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EFFICIENT SPATIAL IMAGE SEPARATOR

Background of the Invention

1. Field of the Invention

5 The present invention is directed toward an efficient spatial image separator, and in particular, to a signal processing apparatus that separates and selectively recombines segments of an image pattern, redirecting composite beams onto photodetector elements.

10 2. Background

There are numerous optical applications that require efficient processing of complex image patterns. For example, optical phase measurement processors are known which accept signals from an antenna pair, and measure the electrical phase difference. For example, United States Patent No. 5,682,238 to Levitt et al. (the subject matter of which is 15 incorporated herein by reference) discloses a signal processing apparatus that provides phase difference measurements of multiple signal inputs. Figure 1 depicts one embodiment of such a channelized phase detector.

Conceptually, a coherent laser source 24 is split into two optical beams 26, 26' each illuminating an Bragg Cell optical modulator 27, 29 whose RF inputs 20 contain a relative phase difference to be measured. The two RF-modulated optical beams interfere spatially along the phase axis at the Fourier plane. (Optical beam deflection also occurs along an orthogonal axis proportional to input frequency, but is irrelevant for the purposes of this discussion). The

resultant optical interference pattern is modulated by a gaussian envelope as shown in Fig. 2. To measure spatial phase of the interference pattern, three photodetectors, D1, D2 and D3, sample within a single period of the pattern. (In the figure, photodetector placement is depicted by rectangular blocks shown directly above the pattern for reference.) However, accurate phase measurements can only be achieved under conditions of minimum envelope rolloff across the sampling region, hence the arrangement of Fig. 2 produces unacceptable results. This is because the gaussian apodization envelope distorts object spatial phase information contained in the optical interference pattern within. Figures 3a and 3b show one conventional approach to this problem with the interference period to gaussian envelope width relationship being controlled through design parameter changes. In this configuration, a narrow central region of the interference pattern is utilized to minimize phase measurement errors due to envelope roll-off. This will reduce signal measurement errors associated with envelope apodization, allowing for a spatially accurate signal intensity transfer. Also apparent in Fig. 3b is the low energy utilization because the photodetector capture area is a small fraction of the entire beam envelope; less than 8 percent in one actual implementation. However, reduced energy at the photodetectors disadvantageously results in a loss of system sensitivity, due to decreased signal-to-noise ratio at the photodetector outputs.

Thus, there is a need for a signal processing arrangement that will preserve information content of an image pattern, while significantly improving energy utilization.

Summary of the Invention

It is, therefore, a principle object of this invention to provide a signal processing arrangement that will preserve information content of an image pattern, while significantly improving energy utilization.

It is a further object of the present invention to provide an Efficient Spatial Image Separator (ESIS) that separates and selectively recombines segments of an image pattern onto a finite number of output measurement positions.

These and other objects of the present invention are accomplished by the efficient spatial image separator disclosed herein.

According to one aspect of the invention, a spatial image separator is provided. The spatial image separator includes means for separating an incident image pattern into a plurality of segments, and means for relocating a set of the segments toward at least one output position. In one preferred embodiment, multiple input segments are recombined at each output position. This advantageously achieves a reduction in the number of output detection devices required for signal measurement. The resultant recombination of segments at each output position is additive, resulting in an improvement in sensitivity, in terms of signal-to-noise ratio. Additionally, as will be described, for a periodic input image pattern, selective recombination mitigates to a large extent the distorting effects of image apodization on output measurement accuracy.

Preferably, the means for relocating deflects a plurality of sets of the segments toward a plurality of respective output positions. Each set preferably comprises a plurality of substantially identical (or correspondingly related) segments extracted from consecutive interference (or other periodic) pattern periods, with each of the plurality of respective output positions receiving a respective set of the substantially identical segments. With such an arrangement, the means for separating may separate the incident image pattern into fifteen segments, for example, and the means for relocating may deflect three sets, for example, of the segments toward three respective output positions.

The spatial image separator preferably includes means for combining the set of the relocated segments. The means for combining may comprise a photodetector element. Alternatively, the means for combining may comprise summing electronics.

The present invention provides for a signal processing apparatus that separates and selectively recombines segments of an image pattern, redirecting the composite beams onto photodetector elements. The present invention thus permits spatial image separation of an apodized optical image pattern, and can be implemented as a precision integrated structure requiring no inter-element alignment. In a preferred embodiment, signal energy of multiple input segments is advantageously combined at each output position, effectively increasing efficiency of transfer. This improves system sensitivity by virtue of improved signal-to-noise ratio and reduces the required number of photodetector elements. Use of the invention can realize an efficiency

improvement of over 10 dB (from 8% to 90% efficient), while maintaining phase measurement accuracy. This is a result of the invention's reduced sensitivity to image apodization (i.e. envelope rolloff) rolloff, permitting more complete utilization of available optical energy. The invention thus functions

5 correctly with significant apodization, limited primarily by the quantity of segmenting elements that can be fabricated within overall device dimensions. This occurs because each resultant signal obtained at one output position, relative to another output position, includes input segment contributions from essentially equivalent apodization levels within the incident image. Efficiency

10 is enhanced with use of the invention even in the case of non-apodized image patterns.

An additional advantage in many practical applications is increased separation between output positions that permits incorporation of individually packaged photodetector elements with reduced alignment requirements.

15 This is advantageous in terms of system cost and performance.

Brief Description of the Drawings

Figure 1 is a schematic diagram of a conventional multiple phase measurement apparatus.

20 Figure 2 shows a two-beam interference intensity pattern plot and relative photodetector placement in a conventional configuration, emphasizing the effects of gaussian envelope rolloff.

Figure 3a shows a two-beam interference intensity pattern plot and relative photodetector placement in a conventional configuration, emphasizing low optical energy utilization.

Figure 3b is a magnified view of the central image region of the interference intensity pattern plot illustrated in Figure 3a, showing photodetector placement within the overall modulation envelope.

Figure 4 shows a conceptual block diagram representation of an optical phase measurement processor utilizing the present invention.

Figure 5 shows a conceptual representation of an exemplary embodiment of a 15 by 3 efficient spatial image separator (ESIS), with lenslet placement being shown relative to an apodized two-beam interference intensity pattern, along with deflection and recombination of beams at the output photodetector positions.

Figure 6 is a drawing of an off-axis diffractive lens used in the embodiment shown in Figure 5.

Figure 7 is a table of design parameters for the 15 by 3 ESIS shown in Figure 5.

Figure 8 is a plot of simulated performance of one lenslet of the embodiment shown in Figure 5.

Figure 9 is a plot of measured crosstalk performance of the embodiment shown in Figure 5.

Figures 10-12 illustrate various alternative optical embodiments of the ESIS.

Figure 13 is a schematic block diagram of an electronic analog embodiment of the ESIS.

5 Detailed Description

The invention will now be described in more detail by way of example with reference to the embodiments shown in the accompanying figures. It should be kept in mind that the following described embodiments are only presented by way of example and should not be construed as limiting the
10 inventive concept to any particular physical configuration.

Figure 4 illustrates an exemplary embodiment of the invention showing the present invention used in an Optical Phase Measurement (OPM) processor. The ESIS-enhanced OPM processor provides an alternative to a custom photodetector, with the potential for increased operating speed
15 achieved through a reduction of required elements. However, it is to be understood that the present invention is not limited to utilization within an OPM processor. To the contrary, the present invention is equally applicable to a variety of applications. For example, the present invention may be used in any optical application which requires efficient processing of complex
20 image patterns, which may also be corrupted by envelope apodization. Such applications include optical processors embedded in communication systems, radio frequency (RF) direction finding receivers, air traffic control radar for multiple target tracking, radio frequency test equipment, optical

interferometers used in surface inspections, optical multiplexers, optical encryption/decryption devices, and optical test equipment, for example.

A laser 100 is used to generate a coherent laser source with a gaussian apodization profile. Collimator 102 transforms a divergent laser
5 wavefront into a non-divergent or planar wavefront of a defined size. Beam splitter 103 separates the planar apodized beam into two optical beams with a separation D corresponding to optical modulator separation. Each optical beam illuminates an optical modulator 104, 106 whose respective RF inputs contain a relative phase difference to be measured. The two RF-modulated
10 optical beams interfere spatially along the phase axis at the Fourier plane and pass through Fourier Transform lens 108. A gaussian envelope modulates the resulting optical interference pattern 109.

The optical interference pattern 109 is then separated into multiple segments using an efficient spatial image separator (ESIS) 110. Spatial
15 image separation is a means to relocate (and optionally compress) sections of an optical image pattern to arbitrary locations, for example, to accommodate photodetection and readout. In this embodiment, the ESIS 110 is an optical processing device. However, as will be explained below, the ESIS can also be implemented as a post-detection electronic processor.
20 Regardless of the specific structure, all embodiments of the ESIS according to the present invention preserve information content while significantly improving energy utilization.

In this embodiment, the ESIS 110 processes the image pattern, and deflects the output beams toward photodetector elements 112. In particular,

the ESIS 110 separates the incident image pattern into multiple segments. Sets of selected segments are deflected toward an output position (for example, the photodetectors), where they converge at a focal point, i.e., at a specified spot at the output position.

5 Mirrors 114 can be provided to permit beam foldback to various ones of the detectors, to reduce optical crosstalk, and provide a more compact package. The conversion of the photodetector intensity values to relative phase can be achieved using known calculations.

 Referring also to Figure 5, an exemplary embodiment of the present
10 invention is illustrated. In particular, this Figure illustrates the ESIS implemented as an optical processing device, and in particular, as a Diffractive Optical Element (also referred to as DOE in this text) 116. The DOE comprises a 1 by 15 off-axis lenslet array 116 arranged along a single axis to deflect and coherently combine light from five lobes (i.e., respective
15 spatial periods) of the interference pattern onto each of three photodetector elements 112. Stated alternatively, each element 120 of the array 116 deflects light to a respective one of the three photodetector elements 112, so that each photodetector element receives light from five separate elements 120. At each photodetector element 112, each of the five respective input
20 beams corresponds to identical spatial phase segments extracted from consecutive interference pattern periods (i.e., lobes). By redirecting multiple corresponding segments from successive spatial periods to detectors 112, optical efficiency is enhanced. Further, by directing power in the interference

pattern sidelobes to the detectors 112, optical efficiency is increased while maintaining phase measurement accuracy.

In the figure, each detector 112 is associated with a segment pitch of 120 degrees spatial phase arranged in a sequential manner. However, other
5 arrangements are possible within the spirit of the invention. Further, segment width (or duty factor) does not impact the phase calculation directly, but a high duty factor is preferable to improve energy utilization since each segment collects a larger percentage of available signal energy.

As noted above, the ESIS directs particular wavefront segments to
10 corresponding detectors 112. As such, registration of diffractive optical element deflector segments with the optical interference pattern sinusoid to within five degrees is preferred to achieve phase measurement accuracy.

The off-axis lenslet array 116 may be a linear array of cylindrical lenses (i.e., elements 120), with each lens directed off-axis to a given
15 detector 112. The off-axis focus can be achieved by creating a fabrication window within the profile of a diffractive lens, the center of which is given by x_0 as shown in Figure 6. The center of the window, x_0 , is chosen such that the center of the segmented interference pattern is focused onto one of the respective detectors 112, as shown in Figure 5. The continuous phase
20 profile, $\phi(x)$, within each window was determined in accordance with its off-axis shift, x_0 , using the formula:

$$\phi(x) = \frac{2\pi}{\lambda} \left\{ \sqrt{(x - x_0)^2 + f^2} - f \right\} \Big|_{\text{modulo } 2\pi}$$

where f is the lenslet focal length, and λ is the optical wavelength.

Then, x_o determines the off-axis angle through the formula:

$$\theta_{off-axis} = \tan^{-1} \left\{ \frac{x_o}{f} \right\} .$$

One important design parameter is the maximum off-axis angle, θ_{max} ,

5 which is limited by the minimum grating period, d , which can be fabricated.

θ_{max} is given by:

$$\sin \theta_{max} = \frac{\lambda}{d} .$$

This expression bounds the optical design by limiting the axial
distance in relation to the maximum detector spacing. For example, one
10 approach to decreasing optical crosstalk is to increase the separation
between the photodetectors 112. However, once $\theta_{off-axis} = \theta_{max}$, the focal
length must be increased to further separate the detectors, resulting in a
longer optical beam path length.

When $\theta_{off-axis}$ requires features to produce a grating period, d , that are
15 on the order of one wavelength, λ , or smaller, traditional design techniques
for off-axis lenses based on scalar diffraction theory are no longer valid. At
this scale, electric and magnetic fields vary continuously in amplitude and
orientation within the device aperture, requiring application of Maxwell's
equations in vector form to solve for the design solution. This is undesirable,
20 as the computational requirements increase significantly for complex designs.

Experimental ESIS designs of the present invention as described herein, use modest $\theta_{\text{off-axis}}$ values, according to the expression for ϕ_{max} above, to reduce fabrication tolerances and allow for a scalar-based design.

A Diffractive Optical Element (DOE) suitable for spatial image
5 separation may be manufactured using conventional microlithographic
fabrication techniques, as employed by commercial semiconductor and DOE
fabrication facilities. Utilizing existing DOE fabrication techniques allows the
ESIS device to be manufactured with tight tolerances in large volumes and at
a low per-unit cost. Quantity production of devices is typically accomplished
10 through reproduction of a precision mold using low-cost optical quality
plastics, or other suitable material.

A scalar-based design of the present invention was tested by defining
5mm fabrication windows for the 15 by 3 ESIS. Design parameters for the 15
by 3 ESIS are presented in Figure 7. Figure 8 shows simulation results for
15 lens 6, presented as a detector plane spatial intensity profile. As shown, the
focal point is shifted off-axis by 18mm according to design parameters.

Measurement of optical crosstalk and coherent multiple beam
summation were performed on the 15 by 3 ESIS device. Functionally, the
ESIS device separates fifteen adjacent input regions and redirects optical
20 energy from every third region onto each of the three photodetector elements
112. Multiple redirected beams are coherently combined and focused to a
spot output beam at the respective photodetector. Optical crosstalk
measurements were performed by plane-wave illumination of single lenslets,

measuring the optical power at each output beam position. Crosstalk is optical power in undesired output beams relative to total power in all three beams; this definition isolates variations in diffraction efficiency and illumination flatness from the measurement.

5 A graph of the measured crosstalk for the 15 by 3 ESIS is presented in Figure 9. Optical phase measurement applications require crosstalk levels of -30dBc or below. Given that the experimental ESIS is a binary-level device, significant improvements in diffraction efficiency and crosstalk can be expected from a multilevel device implementation since a more accurate
10 reproduction of the analytical lens design is produced. Further, ESIS implementation using commercial DOE fabrication processes is expected to provide an overall optical efficiency of at least 90%.

 Figures 10 and 11 illustrate two alternative embodiments of the present invention, where the ESIS functions by reflecting light from the
15 respective lobes of the interference pattern to the respective photodetectors 112. For example, in Figure 10, two mirrors 124 are provided for each respective lobe, so that one beam associated with a spatial phase segment of one respective lobe is reflected by one mirror 124 to one photodetector 112, another beam associated with a spatial phase segment of the same
20 respective lobe is reflected by another mirror 124 to another photodetector 112, and a third beam associated with a spatial phase segment of the same respective lobe is allowed to pass directly to a third photodetector 112. As will be appreciated, further mirror arrangements are preferably provided, so

that beams can be extracted from consecutive interference pattern periods, so as to enhance the efficiency of the arrangement.

Figure 11 illustrates an arrangement where the ESIS comprises a frusto-pyramidal prism 126, which has two reflective surfaces 128, which function in the same manner as mirrors 124, described above. A third surface 129 of the prism 126 allows the third beam to pass through the prism 126 to the third photodetector 112 in an unobstructed manner. Similar to the previous embodiment, multiple prisms 126 are preferably arranged adjacent to each other, so that beams can be extracted from consecutive interference pattern periods, to enhance the efficiency of the arrangement.

Figure 12 illustrates an arrangement where the ESIS comprises a lens 130, which has a plurality of refractive entry surface facets 132 on its surface facing the interference pattern 109. Each refractive entry surface facets 132 refracts a beam associated with a spatial phase segment of one respective lobe to one respective photodetector 112. As shown, every two adjacent refractive entry surface facets 132 can be joined together to form a prism-shape projection 134, with each prism-shape projection 134 being separated from an adjacent prism-shape projection by a planar surface 136. The planar surfaces 136 allow a respective beam associated with a spatial phase segment of each respective lobe to pass directly to a photodetector 112 without refraction of the beam at the front entry surface.

Figure 13 shows a further preferred embodiment of the invention, in which the ESIS is comprised of an electronic analog of the previously described optical devices. Referring to the figure, each DOE lenslet of the

first described optical embodiment is represented by an individual photodetector element 140, with identical spacing and duty factor constraints. Electronic summing elements 142 are coupled via circuitry 143 to respective elements 140, and combine photodetector outputs of corresponding spatial
5 phase segments, compressing the multiple inputs into a single output value. In this 15 by 3 electronic ESIS analog, the three final outputs equate to output beams at positions D1, D2, and D3 of Figure 5. This embodiment, implemented as an integrated photodetector array-postprocessor, potentially achieves higher transfer rates due to its reduced readout requirements of
10 three output values per readout cycle as opposed to fifteen. Summation of multiple photodetector outputs provides an overall efficiency improvement corresponding to an incoherent segment summation, as opposed to an optical ESIS embodiment producing a coherent sum of optical phase segments.

15 In the above described embodiments, to maximize ESIS optical efficiency and measurement accuracy, a design tradeoff exists between the number of individual separation-redirection elements and the rate of image pattern rolloff within a sampling period (i.e., lobes). In particular, if adjacent segments within one sampling period have widely differing effective envelope
20 intensities, unacceptable measurement accuracy may result. However, individual corresponding segments from distant sampling periods with widely differing intensity levels do not corrupt the composite output, since contributions to each output are proportionally identical (or balanced). It should be apparent that a more optimal ESIS performance improvement also

results in the case of non-apodized image patterns, where the above constraints do not apply.

The above invention disclosure describes a signal processing apparatus that separates and selectively recombines segments of an image pattern, redirecting the composite beams onto photodetector elements. The present invention thus permits spatial image separation of an apodized optical image pattern, and can be implemented as a precision integrated structure requiring no inter-element alignment. The ESIS functions correctly with significant apodization (i.e. envelope rolloff), limited primarily by the quantity of segmenting elements which can be fabricated within overall device dimensions. This extends achievable system sensitivity and reduces external photodetector alignment requirements; both of these results are advantageous in terms of system cost and performance. Use of the ESIS can realize an efficiency improvement of over 10 dB (from 8% to 90% efficient), while maintaining phase measurement accuracy. This is a result of the ESIS's reduced sensitivity to envelope rolloff, permitting more complete utilization of available optical energy. An ESIS performance improvement also results in the case of non-apodized image patterns.

It should be understood that the invention is not necessarily limited to the specific arrangement and components shown and described above, but may be susceptible to numerous variations within the scope of the invention. For example, although the invention has been specifically described using the aforementioned embodiments, alternative embodiments are envisioned to address varying application requirements, such as different image patterns,

recombination requirements, the number of input segments, or outputs, for example.

Moreover, the ESIS apparatus is not limited to optical input (image) patterns, nor is the use of photodetector elements, or any particular
5 transducer a requirement. Input signals may be sound, heat, light, electrical voltage, or any measurable quantity as long as the applied input signal produces a spatially varying pattern of signal amplitude which can be processed as per the invention operation. Thus, for example, RF applications of the present invention may utilize microwave, millimeter-wave,
10 or optical spatial patterns at infrared or ultraviolet wavelengths.

Additionally, the incident image pattern need not be constrained to a single axis as depicted in the preferred embodiments, but may instead be two-dimensional (or higher), as dictated by the application functional and performance goals. Further, the number and dimensions of the input
15 segments are arbitrary, and are selected to achieve the functional and performance goals of the application.

The output beam pattern and positions need not be constrained to a single axis as depicted in the preferred embodiments, but may instead be two-dimensional (or higher), as dictated by the application functional and
20 performance goals. Further, the number and dimensions of the output beams are arbitrary, and are selected to achieve the functional and performance goals of the application.

Further, the input image pattern need not be periodic as described in the preferred embodiments. Moreover, the input image pattern need not be

modulated by a gaussian envelope as described in the preferred
embodiments. Instead, any arbitrary modulation envelope or apodization will
apply, as will no envelope-apodization.

Further, although several of the described embodiments pertained to
5 various ways in which the image segments could be deflected, other
deflection means may also be used. Moreover, the deflection of the image
segments is not a requirement, as long as the segments can be recombined
at output locations.

The means to selectively recombine image segments for output
10 production is non-specific. Instead, any means, including optical, electrical,
or combining/summing devices, may be used.

Additionally, a single layer ESIS is not a requirement. Multiple
cascaded, parallel, series-parallel, or feedback configurations of ESIS
devices are permitted to provide enhanced functionality or performance.

15 Further, the image segmentation, deflection, compression, and
recombination elements of the invention are not necessarily fixed, in terms of
function, but instead may be dynamically configurable.

It will be apparent to one skilled in the art that the manner of making
and using the claimed invention has been adequately disclosed in the above-
20 written description of the preferred embodiments taken together with the
drawings.

It will be understood that the above description of the preferred
embodiments of the present invention are susceptible to various
modifications, changes, and adaptations,

ABSTRACT

A spatial image separator includes a separating arrangement that separates an incident image pattern into a plurality of segments. The spatial image separator additionally includes a manner of relocating and selectively
5 recombining a set of the segments toward at least one output position. This is accomplished in a manner which preserves information content while significantly improving energy utilization.

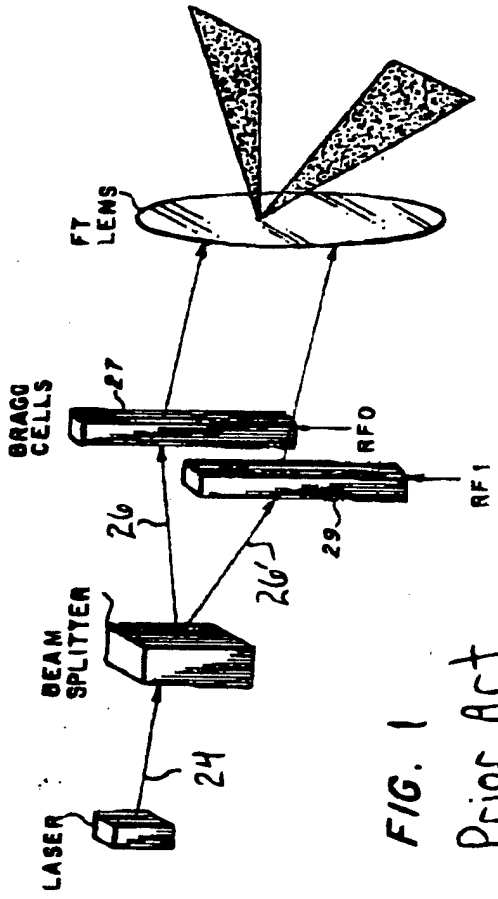
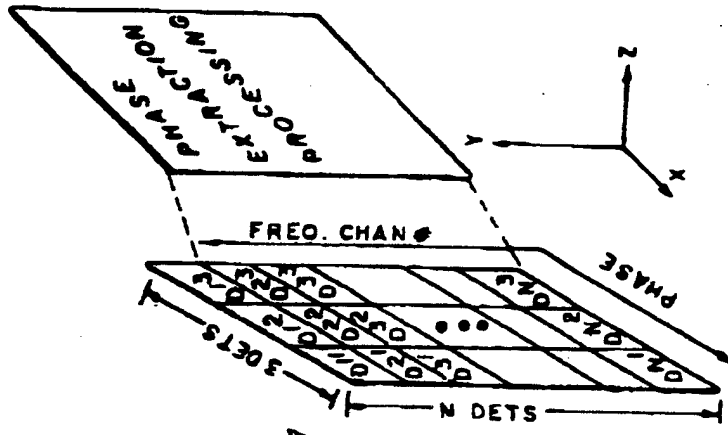


FIG. 1
Prior Art

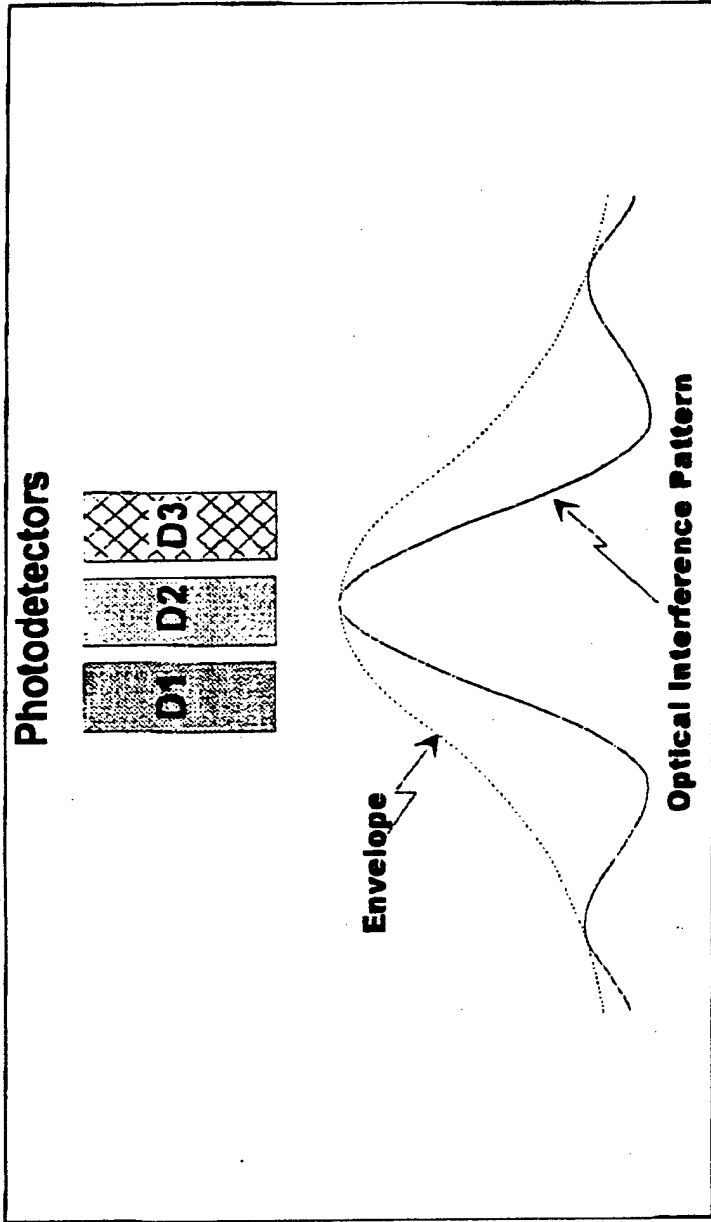


FIGURE 2. TWO-BEAM INTERFERENCE PATTERN - PHOTODETECTOR CONFIGURATION

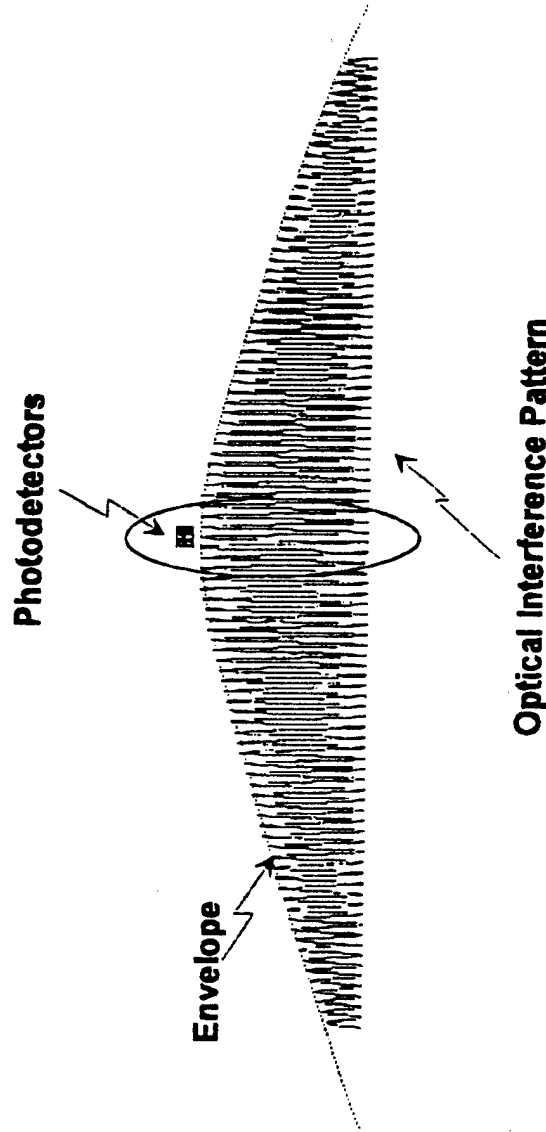


FIGURE 3a. TWO-BEAM INTERFERENCE PATTERN - CONVENTIONAL PHOTODETECTOR CONFIGURATION

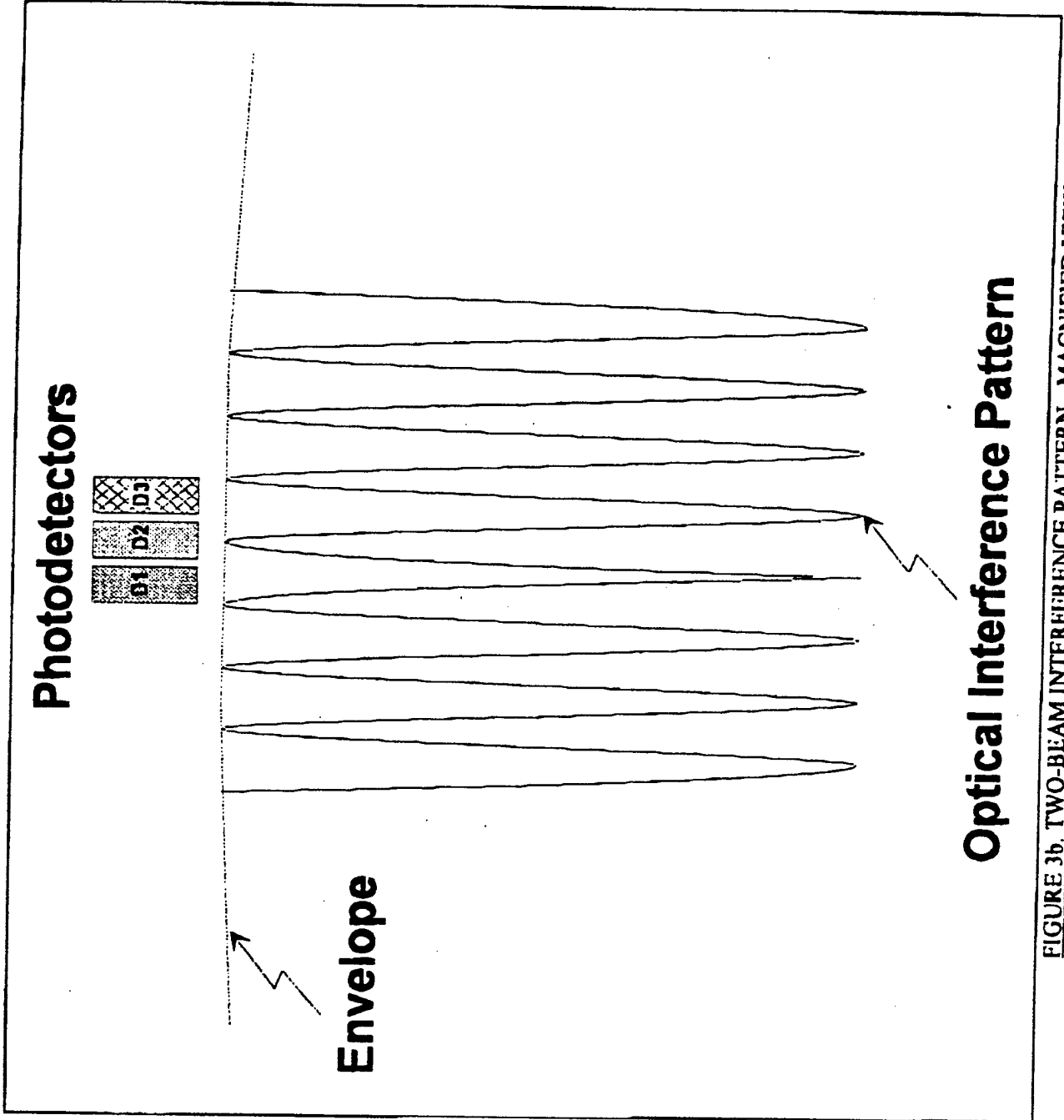
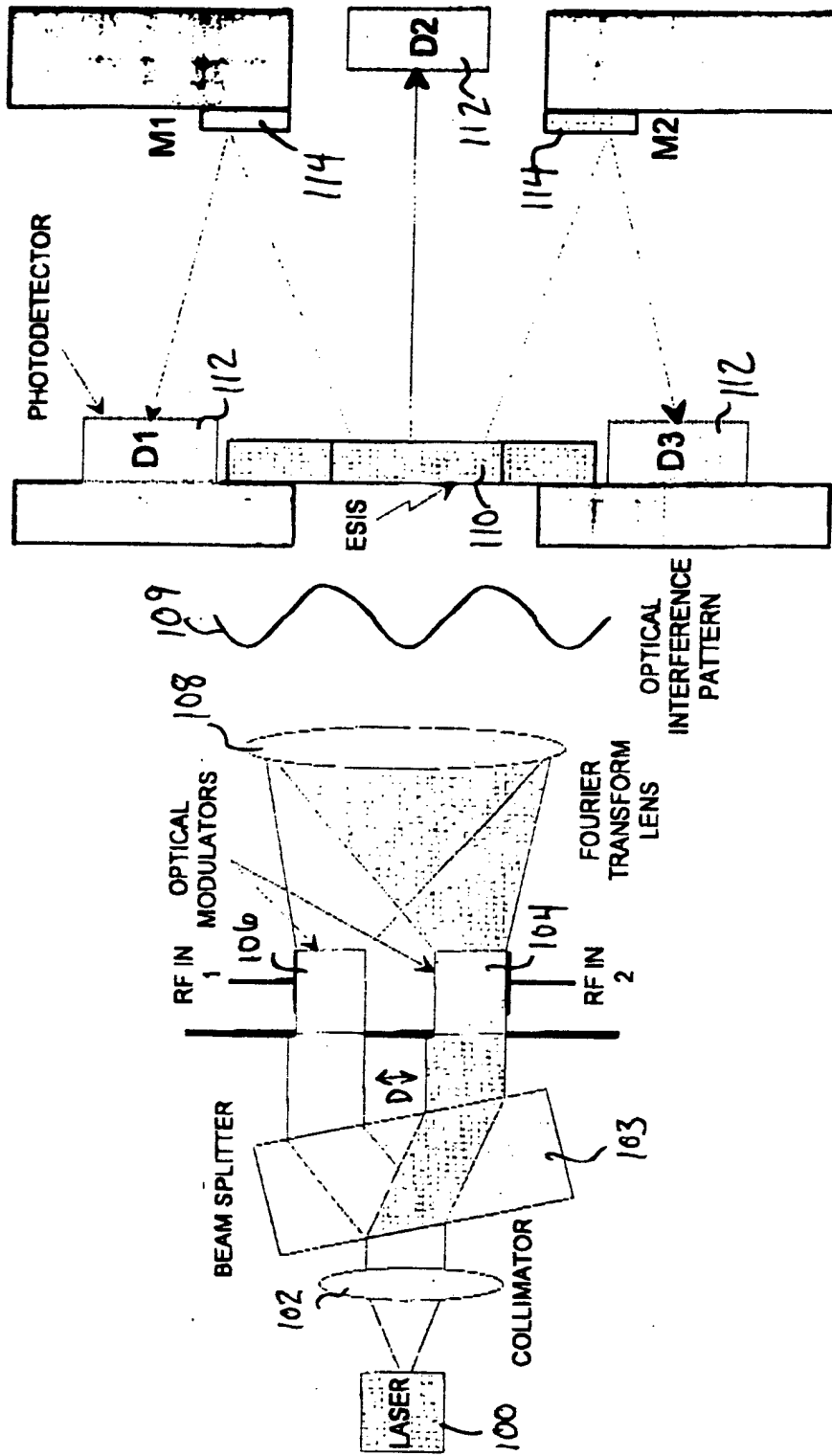
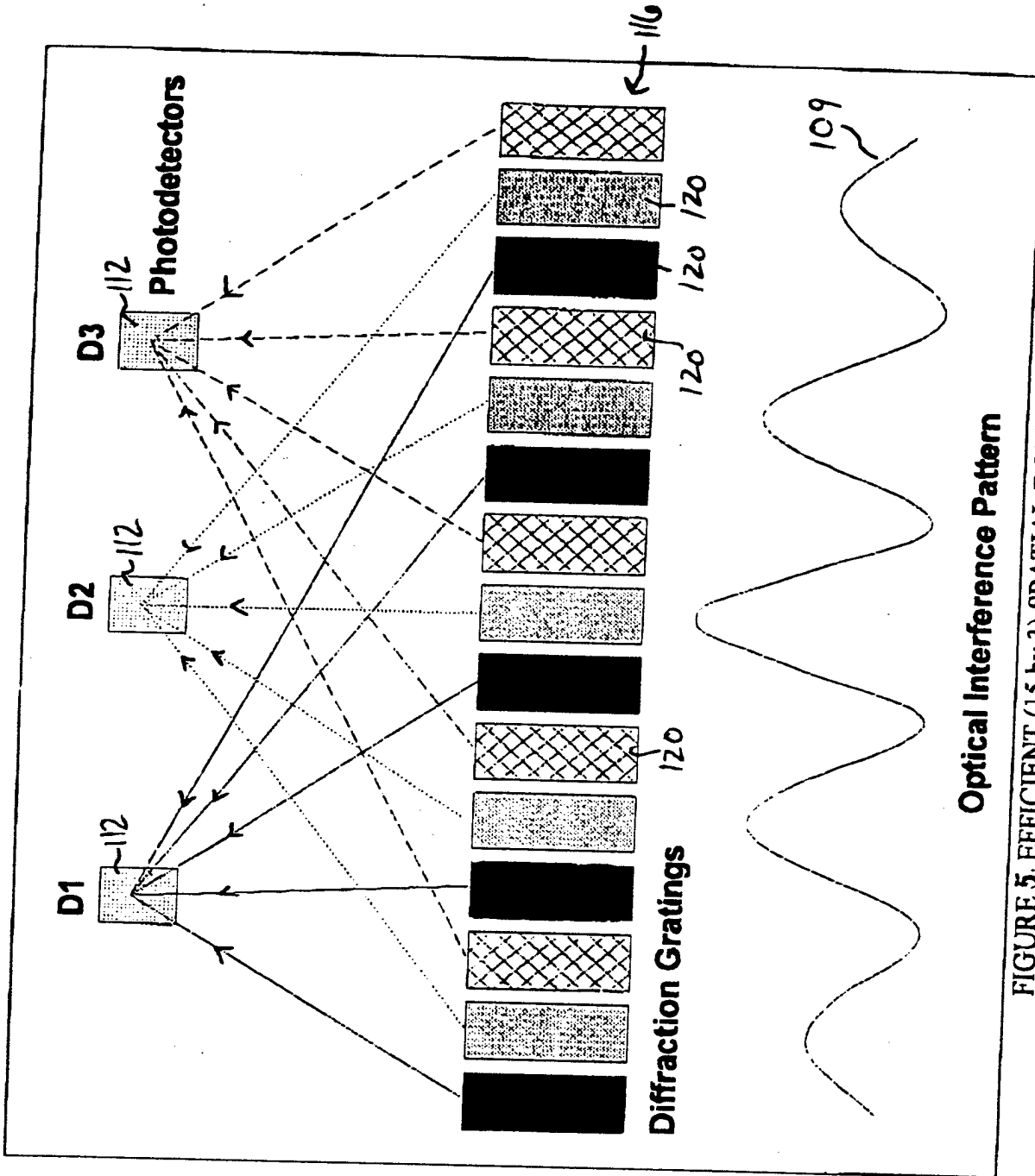


FIGURE 3b. TWO-BEAM INTERFERENCE PATTERN - MAGNIFIED VIEW



Top View - Phase Axis

FIGURE 4. OPTICAL PHASE MEASUREMENT PROCESSOR



Optical Interference Pattern

FIGURE 5. EFFICIENT (15 by 3) SPATIAL IMAGE SAMPLING

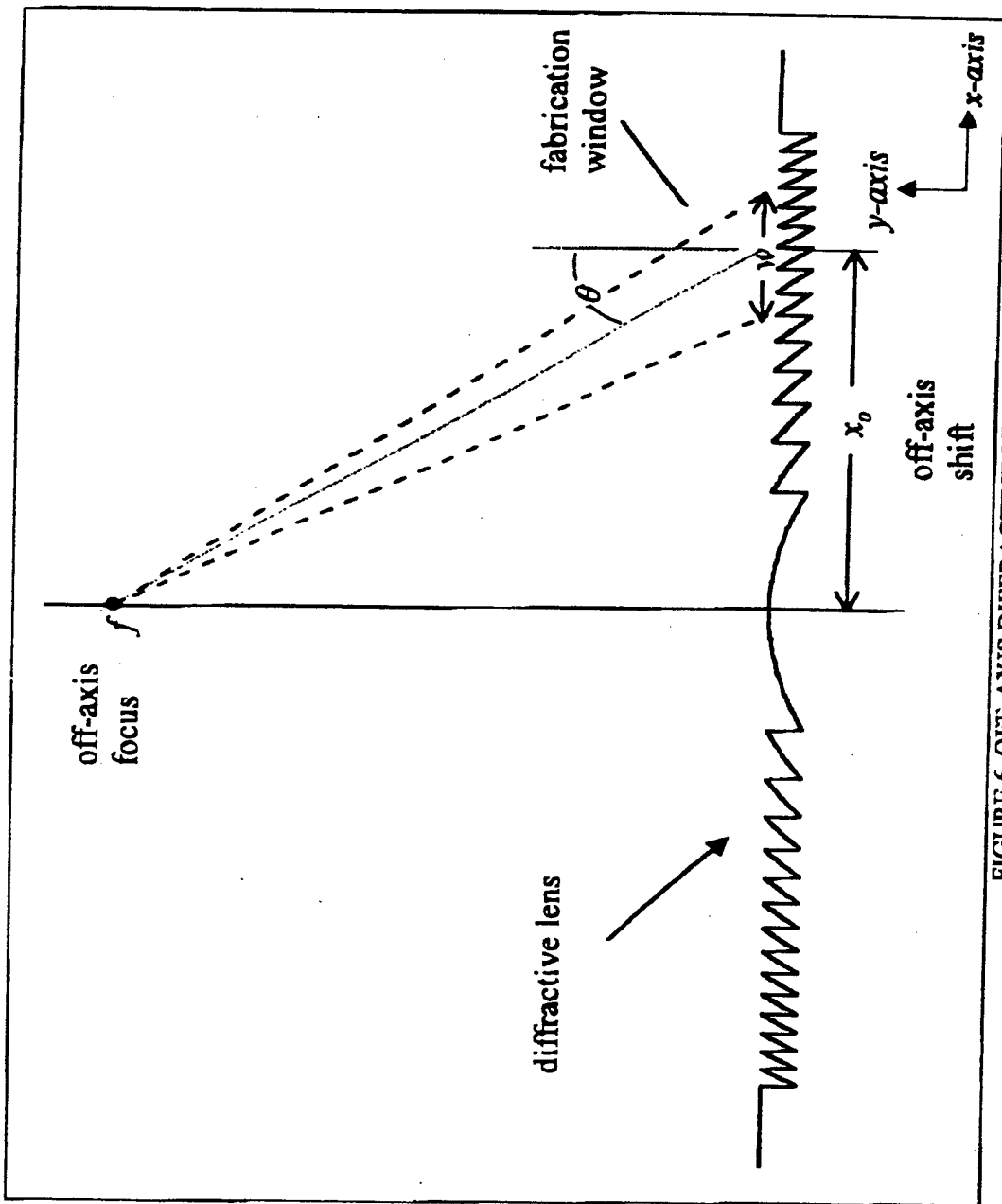


FIGURE 6. OFF-AXIS DIFFRACTIVE LENS

Lenslet	S/#	Focal Length (mm)	Window (mm)	Off-Axis Shift (mm)	Off-Axis Angle (degrees)	Minimum Feature (μ m)
Lens1	14.29	300.0	3.0	9.0	1.71	3.00
Lens2	7.69	300.0	3.0	18.0	3.43	1.61
Lens3	5.26	300.0	3.0	27.0	5.14	1.10
Lens4	99.38	300.0	3.0	0.0	0.0	21.13
Lens5	14.29	300.0	3.0	9.0	1.71	3.00
Lens6	7.69	300.0	3.0	18.0	3.43	1.61
Lens7	14.29	300.0	3.0	-9.0	-1.71	3.00
Lens8	99.38	300.0	3.0	0.0	0.0	21.13
Lens9	14.29	300.0	3.0	9.0	1.71	3.00
Lens10	7.69	300.0	3.0	-18.0	-3.43	1.61
Lens11	14.29	300.0	3.0	-9.0	-1.71	3.00
Lens12	99.38	300.0	3.0	0.0	0.0	21.13
Lens13	5.26	300.0	3.0	-27.0	-5.14	1.10
Lens14	7.69	300.0	3.0	-18.0	-3.43	1.61
Lens15	14.29	300.0	3.0	-9.0	-1.71	3.00

FIGURE 7. DESIGN PARAMETERS FOR 15 BY 3 ESIS

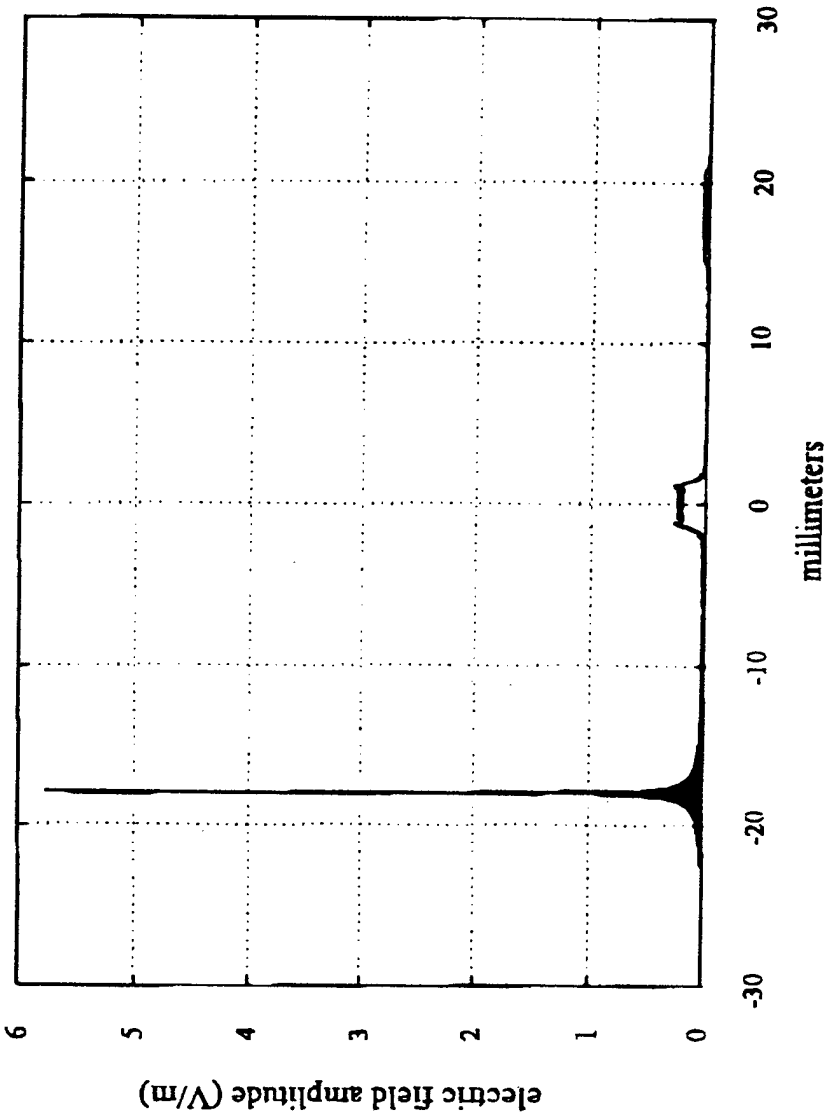


FIGURE 3, SIMULATION OF AN EIGHT-LEVEL DIFFRACTIVE LENS CORRESPONDING TO LENS6.

15:3 Spatial Image Separator Worst-case Crosstalk

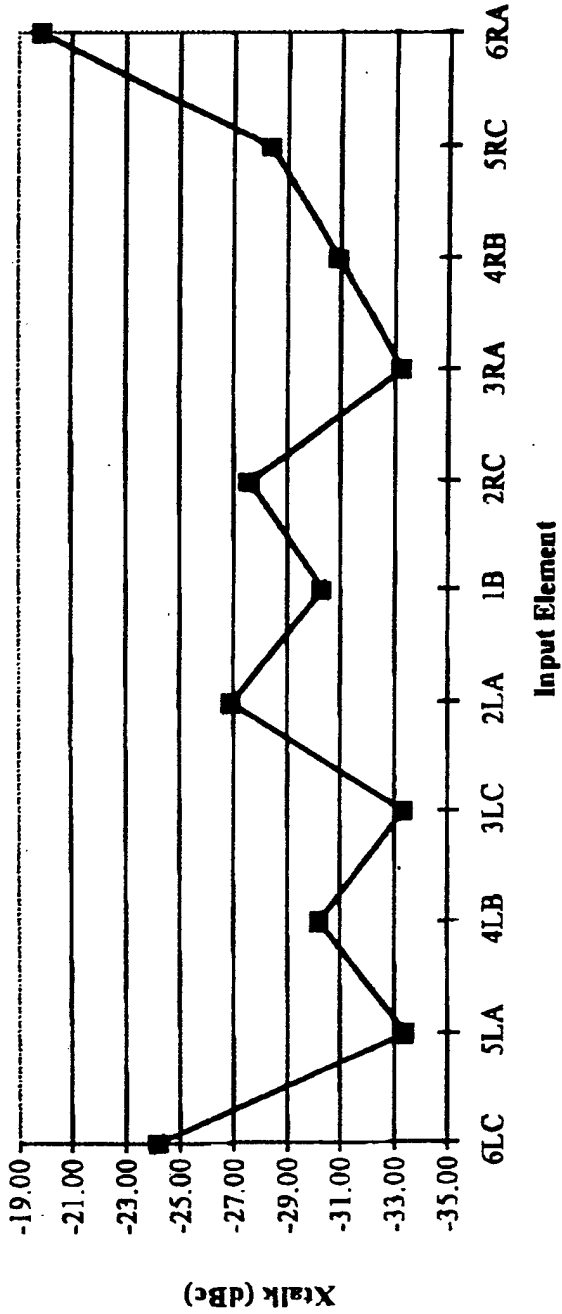


FIGURE 9, CROSSTALK MEASUREMENT RESULTS

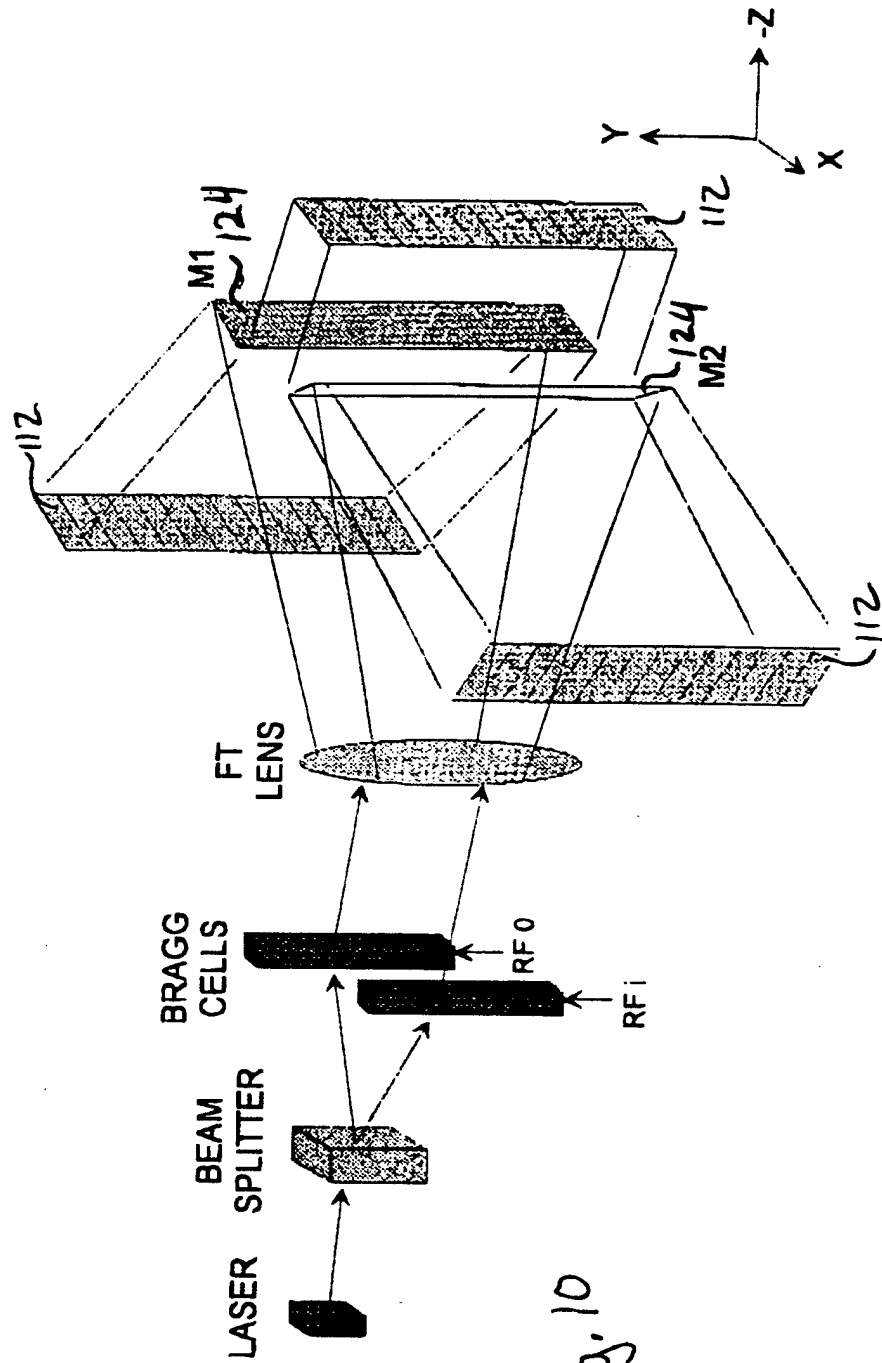


Fig. 10

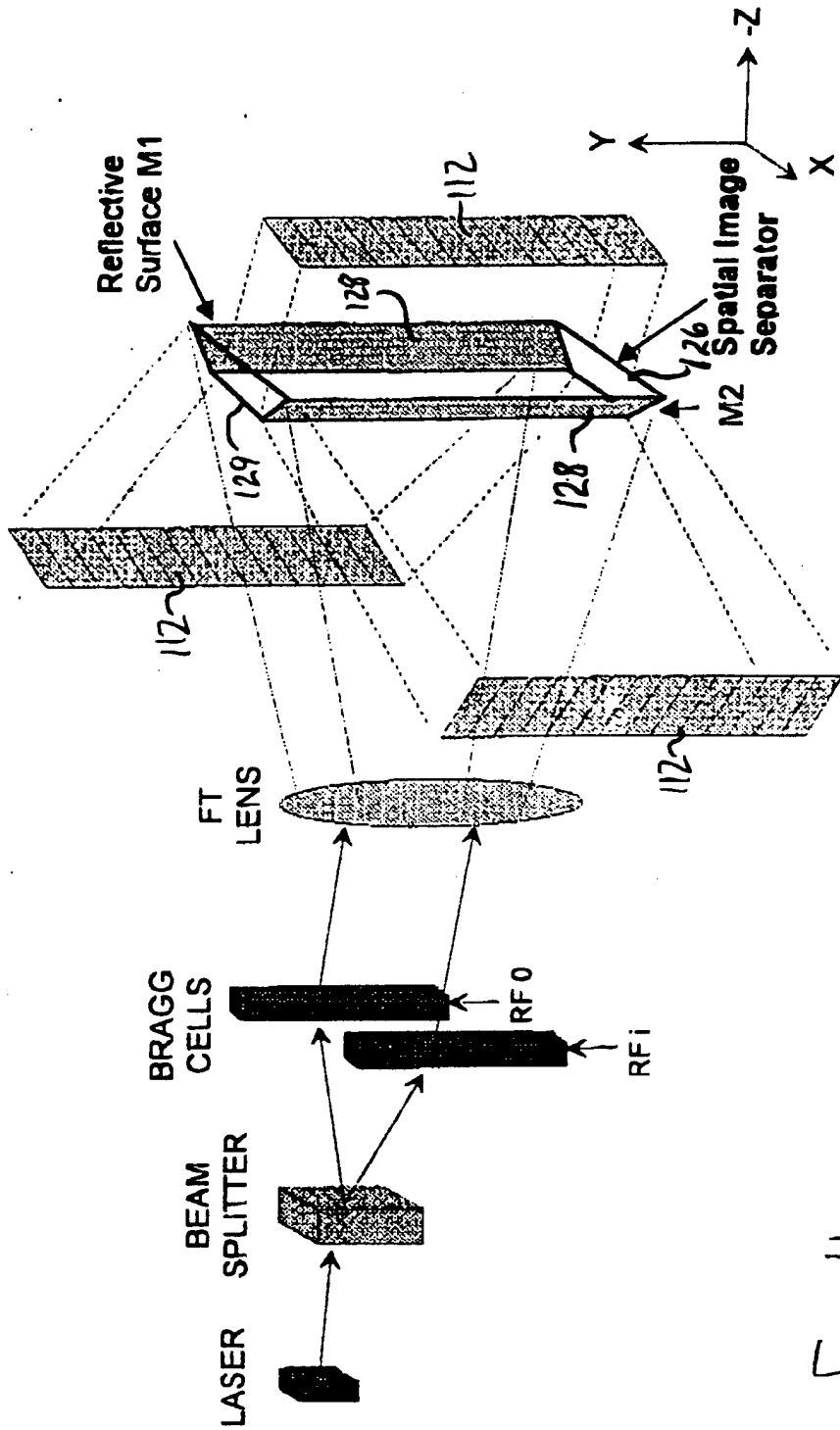
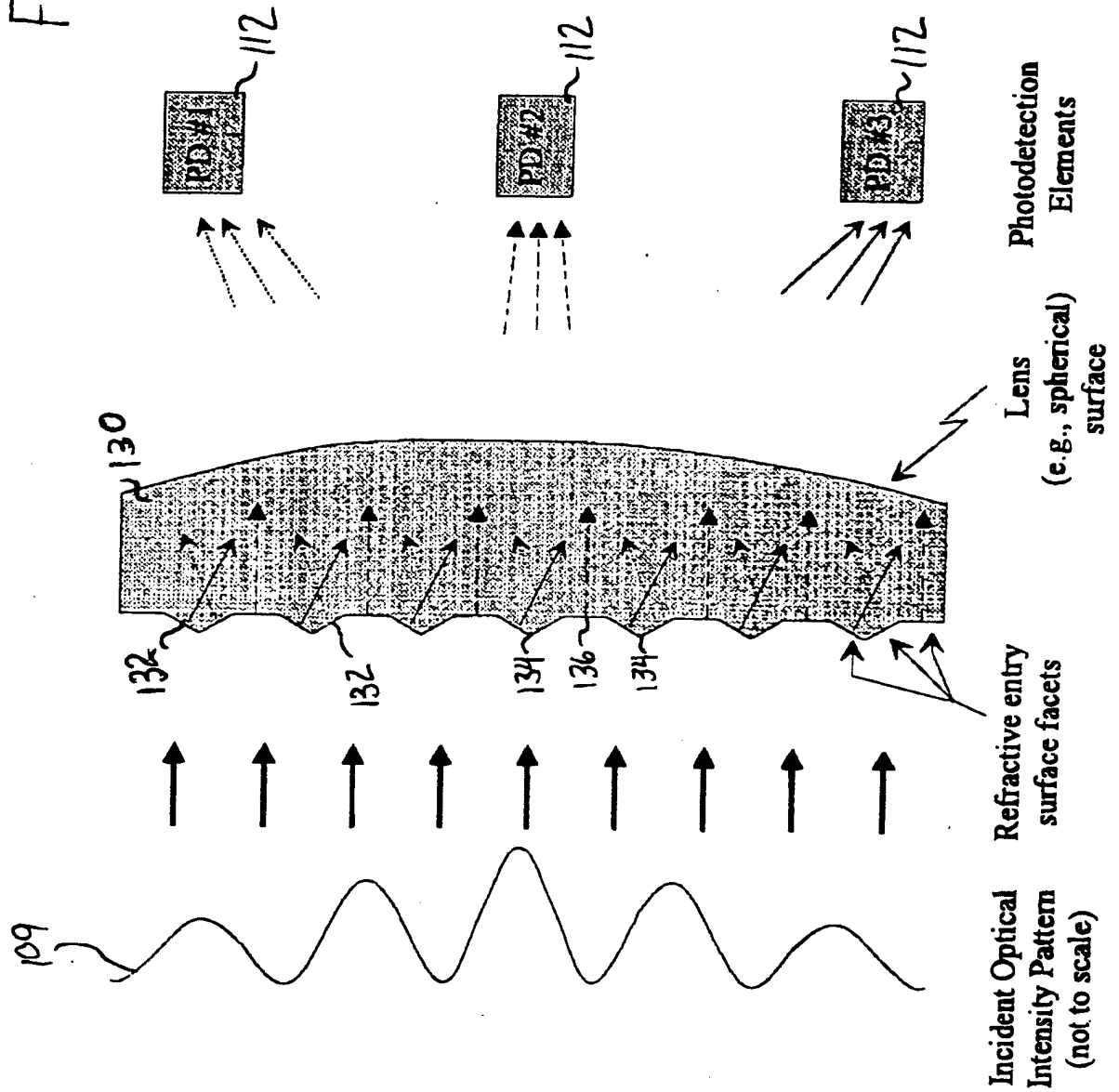


Fig. 11

Fig. 12



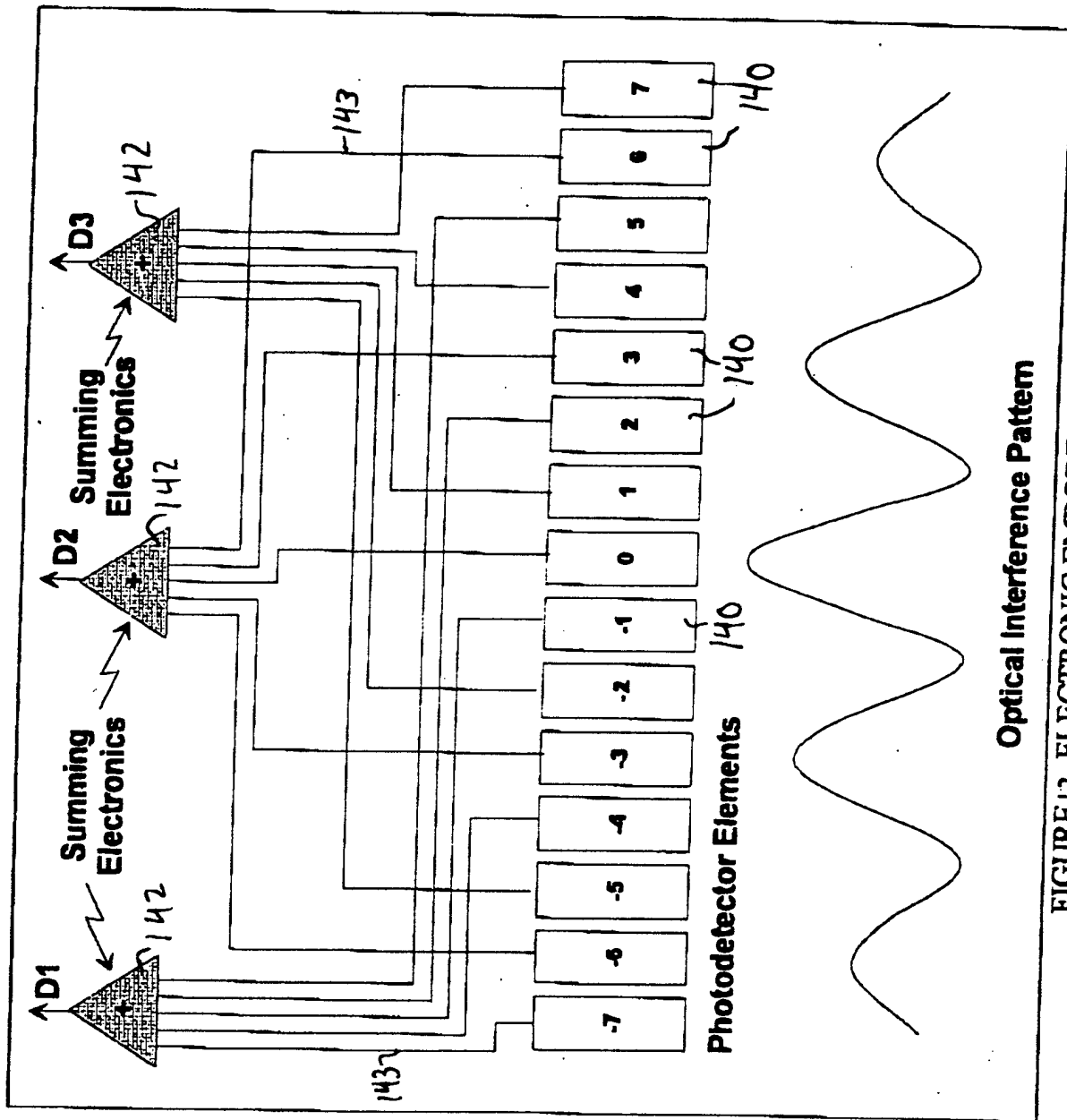


FIGURE 13. ELECTRONIC EMBODIMENT OF ESIS