing herein that the sample support member comprises a plurality of protrusions
2 wherein "each protrusion" has an apical substrate region that is modified by the immobilization of
3 a sample compound thereon, it is not meant to exclude from the scope of the invention instances
4 wherein a sample support member also has protrusions that are not chemically modified. For
5 example, a researcher may choose to chemically modify only a portion of a sample support member
6 and to leave the remaining portion of the sample support member untouched. Or a sample compound
7 may be so difficult to immobilize onto a substrate that only a fraction of the protrusions of a sample
8 support member are successfully modified and the remaining protrusions are unmodified. These
9 instances are within the scope of the invention.

10 The cantilever may be constructed of any material known in the art for use in atomic
11 force microscope cantilevers, including Si, SiO₂, Si₃N₄, Si₃N₄O_x, Al, or piezoelectric materials. The
12 chemical composition of the cantilever is not critical and is preferably a material that can be easily
13 microfabricated and that has the requisite mechanical properties so that it can be used for atomic
14 force microscope measurements. Likewise, the cantilever may be in any size and shape known in
15 the art for atomic force microscope cantilevers, except that, unlike conventional cantilevers, it does
16 not rely on having a stylus or probe tip on its free end. Instead, the cantilever has a surface region
17 at the free end that is chemically modified by the immobilization of at least one grasping compound
18 thereon. Preferably, the cantilever is rectangular (a "diving board" shape) or "V" shaped. The size
19 of the cantilever preferably ranges from about 5 microns to about 1000 microns in length, from about
20 1 micron to about 100 microns in width and from about 0.04 microns to about 5 microns in

1 thickness. Typical atomic force microscope cantilevers are about 100 microns in length, about 20
2 microns in width and about 0.3 microns in thickness. In general, increasing the size of the cantilever
3 allows for a larger chemically modified surface region, allowing for a greater number of molecules
4 to be immobilized thereon. However, increasing the size of the cantilever generally decreases the
5 sensitivity of the cantilever to specific intramolecular interactions and reduces the accuracy of force
6 measurements.

7 The fixed end of the cantilever may be adapted so that the cantilever fits or interfaces with
8 a cantilever-holding portion of a conventional atomic force microscope.

9 The chemically modified surface region of the cantilever is on the portion of the cantilever
10 that, when the cantilever is incorporated into an atomic force microscope, faces the sample support
11 member. The surface region is preferably a substantially flat area of at least .01 square microns near
12 the end of the cantilever.

13 The cantilever is modified to immobilize the grasping compound thereon by any method
14 known in the art for covalently or non-covalently immobilizing a chemical or biochemical entity on
15 a substrate. The methods described above for immobilizing the sample compounds may also be used
16 for immobilizing the grasping compound. Typically, the surface region will have billions or trillions
17 of molecules of the grasping compound immobilized thereon.

18 To measure intramolecular forces according to the method of the present invention, the
19 relative position and orientation of the cantilever and the sample support member may be controlled
20 by any known means to select a particular protrusion and to allow a molecule of the grasping

1 compound immobilized on the surface region of the cantilever to bind with a molecule of the sample
2 compound immobilized on the apical substrate area of the selected protrusion. The idea is to simply
3 grab hold of a molecule of the sample compound so that the molecule can be pulled and stretched
4 by moving the cantilever. Either the cantilever or the sample support member or both can be moved
5 or oscillated. If the cantilever has different grasping compounds immobilized on different
6 subregions, the relative position of the cantilever and the sample substrate member may also be
7 controlled so that only a selected subregion of the cantilever interacts with a selected protrusion.
8 Preferably, the means for controlling the relative position and orientation of the cantilever and the
9 sample support member is achieved through the use of piezo-electric actuators, which can convert
10 electrical signal into mechanical displacements with sub-nanometer resolution.

11 Intramolecular forces of a sample compound are measured by monitoring the forces at the
12 cantilever when the vertical distance between the cantilever and the sample support member is
13 varied. The forces at the cantilever may be measured by any known means including, but not limited
14 to, optical deflection, optical interferometry, and piezoelectric effects. Preferably, forces at the
15 cantilever are measured by measuring deflections of the cantilever from its equilibrium position.
16 Deflections of the cantilever may be measured by a number of techniques, including by reflecting
17 a laser beam off the back of the cantilever onto a position sensitive detector.

18 Measurements may be carried out in any medium or environmental conditions used in atomic
19 force microscopy including, but not limited to, under ambient conditions or under a liquid medium.
20 In a liquid medium, experimental conditions such as pH, ionic concentration and the presence of

1 inhibitors or competitors can be controlled and varied.

2 Commercially available atomic force microscope instruments may be used for controlling
3 the relative position of the cantilever and the sample support member and for measuring forces on
4 the cantilever. Typically, commercial atomic force microscopes have removable cantilevers and
5 sample supports, so these instruments may be modified by simply substituting the cantilevers and
6 sample support members of the present invention. The process of carrying out measurements may
7 be automated and computerized, so that a large number of sample compounds, such as in a
8 combinatorial library, can be analyzed rapidly, efficiently and with statistical rigor. The cantilever
9 and sample support member of the present invention may also be used with an atomic force
10 microscope of a type that has multiple, independently controlled cantilevers, such as is described,
11 for example, in U.S. Patent No. 5,047,633 to Finlan *et al*, incorporated herein by reference.

12 In an alternative method of the present invention, the location of the sample compound and
13 the grasping compound may be reversed; that is, the sample compound may be immobilized onto
14 the cantilever and the grasping compound may be immobilized onto the sample support member,
15 (which would then be called the "grasping compound support member"). In other respects, the steps
16 in carrying out intramolecular force measurements would be substantially the same. In this
17 alternative, a plurality of cantilevers could be used to hold multiple copies of a sample compound
18 or to hold different sample compounds.

19 The method of the present invention may be used to pull apart a DNA helix to gather
20 information relating to the sequence of the DNA. The DNA to be studied should be modified so that

1 one strand of the double helix can be immobilized onto the sample support member and the other
2 strand can bind to a grasping compound that is immobilized on the cantilever. Binding sites or
3 functional groups for the immobilization of the DNA on the sample support member and for binding
4 with the grasping compound on the cantilever should both be on opposite strands of the same
5 terminus of the DNA, that is, one binding site or functional group should be on the 3' end of one
6 strand and one binding site or functional group should be on the 5' end of the other strand. The
7 opposite terminus of the DNA molecule should be free of binding sites. This allows both the sample
8 support member and the grasping compound to grab hold of the same end of the DNA (on opposing
9 strands). The DNA molecule may then be pulled apart one base pair at a time and binding forces as
10 the two strands are separated may be measured. Preferably, the opposite or distal terminus of the
11 DNA molecule is covalently cross-linked so that the two strands do not come apart completely. This
12 allows the double helix structure to be restored and the measurements to be repeated. For
13 convenience, the terminus that is attached to the sample support member and the grasping compound
14 may be referred to as the proximal terminus and the free terminus that is crosslinked may be referred
15 to as the distal terminus.

16 Binding groups on the 5' end of a DNA molecule may be introduced by incorporating the
17 binding group into a PCR primer. Binding groups on the 3' end may be added by enzymatic or
18 photochemical methods. A preferred method of incorporating a binding group on the 3' end is to
19 include a restriction endonuclease site on the PCR primer that allows the DNA to be cleaved to form
20 a sticky end with a 5' overhang. The 3' end may then be filled in by DNA polymerase to incorporate

1 a modified nucleotide having a binding group.

2 Crosslinking of the distal terminus of the DNA molecule may be accomplished any means
3 known in the art, including by covalent crosslinking. Preferably, the crosslinking is accomplished
4 by incorporating a psoralen functional group into the 5' end of the distal terminus. This may be done
5 by incorporating psoralen into a PCR primer. Photo-crosslinking of the free DNA terminus is
6 performed by irradiation of the PCR product with UV light with 310 - 350 nm wavelength during
7 10 - 20 min. To achieve higher efficiency of crosslinking (up to 90%), the base next to 5'-psoralen
8 in the PCR primer sequence must be adenine. Additionally, the DNA polymerase used for PCR must
9 lack 3'-5' exonuclease activity (non-proofreading) to incorporate an extra adenine residue at the 3'-
10 end of the distal DNA terminus. The combination of the above conditions creates the following
11 configuration at the free DNA terminus:

12 5'-...TA-3'
13 3'-...APs-5' , where Ps is psoralen.

14
15 This configuration is optimal for efficient terminal DNA strand crosslinking.

16 When using the method of the present invention to gather sequence information on a strand
17 of DNA, another consideration is that the backbone of the double-stranded DNA (dsDNA) must
18 remain in solution without significant adhesion (except at the attachment points) to the surfaces of
19 the sample support member. This may particularly be a problem with a gold-covered sample support
20 member since long dsDNA fragments are strongly attracted to a gold surface. De-attachment of an
21 adhered DNA backbone may be achieved via incubation of the sample support member with the
22 immobilized DNA in a buffer containing a divalent cation, preferably magnesium ions, which

1 neutralize the negative charge of the DNA sugar-phosphate backbone and stabilize the DNA helix.
2 The divalent cation should be present in an effective amount to prevent the DNA from adhering to
3 the sample support member surface. Preferably, the buffer contains 0.5 – 1.0 M magnesium chloride
4 and 0.1%- 1% Tween-20® detergent at pH 8.0. The incubation is followed by passivating of the
5 surface areas free from DNA-binding by self-assembly of thio-alcohol monolayers.

6 Having described the invention, the following examples are given to illustrate specific
7 applications of the invention, including the best mode now known to perform the invention. These
8 specific examples are not intended to limit the scope of the invention described in this application.

9 EXAMPLE

10 Measurements of Intramolecular Forces within a DNA Molecule

11 A 511-bp PCR fragment was amplified from human genomic DNA using a 5'-biotinylated
12 "proximal" primer and 5'-amino-modified "distal" primer. The fragment was purified by double
13 ethanol precipitation and then the distal amine was converted to a thiol using 2-iminothiolane
14 (Traut's reagent, Pierce). This reaction was performed for 30 minutes in a 50 mM triethanolamine
15 buffer at pH 8.0 with 65 mM Traut's reagent at room temperature. The DNA concentration in the
16 reaction mixture was 0.1 to 1 μ M. The reaction was quenched by addition of EDTA to 2.0 mM final
17 concentration. The excess of Traut's reagents and free thiols was eliminated by ultrafiltration with
18 Microcon 100 microconcentrators (Millipore) or by multiple ethyl acetate extraction. By a similar
19 procedure, streptavidin was modified to add thiol groups. The streptavidin concentration in the
20 reaction mixture was 6 μ M.

1 The thiolated DNA fragment and the thiolated streptavidin were incubated, respectively, with
2 a gold-coated substrate support member and a tipless gold-coated cantilever, each incubation taking
3 place in a 10 mM HEPES 5 mM EDTA buffer at pH 6.6 for at least 1 hour. The sample support
4 member and the cantilever were then washed with 0.5-1.0 % SDS in 2x Standard Saline Citrate
5 (SSC) buffer at pH 7.0 for 1 hour and non-coated gold surfaces were passivated by one-hour
6 exposure to 0.15 mM mercaptohexanol in 2x SSC buffer at pH 7.0. The sample support member and
7 the cantilever were then washed vigorously and then used for AFM experiments to measure
8 intramolecular forces within the DNA molecule. Pulling and stretching of the DNA molecules was
9 performed in 2x SSC buffer by repeatedly bringing the modified cantilever into proximity with DNA
10 molecules on an apical substrate region of the sample support member to establish a contact between
11 a streptavidin molecule on the cantilever and a "distal" biotin on a apical DNA molecule. Fig. 4
12 shows the force exerted on the cantilever as a function of distance between the sample support
13 member and the cantilever. As the measurements were being made, the tip of the apical substrate
14 region and cantilever were connected by a DNA-streptavidin bridge which included only those two
15 molecules. As the tip and cantilever were separated, the molecules straightened, and when the
16 distance reached about 125nm, the force required to stretch the molecular bridge actually
17 transformed the DNA molecule from one form (B-form) to another form (S-form), a transition that
18 requires a force of about 60pN, and which occurs until the entire length of DNA is transformed.
19 Once transformed, the DNA bridge becomes taut at a distance of about 275nm. Further movement
20 of the cantilever and sample support member results in elastic stretching, which is finally released

Docket No.: N.C. 79,257

PATENT APPLICATION

Inventor's Name: John-Bruce DeVault Green, Alexey Novordovsky and Gil U Lee

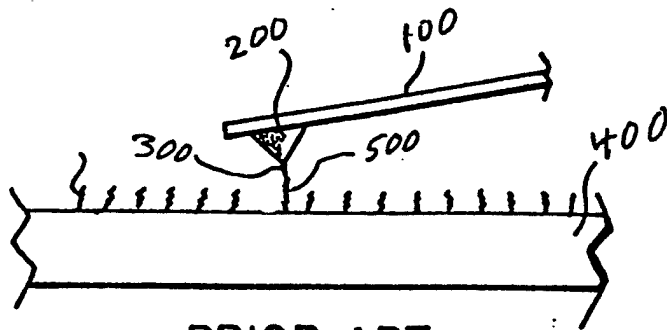
1 when the streptavidin-biotin interaction ruptures (usually at a force of about 200pN). Figure 4 show
2 the overlapping plots of three repetitions.

3 Obviously, many modifications and variations of the present invention are possible in light
4 of the above teachings. It is therefore to be understood that
5 the invention may be practiced otherwise than as specifically described.

6

ABSTRACT

A method is disclosed for measuring intramolecular forces within a sample compound by providing an atomic force microscope that includes a sample support member and a cantilever. The sample support member has a plurality of protrusions, and each protrusion has an apical substrate region that has been chemically modified to have a sample compound immobilized thereon. The cantilever has a fixed end and a free end, the free end having a surface region that has been chemically modified to have a grasping compound immobilized thereon. To measure intramolecular forces within the sample compound, the relative position and orientation of the cantilever and the sample support member are controlled to select a particular protrusion and to allow a molecule of the grasping compound to bind with a molecule of the sample compound. Then, the relative position and orientation of the cantilever and the sample support member are controlled to vary the distance between the cantilever and the sample support member so that the forces exerted on the cantilever as the distance between the cantilever and the sample support member is varied and as the molecule of the sample compound is stretched between the cantilever and the sample support member can be measured.



PRIOR ART

FIG. 1

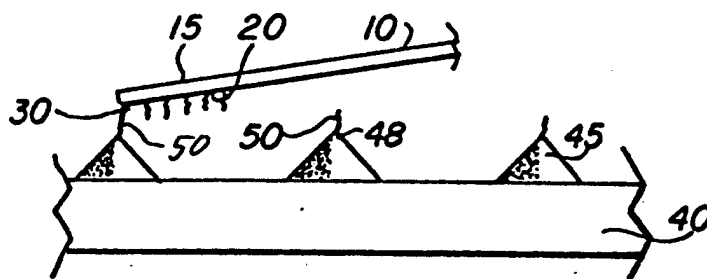


FIG. 2

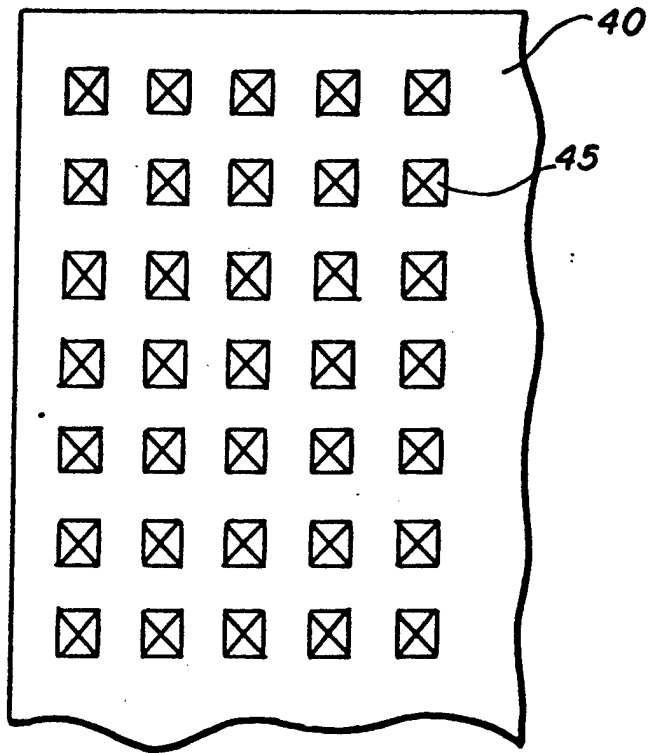


FIG. 3

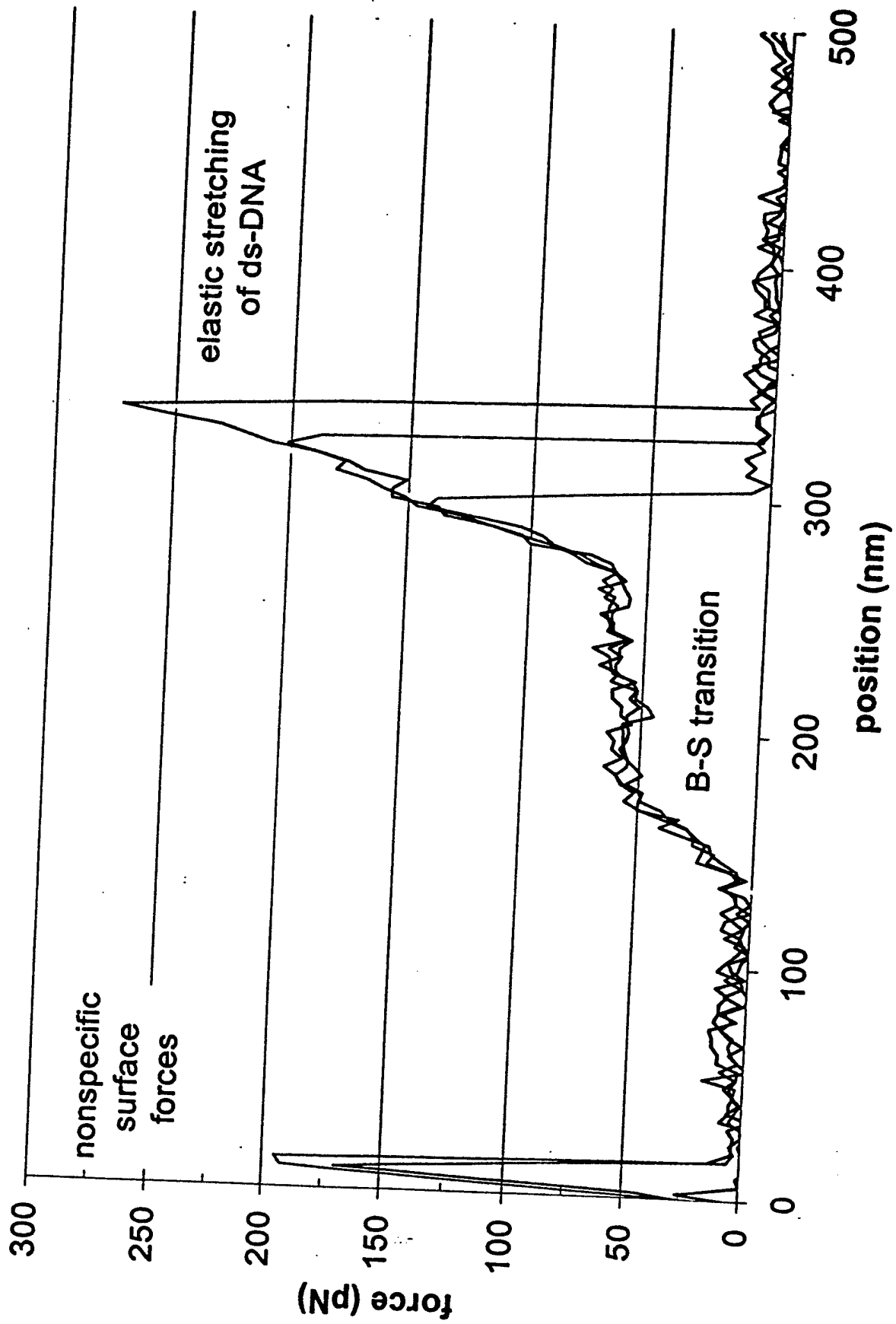


Fig. 4