

Award Number: W81XWH-10-2-0110

TITLE: Microvesicle Production after Trauma and Its Clinical Impact on Venothromboembolism

PRINCIPAL INVESTIGATOR: Myung, S. Park

CONTRACTING ORGANIZATION: Mayo Clinic and Foundation, Rochester
Rochester, MN 55905

REPORT DATE: October 2013

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

1. REPORT DATE October 2013		2. REPORT TYPE Annual		3. DATES COVERED 27September2012-26September2013	
4. TITLE AND SUBTITLE Microvesicle Production after Trauma and Its Clinical Impact on Venothromboembolism				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER W81XWH-10-2-0110	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Myung, S. Park				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) . Mayo Clinic and Foundation, Rochester Rochester, MN 55905				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT Polytrauma is most often casused from explosive devices and accounts for about 65 percent of injuries to our military personnel. The patients who have polytrauma are at increased risk of developing either bleeding and/or a clot in their veins which cause a life-threatening event known as venous thromboembolism (VTE). We began enrollment of patients into the study on 2 February 2011. As of 1 October 2013, we have thus far analyzed plasma samples of over 426 patients and volunteers. In our preliminary analysis of thrombin generation and procagulant microvesicles noted after traumatic injury relative to healthy volunteers					
15. SUBJECT TERMS- Polytrauma, thrombin, generation, venous thromboembolism					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 16	19a. NAME OF RESPONSIBLE PERSON USAMRMC
a. REPORT U	b. ABSTRACT U	c. THIS PAGE U			19b. TELEPHONE NUMBER (include area code)

Table of Contents

	<u>Page</u>
Introduction.....	4
Body.....	4
Key Research Accomplishments.....	10
Reportable Outcomes.....	10
Conclusion.....	10
References.....	
Appendices.....	12

Introduction: Venous thromboembolism (VTE) is a combat casualty adverse event reported to the Department of Defense. Rates of symptomatic and asymptomatic deep vein thrombosis (DVT) and pulmonary embolism (PE) in trauma patients are as high as 44 and 24%, respectively. The current guideline is that all major trauma patients receive VTE chemoprophylaxis. This practice exposes those not at risk for thrombosis to potentially serious bleeding and there are no adequate laboratory tests currently available to target anticoagulant prophylaxis to those that need it most. The **central hypothesis** of this proposal is that traumatic injury results in the release of procoagulant and pro-inflammatory factors found both in plasma and microvesicles (MVs) derived from blood cells and injured tissues. The specific Aims of this study are:

Aim 1:

- Identify cellular origins and quantitate procoagulant microvesicles (MVs) defined by cell specific markers in patients with acute traumatic injury.
- Determine the basis of differences in thrombin generation.

Aim 1 will be achieved through a prospective cohort study of patients with major trauma, estimate the distribution over time of procoagulant MVs concentration by cell of origin and thrombin generation.

Aim 2: Develop a predictive signature for a pre-thrombotic individual: thrombin generation concurrent with thrombogenic microvesicles.

Body: To complete Aim 1, a single center prospective case-cohort study was begun on February 2, 2011. This report is the third annual report (notification of award: October 2010). The annual reports which covered Tasks and Milestones achieved during funding years 1 and 2 were submitted on October 2011 and October 2012, respectively. This current report will cover the overall progress made during the funding of this study thus far.

Task 4: First 32 Months of Patient Accrual/Milestones:

Patient Enrollment: As of October 1, 2013 (during the first 32 months of study), 1858 acute trauma patients were screened for study enrollment, 865 patients were excluded, and 956 acute trauma patients and 89 healthy volunteers were consented and enrolled in this study. The reasons for excluding the 864 patients were: 353 met exclusion criteria, 263 declined to participate, and we were unable to obtain consents from 249 patients. We are currently awaiting response from 37 mail-in consents sent to trauma patients who were discharged prior to obtaining consents. To date, 58 patients developed VTE within three months after trauma. This is about 22 VTE patients lower than projected target number of 80 VTE patients needed to be enrolled assuming we were to finish the study as planned on December 2013. Because the proposed study required approval by DOD prior to patient enrollment, about 4 months lapsed between date of the award and patient study enrollment. A 12-month no-cost extension has been requested in order to give us an opportunity to enroll additional trauma patients to meet this goal.

Laboratory Progress:

1. *Flow Cytometer Equipment Change:* As reported in our October 2012 Annual report, we had to switch from Canto-1 to Canto-2 flow cytometers to perform the microvesicle analysis. This decision was made after multiple attempts to fix Canto 1 equipment due to increased non-

specific signals evident while running patient plasma samples. This change led to an extra 3.5 months expended on re-running the patient samples on the new equipment, Canto 2. This step was taken after consulting with MV experts from within and outside Mayo Clinic. Additionally, we have identified a commercially available reference plasma, Cryocheck (Precision Biologic, Dartmouth N.S.), which was used with every carousel of patient samples to ensure that our technique for MV analysis was consistent. Between two experienced research technologists, the coefficient of variation (CV) using our reference plasma has consistently been in the 13 – 15% range.

2. *Calibrated Automated Thrombinogram (CAT)*: As reported in our October 2012 Annual report, we have added a variation of the CAT assay. In addition to the performance of standard “PPP” CAT assay (5 pM Tissue Factor/ 4 uM PCPS -phospholipid), we performed, in parallel, “PRP” CAT assays using 1pM Tissue Factor only. The rationale for performing the PRP assays was to assess for endogenous phospholipid (PL) as vehicle for thrombin generation and test the hypothesis that trauma patients will have increased plasma procoagulant PL as a result of tissue injury. As with the MV analysis, Cryocheck was also used as reference plasma for every micro-titer plate of patient samples processed. Between two experienced research technologists, the Coefficient of Variation (CV) has consistently been $\leq 9\%$. We also observed significant lot-to-lot variation with the “PPP” reagent (Thrombinoscope BV, The Netherlands). See Appendix Figure 1 below. For example, we observed a 26% between-lot difference in thrombin peak height (nM). The data presented in the subsequent sections of this report are adjusted for lot to lot variations.

3. Demographic data: The mean \pm SD Age (years) and injury severity score of trauma patients were 48.0 ± 20.9 and 14.5 ± 10.7 , respectively; 69.7% patients were men and 12 patients died during their hospitalization for trauma. The trauma patients had the blood sampled at baseline, 6 hours, 12 hours, 24 hours, Day 3, day of VTE (if diagnosed) and on day of discharge (DC). Based on time of patient’s presentation to our Trauma Center and logistical reasons such as earlier discharge, not all patients have had their blood drawn at all these time points.

4. *Laboratory Results*: CAT and MV analyses have been completed on plasma samples from 426 acute trauma patients and 89 healthy controls; we present preliminary statistical analyses on 415 patients who had CAT analysis performed of which 387 patients had platelet derived (CD42a), procoagulant (Annexin V positive) MV analysis performed. Data are presented as median and median (min, max) unless otherwise noted; P value ≤ 0.05 was considered statistically significant.

Legend:

CD42a+ and Annexin V Pos (Q2)- Presence of procoagulant (phospholipid binding), platelet derived MVs

Annexin V Pos (Q2 Plus Q4) – Presence of all procoagulant MVs, regardless of cellular origin

Lagtime – time to initial thrombin generation (minutes)

Peak Height – peak thrombin activity (nM)

ttPeak – time to reach peak thrombin activity (minutes)

PPP reagent - Final concentration of 5pM Tissue Factor (TF) and 4 uM PCPS (phospholipid)

PRP reagent - Final concentration of 1 pM TF

- b) we present preliminary statistical analyses on the first 238 patients enrolled who had CAT and 174 patients who had platelet derived (CD42a), procoagulant (Annexin V positive) MV analyses performed . When available serial plasma samples were analyzed, we note that: Relative to time of discharge, trauma patients have greater number of procoagulant MVs, shortened LagTime and increased Peak thrombin activity (Tables 1 and 2) early after injury. Towards the end of discharge these values decrease:

Table 1	Baseline (n=59)	6 hr (n=115)	12 hr (n=126)	24 hr (n=100)	Day 3 (n=65)	Discharge (n=72)
CD42a+/Annexin V Pos MVs (#/uL)	39 (20-80)	22 (12-42)	18 (11-35)	19 (11-31)	25 (15-43)	59 (35-82)
Any Annexin V Pos MVs (#/uL)	783 (363-1580)	299 (159-651)	237 (139-578)	229 (147-569)	343 (202-870)	332 (183-747)

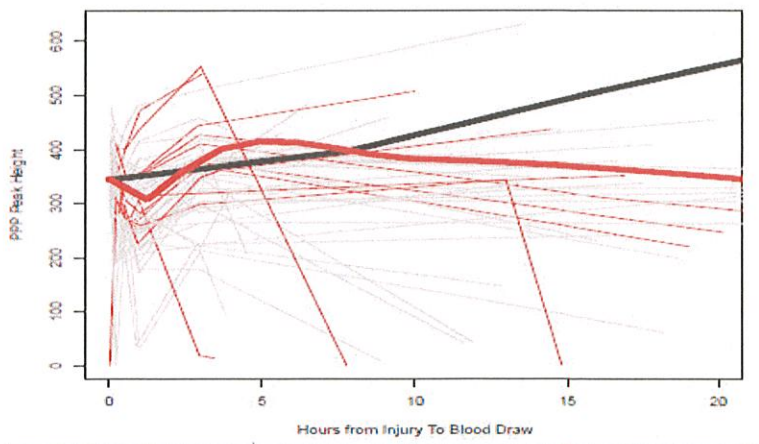
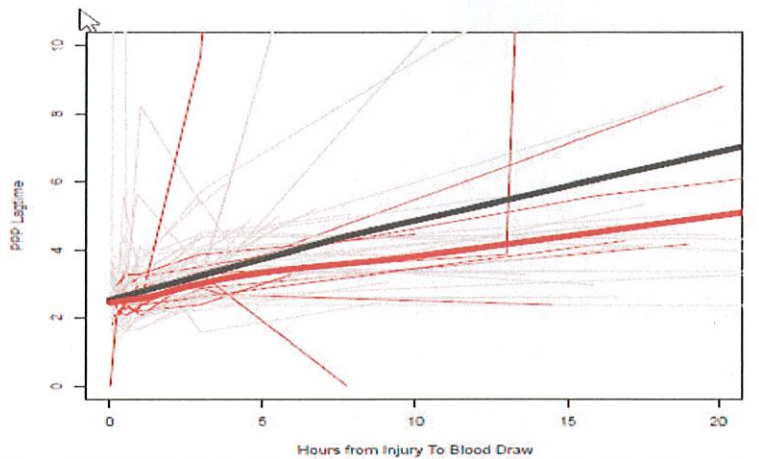
Table 2	Baseline (n=116)	6 hr (n=178)	12 hr (n=203)	24 hr (n=160)	Day 3 (n=108)	Discharge (n=120)
PPP LagTime (min)	3 (2.6-3.4)	2.9 (2.5 -3.3)	3.4 (2.6-3.4)	3.3 (2.7-3.7)	3.7 (3-4.3)	4.2 (3.4-6.0)
PRP LagTime (min)	7.3 (6.3-8.5)	7.7 (6.3-9.6)	8.7 (7.3-10.4)	9.2 (7.7-11.6)	10.6 (8.4 -13.1)	12 (9.2-19.8)
PPP Peak Height (nM)	244 (213-277)	227 (202-255)	222 (194-247)	207 (177-233)	237 (203-266)	225 (152-265)
PRP Peak Height (nM)	79 (61-114)	52 (41-68)	41 (33-59)	39 (28-53)	34 (27-51)	36 (14-42)
PPP ttPeak (min)	5.5 (5-6.4)	5.3 (4.9-6.1)	5.6 (4.9-6.5)	5.7 (5-6.6)	6.0 (5.3-7)	7.4 (6-12.1)
PRP ttPeak (min)	14.7 (12.3-17.2)	16.7 (14.5-19.7)	18.6 (16.0-22.0)	18.8 (16.3-21.9)	20.3 (17.5-23.9)	22.8 (18.5-32.1)

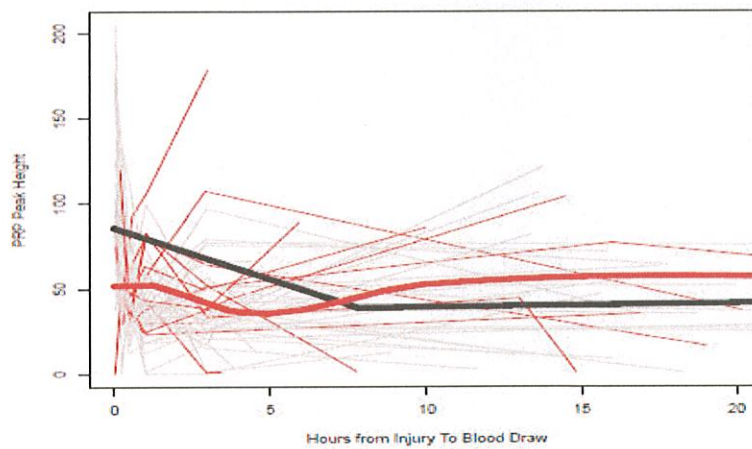
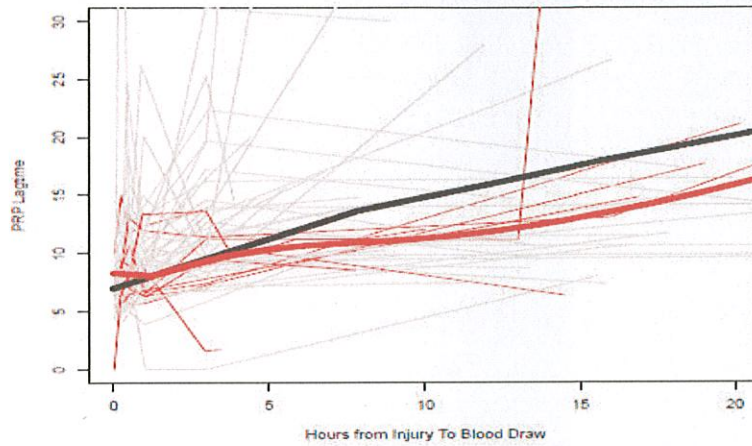
b) Trend Plot Over Time:

As we analyzed more plasma samples for CAT and MV analyses over the past 12 months, we analyzed data from 415 enrolled trauma patients. We initially ran trend plots on all CAT samples of all 415 patients (approximately 1900 samples); we then focused on CAT data samples from patients whose blood was drawn prior to any chemoprophylaxis based on in vitro heparin study done in our laboratory. Please refer to Appendix Figure 2 which shows that when unfractionated heparin, equivalent to the levels which would be found in blood of patients who receive chemoprophylaxis, was added to reference plasma samples during CAT analyses, thrombin generation was significantly impaired.

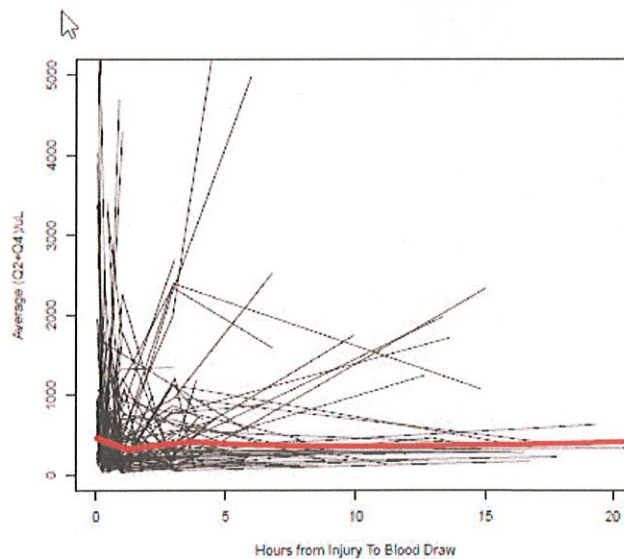
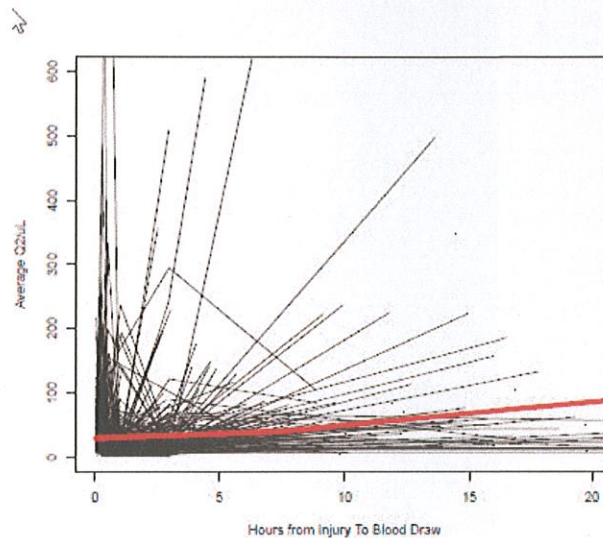
Hence, the following figures show trend plots of samples from patients prior to any heparin administration; with grey lines representing non-VTE patients, the red (thin) lines representing patients who developed VTE. The thick lines (black and red) represent a Loess smoother fit that show the overall trends in these patient groups (Non-VTE versus VTE, respectively). The figures focus on the first 20 hour blood draw after injury as we had most data points during this time period.

- i) The following four trend plots pertain to CAT variables Lagtime and Peak Heights utilizing PPP and PRP reagents, respectively:





- ii) The following two graphs depict platelet derived procoagulant (Average Q2) MVs counts per uL of plasma and All procoagulant MVs counts (Q2 Plus Q4) per uL of plasma:



As evident in these trend plots over time, no overall specific pattern of CAT variables and MV counts exist during the early period (within 24 hours) after injury.

c) Further Analyses of CAT Variables:

- i) Extreme CAT Value Analyses: Subsequent to trend plot analyses, we focused our attention on analyzing the extreme Lagtime, Peak Height and time to Peak CAT variables. Extreme values were defined as: lowest 5% Lagtime and ttPeak values and highest 5% Peak Height values. Such values would represent the most “hypercoagulable” CAT values:

Variable	N	Median (Min, Max)	Extreme 5% Value
PPP Lagtime (min)	1503	3.0 (0.0, 49.3)	2.0
PPP Peak Height (nM)	1503	326.2 (0.0, 659.1)	472.4
PPP ttPeak (min)	1503	5.0 (0.0, 297.8)	3.7
PRP Lagtime (min)	1504	8.9 (0.0, 67.9)	5.1
PRP Peak Height (nM)	1504	43.8 (0.0, 1916.2)	120.1
PRP ttPeak (min)	1504	18.1 (0.00, 1417.8)	10.9

- ii) Also, the time (days) to reach extreme values relative to time of injury was examined. It appears that the extreme values are reached early after trauma:

Time to Extreme Values (days)	Median (Min, Max)
PPP Lagtime	0.31 (0.00, 19.81)
PPP Peak Height	0.24 (0.02, 36.84)
PPP ttPeak	0.31 (0.00, 19.32)
PRP Lagtime	0.43 (0.00, 19.81)
PRP Peak Height	0.19 (0.02, 16.77)
PRP ttPeak	0.33 (0.00, 19.81)

- iii) We then looked for presence of associations between extreme CAT variables and relevant clinical variables (age, sex and injury severity score):

PPP Extreme Lagtime

	OR (CI)	P-value
Cont. Age/5	1.11 (1.06, 1.16)	<0.001
Sex	1.61 (1.16, 2.23)	0.005
ISS	0.97 (0.96, 0.99)	<0.001

PPP Extreme Peak Height

	OR (CI)	P-value
Cont. Age/5	1.04 (0.99, 1.08)	0.11
Sex	2.06 (1.44, 2.94)	<0.001
ISS	1.02 (1.00, 1.03)	0.10

PPP Extreme ttPeak

	OR (CI)	P-value
Cont. Age/5	1.09 (1.04, 1.13)	<0.001
Sex	1.89 (1.36, 2.62)	<0.001
ISS	0.96 (0.95, 0.98)	<0.001

PRP Extreme Lagtime

	OR (CI)	P-value
--	----------------	----------------

Cont. Age/5	1.07 (1.03, 1.11)	0.002
Sex	2.17 (1.56, 3.03)	<0.001
ISS	0.98 (0.96, 0.99)	<0.001

PRP Extreme Peak Height

	OR (CI)	P-value
Cont. Age/5	1.02 (0.98, 1.07)	0.37
Sex	1.26 (0.87, 1.83)	0.22
ISS	0.99 (0.97, 1.00)	0.14

PRP Extreme ttPeak

	OR (CI)	P-value
Cont. Age/5	1.01 (0.97, 1.05)	0.78
Sex	2.13 (1.52, 2.98)	<0.001
ISS	0.97 (0.96, 0.98)	<0.001

iv) Receiver operating characteristic (ROC) curves were then generated to see how well CAT variables (from any non-heparinized blood samples collected during the first 8 hours after injury) improve the prediction of future development of VTE when added to a multivariable model with age, sex and ISS:

Variable(s) in Model	C-statistic	p- value
Age, ISS and Sex	0.692	0.042
+Plus PPP Lagtime	0.793	0.003
+ Plus PPP ttPeak	0.791	0.002
+Plus PRP Lagtime	0.753	0.006
+Plus PRP Peak Height	0.747	0.026
+ Plus PRP ttPeak	0.734	0.024
+Plus PPP Peak Height	0.710	0.090

Receiver operating characteristic (ROC) curves generated thus far reveals that, in conjunction with age, sex and ISS, the Lagtime and ttPeak (using the PPP reagent) best correctly predict future VTE with model concordance of about 80%.

We are currently performing similar analysis for the MV variables.

Key Research Accomplishments:

- Standardization of methods to perform the MV analysis by flow cytometry and thrombin generation by calibrated automated thrombinogram (CAT).
- Screened 1858 trauma patients and enrolled 865 patients and 89 volunteers into the proposed study.
- Plasma sample analysis of 426 patients performed each patient having an average of three samples for CAT and MV analysis.
- Through a prospective cohort study of patients with major trauma, we estimated the post-trauma procoagulant MV concentration and thrombin generation distribution over time.
- Patients with “Extreme” CAT variables consistently have greater injury severity scores (ISS) and were older.
- Receiver operating characteristic (ROC) curves generated thus far reveals that, in conjunction with age, sex and ISS, the Lagtime and ttPeak (using the PPP reagent) best correctly predict future VTE with model concordance of about 80%.
- Enrolled 73% of trauma patients who developed VTE for eventual data analysis as outlined in Aim 2.

Reportable Outcomes:

- In the evaluation of the trauma patients as a group, thrombin generation is accelerated and elevated early after traumatic injury relative to their time of discharge.
- In the evaluation of the trauma patients as a group, there is greater number of procoagulant MVs after traumatic injury relative to their time of discharge.
- Reference plasma should be used in conjunction with patient samples to assess consistency in technique and methods.
- Reference plasma should be used in conjunction with patient samples to assess for any lot-to-lot variability of reagents purchased commercially.

Conclusion: Over the past 32 months since the study inception, we successfully enrolled and analyzed plasma samples of trauma patients. We estimated the distribution over time of procoagulant MVs by cell origin and thrombin generation (Aim 1) and found that, overall, patients are in a hypercoagulable state relative to their time of discharge. As evident on the trend plots over time, no overall specific pattern of CAT variables and MV counts were observed during the first 24 hours after injury. However, for those patients who develop “Extreme” CAT variables do so early after injury and they have consistently greater injury severity scores (ISS). Furthermore, when receiver operating characteristic (ROC) curves were generated to assess for how well CAT variables (from any non-heparinized blood samples collected during the first 8 hours after injury) correctly predict future development of VTE, Lagtime and ttPeak (using the PPP reagent) best correctly predict future VTE with model concordance of about 80%. Further statistical analyses are currently underway to include all laboratory variables collected during first 24 hours of injury in addition to other clinical data, present at time of admission, which may improve our ability to predict future development of VTE in the actually injured.

As outlined in Aim 2, the ultimate goal of our study to develop a VTE predictive signature model for an individual who, based on the thrombin generation and MV analysis, can be stratified into low versus high risk for deep vein thrombosis and pulmonary embolism after trauma. We have thus far enrolled 58 patients out of 80 VTE cases needed based on initial power analysis. As the power of the study is dependent on the number of VTE patients enrolled, efforts are currently underway to re-review the medical records of all enrolled patients within and outside Mayo Healthcare System to ensure that we have not missed any index cases. A 12-month no-cost extension has been requested in order to give us an opportunity to enroll additional trauma patients to meet this goal. Once desired enrollment is completed, we will assess the role of CAT and MV variables in predicting

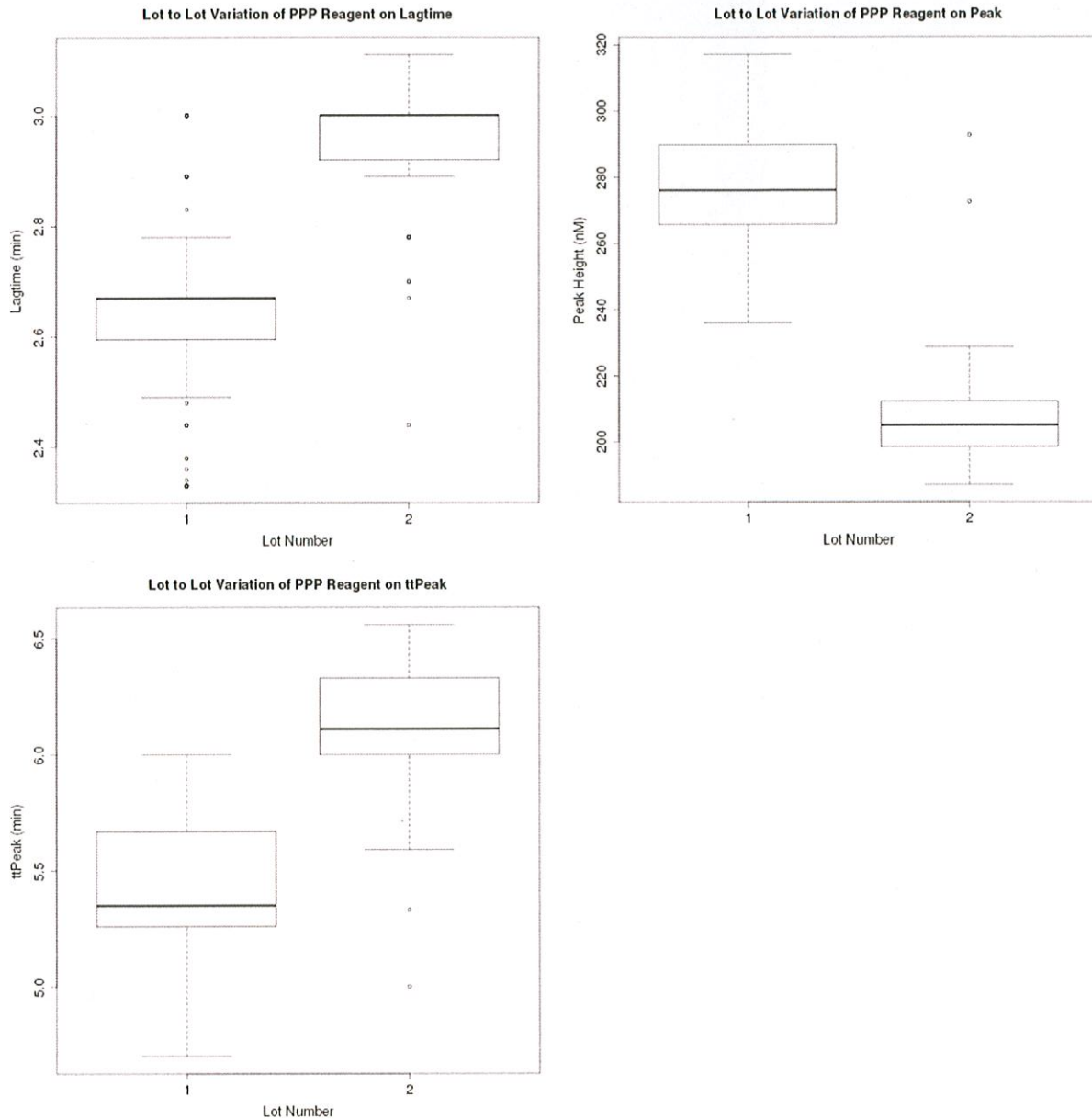
those trauma patients who subsequent to trauma, develop VTE. In thus doing, bleeding complications related to chemoprophylaxis could be minimized by assessing the blood physiology of individual patients rather than utilizing population-based algorithms. Conversely, it may be determined that other patients require more aggressive chemoprophylaxis than is currently administered.

Appendix:

Figure 1:

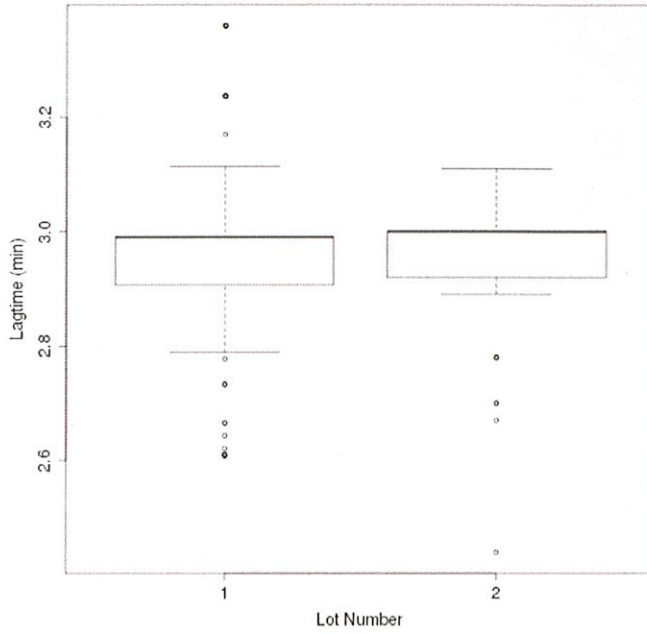
a) Lot to lot variation of PPP reagents sold by Thrombinoscope BV accounted for during calculation of CAT parameters: Lag Time (min), Peak Height (nM) and ttPeak (min). The Graphs depicts the adjusted CAT results of Cryocheck reference plasma

- Lot-to-lot variation between lot #1 (original) and lot#2 (new)

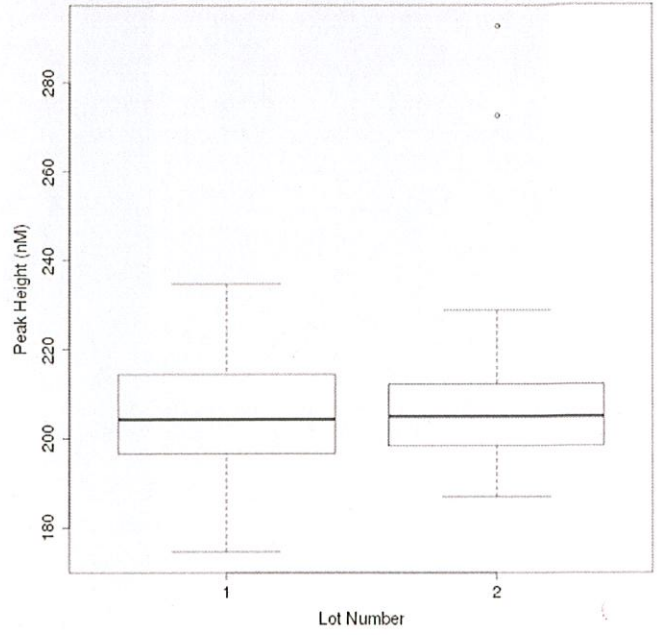


b) After adjustment:

Adjusting for Lot to Lot Variation of PPP Reagent on Lagtime
Lot 1 = x 1.12



Adjusting for Lot to Lot Variation of PPP Reagent on Peak
Lot 1 = x 0.74



Adjusting for Lot to Lot Variation of PPP Reagent on ttPeak
Lot 1 = x 1.14

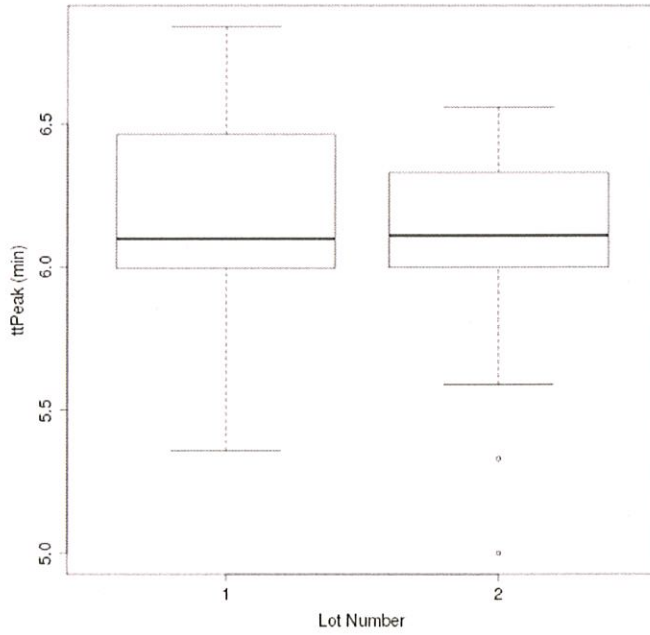


Figure 2: In order to assess the effects of prophylactic dose of heparin on CAT analysis, we performed CAT analysis of reference plasma (CC) with addition of 0.1 unit and 0.2 unit per mL plasma concentration of unfractionated heparin to the microtiter wells. These values are equivalent to what we expect to find in plasma of patients who receive prophylactic dose of heparin. We also ran the reference plasma (CC) without any heparin, as well as an additional high heparin dose of 0.4 unit per mL plasma. We have found significant decrease in thrombin generation when heparin is added at all three doses:

