

# Compact Optical Imager for Real-time, 3-D Range, Intensity and Fluorescence Mapping of the Ocean Floor

Eric Kaltenbacher  
Center For Ocean Technology  
University of South Florida  
140 Seventh Ave. South  
St. Petersburg, FL 33701

Phone: (727) 553-3959 fax: (727) 553-3967 email: [eak@marine.usf.edu](mailto:eak@marine.usf.edu)

James Patten  
Center For Ocean Technology  
University of South Florida  
140 Seventh Ave. South  
St. Petersburg, FL 33701

Phone: (727) 553-3957 fax: (727) 553-3967 email: [jpatten@marine.usf.edu](mailto:jpatten@marine.usf.edu)

David Costello  
College of Marine Science  
University of South Florida  
140 Seventh Ave. South  
St. Petersburg, FL 33701

Phone: (727) 553-3953 fax: (727) 553-3918 email: [dkc@monty.marine.usf.edu](mailto:dkc@monty.marine.usf.edu)

Dr. Kendall Carder  
College of Marine Science  
University of South Florida  
140 Seventh Ave. South  
St. Petersburg, FL 33701

Phone: (727) 553-1148 fax: (727) 553-3918 email: [kcarder@monty.marine.usf.edu](mailto:kcarder@monty.marine.usf.edu)

Grant Number: N000140110279

## LONG-TERM GOALS

Our research is directed toward utilization of state-of-the-art optoelectronic technology for real-time 3-D imaging in aqueous environments. We strive to construct a compact instrument capable of real-time imaging and classification of man-made and natural objects in a wide range of marine and freshwater environments. We expect that this work will have relevance to the in-water investigation and surveillance needs of branches of the U.S. Military, U.S. Intelligence agencies, as well as state and local law-enforcement agencies.

# Report Documentation Page

*Form Approved*  
*OMB No. 0704-0188*

Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

1. REPORT DATE <b>30 SEP 2002</b>		2. REPORT TYPE		3. DATES COVERED <b>00-00-2002 to 00-00-2002</b>	
4. TITLE AND SUBTITLE <b>Compact Optical Imager for Real-time, 3-D Range, Intensity and Fluorescence Mapping of the Ocean Floor</b>				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>Center For Ocean Technology, University of South Florida,,140 Seventh Ave. South,,St. Petersburg,,FL, 33701</b>				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release; distribution unlimited</b>					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT <b>Our research is directed toward utilization of state-of-the-art optoelectronic technology for real-time 3-D imaging in aqueous environments. We strive to construct a compact instrument capable of real-time imaging and classification of man-made and natural objects in a wide range of marine and freshwater environments. We expect that this work will have relevance to the in-water investigation and surveillance needs of branches of the U.S. Military, U.S. Intelligence agencies, as well as state and local law-enforcement agencies.</b>					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE <b>unclassified</b>			

## OBJECTIVES

Our Real-time Ocean Bottom Optical Topographer (ROBOT) prototype demonstrated the feasibility of our technical approach. Current work is focused on the development of an improved version of our proof-of-concept system. The desired operating limits for the sensor include a working altitude of at least 4 to 5 meters while maintaining centimeter-scale image resolution. It is also desired to maintain these parameters in moderately turbid waters (water attenuation of  $0.6 \text{ meter}^{-1}$ ). Overall system size will be reduced to permit use on a wider range of platforms.

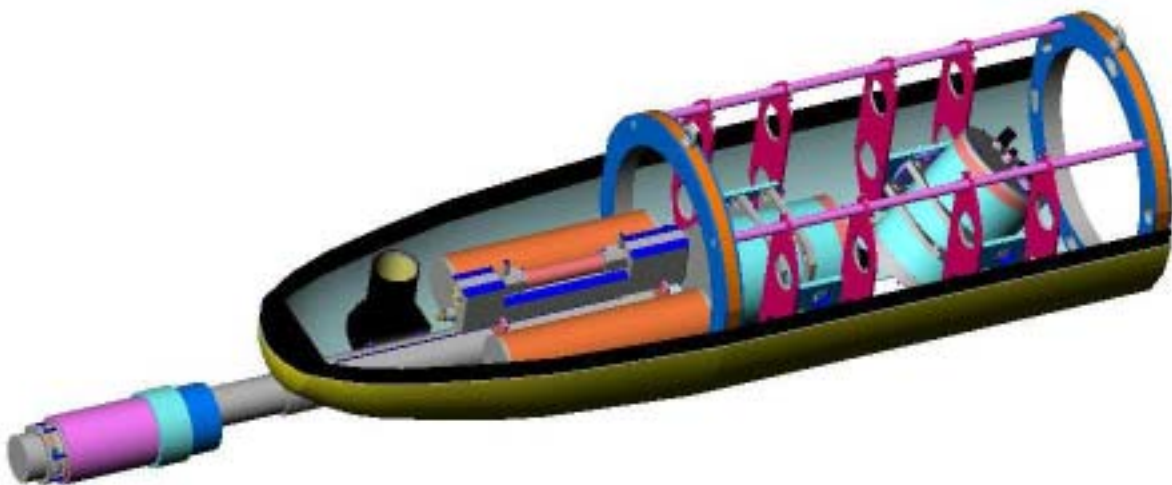
## APPROACH

The specific technical approach in this project is triangulation (Kaltenbacher et al., 2000). A laser is used to project a line of light onto the area of interest. A camera positioned a known distance away, and at a known angle with respect to the laser beam, records images of the laser line. Processing of the images with knowledge of the relationship between the laser and camera permits accurate 3-D mapping of imaged objects. In this work, an autonomous underwater vehicle (AUV) is used to move the sensor package over areas of interest.

Key personnel: E. Kaltenbacher (Lead Engineer), J. Patten (Software Engineer), D. Costello (Ocean Optics Researcher), K. Carder (Lead Scientist) and Center for Ocean Technology (COT) Engineers and Technicians.

## WORK COMPLETED

We have completed the design, construction and initial testing of the ROBOT sensor (Figure 1). The sensor, illustrated in an AUV nosecone, is comprised of a laser (pink), batteries (orange), camera and electronics (blue). Also included is an attenuation meter shown between the two batteries. Design of the instrument was completed in early June and construction was complete at the beginning of August. In late August and early September we deployed the sensor in our flume tank, Tampa Bay and the Gulf of Mexico with COT's ROVEX drive section. These deployments were used to test the initial operation of the sensor and work out any operational glitches.



*Figure 1: A model of the ROBOT sensor.*

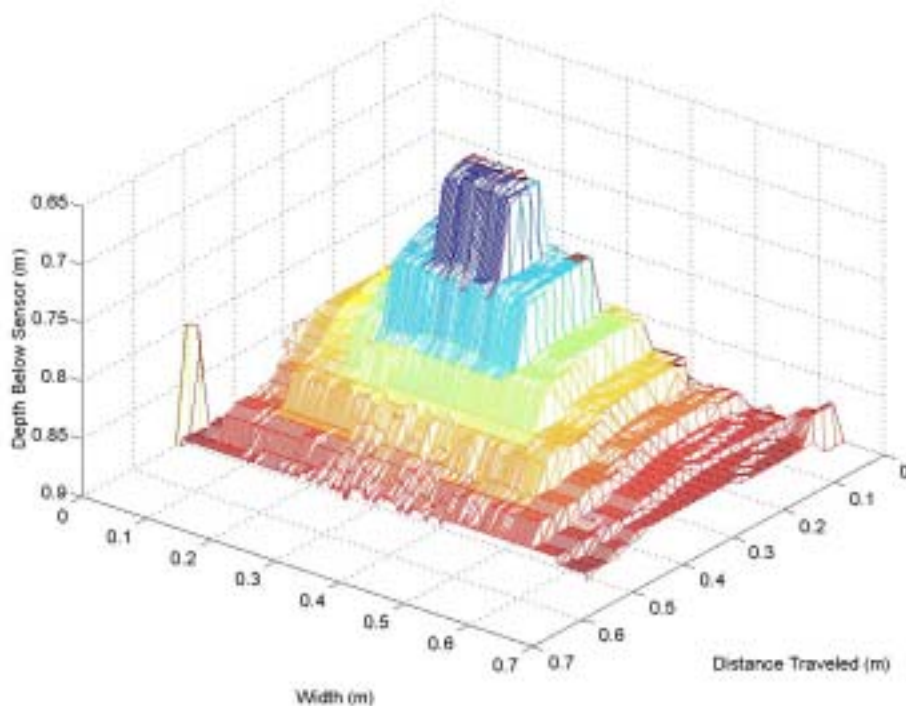
*[The model of ROBOT shows the laser (pink), batteries (orange), control-electronics housing (blue) and camera housing (blue) assembled into a AUV nosecone.]*

We are in the process of publishing three works documenting studies of the range of conditions suitable for operating our ROBOT sensor. We have investigated the effects of natural illumination on image contrast using artificial illumination (Reinersman et al., in-press) and the use of unmanned underwater vehicles to determine spatial distributions of apparent optical properties of water (English et al. in-press). We have also examined feature classification using 2-D and 3-D moment invariants (Hou et al., in-press).

Our focus over the remainder of the funding period will be to continue deployments of the sensor under a wide variety of conditions. Data gathered from these missions and optical models will be used to refine image-processing algorithms. We are developing more sophisticated algorithms that can process the line images under the varied conditions (turbidity, reflectance) encountered in the ocean. We are also working towards removing vehicle effects (roll, pitch, velocity) from our images. Fluorescence imaging might extend the sensor's operation in turbid waters and we will investigate using ROBOT's laser as an excitation source in the turbid waters of Bayboro Harbor.

## RESULTS

Since we have only recently begun testing of the instrument our results illustrate initial characterization of the sensor's operation. Figure 2 shows a ROBOT image of a pyramid-like object image during flume-tank testing. Starting from the bottom of the pyramid the step dimensions are 6, 13, 19, 25, 38 and 50 mm. From this testing we learned that in clean water sensor can provide dimensionally accurate images with a resolution of at least 6 mm.



**Figure 2: A ROBOT image of a stepped pyramid-shaped test target.**  
*[This image shows a ROBOT image of a stepped test target designed to characterize the vertical resolution of the sensor. Starting with the bottom, the step sizes are 6, 13, 18, 25, 38 and 50 mm.]*

Figure 3 is an image (before processing) of the laser line illuminating a test target placed in Tampa Bay. The target is a cone roughly 0.3 meters high and 0.5 meters in diameter. The range from the sensor to the target was approximately 1.5 meters and the attenuation coefficient ( $c$ ) was  $2.0 \text{ meter}^{-1}$ . Calculations predict less than 0.1% of the laser light will reach the camera in this configuration. Under these imaging conditions, forward scattering from the highly turbid water broadens the laser line to make precise dimensional imaging more difficult. However, basic shapes can be readily identified revealing the need for further investigation. Furthermore, for most applications it is expected that water conditions will be far better than these encountered in Tampa Bay.



***Figure 3: An unprocessed ROBOT image of a test target.***

***[This image shows the laser line illuminating a test target in the turbid waters of Tampa Bay. Although the line has been broadened due to the large amount of particulate in the water, the shape of the object is clearly revealed.]***

Accurate navigation is a crucial element of successful imaging of identified stationary targets or of targets that may be moving, such as a ship's hull. During our deployment in the Gulf of Mexico, we successfully demonstrated the ability to identify a target, remotely navigate the AUV (with ROBOT) over the stationary target, and capture images of it. Acoustic tracking formed the heart of the target-tracking system, which had an accuracy of about 1 meter. This system also accounted for the motion of the research vessel, from which we controlled the sensor, to maintain a positive lock on the target's position. GPS data were also used to geodetically locate the target. Sensor and navigational control were established through an RF link to the AUV through distances approaching 0.5 km. In future work scanning ship's hulls, we will use GPS receivers tied to at least two locations on the ship to maintain sensor orientation/propulsion with respect to the ship for proper scanning.

## **IMPACT/APPLICATIONS**

The ROBOT instrument presented in this work can be used to accurately provide 3-D images in a variety of conditions. Applications of this sensor include object detection (e.g. mines, coral), contour mapping (e.g. sand waves), crash site investigations, and port security (hull inspection, sea wall mapping). ROBOT data can also be used in shallow coastal waters to ground-truth remote sensing data (Carder et al. in-press). Our instrument is simple, portable, relatively inexpensive and suitable for use on a wide variety of platforms.

## **TRANSITIONS**

The technology development in this work can be extended to analysis of terrestrial areas considered too dangerous for human investigation. This instrument can analyze debris and other aspects of crime scenes or other hazardous areas. Local law enforcement agencies have expressed interest in utilizing ROBOT for in-water forensics. ROBOT forms the basis for a project with the U.S. Coast Guard utilizing ROBOT for port security by scanning ships' hulls and seawalls.

## **RELATED PROJECTS**

Development efforts on navigation and AUV control were funded under ONR #N00014-02-1-0267 "Autonomous Ship Detection System". Studies in modeling and measuring seawater optical properties were funded by ONR #N000140-02-1-0211 "Optical Variability and Bottom Classification in Turbid Waters: Phase II".

## **REFERENCES**

Carder, K. L., D. K. Costello, H. Warrior, L. C. Langebrake, W. Hou, J. T. Patten, and E. Kaltenbacher. 2001. Ocean-Science Mission Needs: Real-Time AUV Data for Command, Control, and Model Inputs. *IEEE Jour. of Ocean. Eng.* 26(4):742-751

Carder, K.L., and D.K. Costello, "Optical Effects of Large Particles", In *Ocean Optics*, R.W. Spinrad, K.L. Carder and M.J. Perry, Oxford University Press, New York, N.Y., pp. 243-257, 1994.

Carder, K.L., D.K. Costello, and Z.P. Lee, 2000. The Use of Unmanned Underwater Vehicles to Acquire Environmental Data in Support of Mine-Counter-Measure Operations. Fourth International Symposium on Technology and the Mine Problem, NPGS, Monterey.

Costello, D.K., W. Hou, and K.L. Carder, "Some Effects Of The Sensitivity Threshold And Spatial Resolution Of A Particle Imaging System On The Shape Of The Measured Particle Size Distribution", *SPIE Proceedings Ocean Optics XII*, 1994.

Fournier, G.R., D. Bonnier, J.L. Forand and P.W. Pace, "Range-Gated Underwater Laser Imaging System," *Optical Engineering*, Vol. 32, No. 9, pp. 2185-2190, September 1993.

Hou, Weilin, "Characteristics Of Large Particles And Their Effects On The Submarine Light Field", University of South Florida Dissertation, August 1997.

Kaltenbacher, E., J.T. Patten, D. English, D.K. Costello, K.L. Carder, “Development Of A Compact, Real-Time, Optical System For 3-D Mapping Of The Ocean Floor”, Proceedings Of Oceanology International, May 2000.

Lee, Z., K.L. Carder, C.D. Mobley, R.G. Steward and J.S. Patch, “Hyperspectral Remote Sensing For Shallow Waters. 1. A Semianalytical Model”, Applied Optics, Vol. 37, No. 27, pp. 6329-6338, September 1998.

Lee, Z., K.L. Carder, C.D. Mobley, R.G. Steward and J.S. Patch, “Hyperspectral Remote Sensing For Shallow Waters. 2. Deriving Bottom Depths And Water Properties By Optimization.”, Applied Optics, Vol. 38, No. 18, pp. 3831-3843, June 1999.

Sitter Jr., D.N., and Gelbart, A., “Laser-Induced Fluorescence Imaging Of The Ocean Bottom”, *Optical Engineering* Vol. 40, No. 8, pp. 1545-1553, 2001.

## **PUBLICATIONS**

Carder, K. L., Cheng-Chien Liu, Zhongping Lee, David C. English, James Patten, F. Robert Chen, James E. Ivey, and Curtiss O. Davis, “Illumination And Turbidity Effects On Observing Faceted Bottom Elements With Uniform Lambertian Albedos” *Limnology and Oceanography*, (*in-press*).

English, D.C., K.L. Carder, W. Hou, and D.K. Costello, “Use of Unmanned Underwater Vehicles to Determine the Spatial Distribution of Reflectance and Optical Properties”, *Poster in Ocean Optics XVI*, Nov 18-22, 2002.

Hou, W., K.L. Carder, D.K. Costello, and D.C. English, “Coastal Bottom Feature Classification Using 2-D and 3-D Moment Invariants”, *Poster in Ocean Optics XVI*, Nov 18-22, 2002.

Reinersman, P.N. and K.L. Carder, “A Modular, Hybrid Method for Solving the Radiative Transfer Equation With Arbitrary Geometry in 1, 2, or 3 Dimensions”, *Proceedings Ocean Optics XVI, Nov 18-22, 2002 (in press)*