

**Enhanced Ultra-Wideband (UWB) Circular Monopole
Antenna with Electromagnetic Band Gap (EBG) Surface
and Director**

**by Amir I Zaghoul, Youn M Lee, Gregory A Mitchell, and
Theodore K Anthony**

ARL-TR-7041

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14. ABSTRACT A circular monopole antenna fed with a coplanar waveguide is designed as an ultra-wideband (UWB) antenna for ground-penetrating radar. The performance of the antenna is enhanced by adding an electromagnetic band gap (EBG) surface on one side and a monopole director on the other side. The EBG surface can be a single-resonance structure or dual or multi-resonance structure for wider bandwidth. The enhanced design shows higher and flatter gain across the wideband, with different levels of improvements, depending on the choice of the additional layers, which also impacts the overall profile of the antenna. Simulation results of a number of designs are presented, along with measurement results of one design. Comparison of measured and simulated results is presented and shows good agreement. The target frequency band for the design is 0.7–3.0 GHz.					
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1. Introduction

This report describes the design, fabrication, and testing of a prototype antenna for an application in ground-penetrating radar (GPR). The application of this antenna is for the detection of buried objects by Soldiers on foot using a handheld device.

The design goals for the antenna were to obtain return loss (S11) less than -10 dB with a positive realized gain for a frequency range of 0.7–3 GHz. Furthermore, the size of the antenna is not to exceed 15.24 x 15.24 x 7.62 cm (6 x 6 x 3 inches) as a design goal. Since the antenna structure is handheld, the weight of the antenna is a critical design component. Therefore, an effort is made to incorporate lightweight materials in the antenna design to minimize the total weight while meeting the above criteria.

If the signal transmitted and received by the antenna is in the form of a narrow pulse, an ultra-wideband (UWB) antenna would be needed. To reconstruct the pulse with high fidelity, the antenna gain should have minimum variation over the frequency band. The minimum gain variation over the band may also be desirable in stepped continuous wave (CW) GPR systems. Although the system may have the ability to calibrate out the gain variation with frequency, adding the gain variation as a parameter was considered a factor in choosing the multi-layer structure presented in this report.

The design philosophy evolved as follows. The basic UWB monopole antenna radiates on both sides of the flat circular radiator with a wide gain variation over the required bandwidth of 0.7–3.0 GHz. GPR systems require the antenna to radiate in one direction, with a high front-to-back ratio in the radiation pattern. Conventional GPR antenna designs use cavity-backed spiral antennas with the right depth for the cavity or an absorber-backed cavity. This results in a limited bandwidth that depends on the cavity dimensions or lower gain as a result of the energy dissipation in the absorber.

2. UWB Circular Monopole Antenna

A well-known circular monopole UWB antenna fed by a coplanar waveguide¹ was chosen as a candidate antenna because of past experiences in working with this type of antenna design. The antenna was simulated and various parameters such as coplanar waveguide dimensions and radius of the antenna were optimized using High Frequency Structural Simulator (HFSS) software. The substrate of the antenna is a 0.1524 cm-(0.06 inch)-thick, Rogers 4350b dielectric material. This substrate was chosen to limit the size of the antenna to be within the size and

weight design constraints. The relative dielectric constant (ϵ_r) of the substrate is 3.48. Figure 1 shows the layout of the basic UWB circular monopole antenna fed by a coplanar waveguide.

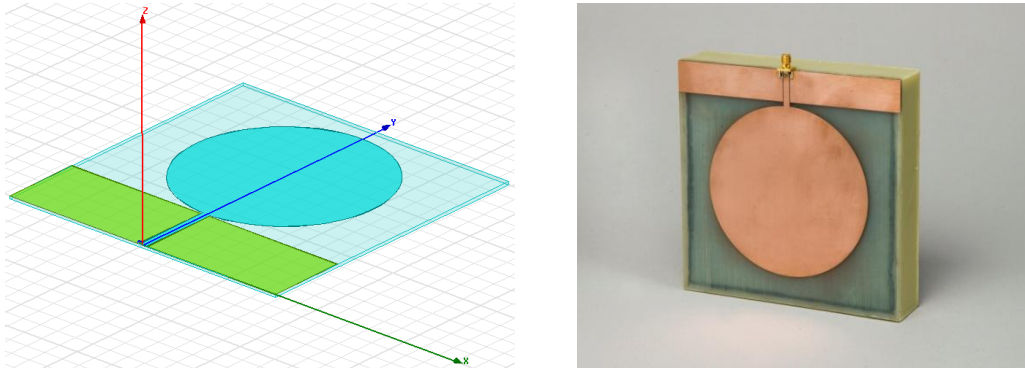


Fig. 1 Layout and picture of the basic UWB circular monopole antenna

The design of the antenna structure was optimized by iterations using HFSS. The radius of the circular monopole was chosen to fit the given size limit of the substrate based on this optimization. The antenna feed incorporates a coplanar waveguide to maximize its performance and attain the best match in the lower part of the frequency band. Figure 2 shows the S11 of the antenna shown in Fig. 1. It shows good return loss across the design frequency band.

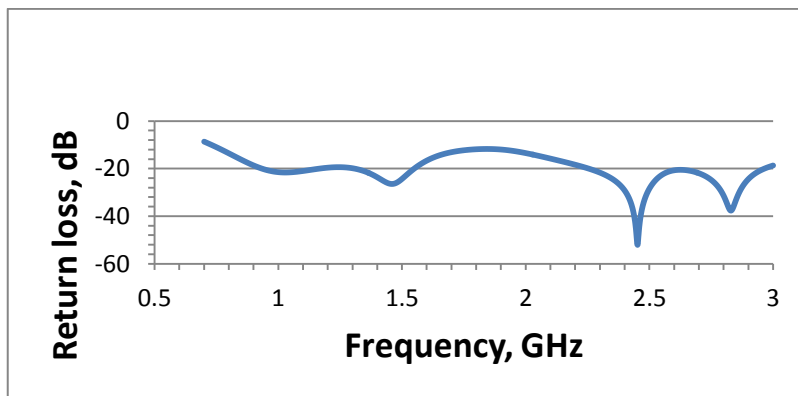


Fig. 2 Computed S11 of the basic UWB circular monopole antenna

Figure 3 shows a computed three-dimensional (3-D) radiation pattern of the antenna at 0.9 GHz. As noted in Fig. 1, the antenna is placed on the x - y plane, and the SMA connector feeding the antenna is located at coordinates' origin such that the monopole is along the y -axis. The pattern is expected to be nearly uniform in the perpendicular x - z plane and directional in the y - z plane, as verified in the simulated pattern in Fig. 3. The realized gain of the monopole is shown in Fig. 4, which varies by more than 17 dB over the frequency band of interest. Clearly seen in Fig. 4 is the fact that the realized gain only stays positive from 0.7–1.6 GHz. The uniform radiation in all directions in the x - z plane is not needed for the GPR application, where only half-space radiation is required. Limiting the beam to half-space radiation would increase the gain, which is the main purpose of modifying the circular monopole design by adding other layers. Also, the 17-dB

variation of the gain over the frequency band may introduce errors in reconstructing the pulsed signal that occupies the full 0.7–3.0 GHz band.

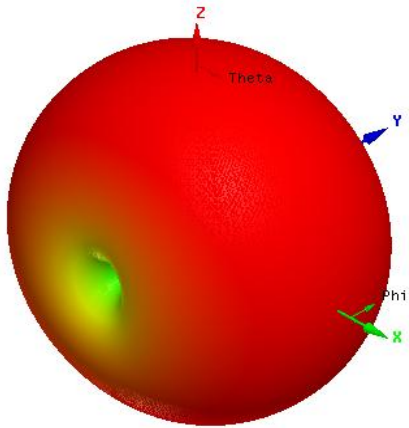


Fig. 3 Computed 3-D radiation pattern of the UWB monopole antenna at 0.9 GHz

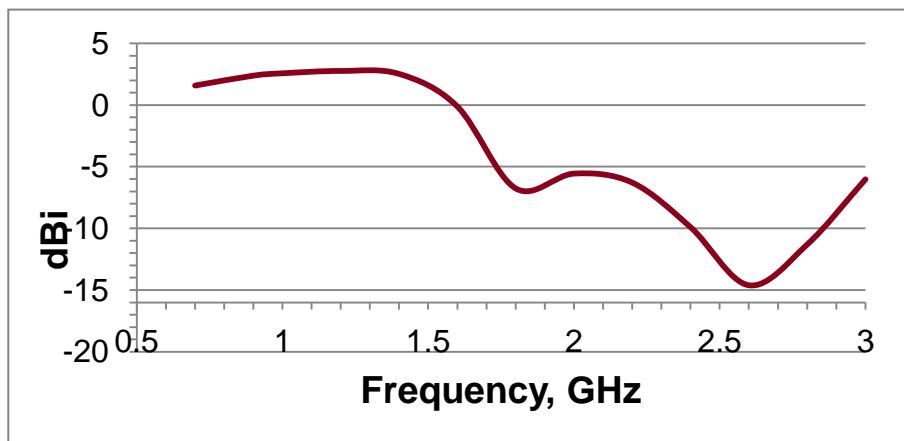


Fig. 4 Computed realized gain of the antenna at broadside from 0.7–3.0 GHz

3. Design Enhancement Using Yagi-Uda Concept

The Yagi-Uda dipole array^{2,3} is a directional antenna consisting of a driven element (usually a dipole or folded dipole) and additional parasitic elements (referred to as a reflector and one or more directors). Figure 5 shows a typical 3-element Yagi-Uda array. The induced currents on the reflector and director dipoles have a phase relative to the driven dipole that is a function of the spacing, and such that their radiation in the forward direction is coherent with that of that driven dipole. On the other hand, the radiations in the backward direction cancel each other, and the array radiation pattern forms a directive beam with a high front to back ratio. The result is a higher gain antenna relative to the dipole's omnidirectional gain.

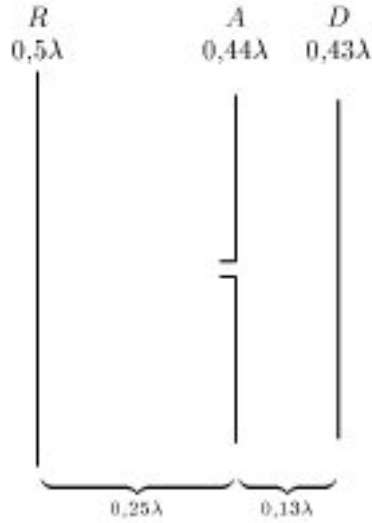


Fig. 5 A typical 3-element Yagi-Uda dipole array

The concept of the Yagi-Uda array is used here to enhance the gain of the UWB circular monopole. To reduce the radiation to the back-side of the monopole and increase its gain, a reflector layer can be added at the side of the monopole that is opposite to the director side. A conducting ground plane can function as such a reflector, but it has to be placed a quarter-wavelength under the monopole in order to produce the right reflection phase. This narrowband solution also has the disadvantage of increasing the dimension of the antenna in the direction perpendicular to the monopole plane.

By putting a perfect metal conductor behind an antenna, a reflection will occur at -180° phase difference, which leads to cancellation of the radiating waves. Placement of the sheet at one quarter wavelength alleviates this problem but requires a minimum thickness or spacing of $\lambda/4$. However, spacing the antenna at one quarter wavelength of the center frequency so that the reflected wave and the radiated wave constructively combine (along the bore-sight of the antenna) tends to consume excessive space with efficient addition of the radiated and reflected wave happening over a narrow band. Moreover, surface currents or waves may develop in the metal sheet, leading to the propagation of interfering waves of radiation.

On the other hand, if a high-impedance or a magnetic-conducting surface is used as the reflector, its reflection phase becomes close to zero close to the reflecting surface. Placing the monopole a short distance above this surface would make the reflected radiation coherent with the monopole radiation in the bore-sight direction. This would satisfy the need for coherent radiation in the forward direction without the need for the quarter-wavelength spacing between the monopole and the reflecting surface. The high impedance or the magnetic conducting surface can be realized using an electromagnetic band gap (EBG) structure. If the EBG structure is designed to operate over a wide band, the UWB element will continue to function within the specified bandwidth with reasonable gain variation in the forward direction, and with low back lobe.

Following the Yagi-Uda design, an undriven director is located on the other side of the active monopole and uses the same design with smaller dimensions. The resultant combination EBG/UWB monopole radiator/monopole director element (Fig. 6) produces the desired function based on using the Yagi-Uda array concept.^{4,5}

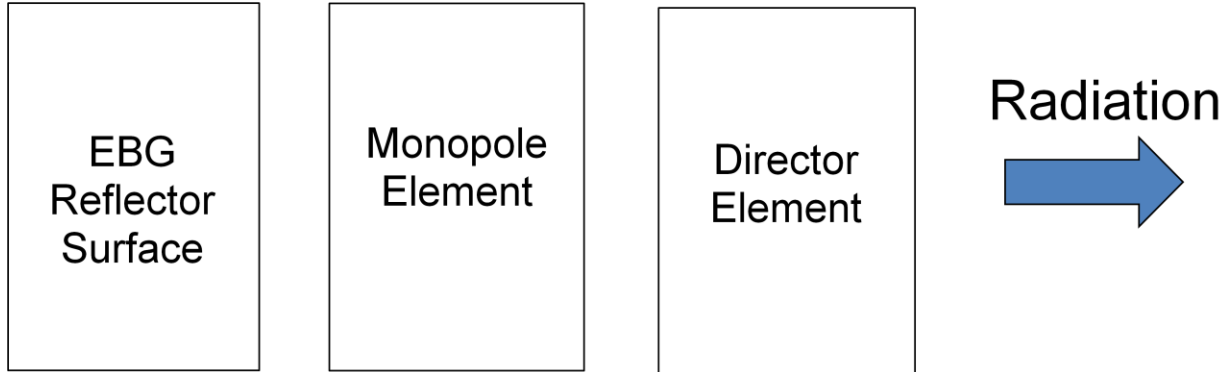


Fig. 6 The concept of enhanced UWB monopole antenna

4. Electromagnetic Band Gap Surface (EBG) Design

EBG surfaces act as perfect magnetic conductors (PMCs) at a resonance frequency determined by the dimensions of the surface components. In contrast with the perfect electric conductor (PEC), which has a reflection phase of 180° , the PMC has a reflection phase of 0° at resonance. This enhances the radiation of an antenna placed on this surface by reflecting an in-phase, or coherent, signal that adds to the antenna radiation. One of the common EBG designs, known as mushroom design, is based on a periodic array of patches connected to a ground plane via conductive pins, as shown in Fig. 7. A variation on that design removes the pins with proper adjustments in the patch and array dimensions, are also shown in Fig. 7. The removal of the pins simplifies the fabrication of the EBG surface.

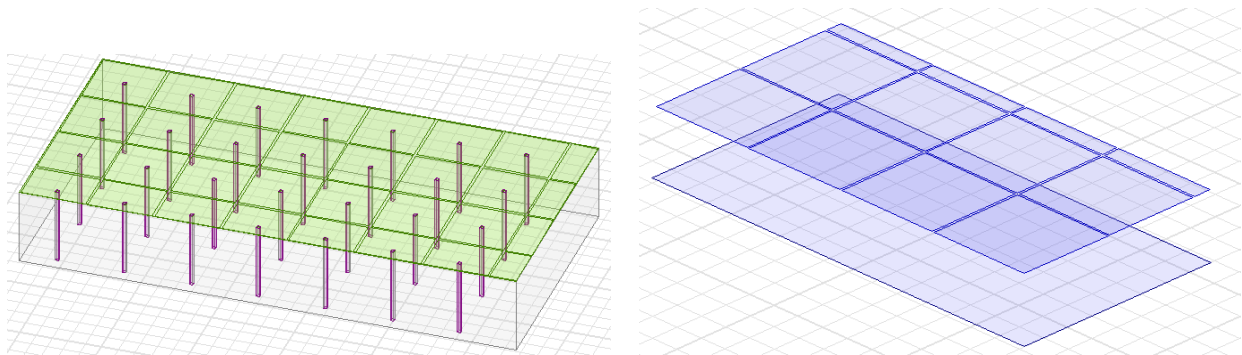


Fig. 7 Conventional mushroom EBG surface (left) vs. EBG surface without vias/pins (right)

Conventional EBGs have narrow bandwidths that are considered ineffective in wideband cases such as the UWB antenna discussed in this report. An example of results using a conventional single-layer mushroom (patches with pins) EBG as the reflector surface is shown in Fig. 8. It shows improvement over the UWB monopole without EBG, but still shows negative gain in part of the band.

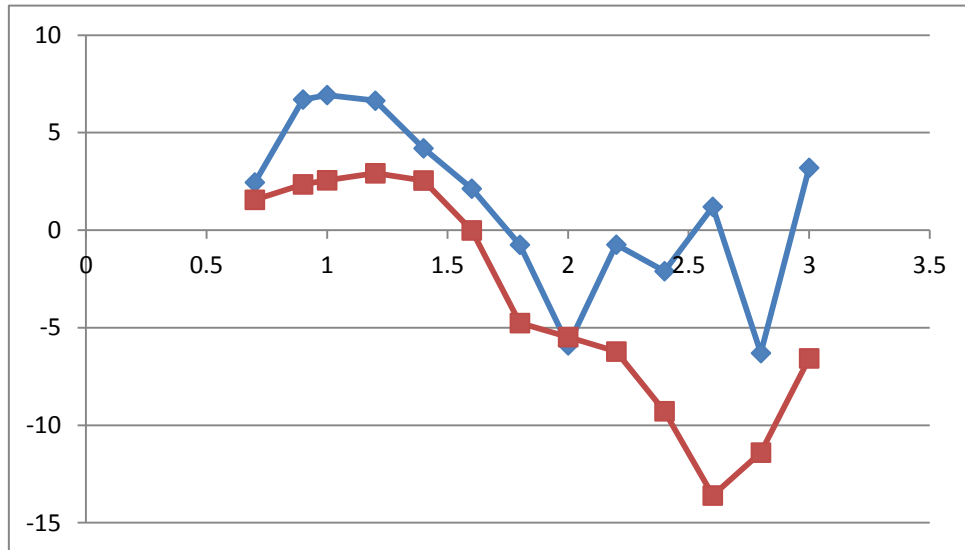


Fig. 8 Antenna gain comparison computed from 0.7–3 GHz for UWB circular monopole antenna in free space (red squares) and the antenna over a single-layer mushroom EBG (blue diamonds)

Two methods to increase the EBG surface bandwidth are to use progressive^{6,7} or stacked EBG^{8,9} structures that produce the required high-impedance surface over a broad band. The stacked EBG is chosen for the current UWB monopole. The design is made of multiple layers of periodic patches, as shown in Fig. 9. Because of the difficulty in fabricating stacked mushroom EBG layers, it was chosen to use layers of different patch sizes without pins or vias. The figure shows a section of a 2-layer EBG structure that would be placed closely under the UWB monopole. The height of the monopole above the EBG surface can be considerably less than the $\lambda/4$ required above a PEC surface. The dimensions of the periodic patches at the two layers are chosen to produce two resonances within the wide band of the antenna, with the net effect of broadband of reflection phase within a $\pm 90^\circ$ phase.

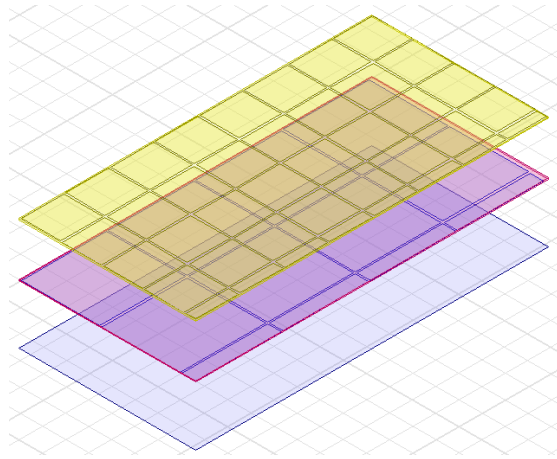


Fig. 9 Layout of a section of a 2-layer EBG structure above a ground plane

For the UWB antenna, operating over the 0.7–3.0 GHz band, the EBG layers are made of small patches of thin copper sheet bonded to the top or bottom of a dielectric substrate in a periodic fashion. The dimensions of the bottom EBG layer are 34 x 37 mm (1.34 x 1.46 inches), and the dimensions of the top EBG layer are 17 x 18.5 mm (0.669 x 0.728 inches). The bottom layer faces the ground plane and the top layer faces the antenna aperture. These orientations were chosen to optimize the results of gain calculations in HFSS. There is an air gap of 0.8 mm (0.031 inches) that exists between the copper patches comprising both EBG layers. There is a 22.35 mm (0.88 inch) thick sheet of foam placed between the two EBG layers, and a 25.4 mm (1 inch) thick sheet of foam between the second EBG layer and the ground plane. This was done to provide a flat surface for the EBG layers without affecting the electromagnetic performance of the antenna since foam is very nearly equivalent to air in terms of its electromagnetic properties.

Figure 10 shows the final design of the antenna including the ground plane and EBG layers of Fig. 9 as well as the antenna aperture of Fig. 1. It should be noted that the depiction of the antenna in Fig. 10 is mirrored about the y-axis. The support structure of the antenna (shown in green) is made of narrow FR4 fiberglass strips and is held together by an epoxy adhesive. The thickness of the fiberglass varies from 1.59–3.18 mm (0.0625–0.125 inches).

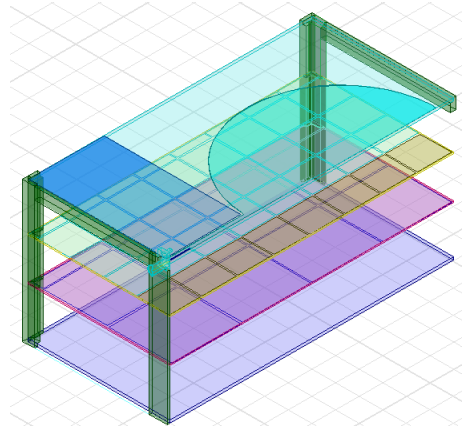


Fig. 10 Model of the circular monopole antenna above the ground plane and two EBG layers and fiberglass support structure; only half of the total structure is shown

The antenna design of Fig. 10 was simulated using HFSS and its performance was compared with the basic UWB antenna, shown in Fig. 1, and its gain and radiation pattern performances, shown in Figs. 11 and 12. The effect of adding the EBG layers is demonstrated in Fig. 11, where the gain at broadside exhibits significant increase relative to the UWB antenna by itself, as much as 18 dB at one point in the frequency response. In addition, the gain variation over the frequency band of interest (0.7–3.0 GHz) is reduced to around 8 dB, versus the 17 dB gain variation for the basic UWB antenna.

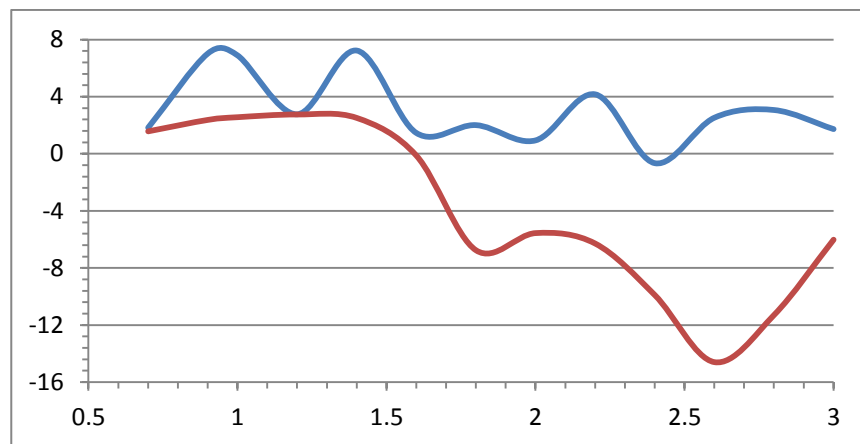


Fig. 11 Computed realized gain of the UWB antenna with 2-layer EBG without pins at broadside (blue) is overlaid with that of the antenna with no EBG layers (red)

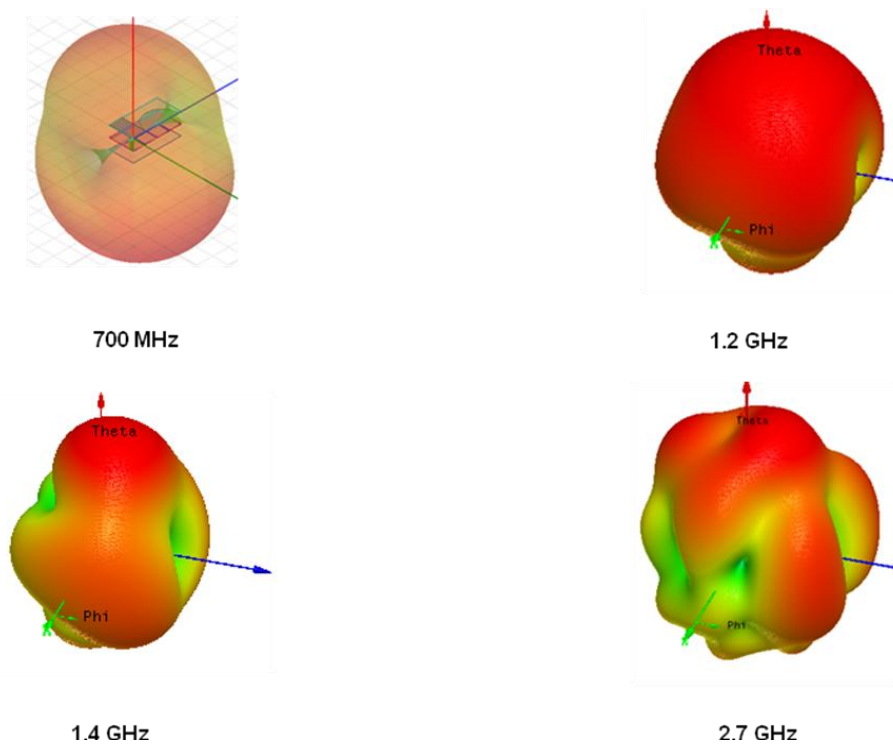


Fig. 12 Computed 3-D views of the radiation patterns at 0.7, 1.2, 1.4, and 2.7 GHz. The radiation pattern is plotted over the antenna structure at 700 MHz to show the orientation of the antenna relative to the radiation pattern.

As a result of the reflection off the EBG surface, the radiation pattern at different frequencies can vary in shape. This is shown in the simulated radiation patterns in Fig. 12 at 0.7, 1.2, 1.4, and 2.7 GHz. The orientation of the UWB antenna relative to the radiation pattern is shown in the 0.7 GHz pattern plot. Although the pattern shape changes significantly with frequency, the peak remains close to the broadside direction, with varying levels of backlobes at different frequencies.

5. Director Design

Similar to the well-known design of a Yagi-Uda dipole array, adding a monopole of similar or smaller diameter in front of the circular monopole described above would change the omnidirectional beam in the H-plane to a more directive beam. The second monopole acts as director and is placed at an optimum height above the coplanar waveguide (CPW)-fed monopole. This is also similar to the concept of stacked microstrip patches to produce multiple bands or a broadband. The goal here is to increase the gain within the same wide frequency band and flatten its response across the band. The resulting return loss of the circular monopole with the director is shown in Fig. 13. The improvement in the realized gain across the band is shown in Fig. 14. The new pattern has lower backlobes and substantially higher gain relative to the single circular

monopole. The gain of the circular monopole is identified in Fig. 14 by blue diamond-shaped plot. The gain of the monopole with a director is identified by the red square-shaped plot. It should be noted that the improvement in the return loss in the middle portion of the band corresponds to the higher realized gain, which also extends beyond the center portion.

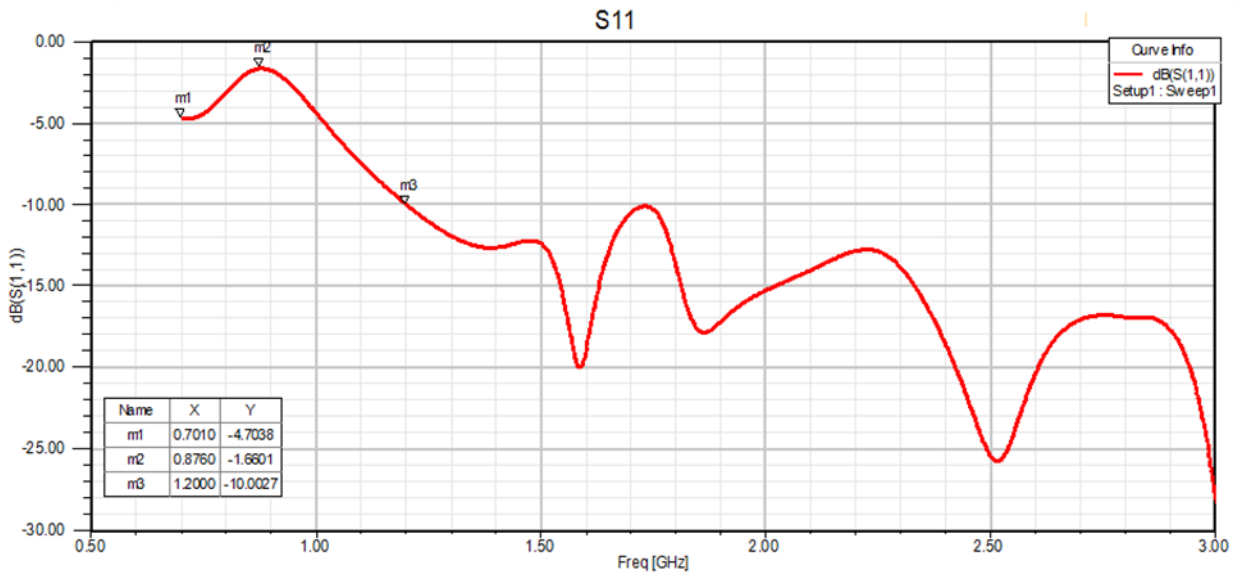


Fig. 13 The plot is for S11 of an antenna with a director. Beyond the third marker is -10 dB in return loss, starting at 1.2 GHz.

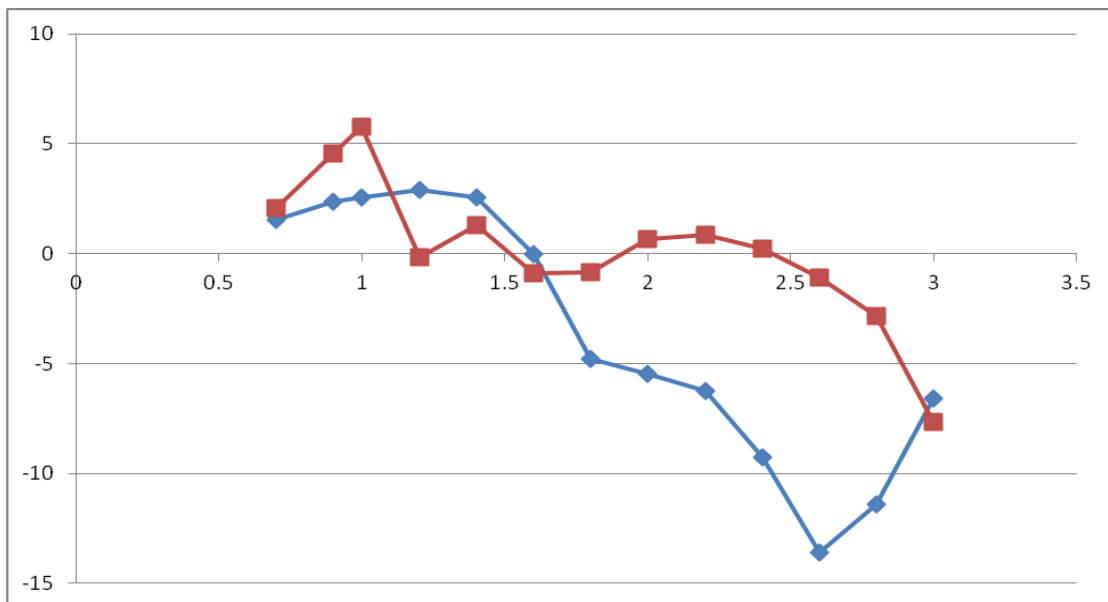


Fig. 14 Antenna return loss computed from 0.7–3 GHz. The blue line with diamond tick marks is the realized gain of the antenna. Antenna with a director was also computed and their combined result is plotted in red with square tick marks.

6. UWB Antenna with EBG and Director

Following the analogy of the Yagi-Uda array, to reduce the radiation to the back side of the monopole and increase its gain, a reflector layer can be added at the side of the monopole that is opposite to the director side. A conducting ground plane can function as such a reflector, but it has to be placed a quarter-wavelength under the monopole in order to produce the right reflection phase. This narrowband solution also has the disadvantage of increasing the dimension of the antenna in the direction perpendicular to the monopole plane. An alternative to the conducting-plane reflector is the EBG surface. The EBG surface can be designed to operate over a wide band, and because of its reflection phase characteristics, it can be placed close to the monopole plane. This reduces the overall size of the antenna.

An example of such design of the UWB antenna with a director and a single-layer mushroom EBG surface was simulated to show the concept. The return loss plot of the monopole-plus-director over an EBG surface is shown in Fig. 15.

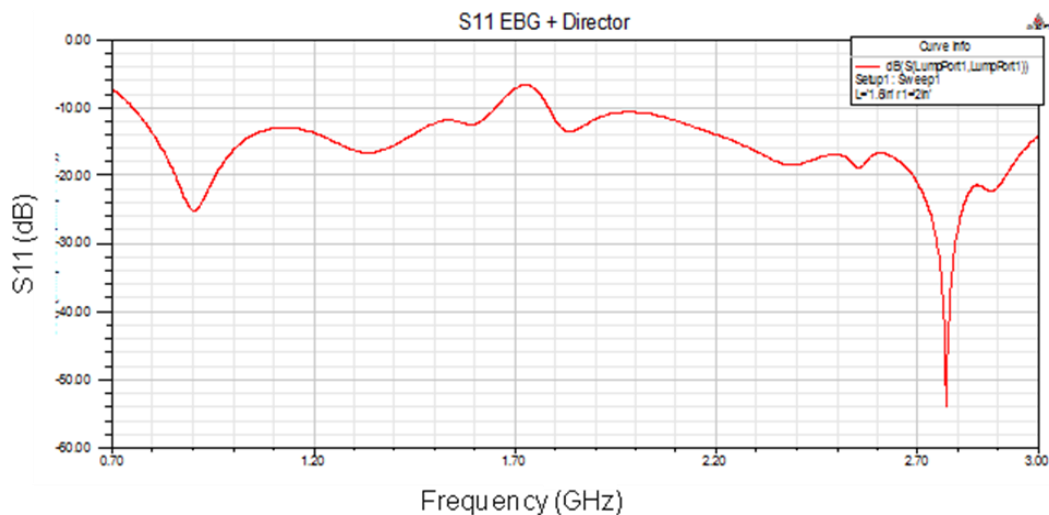


Fig. 15 Return loss plot of an UWB antenna with a director and the EBG structure

The effect of adding the EBG surface on the gain of the director-enhanced monopole antenna is shown in Fig. 16. The figure shows the four cases of the circular monopole by itself, the circular monopole with a director, the circular monopole over a single-layer EBG reflector surface with pins, and the circular monopole with a director on one side over a reflective single-layer EBG surface with pins on the other side. The figure shows that the combination of a director element in front of the monopole and an EBG reflector surface behind it produce the predicted Yagi-Uda effect of high directive gain across the wide frequency band.

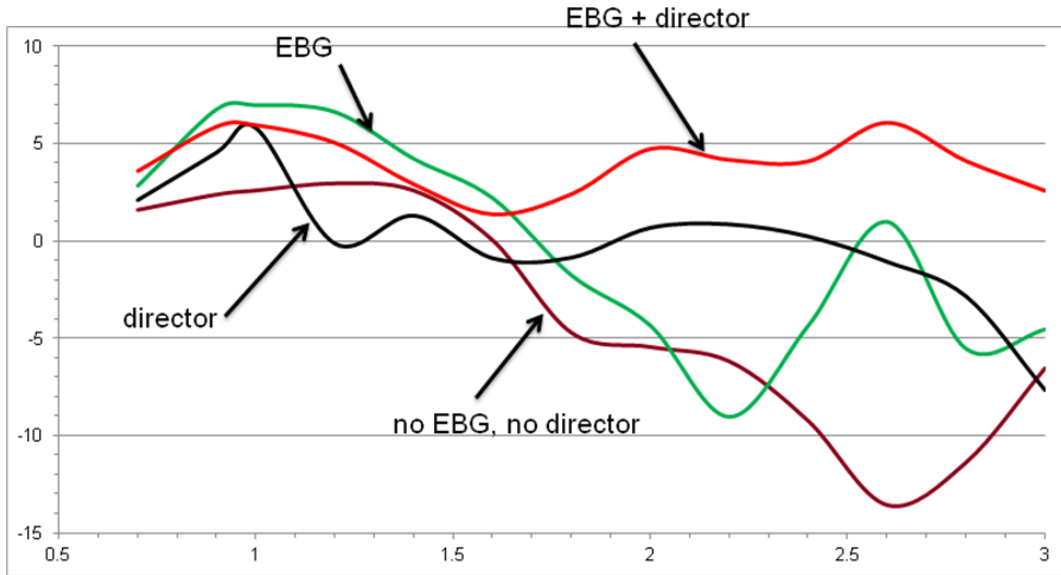


Fig. 16 Realized gain plot of an UWB antenna with different combinations of adding a single-layer EBG reflective surface and a director

7. Prototype Fabrication and Measurements

A prototype for the UWB antenna was fabricated and tested. The design selected for fabrication is the UWB with two layers of EBG surfaces with no pins, as shown in Fig. 10. A picture of the fabricated antenna is shown in Fig. 17. To limit the height of the element and keep it within the design goal of 3 inches, no director element was added. The simulated realized gain was shown previously in Fig. 11. That figure shows realized gain of the antenna at broadside from 0.7 to 3.0 GHz. The realized gain (blue) improves considerably over that of the UWB element by itself. The addition of the two EBG layers has resulted in an improvement of nearly 17 dB over this range.

A Picture of Fabricated Antenna

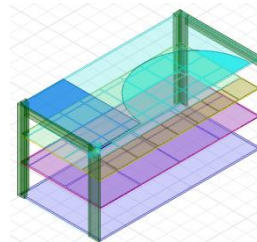


Fig. 17 A picture of the fabricated UWB antenna over a 2-layer EBG surface without pins

To measure the prototype antenna in the anechoic chamber, an end launcher was used to excite the antenna structure. This end launcher has two sets of tabs designed to secure it against the

substrate. However, the presence of these tabs caused noticeable effects on the measured return loss versus simulation especially above 2.3 GHz. To remedy this, the tabs were removed and thin strips of fiberglass were added on either side of the end launcher using epoxy adhesive to bond the connector to the fiberglass strips.

Figure 18 shows the measured S11 in black overlaid with that of the computed values in red. The S11 of the antenna in Fig. 17 shows some deterioration compared to that of the original antenna in Fig. 2 because of the presence of the EBG layers and fiberglass frame. This deterioration causes our antenna to have a worse S11 than the design goal of -10 dB over the frequency range. The measured gain of the antenna is plotted in Fig. 19 and compared with the simulated realized gain of original UWB monopole and the simulated gain of the UWB antenna over the 2-layer EBG. Both measured and simulated results for the EBG-backed UWB antenna show improvement over the original design. However, discrepancies between simulated and measured results indicate fabrication issues and possible bandwidth limitations in the fabricated EBG relative to the simulation. Further studies in the design are warranted.

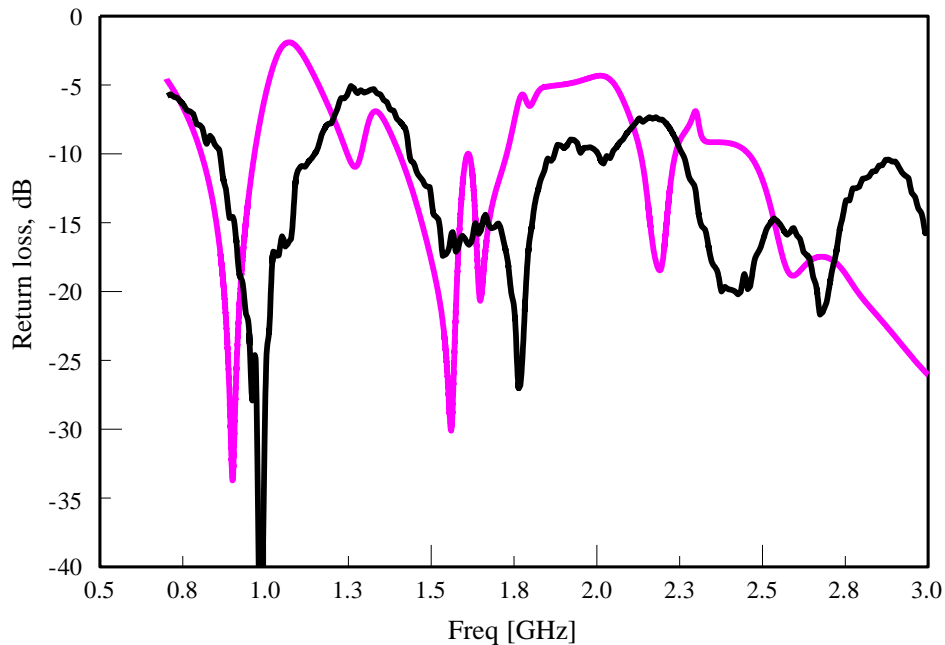


Fig. 18 Measured S11 (black) of the EBG antenna overlaid with computed S11 (red)

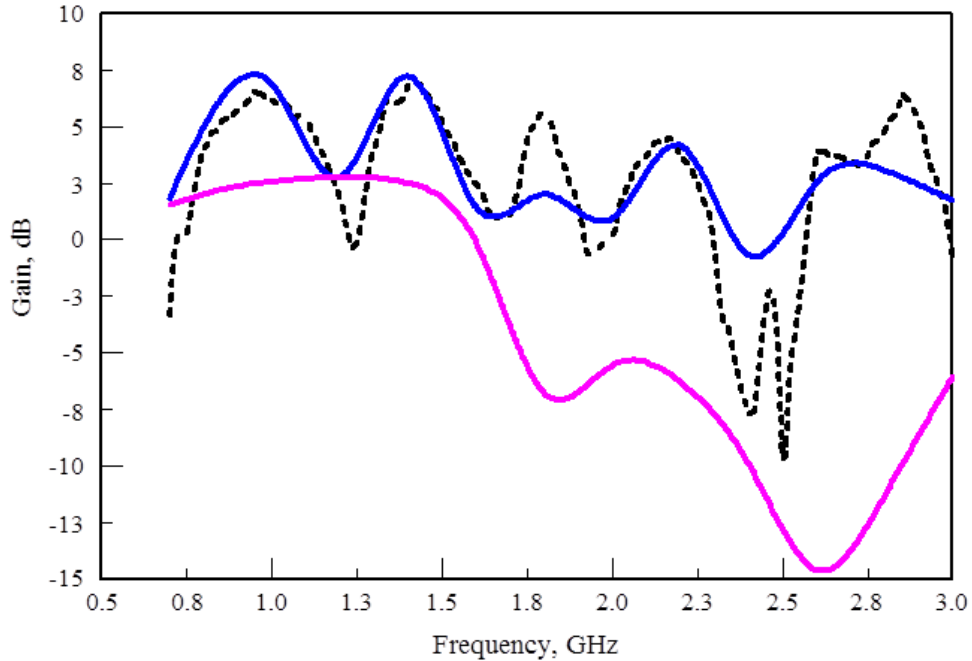


Fig. 19 Realized gain for UWB antenna: Red, simulated UWB circular dipole; Blue, simulated UWB antenna over 2-layer EBG surface; and Black: measured fabricated UWB antenna over 2-layer EBG

The radiation patterns were measured and compared with the simulation. Figure 20 shows the radiation patterns of the E-plane cut at 1.0, 1.4, 2.2, and 2.7 GHz. The red curves represent simulated patterns and the black curves represent the measured patterns. Figure 21 shows the radiation patterns of H-plane cut at 1.0, 1.4, 2.2, and 2.7 GHz. Again, the red curves represent simulated patterns and the black curves represent the measured patterns. Figures 20 and 21 show agreement between the simulated and measured patterns.

Since the antenna will be used for GPR, the radiation patterns are only measured for the top hemisphere. The radiation patterns shown in Figs. 20 and 21 are normalized for easy comparison. There are some minor discrepancies, but generally there is good agreement between simulation and measurement. The weight of the final prototype was measured to be 299.4 gm (0.66 lb).

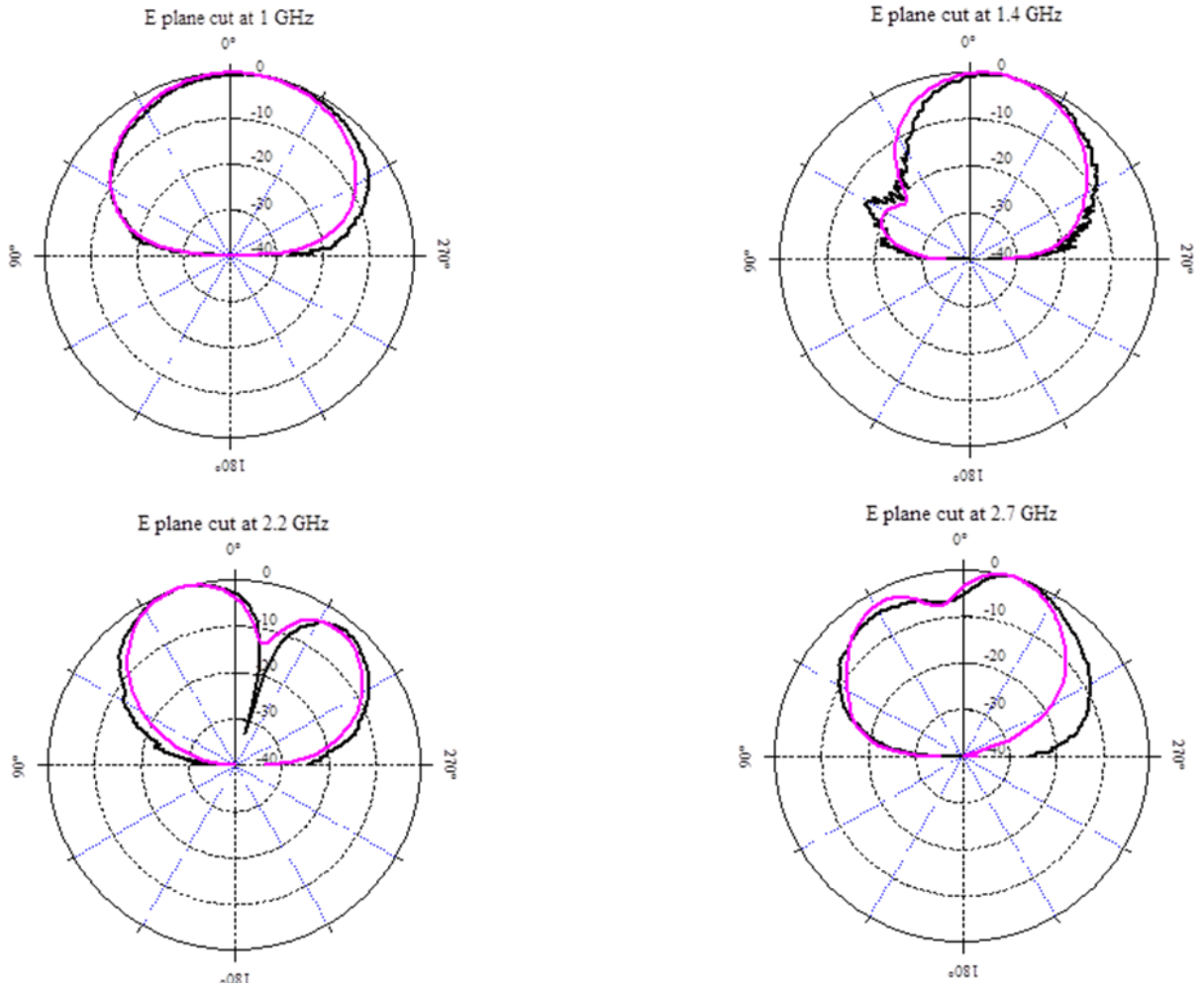


Fig. 20 Comparison of E-plane cut of the normalized E-field radiation patterns at 1.0, 1.4, 2.2, and 2.7 GHz. The red curve represents the computed data and the black represents the measured data for the UWB antenna over the 2-layer EBG surface.

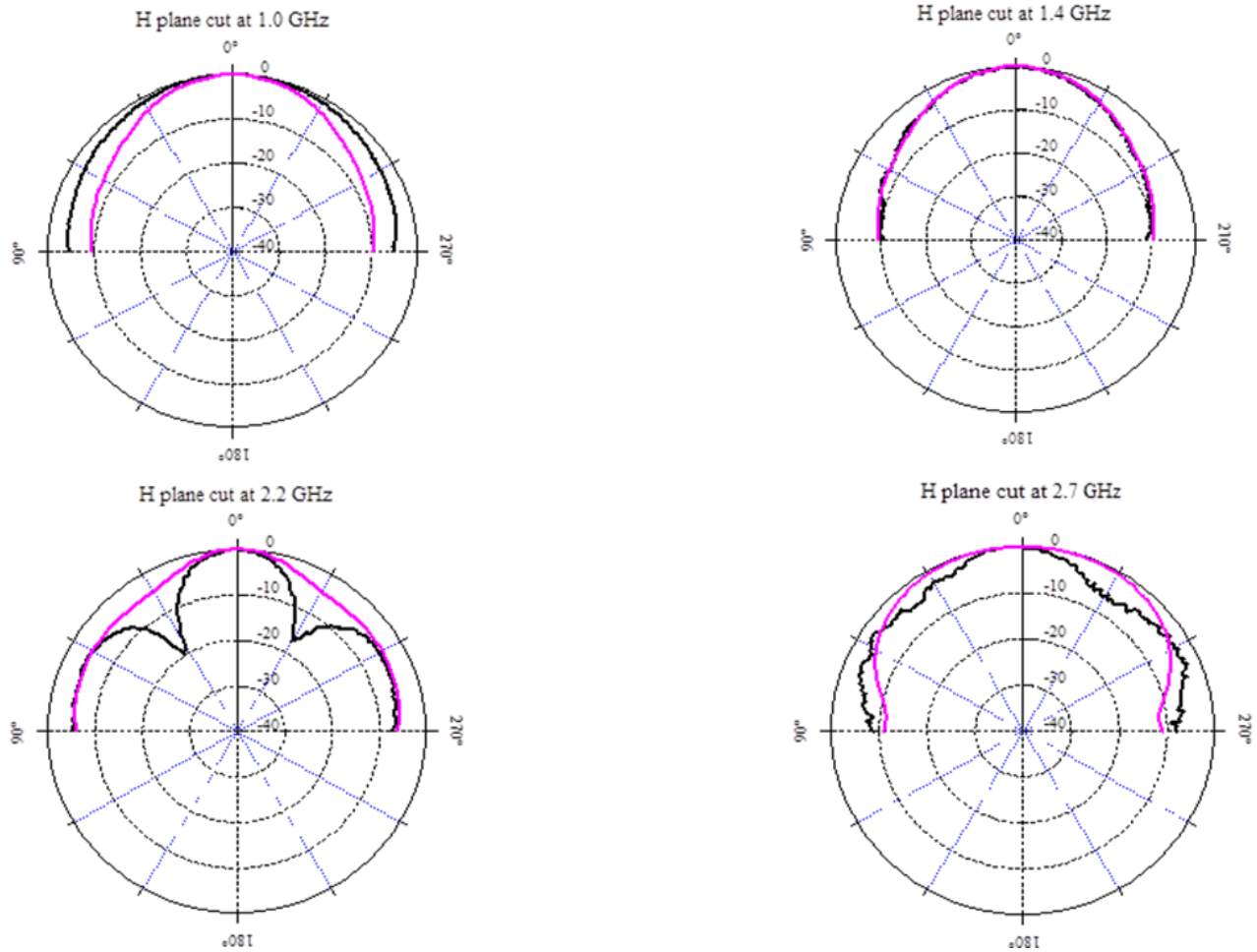


Fig. 21 Comparison of H-plane cut of the normalized E-field radiation patterns at 1.0, 1.4, 2.2, and 2.7 GHz. The red curve represents the computed data and the black represents the measured data for the UWB antenna over the 2-layer EBG surface.

8. Simulation of Ground Penetration of Radio Frequency (RF) Signals

To understand how the antenna will interact with the ground, the antenna structure was simulated as placed 76.2 mm (3 inches) above a ground plane and was excited with a 1-V/m signal source using HFSS. The simulated ground extended five wavelengths in all directions from the antenna. These wavelengths correspond to 0.7 GHz. The ground has an $\epsilon_r = 8$ and the bulk conductivity was 0.005 S/m.

Figure 22 shows a contour plot of the E-plane cut for the E-field at 0.9 GHz. The magnitude varies from approximately 1^{-4} to 100 V/m. Figure 23 shows a contour plot of the H-plane cut for the E-field at 0.9 GHz. Again, the magnitude varies from approximately 1^{-4} to 100 V/m. It should be noted the field distribution in Fig. 23 is mirrored about the vertical axis.

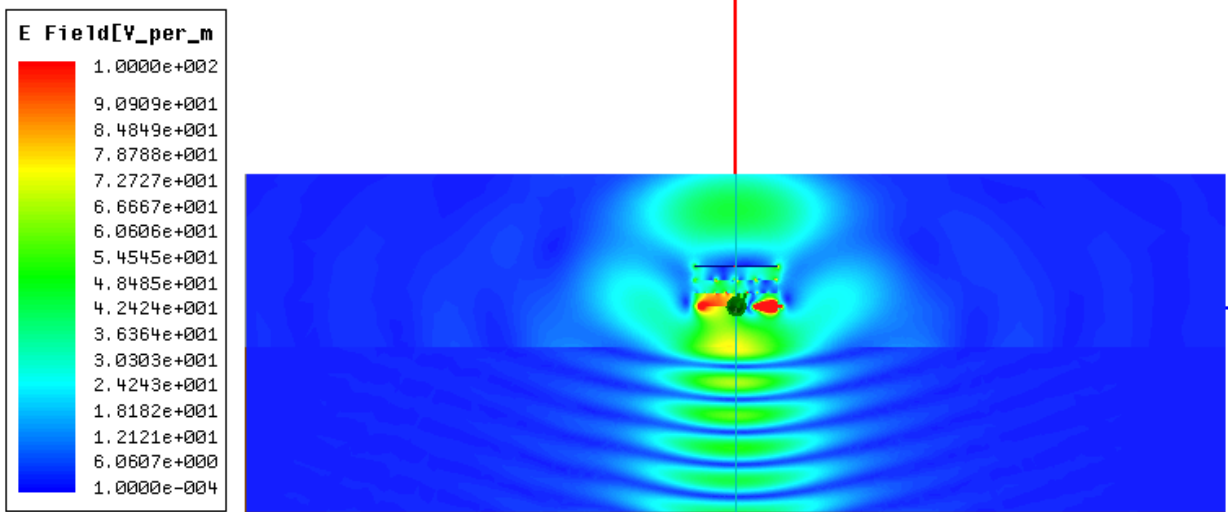


Fig. 22 Contour plot of the E-plane cut for the E-field at 0.9 GHz as it penetrates the ground

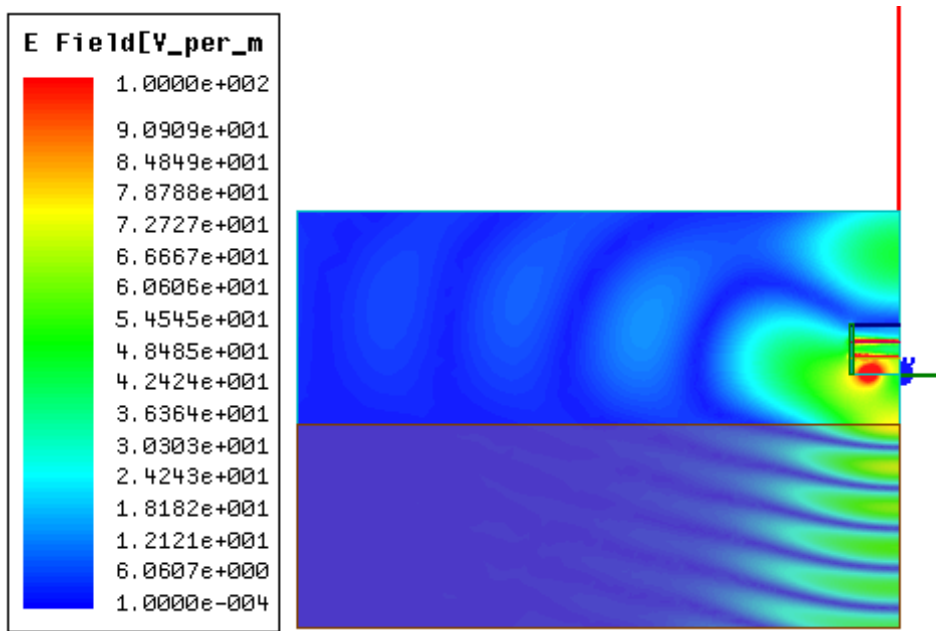


Fig. 23 Contour plot of the H-plane cut for the E-field at 0.9 GHz as it penetrates the ground

Figure 24 shows a contour plot of the E-plane cut for the E-field, while Fig. 25 shows a contour plot of the H-plane cut for the E-field, both at 1.4 GHz. It should be noted the field distribution in Fig. 25 is mirrored about the vertical axis.

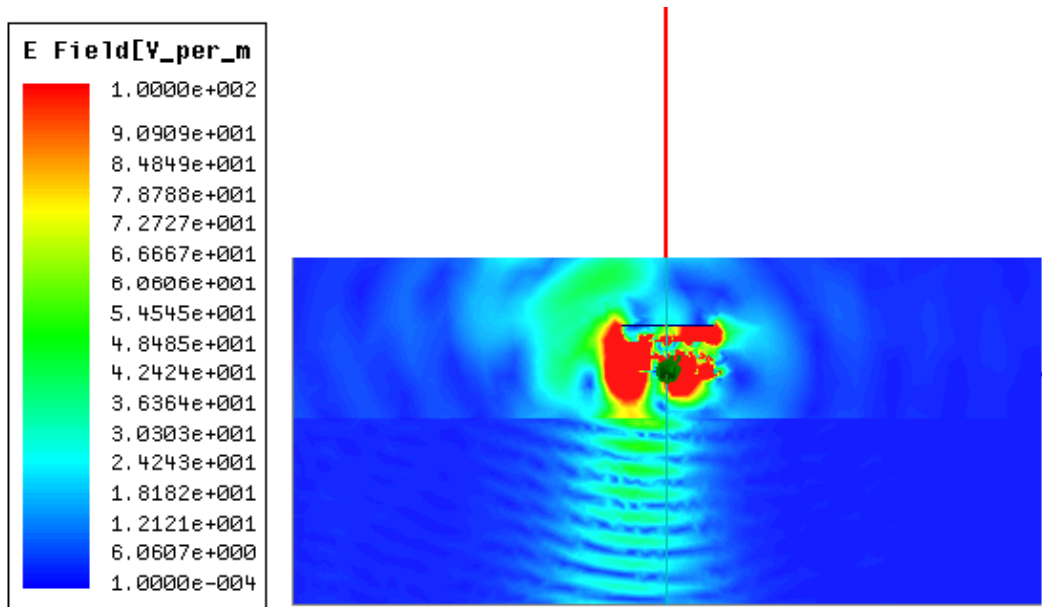


Fig. 24 Contour plot of the E-plane cut for the E-field at 1.4 GHz as it penetrates the ground

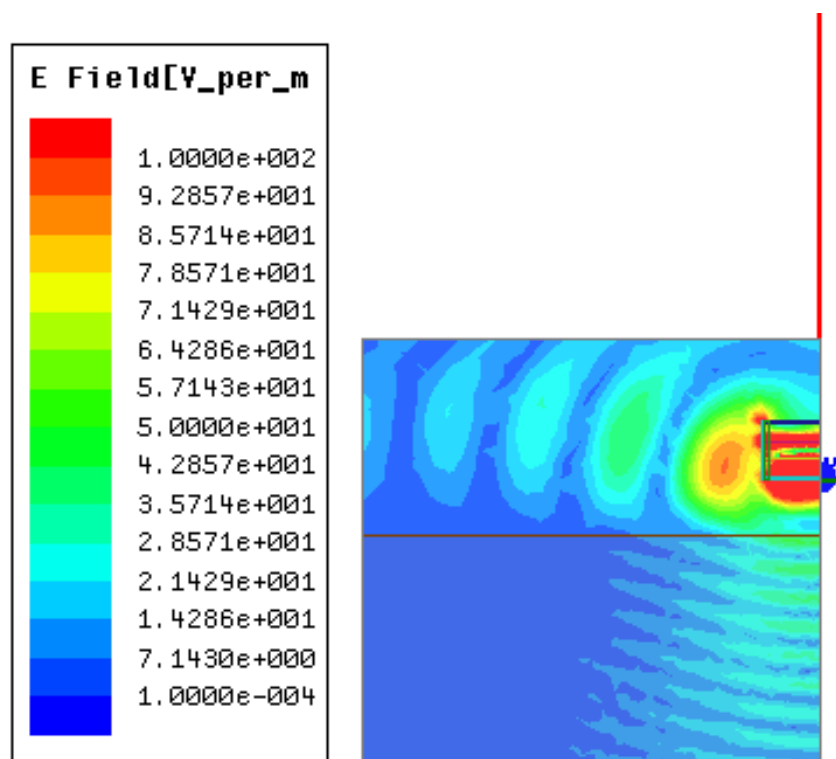


Fig. 25 Contour plot of the H-plane cut for the E-field at 1.4 GHz as it penetrates the ground and bulk conductivity is 0.005 S/m. Because of a symmetry used in computation, only left half of the geometry and contour plot are shown.

9. Conclusions

Adding two EBG layers to the original circular monopole antenna aperture improved the realized gain of the UWB antenna by up to 17 dB across the frequency band of interest. A positive realized gain was achieved across most of the frequency band at the expense of a return loss of worse than -10 dB over large parts of the band. Simulations of E- and H-plane radiation patterns were compared to measurement and show good agreement for most of the frequencies analyzed. Discrepancies between simulated and measured gain of the 2-layer EBG-backed UWB antenna existed and are due to fabrication tolerances and bandwidth limitations that can be eliminated by more optimization of the dimensions of the EBG structure. Further optimizations and studies of the stacked EBG are continuing beyond the scope of this report.

Contour plots of the E-field penetrating the ground were also simulated to show the signal behavior of the ground penetration for this antenna design. The dimensions of the antenna met the size and weight constraints of the design while achieving good realized gain and ground penetration performance.

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