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1           IR TRANSMITTING RARE EARTH GALLOGERMANATE GLASS-CERAMICS

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BACKGROUND OF INVENTION

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**Field Of Invention:**

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This invention pertains to the field of glass-ceramics.

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**Description Of Prior Art:**

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Infrared (IR) transparent materials used for missile domes and windows must withstand harsh environmental conditions such as high speed flights, high accelerations or decelerations resulting in thermal shock, high temperature, humid conditions, and impact of rain drops, sand and other harsh debris such as pollutants etc. The properties that are critical for a missile dome or a window application are high IR transparency, high thermal shock resistance, excellent chemical durability, high Young's modulus, and fracture toughness which results in a high damage threshold velocity for rain and sand erosion. There are two important regions for IR sensing: the midwave IR window region, which is from 2 to 5 microns, and the longwave IR window region, which is from 8 to 14 microns. Currently, there are three commercially available materials in the midwave IR window region: sapphire, spinel, and zinc sulfide. Other materials developed for applications in this region are magnesium fluoride, Corning's germanate glass 9754, and Naval Research Lab's barium gallogermanate glass and its

1 corresponding glass-ceramics.

2 Aluminosilicate glasses containing rare earths for in vivo  
3 radiation delivery are known. These glasses contain radioactive  
4 isotopes of rare earth ions as the primary glass component and have  
5 excellent chemical durability in the in vivo environment. Glass  
6 formation in other rare earth aluminosilicates, yttrium  
7 gallosilicates, rare earth aluminogermanates, and rare earth  
8 gallogermanates, have been discussed in connection with  
9 applications of the glasses in Faraday rotation because of their  
10 large Verdet coefficients.

11 Glasses containing rare earths are known for dome and window  
12 application in the system of  $\text{La}_2\text{O}_3$ - $\text{Ta}_2\text{O}_5$ - $\text{ZnO}$ - $\text{GeO}_2$ . These glasses have  
13 hardness values of about 550 kg/mm<sup>2</sup> and thermal expansion  
14 coefficient in the range of 6.2-7.4 ppm/K.

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#### SUMMARY OF INVENTION

17 It is an object of this invention to produce an IR transparent  
18 glass-ceramic with improved physical properties.

19 Another object of this invention is a glass-ceramic with  
20 improved fracture toughness, and with improved thermal shock and  
21 erosion resistance, which glass-ceramic can transmit in the  
22 infrared region of about 2-5 microns at a transmission above 80%  
23 for a 0.5 cm thick sample.

1 Another object of this invention is a glass-ceramic that can  
2 be produced at a small fraction of the cost of the commercially  
3 available materials currently used for infrared domes and other  
4 related IR window applications.

5 Another object of this invention is a glass-ceramic that can  
6 be produced cheaply pursuant to conventional glass-forming  
7 procedures and that can be formed into intricate configurations.

8 These and other objects of this invention can be accomplished  
9 by an infrared-transmitting glass-ceramic article prepared by  
10 processing rare earth oxide gallogermanate glass-ceramic  
11 components, also including nucleating agents. The resulting glass-  
12 ceramic product is nearly all crystalline, maintains a high  
13 infrared transparency in the region of 2-5 microns and has improved  
14 thermal and mechanical properties.

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#### DESCRIPTION OF PREFERRED EMBODIMENTS

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This invention pertains to a novel non-silica gallogermanate  
glass-ceramic article containing yttrium oxide, a rare earth oxide  
or a mixture thereof containing sufficient crystallinity to enhance  
mechanical property thereof, typically at least 80% crystallinity.

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The article is a glass-ceramic that is crystallized from a  
rare earth oxide gallogermanate glass and has improved thermal and  
physical properties. The following Table A compares some improved

1 properties of the novel glass-ceramic with the properties of the  
2 base glass and competing materials.

TABLE A

	Sapphire	Spinel	MgF <sub>2</sub>	ZnS	Corning glass (9754)	BGGG Glas s	BGG Glass- Ceramic	REOGG Glass	REOGG Glass- Ceramic
5 Hardness 6 (Kg/mm <sup>2</sup> ) 7	2200	1600	578	250	560	400	560	700	1000
8 Young's 9 Mod. (GPa)	344	193	114	74	84	70	120	120	200
10 Strength 11 (MPa)	400	190	150	100	44	60	130	120	200
12 Fracture 13 Toughness 14 (MPa.m <sup>1/2</sup> )	2.0	1.9	0.9	1.0	0.7	0.7	1.5	0.9	2.0
15 CTE 16 (ppm/K)	5.3	5.6	10.4	7.0	6.2	7.6	5.9	6.5	5.6
17 Damage 18 Threshold 19 Velocity 20 (m/s)	500	400	360	175	200	186	335	236	439
21 Chemical 22 Durability 23 in water 24 (g/cm <sup>2</sup> /min)	<10 <sup>-9</sup>	<10 <sup>-9</sup>	<10 <sup>-9</sup>	<10 <sup>-9</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>	10 <sup>-8</sup>	10 <sup>-9</sup>

1	Ease of	difficult	difficult	easy	difficult	easy	easy	easy	easy	easy
2	Formation									
3	Relative	very high	high	low	high	low	low	low	low	low
4	Cost									

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6  
7 In the above Table A, the term "BGG Glass" refers to the  
8 precursor glass for the "BGG Glass-ceramic" of USP 5,486,495. Also,  
9 the term "REOGG" used in the table is an acronym of "rare earth  
10 oxide gallogermanate" and refers to the glass or the glass-ceramic  
11 of the present invention. Although fracture toughness, coefficient  
12 of thermal expansion (CTE), transmission in the mid infrared region  
13 and the relative manufacturing cost of the rare earth gallogermanate  
14 glass-ceramics is comparable to or better than those of sapphire,  
15 spinel and magnesium fluoride, combination of properties and the  
16 cost of the glass-ceramic disclosed herein makes this glass-ceramic  
17 more attractive for same or similar applications. The damage  
18 threshold velocity test was calculated on the basis of  
19 thermomechanical properties.

20 The rare earth gallogermanate glass-ceramics of this invention  
21 have hardness of 800-1200 kg/mm<sup>2</sup>, typically about 1000 kg/mm<sup>2</sup>;  
22 Young's modulus of 150-250 GPa, typically about 200 GPa; strength of  
23 150-250 MPa, typically about 200 MPa; fracture toughness of 1.5-2.5  
24 MPa.m<sup>1/2</sup>, typically about 2.0 MPa.m<sup>1/2</sup>; thermal expansion of 5-6 ppm/K;

1 better than 80% transmission in the infrared region of 2.0-5.5  
2 microns; chemical durability in water at room temperature of up to  
3  $1 \times 10^{-9}$  g/cm<sup>2</sup>/min; ease of formation of complex shapes; and a very low  
4 relative cost compared to sapphire, spinel, and zinc sulfide.

5       The rare earth gallogermanate glass-ceramics of this invention  
6 can be made by the method that includes the steps of mixing the  
7 glass-ceramic components, such as, oxides, carbonates, halides,  
8 nitrates, sulfates, or mixtures thereof of the constituting cations;  
9 melting the components to form a molten mass; cooling the molten  
10 mass to form a solid glass article; annealing the glass article;  
11 nucleating the solid article by heating it to an elevated  
12 temperature for a period of a few minutes to several hours to  
13 develop nuclei in the article; and crystallizing the nucleated  
14 article by heating it, after nucleation, at an elevated temperature  
15 for a period of at least one half of a minute to grow the  
16 crystallites to an average diameter of less than about 500  
17 nanometers (nm); and cooling to form the glass-ceramic with improved  
18 thermal and physical properties.

19       Controlled nucleation and crystal growth are critical in  
20 determining the properties of the final glass-ceramic product.  
21 Nucleation can be homogenous or heterogenous. In homogenous  
22 nucleation, the nuclei that first grow have the same chemical  
23 composition as the crystals that grow upon them. In heterogeneous

1 nucleation, the nuclei have different chemical composition to the  
2 crystal. Often, it may be necessary to add nucleating agents to  
3 nucleate or increase the nuclei density in the glass. These  
4 nucleating agents can either form crystalline nuclei upon heat  
5 treatment or result in a glass-in-glass phase separation at a very  
6 fine scale. A phase separated glass may have two or more glassy  
7 phases present. In the case of crystalline nuclei, the nucleating  
8 agent can either precipitate individually or can form compounds with  
9 other additives or glass constituents. In the case of glass-in-  
10 glass phase separation the phase separated regions can either  
11 crystallize forming nuclei or enhance the nucleation at the liquid-  
12 liquid interface. One or more nucleating agents can be added to the  
13 glass to produce optimum nuclei density. Nucleating agents are  
14 soluble in the molten glass but their solubility decreases as the  
15 melt is cooled down. These nucleating agents form nucleation sites  
16 for crystallization, by one of the mechanisms discussed above, when  
17 the molten glass is cooled under controlled conditions from the melt  
18 or is reheated from lower temperatures under controlled conditions.  
19 The crystal growth rate can be affected by the dopants or impurities  
20 which change the viscosity or the activation energy for viscous  
21 flow.

22 The glass-ceramic composition includes glass components and  
23 nucleating agents. There can be at least three, preferably at least



1 four glass components although the glass-ceramic article can contain  
2 more components than specified herein.

3 The primary glass components include yttrium oxide ( $Y_2O_3$ ) and/or  
4 a rare earth oxide, germanium oxide ( $GeO_2$ ), and gallium oxide ( $Ga_2O_3$ ).  
5 The secondary glass components may include calcium oxide ( $CaO$ ), zinc  
6 oxide ( $ZnO$ ), alumina ( $Al_2O_3$ ), lead oxide ( $PbO$ ), indium oxide ( $In_2O_3$ ),  
7 and bismuth oxide ( $Bi_2O_3$ ). Amount of a rare earth oxide is 2-30,  
8 preferably 5-20 mole percent; amount of germanium oxide is 25-80,  
9 preferably 35-70 mole percent; and amount of gallium oxide is 5-30,  
10 preferably 10-20 mole percent. These amounts are based on the total  
11 moles of the glass components. The secondary glass components can  
12 be used in conventional amounts.

13 Yttrium oxide, rare earth oxide, or a mixture thereof, in this  
14 glass improves the properties of the resulting glass-ceramics.  
15 Although any suitable rare earth oxide can be used, yttrium oxide,  
16 lanthanum oxide, gadolinium oxide, and mixtures thereof are  
17 particularly suitable.

18 The glass-ceramic composition includes about 0-20, preferably  
19 0-10 weight percent, based on the germanate glass components, of at  
20 least one nucleating agent. If an insufficient amount of nucleating  
21 agent is used, nuclei density and hence the crystal density will be  
22 low, resulting in only marginal or less than optimal improvement in  
23 the properties of the glass-ceramic. If too much nucleating agent

1 is used, glass composition will deviate too far from the original  
2 base glass composition resulting in an inferior product. Typical  
3 nucleating agents include titanium oxide ( $\text{TiO}_2$ ), zirconium oxide  
4 ( $\text{ZrO}_2$ ), bismuth oxide ( $\text{Bi}_2\text{O}_3$ ), phosphorus pentoxide ( $\text{P}_2\text{O}_5$ ), hafnium  
5 oxide ( $\text{HfO}_2$ ), indium oxide ( $\text{In}_2\text{O}_3$ ), arsenic oxide ( $\text{As}_2\text{O}_3$ ), tantalum  
6 oxide ( $\text{Ta}_2\text{O}_5$ ), vanadium oxide ( $\text{V}_2\text{O}_5$ ), chromium oxide ( $\text{Cr}_2\text{O}_3$ ),  
7 molybdenum oxide ( $\text{MoO}_3$ ) and tungsten oxide ( $\text{WO}_3$ ). A combination of  
8 the nucleating agents can constitute a nucleating agent. Preferred  
9 nucleating agents are zirconium oxide and titanium oxide.

10 It should be understood that other components can be included  
11 in making the glass-ceramic article disclosed herein as long as they  
12 do not significantly change the physical properties of the article.

13 Components of the glass-ceramic composition are typically oxide  
14 powders that are mixed sufficiently in a suitable receptacle to  
15 distribute the components. These oxides can be partially or  
16 completely replaced by other salts containing the constituting  
17 cations. Mixing time can be on the order of about one-half hour if  
18 a mixing tumbler is used.

19 After mixing the components, the resulting mixture is  
20 transferred to a vessel that can withstand high glass melting  
21 temperatures. Such a vessel is typically a platinum crucible that  
22 can be preheated before the mixture is transferred thereto. To melt  
23 the glass-ceramic composition disposed in a platinum crucible, a

1 furnace is heated to about 1350-1600°C in air or inert atmosphere,  
2 and the crucible is placed in the furnace for about 1/2-3 hours  
3 until the contents of the crucible melt. Once contents of the  
4 crucible are melted, the crucible is removed from the furnace and  
5 conventional techniques can be used to produce a glass article of  
6 any size or shape. A typical technique of preparing an article  
7 involves pouring the molten glass into a mold, forming the glass  
8 into the desired shape, and cooling to solidify the molten glass.

9 The solidified glass in the form of an article is then annealed  
10 to relieve inherent stresses therein. This can be done by heating  
11 the glass article to about the glass transition temperature ( $T_g$ ) of  
12 the glass and holding it at that temperature for an amount of time  
13 sufficient to substantially relax stresses from the glass, typically  
14 about 10 minutes to 4 hours followed by slow cooling. At this  
15 point, the glass is amorphous and not a glass-ceramic. This  
16 annealing step can be avoided by cooling the melt directly to the  
17 nucleation temperature.

18 To convert the glass from amorphous to essentially all  
19 crystalline, i.e., to convert the glass to glass-ceramic, the glass  
20 is subjected to nucleation followed by crystallization.

21 Nucleation throughout the germanate glass can be accomplished  
22 by heating the germanate glass article to a temperature range of  
23 about 600-900, preferably 700-850°C over a time period of 1-100,

1 preferably 2-10 hours. The glass transition temperature ( $T_g$ ) for the  
2 germanate glass is above about 600°C and for nucleation to occur,  
3 the glass is heated above  $T_g$ . Nucleation can be represented as a  
4 bell-shaped curve with nucleation starting at about 600°C to 800°C  
5 and terminating at about 700°C to 900°C, with the maximum nucleation  
6 rate taking place at the maximum point on the nucleation curve.  
7 Typically, at least about  $10^{11}$ - $10^{12}$  nuclei/cm<sup>3</sup> is desirable to realize  
8 significant property improvements after crystallization in the  
9 germanate glass-ceramic article.

10 In order to generate nuclei, it may be necessary to heat or  
11 cool the glass. Application of an energetic force, generally  
12 facilitates or expedites nucleation. Energetic force can be heat  
13 treatment above  $T_g$  of the glass.

14 Neither nucleation nor phase separation need to commence at  
15 room temperature but can commence at any temperature as long as the  
16 objectives of nucleation or phase separation are achieved.

17 Crystallization or growth of nucleated crystals is typically  
18 carried out by heating nucleated glass to a temperature in the  
19 approximate range of 750-1200°C for a period of about ½ minute to  
20 about 8 hours, preferably about 800-900°C for a period of about 1  
21 minute to about 2 hours. The crystal growth rate can be represented  
22 as a bell-shaped curve with crystallization starting at about 750°C  
23 and terminating at about 1200°C, with the maximum crystal growth

1 rate taking place at the maximum point on the growth rate curve.  
2 Melting of the germanate glass commences at about 1200°C. Although  
3 the crystal growth rate curve is generally at a higher temperature  
4 range than is the nucleation curve, the upper temperature range of  
5 the nucleation curve overlaps the lower temperature range of the  
6 crystallization curve. The overlap means that at the overlapping  
7 temperatures, nucleation and crystallization proceed simultaneously  
8 although at different rates, depending on the location on the  
9 respective curves.

10 The crystals in glass-ceramic are typically different  
11 chemically from the nuclei although they can be the same as the  
12 nuclei. In a glass-ceramic containing yttrium oxide and/or rare  
13 earth oxide, gallium oxide and, germanium oxide, the crystals are  
14 yttrium and/or rare earth, gallium and germanium oxide and their  
15 average size is typically less than about 500 nanometers and larger  
16 than about 20 nanometers. The crystals should not be too large  
17 since the crystal oversize can lead to diminished infrared  
18 transparency of the glass-ceramic due to scattering losses. The  
19 size of the crystals should preferably be less than one tenth of the  
20 wavelength of the transmitted light. Additionally, regardless of  
21 crystal size, improvement in the thermal and physical properties in  
22 the glass-ceramic typically requires that the glass-ceramic has  
23 large volume fraction of crystalline phase(s), approaching 100%.

1 After crystallization, the glass-ceramic is in the solid state and  
2 is cooled slowly to about room temperature.

3 The invention having been generally described, the following  
4 example is given as a particular embodiment of the invention to  
5 demonstrate the practice and advantages thereof. It is understood  
6 that the example is given by way of illustration and is not intended  
7 to limit in any manner the specification or any claim that follows.

8

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Example I

10 This example demonstrates preparation of a glass-ceramic of  
11 this invention from a glass wherein nucleation was achieved without  
12 phase separation.

13 The following batch of the glass-ceramic was prepared, as  
14 summarized in Table B, below:

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Table B

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Base Glass	Mole Percent	Weight Percent	Weight (grams)
Y <sub>2</sub> O <sub>3</sub>	8.33	12.54	3.762
Gd <sub>2</sub> O <sub>3</sub>	8.33	20.13	6.040
Ga <sub>2</sub> O <sub>3</sub>	16.67	20.84	6.251
GeO <sub>2</sub>	66.67	46.49	13.947
Nucleating Agent			



1 erosion resistance was 439 m/s, which was below that for sapphire  
2 but above that of all other window materials in this midwave IR  
3 window region; its thermal expansion over the base glass decreased  
4 from 6.5 to 5.6 ppm/K; its Vicker's hardness over the base glass  
5 increase from 700 to 1000 kg/mm<sup>2</sup>; and its relative cost is much lower  
6 than that of the competing materials.

7 Many modifications and variations of the present invention are  
8 possible in light of the above techniques. It is, therefore, to be  
9 understood that the invention may be practiced otherwise than as  
10 specifically described.  
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Abstract

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A glass-ceramic article or composition which has better thermal and physical properties than the competing materials of zinc sulfide, spinel, or magnesium fluoride comprising 2-30 mole percent yttrium oxide and/or rare earth oxide, 25-80 mole percent germanium oxide, and 5-30 mole percent gallium oxide, based on the total moles of yttrium oxide and/or the rare earth oxide, germanium oxide, and gallium oxide; which article is over 80% by volume crystalline.