

# Family Resilience in the Military

## **Definitions, Models, and Policies**

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## **Key findings**

- Definitions of family resilience vary among the services;
   there is no officially recognized DoD-wide definition.
- As of early 2015, DoD had 26 policies related to family resilience.
- To facilitate a comprehensive view of family resilience programming across DoD, a well-defined, wellarticulated definition of a family-resilience program is necessary.
- The most common family resilience factors—that is, the
  resources that families use to cope with stress—can be
  grouped into five domains: family belief system, family
  organization patterns, family support system, family
  communication/problem-sharing, and the physical and
  psychological health of individual family members

he military conflicts of the past decade have increased stress and strain on service members and their families. Frequent deployments, separations, and relocations are hallmarks of military life and can greatly affect military families. The past decade has also seen increased rates of traumatic brain injury, depression, posttraumatic stress disorder, and suicide among service members.

Many families have been able to cope with and overcome these difficulties, but others have needed additional support to recover from the stresses associated with military life. For example, studies on the effects of deployment on military spouses have reported that wives of the deployed have higher rates of depression, anxiety disorders, sleep disorders, acute stress reaction, and adjustment disorders than those of non-deployed service members (de Burgh et al., 2011). Deployment and military stressors also affect children: Children of deployed parents are more likely to exhibit anxiety, depression, aggression, attention deficits, and behavioral problems than others, and they are more likely to suffer neglect or maltreatment (Aranda et al., 2011; Chartrand et al., 2008; Lester et al., 2010; Lincoln and Sweeten, 2011; Chandra et al., 2010; Chandra et al., 2011; Gibbs et al., 2008).

Despite its recent emphasis on family resilience and the demand for deployment of soldiers, the U.S. Department of Defense (DoD) does not have a standard and universally accepted definition of family resilience. The Defense Centers of Excellence for Psychological Health and Traumatic Brain Injury (DCoE) therefore requested that the RAND Corporation review studies on family resilience, summarize the literature, and develop a definition that could be used DoD-wide. RAND also reviewed DoD policies and programs related to family resilience, reviewed models that describe family resilience, and developed several recommendations for how family-resilience programs and policies could be managed across DoD.

Report	<b>Documentation Page</b>			Form Approved 1B No. 0704-0188
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1. REPORT DATE 2015	2. REPORT TYPE		3. DATES COVE 00-00-2015	TRED 5 to 00-00-2015
4. TITLE AND SUBTITLE			5a. CONTRACT	NUMBER
Family Resilience in the Mili	tary: Definitions, Models, and	l Policies	5b. GRANT NUN	MBER
			5c. PROGRAM E	ELEMENT NUMBER
6. AUTHOR(S)			5d. PROJECT NU	JMBER
			5e. TASK NUME	BER
		•	5f. WORK UNIT	NUMBER
7. PERFORMING ORGANIZATION NAM RAND Corporation,National Street, P.O. Box 2138,Santa	Defense Research Institute,1	776 Main	8. PERFORMING REPORT NUMB	G ORGANIZATION ER
9. SPONSORING/MONITORING AGENC	Y NAME(S) AND ADDRESS(ES)		10. SPONSOR/M	ONITOR'S ACRONYM(S)
			11. SPONSOR/M NUMBER(S)	ONITOR'S REPORT
12. DISTRIBUTION/AVAILABILITY STA Approved for public release;				
13. SUPPLEMENTARY NOTES				
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b. ABSTRACT

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unclassified

## **DEFINING FAMILY RESILIENCE**

To identify current definitions of resilience, we reviewed current DoD definitions across the services, gray literature from the Defense Technical Information Center's online database of technical reports and policies, and nearly 4,000 citations in the PubMed, PsycINFO, and Social Science Abstracts databases from the past 25 years. We focused on literature that debated the resilience of individuals in the context of their families and, more generally, the resilience of families as a whole. We found that the majority of research has focused on individual resilience versus family; however, many of its themes and definitions have influenced those of family resilience.

## **Individual Resilience**

Individual *resilience* is generally defined as the ability to "bounce back" after experiencing stress (Wald et al., 2006; Meredith et al., 2011). Such definitions assume that stress negatively affects the well-being of individuals, and that individuals counteract or withstand stress through *coping*. Coping includes the use of such resources as individual attributes, characteristics, qualities, and the individual's environment to overcome anxious feelings that are attached to stress.

Research on resilience uses several related terms. *Hardiness* generally refers to personality characteristics that effectively assist individuals in handling anxiety and strain to prevent negative outcomes (Kobasa, 1979; Kobasa and Maddi, 1977; Bartone et al., 1989). *Sense of coherence* focuses on how individuals perceive and respond to certain events in their lives and is defined by comprehensibility (how individuals interpret these events), manageability (the degree to which individuals believe they can address these events), and meaningfulness (how individuals attach meaning or importance to these events) (Antonovsky, 1993; Antonovsky and Sagy, 1986). *Flourishing*, also interchangeable with *thriving* (Carver, 1998; O'Leary and Ickovics, 1995) or *posttraumatic growth* (Tedeschi and Calhoun, 2004), refers to individuals functioning at higher levels and

experiencing greater well-being (Keyes, 2002), though this is typically in the context of *trauma* rather than stress.

Another often-used term in the military is *readiness*. Department of Defense Instruction 1342.22 (2012) defines personal and family readiness as "the state of being prepared to effectively navigate the challenges of daily living experienced in the unique context of military service." Ready families are knowledgeable about the challenges they will face with deployment, equipped with the skills to function in the face of such challenges, and aware of the resources available to them—although DoD does not specify which skills and/or resources support family readiness.

While readiness and resilience are seldom incorporated or even interchangeable, we do not view the two as synonymous. Readiness is a state and/or condition that focuses on the resources individuals have before experiencing stress, whereas resilience is a process that focuses on the outcome of experiencing stress.

DCoE (2011) and the Institute of Medicine (2013), adopting a definition put forth by the Joint Chiefs of Staff (Chairman of the Joint Chiefs of Staff Instruction 3405.01, 2011), have defined resilience as "the ability to withstand, recover, and grow in the face of stressors and changing demands." This framing of resilience as an ability suggests that resilience is not a stable, unchangeable quantity. By emphasizing an ability to withstand stress, this definition incorporates elements of hardiness within resilience, while also noting that resilience enables growth. Finally, this definition includes changing demands, suggesting that resilience is a process that occurs over time.

The Air Force has adopted the DCoE and IOM definition of individual resilience, but the other services have their own (Table 1). The Army definition contains elements of the above definition but includes different types of stress. The Navy's and the Marine Corps' definition emphasizes some concepts used in the Army definition, including different types of stressors, but also includes a focus on preparing for stressors, suggesting that readiness is a part of resilience.

The majority of research has focused on individual resilience versus family; however, many of its themes and definitions have influenced those of family resilience.

Table 1. Department of Defense and Institute of Medicine Definitions of Resilience

Service	<b>Definition of Resilience</b>	Source
Air Force	Resilience is the ability to withstand, recover, and/or grow in the face of stressors and changing demands.a	Draft Air Force Pamphlet
	Family Resilience: A sense of community among families along with an awareness of community resources, feeling prepared/supported during all stages of deployment, and an increased sense of unit, family, and child/youth support.	Jones, 2011
	Spouse Resilience: The extent to which spouses experience a meaningful connection to the Air Force, know and use their individual and community resources, and meet the challenges of military life.	Air Force Family Resiliency Working Group, July 26, 2010
Army	Resilience is a key factor in the mental, emotional, and behavioral ability to cope with and recover from the experience, achieve positive outcomes, adapt to change, and grow from the experience.a	Department of the Army, 2010
Navy and Marine Corpsb	The process of preparing for, recovering from, and adjusting to life in the face of stress, adversity, trauma, or tragedy.a	Marine Corps Reference Publication (MCRP) 6-11C/ Navy Tactics, Techniques, and Procedures (NTTP) 1-15M, 2010
Office of the Secretary of Defense (Military Community and Family Policy)	There is no DoD-recognized official operational definition of family resilience.	Not applicable
DCoE	Resilience is the ability to withstand, recover, and/or grow in the face of stressors and changing demands.a	Chairman of the Joint Chiefs of Staff Instruction (CJCSI) 3405.01
Institute of Medicine <sup>c</sup>	The ability to withstand, recover, and grow in the face of stressors and changing demands.	IOM (2013); CJCSI 3405.01

NOTE: Definitions accurate as of February 3, 2015.

## **Family Resilience**

The definitions of individual resilience have a number of themes. These include a process, successfully overcoming adversity or obstacles, being strengthened by an experience, and having resources and utilizing these resources effectively. Discussions of family resilience also emphasize the collectivity of the family. While individuals may have the resources for resilience, the whole family must benefit from the use of those resources for the family, as a whole, to be resilient.

To classify a definition of family resilience, we searched several academic databases, identifying 3,994 citations discussing topics such as "resilience," "mental health," and "family."

After excluding tangentially related works (e.g., those focusing on physical rather than psychological resilience), the research team reviewed 172 peer-reviewed journals, articles, and books for definitions of family resilience. Of these, 29 presented at least one definition with original content (i.e., a new definition or one building on a prior definition). See Table A.1 on page 11 for a complete list of definitions and source material.

Though there is a plethora of existing definitions of family resilience, we recommend adopting one that covers the key themes above. We believe that Simon, Murphy, and Smith (2005) best define family resilience when they write:

a Applies to both active and reserve components.

b A second, similar definition of resilience also appears earlier in the document (pp. 1–2): "The ability to withstand adversity without becoming significantly affected, as well as the ability to recover quickly and fully from whatever stress-induced distress or impairment has occurred."

<sup>&</sup>lt;sup>C</sup> Report produced for the Department of Homeland Security.

Family resilience can be defined as the ability of a family to respond positively to an adverse situation and emerge from the situation feeling strengthened, more resourceful, and more confident than its prior state.

This definition focuses on the family as a unit gaining either resources (i.e., acquiring new resources) or competencies (i.e., successfully using existing resources) to "bounce back" from stressors. This bounce may mean that the family functions even better after the stress than before, although this is not a prerequisite for resilience to have occurred. For example, a family that loses its home may develop more coping skills to effectively find new housing (i.e., acquire new resources) or may hone present skills to find a new place to live (e.g., using existing resources). This can make evaluation of program effectiveness especially difficult as it means that more traditional formulations of how to measure "resilience" (e.g., outcomebased functioning) may not actually capture post-event growth as it pertains to an improved ability to use coping resources or resourcefulness. Growth in this definition thus refers to a family's successful use of new or preexisting family-resilience skills. This definition also considers adverse situations or stressors as either episodic (i.e., a bounded event) or chronic. For example, a family member's deployment could be considered an adverse situation that is both a single stressful event and an enduring state of strain.

## **Family Resilience Policies**

The rapid emergence of resilience as an area of policy relevance for DoD has led to two groups of policies: (1) policies about programs that originally had different purposes, such as youth programs, which are then modified to address resilience or family readiness, and (2) new policies that establish or address programs that specifically target family resilience.

Altogether, we found about two dozen DoD policies related to family resilience, distributed across the department and the services (Table 2). Eight of the 26 policies were at the DoD or Joint Chief of Staff level, three were Army, five were Air Force, six were Navy, two were shared by the Navy and Marine Corps, one was Marine Corps and one was National Guard. The origin of the documents varied, with 18 from service and support agencies (such as family, youth, and community services), five from medical portions of the military, one from special management, one from training, and one from operations. Of these, only one, the Chairman's Total Force Fitness Framework (CJCSI 13405.01), seeks to unify how different

The military's efforts to promote resilience are still developing, with little formalization, standardization, or evaluation.

key agencies (e.g., chaplains, medical services, and community agencies) influence resilience, but this policy is not binding or regulatory. We are aware of several policies currently being developed, including the "Comprehensive Soldier and Family Fitness" for the Army and "Comprehensive Airmen Fitness" for the Air Force (release dates are not known).

In general, existing policies do not define resilience or factors that may contribute to or sustain it. Nor do they define desired outcomes of resilience beyond vague and unmeasurable terms. When more specific language is used, it does not link or relate to other policies, meaning that policies act in isolation, rather than being complementary. Family resilience policies often incorporate other related constructs, such as readiness. In addition, some family resilience policies focus on related subject areas, such as mental health.

DoD policies seeking to foster resilience have evolved over time, albeit at various rates across the services. Programs and interventions developed as a result of these polices have had varying degrees of evaluation for effectiveness. Altogether, the military's efforts to promote resilience are still developing, with little formalization, standardization, or evaluation. There is a notable lack of detailed and rigorous methods to implement, validate, and assess resilience programs and policies.

## MODELS OF FAMILY RESILIENCE

Given that military efforts to address family resilience have not yet fully developed, what models might DoD pursue to assess and promote resilience? What outcomes might DoD expect from its efforts? To answer these questions, we identified the most prominent models of family resilience in the academic literature, all of which have been applied to civilian popula-

Table 2. Department of Defense Policies Related to Family Resilience

Policy Document Number	Policy Document Title	Date
Department of Defense		
DoD Instruction (DoDI) 1342.22	Military Family Readiness	July 3, 2012
DoDI 6400.05	New Parent Support Program	June 13, 2012
DoDI 6490.09	DoD Directors of Psychological Health	February 27, 2012
CJCSI 3405.01	Charman's Total Force Fitness Framework	September 1, 2011
DoDI 1342.28	DoD Yellow Ribbon Reintegration Program	March 30, 2011
DoDI 6490.06	Counseling Services for DoD Military, Guard and Reserve, Certain Affiliated Personnel, and Their Family Members	April 21, 2009 (revised July 21, 2011)
DoDI 6060.4	DoD Youth Programs	August 23, 2004
DoD Directive 6400.1	Family Advocacy Program	August 23, 2004
Air Force		
Air Force Instruction (AFI) 90-505	Suicide Prevention Program	August 10, 2012
AFI 44-172	Mental Health	March 14, 2011
AFI 40-101	Health Promotion	December 17, 2009
AFI 36-3009	Airmen and Family Readiness Centers	January 18, 2008
Army and National Guard		
Army Regulation (AR) 608-18	Family Advocacy Program	October 30, 2007
AR 608-48	Army Family Team Building	March 28, 2005
Department of the Army Pamphlet 350-21	Family Fitness Handbook	November 1, 1984
National Guard Regulation 600-63	Army National Guard Health Promotion Program	July 1, 1997
Navy and Marine Corps		
Marine Corps Order 1754.9A	Unit, Personal and Family Readiness Program	February 9, 2012
Chief of Naval Operations Instruction (OPNAVINST) 1738.1	Chaplains Religious Enrichment Development Operation	December 19, 2011
Opnavinst 1750.1G	Navy Family Ombudsman Program	September 21, 2011
NTTP 1-15M and MCRP 6-11C	Combat and Operational Stress Control	December 20, 2010
OPNAVINST 1754.7	Returning Warrior Workshop Program	June 19, 2009
OPNAVINST 1754.1B	Fleet and Family Support Program	November 5, 2007
Secretary of the Navy Instruction 1754.1B	Department of the Navy Family Support Programs	September 27, 2005

tions, and some of which have been applied to military families as well. We also identified common elements of these models, called *family-resilience factors*, including characteristics, qualities, and abilities that such programs are likely to target.

Two frameworks inform family-resilience models. First, the ABCX Formula (Hill, 1958) emphasizes that *stressors* (A) and *families' resources* (B) intersect with the *meaning* (C) that families apply to stressors to produce a crisis (X). Families may define stressors as positive or negative depending on the reactions and/or outcomes of the stressor. Family organization is crisis-proof if the family resources for dealing with stress are adequate, but is considered crisis-prone if the family's resources are inadequate.

The second framework, the Double ABCX (McCubbin and Patterson, 1983), seeks to answer criticisms of the ABCX framework for only describing pre-crisis variables, which are both linear and deterministic. The Double ABCX framework extends the ABCX framework by recognizing that a family's response to a crisis is also affected by post-crisis factors; these include a *pileup of stressors* on top of the initial stressor (aA); existing and *new resources* (bB); *perception* of the initial stressor, pileup, and existing and new resources (cC); and *coping and adaptation* post-crisis (xX). Critiques of this model suggest that coping is not recognized until a family has actually used its resources (Burr, 1989).

The three most prominent models of family resilience are (1) the resiliency model of family stress, adjustment, and adaptation, (2) the systems theory of family resilience, and (3) the family adjustment and adaptation response (FAAR) model, each of which is discussed in the following sections. Other models we identified are derived from one or more of these or are not widely cited.

# The Resiliency Model of Family Stress, Adjustment, and Adaptation

This prevention-oriented model explains the behavior of families under stress and the role of the family's strengths, resources, and coping mechanisms (McCubbin and McCubbin, 1988; McCubbin et al., 1995).

Practitioners use this model to help families find mechanisms to cope with stressors, i.e., any demand, problem, or loss that may affect the family's functioning or relations (McCubbin and McCubbin, 1993). Families may use protective factors, which can enable them to respond positively when a crisis strikes, or families can incorporate recovery factors to

help them cope during and/or after a crisis or trauma. Although the relative importance of specific resources varies over the family life cycle and by culture, family characteristics that can promote proactive or recovery factors include accords, celebrations, communication, financial management, hardiness, health, leisure activities, personality, support network, time and routines, and traditions (McCubbin and McCubbin, 1993). Family resilience is greatest when protective factors are greatest and risk factors fewest (Hawley, 2000).

Previous literature focuses more on protective than recovery factors. Identifying recovery factors has been more challenging, in part because the most influential ones are situation-specific (McCubbin and McCubbin, 2005). For families facing prolonged deployment, key recovery factors are self-reliance (the degree to which family members can act independently in the family's best interest), family advocacy (the extent to which the family is part of collective efforts of other families in the same situation), and family meanings (how families define their demands and capabilities and see themselves in relation to the outside world) (McCubbin and McCubbin, 1988).

## **Systems Theory of Family Resilience**

The systems theory of family resilience "identif[ies] and target[s] key family processes that can reduce stress and vulnerability in high-risk situations, foster healing and growth out of crisis, and empower families to overcome prolonged adversity" (Walsh, 2003, p. 6). It assumes that (1) the individual must be considered within the family and social world where he or she lives and (2) all families have the potential for resilience and can maximize it by identifying and building on resources and coping strategies that already exist and are favored by the family.

Three processes are associated with resilience: belief systems, organizational patterns, and communication/problem-solving (Walsh, 2003). Belief systems include the capacity to make meaning from adversity, a positive outlook, and spirituality. Organizational patterns include the flexibility to adapt and restabilize, connectedness with others, and economic resources. Communication/problem-solving includes clear, consistent information, emotional expressiveness, and collaborative decisionmaking.

This conceptualization of family resilience is incorporated in the concept of military family fitness. Fitness for a military family is its ability to use physical, psychological, social, and spiritual resources to prepare for, adapt to, and grow from the

# All three models are prevention-oriented and focus on identifying key family processes that families can use to cope in high-risk situations and prevent family dysfunction or dissolution.

demands of military life (Westphal and Woodward, 2010). The Navy uses a Stress Continuum Model for improving family fitness, based on the conservation of resources theory and Walsh's (2006, as cited in Westphal and Woodward, 2010) model of family resilience. This model cites specific risk mechanisms by which exposure to trauma or a stressful situation experienced by a family member can lead to problems with family functioning. These risk mechanisms are based on the broad family processes of the systems theory of family resilience.

The Stress Continuum Model identifies four stress behavior stages within the family: (1) withstanding adversity without serious distress or loss of function, (2) limiting the severity of distress or impairment when it occurs, (3) recovering quickly and relatively fully from distress or impairment, and (4) coping with residual and persistent distress or changes in functioning. The goal is for unit leadership to engage with caregivers to ensure the best result for service and family members in each stage. The model highlights the expectation of stress responses and seeks to help provide families with the tools they need to work through stress.

# Family Adjustment and Adaptation Response (FAAR) Model

The FAAR model is based on family stress and coping theory (McCubbin and Patterson, 1982, 1983; Patterson, 1988, 1999). It defines family adjustment or adaptation as the result of the processes families practice as they balance demands with capabilities as they intersect with meanings (Patterson, 2002). Families interact in a consistent way daily as they juggle demands with capabilities. Yet there are times when demands significantly outweigh a family's capabilities, which can produce a crisis. A crisis often results in a major change in family structure or functioning patterns and can create a discontinuity in the family's functioning.

## Common Themes of Family Resilience Models

The three models outlined above differ in some ways. For example, some emphasize family processes and behaviors (Walsh, 2003), or pathways that families follow in response to stressors (De Haan, Hawley, and Deal, 2002). Others emphasize static family characteristics or attributes (e.g., McCubbin and McCubbin, 1988, 1993). Yet in other ways these models are quite similar For example, all three models—the resiliency model, the systems theory, and the FAAR model—are prevention-oriented and focus on identifying key family processes that families can use to cope in high-risk situations and prevent family dysfunction or dissolution.

These models also have several common concepts distinguishing them from other models of individual resilience and family well-being. Earlier models assumed that family resilience is the sum of the resilience of individual family members (Luthar, 1993; Walsh, 2003), while contemporary models consider the resilience of the family as a unit in addition to that of individuals within it.

We found several common family resilience factors—resources that families use to cope with stress—in research on family resilience models. We group these factors in five domains and further elaborate on these domains in Table A.2. Programs to boost family resilience may target the characteristics of each.

The domains and their component factors are

- Family Belief System: interpreting adversity with meaning, sense of control, sense of coherence, confidence that the family will survive and flourish, positive outlook, family identity, transcendence and spirituality, and worldview.
- Family Organizational Patterns: flexibility, connectedness and cohesion, family time, shared recreation, routines, rituals and traditions, family member accord and nurturance, effective parenting, social and economic resources, and sound money management.

- Family Support System: family and intimate relational support network and extended social support network.
- Family Communication/Problem-Sharing: clarity of communication, open emotional expression, emotional responsiveness, interest and involvement, and collaborative problem-solving.
- Physical and Psychological Health of Individual Family Members: emotional health, behavioral health, physical health, mastery, and hardiness.

The family resilience factors above have helped civilian families cope with financial distress, divorce, chronic physical and psychiatric illness, drug addiction or abuse, and exposure to trauma and natural disasters. Military families face all these problems and more, such as deployment and frequent relocation. Although the types (and, possibly, amount) of stress that military families face may differ from those which civilians face, the resources needed to combat them do not.

result in retrospective biases (Mezulis et al., 2004). The strongest approach to measuring family-resilience outcomes would be to measure family functioning before, during, and after the crisis (De Haan, Hawley, and Deal, 2002), although there is no agreement on the optimal pre- and post-crisis measurement times.

By broadening the definition of psychological health to include well-being, we can also include outcomes such as financial problems, family communication and cohesion, and domestic violence. This broader approach has three advantages. First, as noted, many family-resilience factors can also be considered outcomes of family resilience. Second, more-proximate determinants of resilience, such as family functioning and marital accord, are also important outcomes of the family-resilience process. Third, this broader focus can detect maladaptive responses, such as spouse or child abuse, to stress, that may be missed if the focus remains on traditional psychological health outcomes.

## ASSESSING FAMILY RESILIENCE

Assessing family-resilience initiatives can be difficult. Many studies seeking to evaluate family resilience identify outcomes that are nearly the same as the family-resilience factors listed previously. Family-resilience factors, in turn, may vary by situation or stressor. For example, support from other military families to buffer the stress of deployment would be a family-resilience factor, while this support (or the lack of support) for a family experiencing a financial crisis would be a family-resilience outcome.

In other words, family-resilience factors represent the stock of resources a family has before stress, while outcomes indicate change in that stock. This change can be challenging to measure. For example, while one study (Arditti, 1999) suggests that young adults reporting greater closeness with their mothers following divorce show evidence of resilience, we suggest that this measure is incomplete because it ignores the contribution of the resilience of other family members and the family as a whole. A better measure would capture changes in other family relationships as well.

Another approach to measuring resilience would be to ask families how they view themselves following a challenging event. A limitation of this approach is that it is not always clear whether the family was strong or struggling prior to the event. Asking families how they are doing before the event could

## DEFINING A FAMILY-RESILIENCE PROGRAM

One of the most important aspects of attempting to assess the state of family resilience across DoD is knowing which programs should be evaluated for effectiveness. Thus, it is integral to have a standard definition of what a family-resilience program is (and clear criteria for what is not), much like it is integral to have a standard definition of family resilience. To develop a definition of a family-resilience program, we draw on previous findings from RAND's Innovative Practices for Psychological Health and Traumatic Brain Injury project (see Weinick et al., 2011). Based on this literature, we suggest that family resilience programs are distinct from routine clinical care, such as family counseling and nonsupport services provided in chaplaincy and family-support departments. They are also distinct from one-way, passive transmission of information resources, such as a hotline.

Programs to improve family resilience rely on growing research for identifying new treatments and best practices. However, the degree to which family-resilience programs use existing research varies. Existing delivery systems of care rely on well-established, validated empirical support and target clinical problems. Programs, while having less empirical support, are more focused on prevention, resilience, and other subclinical problems.

As an example, in Table 3 we outline one possible definition of what constitutes a family-resilience program and what does not. We note, however, that the example in Table 3 is simply that—an illustrative example. Other criteria could be used for the definition. The larger point is that in order to facilitate a comprehensive view of family resilience programming across DoD, a well-defined, well-articulated definition of a family-resilience program is necessary. Such a definition will allow all of the key stakeholders to speak a similar language and start to develop the infrastructure necessary to evaluate the family-resilience programs that meet the agreed-upon definition.

## **RECOMMENDATIONS**

Based on our findings on efforts to define family resilience as well as models and programs for it, we make six recommendations. These recommendations are designed to help DoD move toward a culture of evaluation of family-resilience programs and to help DoD craft and implement policies that create, sustain, and improve family resilience.

First, DoD should designate a governing or oversight body to manage the overall family-resilience enterprise, including definitions, metrics, policies, and programs. Currently, no overarching office, group, or organization has been officially charged with this. DoD should designate a governing or oversight body to do so. It should ensure that this enterprise organization has the authority to hold other organizations and programs responsible for outcomes through a clear chain of command. The chain of command should ensure that DoD-

wide family-resilience policies are properly created, vetted, and followed and that these policies match current research.

Second, the family-resilience enterprise organization should adopt an official DoD definition and model of family resilience. The organization should define family resilience for programs and specify components or outcomes to target in order to better understand how programs help service members and their families. Definitions, models, frameworks, and outcomes should be explicitly defined in written policy.

Third, the family-resilience enterprise organization should have a "road map" that follows established programs, policies, and definitions, ensuring that all stakeholders know their role and how they contribute to the success of the overall family-resilience enterprise. Different stakeholders in the military (e.g., medical, youth coordinators, chaplains, and family advocates) make different contributions to resilience, but there is no mechanism to unify them and their efforts. Family resilience must have a clear definition and set of outcomes or goals. Agencies must have a clear understanding of how they contribute to the whole, as well as to organizational structure, command, and authority.

Fourth, the family-resilience enterprise organization should encourage a culture of continuous quality improvement (CQI) across DoD and within family-resilience programs. CQI, which requires learning about and incorporating best practices, can optimize services by focusing on the use of data to measure and improve efficiency, effectiveness, performance, accountability, outcomes, and other indicators of quality program processes.

Fifth, DoD should develop a system of coordination between programs to avoid redundancy and to encourage CQI.

Table 3. Example of Family Resilience Program Inclusion and Exclusion Criteria

Inclusion Criteria	Exclusion Criteria
Provides active services or other interactive efforts in support of family resilience	Involves routine care, such as that provided at a military treatment facility
Focuses on at least one family factor hypothesized to help families address a challenging situation or chronic issue	Involves passive transfer of information, such as via hotlines
Aims to improve psychological health and well-being (broadly defined)	Not aimed at improving psychological health and well-being (broadly defined)
Targets active component National Guard or Reserve component service members and/or their family members	Screening tools not associated with a program that meets the criteria
Sponsored by DoD	Consists only of laws, policies, memorandums, advisory teams, working groups, task forces, committees, or conferences
Operational during some defined period	

## By defining the concept of family resilience, DoD can better develop programs to support it and help military families best adapt to the challenges of military life.

Coordination among programs can avoid redundancy and allow programs interested in similar initiatives to share lessons learned.

Sixth, the broader research community should identify what aspects of family resilience matter most for best practices in military family-resilience programs. Knowing where to focus the resources and programmatic efforts is necessary to efficiently and effectively build resilience among military families.

## CONCLUSION

By defining the concept of family resilience, DoD can better develop programs to support it and help military families best adapt to the challenges of military life. Many military families already exhibit remarkable strength through their beliefs, organization, communication, support, and health. Developing models that recognize this and help families improve where they can will help military families become more resilient by improving their psychological and physical health as well as their ability to respond to potential stressors.

While we believe this work can help the military best support its families, we note that our research has some limitations. First, despite our best effort to identify all relevant elements of family-resilience programs, we may have overlooked some. Second, subsequent evaluation of family-resilience programs should explore the tools needed to implement programs. Third, social desirability may always affect research such as this, leading to caution in sharing information about relevant elements and programs.

Table A.1. Definitions of Family Resilience from the Research Literature

Definition of Resilience	Source (listed in chronological order)
" characteristics, dimensions, and properties of families which help families to be resistant to disruption in the face of change and adaptive in the face of crisis situations."	McCubbin and McCubbin (1988; 1993, p. 247). Cited in Chen and Rankin (2002, p. 161), Hawley and DeHaan (1996, p. 84), and Van Riper (2007, p. 117).
"When families are able to develop their strengths and abilities, they are able to 'bounce back' from the stress and challenges they face and eliminate or minimize negative outcomes. This is what is meant by family resilience (Garmezy, 1991; Patterson, 1991): it is the ability to function well and to be competent when faced with life stress."	Patterson (1995, pp. 47–48)
"Family resilience describes the path a family follows as it adapts and prospers in the face of stress, both in the present and over time. Resilient families positively respond to these conditions in unique ways, depending on the context, developmental level, the interactive combination of risk and protective factors, and the family's shared outlook."	Hawley and DeHaan (1996, p. 293)
"The capacity of individuals and systems (families, groups, and communities) to cope successfully in the face of significant adversity or risk. This capability changes over time, is enhanced by protective factors in the individual/system and the environment, and contributes to the maintenance of health."	Mangham, Reid, and Stewart (1996, p. 373)
" the positive behavioral patterns and functional competence individuals and families demonstrate under stressful or adverse circumstances, which determines the family's ability to recover by maintaining integrity as a unit while ensuring and, where necessary, restoring the well-being of family members and the family unit as a whole."	McCubbin, Thompson, and McCubbin (1996, p. 6)
"A focus on family resilience seeks to identify and foster key processes that enable families to cope more effectively and emerge hardier from crises or persistent stresses, whether from within or from outside the family."	Walsh (1996, p. 2)
"The definition of resilience in the Random House Webster's Dictionary (1993) may be paraphrased to apply to the family system as: 1. The property of the family system that enables it to maintain its established patterns of functioning after being challenged and confronted by risk factors: <i>elasticity</i> and 2. The family's ability to recover quickly from a misfortune, trauma, or transitional event causing or calling for changes in the family's patterns of functioning: <i>buoyancy</i> ."	McCubbin, McCubbin, Thompson, Han, and Allen (1997)
"Resilience refers to a dynamic process encompassing positive adaptation within the context of significant adversity."	Luthar, Cicchetti, and Becker (2000, p. 543). Also cited in Conger and Conger (2002).
" the concept of family resilience entails more than managing stressful conditions, shouldering a burden, or surviving an ordeal. It involves the potential for personal and relational transformation and growth that can be forged out of adversity."	Boss (2001). Cited in Walsh (2003, p. 13).
" family resilience could be used to describe the processes by which families are able to adapt and function competently following exposure to significant adversity or crises."	Patterson (2002a, p. 352)
" characteristics of individuals, families, communities, and institutions that contribute to developmental success after exposure to adversity such as poverty, illness, community violence, or traumatic events."	Shapiro (2002, p. 1376)

## Table A.1, Continued

Definition of Resilience	Source (listed in chronological order)
"Walsh (1998) describes the family resilience model of adaptation. Family resilience includes making meaning out of adversity, having a positive outlook, spirituality, flexibility, connectedness with each other and the community, having adequate economic and social resources, open communication, and problem solving abilities."	Ross, Holliman, and Dixon (2003, p. 84) <sup>a</sup>
"Resilience, in this context, is defined as the ability of the person or system to sustain higher levels of functioning or adjustment under conditions of actual or eminent risk."	Fraser (2004). Cited in Orthner and Rose (2009, p. 394).
"Family resilience can be defined as the ability of a family to respond positively to an adverse situation and emerge from the situation feeling strengthened, more resourceful, and more confident than its prior state."	Simon, Murphy, and Smith (2005, p. 427). Also cited in McDermott, Cobham, Berry, and Stallman (2010).
" with family resiliency referring to the family's <i>capacity</i> to successfully manage life circumstances and family resilience as the <i>process</i> of responding and adapting to significant crises or adversity with competence (Patterson, 2002a)."	Connolly (2006, p. 150)
"Resilience has long been a word in engineering, but what does it mean for military couples and families? Here the focus is on structures of human relationships such as parent-child dyads, couples, families, and the military community rather than on architectural structures. Boss (2005:48) defined resilience 'as the ability to stretch (like elastic) or flex (like a suspension bridge) in response to the pressures and strains of life,' in this case military family life."	Wiens and Boss (2006)
" the capacity to rebound from adversity strengthened and more resourceful"  Note: Westphal and Woodward define family fitness as "the immediate military family's ability to use physical, psychological, social, and spiritual resources to prepare for, adapt to, and grow from military lifestyle demands." (p. 4).	Walsh (2006, p. 4). Cited in Westphal and Woodward (2010, p. 97).
"The term 'family resilience' refers to coping and adaptive processes in the family as a functional unit. A systems perspective enables us to understand how family processes mediate stress and can enable families and their members to surmount crises and weather prolonged hardship It is not just the child who is vulnerable or resilient; more importantly, the family system influences eventual adjustment."	Walsh (2006, p. 15)
"From a family systems perspective, family resilience is 'the processes by which families are able to adapt and function competently following exposure to significant adversity or crisis' (Patterson, 2002a:352). It refers to individual and family-level factors that enable family members to not only cope with but successfully adapt to adversity (Barnes, 1999; Luthar, Cicchetti, and Becker, 2000; Peters, 2005)."	Hutchinson, Afifi, and Krause (2007, p. 24)
"The successful coping of family members under adversity that enables them to flourish with warmth, support, and cohesion."	Black and Lobo (2008, p. 33)
"Family resiliency is the ability of a family to respond positively to an adverse event and emerge strengthened, more resourceful and more confident (McCubbin and McCubbin, 1993; Hawley and DeHaan, 1996; Simon, Murphy, and Smith, 2005). Resilience develops not through the evasion of adverse events, but through a family's successful use of protective factors to cope with these events and become stronger (O'Leary, 1998). Given that families are diverse and reside in dynamic environments, it is assumed that family resiliency varies over time, and is a process rather than an outcome (Rutter, 1999)."	Benzies and Myachasiuk (2009, p. 104)

## Table A.1, Continued

Definition of Resilience	Source (listed in chronological order)
" overcoming adversity"	Landau (2010, p. 517)
" resilience is the capacity of individuals to access resources that enhance their wellbeing, and the capacity of their physical and social ecologies to make those resources available in meaningful ways."	Ungar (2010, p. 6)
"Family resilience includes the ability to develop adaptive interpersonal skills and positive family qualities, such as mutual acceptance and empathic involvement. These family strengths contribute to a sense of family well-being and offset difficulties in other areas of family functioning."	Heru and Drury (2011, p. 45)
"The construct of family resilience explains situations in which families facing high levels of stress are able to maintain healthy functioning despite the potential negative effects of the difficulties faced. Specifically, protective factors are often identified that buffer the negative effects of a variety of risk factors and lead to improved outcomes."	Lietz (2011, p. 254)
"Resiliency models identify capacities and processes that enable families to rally in times of 'crisis' (i.e., when demands or risks outweigh capacities or protective factors) in ways that buffer against stress, reduce dysfunction, and promote optimal adaptation over time. Factors that promote resiliency may exist at multiple, interdependent levels (e.g., individuals, families, communities). Resiliency thus does not involve 'springing back' to a preexisting 'normal' life that existed before the deployment but rather 'springing forward' and creating a new sense of 'normal' by adjusting interactions to fit new conditions."	Wilson, Wilkum, Chernichky, Wadsworth, and Broniarczyk (2011, p. 226)
"Resilience can be defined as the capacity of a dynamic system to withstand or recover from significant challenges that threaten its stability, viability, or development (Masten, 2011; 2012).	Masten and Narayan (2012, p. 231).
Resilience is a dynamic concept that can be applied to many systems across scales, including systems within a person (e.g., stress-response system, immune system, cardiovascular system), the whole person as a system, a family system, a community or communication system, or an ecosystem (Masten, 2011; 2012)."	
" individual qualities, relationships, or resources that protect children and families against risk and help them cope, adapt, or even thrive despite experiencing adversity."	Monahan, Beeber, and Harden (2012, p. 59)
"Similar to individual resilience, family resilience is not merely about surviving adverse events, trauma or catastrophes. Family resilience includes the critical influence of positive relationships between family members (Patterson, 2002b) and how these relational bonds assist families to not only weather a crisis together, but lead them to emerge stronger and more resourceful (McCubbin, McCubbin, Thompson, Han, and Allen, 1997; Walsh, 2006)."	West, Buettner, Stewart, Foster, and Usher (2012, p. 2)

<sup>a</sup> In this case, we do not have the original source (Walsh, 1998). However, we do cite Walsh (2006), which is the second edition of Walsh (1998).

**Table A.2. Family Resilience Domains and Factors** 

Family Resilience Factor	Operational Definition	
(Schema:	Domain: Family Belief System s that trigger emotional responses, inform decisions, and guide actions)	
Interpreting adversity with meaning	Ability to view meaning in stressful life events of adversity (e.g., "I am stronger because I managed to overcome"); tied to transcendence, spirituality, and worldview	
Sense of control	Feeling that you/your family have power and influence over what happens to you/them and how you/they react to situations	
Sense of coherence	The way people/families perceive and respond to events in their life, defined by three components: comprehensibility (i.e., how they understand and "think about" events in their lives), manageability (i.e., the degree to which individuals believe they can address or "handle" events that occur in their lives), and meaningfulness (i.e., the way people attach meaning or importance to events that occur in their lives)	
Confidence that the family will survive and flourish, no matter what	Belief that a family will face adversity with positive outcomes	
Positive outlook	Life view that focuses on the positive aspects of life and life events, rather than the negative; optimism	
Family identity	Unique, shared concept of what the family, as a unit, is; developed through interaction and shared rituals; for military families, this may include identification as a military family	
Transcendence and spirituality	Having a way to organize or think about the world that incorporates belief in a system that extends beyond the physical, observable world; does not need to be an organized religion (e.g., yoga or meditation)	
Worldview	Having a system or set of beliefs to make sense and give meaning to the world; often thought of as religion but does not have to be (e.g., military families may identify with patriotism or sense of duty)	
(Family, cohe	Domain: Family Organizational Patterns esion, and social and economic resources that influence family functioning)	
Flexibility	Ability to change and adapt as a family (e.g., adapt parental role after a deployment)	
Connectedness and cohesion; emotional engagement	How "together" or involved the family is with each other, particularly emotionally, or how much they work as a team; how integrated family members are within the unit	
Family time	Time a family spends together to bond (e.g., family movie night, nightly dinner)	
Shared recreation	Activities a family participates in together as a bonding experience (e.g., a family bowling trip)	
Routine and rituals; traditions	Activities, events, celebrations that families participate in together to bond and create shared meaning (e.g., bedtime rituals, songs, birthday celebrations)	
Family member accord; nurturance	How family members "get along" emotionally (e.g., do they care for one another)a	
Effective parenting	A style of raising children that increases the chances of a child becoming the most capable person and adult he or she can be; encompasses many techniques and skills (e.g., acceptance, warmth, fairness, etc.)	
Social and economic resources	Includes monetary resources as well as social (e.g., utilization of community resources)	
Sound money management	The ability to manage individual and family financial resources to cover the family's basic needs	

## Table A.2, Continued

Family Resilience Factor	Operational Definition		
	Domain: Family Support System		
(Extent to which fam	ily members actively support one another instrumentally, emotionally, or financially)		
Family and intimate relational support network	Support garnered from immediate close family and close friends		
Extended social support network	Support garnered from extended family, coworkers, and less connected friends (e.g., acquaintances and other more distal social network members, neighbors, faith-based community)		
(The way tha	Domain: Family Communication/Problem-Solving at verbal and nonverbal information is exchanged between family members and the way that family members overcome obstacles)		
Clarity of communication	Using a clear communication style; sending of clear and consistent messages, in both words and actions, as well as awareness of the need to clarify ambiguous signals		
Open emotional expression	Sharing of feelings and emotions, in relationships characterized by mutual empathy and a toleration for differences; being able to express feelings without fear of being embarrassed, made fun of, punished		
Emotional responsiveness	The ability of an individual to respond to another with appropriate feelings (e.g., happiness when something bad happens is not emotional responsiveness)		
Interest and involvement	How much the family as a whole shows interest in and values the activities and interests of family members, but <i>not</i> what families do together; balance between interdependence and independence		
Collaborative problem-solving	Using all family members to solve problems or resolve issues; identifying problems and options to deal with these and working jointly to surmount them		
Domain: Pl	nysical and Psychological Health of Individual Family Members		
Emotional health	Absence of major emotional health problems		
Behavioral health	Absence of major behavioral health problems		
Physical health	Absence of major physical health problems; overall physical health		
Mastery	Self-efficacy; feeling confident and competent		
Hardiness	Combination of commitment, control, and challenge that together provide the courage and motivation needed to turn stressful circumstances from potential calamities into opportunities for personal growth		

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## **About This Report**

This research was sponsored by the Defense Centers of Excellence for Psychological Health and Traumatic Brain Injury (DCoE) and conducted within the Forces and Resources Policy Center of the RAND National Defense Research Institute, a federally funded research and development center sponsored by the Office of the Secretary of Defense, the Joint Staff, the Unified Combatant Commands, the Navy, the Marine Corps, the defense agencies, and the defense Intelligence Community. For more information on the RAND Forces and Resources Policy Center, see http://www.rand.org/nsrd/ndri/centers/frp.html or contact the director (contact information is provided on the web page).

## **Acknowledgments**

This document benefited from the peer reviews of Anita Chandra and Sally Koblinsky, which helped improve the quality of the report. We also thank CAPT Wanda Finch and CAPT Janet Hawkins, our project monitors at DCoE, for their insightful feedback.

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