## **GEEC\*** All the Way Down

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#### Abstract

How do we formally verify security properties in today's malleable and evolving Commodity System Software (COSS) ecosystem? Recent advances in applying formal methods to systems software, e.g., IronClad [16] and seL4 [19], promise that this vision is not a fool's errand after all. In this position paper we explore the challenges involved in this problem, what research questions the state of the art leaves still open, and our proposal for the next step towards realizing this vision.

## **1 Problem Statement**

Today's commodity system software (COSS) stack comprises chiefly the BIOS, hypervisor (e.g., cloud), and the OS. These components are complex since they deal with low-level hardware features, especially at early stages of initialization. The complexity increases considerably with extensions required to enable additional platform functionality. For example, BIOS extensions such as option ROMs allow device-specific initialization, and EFI BIOS extensions enable filesystem and network access. Similarly, although hypervisors and VMMs started off as monolithic software aimed at managing virtual machines, they evolved to a convenient point of observation and mediation of useful (security) services that are realized via hypervisor extensions [11, 14, 15, 21, 23, 25, 29-31,33,35,36,38,39,42,43]. Finally, OS kernels have long been extensible via device drivers and custom modules.

Adding an extra dimension of complexity, there is vertical interaction in this stack: the OS relies on the hypervisor through paravirtualization interfaces, which in turn depends on the BIOS for some system services.

These low-level components and their extensions are developed by different entities and change rapidly; UEFI [6], OpenBIOS [5], Xen [10], KVM [4], Linux [3] are prime examples of this diversity and flux. Such changes not only modify existing extensions, but also add new ones to incorporate additional functionality. Yet, these low-level components form the basis of security in the systems we use today, since they set up and enforce fundamental system services that applications rely on to provide protection, trusted execution, reference monitoring, privacy, and a host of other critical security properties. *How do we* formally verify *security properties in this malleable and evolving COSS ecosystem*?

# **2** A Motivating Example

Imagine a COSS stack consisting of a BIOS, a hypervisor and a guest OS. Now imagine a BIOS option ROM extension for a network card that handles initialization and provides a runtime interface to the network card firmware. Assume a hypervisor on top of the BIOS managing virtualization of guest above, but also supporting additional security extensions. For example, consider an extension *approvexec* enabling only explicitly approved code to run in the guest OS kernel mode. This extension is used to run a guest OS network card driver that has exclusive control of the network card – together these extensions provide (**P1**) **trusted network logging**.

Suppose that every component of the stack, the BIOS (with extensions), hypervisor (with extensions) and the guest OS driver are verified for **P1**. This implies proving memory integrity and confidentiality of the core BIOS and the hypervisor, network card option ROM correctness to initialize and keep the card in the required operating state, proving the approved code execution property of *approvexec* and proving network card I/O channel isolation for the protected guest OS driver. Further, we prove **P1** on the composition of all the components.

Consider now adding new BIOS option ROM extensions for a USB keyboard and display that handle initialization and provide runtime interface to the keyboard and a graphics card. Another hypervisor extension *hyperdep* which prevents guest OS code from marking memory pages as both writeable and executable, and a OS driver that takes on-demand exclusive control of the keyboard and display hardware for (**P2**) **trusted path** [43]. Now, we must ensure that these new extensions guarantee **P2**, *but also* that they don't violate **P1**.

This scenario described is not science fiction (except for the formal verification bit). It is a typical example of how features are added at various layers, at various times, and with varying configurations, to provide a plethora of (intended but unverified) security properties; in practice, the number of options at every level is larger.

## **3** Building Verified Systems

Getting from an extensible, evolvable system to verified properties typically requires leveraging one or more of a small number of formal-verification techniques:

*Software Model Checkers* execute the software symbolically and exhaustively, deriving formulas that represent possible states (variable values) at every execution

 $<sup>^{*}</sup>GEEC$  (pronounced <code>/gik/ [geek]</code>) stands for <code>Granular Extensible</code> interface <code>Confinement</code>

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Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std Z39-18 point, and checks via a solver that certain boolean predicates over variables (e.g., "all executable pages have been approved" in the case of *approvexec*) hold at specific execution points. To use a software model checker, the program must be annotated with assertions specifying properties to be verified. Software model checking is automated, and has been shown to handle large programs in specific domains [9]. However, complex loops and data structures require special handling.

Interactive theorem-provers can be used to verify complex properties of programs. However, proving end-toend properties of low-level software requires considerable manual effort to define properties to be verified and construct proofs that the design and implementation of the software satisfy those properties. Often, properties are first verified on an abstract model of the software. Refinement between the implementation and model is separately proved. Refinement proofs are labor intensive.

*Type-safe languages* can be used to build highassurance software systems. The type-safety properties guaranteed by existing languages ensure that objects are used with the correct types and, in the case of Java and functional languages, memory safety. However, typesafety is not enough to prove many important security properties (e.g., those violated by logical errors).

# 4 Challenges, Goals and Non-goals

**Verification Challenges.** Although verification techniques have matured considerably, scalability, composability, and evolvability are still key challenges for COSS.

*Program Size.* With increasing functionality, COSS stack grows in size and extensions. Verification complexity increases as the size of the target software becomes larger. For example, the complexity of model-checking grows exponentially with the number of state variables.

*Configuration.* COSS has a large number of configurations; each layer of COSS can be configured to in(ex)clude features and extensions, and properties must be verified on all possible configurations. Thus, verification complexity grows with the configuration space. Verifying each configuration independently leads to exponential blowup in the number of extensions.

*Evolvability.* COSS stack consists of rapidly evolving pieces largely written in low-level languages such C and assembly. The verification process must accommodate incremental development in these low-level languages.

Untrusted Extensions. COSS stack often contain extensions that target special use cases and originate from third-party vendors (e.g., profilers, sensor applications, remote management services etc.). Verifying such extensions might be too expensive. Although the core components of the software are verified, they have to execute with these untrusted extensions. A buggy untrusted and unverified extension [9] may overwrite critical data structures, jump to core component routines in the wrong order, or otherwise invalidate the verified properties of other system components.

*Interference.* Multiple extensions often access the same system resource. For example, both *hyperdep* and *approvexec* manipulate the memory protections of guest OS and operate on the same micro-hypervisor data structures (the guest's Extended Page Tables). Reasoning about the security of the two extensions is challenging when both access their shared memory arbitrarily.

*Concurrency.* Extensions can be multi-threaded. The large number of interleavings of threads leads to a huge statespace, and very complex invariants, making it very challenging for model checking and theorem proving.

Goals. Our overarching goal is to develop design principles and verification methodologies that can verify security properties in today's COSS ecosystem while being performant. More specifically our goals are: (i) Compositional verification: addition of new components or changes to existing component does not require reverifying the entire software stack; (ii) Extensibility: one should be able to compose verification results both horizontally (within a layer of the stack) and vertically (across layers); (iii) Customizable configurations: the verification process needs to be able to handle any combination of components; (iv) Evolvable and automated verification: verification needs to support low-level languages and re-verification of fast changing components needs to be efficient; and (v) Legacy compatibility: untrusted, unverified (legacy) components can run with verified components without violating verified properties.

**Non-goals.** We only aim for specific security properties (e.g., hypervisor code is not modified by guest OS) and not full functional correctness (i.e., the implementation behaves exactly as specified in a high-level design). This lowers verification complexity while still enabling us to prove a large class of security properties. For instance, if a hypervisor implementation always ignores page faults caused by the guest OS, it may not functionally correct, but does not violate the security property that the hypervisor's code region is not modified by the guest OS.

### 5 State-of-the-Art

Based on the design principles and verification granularity, we classify state-of-the-art approaches as follows.

**Monolithic Extension.** Monolithic approaches add features to an existing (privileged) code base to enforce desired security properties. The extensions run at the same privilege level as the core. SELinux [26], AppArmor [1] and FBAC [27] are some examples of OS kernel modifications that support various access control policies. Such an approach suffers from the lack of sepa-

ration: a bug in an extension or the core can affect other parts of the system and violate their properties.

Unverified Disaggregation. A modular approach isolates system components into privileged (trusted) and deprivileged (untrusted) components. For instance. Xen/Xoar [13] partitions the Xen hypervisor and runs the partitions in deprivileged mode. Unfortunately, the core hypervisor is still monolithic and runs in privileged mode. NOVA [32] deprivileged everything, including the virtualization modules or VMM, except for a small privileged micro-kernel. With such an approach, untrusted components cannot affect the privileged code. Buggy privileged code (even if it is smaller) can still botch other privileged components and all untrusted components. Further, the above mentioned systems are not verified and therefore, the modular design provides merely code disaggregation, but no formal guarantees on its own.

**Verified Sandboxing.** Taking advantage of modular designs, privileged components are getting smaller and amenable to formal verification. Verified sandboxing approaches attempt to only verify the privileged portion that provides required system properties while running all other (untrusted) code as deprivileged entities.

For instance, the C implementation of the microkernel seL4 [19] is fully verified for functional correctness and it runs with other deprivileged services. However, the verification process used interactive theorem proving and took around 22 person-years [18]. Further the verification focuses on single-threaded execution with concurrency still remaining a challenge. Singularity used software mechanisms to isolate untrusted processes [17]. The privileged portion (the nucleus) supports a small subset of hardware and is verified for correctness using an automated theorem prover. The nucleus is manually annotated for verification. The verification was for single-threaded execution. The Hyper-V/VCC project [12, 20] verifies the Hyper-V hypervisor with drivers and untrusted guest OSes running within virtual machines. The C implementation was manually annotated with invariants and assertions to be verified, and an automated theorem prover was used to discharge the proof obligations. The verification is modular and assumes multi-threaded execution. The latest report suggests only 20% of the hypervisor code-base was verified with developer inserted annotations, indicating that full verification remains a challenge [20]. XMHF [34] adopts a micro-hypervisor design. The verification is largely automated with minimal annotations but only focuses on the memory integrity of the micro-hypervisor. The verification is for a single-threaded execution and extensions to XMHF are assumed to be confined to a set of narrow interfaces for accessing key system state. Software Fault Isolation (SFI) [22, 24, 28, 37, 41] is a software primitive aimed at application isolation. The focus is on verified address space separation of the (deprivileged) domains.

The above mentioned verified sandboxes cannot be easily extended to provide additional security guarantees. If the extension is added as an deprivileged component, the verification process does not provide ways to verify deprivileged components and integrate the verification results with those of the verified components, since verified sandboxing treats the deprivileged code as *code without properties*. Direct addition of code to the privileged portion requires full reverification of the privileged portion, which is non-trivial for theorem proving-based approaches. In our COSS example, adding the trusted network logging extensions to seL4 will require new specifications of the extensions which are compatible with existing specifications, and new refinement proofs– all of which is complex and time-consuming.

Evolvability is an issue where annotations are needed. For example, adding COSS trusted path extensions to Hyper-V (or Singularity) requires code contracts and annotations which can mesh with already existing annotations and verification conditions. This requires several iterations of trial-and-error before correct annotations can be found. This process becomes very complicated and expensive when changes are made to a large portion of the hypervisor or kernel with several extensions.

Scalability is an issue with direct additions in cases of model checking. For example, adding the COSS trusted network logging extensions to XMHF will likely double the code size which can cause the model checker to blow up. Composition is an issue with approaches based on unverified rewriting, e.g., SFI. For example, even though we can isolate the COSS trusted path and trusted network logging extensions from each other, we cannot prove that their security guarantees still hold since their behavior is not guaranteed to be preserved by the rewriting.

Full Verification Full software verification verifies the entire platform (hardware and/or software). Verve [40] is a simplified OS design where the OS and applications are verified for type and memory safety. A Hoare-style verification condition generator along with automated theorem prover is used to verify the correctness of the privileged portion of the OS (the nucleus) while a typed assembly language checker verifies safety of the kernel and applications. The verification is for a single-threaded execution and automated with support for low-level assembly language instructions. Ironclad [16] extends Verve with support for higher-level application properties. High-level specifications are translated to corresponding code with verification conditions that are then discharged via an automated theorem prover. The verification took 3 person-years. Verisoft [7] tightly integrates hardware and software, building on top of a custom processor. The verification was >20 years effort on a simple OS with demand paging and disk driver.

Full software verification approaches provide verification of properties down to the instruction-level. However, they sacrifice current COSS ecosystem compatibility. For example, if we consider the COSS trusted path extensions, one needs to implement them entirely in a type-safe language (in Verve) or in high-level specifications (in Ironclad) or on a specific simplified processor architecture. Further, these approaches lack support for co-existence with unsafe (untrusted) programs, a typical case on commodity platforms.

# 6 Composable Verification for Commodity System Software

To achieve the verification goals listed in Section 4, we borrow ideas from state-of-the-art approaches [19,32,34] and augment the modular system design with hardwarebased interface confinement primitive. The main idea is that in addition to partitioning the system into several modules, the majority of which runs in deprivileged mode, accesses to privileged system resources are restricted to a set of privileged interfaces, leveraging hardware support. That is, a buggy or malicious module is limited only to interfaces it is allowed to invoke to access resources or to invoke other modules. Unauthorized accesses will be stopped by hardware. Such interface confinement facilitates compositional verification. For instance, interface confinement enables local-only verification of a module, since the behavior of the module can be (conservatively) overapproximated by invariants on the interfaces that the module calls. We refer to modules to denote both components of the system software stack's core components, and extensions, since piecemeal verification is important for either type of component.

Unlike prior state of the art that used language-based interface confinement leveraging the hardware allows us to tackle the challenge of evolvability and untrusted extensions while achieving our goals of extensibility and legacy compatibility with reasonable performant.

### 6.1 Granular Extensible interfacE Confinement (GEEC)

The basic idea of GEEC is that resources are placed behind a set of narrowly-defined interfaces so that the effect of accessing the resources can be over-approximated by examining these interfaces. GEEC leverages both hardware support<sup>1</sup> and trusted and verified software components to provide interface confinement to resources at various levels of granularity such as a function, object or module. A slab is a logical unit of execution within

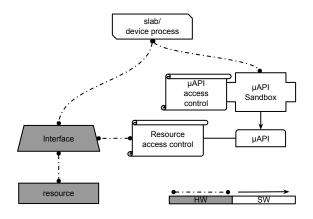


Figure 1: GEEC resource access control.

a GEEC-based system (Figure 1) and enforces desired access control policies for code executing on the CPU. In our COSS example the core BIOS, option ROMs, micro-hypervisor core and extensions can be individual slabs. In contrast, device-processes are code and associated data which execute on a system device (e.g, GPU) which can generate interrupts on the CPU and directly access system resources (e.g., via DMA).

GEEC Resource access control. With GEEC all accesses to low-level machine resources such as as physical memory, control registers, privileged instructions, and devices are restricted to a set of interfaces (Figure 1). Access is allowed by the hardware according to accesscontrol polices stored in the machine state. For example, the Memory Management Unit (MMU) authorizes memory accesses according to the active page table; when an access is rejected, a page-fault occurs and a handler is executed. During system runtime, we can extend the low-level resource confinement provided by GEEC to realize higher-level properties. For instance, within the micro-hypervisor layer in our example, we may wish to allow the hyperdep and approvexec extensions to modify the memory resource access-control state to provide finer-grained protections to guest OS applications. However, modifying access-control state is a sensitive operation. Therefore, we ensure all access-control modifications take effect via narrowly and precisely defined interfaces, denoted  $\mu$ API that are implemented in software and verified. We leverage hardware to enforce that all  $\mu$ API accesses must first pass through a  $\mu$ API sandbox, which consults a  $\mu$ API access policy before allowing access. An example policy could be where a restricted set of slabs are allowed access to the memory-protection  $\mu$ API; untrusted and unverified slabs are not allowed access to any  $\mu$ API. Another policy could give the unverified slab implicit trust to invoke a particular  $\mu$  API as long as we are able to preserve required  $\mu$ API invariants. This offers a layer of flexibility compared to prior approaches

<sup>&</sup>lt;sup>1</sup>As with all the prior approaches, we assume the hardware to be functionally correct. Given the simplicity in hardware design and rigorous development practices, we believe this assumption is justified.

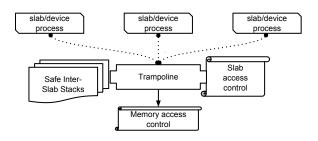


Figure 2: GEEC trampoline: Performs access control state switch.

that requires same level of rigor, same (type-safe) language everywhere.

GEEC Access control state switch. To achieve mutual separation, each slab is assigned a resource access control policy stored in a dedicated access control state (ACS). GEEC employs a trusted entity (either implemented in software and verified or implemented in the hardware), called the *trampoline*. The trampoline is invoked via a hardware-enforced entry point and is in charge of switching the runtime ACS as control flow transfers between slabs (Figure 2). A slab's resource ACS is also setup in such a way that any access to data or executes to memory location not within its own memory region causes the hardware to transfer control to the trampoline for error handling. Thus, the trampoline implements a "call-return-signal" semantics. This allows us to model control-flow transfer between slabs as a function call during verification greatly simplifying analysis. Since the trampoline is trusted, in addition to switching the resource access control context at slab call/return points, it can also be tasked to enforce more expressive slab call policies (i.e., which slab can call whom).

### 6.2 Verification

If implemented correctly, GEEC provides separation: each slab can only modify its own state and can only modify ACS via  $\mu$ APIs. Such properties enable compositional verification of slabs. We obtain the following two sound composition principles: (1) if the property provided by slab A relies only on A itself (i.e., does not use any  $\mu$ APIs), then A can be composed with any other slab to achieve the same property and A will not interfere with the other slabs' properties; and (2) if the property provided by a slab A relies on only a set of  $\mu$ APIs:  $\mu$ API<sub>*i*</sub>, then A can be composed with any set of slab that are not allowed to access  $\mu$ API<sub>*i*</sub>, and any slabs that accesses  $\mu$ API<sub>*i*</sub> but operates on a disjoint resource state. These principles generalize naturally to a set of slabs.

The high-level proof of GEEC, which we call *proof-of-composability* is a non-trivial inductive proof that is derived from the model of GEEC and reasoning about its properties. From the high-level proofs, we extract con-

crete local properties to be verified on the GEEC implementation. A key observation is that GEEC is a foundational primitive and therefore once implemented correctly is unlikely to change further for a given architecture. Thus, the proof-of-composability once completed remains valid as long as the GEEC design is unchanged. The local properties required of the GEEC implementation also remain the same.

With the proof-of-composability in place, each slab can be verified for individual properties based on the  $\mu$ API invariants. Based on the slab properties and the  $\mu$ API used, a given set of slabs can be verified separately and composed if they are mutually non-conflicting. Untrusted, unverified slabs are denied access such  $\mu$ APIs over which invariants are defined.

add a blurb on concurrency

#### 6.3 Practicality and Performance

Commodity hardware-virtualized x86 [2] and recent ARM platforms [8] can support GEEC, making this primitive practical today. For example, on the x86 the I/O MMU (e.g, VT-d) can be employed to efficiently restrict device process memory accesses. GEEC trampoline can be implemented leveraging a host of mechanisms such as hardware virtual machines, segmentation and page-tables. Recent improvements in hardware performance (e.g., tagged page-tables [2, 8]) is already laying the foundation to making GEEC performant at the granularity of task/modules. However, smaller granularity such as functions or object level slabs will require changes and/or addition of new hardware mechanisms. GEEC $\mu$ API can be implemented via fast hardware system calls such as SYSENTER or SYSCALL which once again have a very low overhead and compare favorably to existing OS kernel calls [3, 18].

## 7 Conclusion and Open Problems

Application of GEEC enables infusing verified security properties that is both compositional and performant without sacrificing the rapidly evolving nature and legacy compatibility of today's commodity system software stack - more compelling than is the case at present. The GEEC philosophy advocates the use of platform hardware in an attempt to get at the sweet-spot between verification complexity and performance. In this context, development of new (commodity) hardware extensions that can ease verification burden presents a new and exciting research area to explore. A few immediate and important open problems in this space include hardware support for verification at very early stages of the boot phase such as the BIOS where system devices are uninitialized and CPU support is minimal and hardware support for high-performance fine-grained (e.g., byte, function or object) interface confinement.

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