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3 **Verification of a non-hydrostatic dynamical core**  
4 **using horizontally spectral element vertically finite**  
5 **difference method: 2D Aspects**  
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## Abstract

The non-hydrostatic (NH) compressible Euler equations of dry atmosphere are solved in a simplified two dimensional (2D) slice (X-Z) framework employing a spectral element method (SEM) for the horizontal discretization and a finite difference method (FDM) for the vertical discretization. The SEM uses high-order nodal basis functions associated with Lagrange polynomials based on Gauss-Lobatto-Legendre (GLL) quadrature points. The FDM employs a third-order upwind biased scheme for the vertical flux terms and a centered finite difference scheme for the vertical derivative terms and quadrature. The Euler equations used here are in a flux form based on the hydrostatic pressure vertical coordinate, which are the same as those used in the Weather Research and Forecasting (WRF) model, but a hybrid sigma-pressure vertical coordinate is implemented in this model. We verified the model by conducting widely used standard benchmark tests: the inertia-gravity wave, rising thermal bubble, density current wave, and linear hydrostatic mountain wave. The numerical results demonstrate that the horizontally spectral element vertically finite difference model is accurate and robust. By using the 2D slice model, we effectively show that the combined spatial discretization method of the spectral element and finite difference method in the horizontal and vertical directions, respectively, offers a viable method for the development of a NH dynamical core. The present core provides a practical framework for further development of three-dimensional (3D) non-hydrostatic compressible atmospheric models.

## 45 **1. Introduction**

46       There is a growing interest in developing highly scalable dynamical cores using  
47 numerical algorithms under petascale computers with many cores (with the goal of exascale  
48 computing just around the corner). The spectral element method (SEM) has been known as  
49 one of the most promising methods with high efficiency and accuracy (Taylor et al. 1997;  
50 Giraldo 2001; Thomas and Loft 2002). SEM is local in nature because of having a large on-  
51 processor operation count (Kelly and Galdo, 2012). The SEM achieves this high level of  
52 scalability by decomposing the physical domain into smaller pieces with a small  
53 communication stencil. Also SEM has been shown to be very attractive in achieving high-  
54 order accuracy and geometrical flexibility on the sphere (Taylor et al. 1997; Giraldo 2001;  
55 Giraldo et al. 2004).

56       To date, the SEM has been successfully implemented in atmospheric modeling such as  
57 in the Community Atmosphere Model – spectral element dynamical core (CAM-SE)  
58 (Thomas and Loft 2005) and the scalable spectral element Eulerian atmospheric model (SEE-  
59 AM) (Giraldo and Rosmond, 2004). These models consider the primitive hydrostatic  
60 equations on global grid meshes such as a cubed-sphere tiled with quadrilateral elements  
61 using SEM in the horizontal discretization and the finite difference method (FDM) in the  
62 vertical. The robustness of the SEM has been illustrated through three-dimensional dry  
63 dynamical test cases (Thomas and Loft 2005; Giraldo and Rosmond 2004; Giraldo 2005;  
64 Taylor et al. 2007; Lauritzen et al. 2010).

65       The ultimate objective of our study is to build a 3D non-hydrostatic (NH) model based  
66 on the compressible Navier-Stokes equations using the combined horizontally SEM and  
67 vertically FDM. Since testing a 3D NH model requires a huge amount of computing  
68 resources, studying the feasibility of our approach in 2D is an attractive alternative to the

69 development of a fully 3D model. This is the case because a 2D slice model effectively can  
70 test the practical issues resulting from the vertical discretization and time integration, prior to  
71 the construction of a full 3D model. Although we could also discretize the vertical direction  
72 with SEM (as is proposed in Kelly and Giraldo 2012 and Giraldo et al. 2013), we choose to  
73 use a conservative flux-form finite-difference method for discretization in the vertical  
74 direction, which provides an easy way for coupling the dynamics and existing physics  
75 packages.

76 We have developed a dry 2D NH compressible Euler model based on SEM along the x-  
77 direction and FDM along the z-direction for this purpose. Hereafter, this is simply referred to  
78 as the 2DNH model. We adopt the governing equation formulation proposed by Skamarock  
79 and Klemp (2008) (hereafter, SK08) which is used in the Weather Research and Forecasting  
80 (WRF) Model. The Euler equations are in flux form based on the hydrostatic pressure vertical  
81 coordinate. In SK08, the terrain-following sigma-pressure coordinate is used, but here we  
82 employ a hybrid sigma-pressure vertical coordinate. Park et al. (2013) (hereafter, PK13)  
83 provides a clue for the equation set in the hybrid sigma-pressure in their appendix, in which  
84 the hybrid sigma-pressure coordinate is applied to the hydrostatic primitive equations and can  
85 be modified exactly to the sigma-pressure coordinate at the level of the actual coding  
86 implementation. Also, we built the 2DNH model using a time-split third-order Runge-Kutta  
87 (RK3) for the time discretization, which has been shown to work effectively in the WRF  
88 model. We keep the temporal discretization of the model as similar as possible to the WRF  
89 model in order to more directly the discern the differences related to the discrete spatial  
90 operators between the two models. This provides robust tools for development and  
91 verification of the 2DNH model.

92 In this paper, we show the feasibility of the 2DNH model by conducting conventional  
93 benchmark test cases as well as focusing on the description of the numerical scheme for the

94 spatial discretization. We verify the 2DNH by analyzing four test cases: the inertia-gravity  
 95 wave, rising thermal bubble, density current wave, and linear hydrostatic mountain wave.

96 The organization of this paper is as follows. The next section describes the governing  
 97 equations with a definition of the prognostic and diagnostic variables used in our model, in  
 98 which we present essential changes from SK08. Section 3 contains the description of the  
 99 temporal and spatial discretization including the spectral element formulation. In Sec. 4, we  
 100 present the results of the 2DNH model using all four test cases. Finally in Sec. 5 we  
 101 summarize the paper and propose future directions.

102

## 103 **2. Governing equations**

104 We adopt the governing equation formulation of SK08. Here we implement the hybrid  
 105 sigma-pressure coordinate reported by PK13 which considered only the hydrostatic primitive  
 106 equation. The hybrid sigma pressure coordinate is defined with  $\eta \in [0,1]$  as

$$107 \quad p_d = B(\eta)(p_s - p_t) + [\eta - B(\eta)](p_0 - p_t) + p_t \quad (1)$$

108 where  $p_d$  is the hydrostatic pressure of dry air,  $B(\eta)$  is the relative weighting of the  
 109 terrain-following coordinate versus the normalized pressure coordinate,  $p_s$ ,  $p_t$ , and  $p_0$  are  
 110 the hydrostatic surface pressure of dry air, the top level pressure, and a reference sea level  
 111 pressure, respectively. A more detailed description of the hybrid sigma pressure coordinate  
 112 can be found in the Appendix of PK13. The definition of the flux variables are

$$113 \quad (\vec{V}_H, W, \Omega, \Theta) = \mu_d \times (\vec{v}_H, w, \dot{\eta}, \theta) \quad (2)$$

114 where  $\vec{v}_H = (u, v)$  and  $w$  are the velocities in the horizontal and vertical directions,  
 115 respectively,  $\dot{\eta} \equiv \frac{\partial \eta}{\partial t}$  is the  $\eta$ -coordinate (contravariant) vertical velocity,  $\theta$  is the

116 potential temperature, and  $\mu_d$  is the mass of the dry air in the layers defined as

$$117 \quad \mu_d(x, y, \eta, t) = \frac{\partial \rho_d}{\partial \eta} = \frac{\partial B(\eta)}{\partial \eta} (\rho_s - \rho_t) + \left[ 1 - \frac{\partial B(\eta)}{\partial \eta} \right] (\rho_0 - \rho_t). \quad (3)$$

118 The flux-form Euler equations for dry atmosphere are expressed as

$$119 \quad \frac{\partial \bar{V}_H}{\partial t} = -\mu_d (\nabla_\eta \phi' + \alpha_d \nabla_\eta \rho' + \alpha'_d \nabla_\eta \bar{\rho}) - \nabla_\eta \phi \left( \frac{\partial \rho'}{\partial \eta} - \mu'_d \right) - \nabla_\eta \cdot (\bar{V}_H \otimes \bar{V}_H) - \frac{\partial (\Omega \bar{V}_H)}{\partial \eta} + F_{\bar{V}_H}, \quad (4)$$

$$120 \quad \frac{\partial W}{\partial t} = g \left[ \frac{\partial \rho'}{\partial \eta} - \mu'_d \right] - \nabla_\eta \cdot (\bar{V}_H W) - \frac{\partial (\Omega W)}{\partial \eta} + F_W, \quad (5)$$

$$121 \quad \frac{\partial \mu'_d}{\partial t} = \frac{\partial}{\partial t} \left( \frac{\partial \rho'_d}{\partial \eta} \right) = \frac{\partial B(\eta)}{\partial \eta} \frac{\partial \rho'_s}{\partial t} = -\nabla_\eta \cdot \bar{V}_H - \frac{\partial \Omega}{\partial \eta}, \quad (6)$$

$$122 \quad \frac{\partial \phi'}{\partial t} = -\frac{1}{\mu_d} \left[ \bar{V}_H \cdot \nabla_\eta \phi + \Omega \frac{\partial \phi}{\partial \eta} - gW \right], \quad (7)$$

$$123 \quad \frac{\partial \Theta}{\partial t} = -\nabla_\eta \cdot (\bar{V}_H \Theta) - \frac{\partial (\Omega \Theta)}{\partial \eta}, \quad (8)$$

124 where  $\phi$  is the geopotential,  $\alpha_d$  is the inverse density for dry air, and  $F_{\bar{V}_H}$  and  $F_W$   
 125 represent forcing terms of the Coriolis and curvature which we ignore for simplicity. In Eqs.  
 126 (4)-(8), the governing equations are described with perturbation variables such as  
 127  $\rho = \bar{\rho}(\bar{z}) + \rho'$ ,  $\phi = \bar{\phi}(\bar{z}) + \phi'$ ,  $\alpha_d = \bar{\alpha}_d(\bar{z}) + \alpha'_d$ , and  $\rho_s = \bar{\rho}_s(x, y) + \rho'_s$  where the  
 128 variables denoted by overbars are reference state variables that satisfy hydrostatic balance.

129 For completeness, the diagnostic relation for  $\Omega$  is given by integrating Eq. (6)  
 130 vertically from the surface ( $\eta = 1$ ) to the material surface as

$$131 \quad \Omega = -\int_1^\eta \left( \frac{\partial B(\eta)}{\partial \eta} \frac{\partial \rho'_s}{\partial t} + \nabla_\eta \cdot \bar{V}_H \right) d\eta, \quad (9)$$

132 where  $\frac{\partial \rho'_s}{\partial t}$  is obtained by integrating vertically Eq. (6) for the surface ( $\eta = 1$ ) to the top



133 ( $\eta = 0$ ) using a no-flux boundary condition such as  $\Omega|_{\eta=0 \text{ or } 1} = 0$  and the specification of  
 134 the vertical coordinate such as  $B(\eta = 1) = 1$  and  $B(\eta = 0) = 0$  as

$$135 \quad \frac{\partial \rho'_s}{\partial t} = - \int_{\eta=0}^{\eta=1} (\nabla \cdot \vec{V}_H) d\eta. \quad (10)$$

136 The above equation allows  $\rho'_s$  to be evolved forward in time where we then compute  $\mu'_d$   
 137 directly from Eq. (5). The diagnostic relation for the dry inverse density is given as

$$138 \quad \frac{\partial \phi'}{\partial \eta} = -\bar{\mu}_d \alpha'_d - \alpha'_d \mu'_d, \quad (11)$$

139 and the full pressure for dry atmosphere is

$$140 \quad p = p_0 \left( \frac{R_d \theta}{p_0 \alpha'_d} \right)^{c_p/c_v}. \quad (12)$$

141 This concludes the description of the governing equations used in our model; in the next  
 142 section we describe the discretization of the continuous form of the governing equations that  
 143 are used in our model.

### 144 **3. Discretization**

145 a. Spatial discretization

146 1) Horizontal direction

147 For a given  $\eta$  level, we discretize the horizontal operators using the SEM. Therefore in  
 148 2D (X-Z) slice framework we focus on the SEM discrete gradient operator for 1D (x). In  
 149 SEM, we approximate the solution in non-overlapping elements  $\Omega^e$  as

$$150 \quad q(x, t) = \sum_{k=1}^{N+1} \psi_k(x) q_N(x_k, t), \quad (13)$$

151 where  $x_k$  represents  $N + 1$  grid points that correspond to the Gauss-Lobatto-Legendre  
 152 (GLL) points and  $\psi_k(x)$  are the  $N$  th-order Lagrange polynomials based on the GLL points.

153 It is noteworthy that the  $\psi_k$  have the cardinal property, i.e., they can be represented as  
 154 Kronecker delta functions where  $\psi_k$  are zero at all nodal points except  $x_k$  (but are  
 155 allowed to oscillate between nodal points).

156 The GLL points  $\xi_k$  in a reference coordinate system  $\xi \in [-1, +1]$  and the associated  
 157 quadrature weights  $\omega(\xi_k)$ ,

$$158 \quad \omega(\xi_k) = \frac{2}{N(N+1)} \left[ \frac{1}{P_N(\xi_k)} \right]^2, \quad (14)$$

159 are introduced for the Gaussian quadrature:

$$160 \quad \int_{\Omega^e} q \, d\Omega^e = \int_{-1}^{+1} q(\xi) |J(\xi)| \, d\xi \approx \sum_{i=0}^N \omega(\xi_i) q(\xi_i) |J(\xi_i)|, \quad (15)$$

161 where  $P_N(\xi)$  are the  $N$  th-order Legendre polynomials,  $J = \frac{\partial x}{\partial \xi}$  is the transformation  
 162 Jacobian, and  $\Omega^e$  represents the non-overlapping elements.

163 We now introduce the polynomial expansions into our governing equations in the form

$$164 \quad \text{of} \quad \frac{\partial q}{\partial t} = -F(q), \quad (16)$$

165 multiply by the basis function as a test function, and integrate to yield a system of ordinary  
 166 differential equations as such

$$167 \quad \sum_{n=1}^{N+1} M_{nk}^e \frac{dq_k}{dt} = - \int_{\Psi^e} F \left( \sum_{n=1}^{N+1} \psi_n(\xi) q_n \right) \psi_k \, d\xi, \quad (17)$$

168 where  $k = 1, 2, L, N+1$ ,  $M_{nk}^e$  is the element based mass matrix given as

$$169 \quad M_{nk}^e = \int_{\Psi^e} \psi_n \psi_k \, d\xi = \omega_n |J_n| \delta_{nk}, \quad (18)$$

170 and the right-hand side of Eq. (18) is evaluated using Gaussian quadrature of Eq. (16). It is  
 171 noted that using GLL points for both interpolation and integration results in a diagonal mass

172 matrix  $M_{nk}^e$ , which means that the inversion of the mass matrix is trivial.

173 The horizontal derivatives included in the right-hand side of Eq. (17) are evaluated using  
 174 the analytic derivatives of the basis functions as follows

$$175 \quad \frac{\partial q}{\partial X} = \frac{\partial q}{\partial \xi} \frac{\partial \xi}{\partial X} = \frac{\partial}{\partial \xi} \left[ \sum_{k=1}^{N+1} \psi_k(\xi) q_k \right] \frac{\partial \xi}{\partial X} = \left[ \sum_{k=1}^{N+1} \frac{\partial \psi_k}{\partial \xi} q_k \right] \frac{1}{|J|}. \quad (19)$$

176 Note that the non-differential operations, such as cross products, are computed directly at grid  
 177 points since we use nodal basis functions associated with Lagrange polynomials based on the  
 178 GLL points. In order to satisfy the equations globally, we use the direct stiffness summation  
 179 (DSS) operation. For a more detailed description of the SEM, see Giraldo and Rosmond  
 180 (2004), Giraldo and Restelli (2008), and Kelly and Giraldo (2012).

181

## 182 2) Vertical direction

183 Using a Lorenz staggering, the variables  $\vec{V}_H$ ,  $\Theta$ ,  $\mu$ ,  $\alpha$ ,  $\rho$  are at layer midpoints  
 184 denoted by  $k = 1, 2, K, K$  where  $K$  is the total number of layers, and the variables  $W$ ,  
 185  $\Omega$ ,  $\phi$  live at layer interfaces defined by  $k + \frac{1}{2}$ ,  $k = 0, 1, K, K$ , so that  $\eta_{K+1/2} = \eta_{top}$  and

186  $\eta_{1/2} = \eta_{bottom} = 1$ . Fig. 1 describes the grid points and the allocation of the variables. Here, we

187 evaluate the vertical advection terms  $\left( \frac{\partial(\Omega \vec{V}_H)}{\partial \eta}, \frac{\partial(\Omega W)}{\partial \eta}, \text{ and } \frac{\partial(\Omega \theta)}{\partial \eta} \right)$  and vertical

188 derivative terms  $\left( \frac{\partial \rho'}{\partial \eta}, \text{ and } \frac{\partial \phi}{\partial \eta} \right)$ . The former is discretized using the third-order upwind

189 biased discretization in Hundsdorfer et al. (1995) which is given as

$$190 \quad \frac{\partial f}{\partial \eta} \Big|_k = \frac{f_{k-2} - 8f_{k-1} + 8f_{k+1} - f_{k+2}}{12\Delta\eta} + \text{sign}(\Omega) \frac{f_{k-2} - 4f_{k-1} + 6f_k - 4f_{k+1} + f_{k+2}}{12\Delta\eta}, \quad (20)$$

191 where  $f$  corresponds to the flux such as  $\Omega \vec{V}_H$ , and  $\Delta\eta = \eta_{k+1/2} - \eta_{k-1/2}$  is the thickness of

192 the layer. The latter is discretized by the centered finite difference. Likewise the vertical  
193 discretization quadrature rules for the calculations of Eqs. (9) and (10) follow the finite  
194 difference discretization naturally.

195

#### 196 b. Temporal discretization

197 For integrating the equations, we use the time-split RK3 integration technique following  
198 the strategy of SK08, in which low-frequency modes due to advective forcings are explicitly  
199 advanced using a large time step of the RK3 scheme, but high-frequency modes are  
200 integrated over smaller time steps using an explicit forward-backward time integration  
201 scheme for the horizontally propagating acoustic/gravity waves and a fully implicit scheme  
202 for vertically propagating acoustic waves and buoyancy oscillations (Klemp et al. 2007) .  
203 This technique has been shown to work effectively within numerous nonhydrostatic models  
204 including the WRF model (Skamarock et al. 2008), the Model for Prediction Across Scales  
205 (MPAS) (Skamarock et al. 2012), and the Nonhydrostatic Icosahedral Atmospheric Model  
206 (NICAM) (Sato et al. 2008).

207 It is noted that in the procedure of the time-split RK3 integration, the difference between  
208 the approach used in this paper and SK08 comes from the vertical coordinate. Since we use  
209 the hybrid sigma-pressure coordinate, the equation for  $\rho'_s$  (Eq. (6)) should be first stepped  
210 forward in time using the forward-backward differencing on the small time steps, then  $\mu'_g$   
211 can be computed directly from the specification of the vertical coordinate in Eq. (9) and  $\Omega$   
212 is obtained from the vertical integration.

213

## 214 4. Test cases

215 We validate the 2DNH model on four test cases of the linear hydrostatic mountain wave,

216 density current, inertia-gravity wave, and rising thermal bubble experiments. All cases but the  
 217 mountain wave experiment do not have analytic solutions. Therefore, for the mountain wave  
 218 experiment, numerical results of the 2DNH model are compared to analytic solutions (Durrant  
 219 and Klemp 1983), and for the other experiments, we compare our results to the results of  
 220 other published papers.

221 It should be mentioned that the horizontal SEM formulation is able to utilize arbitrary  
 222 order polynomials per element to represent the discrete spatial operators, but in this paper all  
 223 the results presented use either 5th or 8th order polynomials. The averaged horizontal grid  
 224 spacing is defined as

$$225 \quad \Delta \bar{x} = \frac{\sum_{n=1}^N \Delta x_n}{N} \quad (21)$$

226 where  $\Delta x_n$  is the internal grid spacing within the element which is regularly spaced in the  
 227 domain and  $N$  is the number of the interval associated with irregularly spaced GLL  
 228 quadrature points which is equivalent to the order of the basis polynomials. The average  
 229 vertical grid spacing is defined in the same way of Eq. (24). Below, we use this convention to  
 230 define the grid resolution.

231

232 a. Linear hydrostatic mountain wave test

233 In order to verify the 2DNH's feasibility to treat surface elevations associated with the  
 234 vertical terrain-following coordinate, we simulate the linear hydrostatic mountain wave test  
 235 introduced by Durrant and Klemp (1983) (hereafter, DK83) in which the analytic steady-state  
 236 solution is provided by using a single-peaked mountain with uniform zonal wind. To compare  
 237 our results with the analytic and numerical solution shown in DK83, the 2DNH is initialized  
 238 using the same initial conditions and mountain profile in DK83 and we analyze our results

239 using the same metrics of DK83.

240 The mountain profile is given by

$$241 \quad h(x) = \frac{h_m}{1 + \left(\frac{x - x_c}{a_m}\right)^2} \quad (22)$$

242 where the half-length of the mountain  $a_m$  is 10 km, the height  $h_m$  is 1 m, and the  
243 prescribed center of the profile is 0 km. The Initial temperature is  $T_0 = 250$  K for an

244 isothermal atmosphere with the uniform zonal wind  $\bar{u} = 20$  m/s. In the isothermal case, the

245 Brunt-Väisälä frequency  $N^2 = g \frac{d(\ln \bar{\theta})}{dz} \approx \frac{g^2}{c_p T_0}$  yields the potential temperature given as

$$246 \quad \bar{\theta} = \theta_0 e^{\frac{g}{c_p T_0} z}, \quad (23)$$

247 which is one of the prognostic variables in our model. The domain is defined as  
248  $(x, z) \in [-300, 300] \times [0, 30]$  km<sup>2</sup>. The bottom boundary uses a no-flux boundary

249 condition while the lateral and top boundaries use sponge layers. The sponged zone is 10 km  
250 deep from the top and 50 km wide from the lateral boundaries. Over the sponge layer zone,  
251 the prognostic variables are relaxed to the basic initial hydrostatic state. The model is

252 integrated for a nondimensional time of  $\frac{\bar{u}t}{a} = 60$  which corresponds to 8.33 hours without

253 diffusion or viscosity.

254 Fig. 2 shows the numerical and analytic solutions at steady state for the horizontal and  
255 vertical velocities, which agree reasonably well. The vertical velocity fields match very  
256 closely, although the extrema in the horizontal velocity field are underestimated by the  
257 numerical model. The underestimated extrema in the horizontal velocity is also shown in both  
258 models of DK83 and Giraldo and Restelli (2008) (hereafter, GR08). And our result in the

259 horizontal velocity is in good agreement with DK83 and GR08.

260 Fig. 3 shows the normalized momentum flux values at various times to check vertical  
261 transport of horizontal momentum. It is observed that the flux is developing well and the  
262 simulations have reached steady-state after  $\frac{\bar{u}t}{a} = 60$ . It is noted that the mean momentum  
263 flux at that time is 97% of its analytic value. It agrees well with DK83 as well as GR08; it is  
264 important to point out that the Durran-Klemp model is based on the FD method in both  
265 directions while the Giraldo-Restelli model is based on SEM in both directions. The  
266 mountain test shows the terrain-following vertical coordinate is well suited for the  
267 combination of the horizontal SEM and vertical FDM for spatial discretization even though  
268 we consider a small mountain.

269

#### 270 b. 2D density current test

271 In order to verify the 2DNH's feasibility to control oscillations with numerical viscosity  
272 and evaluate numerical schemes in the 2DNH, we conduct the density current test suggested  
273 by Straka et al. (1993). The density current test is initialized using a cold bubble in a neutrally  
274 stratified atmosphere. When the bubble touches the ground, the density current wave starts to  
275 spread symmetrically in the horizontal direction forming Kelvin-Helmholtz rotors. Following  
276 Straka et al. (1993) we employ a dynamic viscosity of  $\nu = 75 \text{ m}^2\text{s}^{-1}$  to obtain converged  
277 numerical solutions.

278 For an initial cold bubble, the potential temperature perturbation is given as

$$279 \quad \theta' = \frac{\theta_c}{2} [1 + \cos(\pi r)], \quad (24)$$

280 where  $\theta_c = -15 \text{ K}$  and  $r = \sqrt{\left(\frac{x - x_c}{x_r}\right)^2 + \left(\frac{z - z_c}{z_r}\right)^2}$  with the center of the bubble at

281  $(x_c, z_c) = (0, 3000)$  m. No-flux boundary conditions are used for all boundaries. The model  
282 is integrated for 900 s on a domain  $[-25600, 25600] \times [0, 6400]$  m<sup>2</sup>.

283 Fig. 4 shows the potential temperature perturbation after 900s for 400, 200, 100, and  
284 50m grid spacing ( $\Delta\bar{x}$ ) using 5th order basis polynomials per element. All simulations use  
285  $\Delta\bar{z} = 64$  m grid spacing vertically. As expected, the higher resolution experiments produce  
286 better solutions than the lower resolution. At the very lowest resolution of 400 m, only two of  
287 the three Kelvin-Helmholtz rotors are generated with somewhat coarsened frontal surfaces. In  
288 the experiment with 200 m resolution, the three rotors appear but the numerical solution still  
289 suffers from coarsening frontal surfaces. The solutions on grids finer than 100 m converge  
290 with the three rotor structures adequately simulated. The converged solution is almost  
291 identical to other published solutions (e.g. Straka et al. 1993; Skamarock and Klemp 2008;  
292 GR08).

293 In order to show the effect of higher order of the basis polynomials, we show the  
294 potential temperature perturbations using 8th order basis polynomials per element with the  
295 same number of degrees of freedom (DOF) of the simulations using 5th order basis  
296 polynomials in Fig. 5. The simulation with 8th order basis polynomials on the very lowest  
297 resolution of 400 m reproduced the converged solution more closely than with 5th order basis  
298 polynomials. Even in the experiment with 200 m resolution, the coarsening frontal surfaces  
299 are mitigated and the solution is similar with the converged solution with three rotors.

300 Fig. 6 shows the profiles of the potential temperature perturbation at the height of 1200  
301 m. The results from the highest grid resolution of the simulations using 5th and 8th order  
302 basis polynomials are indistinguishable and well converged (Fig. 6a). Three minima  
303 corresponding to the three rotors agree well with other published solutions. In addition to the  
304 profiles, the front location (-1K of potential temperature perturbation at the surface), and the



305 extrema of the pressure perturbation and potential temperature perturbation agree well with  
 306 each other (Table 1), of which the numbers are comparable to those of GR08. In the  
 307 numerical results from the different grid resolutions simulated by using 5th order basis  
 308 polynomials, the potential temperature profile at the coarsest resolution of 400 m grid shows  
 309 significant fluctuations (Fig. 6b). That of 8th order polynomials, however, tends to be  
 310 relieved from the deviation from the converged solution (Fig. 6c). The above results suggest  
 311 that the numerical solution can be converged more rapidly by using higher order of basis  
 312 polynomial. Furthermore, the results in this paper show that an adequate convergence can be  
 313 reached at grid resolutions finer than 200 m.

314

### 315 c. Inertia-gravity wave test

316 This test examines the evolution of a potential temperature perturbation  $\theta'$  in a  
 317 constant mean flow with a stratified atmosphere. This initial perturbation diverges to the left  
 318 and right symmetrically in a channel with periodic lateral boundary conditions. The inertia-  
 319 gravity wave test introduced by Skamarock and Klemp (1994) (hereafter, SK94) serves as a  
 320 tool to investigate the accuracy for NH dynamics. Also we use this experiment to check the  
 321 consistency of the results with various resolutions. The parameters for the test are the same as  
 322 those of SK94. The initial state is a constant Brunt-Väisälä frequency of  $N = 0.01/s$  with  
 323 surface potential temperature of  $\theta_0 = 300$  K and a uniform zonal wind  $\bar{u} = 20$  m/s. In order  
 324 to trigger the wave, the initial potential temperature perturbation  $\theta'$  is overlaid to the above  
 325 initial state and is given as

$$326 \quad \theta'(x, z) = \theta_c \frac{\sin\left(\frac{\pi z}{z_c}\right)}{1 + \left(\frac{x - x_c}{a_c}\right)} \quad (25)$$

327 where  $\theta_c = 0.01$  K,  $z_c = 10$  km,  $x_c = 100$  km. The domain is defined as  
 328  $(x, z) \in [0, 300] \times [0, 10]$  km<sup>2</sup>. We use periodic lateral boundary conditions and a no-flux  
 329 boundary conditions for both the bottom and top boundaries. The simulation is performed for  
 330 3000s with no viscosity.

331 Fig. 7 shows the solution  $\theta'$  at the initial time and time 3000 s with a horizontal  
 332 resolution  $\Delta\bar{x} = 250$  m and a vertical resolution  $\Delta\bar{z} = 250$  m. The figure uses the same  
 333 contouring interval as in SK94 and Giraldo and Restelli (2008) for comparison. The results  
 334 are produced with 8th order polynomials per element. We have conducted the 2DNH model  
 335 with various basis polynomial orders at the same resolution, where the simulated results are  
 336 found to be very comparable. SK94 give an analytic solution for the case of the Boussinesq  
 337 equations, but it is only valid for the Boussinesq equations while we use the fully  
 338 compressible equations in our model. Using the analytic solution only for qualitative  
 339 comparisons, we find that the extrema of our results are comparable to the analytic values. In  
 340 comparison with the results of Giraldo and Restelli (2008) in which the fully compressible  
 341 equations are also used, our results look very similar. Fig. 8 shows the profiles along 5000 m  
 342 for various horizontal resolutions. All models show consistently identical solutions with the  
 343 symmetric distribution about the midpoint ( $x = 160$  km) which is the location to which the  
 344 initial perturbation moved by the horizontal flow of 20 m/s after 3000 s. Even at coarser  
 345 resolution experiments, it does not exhibit phase errors although the maxima and minima near  
 346 the midpoint ( $x = 160$  km) are slightly damped. Table 2 shows the extrema of vertical  
 347 velocities and potential temperature perturbations for the results of various horizontal  
 348 resolutions after 3000 s. It is noted that all experiments give almost the same values for  
 349 potential temperature perturbation where these values in the range  
 350  $\theta' \in [-1.52 \times 10^{-3}, 2.83 \times 10^{-3}]$  are comparable to other studies (e.g., GR08 and Li et al.

351 2013). GR08 give the ranges of  $\theta' \in [-1.51 \times 10^{-3}, 2.78 \times 10^{-3}]$  from the model based on  
 352 the spectral element and discontinuous Galerkin method. Also Li et al. (2013) show  
 353  $\theta' \in [-1.53 \times 10^{-3}, 2.80 \times 10^{-3}]$  using the high-order conservative finite volume model  
 354 which are similar to our results.

355

#### 356 d. Rising thermal bubble test

357 We also conduct the rising thermal bubble test to verify the consistency of the scheme in  
 358 the model to simulate thermodynamic motion (Wicker and Skamarock 1998). This test  
 359 considers the time evolution of warm air in a constant potential temperature environment for  
 360 an atmosphere at rest atmosphere. The air that is warmer than the ambient air rises due to  
 361 buoyant forcing which then deforms due to the shearing motion caused by gradients of the  
 362 velocity field and eventually shapes the thermal bubble into a mushroom cloud. Because the  
 363 test case has no analytic solution, the simulation results are evaluated qualitatively.

364 The initial conditions we use follow those of GR08 in which the domain for the case is  
 365 defined as  $(x, z) \in [0, 1]^2$  km<sup>2</sup>. We consider no-flux boundary conditions for all four  
 366 boundaries. The domain is initialized for a neutral atmosphere at rest with  $\theta_0 = 300$  K in  
 367 hydrostatic balance. A potential temperature perturbation to drive the motion is given as

$$368 \quad \theta' = \begin{cases} 0 & \text{for } r > r_c \\ \frac{\theta_c}{2} \left[ 1 + \cos \left( \frac{\pi r}{r_c} \right) \right] & \text{for } r \leq r_c \end{cases}, \quad (26)$$

369 where  $\theta_c = 0.5$  K,  $r = \sqrt{(x - x_c)^2 + (z - z_c)^2}$  with  $(x_c, z_c) = (500, 350)$  m. The model  
 370 was run for a time of 700 seconds. It should be noted that an explicit second-order diffusion  
 371 on coordinate surfaces is used with a viscosity coefficient of  $\nu = 1$  m<sup>2</sup>s<sup>-1</sup> for all simulations

372 of this test. The numerical diffusion is applied for momentum and potential temperature along  
373 the horizontal and vertical directions so that it eliminates the erroneous oscillations at the  
374 small scale – while this amount of diffusion might seem excessive, it has been chosen  
375 because it allows the model to remain stable even after the bubble hits the top boundary.

376 Fig. 9 shows the potential temperature perturbation, horizontal wind, and vertical wind  
377 fields for the simulations of two resolutions of 20 m and 5 m horizontal and vertical grid  
378 spacings ( $\Delta\bar{x}$  and  $\Delta\bar{z}$ ), respectively, employing 5th order basis polynomials. In both  
379 simulations, the fine structures in the numerical solutions are well depicted with a perfectly  
380 symmetric distribution at the midpoint and sharp discontinuities of the fields along boundary  
381 lines of the bubble. At lower resolution, however, degradations in the solution are visible in  
382 the potential temperature perturbation and vertical wind which are illustrated by fluctuations  
383 in the values as well as the concaving contours at the top of the bubble. It is noted that while  
384 the numerical solution of the model using the spatially centered FDM of Wicker and  
385 Skamarock (1998) shows spurious oscillations in the potential temperature field, the  
386 simulations here of 2DNH using the horizontally SEM and vertically FDM is devoid of these  
387 oscillations.

388 We also show the vertical profiles of potential perturbation at  $x = 500$  m after 700 s for  
389 various resolutions in Fig. 10. Simulations were run with various resolutions of 5, 10, and 20  
390 m, where the resolutions given are defined for both the horizontal and vertical directions. The  
391 results of 10 m and 5 m resolutions are almost identical to each other. The result of the lowest  
392 resolution of 20 m, however, shows a somewhat unresolved solution, in which the maximum  
393 value is underestimated and the phase shift is depicted. The time series for maximum  
394 potential temperature perturbation and maximum vertical velocity are shown in Fig. 11. In all  
395 simulations, the maximum vertical velocity increases as the maximum theta perturbation  
396 decreases. This shows that the thermal energy of the theta perturbation leads to the

397 acceleration of the vertical velocity. This result agrees well with the study of Ahmad and  
398 Lindeman (2007).

399

## 400 **6. Summary and Conclusions**

401 The non-hydrostatic compressible Euler equations for a dry atmosphere are solved in a  
402 simplified 2D slice (X-Z) framework by using the spectral element discretization (SEM) in  
403 the horizontal and the third-order finite difference scheme for the vertical discretization. The  
404 form of the Euler equations used here are the same as those used in the Weather Research and  
405 Forecasting (WRF) model. We employ a hybrid sigma-pressure vertical coordinate which can  
406 be modified exactly to the sigma-pressure coordinate at the level of the actual coding  
407 implementation.

408 For the spatial discretization, the spatial operators are separated into their horizontal and  
409 vertical components. In the horizontal components, the operators are discretized using the  
410 SEM in which high-order representations are constructed through the GLL grid points by  
411 Lagrange interpolations in the elements. Using GLL points for both interpolation and  
412 integration results in a diagonal mass matrix, which means that the inversion of the mass  
413 matrix is trivial. In the vertical components, the operators are discretized using the third-order  
414 upwind biased finite difference scheme for the vertical fluxes and centered differences for the  
415 vertical derivatives. The time discretization relies on the time-split third-order Runge-Kutta  
416 technique.

417 We have presented idealized standard benchmark tests for large-scale flows (e.g., linear  
418 hydrostatic mountain wave) and for nonhydrostatic-scale flows (e.g., inertia-gravity wave,  
419 rising thermal bubble, and density currents). The numerical results show that the present  
420 dynamical core is able to produce solutions of good quality comparable to other published

421 solutions. These tests effectively reveal that the combined spatial discretization method of the  
422 spectral element and finite difference method in the horizontal and vertical directions,  
423 respectively, offers a viable method for the development of a NH dynamical core. Further  
424 research will be continued to couple the present core with the existing physics packages  
425 together and extend the 2D slice framework to develop a 3D dynamical core for the global  
426 atmosphere where the cubed-sphere grid will be used for the spherical geometry.

427

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429

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434 Naval Postgraduate School which introduced us to the spectral element method. The second  
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436 program element PE-0602435N and the National Science Foundation (Division of  
437 Mathematical Sciences) through program element 121670.

438

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532

533 **Table Captions**

534 Table 1. Comparison between the 5th and 8th order polynomials per elements for the  
535 density current. The simulations is conducted with  $\Delta\bar{x} = 50$  m and  $\Delta\bar{z} = 50$  m resolution.  
536

537 Table 2. Comparison of the numerical results for various horizontal resolutions. All  
538 simulations use the 8th order polynomials per elements and vertical resolution of  
539  $\Delta\bar{z} = 250$  m.

540

541 Table 1. Comparison between the 5th and 8th order polynomials per elements for the  
 542 density current. The simulations is conducted with  $\Delta\bar{x} = 50$  m and  $\Delta\bar{z} = 50$  m resolution.

Order of polynomials	Front location(km)	$\rho'_{\max}$ (Pa)	$\rho'_{\min}$ (Pa)	$\theta'_{\max}$ (K)	$\theta'_{\min}$ (K)
5th	14.77	630.62	-452.79	0.08	-8.87
8th	14.74	626.91	-456.84	0.08	-8.94

543

544

545 Table 2. Comparison of the numerical results for various horizontal resolutions for  
 546 inertia-gravity wave. All simulations use the 8th order polynomials per elements and vertical  
 547 resolution of  $\Delta\bar{z} = 250$  m.

Resolution(m)	$w_{\max}$ (m/s)	$w_{\min}$ (m/s)	$\theta'_{\max}$ (K)	$\theta'_{\min}$ (K)
$\Delta\bar{x} = 125$	$2.85 \times 10^{-3}$	$-2.89 \times 10^{-3}$	$2.83 \times 10^{-3}$	$-1.52 \times 10^{-3}$
$\Delta\bar{x} = 250$	$2.80 \times 10^{-3}$	$-2.82 \times 10^{-3}$	$2.83 \times 10^{-3}$	$-1.52 \times 10^{-3}$
$\Delta\bar{x} = 500$	$2.73 \times 10^{-3}$	$-2.73 \times 10^{-3}$	$2.83 \times 10^{-3}$	$-1.52 \times 10^{-3}$
$\Delta\bar{x} = 750$	$2.72 \times 10^{-3}$	$-2.70 \times 10^{-3}$	$2.83 \times 10^{-3}$	$-1.52 \times 10^{-3}$
$\Delta\bar{x} = 1250$	$2.68 \times 10^{-3}$	$-2.62 \times 10^{-3}$	$2.82 \times 10^{-3}$	$-1.52 \times 10^{-3}$

548

549

## 550 **Figure Captions**

551 FIG. 1. The grid points of columns within an element having four GLL points. The  
552 hybrid sigma coordinate are illustrated and the close (open) circles on the solid (dashed) line  
553 indicate the location of the variables at layer mid-points (interfaces).

554

555 FIG. 2. Steady-state flow of (left) horizontal velocity (m/s) and (right) vertical velocity  
556 (m/s) over 1 m high mountain at nondimensional time  $\frac{\bar{u}t}{a} = 60$  with a grid resolution of  
557  $\Delta\bar{x} = 2$  km using 5th order basis polynomials per element and  $\Delta\bar{z} = 375$  m. The  
558 numerical solution is represented by solid lines and the analytic solution by dashed lines.

559

560 FIG. 3. Vertical flux of horizontal momentum, normalized by its analytic value at  
561 several non-dimensional times  $\frac{\bar{u}t}{a}$ . Here  $M$  and  $M_H$  are the momentum flux of the numerical  
562 and analytic solution.

563

564 FIG. 4. Potential temperature perturbation after 900 s using (a)  $\Delta\bar{x} = 400$  m, (b)  
565  $\Delta\bar{x} = 200$  m, (c)  $\Delta\bar{x} = 100$  m, and (d)  $\Delta\bar{x} = 50$  m grid spacing with 5th order basis  
566 polynomials per element. All simulations use  $\Delta\bar{z} = 64$  m grid spacing.

567

568 FIG. 5. As in Fig. 4, but with 8th order basis polynomials per element.

569

570 FIG. 6. Profiles of potential temperature perturbation after 900 s along 1200 m height:  
571 (a) high-resolution simulations using 5th (thin solid line) and 8th (thick solid line) order basis  
572 function, (b) simulations using 5th order basis polynomials, and (c) simulations using 8th

573 order basis polynomials per element. Total number of the degrees of freedom is the same in  
574 both 5th and 8th order experiments. All simulations use  $\Delta\bar{z} = 64$  m grid spacing.

575

576 FIG. 7. Potential temperature perturbation at the initial time (left) and time 3000s (right)  
577 for  $\Delta\bar{x} = 250$  m using 8<sup>th</sup> order basis polynomials per element and  $\Delta\bar{z} = 250$  m.

578

579 FIG. 8. The profiles of potential temperature perturbation along 5000 m height for  
580  $\Delta\bar{x} = 125$  m (thick solid line),  $\Delta\bar{x} = 500$  m (thin dashed line) and  $\Delta\bar{x} = 1250$  m (thin  
581 solid line) using 8th order basis polynomials per element. All models use  $\Delta\bar{z} = 250$  m.

582

583 FIG. 9. Plots of (a,b) potential temperature perturbation (K), (c,d) horizontal wind (m/s),  
584 and (e,f) vertical wind (m/s) for the rising thermal bubble test after 700s with (left)  
585  $\Delta\bar{x} = 20$  m and (right)  $\Delta\bar{x} = 5$  m resolution. All simulations use 5<sup>th</sup> order basis polynomials  
586 per element and  $\Delta\bar{z} = 10$  m grid spacing. All negative values are denoted by dashed lines  
587 and positive values by solid lines.

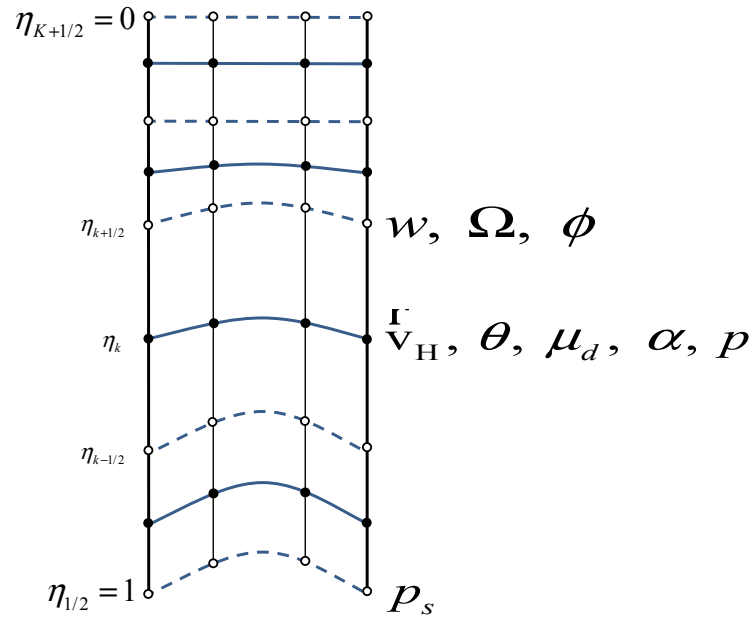
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589 FIG. 10. Vertical profiles of the potential temperature perturbation at  $x = 500$  m after  
590 700 s for various resolutions:  $\Delta\bar{x}, \Delta\bar{z} = 20$  m (thin solid line),  $\Delta\bar{x}, \Delta\bar{z} = 10$  m (thin dashed  
591 line), and  $\Delta\bar{x}, \Delta\bar{z} = 5$  m (thick solid line).

592

593 FIG. 11. (top) Domain maximum potential temperature perturbation and (bottom)  
594 vertical wind for the rising thermal bubble test. All simulations use the 5th order basis  
595 polynomials per element, and the vertical resolutions are the same as the horizontal  
596 resolutions.

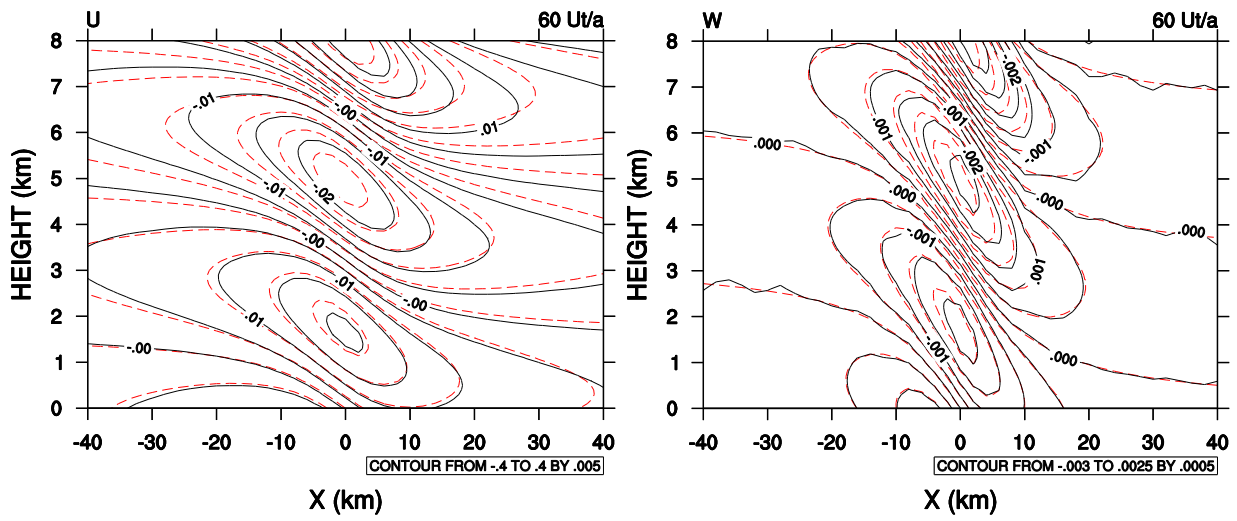
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598

599 FIG. 1. The grid points of columns within an element having four GLL points. The  
 600 hybrid sigma coordinate are illustrated and the close (open) circles on the solid (dashed) line  
 601 indicate the location of the variables at layer mid-points (interfaces).





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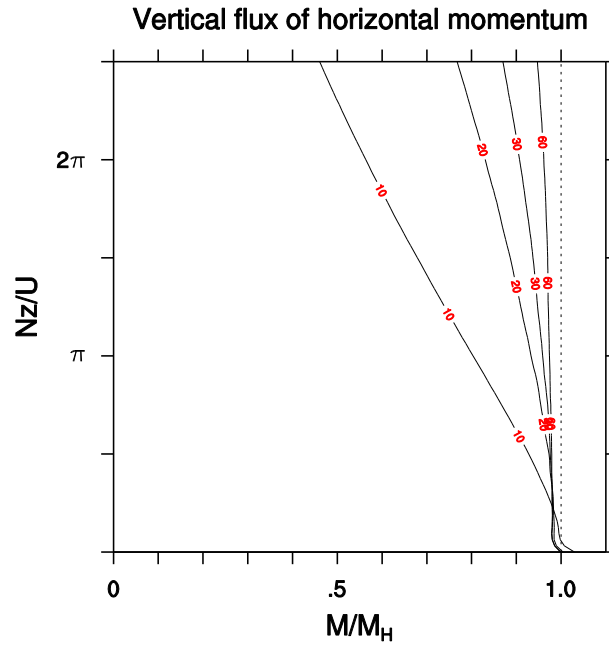
603 FIG. 2. Steady-state flow of (left) horizontal velocity (m/s) and (right) vertical velocity

604 (m/s) over 1 m high mountain at nondimensional time  $\frac{\bar{u}t}{a} = 60$  with a grid resolution of

605  $\Delta\bar{x} = 2$  km using 5th order basis polynomials per element and  $\Delta\bar{z} = 375$  m. The

606 numerical solution is represented by solid lines and the analytic solution by dashed lines.

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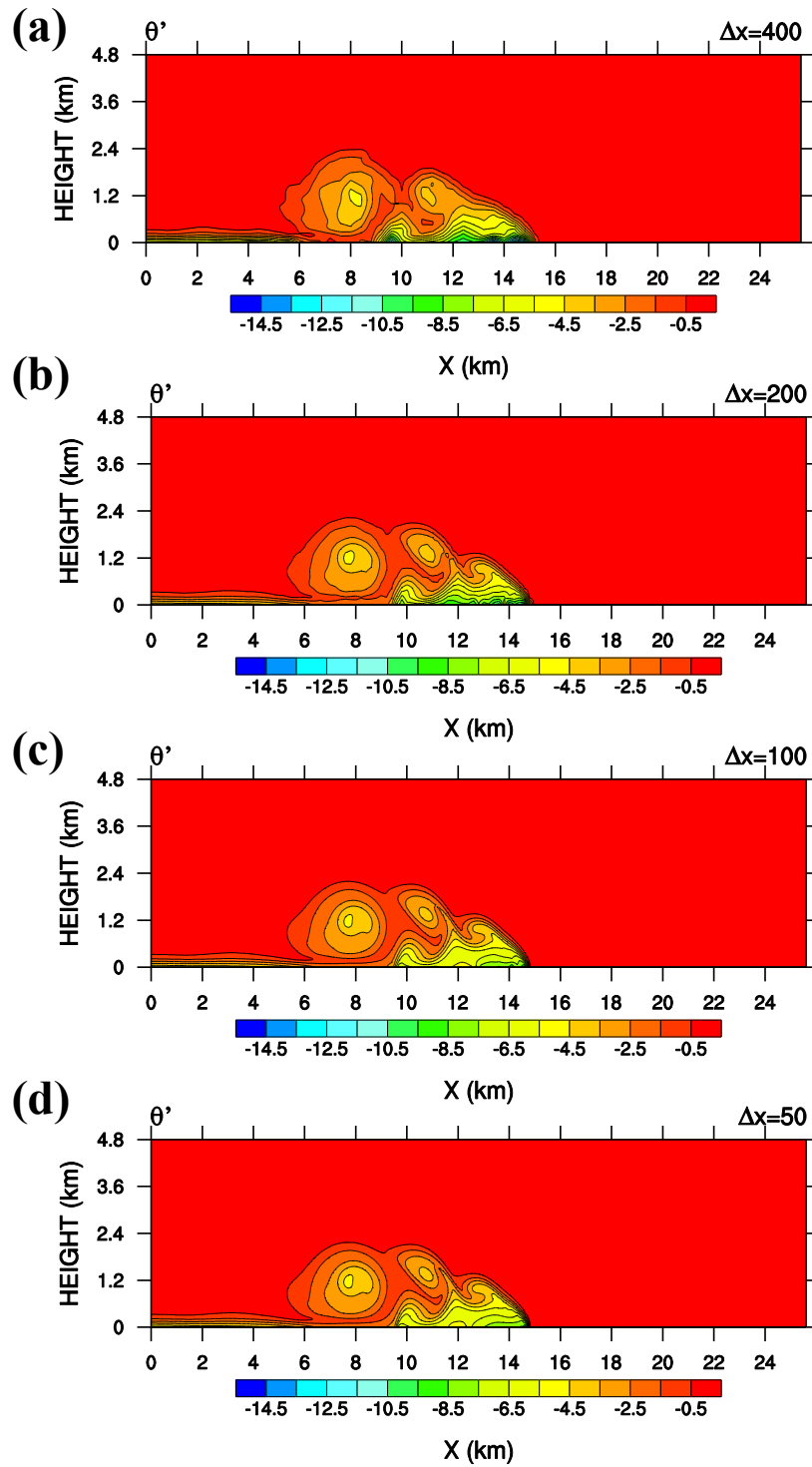
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609 FIG. 3. Vertical flux of horizontal momentum, normalized by its analytic value at

610 several non-dimensional times  $\frac{\bar{u}t}{a}$ . Here  $M$  and  $M_H$  are the momentum flux of the numerical

611 and analytic solutions.

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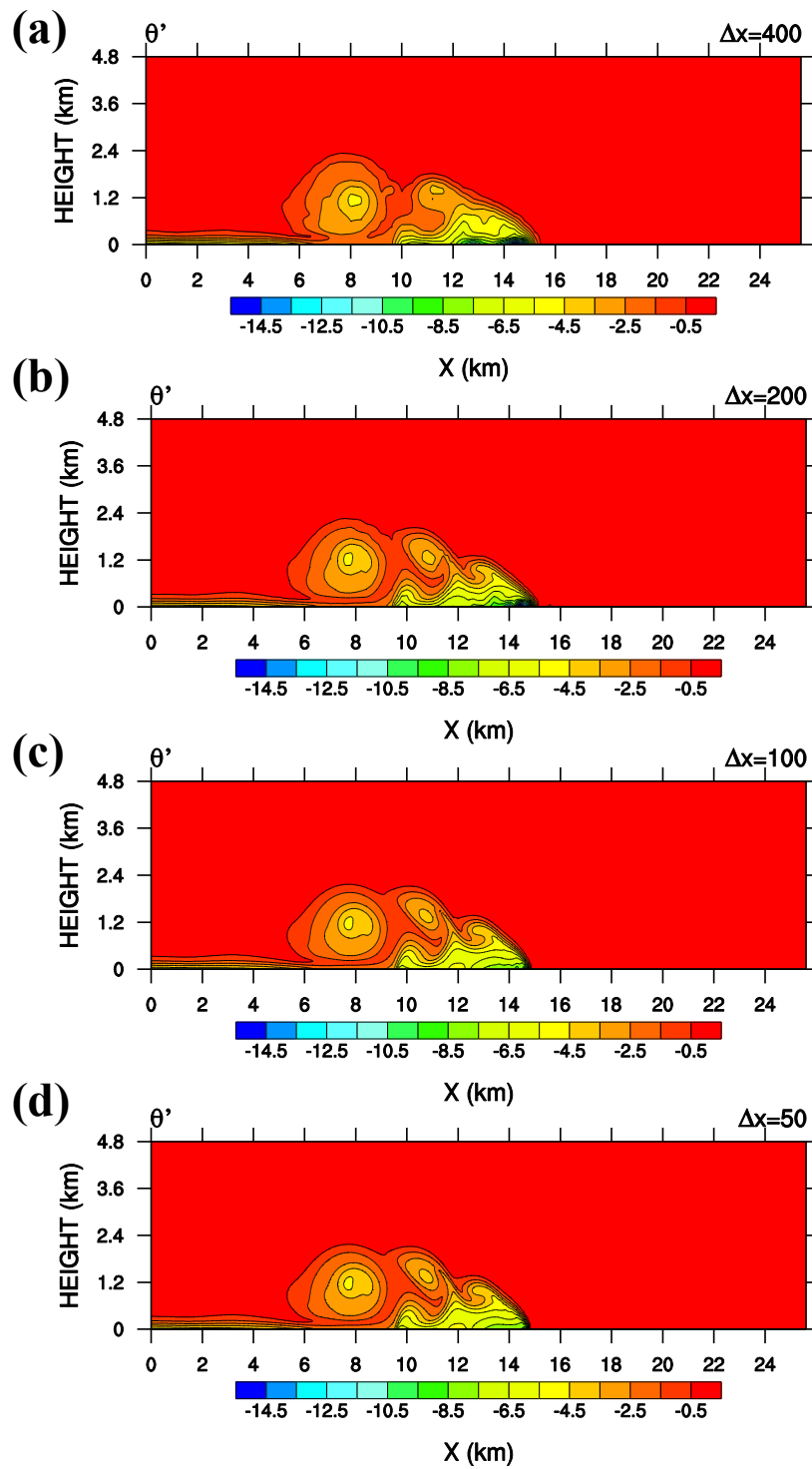
614 FIG. 4. Potential temperature perturbation after 900 s using (a)  $\Delta \bar{x} = 400$  m, (b)

615  $\Delta \bar{x} = 200$  m, (c)  $\Delta \bar{x} = 100$  m, and (d)  $\Delta \bar{x} = 50$  m grid spacing with 5th order basis

616 polynomials per element for the density current. All simulations use  $\Delta \bar{z} = 64$  m grid

617 spacing.

618

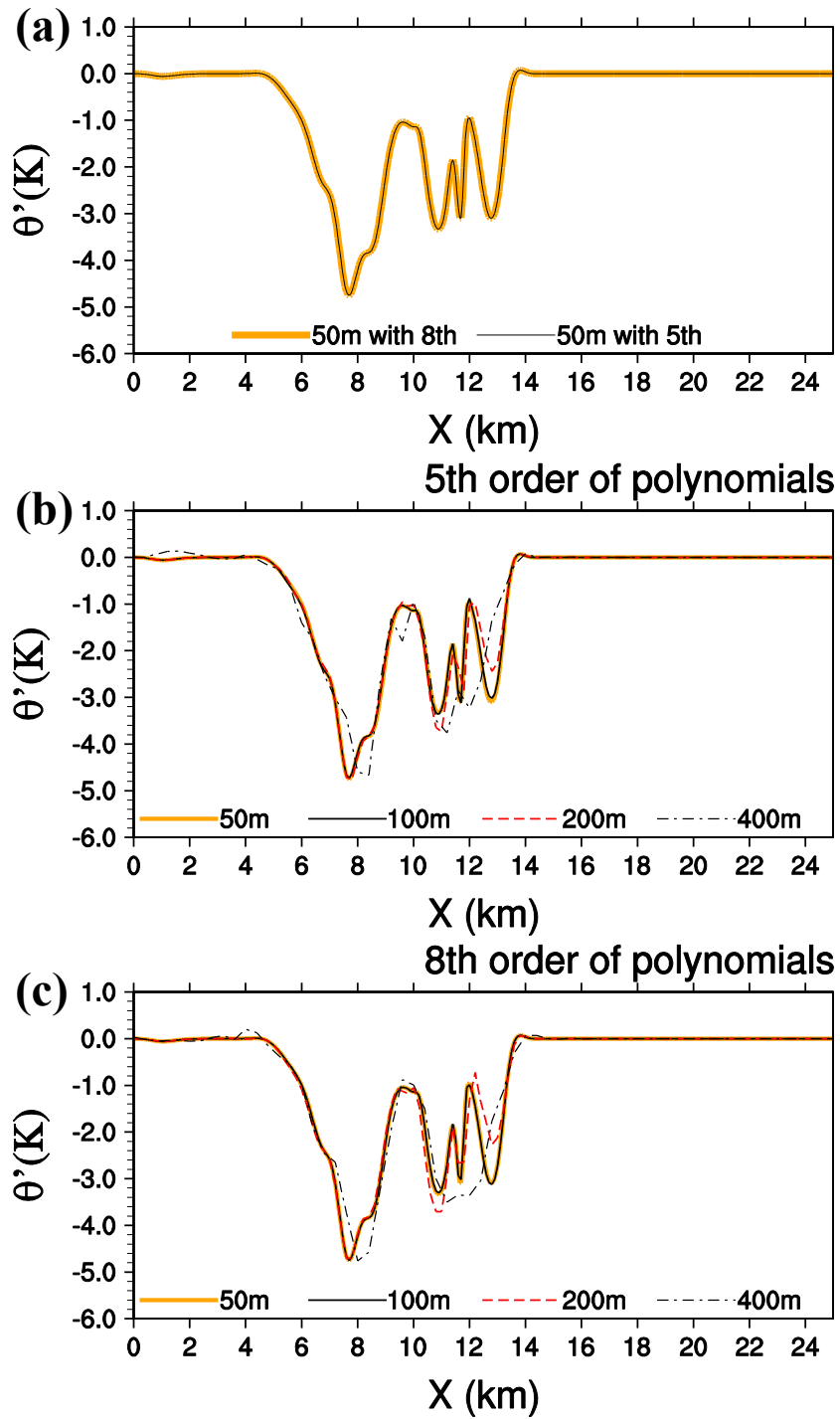


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FIG. 5. As in Fig. 4, but with 8th order basis polynomials per element.

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623 FIG. 6. Profiles of potential temperature perturbation after 900 s along 1200 m height:

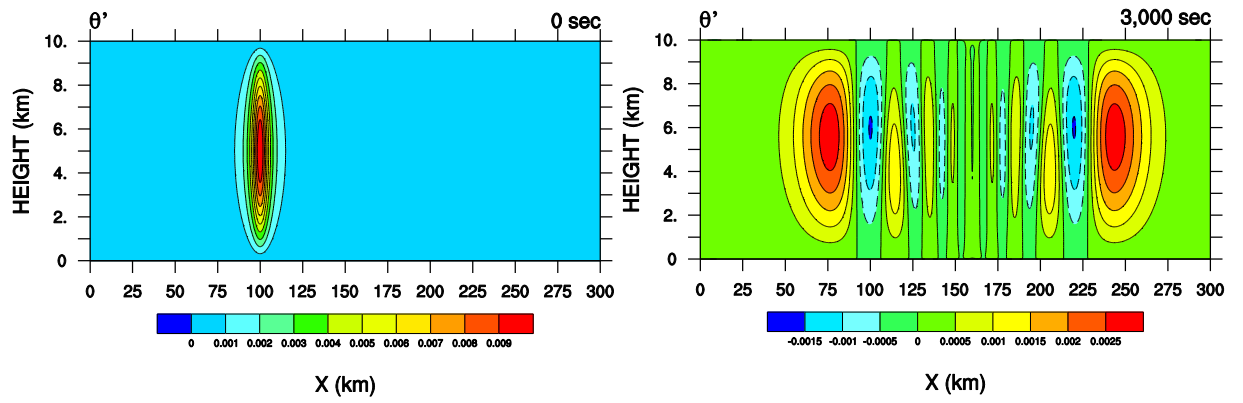
624 (a) high-resolution simulations using 5th (thin solid line) and 8th (thick solid line) order basis

625 function, (b) simulations using 5th order basis polynomials, and (c) simulations using 8th

626 order basis polynomials per element. The total number of the degrees of freedom is the same

627 in both 5th and 8th order experiments. All simulations use  $\Delta\bar{z} = 64$  m grid spacing.





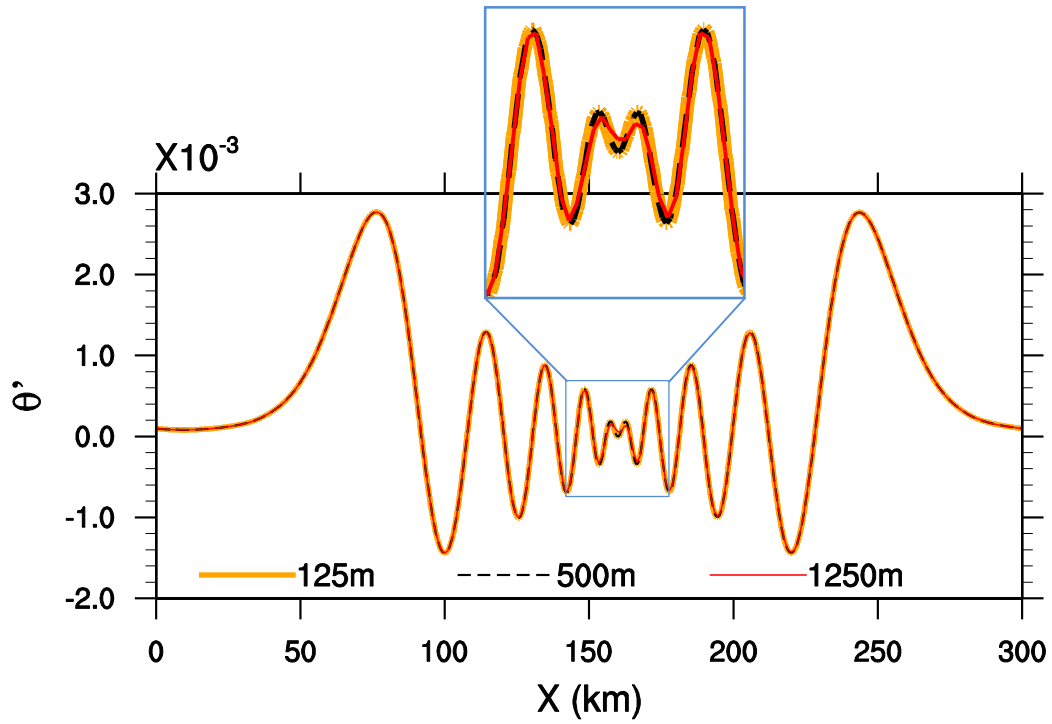
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630 FIG. 7. Potential temperature perturbation at the initial time (left) and time 3000s (right)

631 for  $\Delta\bar{x} = 250$  m using 8<sup>th</sup> order basis polynomials per element and  $\Delta\bar{z} = 250$  m for the

632 inertia-gravity wave.

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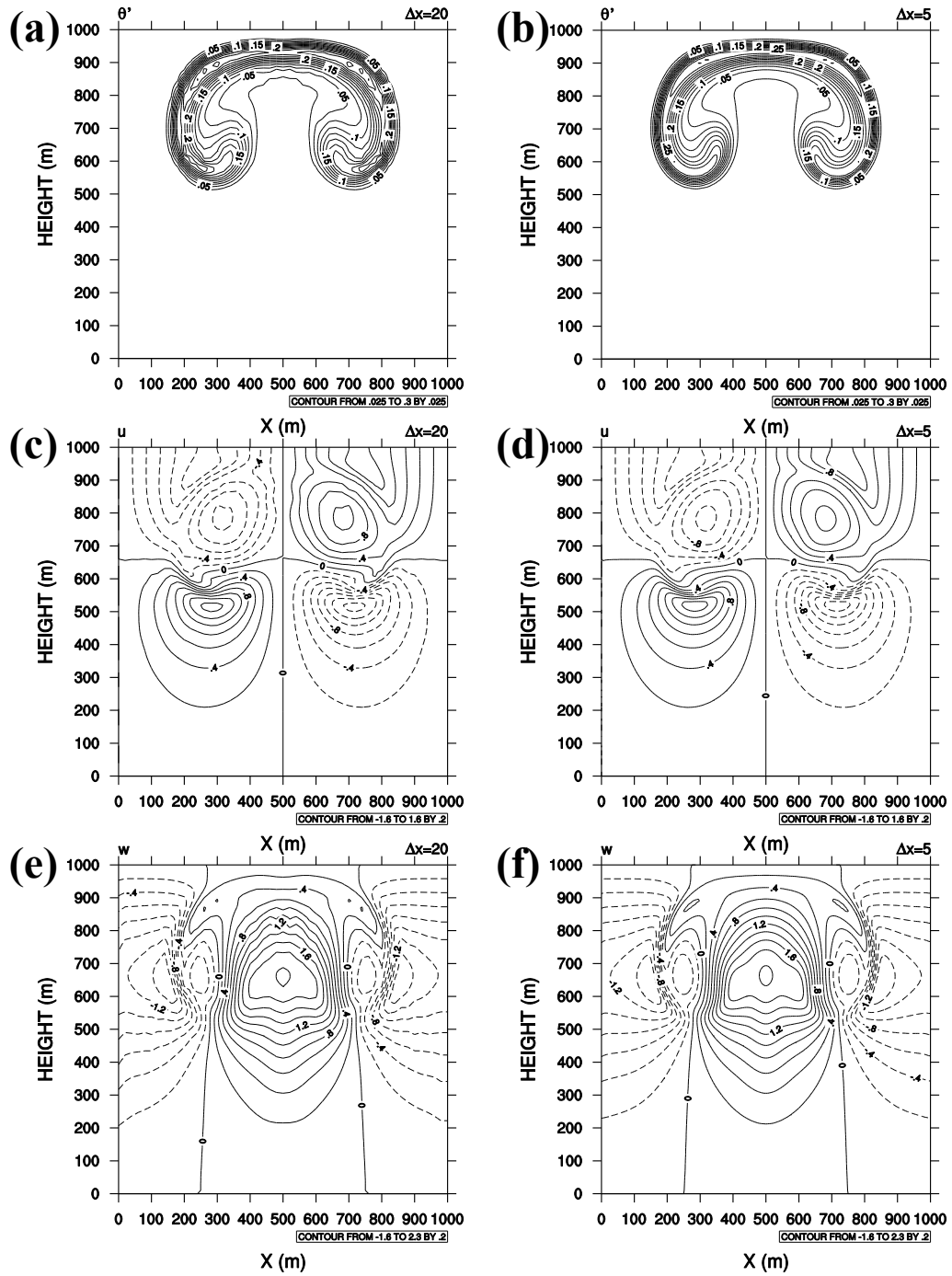


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635 FIG. 8. The profiles of potential temperature perturbation along 5000 m height for  
 636  $\Delta\bar{x} = 125$  m (thick solid line),  $\Delta\bar{x} = 500$  m (thin dashed line) and  $\Delta\bar{x} = 1250$  m (thin  
 637 solid line) using 8th order basis polynomials per element for the inertia-gravity wave. All  
 638 models use  $\Delta\bar{z} = 250$  m.

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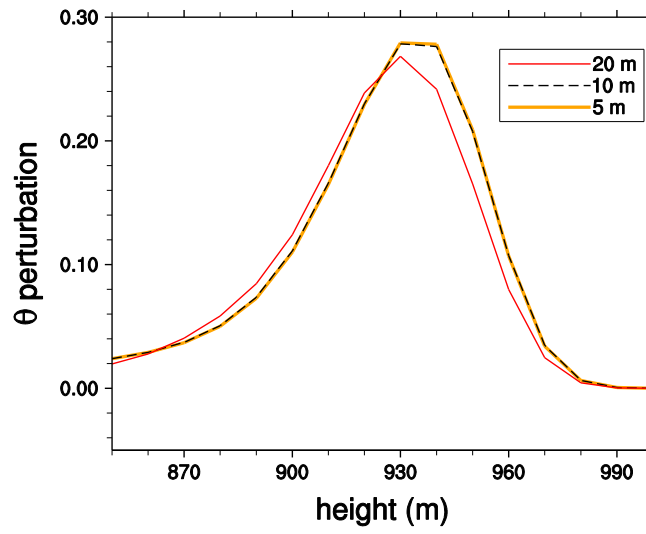
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FIG. 9. Plots of (a,b) potential temperature perturbation (K), (c,d) horizontal wind (m/s), and (e,f) vertical wind (m/s) for the rising thermal bubble test after 700s with (left)  $\Delta\bar{x}, \Delta\bar{z} = 20$  m and (right)  $\Delta\bar{x}, \Delta\bar{z} = 5$  m resolution for the rising thermal bubble test. All simulations use 5<sup>th</sup> order basis polynomials per element. All negative values are denoted by dashed lines and positive values by solid lines.



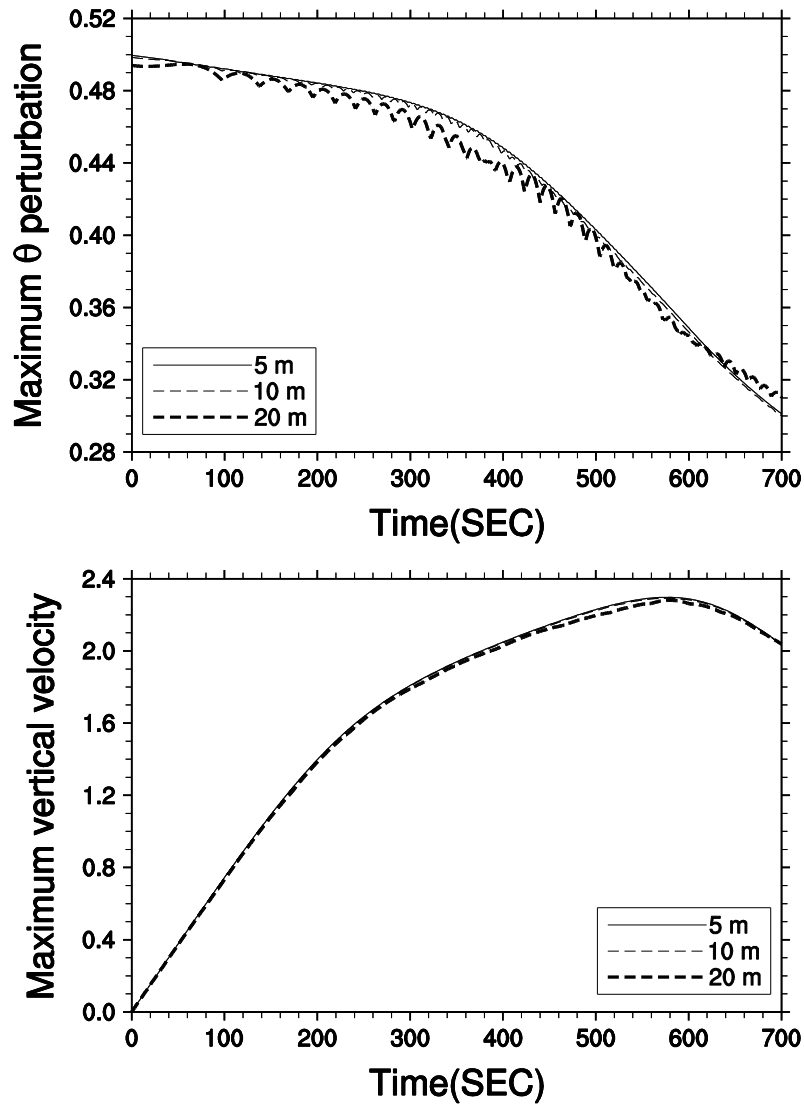
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648 FIG. 10. Vertical profiles of the potential temperature perturbation for the rising thermal  
 649 bubble test at  $x = 500$  m after 700 s for various resolutions:  $\Delta\bar{x}, \Delta\bar{z} = 20$  m (thin solid line),

650  $\Delta\bar{x}, \Delta\bar{z} = 10$  m (thin dashed line), and  $\Delta\bar{x}, \Delta\bar{z} = 5$  m (thick solid line).

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FIG. 11. (top) Domain maximum potential temperature perturbation and (bottom) vertical wind for the rising thermal bubble test. All simulations use the 5th order basis polynomials per element, and the vertical resolutions are the same as the horizontal resolutions.