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	5b. GRANT NUMBER
	5c. PROGRAM ELEMENT NUMBER 611102

6. AUTHORS Wei Cai	5d. PROJECT NUMBER
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	5f. WORK UNIT NUMBER

7. PERFORMING ORGANIZATION NAMES AND ADDRESSES University of North Carolina - Charlotte 9201 University City Boulevard Charlotte, NC 28223 -0001	8. PERFORMING ORGANIZATION REPORT NUMBER
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13. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.

14. ABSTRACT In this project, we have accomplished in development of algorithms to model transport and electromagnetic processes in mesoscopic systems such as nano-electronics and biological membrane, and layered inhomogeneous media. Specifically, the following results have been obtained resulting in the publication of 6 peer-referred journal papers and a third part of a Cambridge University Press book.
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Report Title

Multi-scale and Multi-physics Numerical Methods For Modeling Transport in Mesoscopic Systems (a proposal submitted to Numerical Analysis Program, Mathematical Sciences)

ABSTRACT

In this project, we have accomplished in development of algorithms to model transport and electromagnetic processes in mesoscopic systems such as nano-electronics and biological membrane, and layered inhomogeneous media.

Specifically, the following results have been obtained resulting in the publication of 6 peer-referred journal papers and a third part of a Cambridge University Press book.

- (1) fast integral solver for quantum dots in 3-D layered media. The fast solver is based on a window accelerated method for computing the layered Green's function and wide band Fast multipole methods for Hankel waves.
- (2) a new linear scaling discontinuous Galerkin density functional theory, which provide a brand new approach in combining physics-based orbitals and piece-wise polynomial finite element basis in finding the ground state energy of the DFT for quantum systems.
- (3) numerical methods for computation of electrostatics in ion-channel transport,
- (4) a new parallel solver for elliptic PDEs by combining random walk Feynmann-Kac formula and local boundary integral equations for extreme computing,
- (5) an improved device adaptive inflow boundary condition for Wigner quantum transport equations.

Also, a book titled "Computational Methods for Electromagnetic Phenomena: electrostatics in solvation, scattering and electron transport" was published by Cambridge University Press on Feb. 25, 2013. The work on electron transport (Part III of the book) results from this project.

Enter List of papers submitted or published that acknowledge ARO support from the start of the project to the date of this printing. List the papers, including journal references, in the following categories:

(a) Papers published in peer-reviewed journals (N/A for none)

<u>Received</u>	<u>Paper</u>
08/07/2013	3.00 Tiao Lu, Wei Cai, Jianguo Xin, Yinglong Guo. Linear Scaling Discontinuous Galerkin Density Matrix Minimization Method with Local Orbital Enriched Finite Element Basis: 1-D Lattice Model System, COMMUNICATIONS IN COMPUTATIONAL PHYSICS, (08 2013): 276. doi: 10.4208/cicp.290212.240812a
08/15/2012	1.00 Wei Cai, Min Hyung Cho. A parallel fast algorithm for computing the Helmholtz integral operator in 3-D layered media, Journal of Computational Physics, (07 2012): 0. doi: 10.1016/j.jcp.2012.05.022
10/13/2014	7.00 Min Hyung Cho, Wei Cai. A parallel fast algorithm for computing the Helmholtz integral operator in 3-D layered media, Journal of Computational Physics, (05 2012): 5910. doi:
10/13/2014	8.00 Tiao Lu, Wei Cai, Jianguo Xin, Yinglong Guo. Linear Scaling Discontinuous Galerkin Density Matrix Minimization Method with Local Orbital Enriched Finite Element Basis: 1-D Lattice Model System, COMMUNICATIONS IN COMPUTATIONAL PHYSICS, (08 2013): 276. doi:
10/13/2014	11.00 CHANHAO YAN, WEI CAI, AND XUAN ZENG. A PARALLEL METHOD FOR SOLVING LAPLACE EQUATIONS WITH DIRICHLET DATA USING LOCAL BOUNDARY INTEGRAL EQUATIONS AND RANDOM WALKS, SIAM J Scientific Computing, (08 2013): 868. doi:
10/13/2014	12.00 CHO Min Hyung & Wei Cai. Fast integral equation solver for Maxwell's equations in layered media with FMM for Bessel functions, Science China Mathematics, (12 2013): 2561. doi:
TOTAL:	6

Number of Papers published in peer-reviewed journals:

(b) Papers published in non-peer-reviewed journals (N/A for none)

<u>Received</u>	<u>Paper</u>
10/13/2014 9.00	Huimin Lin, Huazhong Tang, Wei Cai. Accuracy and efficiency in computing electrostatic potential for an ion channel model in layered dielectric/electrolyte media, Journal of Computational Physics, (12 2013): 488. doi:
10/13/2014 10.00	Haiyan Jiang, Tiao Lu, Wei Cai. A device adaptive inflow boundary condition for Wigner equations of quantum transport, Journal of Computational Physics, (11 2013): 773. doi:
TOTAL:	2

Number of Papers published in non peer-reviewed journals:

(c) Presentations

Number of Presentations: 0.00

Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

<u>Received</u>	<u>Paper</u>
TOTAL:	

Number of Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

Peer-Reviewed Conference Proceeding publications (other than abstracts):

<u>Received</u>	<u>Paper</u>
TOTAL:	

Number of Peer-Reviewed Conference Proceeding publications (other than abstracts):

(d) Manuscripts

<u>Received</u>	<u>Paper</u>	
08/07/2013	5.00	Haiyan Jiang, Tiao Lu, Wei Cai. A device adaptive inflow boundary condition for Wigner equations of quantum transport, Journal of Computational Physics (03 2013)
08/07/2013	4.00	Huimin Lin, Huazhong Tang, Wei Cai. Accuracy and efficiency in computing electrostatic potential for an ion channel model in layered dielectric/electrolyte media, Journal of Computational Physics (06 2013)
08/07/2013	6.00	CHANHAO YAN, WEI CAI, XUAN ZENG. A PARALLEL METHOD FOR SOLVING LAPLACE EQUATIONS WITH DIRICHLET DATA USING LOCAL BOUNDARY INTEGRAL EQUATIONS AND RANDOM WALKS, SIAM Journal on Scientific Computing (06 2013)
08/16/2012	2.00	Wei Cai, Jianguo Xin, Tiao Lu, Yinglong Guo. Linear scaling discontinuous Galerkin density matrix minimization method with local orbital enriched finite element basis: 1-D lattice model system, COMMUNICATIONS IN COMPUTATIONAL PHYSICS (04 2012)
TOTAL:	4	

Number of Manuscripts:

Books

Received Book

TOTAL:

Received

Book Chapter

TOTAL:

Patents Submitted

Patents Awarded

Awards

Graduate Students

<u>NAME</u>	<u>PERCENT SUPPORTED</u>	<u>Discipline</u>
Jian Wu	0.25	
Kathy Baker	0.25	
Yijing Zhou	0.25	
FTE Equivalent:	0.75	
Total Number:	3	

Names of Post Doctorates

<u>NAME</u>	<u>PERCENT SUPPORTED</u>
Steven Xin	0.25
FTE Equivalent:	0.25
Total Number:	1

Names of Faculty Supported

<u>NAME</u>	<u>PERCENT SUPPORTED</u>	<u>National Academy Member</u>
Wei Cai	0.17	
FTE Equivalent:	0.17	
Total Number:	1	

Names of Under Graduate students supported

<u>NAME</u>	<u>PERCENT SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Student Metrics

This section only applies to graduating undergraduates supported by this agreement in this reporting period

The number of undergraduates funded by this agreement who graduated during this period: 0.00

The number of undergraduates funded by this agreement who graduated during this period with a degree in science, mathematics, engineering, or technology fields:..... 0.00

The number of undergraduates funded by your agreement who graduated during this period and will continue to pursue a graduate or Ph.D. degree in science, mathematics, engineering, or technology fields:..... 0.00

Number of graduating undergraduates who achieved a 3.5 GPA to 4.0 (4.0 max scale):..... 0.00

Number of graduating undergraduates funded by a DoD funded Center of Excellence grant for Education, Research and Engineering:..... 0.00

The number of undergraduates funded by your agreement who graduated during this period and intend to work for the Department of Defense 0.00

The number of undergraduates funded by your agreement who graduated during this period and will receive scholarships or fellowships for further studies in science, mathematics, engineering or technology fields: 0.00

Names of Personnel receiving masters degrees

NAME

Total Number:

Names of personnel receiving PHDs

NAME

Kathy Baker

Total Number:

1

Names of other research staff

NAME

PERCENT SUPPORTED

FTE Equivalent:

Total Number:

Sub Contractors (DD882)

Inventions (DD882)

Scientific Progress

[1] On fast integral solver for quantum dots, we have developed a parallel fast algorithm for computing the product of the discretized Helmholtz integral operator in layered media and a vector in $O(N_q N_z^2 N_x N_y \log N_x N_y)$ operations. Here $N_x N_y N_z$ is the number of sources and N_q is the number of quadrature points used in the evaluation of the Sommerfeld integral in the definition of layered Green's function. The fast solver is based on two important techniques which reduce the cost of quadrature summations in the Sommerfeld contour integral for Green's functions in 3-D layered media.

The first technique is the removal of surface pole effects along the real axis integration contour by identifying the pole locations with a Discrete Wavelet Transform; In the second technique, we apply a window-based high frequency filter to shorten the contour length. As a result, the integral operator for the 3-D layered media can be efficiently written as a sum of 2-D Hankel cylindrical integral operators, and the latter can be calculated by either a tree-code or a 2-D wideband FMM in a fast manner.

[2] On the linear scaling quantum DFT algorithm, we have proposed a new framework using discontinuous Galerkin method for linear scaling methods for density functional theory, which forms the fundamental approach for studying quantum system ground state energy. The salient feature of this framework is the flexibility of using hybrid physics-based local orbitals and accuracy-guaranteed piecewise polynomial basis in representing the Hamiltonian of the many body system. Such a flexibility is made possible by using the discontinuous Galerkin method to approximate the Hamiltonian matrix elements with proper constructions of numerical DG fluxes at the finite element interfaces.

[3] Computation of electrostatics in ion-channel transport.

Here we investigate the numerical accuracy and efficiency in computing the electrostatic potential for an ion channel model made of finite-height cylinder embedded in a layered dielectric/electrolyte medium representing a biological membrane and ionic solvents. Two numerical techniques, a specially designed boundary integral equation method and an image charge method, have been investigated and compared in terms of accuracy and efficiency for computing the electrostatic potential.

[4] A new parallel solver for elliptic PDEs for extreme computing.

In this result, a hybrid approach for solving the Laplace equation in general 3-D domains is proposed. The approach is based on a local method for the Dirichletto-Neumann (DtN) mapping of a Laplace equation by combining a deterministic (local) boundary integral equation (BIE) method and the probabilistic Feynman–Kac formula for solutions of elliptic partial differential equations. This hybridization produces a parallel algorithm where the bulk of the computation has no need for data communication between processors, therefore it has great potential for highly scalable solver for elliptic PDEs for extreme scale computing.

[5] An improved device adaptive inflow boundary condition for Wigner quantum transport equations.

An improved inflow boundary condition is proposed for Wigner equations in simulating a resonant tunneling diode (RTD), which takes into consideration the band structure of the device and the effect of the quantum interaction inside the quantum device. Numerical results on computing the electron density inside the RTD under various incident waves and non-zero bias conditions show much improvement by the new boundary condition over the traditional Frenley inflow boundary condition.

Technology Transfer