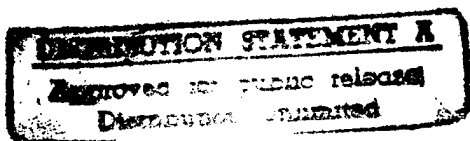


Serial No. 774,800  
Filing Date 30 December 1996  
Inventor C. Roger Wallin

NOTICE

The above identified patent application is available for licensing. Requests for information should be addressed to:

OFFICE OF NAVAL RESEARCH  
DEPARTMENT OF THE NAVY  
CODE OCCC3  
ARLINGTON VA 22217-5660



DTIC QUALITY INSPECTED 2

19970507 113

2  
3 SUBMARINE EXTENDIBLE TURRET SYSTEM

4  
5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used  
7 by or for the Government of the United States of America for  
8 governmental purposes without the payment of any royalties  
9 thereon or therefor.

10  
11 BACKGROUND OF THE INVENTION

12 (1) Field of the Invention

13 The present invention relates to submarines and more  
14 particularly to ordnance for submarines.

15 (2) Brief Description of the Prior Art

16 World War II submarines were routinely outfitted with deck  
17 guns as well as torpedo tubes for offensive and defensive  
18 armament. At that time it was recognized that many surface  
19 targets were too small for practical engagement with torpedoes,  
20 and there were sometimes special operational circumstances that  
21 warranted the display or threat of potential force rather than  
22 the actual expenditure of explosive ordnance.

23 The changing and expanding role of the submarine for the  
24 post cold war naval forces has altered some of the characteristic  
25 practices of modern submariners. An example is the deliberate  
26 use of submarines as vessels to "show the flag" by visits to

1 foreign ports. It is no longer always mandatory to avoid  
2 admission of the existence of submarines in foreign waters. It  
3 is recognized that submarines have become integral elements of  
4 many naval battle groups. Therefore, there may be diplomatic as  
5 well as military circumstances affecting modern submarine  
6 operations, where the overt display of a submarine force, at sea  
7 as well as in port, is appropriate.

8         There are various governments with small ship navies that  
9 might inflict damage upon U.S. or allied surface naval forces.  
10 While the AntiSubmarine Warfare (ASW) assets of such small ship  
11 navies might not be significant, they could threaten high value  
12 surface units with weapons such as surface to surface torpedoes.  
13 A submarine, operating in consort with such high value forces,  
14 might find that a response to that threat using traditional  
15 submarine launched weapons is difficult where it is necessary to  
16 engage lightweight, maneuverable, surface vessels. Most dual  
17 purpose torpedoes were designed for destroying large displacement  
18 hull ships. Also, anti-ship missiles that are launched from  
19 submarines may be limited in effectiveness if a target is at  
20 close range.

21         Rules of engagement in modern military operations may also  
22 restrict the use of devastating force. For example, the use of  
23 highly destructive weapons in the interdiction of commercial  
24 vessels in a blockade situation might not be appropriate. The  
25 master of a defiant vessel might show little respect for a  
26 submarine armed with torpedoes and cruise missiles, even if he

1 was aware of its presence. The tactical and economic expense  
2 associated with the use of a submarine launched torpedo or  
3 missile, against a low or medium threat surface target, could  
4 also be a non-trivial command consideration in present day  
5 maritime scenarios. At times it is unnecessary to completely  
6 destroy a target. Rather, it may be desirable to engage the  
7 hostile vessel in small arms fire or simply to fire warning shots  
8 to ward off possible encounters. Since such encounters may be at  
9 close range, it is further desirable that a small arms system  
10 provide an element of surprise and also be capable of remote  
11 operation so as not to endanger the operator.

#### 12 13 SUMMARY OF THE INVENTION

14 Accordingly, it is a general purpose and object of the  
15 present invention to provide a modern weapon system which would  
16 improve the capability of submarines to operate in waters where  
17 the possibility of non-traditional targets and tactical  
18 circumstances exists. It is a further object to provide a useful  
19 and effective offensive weapon system for use against small, or  
20 high speed, surface craft. It is a still further object to  
21 provide a weapons system which can be exposed unexpectedly from  
22 beneath the sea surface. Another object of the present invention  
23 is to provide a submarine with the added capability of remotely  
24 controlled anti-personnel ordnance. Yet another object is to  
25 provide a weapon system having the option to fire controlled  
26 warning shots at a target rather than completely destroying the

1 target. These and other objects are accomplished with the  
2 present invention by providing a submersible vessel with a  
3 remotely controlled small arms weapon system in an extendible  
4 turret.

5 The present invention is at times below referred to as a  
6 submarine extendible turret system (hereafter "SETS"). This  
7 invention comprises a submersible vessel having an exterior hull  
8 substantially completely enclosing an interior space in which  
9 there is a selectively closeable ordnance deployment opening in  
10 the exterior hull. A linearly extendible ordnance deployment  
11 means is axially aligned with the ordnance deployment opening and  
12 is selectively positionable in either a first position completely  
13 within the interior space or in a second position at least  
14 partially extended through the ordnance deployment opening. An  
15 ordnance means is positioned in relation to the ordnance  
16 deployment means such that said ordnance means is in the interior  
17 space when the ordnance deployment means is in its first position  
18 and is outside the exterior hull when the ordnance deployment  
19 means is in its second position. Sensor means are positioned at  
20 least partially outside the exterior hull for acquiring target  
21 information, and command and control means are positioned in the  
22 interior space for remotely operating the ordnance means. Also  
23 encompassed by the present invention is the case where the  
24 submersible vessel is contained within a ballistic missile  
25 submarine which has an exterior hull surrounding an interior  
26 space having a plurality of vertical missile tubes.

1           The present invention also includes a submersible vessel  
2 having an exterior hull enclosing an internal space wherein the  
3 improvement comprises means for conveying objects from said  
4 internal space to said surface of the body of water. In one  
5 preferred embodiment, these conveying means may be used to  
6 transport personnel to the surface.

7           The vessel of this invention preferably includes a  
8 cylindrical module less than seven feet in diameter, contained  
9 within a watertight vertical tube in the hull of the submarine.  
10 The tube is normally secured against sea pressure by a hatch at  
11 the top that can be opened to allow the module to be raised to a  
12 position above the submarine's hull envelope. The module is  
13 preferably supported from below by a telescoping hydraulic  
14 mechanism that projects and retracts it from within the tube.  
15 During surface operations, the turret can be exposed just above  
16 the submarine hull, to the extent comparable to that of a deck  
17 mounted gun. When submerged, the module can be extended further,  
18 to a height that will penetrate the ocean surface while the ship  
19 is held at periscope depth, and permit a gun or guns mounted on  
20 the module to be unmasked and brought to bear upon a nearby  
21 surface, or air contact. The module would preferably be  
22 unmanned. Aim and control of the gun or guns and the module is  
23 preferably entirely by remote control from within the submarine's  
24 control room. The module is functionally equivalent to an  
25 unmanned gun "mount" or "turret". It contains one or more  
26 projectile firing gun barrels, a magazine or ammunition and

1 mechanisms for feeding the ammunition to the gun or guns as well  
2 as for turning and stabilizing the module in train and raising or  
3 lowering the gun or guns about their trunnions, in elevation.  
4 Such a module will hereafter be referred to as a "turret".

5 Preferably, the turret is generally a cylindrical module, in  
6 plan view, while in elevation and cross section view it may be  
7 closed at the top by a dome shaped envelope. The upper part of  
8 the module is configured to rotate in azimuth, with respect to  
9 the lower part of the module which is fixed to the supporting  
10 structure below. A water restricted port or penetration is  
11 provided in the face of the turret to expose the muzzle of each  
12 of its gun or guns. The turret also contains one or more  
13 targeting sensors that can be positioned remotely to track a  
14 target designated from higher order sensors within the submarine.  
15 One or more additional penetrations or sensor "windows" is  
16 provided on the turret surface to expose the sensor or sensors  
17 used to track the designated target of the system. The turret  
18 structure also preferably includes communications instrumentation  
19 e.g., antennas, loudspeakers, or flashing light semaphore for  
20 remote contact with targeted units and others. When deployed  
21 above the surface, the streamlined turret should present a very  
22 small visual and radar profile. The exposed turret would  
23 preferably be approximately the size of a medium ocean navigation  
24 buoy and would have a mostly smooth, rounded surface. However,  
25 it is proposed that the turret be lightly armored to protect its  
26 mechanisms from damage.

1           The system of the invention may also be retrofitted on an  
2 existing ballistic missile carrying submarine. Specifically, it  
3 is proposed that the missile launching tube of an SSB(N) 640  
4 class submarine could be modified to contain and support the  
5 extendible turret on that type of ship. The missile tube would  
6 serve as the barbette in the SETS equipped vessel. A cylindrical  
7 space that is about 40 feet in height and 83 inches in diameter  
8 should provide ample room for containment of the retracted turret  
9 and its support mechanism.

10           An important feature of the SETS system is to be able to  
11 move something that is usually contained within the hull of a  
12 submarine to the outside and back again when it is no longer  
13 needed outboard. For example, the module intended to support the  
14 guns could instead be configured to transport personnel from  
15 within the submarine to the surface and vice versa. A transfer  
16 using the proposed concept would expose part of the submarine,  
17 i.e., the turret, for a short time during that process, but the  
18 convenience that could be realized by using a SETS turret as a  
19 personnel transfer chamber compared to other means might offer  
20 important advantages. Other payloads are possible candidates for  
21 transport using the SETS concept. For example, a SETS turret  
22 might be configured to mount an anti-missile defense system such  
23 as the PHALANX CIWS. A submarine with one or more anti-missile  
24 defense modules exposed above the surface could locate in a  
25 submerged position alongside an anchored high value surface



1 vessel and provide increased missile defense resources to that  
2 vessel while remaining completely impervious to missile attack.

#### 3 4 BRIEF DESCRIPTION OF THE DRAWINGS

5 Other objects, features and advantages of the present  
6 invention will become apparent upon reference to the following  
7 description of the preferred embodiments and to the drawings,  
8 wherein corresponding reference characters indicate corresponding  
9 parts throughout the several views of the drawings and wherein:

10 FIG. 1 is a schematic side view of a submarine representing  
11 a preferred embodiment of the present invention;

12 FIG. 2 is a detailed schematic view of the area within II in  
13 FIG. 1;

14 FIG. 3 is a detailed view from line III - III in FIG. 1;

15 FIG. 4 is a transverse cross sectional view of a retrofitted  
16 ballistic submarine representing another preferred embodiment of  
17 the present invention; and

18 FIG. 5 is a schematic side view of a submarine representing  
19 a third preferred embodiment of the present invention.

#### 20 21 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

22 Referring to FIG. 1, a submarine which is shown at numeral  
23 10 is positioned in a body of water beneath the ocean surface 12.  
24 As is conventional, this submarine has an exterior hull 14 which  
25 completely or substantially encloses an interior space 16. The  
26 submarine has a longitudinal axis 18 and perpendicular to this

1 longitudinal axis is a linearly extendible telescopic support  
2 structure 20 which is mounted in a barbette 22. On the upper  
3 side 24 of the hull 14 there is a hull aperture 26 which is  
4 selectively closeable by a watertight barbette hatch 28 with hull  
5 door 30. Superimposed on the top of the telescoping support  
6 structure 20 there is a turret 32. When the telescopic support  
7 structure 20 is in its first lower position in the interior space  
8 16 of the submarine, the turret 32 is also in the interior space  
9 of the submarine and under the closed hull door 30 and barbette  
10 hatch 28. When the telescopic support structure 20 is extended  
11 to its second upper position the turret 32 will be positioned  
12 adjacent and preferably slightly above the ocean surface 12 as is  
13 shown in FIG. 1. A sensor 34 is mounted on the turret. This  
14 sensor may be any conventional means for identifying a target or  
15 determining its direction, range or other information concerning  
16 it. Nonlimiting examples would be Electronic Support Measures  
17 (ESM), sonar, periscopes including photonics (remote periscope),  
18 instruments, radar and LIDAR (laser) target detection and  
19 tracking devices. In the interior space 16 of the submarine  
20 there is a command and control center 36 which is connected to  
21 the sensor 34 by any suitable conventional communications means.  
22 This command and control center includes a fire direction center  
23 which provides appropriate elevation and train instructions for  
24 the guns in the turret to engage a target based on range and  
25 direction information obtained from the sensors. Preferably the  
26 turret 32 will be unmanned and elevation and train settings will

1 be remotely placed on the guns from the command and control  
2 center 36. The guns will also preferably be remotely fired from  
3 the command and control center 36.

4 Referring to FIG. 2, it will be seen that the turret 32  
5 includes a stationary section 38 and a rotating section 40 which  
6 pivots about the longitudinal axis of the turret to allow gun  
7 direction to be changed. A gun 42 is mounted on a trunnion 44 on  
8 which the gun pivots through gun elevation arc 46 to change  
9 elevation. The muzzle of gun 42 moves through recessed muzzle  
10 channel 48 to allow the gun to be fired through gun port 50. The  
11 turret also includes a gun access door 52 and a turret access  
12 hatch 54.

13 Referring to FIG. 3, the turret 32 also includes a target  
14 sensor window 56 through which any conventional sensor may be  
15 employed. Other features include a surface mounted antenna 58,  
16 another gun access door 60, a muzzle 62 on gun 42, a second gun  
17 port 64 in which a second gun 66 is mounted.

18 Referring to FIG. 4, a ballistic missile submarine is shown  
19 at 68. This vessel has an exterior hull 70 which encloses an  
20 interior space 72. As is conventional, there are a plurality of  
21 missile tubes 74. Such a missile tube may be retrofitted, in  
22 accordance with the present invention, to include a turret 76.  
23 This turret would be positioned on a telescoping support  
24 structure 78 which would be moved from a lower first position in  
25 the interior space 72 as is shown in FIG. 4 by a hydraulic  
26 lift/retraction mechanism 80. As is conventional, there is a

1 tube hatch 82 and a hull door 84. When this hatch and door open  
2 the hydraulic lift mechanism 80 would be able to move the  
3 telescoping support structure 78 to deploy the turret 76 to the  
4 ocean surface (not shown). Subsequently, the hydraulic  
5 lift/retraction mechanism 80 could also be employed to withdraw  
6 the telescoping support structure along with a turret 76 back  
7 into the missile tube 74.

8 Referring to FIG. 5, another preferred embodiment is shown  
9 in which a submarine 86 is positioned beneath the ocean surface  
10 88. Its exterior hull 90 encloses interior space 92. The hull  
11 has a longitudinal axis 94 and a linearly extending telescoping  
12 support structure 96 positioned in support 98 in the interior  
13 space 92. On the upper side 100 of the hull there is a hull  
14 aperture 102 which is selectively closeable by a watertight  
15 support hatch 104 and a hull door 106. Mounted on the linearly  
16 extendible support structure 96 there is a personnel deployment  
17 housing 108. In this embodiment the laterally extendible support  
18 structure moves the personnel deployment housing 108 from its  
19 first lower position in the interior space 92 to a second  
20 deployed position adjacent the ocean surface 88 to allow  
21 personnel to move between the two positions via the personnel  
22 deployment housing 108. In the first lower position, personnel  
23 door 110 in deployment housing 108 is aligned with tube door 112  
24 in the side of support tube 98 to permit personnel to pass  
25 between interior space 92 and deployment housing 108. In the  
26 second or extended position, personnel door 110 provides access

1 for personnel to exit or enter deployment housing 108 from near  
2 ocean surface 88. Deployment housing 108 may also be provided  
3 with a separate personnel hatch 114 for deployment of personnel  
4 at ocean surface 88.

5 Those skilled in the art will appreciate that an important  
6 feature of the present invention is its capacity to provide a  
7 submarine with a source of measured force for operational  
8 situations that might require the availability of lethal  
9 ordnance, but which do not call for the large scale destructive  
10 force provided by traditional submarine weapons, e.g. torpedoes,  
11 missiles, or mines. The SETS concept would provide a submarine  
12 with unique anti-personnel and small ship anti-surface  
13 capabilities for situations that involve close encounter  
14 hostilities or the enforcement of maritime policy. A limited  
15 degree of short range anti-air capability, e.g., against  
16 helicopters would also be available. Submarine crew members  
17 would remain inboard and would not be exposed to the potential of  
18 hostile surface counterfire during such scenarios. It is  
19 contemplated that SETS might be deployed whenever a submarine, so  
20 equipped, is engaged in surface transit in waters that are not  
21 certain to be totally friendly. While on the surface, the turret  
22 would be extended just above the hull to a height comparable to  
23 that of a deck mounted gun. In that position, its exposure would  
24 serve to exert a deterrent influence upon individuals or forces  
25 who might be motivated to conduct harassing actions towards an  
26 American submarine. Prior to submerging, the turret would be

1 retracted and enclosed. For a submerged submarine, the SETS  
2 capability would provide a means to reveal a naval presence,  
3 unexpectantly and for a limited time, whenever and wherever that  
4 presence might be desired. An important advantage to such a  
5 submarine is that it would have the option of intentionally  
6 exposing its potential power to conflicting maritime units,  
7 military or civilian and then disappearing from the scene. In  
8 operation, the submarine's commander would select a surface  
9 contact of interest by usual means, using traditional combat  
10 system sensors, e.g., ESM, sonar, or periscope, for detection and  
11 classification. The ship's combat control system, modified for  
12 SETS, would develop initial targeting transmissions to pre-  
13 position the turret sensors as close to the contact as possible,  
14 upon exposure. The ship would be brought to periscope depth and  
15 maneuvered as appropriate for the tactical situation. The SETS  
16 operator, within the control room of the submarine, would then  
17 actuate the mechanisms that open the barbette hatch and extend  
18 the turret. As soon as the turret breaks the surface, its  
19 sensors would activate and seek the target. This procedure would  
20 be controlled or assisted by the SETS console operator who would  
21 observe the control room displays of turret sensor information.

22 In a maritime enforcement scenario, an effort might be made  
23 to communicate with a surface contact's crew while displaying the  
24 obvious force available to the submarine. The SETS console  
25 operator would have the option of attempting communication using  
26 several turret mounted communication devices. The turret system

1 would contain control room to bridge radio antennas, flashing  
2 light semaphore signaling apparatus and possibly even loudspeaker  
3 provisions for extremely short range encounters. The submarine  
4 combat control system, modified for SETS capability would  
5 function as a typical gun fire control system, processing turret  
6 sensor data and transmitting orders for gun positioning. The  
7 SETS console operator would be able to monitor the aim of the  
8 guns visually and he would control firing of the weapons when  
9 required. When the tactical situation no longer required  
10 deployment of the gun weapon system, the SETS turret, or "gun  
11 tower" would be lowered into the barbette and the watertight  
12 hatch secured. The gun or guns magazine would be serviced  
13 internally through ports in the barbette.

14 It will be appreciated that an extendible turret with  
15 mounted guns or other type weapon launch mechanisms has been  
16 described. The turret may be controlled remotely in train and  
17 elevation and it is stabilized against ship motion by signals  
18 transmitted to turret motors from within the submarine.

19 Those skilled in the art will also appreciate that a  
20 computer based fire control and command/control sub-system to  
21 process sensor information and develop targeting and  
22 stabilization orders for transmission to the turret may be  
23 advantageously used to provide computer driven displays of  
24 tactical data required to direct an engagement using the turret  
25 mounted weapons. The fire control, command/control sub-system  
26 provides all man-machine interface requirements for the system,

1 including remote actuation of the gun firing mechanism. A sensor  
2 sub-system comprised of a variety of sensors to detect and  
3 measure parameters regarding own ship status and target location  
4 may also be incorporated into the systems. Some sensor devices  
5 could be mounted on the extendible turret so that they can be  
6 exposed and remotely directed towards an intended target while  
7 the submarine is submerged. Compared to sensors that might be  
8 "floated" to the surface on a tethered buoy, sensors attached to  
9 the extendible turret have the advantage of remaining fixed to  
10 the parent ship, thereby retaining directional stability, while  
11 the submarine is submerged. Compared to separate extendible mast  
12 mounted devices, sensors that are integral with the weapon  
13 launcher will eliminate the problem of system parallax  
14 correction.

15 The opportunity facilitated by the extendible turret to  
16 utilize surface oriented target sensors is also available. By  
17 configuring the extendible turret as a mount for some of the  
18 system sensors, several advanced type sensors which require  
19 exposure above the surface can be considered for inclusion in the  
20 proposed submarine system. The extendible turret would support  
21 photonics (remote periscope) instruments, radar antennae and  
22 LIDAR (laser) target detection and tracking devices.

23 The SETS would provide submarines with a unique new weapon  
24 capability that is responsive to the unusual limited warfare  
25 situations that characterize present day military operations. A  
26 submarine with the SETS would possess improved means to



1 participate in all types of sea control and maritime enforcement  
2 operations and it would have the appropriate firepower to deal  
3 with a wide range of potential adversaries.

4 While the present invention has been described in connection  
5 with the preferred embodiments of the various elements, it is to  
6 be understood that other similar embodiments may be used or  
7 modifications and additions may be made to the present described  
8 embodiment for performing the same function of the present  
9 invention without deviating therefrom. Therefore, the present  
10 invention should not be limited to any single embodiment, but  
11 rather construed in breadth and scope.

12

1 Navy Case No. 77707

2  
3 SUBMARINE EXTENDIBLE TURRET SYSTEM

4  
5 ABSTRACT OF THE DISCLOSURE

6 Disclosed is an armored, turret like module configured for  
7 axial extension from a stowed position within the hull of a  
8 submarine. The turret would mount one or more remote controlled  
9 guns, as well as communications devices and sensors to support  
10 short range engagement with surface or air contacts. A complete,  
11 gun based weapon system concept, including command and combat  
12 control elements within the submarine control room, is intended.  
13 Other useful applications of this extendible turret system are  
14 also disclosed. For example, by such means personnel may also be  
15 transferred from within the ship to the outside world, via the  
16 turret, while submerged.

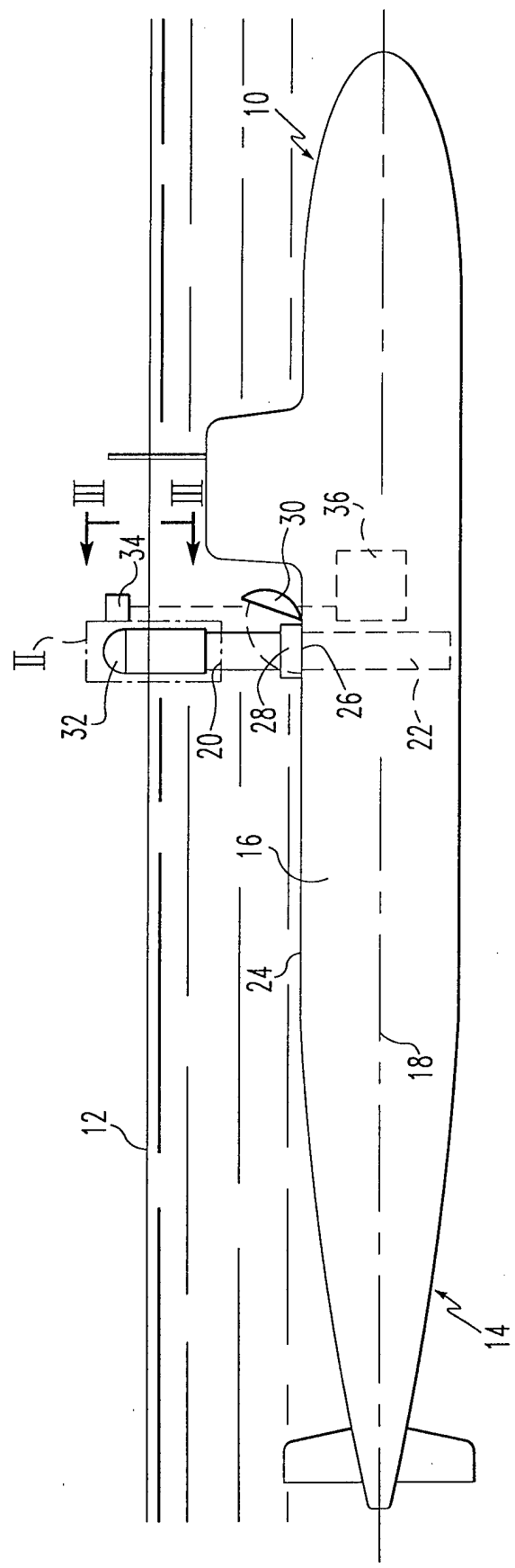


FIG. 1

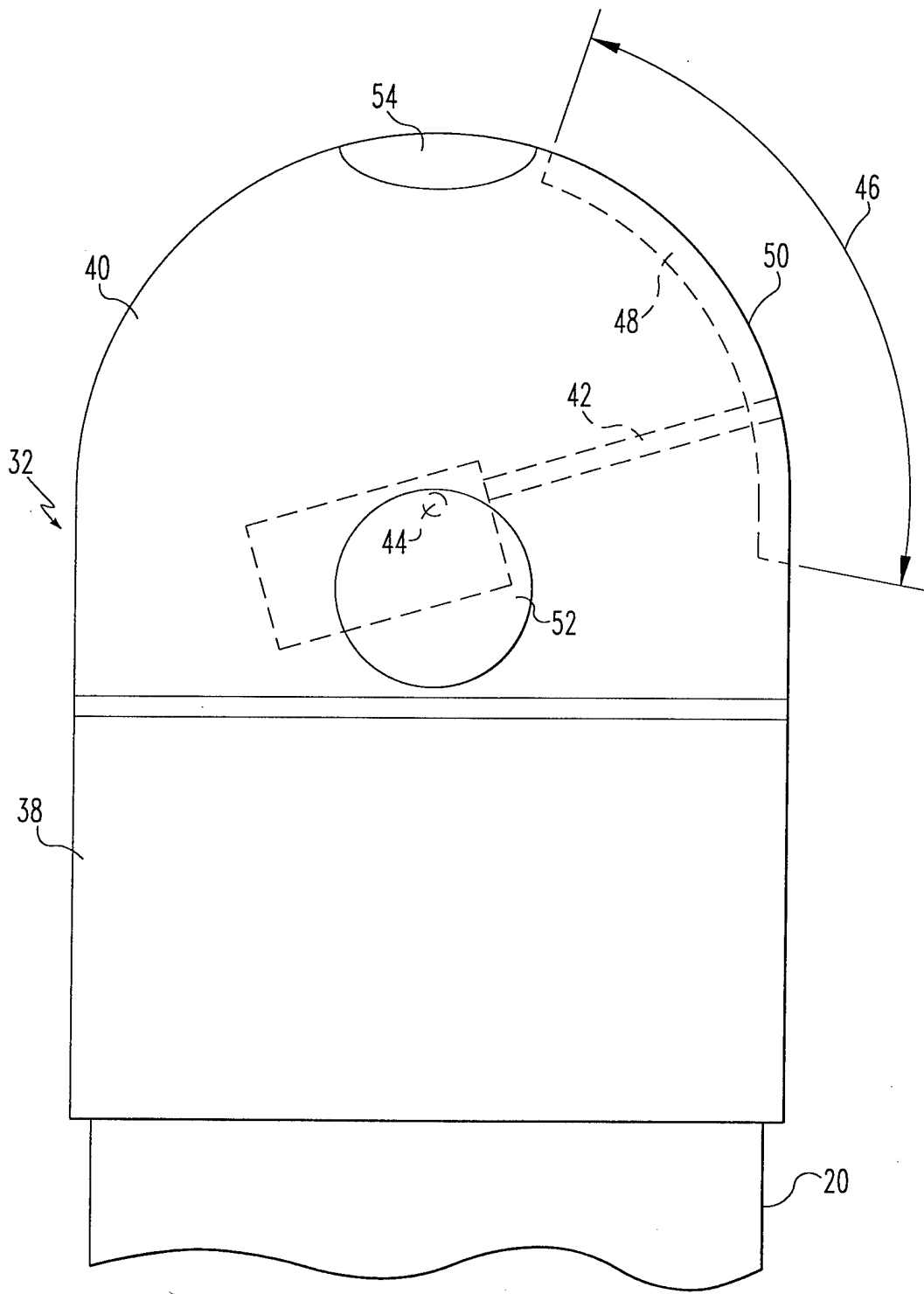


FIG. 2

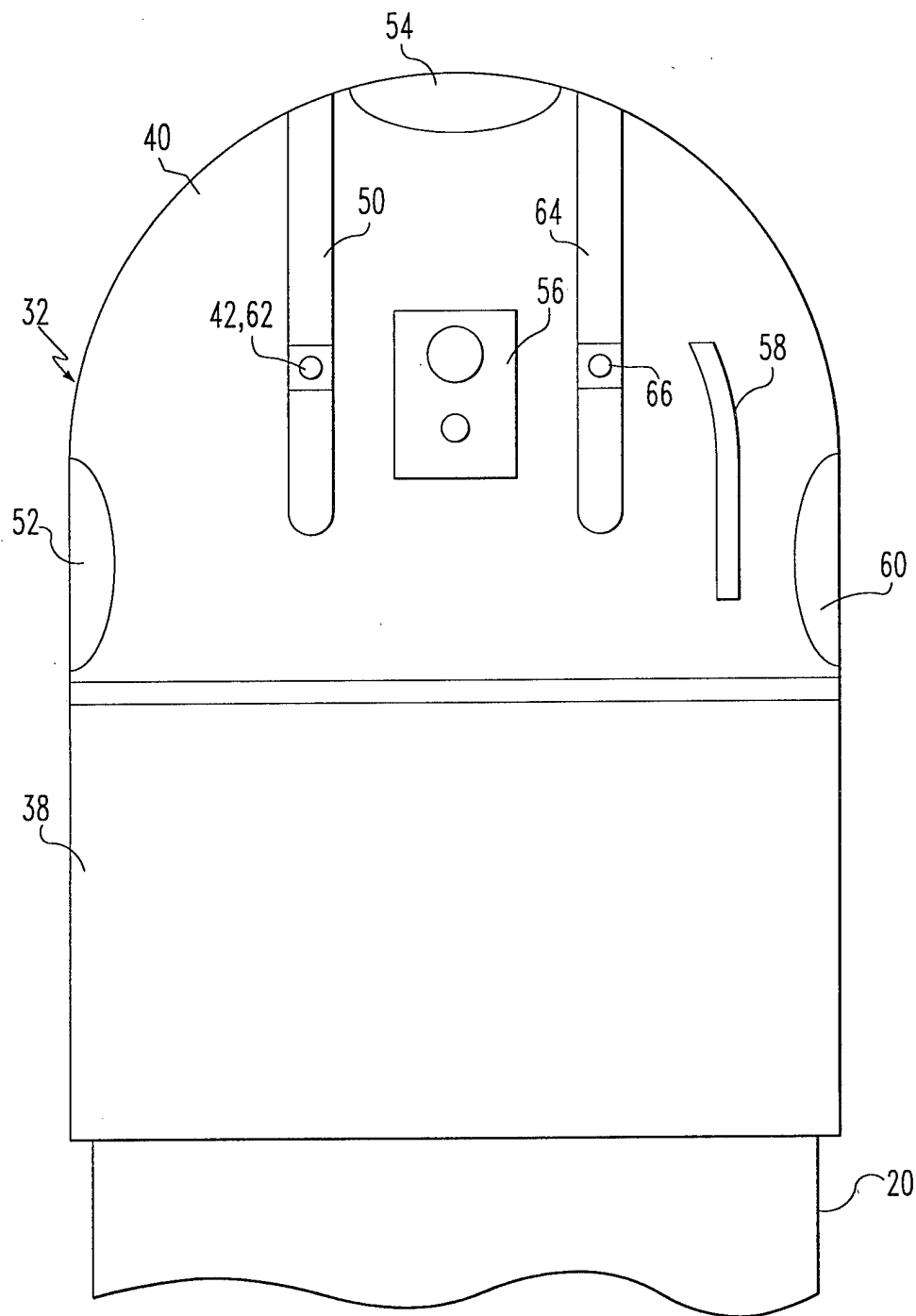


FIG. 3

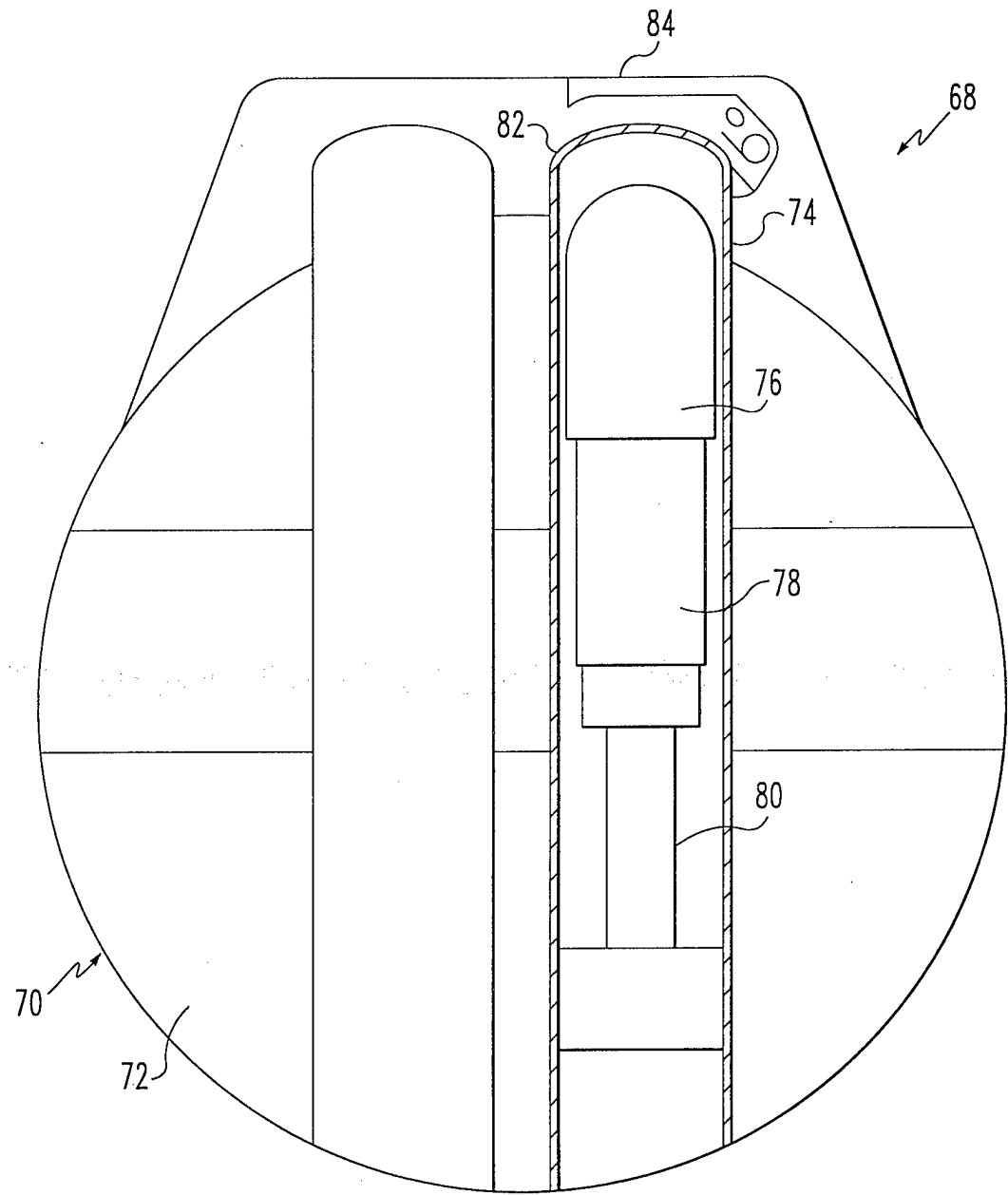


FIG. 4

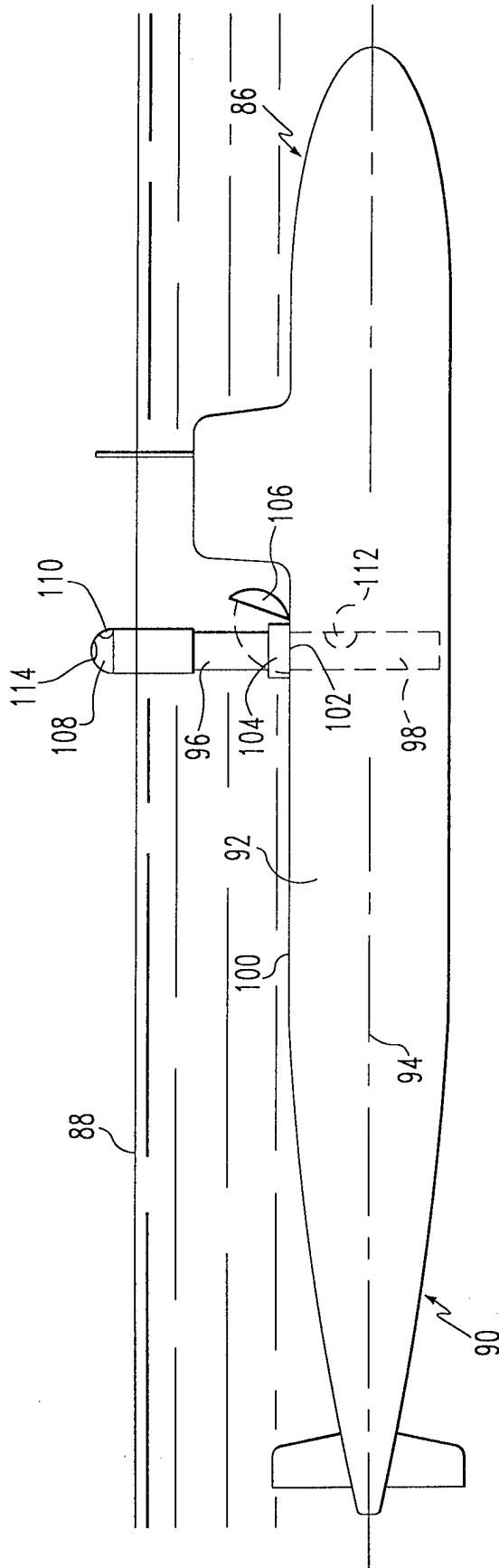


FIG. 5