

Serial No. 700,746  
Filing Date 30 July 1996  
Inventor Paul E. Moody

NOTICE

The above identified patent application is available for licensing. Requests for information should be addressed to:

OFFICE OF NAVAL RESEARCH  
DEPARTMENT OF THE NAVY  
CODE OCCC3  
ARLINGTON VA 22217-5660

DTIC QUALITY INSPECTED 2

19970115 081

1 Navy Case No. 76303

2  
3 VARIABLE ORIFICE BALL VALVE

4  
5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used  
7 by, or for, the Government of the United States of America for  
8 governmental purposes without the payment of any royalties  
9 thereon or therefor.

10  
11 BACKGROUND OF THE INVENTION

12 (1) Field of the Invention

13 This invention generally relates to a variable orifice ball  
14 valve, and more particularly to a variable orifice ball valve in  
15 which an elastically expansible sleeve member is housed therein,  
16 the elastically expansible sleeve member being internally  
17 deformable by a predetermined type of pressure in order to  
18 selectively constrict a sleeve opening through which fluids pass.

19 (2) Description of the Prior Art

20 Existing flow restrictors are normally fixed in size and  
21 configuration. However, a partially open valve can also act as a  
22 flow restrictor. The problem with using a valve as a flow  
23 restrictor is that the partially open valve introduces a high  
24 resistance coefficient to the piping system which results in  
25 turbulent flow of the fluid passing through the valve. This  
26 turbulent flow can result in unacceptable structural vibrations

1 in the system which results in high system noise. Even for  
2 valves which are fully opened, this can be a problem as a laminar  
3 flow path of a fluid passing through a valve can be easily  
4 disturbed.

5 One valve which minimizes disturbance to the flow path is a  
6 ball valve. A typical prior art ball valve is shown in FIG. 1 of  
7 the drawings. With this typical ball valve, when the ball 108 is  
8 rotated 90°, a flow path 110 is provided which ports fluid  
9 directly through the valve with no turns. The only flow  
10 disturbance created in this type of valve is where a fluid flow  
11 114 passes over a seal area 112 of the valve 100. However, if  
12 this type of valve is used as a flow restrictor, when partially  
13 opened, it introduces sharp edges to the flow path which can  
14 result in a turbulent flow.

15 FIG. 2 reflects a ball valve 200 which was developed to  
16 eliminate the above problem occurring in the ball valve 100 of  
17 the type shown in FIG. 1. The configuration shown in FIG. 2 is  
18 such that the ball 202 has an ever increasing and then decreasing  
19 spiral groove cut 204 into the ball 202. The ball 202 is rotated  
20 in an angle which is not perpendicular to the flow path 206.  
21 When the ball 202 is rotated from its fully closed position, it  
22 first opens a small slot for fluid flow. This small opening  
23 eliminates the water hammer effect which can be present in a  
24 standard ball valve 100 that opens to a connecting pipe line  
25 which has a different system pressure. Additionally, if the

1 valve rotates less than a required full 270° of throw, it will  
2 act as a flow restrictor.

3 The difficulty found with the standard ball valve shown in  
4 FIG. 1 is that it can easily cause a water hammer effect if  
5 opened rapidly, and it introduces sharp edges to the flow path  
6 which can cause cavitation if the valve is only partially opened  
7 when acting as a flow restrictor. The difficulty found with the  
8 variable orifice ball valve shown in FIG. 2 is that it is very  
9 expensive to machine the variable slot into the ball and the  
10 requirement for the 270° of rotation results in the need for a  
11 large and expensive rotary actuator.

12 Known variable orifice ball valves include the following:

13 U.S. Patent No. 4,267,853 to Yamaguchi et al. discloses a  
14 tube of expansible material defining a passage for gas. When  
15 heated, the tube expands to slowly close the passage.

16 U.S. Patent No. 4,271,866 to Bey discloses the reduction of  
17 excessive vibrations and valve noise in a drag ball valve by  
18 regulating the pressure drop therethrough. The fluid flow is  
19 interrupted using fixed discs and rotating discs, each of which  
20 has apertures that are aligned/misaligned to adjust fluid flow.

21 U.S. Patent No. 4,358,085 to Regan et al. discloses a  
22 blowout prevention apparatus having a radially compressible  
23 annular packing mounted within a housing chamber. An outer  
24 tubular packing is pressurized by hydraulic fluid through a  
25 passage to apply a radially constricting force around the annular  
26 package.

1 U.S. Patent No. 5,167,283 to Smith et al. discloses  
2 elastomeric pipe sealing assemblies located inside of a ball  
3 element. Hydraulic fluid pressure is applied annularly via a  
4 passage to element which causes element to seal around the pipe.  
5 The ball element can be rotated 90° if the pipe sealing element  
6 is leaking.

7 U.S. Patent No. 5,207,409 to Riikonen discloses a pinch  
8 valve system with a valve body having a longitudinal dimension  
9 substantially identical to a standard ball valve so as to be  
10 insertable therein. A flexible-walled tubular sleeve is pinched  
11 radially by actuation of valve closing members.

12 U.S. Patent No. 5,211,370 to Powers discloses a variable  
13 orifice sealing valve made from an elastic cylinder constrained  
14 at both ends. One end is twisted with respect to the other end  
15 to reduce the fluid flow path.

16 The above devices are complicated in structure and tend to  
17 be difficult to use and are therefore substantially unacceptable  
18 to provide a low cost ball valve that introduces a wide range of  
19 flow restrictions into a piping system without introducing large  
20 resistance coefficients associated with sharp entrance or exit  
21 coefficients.

#### 22 23 SUMMARY OF THE INVENTION

24 Therefore, it is an object of this invention to provide a  
25 variable orifice ball valve which solves the problems found in  
26 the above prior art.

1           Specifically, it is an object of this invention to provide a  
2 variable orifice ball valve which is low in cost and mechanically  
3 simple in structure.

4           It is another object of this invention to provide a variable  
5 orifice ball valve which preserves laminar flow therethrough and  
6 avoids a water hammer effect.

7           In accordance with one aspect of this invention, there is  
8 provided a variable orifice ball valve with an external housing  
9 having a first section and a second section. A longitudinal  
10 fluid bore is formed through the external housing. A seal is  
11 formed between the first and second housing sections, and a ball  
12 member is rotatably positioned within the external housing. The  
13 ball member includes a first manufactured ball portion and a  
14 second manufactured ball portion engageable with the first ball  
15 portion, and a longitudinal fluid bore formed through the ball  
16 member. A seal is again formed between the first and second ball  
17 portions. An elastically expansible sleeve member is secured at  
18 its end portions to an inner wall of the ball member. The middle  
19 section of the sleeve member is relatively thinner than the end  
20 portions. The elastically expansible sleeve member defines an  
21 expansible chamber between the inner wall of the ball member and  
22 the elastically expansible sleeve member. A valve stem extending  
23 from the ball member and through the external housing includes a  
24 valve stem port formed therethrough. Pressure can be supplied to  
25 the expansible chamber through the valve stem port to generate an

1 infinite number of variable orifice sizes are formed in the fluid  
2 port.

3  
4 BRIEF DESCRIPTION OF THE DRAWINGS

5 The appended claims particularly point out and distinctly  
6 claim the subject matter of this invention. The various objects,  
7 advantages and novel features of this invention will be more  
8 fully apparent from the reading of the following detailed  
9 description in conjunction with the accompanying drawings in  
10 which like reference numerals refer to like parts, and of which:

11 FIG. 1 is a cross-sectional side view of a prior art ball  
12 valve;

13 FIG. 2 is a partially cross-sectional side view of a prior  
14 art ball valve having a spiral groove cut into the ball; and

15 FIG. 3 is a cross-sectional side view of the variable  
16 orifice ball valve of the current invention split with the upper  
17 half showing the elastomer in its distended position and the  
18 lower half showing the elastomer in its relaxed position.

19  
20 DESCRIPTION OF THE PREFERRED EMBODIMENT

21 FIG. 3 illustrates the variable type orifice ball valve  
22 according to a preferred embodiment of the present invention.  
23 As shown particularly in FIG. 3, the variable orifice ball valve  
24 is shown generally at element 10.

25 The structure includes a ball member 8 which controls flow  
26 through the entirety of the ball valve device. The ball member 8

1 is positioned within an external housing which includes a first  
2 valve housing portion 10a and a second valve housing portion 10b,  
3 each valve housing portion including a flanged end 20 and 22,  
4 respectively. These opposing valve housing portions 10a and 10b  
5 are connected together by any suitable means such as bolts 24 fit  
6 within tap holes 26 formed through a flange portion 28 of the  
7 second valve housing 10b and into a mating portion of the first  
8 valve housing 10a. Outer flanged ends 20 and 22 of each of the  
9 first and second valve housings 10a, 10b include through-holes  
10 formed therethrough for connecting the entirety of the variable  
11 orifice ball valve 10 to an appropriate corresponding structure.  
12 Also formed within each of the first and second valve housings  
13 10a and 10b are openings 20a and 22a, the openings 20a and 22a  
14 defining inlets and outlets, not necessarily respectively, of the  
15 variable orifice ball valve 10. The first and second valve  
16 housings 10a, 10b are sealed together in a fluid tight manner  
17 with an elastomeric seal 32 which is formed between coplanar  
18 mating surfaces of the first and second valve housings 10a, 10b.

19 The ball member 8, can be formed in two parts including  
20 portions 8a and 8b as shown. Although any suitable means of  
21 connection may be provided, these ball portions 8a and 8b are  
22 threadably connected together and a fluid tight seal is  
23 maintained therebetween by a ball seal O-ring 46. The inner wall  
24 surface of the ball 8 is formed generally with a fluid through-  
25 hole 8c formed across a diameter thereof. The through-hole is  
26 formed such that an annular recess 8d is formed therein.



1           Specifically, the cylindrical recess 8d formed within the  
2 ball member 8 includes an elastomeric member 34 of a  
3 substantially sleeved or cylindrical shape. The elastomeric  
4 member 34 is thicker at the outer ends 34a and 34b of the sleeve  
5 and thinner at a substantially intermediate portion of the sleeve  
6 as shown in FIG. 3. The outer ends of the sleeve shaped  
7 elastomeric member 34 are secured to the inner wall of the ball  
8 member 8, and specifically within the recessed portion 8d of the  
9 ball member inner surface with a first ring 42 and a second ring  
10 44. These rings 42 and 44 can be made from any rigid material  
11 such as a metallic material. The ring 42 is contained within end  
12 34a of the elastomeric sleeve 34 while the second ring 44 is  
13 contained within an opposing end 34b of the elastomeric sleeve  
14 34. These rings 42, 44 force the opposing ends of the  
15 elastomeric sleeve 34 into surface contact with the inner wall  
16 surface of the recessed portion 8d of the ball 8. In a relaxed  
17 or non-distended position, the elastomeric sleeve member 34  
18 appears as shown at the lower portion of FIG. 3 and defines a  
19 fluid flow path substantially coplanar with the primary fluid  
20 flow path defined by the inner walls 10c of the outer housing  
21 10a, 10b as they correspond to the primary flow path 8c of the  
22 ball member 8.

23           A valve stem actuator 16 is provided for conventionally  
24 rotating the ball 8 from a fully open position to a fully closed  
25 position by a 90° turn of the ball via the valve stem actuator  
26 16. The valve stem actuator 16 is connected to the ball 8 in a

1 conventional manner by a connection as reflected at area 18. In  
2 addition, the valve stem 16 is sealed at the base thereof by an  
3 O-ring 30 as shown. The valve stem 16 includes a port 36  
4 longitudinally formed therethrough, preferably at its center  
5 line. The port 36 leads directly to a chamber 38 defined between  
6 a surface of the elastomeric sleeve member 34 and an inner  
7 surface of the ball member 8 as defined by the recessed portion  
8 8d thereof. When the port 36 and the chamber 38 are not  
9 pressurized, the elastomeric sleeve member 34 is relaxed and  
10 configured as shown in the lower view of the assembly. In this  
11 condition, a fully opened port 40 is available for flow and the  
12 elastomeric sleeve member 34 is generally in a cylindrical  
13 configuration. However, if the chamber 38 is pressurized via the  
14 port 36, then the elastomeric member 34 will distort at its  
15 center to close down the port 40 as shown in the upper section of  
16 FIG. 3. The greater the pressure, the greater the deflection or  
17 distention until the port 40 is completely closed.

18 It should be noted that the center of the elastomeric sleeve  
19 member 34 is collapsible in lieu of the opposing ends due to two  
20 factors. One factor is that the center of the elastomeric sleeve  
21 34 is thinner than opposing ends thereof and will therefore  
22 distort before the thicker section is distorted. In addition,  
23 the ends 34a, 34b of the elastomeric sleeve member 34 are  
24 manufactured with the reinforcing rings 42 and 44 as described,  
25 the reinforcing rings being molded into the ends 34a and 34b of  
26 the elastomeric sleeve member 34. These rings 42 and 44 prevent

1 the ends of the elastomeric sleeve member 34 from collapsing and  
2 ensure that a seal is maintained between the elastomeric sleeve  
3 member 34 and the valve housings 10a and 10b so that pressure in  
4 the chamber 38 is maintained without leakage. By varying the  
5 pressure in the chamber 38, the extent of a flow restriction  
6 through port 40 can be continuously varied.

7 A feature of the assembly with respect to the ball portion  
8 is that the ball member 8 must be manufactured in two sections 8a  
9 and 8b as shown in order to facilitate installation of the  
10 elastomeric sleeve member 34. Once the elastomeric sleeve member  
11 34 is installed within the sections 8a and 8b, the sections are  
12 joined together and their connection is sealed by the elastomeric  
13 seal 46 described above.

14 In addition, in order to secure the positioning of the ball  
15 member 8 within the external housings 10a and 10b, a first seal  
16 12 and a second seal 14 are provided in surface contact with the  
17 external surface of the ball member 8 and the internal surface of  
18 the housing portions 10a and 10b. These seals 12 and 14 are  
19 similar to seals shown in the conventional art shown in FIG. 1 at  
20 114 and 116.

21 An advantage of the present invention is its ability to  
22 introduce a flow restrictor into a piping system which provides a  
23 gradual flow restriction and relief to avoid introducing sharp  
24 edges into the flow field. A unique advantage results in the  
25 ability to provide fully variable flow restrictions. The valve  
26 does not require any more than a 90° turn from fully opened to

1 fully closed positions and, therefore, does not require a custom  
2 actuator. The variable orifice ball valve disclosed has the  
3 capability to gradually open the flow path with any desired  
4 timing if the valve is rotated to the open position and then  
5 pressure used to block the piping flow is systematically  
6 released. Its size is relatively unchanged from a standard ball  
7 valve, and its cost is only marginally increased.

8 It should be understood that the sizes and shapes shown in  
9 the FIG. 3 are modifiable to suit design detail, testing and  
10 evaluation without detracting from the uniqueness of the design  
11 or the features thereof.

12 This invention has been disclosed in terms of certain  
13 embodiments. It will be apparent that many modifications can be  
14 made to the disclosed apparatus without departing from the  
15 invention. Therefore, it is the intent ; to  
16 cover all such variations and modifications as come within the  
17 true spirit of this invention.

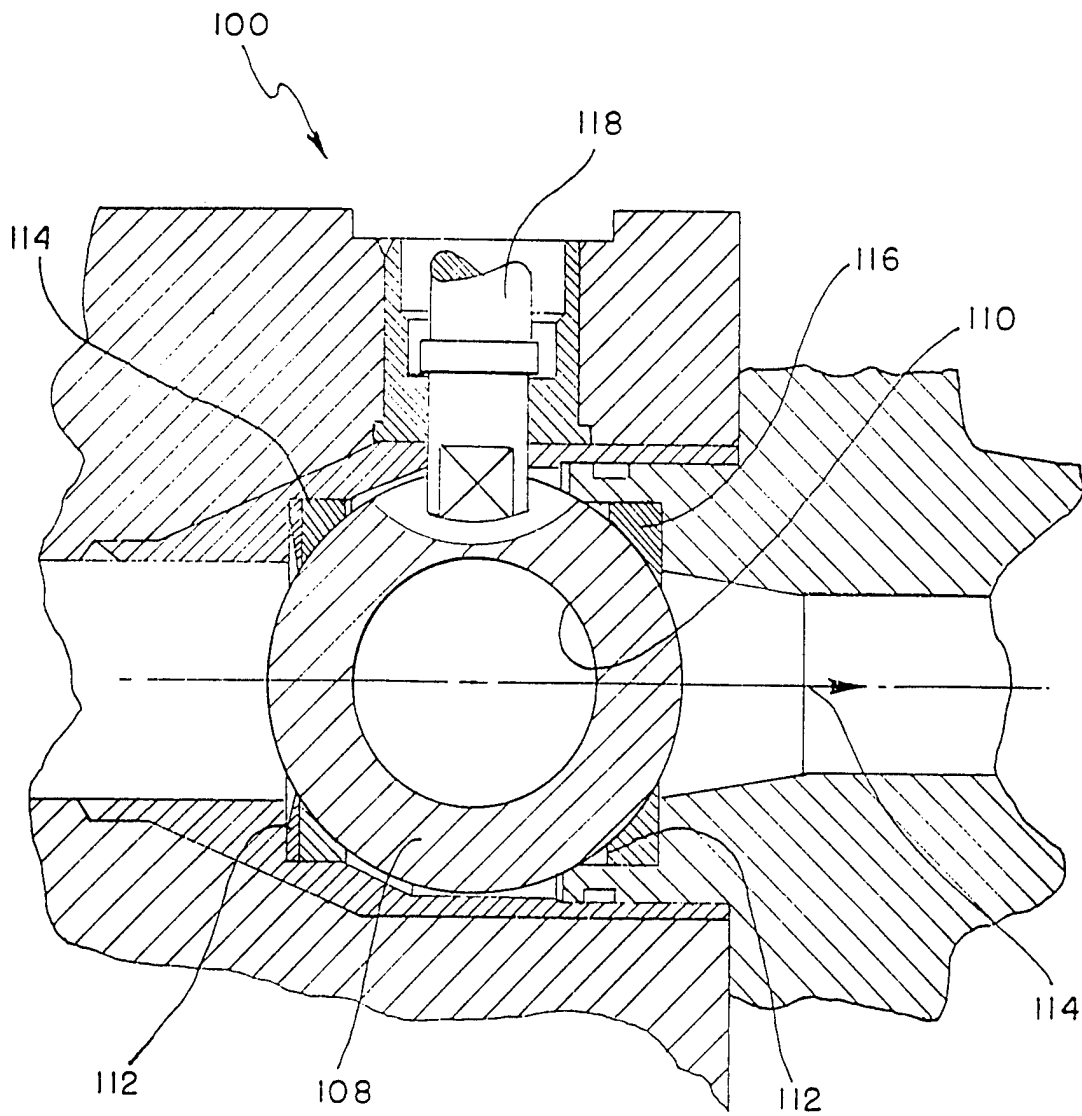
1 Navy Case No. 76303

2  
3 VARIABLE ORIFICE BALL VALVE

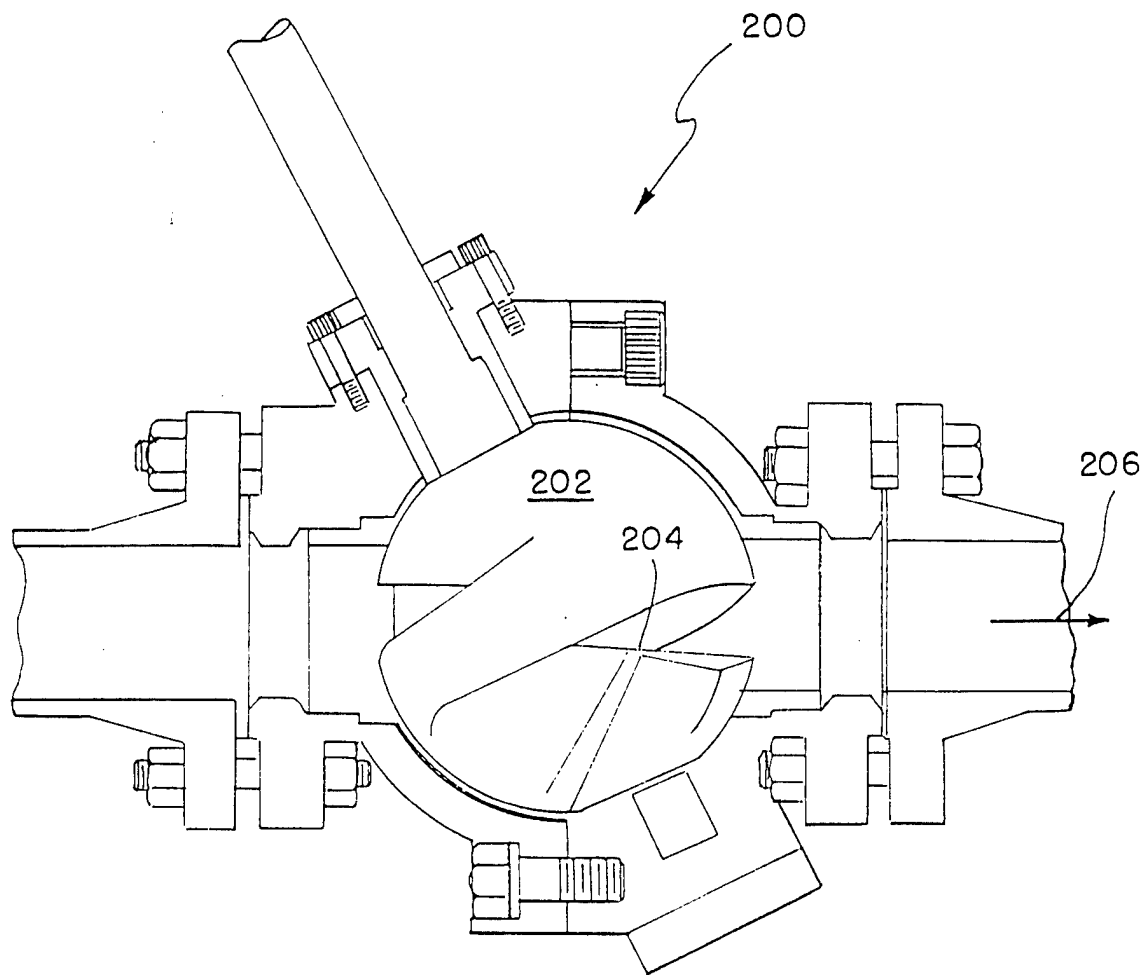
4  
5 ABSTRACT OF THE DISCLOSURE

6 A variable orifice ball valve includes an external housing  
7 with a longitudinal fluid bore formed therethrough. A ball  
8 member is rotatably positioned within the external housing. The  
9 ball member can include first and second ball portions, with the  
10 longitudinal fluid bore formed through the ball member and a  
11 pressure port formed through the ball member. An elastically  
12 expansible sleeve member is secured to an inner wall of the ball  
13 member, the elastically expansible sleeve member including  
14 opposing end portions and a middle section. The end portions are  
15 secured to an inner wall of the ball member, the elastically  
16 expansible sleeve member defining an expansible chamber between  
17 the inner wall of the ball member and the elastically expansible  
18 sleeve member, such that a variable sized fluid port is formed on  
19 the inner surface of the elastically expansible sleeve member for  
20 restricting fluid flow by expanding the sleeve member upon  
21 introduction of a pressurized fluid through the fluid bore and  
22 pressure port.

12



**FIG. 1**  
(PRIOR ART)



**FIG. 2**  
(PRIOR ART)

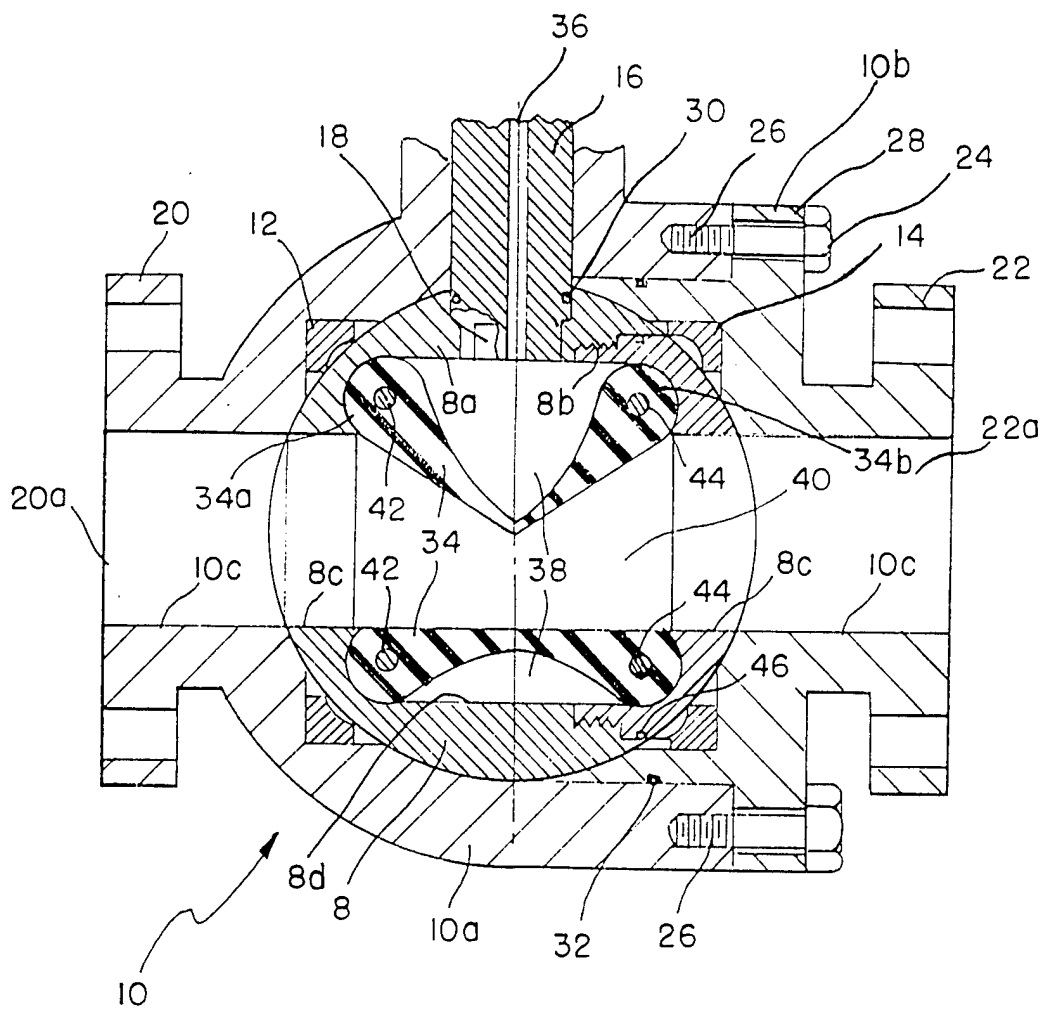


FIG. 3