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2
3 SHAPE MEMORY ALLOY ACTIVATED
4 RETRACTABLE ELASTOMERIC SEALING DEVICE

5
6 STATEMENT OF GOVERNMENT INTEREST

7 The invention described herein may be manufactured and used
8 by or for the Government of the United States of America for
9 governmental purposes without the payment of any royalties
10 thereon or therefore.

11
12 BACKGROUND OF THE INVENTION

13 (1) Field of the Invention

14 The present invention relates generally to sealing devices,
15 and more particularly to a retractable sealing device activated
16 by a shape memory alloy ring.

17 (2) Description of the Prior Art

18 O-ring seals are used extensively to provide a pneumatic/
19 hydrostatic seal between adjacent parts. In a typical
20 application, a Tomahawk Capsule Launching System (CLS) is
21 inserted in the missile tube of a submarine with dual o-ring
22 seals providing the seal between the CLS and the missile tube.
23 While this arrangement provides an effective seal in a static
24 condition, the o-rings are susceptible to damage during

1 installation and removal of the CLS from the missile tube. In
2 order to provide an effective seal, the o-rings, in their free
3 state, protrude beyond the outer diameter of the CLS. As a
4 result, the o-rings suffer from abrasion damage as the CLS is
5 moved into and out of the tube. While minor abrasion is common
6 in o-ring seals between moving parts, the abrasion damage to the
7 CLS o-rings typically results in a loss of the seal between the
8 CLS and the missile tube due to the size of the CLS and o-rings.

9 In such applications where abrasion damage can lead to loss of
10 an effective seal, a lip seal may be provided. A lip seal
11 typically consists of an o-ring partially surrounded by an
12 elastomeric material less susceptible to abrasion damage. When
13 the CLS with a lip seal is inserted into the missile tube, the
14 material surrounding the o-ring deforms to allow the o-ring to
15 compress, thus forming a tight seal between the CLS and the
16 missile tube. Though superior to the o-ring alone in resisting
17 abrasion damage when the CLS is inserted into the missile tube,
18 lip seals do suffer from damage during removal of the CLS from
19 the missile tube. A seal which would be retractable when the CLS
20 is inserted into or removed from the missile tube would prevent
21 such damage from occurring.

22 A number of prior art devices have used shape memory alloys
23 to provide retractable seals. U.S. Patent No. 4,515,213 to Rogen
24 et al. provides a packing tool for sealing spaces between the

1 wall of a wellbore and tubing inserted into the wellbore. A
2 sealing element is supported about the tubing. The sealing
3 element contains a helical spring of shape memory alloy which
4 maintains a radially contracted condition below a predetermined
5 temperature. The tubing and sealing element are inserted into
6 the wellbore. The shape memory alloy is heated and expands
7 radially outward away from the tube and against an elastomeric
8 material which maintains the seal between the wellbore and the
9 tubing. A gripping element is also provided which consists of a
10 shape memory alloy helical spring wound about the tube which
11 expands in a longitudinal direction to force a wedged shape
12 gripper against the wellbore. While the helical spring shape
13 memory alloy retractable seal of Rogen et al. could be adapted
14 for use on the CLS, the CLS would require substantial
15 modifications to accommodate this seal. The size of the helical
16 spring shape memory alloy element in the Rogen et al. seal
17 precludes its use in replacing most standard o-ring seals without
18 modifying the slots containing the o-rings.

19 U.S. Patent No. 4,773,680 to Krumme provides a pipe coupler
20 which utilizes retainer rings of shape memory alloy to both affix
21 the pipe ends to the coupler and to seal the coupling. The
22 retainer rings are deformed to form a concave-convex surface or a
23 section of a conic. When heated, the rings return to their flat
24 shape, biting into the metal of the coupling and the pipe. This

1 action forms a seal and prevents the pipe from being removed from
2 the coupling. While the Krumme coupler may be adapted to seal
3 the CLS within a missile tube, it would damage both the CLS and
4 the missile tube in forming the seal.

5 U.S. Patent No. 5,132,873 to Nelson et al. provides a
6 diaphragm sealing apparatus for sealing of an electronic
7 component connected to a mating fluid heat exchanger. The
8 diaphragm has an opening shaped to fit about the heat exchanger
9 and forming a sealing lip. A clamping ring, which expands and
10 contracts as a function of temperature is placed around the lip
11 of the diaphragm and is subjected to a temperature to shrink the
12 clamping ring against the lip and heat exchanger. The clamping
13 ring arrangement of the Nelson et al. apparatus is used to hold
14 the heat exchanger within the diaphragm by deforming against the
15 heat exchanger. When a pressure seal is required, the clamping
16 ring deforms into a compressible, soft metal ring provided on the
17 heat exchanger. In either case, the clamping ring is attached to
18 and deforms the diaphragm to connect the heat exchanger to the
19 diaphragm. As with the Krumme coupler, the deformation of either
20 the CLS or missile tube would be unacceptable.

21 22 SUMMARY OF THE INVENTION

23 Accordingly, it is an object of the present invention to
24 provide a seal between a CLS and a missile tube which allows the

1 CLS to be inserted and removed from the missile tube without
2 damage to the seal.

3 Another object of the present invention is to provide a
4 retractable seal which does not damage the CLS or missile tube.

5 Still another object of the present invention is to provide
6 a seal which can be used in place of the existing o-ring seals
7 without extensive modifications to the CLS or missile tube.

8 Other objects and advantages of the present invention will
9 become more obvious hereinafter in the specification and
10 drawings.

11 In accordance with the present invention, retractable seals
12 are provided to replace the existing o-ring seals on the CLS. An
13 elastomeric member is shaped to fit within the existing o-ring
14 slot on the CLS and a cylindrical ring member of shape memory
15 alloy circumscribes the elastomeric member. Under normal
16 operating temperatures, the shape memory alloy member has a
17 diameter large enough to allow the elastomeric member to extend
18 beyond the diameter of the CLS so as to form a seal against the
19 missile tube, but small enough so as not to interfere with the
20 seal. When the shape memory alloy member is heated, it contracts
21 to a smaller predetermined diameter, compressing the elastomeric
22 member within the o-ring slot so as to allow the movement of the
23 CLS into or out of the missile tube without abrading the
24 elastomeric member. To insert the CLS within the tube, the shape

1 memory alloy member is heated to compress the elastomeric member
2 within the o-ring slot and the CLS is inserted into the tube.
3 Once the CLS is properly seated within the missile tube, the
4 shape memory alloy member is cooled. When sufficiently cooled
5 the shape memory alloy member expands to a diameter large enough
6 to allow the elastomeric member to make sealing contact between
7 the CLS and the tube. When the CLS is to be removed from the
8 tube, the shape memory alloy is again heated to compress the
9 elastomeric member away from the tube to release the CLS. As
10 only the elastomeric member makes contact with both the CLS and
11 missile tube, the retractable seal of the present invention does
12 not damage the CLS or tube. Further, the shape memory alloy
13 cylindrical ring can be easily fabricated to fit within the
14 existing o-ring slot of the CLS without extensive modifications
15 to the CLS or the missile tube.

17 BRIEF DESCRIPTION OF THE DRAWINGS

18 A more complete understanding of the invention and many of
19 the attendant advantages thereto will be readily appreciated as
20 the same becomes better understood by reference to the following
21 detailed description when considered in conjunction with the
22 accompanying drawings wherein corresponding reference characters
23 indicate corresponding parts throughout the several views of the
24 drawings and wherein:

1 FIG. 1 is a cross sectional view of a prior art o-ring seal;
2 FIG. 2 is a cross sectional view of a prior art lip seal;
3 FIG. 3A is a partial cross section view of the seal of the
4 present invention in a sealing mode; and
5 FIG 3B is a partial cross sectional view of the seal of the
6 present invention in a retracted mode.

7
8 DESCRIPTION OF THE PREFERRED EMBODIMENT

9 Referring now to FIG. 1, there is shown a cross sectional
10 view of a typical prior art o-ring seal 10. O-ring 12 is seated
11 within circumferential slot 14 on first member 16. First member
12 16 can be any cylindrical member, such as the Tomahawk Capsule
13 Launching System (CLS). It can be seen in FIG. 1 that o-ring 12
14 has sufficient thickness to protrude beyond the diameter of first
15 member 16. First member 16 is made to fit within second member
16 18 with a tolerance sufficient to compress o-ring 12 against
17 inner wall 18a of second member 18 to form a tight seal. Second
18 member 18 can be any hollow cylindrical member sized to
19 accommodate first member 16, such as a submarine missile tube
20 accommodates the CLS. It can be seen from FIG. 1, that as first
21 member 16 is inserted into or removed from second member 18, o-
22 ring 12 is abraded against inner wall 18a. Additionally, o-ring
23 12 may be torn or damaged by any sharp edges which may be present
24 along wall 18a.

1 Referring now to FIG. 2, there is shown a prior art method
2 used to reduce the abrasion of o-ring 12 of FIG. 1. Lip seal 20
3 is provided over reduced o-ring 12a. Lip seal 20 is made of a
4 material more resistant to abrasion than reduced o-ring 12a.
5 However, such materials do not form as efficient a seal as
6 reduced o-ring 12a. Hence lip seal 20 has a cantilever section
7 20a which only partially covers reduced o-ring 12a. Cantilever
8 section 20a provides an opening through which reduced o-ring 12a
9 is inserted into lip seal 20. Body portion 20b of lip seal 20
10 occupies the remainder of slot 14. When first member 16 is
11 inserted into second member 18, inner wall 18a pushes against
12 cantilever section 20a which bends about reduced o-ring 12a,
13 forming the necessary seal. While more resistant to abrasion
14 than o-ring 12 of FIG. 1, lip seal 20 is still susceptible to
15 damage from sharp edges within tube 18.

16 Referring now to FIGS. 3A and 3B, there are shown cross
17 sectional views of retractable seal 22 in a sealing mode and a
18 retracted mode, respectively. It is to be noted that FIGS. 3A
19 and 3B show partial cross sectional views and that a full cross
20 sectional view would form a mirror image about the centerline of
21 first member 16. Retractable seal 22 has an elastomeric ring
22 member 24 which sits within slot 14. In the sealing mode of FIG.
23 3A, elastomeric member 24 has opposing leg portions 24a and 24b
24 which are in the shape of parallelograms each having an acute

1 angle at a corner, denoted C1 in FIG. 3A, in contact with bottom
2 surface 14a of slot 14. The opposing acute angle corner, denoted
3 C2 in FIG. 3A, extends beyond the diameter of first member 16 to
4 form a seal between first member 16 and second member 18. It is
5 noted that leg portions 24a and 24b do not contact side walls 14b
6 and 14c of slot 14. Central portion 24c of elastomeric member 24
7 spans between the obtuse angle corners, denoted C3 in FIG. 3A, of
8 leg portions 24a and 24b such that central portion 24c is raised
9 away from bottom surface 14a of slot 14. Shape memory alloy
10 member 26 forms a cylindrical ring about central portion 24c.
11 The thickness of central portion 24c and the diameter of shape
12 memory alloy member 26 is such that shape memory alloy member 26
13 does not protrude beyond leg portions 24a and 24b and does not
14 come in contact with second member 18. As is well known in the
15 art, shape memory alloys exhibit one-way memory, i.e., a shape
16 memory alloy part deformed while in the martensitic state will
17 return to its original shape when heated above its austenitic
18 finish temperature. To repeat this cycle, the part must be
19 cooled to within its martensitic temperature range, deformed once
20 more and reheated above its austenitic finish temperature. As is
21 also well known in the art, shape memory alloy parts can be
22 processed to attain a two-way memory, i.e., the part returns to
23 its deformed martensitic shape upon cooling. In the preferred
24 embodiment of the present invention, shape memory alloy member 26

1 has been processed to exhibit a two-way memory. FIG. 3A
2 illustrates the deformed martensitic shape with shape memory
3 alloy member 26 having a larger diameter in FIG. 3A than in FIG
4 3B. To obtain the retracted shape of FIG. 3B, shape memory alloy
5 member 26 is heated above its austenitic finish temperature.
6 Within this range, shape memory alloy member 26 contracts to a
7 minimum predetermined diameter, causing central portion 24c to
8 rest against bottom surface 14a. Leg portions 24a and 24b are
9 correspondingly deformed to form right angle parallelograms such
10 that they no longer protrude beyond the diameter of first member
11 16. It can be seen in FIG. 3B, that to accommodate this
12 movement, leg portions 24a and 24b are forced outward against
13 side walls 14b and 14c. The corners C1' are now compressed into
14 the corners formed by side walls 14b and 14c and bottom surface
15 14a. Thus, to install first member 16 into second member 18,
16 shape alloy member 26 is heated to above its austenitic finish
17 temperature so as to contract to its predetermined diameter. The
18 contraction of shape memory alloy member 26 causes elastomeric
19 member 24 to retract into slot 14, thus allowing first member 16
20 to be inserted into second member 18 without abrading elastomeric
21 member 24 and without having any sharp edges on second member
22 inner surface 18a cause damage to elastomeric member 24. Once
23 first member 16 is seated within second member 18, shape memory
24 alloy member 26 is allowed to cool and return to its larger

1 martensitic diameter. Central portion 24c of elastomeric member
2 24 pulls away from bottom surface 14a of slot 14 such that leg
3 portions 24a and 24b of elastomeric member 24 resume their
4 acute/obtuse parallelogram shape with corners C2 sealing against
5 second member 18. In the preferred embodiment shown, heating of
6 shape memory alloy member 26 is accomplished by providing an
7 electrical current through shape memory alloy member 26. Of the
8 well known shape memory alloys of commercial importance, nickel-
9 titanium is suitable for heating electrically due to its high
10 resistivity. Electrical lead 28 passes through lead slot 24d cut
11 into elastomeric member 24 and is attached to shape memory alloy
12 member 26. To facilitate removal of seal 22 from first member
13 16, lead 28 is attached to shape memory alloy member 26 via clip
14 30. In order to insure uniform heating of shape memory alloy
15 member 26, the second electrical lead (not shown) would be placed
16 180° from electrical lead 28. Electrical lead 28 can be routed
17 through first member 16 in any manner. In the preferred
18 embodiment of FIGS. 3A and 3B, electrical passageway 32 is
19 provided in first member 16. Advantage can also be taken of the
20 use of nickel-titanium in that two-way memory training of nickel-
21 titanium alloys leads to only partial shape recovery upon
22 cooling. Thus, for initial installation, the martensitic
23 diameter of shape memory alloy ring 26 can be made large enough
24 to slip over first member 16. When heated to above its

1 austenitic finish temperature, ring 26 would contract over and
2 seat elastomeric member 24 into o-ring slot 14. When cooled, the
3 partial shape recovery leads to a slightly smaller martensitic
4 diameter which maintains elastomeric member 24 and shape memory
5 alloy ring 26 in place within o-ring slot 14.

6 The invention thus described provides a retractable seal
7 which is fabricated to replace an existing o-ring seal between a
8 first member and a second member, the first member being in the
9 general shape of a solid cylinder and being received within a
10 hollow cylindrical portion of the second member. The retractable
11 seal has an elastomeric member which fits within the existing
12 o-ring slot of the first member, replacing the o-ring. Two outer
13 leg portions of the elastomeric member extend beyond the diameter
14 of the first member to contact the second member. The leg
15 portions are connected by a central portion which is raised
16 slightly above the base of the o-ring slot. A cylindrical ring
17 of shape memory alloy surrounds the central portion between the
18 leg portions. The shape memory alloy cylindrical ring is
19 fabricated to have an undeformed or austenitic inner diameter
20 equal to or slightly less than the o-ring slot diameter plus the
21 thickness of the central portion of the elastomeric member. The
22 deformed or martensitic diameter of the shape memory alloy
23 cylindrical ring is fabricated such that the diameters and
24 thicknesses of the central portion of the elastomeric ring and

1 the surrounding cylindrical ring do not interfere with the
2 sealing of the leg portions and the second member. The actual
3 inner diameter of the martensitic shape is equal to or slightly
4 larger than the outer diameter of the central portion during
5 normal operating conditions of the first and second members.
6 This assures that the shape memory alloy ring does not impart any
7 residual load on the elastomeric ring which could reduce the
8 sealing capacity of the elastomeric ring under normal operating
9 conditions. The shape memory alloy ring is trained to have a
10 two-way memory, i.e., the ring maintains its martensitic shape
11 during normal operating conditions, returns to its undeformed
12 shape when heated above its austenitic finish temperature and
13 then returns to the martensitic shape when cooled. To install
14 the first member within the second, the shape memory alloy is
15 heated by passing an electric current through the ring so as to
16 bring the temperature of the shape memory alloy ring above its
17 austenitic finish temperature. When so heated the shape memory
18 alloy ring reverts to its undeformed shape having the smaller
19 diameter and compresses the elastomeric ring central portion into
20 and against the base of the o-ring slot. This action also pulls
21 the leg portions of the elastomeric ring into the slot such that
22 they do not protrude past the diameter of the first member. The
23 first member can then be inserted into the second member without
24 damaging the seal. Once the first member is seated within the

1 second member, the shape memory alloy ring is allowed to cool,
2 thus reverting to the larger martensitic diameter. The leg
3 portions protrude from the o-ring slot to make contact with the
4 second member, forming the seal. To remove the first member from
5 within the hollow cylindrical portion of the second member, the
6 shape memory alloy ring is again heated, retracting the leg
7 portions within the slot such that the first member can be
8 removed without damaging the elastomeric ring. Once the first
9 member is removed, the cylindrical ring is allowed to return to
10 normal operating conditions, thus returning to its larger
11 martensitic diameter. However, the martensitic diameter of the
12 cylindrical ring is small enough such that both the cylindrical
13 ring and elastomeric ring are held within the o-ring slot with
14 the first member removed from within the second.

15 Although the present invention has been described relative
16 to a specific embodiment thereof, it is not so limited. The
17 shape memory alloy ring could be heated by means other than
18 electrical resistive heating, allowing for the use of other shape
19 memory alloys besides nickel-titanium. For example, it may be
20 possible in some applications to heat the environment surrounding
21 the seal in order to contract the shape memory alloy ring. The
22 shape of the elastomeric member can be reconfigured to suit
23 operating conditions. In the preferred embodiment, the shape
24 memory alloy ring is separate from the elastomeric member. This

1 allows replacement of the elastomeric member while reusing the
2 shape memory alloy ring. However, it may be beneficial to have
3 the shape memory alloy ring embedded within the elastomeric
4 member. In this way, the contraction of the shape memory alloy
5 ring would pull the elastomeric member away from the second
6 member. Additionally, the recovery of the shape memory alloy
7 could be such as to push the elastomeric member against the
8 second member to form a tighter seal. While nickel-titanium
9 provides good corrosion resistance, other alloys may require
10 embedment within the elastomeric member to prevent corrosion.
11 The preferred embodiment of FIGS. 3A and 3B is in the general
12 shape of an o-ring seal providing a seal between a cylindrical
13 first member within a hollow cylindrical portion of a second
14 member. However, the seal can be fabricated into virtually any
15 shape to seal between any two adjacent members. Additionally,
16 while the preferred embodiment indicates the elastomeric member
17 placed on the first, or interior member, some applications may
18 require the elastomeric member to be placed within a slot in the
19 cylindrical wall of the second member. In these cases, the shape
20 memory alloy ring would expand when heated to retract the
21 elastomeric member into the slot. Further, the device may be
22 used to deliver measured quantities of a liquid by using two or
23 more seals containing a measured volume between them. First one
24 seal is retracted to allow a liquid to flow into the measured

1 volume. This first seal is then closed, containing the liquid
2 between the seals. Next, the second seal is retracted allowing
3 the measured volume of liquid to flow out from between the seals.
4 The second seal is closed and the process is repeated.

5 Thus, it will be understood that many additional changes in
6 the details, materials, steps and arrangement of parts, which
7 have been herein described and illustrated in order to explain
8 the nature of the invention, may be made by those skilled in the
9 art within the principle and scope of the invention.

10

1 Navy Case No. 78712

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SHAPE MEMORY ALLOY ACTIVATED

4

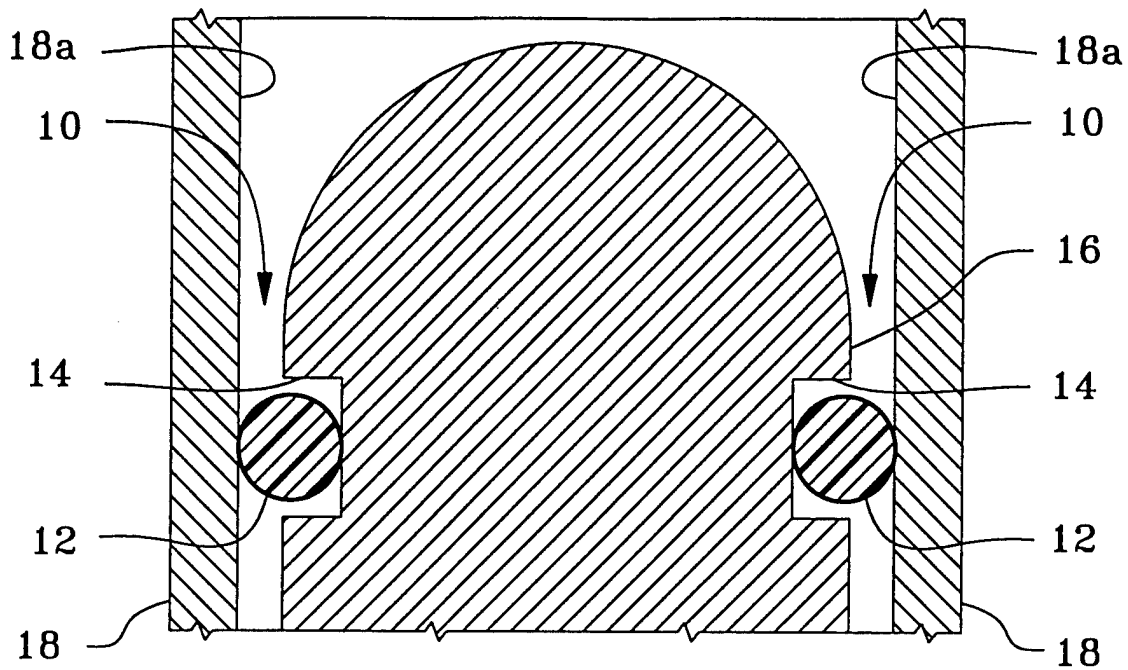
RETRACTABLE ELASTOMERIC SEALING DEVICE

5

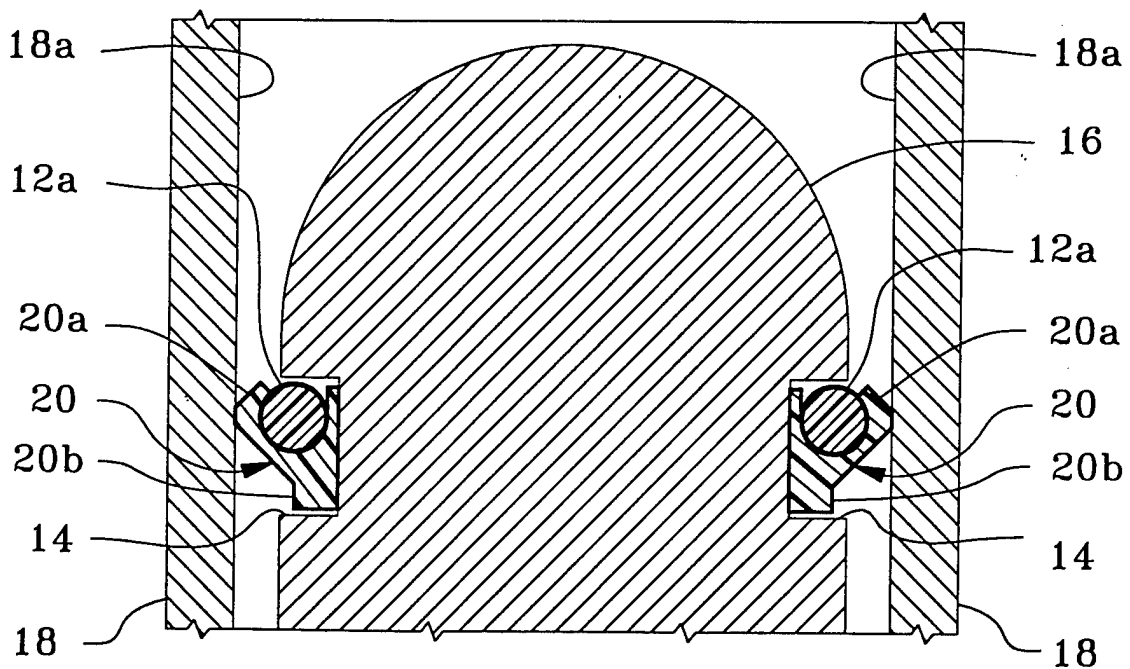
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ABSTRACT OF THE DISCLOSURE

7 A retractable seal replaces the existing o-ring seals on the
8 Tomahawk Capsule Launching System (CLS). An elastomeric member
9 is shaped to fit within the existing o-ring slot on the CLS and a
10 cylindrical ring member of shape memory alloy circumscribes the
11 elastomeric member. Under normal operating temperatures, the
12 shape memory alloy member has a diameter large enough to allow
13 the elastomeric member to extend beyond the diameter of the CLS
14 so as to form a seal against the missile tube, yet small enough
15 to not interfere with the seal. When the shape memory alloy
16 member is heated, it contracts to a smaller predetermined
17 diameter, compressing the elastomeric member within the o-ring
18 slot so as to allow the movement of the CLS into or out of the
19 missile tube without abrading the elastomeric member.



PRIOR ART
FIG. 1



PRIOR ART
FIG. 2

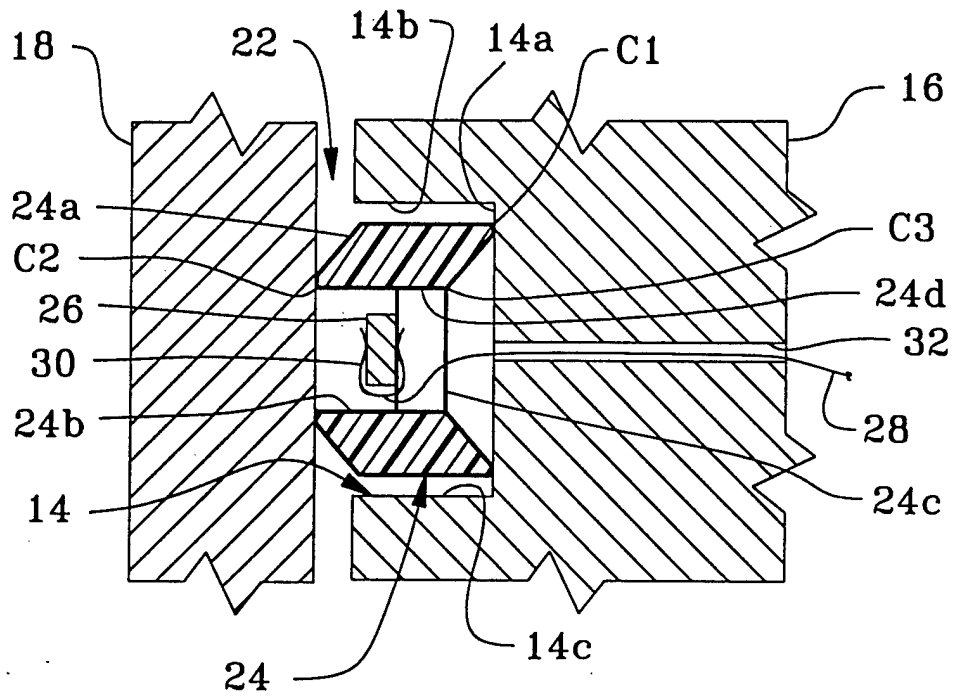


FIG. 3A

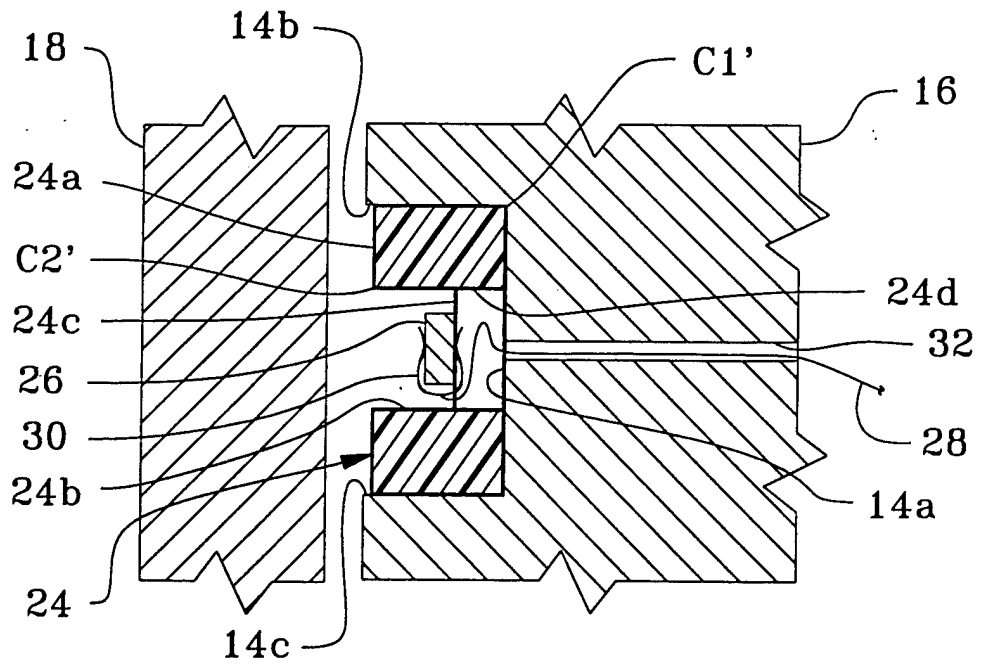


FIG. 3B