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3 **Development and Evaluation of a**
4 **Hydrostatic Dynamical Core using the Spectral**
5 **element/Discontinuous Galerkin Methods**
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8 Suk-Jin Choi^{1),*} and Francis X. Giraldo²⁾
9

10 1) Korea institute of atmospheric prediction systems, 4F., 35 Boramae-ro 5-gil,
11 Dongjak-gu, Seoul 156-849, Korea

12 2) Department of Applied Mathematics, Naval Postgraduate School, 833 Dyer Road,
13 Monterey, CA 93943, USA
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23 *Corresponding author address: Dr. Suk-Jin Choi, Korea institute of atmospheric prediction systems, 4F.,
24 35 Boramae-ro 5-gil, Dongjak-gu, Seoul 156-849, Korea. Email: sj.choi@kiaps.org

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Abstract

In this paper, we present a dynamical core for the atmospheric primitive hydrostatic equations using a unified formulation of spectral element (SE) and discontinuous Galerkin (DG) methods in the horizontal direction with a finite difference (FD) method in the radial direction. The CG and DG horizontal discretization employs high-order nodal basis functions associated with Lagrange polynomials based on Gauss-Lobatto-Legendre (GLL) quadrature points, which define the common machinery. The atmospheric primitive hydrostatic equations are solved on the cubed-sphere grid using the flux form governing equations in a three-dimensional (3D) Cartesian space. By using Cartesian space, we can avoid the pole singularity problem due to spherical coordinates and this also allows us to use any quadrilateral-based grid naturally. In order to consider an easy way for coupling the dynamics with existing physics packages, we use a FD in the radial direction. The models are verified by conducting conventional benchmark test cases: the Rossby-Haurwitz wavenumber 4, Jablonowski-Williamson tests for balanced initial state and baroclinic instability, and Held-Suarez tests. The results from those tests demonstrate that the present dynamical core can produce numerical solutions of good quality comparable to other models..

42 **1. Introduction**

43 Spectral element (SE; here after is referred to as continuous Galerkin (CG)) and
44 discontinuous Galerkin (DG) methods are very attractive on many-core computing platforms
45 because these methods decompose the physical domain into smaller pieces having a small
46 communication footprint. CG/DG methods are local in nature and thus can have a large on-
47 processor operation count (Kelly and Giraldo, 2012) which is advantageous on large
48 processor-count computers. Also CG/DG methods can achieve high-order accuracy because
49 the polynomial order can be adjusted automatically according to the corresponding numerical
50 integration rule, that is, the Gaussian quadrature (Taylor et al. 1997; Giraldo 2001; Giraldo et
51 al. 2002). In addition, CG/DG methods are geometrically flexible in the types of grids they
52 can use; this includes static and adaptive grids as well as conforming and non-conforming
53 grids (Giraldo et al. 2002; Giraldo and Rosmond 2004; Mueller et al. 2013).

54 The CG method is characterized by the high-order approximation combined with the
55 local decomposition property of the finite element method (FEM) and weak numerical
56 dispersion property of the spectral method. The DG method, on the other hand, is best
57 characterized as a combination of the properties of the CG method plus the local conservation
58 properties of the finite volume method (FVM) (Giraldo and Restelli 2008). The virtues of
59 the DG method are that it is inherently conservative (both locally and globally) as in the case
60 of the FVM. However, the common criticism of the DG method is the stringent Courant-
61 Friedrichs-Lewy (CFL) stability constraint in explicit time schemes. For a DG method using
62 k -th order basis functions, an approximate CFL limit estimate is $1/(2k+1)$ (Cockburn and Shu
63 1989). This, however, is partly due to the choice of the numerical flux which, for expediency,
64 is chosen as a purely edge-based flux although other fluxes are also possible (e.g., Yelash et
65 al. 2014); however these more sophisticated approaches come at a price and it is yet unclear

66 which strategy yields a faster wallclock time to solution.

67 To date, successful applications of the CG method in hydrostatic atmospheric modeling
68 include the Community Atmosphere Model – spectral element dynamical core (CAM-SE)
69 (Dennis et al. 2012) and the scalable spectral element Eulerian atmospheric model (NSEAM)
70 (Giraldo and Rosmond, 2004, hereafter GR04). In this context, one of the motivations of this
71 study is to construct a dynamical core using a unified formulation of CG and DG methods as
72 described in Giraldo and Restelli 2008 and Kelly and Giraldo 2012 where nonhydrostatic
73 atmospheric models are proposed. Successful applications of the DG method in hydrostatic
74 atmospheric modeling include the work of Nair et al. 2009; however, in our paper we shall
75 present results for more than one test case. To our knowledge, the results for the Held-Suarez
76 test cases presented in our paper are the first such results shown for a DG model. The
77 significance is that this confirms the long-term stability of the DG method for hydrostatic
78 models. Although we could also discretize the vertical direction with CG and DG methods,
79 we choose a conservative flux-form finite-difference method for discretization in the vertical
80 direction which is similar to the approach used in both CAM-SE and NSEAM. This choice of
81 vertical discretization provides an easy way for coupling the dynamics with existing physics
82 packages.

83 In this paper we construct a unified formulation of CG and DG for the primitive
84 hydrostatic equations in GR04. In order to achieve a unified formulation, the advective-form
85 governing equations in GR04 are recast in flux form. GR04 provides a clue for converting the
86 advective-form equation set in 3D Cartesian space to the flux form in their appendix. By
87 using 3D Cartesian space, we can be free from the pole singularity problem in spherical
88 coordinates. Although a local Cartesian coordinate system could also be used to overcome
89 these problems (Taylor et al. 1997; Nair et al. 2005), the use of 3D Cartesian space
90 everywhere allows us to treat the pole as any other point. Therefore it permits general grids

91 naturally such as icosahedral, hexahedral, and adaptive unstructured grids (it should be noted
 92 that general grids can also be used with the coordinate invariant form of the equations). In
 93 this paper we adopt a hexahedral grid – the so called cubed-sphere.

94 In brief, the objective of this paper is to show the feasibility of the hydrostatic primitive
 95 equation models using CG/DG horizontal discretization and the FD vertical discretization by
 96 conducting conventional benchmark test cases. The organization of the remainder of this
 97 paper is as follows. In the next section we describe the governing equations in 3D Cartesian
 98 space with a definition of the prognostic and diagnostic variables. In Sec. 3 we explain the
 99 horizontal, vertical, and temporal discretization methods including the numerical
 100 approximation of the equations. In Sec. 4 we describe the cubed-sphere grid, and in Sec. 5,
 101 we present the simulation results of the test cases. Finally, in Sec. 6, we end the paper with a
 102 summary of our findings and some concluding remarks.

103

104 **2. Governing Equations**

105 The primitive hydrostatic equations of conservation form in the 3D Cartesian space with
 106 a sigma pressure vertical coordinate σ are given as

$$107 \quad \frac{\partial q}{\partial t} + \nabla \cdot \bar{F} = S_{Cor} + S_h + S_v, \quad (1)$$

108 where

$$109 \quad q = \begin{bmatrix} \pi \\ U \\ V \\ W \\ \Theta \end{bmatrix} = \begin{bmatrix} \pi \\ \pi U \\ \pi V \\ \pi W \\ \pi \theta \end{bmatrix} \quad (2)$$

110 are prognostic variables,

$$\begin{aligned}
111 \quad S_{Cor} &= \begin{bmatrix} 0 \\ -\frac{2\omega Z}{a^2}(yW - zV) - \mu x \\ -\frac{2\omega Z}{a^2}(zU - xW) - \mu y \\ -\frac{2\omega Z}{a^2}(xV - yU) - \mu z \\ 0 \end{bmatrix}, \quad S_h = \begin{bmatrix} 0 \\ (\phi - \Theta c_p \frac{\partial P}{\partial \pi}) \frac{\partial \pi}{\partial x} \\ (\phi - \Theta c_p \frac{\partial P}{\partial \pi}) \frac{\partial \pi}{\partial y} \\ (\phi - \Theta c_p \frac{\partial P}{\partial \pi}) \frac{\partial \pi}{\partial z} \\ 0 \end{bmatrix}, \quad S_v = \begin{bmatrix} -\frac{\partial}{\partial \sigma}(\pi \dot{\sigma}) \\ -\frac{\partial}{\partial \sigma}(U \dot{\sigma}) \\ -\frac{\partial}{\partial \sigma}(V \dot{\sigma}) \\ -\frac{\partial}{\partial \sigma}(W \dot{\sigma}) \\ -\frac{\partial}{\partial \sigma}(\Theta \dot{\sigma}) \end{bmatrix} \quad (3)
\end{aligned}$$

112 respectively denote Coriolis with the Lagrange multiplier μ , horizontal, and vertical source
113 terms, and

$$\begin{aligned}
114 \quad \bar{\mathbf{F}} &= \begin{bmatrix} U \\ \frac{U^2}{\pi} + \pi\phi \\ \frac{VU}{\pi} \\ \frac{WU}{\pi} \\ \frac{\Theta U}{\pi} \end{bmatrix} \hat{i} + \begin{bmatrix} V \\ \frac{UV}{\pi} \\ \frac{V^2}{\pi} + \pi\phi \\ \frac{WV}{\pi} \\ \frac{\Theta V}{\pi} \end{bmatrix} \hat{j} + \begin{bmatrix} W \\ \frac{UW}{\pi} \\ \frac{VW}{\pi} \\ \frac{W^2}{\pi} + \pi\phi \\ \frac{\Theta W}{\pi} \end{bmatrix} \hat{k} \quad (4)
\end{aligned}$$

115 is the horizontal flux terms where \hat{i} , \hat{j} , and \hat{k} denote the Cartesian directional unit
116 vectors. The prognostic variables q are comprised of: 1) the surface pressure π defined as

$$117 \quad \pi = p_s - p_t, \quad (5)$$

118 where p_s is the true surface pressure, and p_t is the pressure at the top of the atmosphere; 2)

119 the flux-form velocity components $\mathbf{U} = (U, V, W) = (\pi u, \pi v, \pi w)$, where (u, v, w) are the

120 three Cartesian velocity components, and 3) the flux-form potential temperature $\Theta = \pi\theta$,

121 where θ is the potential temperature. The diagnostic variables are 1) the geopotential ϕ

122 given by the diagnostic equation as

123
$$\frac{\partial \phi}{\partial P} = -c_p \theta, \quad (6)$$

124 2) the Exner function P defined as

125
$$P = \left(\frac{p}{p_0} \right)^{R_d/c_p}, \quad (7)$$

126 where p and p_0 is the hydrostatic pressure and standard surface pressure, respectively,

127 and R_d and c_p is the gas constant and specific heat of dry air at constant pressure, and 3)

128 the σ -coordinate vertical velocity $\omega = \frac{d\sigma}{dt}$ where $\sigma = \frac{p - p_t}{\pi} \in [0,1]$ is the definition

129 of the sigma pressure coordinate with a value of 0 at the top of the atmosphere and 1 at the

130 surface. The constants a and ω in Eq. (4) are the Earth's radius and angular velocity,

131 respectively, and μ is a Lagrange multiplier for the fluid particles to remain on a spherical

132 shell with constant σ . The momentum variables representing the atmospheric motion over

133 the shell in the Cartesian space have three components along the x , y , and z axes in Cartesian

134 coordinates, so that the movement of a particle on the shell has three degrees of freedom,

135 which can move freely in \mathbb{R}^3 . To ensure that fluid particles remain on the spherical shell, it is

136 required that the fluid velocity remains perpendicular to the position vector, which yields a

137 Lagrange multiplier in the momentum equations (Giraldo 2001; Giraldo et al. 2002; Giraldo

138 and Rosmond, 2004). It is noteworthy that among the independent variables (x, y, z, σ, t) ,

139 (x, y, z) represent grid points on the sphere which are related to the points in the spherical

140 coordinates (λ, φ) given as

141
$$\begin{aligned} x &= a \cos \lambda \cos \varphi, \\ y &= a \sin \lambda \cos \varphi, \\ z &= a \sin \varphi. \end{aligned} \quad (8)$$

142 Thus ∇ is defined as

143

$$\nabla = \begin{pmatrix} \frac{\partial}{\partial x} \\ \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z} \end{pmatrix} \quad (9)$$

144 at constant σ .

145

146 **3. Discretization**

147 1) Discretization in the horizontal direction

148 To describe the discretization of the horizontal operators by the CG/DG method we
 149 follow the description given previously in Giraldo and Restelli 2008 and in Kelly and Giraldo
 150 2012. Let us begin by rewriting Eq. (1) as follows

151

$$\frac{\partial q}{\partial t} + \nabla \cdot F = S \quad (10)$$

152 Next, let us introduce the following vector spaces

153

$$V^{CG} = \left\{ \psi \in H^1(\Omega) \mid \psi \in P_N(\Omega_e) \right\} \quad (11)$$

154 and

155

$$V^{DG} = \left\{ \psi \in L^2(\Omega) \mid \psi \in P_N(\Omega_e) \right\} \quad (12)$$

156 where we now seek solutions of Eq. (1) as follows:

157

$$q \in V \quad \forall \psi \in V$$

158 where V denotes either V^{CG} or V^{DG} . Next, we approximate the solution vector as follows

159

$$q_N(x, y, z, t) = \sum_{i=1}^M \psi_i(x, y, z) q_i(t) \quad (13)$$

160 where, for quadrilateral elements in the horizontal direction, $M = (N + 1)^2$ with N

161 representing the polynomial order of the basis function ψ .

162 We now introduce this expansion into our governing system of equations, multiply by a
 163 test function, and integrate by parts to yield

$$164 \quad \int_{\Omega_e} \psi_i \frac{\partial q_N}{\partial t} d\Omega_e + \int_{\Gamma_e} \psi_i \hat{n} \cdot F d\Gamma_e - \int_{\Omega_e} \nabla \psi_i F(q_N) d\Omega_e = \int_{\Omega_e} \psi_i S(q_N) d\Omega_e. \quad (14)$$

165 where the terms with Ω_e refer to volume integrals and the one with Γ_e is a boundary
 166 integral which accounts for both internal faces (neighboring elements share faces) as well as
 167 boundary faces (elements on boundaries do not share faces with other elements). In matrix-
 168 vector form, this equation can be written as

$$169 \quad \mathbf{M}_{i,j}^e \frac{dq_j^e}{dt} + (\mathbf{M}_{i,j}^{f,e})^T F_j^*(q_N) - (\mathbf{D}_{i,j}^{\nabla})^T F_j(q_N^e) = S_i(q_N^e) \quad (15)$$

170 where

$$\begin{aligned} \mathbf{M}_{i,j}^e &= \int_{\Omega_e} \psi_i \psi_j d\Omega_e, \\ \mathbf{M}_{i,j}^{f,e} &= \int_{\Gamma_e} \psi_i \psi_j \hat{n} d\Gamma_e, \\ \mathbf{D}_{i,j}^{\nabla} &= \int_{\Omega_e} \nabla \psi_i \psi_j d\Omega_e. \end{aligned} \quad (16)$$

172 These matrices represent: the mass, flux, and differentiation matrices, respectively.

173 For the DG method, the matrix-vector form given above is sufficient as long as we define
 174 the numerical flux, e.g., as follows

$$175 \quad F^*(q_N) = \frac{1}{2} \left[F(q_N^L) + F(q_N^R) - \hat{n} |\lambda_{\max}| (q_N^R - q_N^L) \right] \quad (17)$$

176 where the superscripts L and R refer to the left and right elements (arbitrarily decided) of the
 177 face Γ_e and λ_{\max} is the maximum eigenvalue of the Jacobian matrix of the governing
 178 partial differential equations. Here we use the Rusanov scheme for the numerical flux
 179 because of its simplicity although any other Riemann solver could be used. For the CG
 180 method, the matrix-vector form given above is also used except that the term of the flux

181 matrix vanishes on the sphere and we then use the direct stiffness summation (DSS) operation
 182 which gathers the element-wise solution to a global grid point solution and then scatters it
 183 back to the element-wise space. This is done to ensure that the solution is C^0 across all
 184 element faces.

185

186 2) Discretization in the vertical direction

187 We use the FD method similarly to other global models to gain an easy way for coupling
 188 the dynamics with existing physics packages, although we could also discretize the vertical
 189 operators with the CG/DG methods (as done in Kelly and Giraldo 2012; Giraldo et al. 2013).
 190 Also by using the FD, we can keep the model as similar as possible to the NSEAM model
 191 (GR04) so that we directly discern differences from the discrete horizontal operators. Using a
 192 Lorenz staggering, the variables U , V , W , Θ , and ϕ are at layer mid points denoted by
 193 $k = 1, 2, \dots, Nlev$ where $Nlev$ is the total number of layers, while the variable P and \mathcal{E}
 194 are at layer interface points denoted by $k + \frac{1}{2}$, $k = 0, 1, \dots, Nlev$.

195 We begin the vertical discretization by the evaluating $\frac{\partial \pi}{\partial t}$ which is given by
 196 integrating the first row of Eq. (1) (i.e., the continuity equation) from the surface
 197 ($\sigma_{bottom} = \sigma_{Nlev+1/2} = 1$) to the top ($\sigma_{top} = \sigma_{1/2} = 0$) with no-flux boundaries at the top and
 198 bottom levels of the atmosphere (i.e. $\mathcal{E}_{top} = \mathcal{E}_{bottom} = 0$). Thus,

$$199 \quad \frac{\partial \pi}{\partial t} = \mathbf{M}^{-1} \sum_{k=1}^{Nlev} \mathbf{D}^0 \mathbf{u}_k \Delta \sigma_k, \quad (18)$$

200 where k is the number of vertical levels to be integrated across and $\Delta \sigma_i = \sigma_{i+1/2} - \sigma_{i-1/2}$ is
 201 the thickness of the layer. Then the vertical velocity \mathcal{E} at each vertical level is obtained by
 202 integrating the continuity equation from the top of the atmosphere to the material surface as

203 follows

$$204 \quad (\dot{\sigma}\pi)_{k+1/2} = -\frac{\partial\pi}{\partial t}\sigma_{k+1/2} + \mathbf{M}^{-1}\sum_{l=1}^k \dot{\mathbf{D}} \cdot \mathbf{U}_l \Delta\sigma_l. \quad (19)$$

205 The vertical advection term $\frac{\partial(\dot{\sigma}q)}{\partial\sigma}$ in the vertical source term S_v is computed using the

206 third-order upwind biased discretization in Hundsdorfer et al. (1995) which is given as

$$207 \quad \left. \frac{\partial f}{\partial\sigma} \right|_k = \frac{f_{k-2} - 8f_{k-1} + 8f_{k+1} - f_{k+2}}{12\Delta\sigma} + \text{sign}(\mathcal{Q}) \frac{f_{k-2} - 4f_{k-1} + 6f_k - 4f_{k+1} + f_{k+2}}{12\Delta\sigma}, \quad (20)$$

208 where f denotes the flux (\mathcal{Q}). It is noted that the upwind-biased schemes are inherently

209 diffusive. Following GR04, the hydrostatic equation, Eq. (6), is evaluated as follows

$$210 \quad \phi_k - \phi_{k+1} = c_p \Theta_k (P_{k+1/2} - P_k) + c_p \Theta_{k+1} (P_{k+1} - P_{k+1/2}), \quad (21)$$

211 where the Exner function at layer interfaces and midpoints is given by

$$212 \quad P_{k+1/2} = \left(\frac{p_{k+1/2}}{p_0} \right)^\kappa \quad (22)$$

213 and

$$214 \quad P_k = \frac{1}{\kappa + 1} \frac{1}{p_0^\kappa} \left(\frac{p_{k+1/2}^{\kappa+1} - p_{k-1/2}^{\kappa+1}}{p_{k+1/2} - p_{k-1/2}} \right), \quad (23)$$

215 respectively.

216

217 3) Discretization in time

218 For integrating the equations, we adopt a third-order strong stability preserving explicit

219 Runge-Kutta (SSP-RK) scheme (Cockburn and Shu 1998; Nair et al. 2005). The 3rd order

220 SSP-RK scheme is introduced into our governing equations in the form of

$$221 \quad \frac{\partial q}{\partial t} = R(q), \quad (24)$$

222 and is given as follows:

$$\begin{aligned}
 & q^{(1)} = q^n + \Delta t R(q^n) \\
 223 \quad & q^{(2)} = \frac{3}{4}q^n + \frac{1}{4}q^{(1)} + \frac{1}{4}\Delta t R(q^{(1)}) \\
 & q^{n+1} = \frac{1}{3}q^n + \frac{2}{3}q^{(1)} + \frac{2}{3}\Delta t R(q^{(2)}),
 \end{aligned} \tag{25}$$

224 where the superscripts n and $n + 1$ denote time levels t and $t + \Delta t$, respectively. While
 225 for smooth problems the SSP-RK scheme does not generate spurious oscillations so that are
 226 widely used for DG methods, for problems with strong shocks or discontinuities, oscillations
 227 can lead to nonlinear instabilities (Cockburn and Shu 1998). Since an SSP-RK time-
 228 integration scheme cannot control such undesirable effects, a Boyd-Vandeven spatial filter is
 229 applied after the time integration, which is described in GR04. Neither viscosity nor slope
 230 limiter are used in all simulations.

231

232 4. Cubed-sphere Grid

233 The cubed-sphere grids are composed of the six patches obtained by the gnomonic
 234 projection of the faces of the hexahedron which are subdivided into $(n_H \times n_H)$ quadrilateral
 235 elements where n_H is the number of quadrilateral elements in each direction (GR04). Inside
 236 each element we build $(N + 1)$ Gauss-Lobatto-Legendre (GLL) quadrature points, where N
 237 indicate the polynomial order of the basis function ψ . Therefore the total number of grid
 238 points N_p is given as

$$239 \quad N_p = 6(n_H N)^2 + 2, \tag{26}$$

240 and the number of elements N_e comprising the sphere is

$$241 \quad N_e = 6(n_H)^2. \tag{27}$$

242 We now introduce the square region on the gnomonic space $(\xi_G, \eta_G) = \left[-\frac{\pi}{4}, +\frac{\pi}{4}\right]^2$ in

243 each of the six faces to describe the relation to spherical coordinates (λ, φ) . The gnomonic

244 space $(\xi_G, \eta_G) = \left[-\frac{\pi}{4}, +\frac{\pi}{4}\right]^2$ is mapped to the corresponding spherical coordinates

245 (λ_G, φ_G) via

$$246 \quad \lambda_G = \xi_G, \quad (28)$$

$$247 \quad \varphi_G = \arcsin\left(\frac{\tan \eta_G}{\sqrt{1 + \tan^2 \xi_G + \tan^2 \eta_G}}\right), \quad (29)$$

248 and then we construct the cubed-sphere grid by rotating this face to the six faces of the

249 hexahedron by

$$250 \quad \lambda = \lambda_c + \arctan\left(\frac{\cos \varphi_G \sin \lambda_G}{\cos \varphi_G \cos \lambda_G \cos \varphi_c - \sin \varphi_G \sin \varphi_c}\right), \quad (30)$$

$$251 \quad \varphi = \arcsin(\sin \varphi_G \cos \varphi_c + \cos \varphi_G \cos \lambda_G \sin \varphi_c), \quad (31)$$

252 with the centroids, $(\lambda_c, \varphi_c) = \left([c-1]\frac{\pi}{2}, 0\right)$ for $c = 1, 2, 3, 4, 5, 6$, $(\lambda_5, \varphi_5) = \left(0, \frac{\pi}{2}\right)$, and

$$253 \quad (\lambda_6, \varphi_6) = \left(0, -\frac{\pi}{2}\right).$$

254 The resolution of the cubed-sphere grid H is determined by n_H (the number of

255 quadrilateral elements in each direction contained in each of the six faces of the cube) and N

256 (the polynomial order of the elements), where we use $H = n_H N$ as the convention to define

257 the grid resolution. Fig. 1 show examples of the grids with $H = 3$ ($n_H = 3$ and $N = 1$),

258 $H = 15$ ($n_H = 3$ and $N = 5$), and $H = 35$ ($n_H = 5$ and $N = 7$).

259

260 **5. Simulation results with Benchmark Tests**

261 We consider the following test cases: 1) 3D Rossby-Haurwitz wavenumber 4,
262 2) Jablonowski-Williamson balanced initial state test, 3) baroclinic instability test, and 4)
263 Held-Suarez test. Because all of the test cases except 2) the Jablonowski-Williamson
264 balanced initial state test do not have analytical solutions, we compare our results to the
265 results of other published papers and evaluate the results qualitatively. We now discuss the
266 results of the four test cases.

267

268 1) 3D Rossby-Haurwitz wavenumber 4

269 We conduct the Rossby-Haurwitz (RH) wave test case which is a 3D extension of the
270 2D shallow water RH wave discussed in Williamson et al. (1992). The main differences
271 compared to the 2D shallow water formulation include the introduction of a temperature field
272 and the derivation of the surface pressure, which is discussed in GR04 and Jablonowski et al.
273 (2008). The Rossby-Haurwitz wave approximately preserves its shape even in nonlinear
274 shallow water and primitive equation models, which has a sufficiently simple enough pattern
275 to allow one to judge if the simulation was successful. We initialize the model following
276 Jablonowski et al. (2008).

277 Snapshots of the output data for the CG and DG models for day 15 are presented in Figs.
278 2 and 3, respectively. The figures show the 850 hPa zonal wind, meridional wind, and
279 temperature as well as the surface pressure. These model results were computed at the
280 resolution of $H = 64$ ($n_H = 8$ and $N = 8$) with 26 vertical levels (Nlev=26). The results
281 of the CG and DG simulations are virtually indistinguishable; in addition, the accuracy results
282 of both simulations are almost identical to the results obtained with the CAM3.5.41 version

283 of the NCAR Finite Volume (FV) dynamical core at the resolution 1° by 1° with 26 hybrid
 284 levels, as described in Jablonowski et al. (2008). Although we have used a relatively low
 285 resolution of H64 which is comparable to T63 of a spectral model, the results are strikingly
 286 similar to the solutions with the $1^\circ \times 1^\circ$ NCAR CAM-FV core, both in phase and amplitude.

287

288 2) Jablonowski-Williamson balanced initial state test

289 In order to estimate the accuracy and stability of the dynamical core, we conduct the
 290 Jablonowski-Williamson balanced initial state test introduced by Jablonowski and
 291 Williamson (2006). We initialize the model following Jablonowski and Williamson (2006a
 292 and b). Using the balanced initial fields, the simulation results should maintain the initial state
 293 perfectly for a sufficient amount of time. Since the initial state of this test is the true solution,
 294 we can compute error norms. We evaluate the error by using the relative L_2 error defined by

$$295 \quad \|q_{simulation}\|_{L_2} = \sqrt{\frac{\int_{\Omega} (q_{exact} - q_{simulation})^2 d\Omega}{\int_{\Omega} q_{exact}^2 d\Omega}},$$

296 where $q_{simulation}$ represents the computed state variables and q_{exact} the exact (i.e., initial
 297 condition) values.

298 Figure 4 shows the normalized surface pressure L_2 error norms for the CG and DG
 299 simulations with $H = 128$ ($n_H = 16$ and $N = 8$) horizontal resolution and 26 vertical
 300 levels (Nlev=26). The L_2 error norms of the two simulations are visually identical, in which
 301 the error oscillates but remains bounded. These results (including the value of the L_2 error)
 302 compare well against those of the NSEAM model presented in GR04. The bounded error
 303 confirms that the initial balanced state is properly maintained. In practice though, the initial
 304 state degrades over time. After 20-days, the zonal wind fields for the CG and DG simulations
 305 show a somewhat distorted distribution with an increasing zonally asymmetric pattern (Fig.

306 5). Initially the maximum of the zonal winds at the lowest level are about 9.4 m/s in mid-
307 latitude, but after 20-days the maximum difference of the zonal wind is up to about 0.02 m/s
308 showing the zonal asymmetry. Although the error distribution is different between the CG
309 and DG simulations in detail, these have a wavenumber 4 structure which arise from the
310 cubed-sphere grid. The wavenumber 4 signals grow over time and lead eventually to a
311 breakdown of the balanced state. However, higher resolutions delay the growth of the signals
312 as the truncation error associated with the spatial discretization decreases. Actually, at
313 $H = 192$ ($n_H = 16$ and $N = 12$) horizontal resolution this error virtually disappears for
314 20-day simulations (Fig. 6).

315

316 3) Jablonowski-Williamson baroclinic instability test

317 The baroclinic instability test case starts from the balanced initial fields, which is
318 described above, with a perturbation in the initial zonal velocity. The baroclinic wave is
319 induced by the small perturbation in the initial zonal wind. Here a Gaussian profile is used for
320 the zonal wind perturbation, which is centered at $(\lambda_c, \varphi_c) = \left(\frac{\pi}{9}, \frac{2\pi}{9}\right)$ pointing to the
321 location $(20^\circ\text{E}, 40^\circ\text{N})$. This perturbation is given by

$$322 \quad u_{\text{perturbation}}(\lambda, \varphi, \sigma) = \exp\left[-\left(\frac{r}{R}\right)^2\right],$$

323 where

$$324 \quad r = a \arccos\left[\sin\varphi_c \sin\varphi + \cos\varphi_c \cos\varphi \cos(\lambda - \lambda_c)\right],$$

325 and $R = a / 10$ is the perturbation radius (Jablonowski and Williamson 2006a and b).

326 Since the baroclinic wave test case does not have an analytic solution, we compare our
327 results to the solutions from Jablonowski and Williamson (2006a) and the NSEAM model in

328 GR04. We show the surface pressure, 850 hPa temperature, and 850 hPa relative vorticity at
329 day 9 for the CG and DG simulations with the resolution of $H = 80$ ($n_H = 16$ and
330 $N = 5$) and 26 vertical levels (Nlev=26) in Fig. 7 which can be compared with the solutions
331 of the National Center for Atmospheric Research's Community Atmosphere Model version 3
332 (NCAR CAM3) Eulerian dynamical core at T85 resolution and finite volume core at 1° by
333 1.25° from Jablonowski and Williamson (2006a). The CG and DG simulations in Fig. 7 are
334 visually very similar to those reported in Jablonowski and Williamson with regard to the
335 structure in the fields and the extrema for the surface pressure; in addition, the CG and DG
336 results are almost identical to each other. Differences, however, can only be seen in the
337 relative vorticity field at very small scales. In the CG simulation, the small-scale vorticity in
338 the vicinity of the hook is depicted, and the maximum strength of the relative vorticity is
339 larger than that of the DG simulation, which can be also seen in the results of a relatively
340 higher resolution shown in Fig. 8. Figure 8 shows the same fields at day 9 as in Fig. 7 but for
341 the higher resolution of $H = 160$ ($n_H = 32$ and $N = 5$) and 26 vertical levels (Nlev=26).
342 In comparison with the results of the lower resolution of $H = 80$ ($n_H = 16$ and $N = 5$), it
343 can be clearly seen that the numerical solutions of the two different resolutions are well
344 converged in terms of the strength and structure in the surface pressure, temperature, and
345 vorticity fields. It is noted that the vorticity fields in the higher resolution are characterized by
346 the smallest scale in the vicinity of the hook, which is the same as in the lower resolution,
347 which imply that the DG simulation is more diffusive than the CG simulation. It suggests that
348 the diffusive property of the DG simulation is induced by the Rusanov numerical flux used in
349 this study, because the only difference between the CG and DG formulations is the numerical
350 flux and the fact that the DG solutions are allowed to contain jumps across element edges.
351 However, this difference in the results suggests that it is the dissipation of the numerical flux

352 that is mainly responsible for the differences in the two simulations.

353 In general, the baroclinic wave grows observably around day 4. At day 7 the baroclinic
354 wave evolves rapidly and by day 9 the wave train has intensified significantly (Jablonowski
355 and Williamson 2006a). In order to examine the growth of the perturbation, an evolution of
356 the minimum surface pressure is shown in Fig. 9 which we now compare with the results in G
357 R04. The results of the CG and DG simulations with different resolutions are almost in
358 agreement until day 10, at which point the simulations begin to show slight deviations from
359 each other. The DG simulation with the lower resolution tends to simulate somewhat weak
360 deepening. During the period between day 10 and 11 when wave breaking has set in, the
361 remarkable weak deepening is shown in the DG simulation at the lower resolution. At day 14,
362 the difference of the minimum surface pressure between the DG simulation at the lower
363 resolution and the three other simulations is about 2 hPa.

364

365 4) Held-Suarez test

366 In order to estimate the capabilities of the model in simulating a realistic climate
367 circulation without complex parameterizations, we conduct the Held-Suarez test. The Held-
368 Suarez test ensures that a dynamical core produces a realistic zonal and time mean climate
369 and synoptic eddies by using a simple Newtonian relaxation of the temperature field and a
370 Rayleigh damping of low-level winds representing boundary-layer friction (Held and Suarez
371 1994). The Newtonian relaxation of the temperature is added as the diabatic forcing term to
372 the thermodynamic equation, the fifth row of Eq. (1), and the Rayleigh damping is imposed
373 as dissipation term in the momentum equation, the second to fourth rows of Eq. (1). The
374 detailed specifications are adapted from Held and Suarez (1994). For this test we use a
375 relatively low resolution of $H = 40$ ($n_H = 8$ and $N = 5$) with 25 vertical levels
376 (Nlev=25) because this test case requires a relatively long model time simulation for 1200

377 days. In this paper, the integrations start from a stably stratified state at rest atmosphere, in
378 which the lapse rate of temperature is 6.5 K/m and the surface temperature is 288 K. We use
379 the simulation results from day 200 to day 1200 integrations sampled every 10-days.

380 Fig. 10 shows the time mean zonally averaged zonal wind and temperature for both the
381 CG and DG simulations which can be easily compared to the results of other published
382 papers. In comparison with the results of the spectral transform model in Held and Suarez
383 (1994), both the CG and DG simulations show reasonable and comparable distributions,
384 where the midlatitude jets at the upper troposphere near 250 hPa and the equatorial easterly
385 flow in the lower and upper atmosphere are clearly visible in each hemisphere. Also
386 temperature stratification is maintained realistically. The simulation results are comparable to
387 that of GR04. There exist, however, differences between the results of the CG and DG
388 simulations mainly in the strength of the westerly flow and the temperature structure in the
389 upper atmosphere. DG simulates broader upper-level jet streams than CG that strengthen with
390 altitude. Also in the temperature field, the DG simulation shows warmer air in the equatorial
391 upper atmosphere. The difference is shown clearly in Fig. 11 where we plot the time mean
392 zonally averaged eddy heat flux of the CG and DG simulations. There are two maxima at
393 mid-latitude in the lower and upper atmosphere indicating transportations of heat in the
394 poleward direction, of which the distributions in the CG and DG simulations are in good
395 agreement with previous studies, for example, Held and Suarez (1994), Lin (2004) and Wan
396 et al. (2008). However, in comparison of the strength and horizontal gradient of the eddy heat
397 flux between both simulations, CG simulates a stronger eddy motion than DG.

398

399 **6. Summary and Conclusions**

400 We have proposed a hydrostatic dynamical solver using both the continuous Galerkin

401 (CG) and discontinuous Galerkin (DG) methods. It is solved on a cubed-sphere grid in 3D
402 Cartesian coordinates although in principle any quadrilateral-based grid could be used. The
403 CG and DG horizontal discretization employs a high-order nodal (Lagrange) basis function
404 based on quadrilateral elements and GLL quadrature points which compose the common
405 machinery. However, the DG method use fluxes along the boundaries of the elements which
406 are approximated by the Rusanov method. In the vertical direction, a conservative flux-form
407 finite-difference method is employed for coupling the dynamics with existing physics
408 packages easily; we hope to report progresses on this specific topic in the future. A third-
409 order strong stability preserving Runge-Kutta scheme was used for time integration although
410 other time-integrators (including semi-implicit methods) could also be used.

411 In this paper, we show simulations of the model using four baroclinic test cases
412 including: the Rossby-Haurwitz wave, balanced initial state, baroclinic instability, and Held-
413 Suarez test cases. All cases, except for the Jablonowski-Williamson balanced initial state test
414 case, do not have analytic solutions. Therefore, we compare our results to the results of test
415 cases run by a vast community. Through our comparison of the CG and DG simulations, we
416 show that for the baroclinic instability test and Held-Suarez test cases, the DG simulation
417 tends to simulate somewhat weaker small-scale features, such as the minimum surface
418 pressure perturbation and eddy heat flux, than the CG method. This could be due to the
419 intrinsic diffusion of the Rusanov numerical flux scheme used for the horizontal
420 discretization of the DG method, which is the only difference between the CG and DG
421 formulations. One of the valuable contributions of this model is that we can use it to study the
422 effects of using different horizontal discretizations since we use the exact same model with
423 the same finite difference method in the vertical and time-integration methods but use either
424 CG or DG in the horizontal. The discrete operators in the horizontal use the exact same
425 numerical machinery and so the results shown here isolate the differences offered by the CG

426 and DG methods. However, for the other two test cases (Rossby-Haurwitz wave and balanced
427 initial state tests), the results of the CG and DG simulations are virtually indistinguishable.
428 Furthermore, the numerical results obtained for all four test cases show that the present
429 dynamical core can produce numerical solutions of good quality comparable to other models.
430 The results confirm that the CG and DG methods combined with the finite difference method
431 in the vertical direction offer a viable strategy for atmospheric modeling. To our knowledge,
432 we present the first results for a DG model for long-time simulations represented by the Held-
433 Suarez test case. The importance of this result is that this confirms the stability of the DG
434 method for long-time simulations in hydrostatic atmospheric dynamics. In order to make the
435 model efficient and competitive with operational models, we need a semi-implicit time
436 integration method which, although requires some additional machinery to be added, does not
437 pose any theoretical barriers since such algorithms have already been designed by one of the
438 authors in previous papers (Giraldo 2005, Giraldo et al. 2013).

439

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441

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445 the unified CG/DG approach. The second author gratefully acknowledges the support of
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448 121670.

449

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533

534 **Figure Captions**

535 FIG. 1. The cubed-sphere grid for (a) the $H = 3$ ($n_H = 3$ and $N = 1$), (b) the
536 $H = 15$ ($n_H = 3$ and $N = 5$), and (c) the $H = 35$ ($n_H = 5$ and $N = 7$) horizontal
537 resolutions.

538

539 FIG. 2. Numerical results for the CG simulation on the resolution of the $H = 64$
540 ($n_H = 8$ and $N = 8$) with 26 vertical levels: Top row: 850 hPa zonal wind and meridional
541 wind, bottom row: surface pressure and 850 hPa temperature.

542

543 FIG. 3. As in Fig. 2 but for the DG simulation.

544

545 FIG. 4. L2 error norm of surface pressure in Pa for the CG and DG simulations at the
546 $H = 128$ ($n_H = 16$ and $N = 8$) horizontal resolution and 26 vertical levels.

547

548 FIG. 5. Distribution of zonal wind difference at the lowest model level between day 20
549 and day 0 for the (top) CG and (bottom) DG simulations at the $H = 128$ ($n_H = 16$ and
550 $N = 8$) horizontal resolution and 26 vertical levels.

551

552 FIG. 6. As in Fig. 5 but for the $H = 192$ ($n_H = 16$ and $N = 12$) horizontal
553 resolution.

554

555 FIG. 7. Baroclinic wave at day 9 with the (left) CG and (right) DG simulations with the
556 resolution of the $H = 80$ ($n_H = 16$ and $N = 5$) horizontal resolution and 26 vertical

557 levels: (upper row) surface pressure, (middle row) 850 hPa temperature, and (bottom row)
558 850 hPa relative vorticity at days (left) 7 and (right) 9.

559

560 FIG. 8. As in Fig. 7 but for the $H = 160$ ($n_H = 32$ and $N = 5$).

561

562 FIG. 9. The minimum surface pressure (hPa) as a function of days for the CG and DG
563 simulations with the lower resolution of the $H = 80$ ($n_H = 16$ and $N = 5$) and the higher
564 resolution of the $H = 160$ ($n_H = 32$ and $N = 5$).

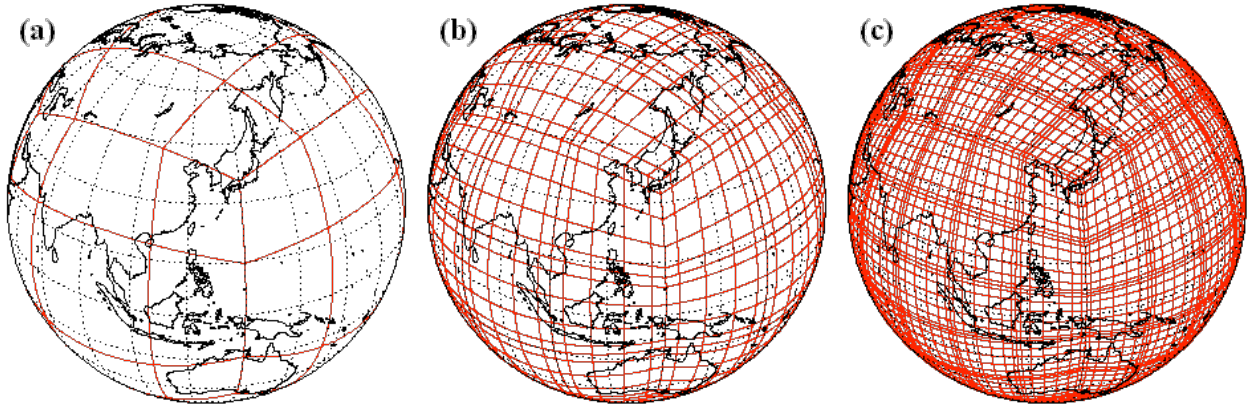
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566 FIG. 10. The (left) mean zonally averaged zonal velocity (m/s) and (right) mean zonally
567 averaged temperature (K) for the (upper row) CG and (bottom row) DG simulations with the
568 resolution of the $H = 40$ ($n_H = 8$ and $N = 5$) and 25 vertical levels (Nlev=25). These
569 are calculated over the last 1000 days of a 1200-day integration.

570

571 FIG. 11. The mean zonally averaged eddy heat flux for the (left) CG and (right) DG
572 simulation with the resolution of the $H = 40$ ($n_H = 8$ and $N = 5$).

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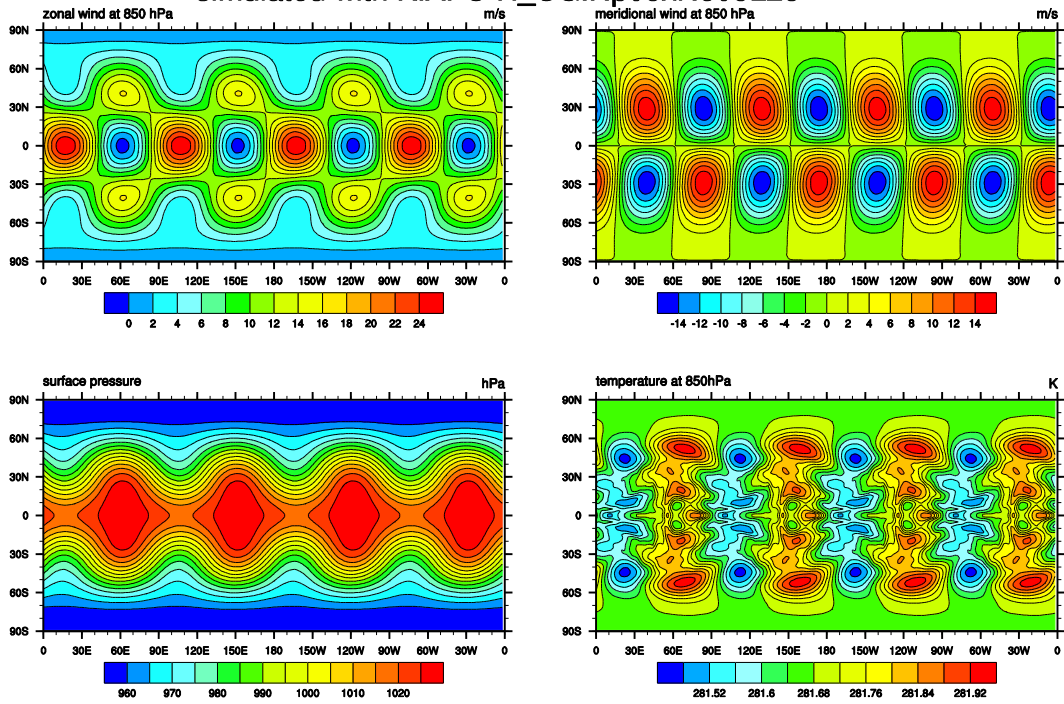


574

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576 $H = 15$ ($n_H = 3$ and $N = 5$), and (c) the $H = 35$ ($n_H = 5$ and $N = 7$) horizontal
577 resolutions.

578

Snapshots of the Rossby-Haurwitz wave at day 15
 simulated with KIAPS-H_CG.Np08xNe08L26



579

580

FIG. 2. Numerical results for the CG simulation on the resolution of the $H = 64$

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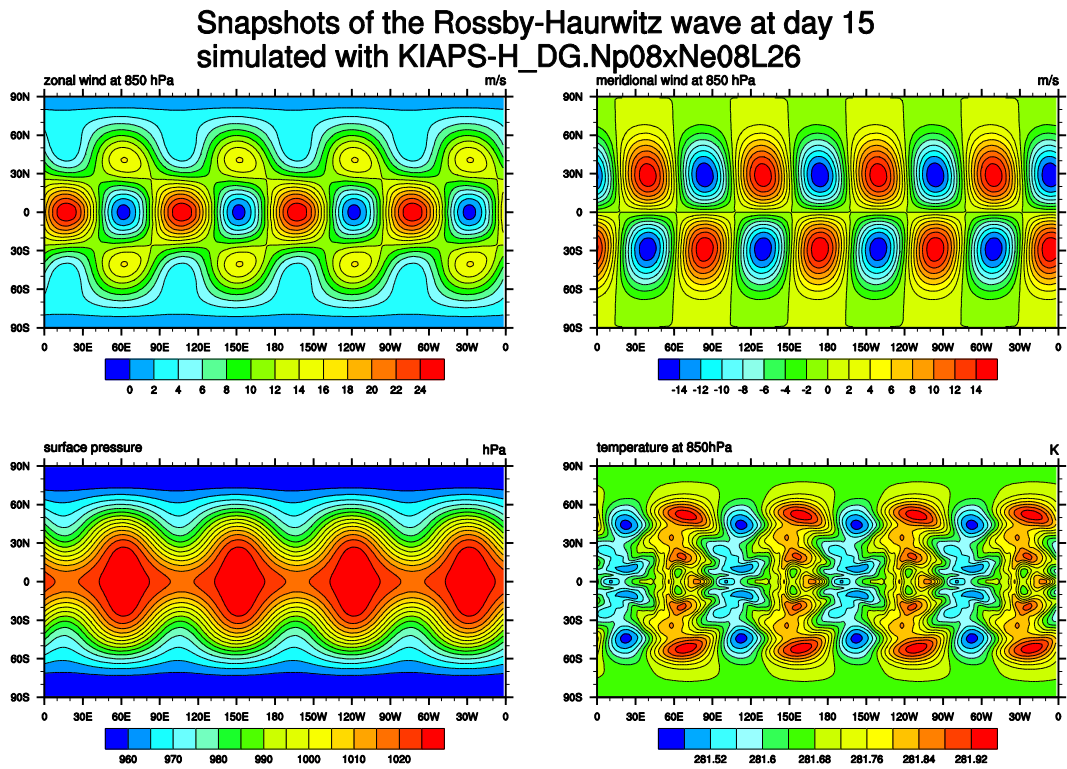
($n_H = 8$ and $N = 8$) with 26 vertical levels: Top row: 850 hPa zonal and meridional

582

wind, bottom row: surface pressure and 850 hPa temperature.

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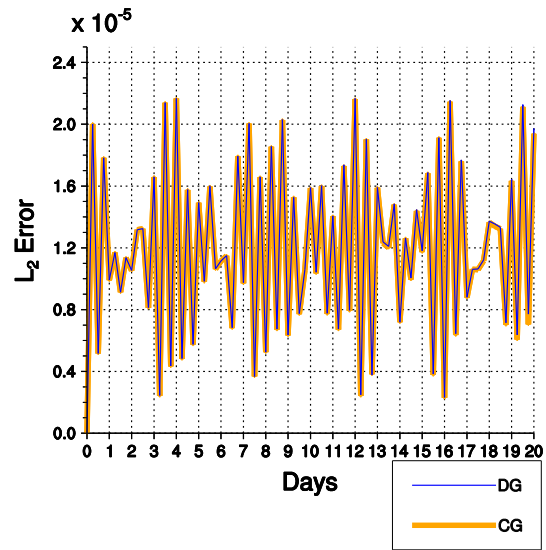


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FIG. 3. As in Fig. 2 but for the DG simulation.

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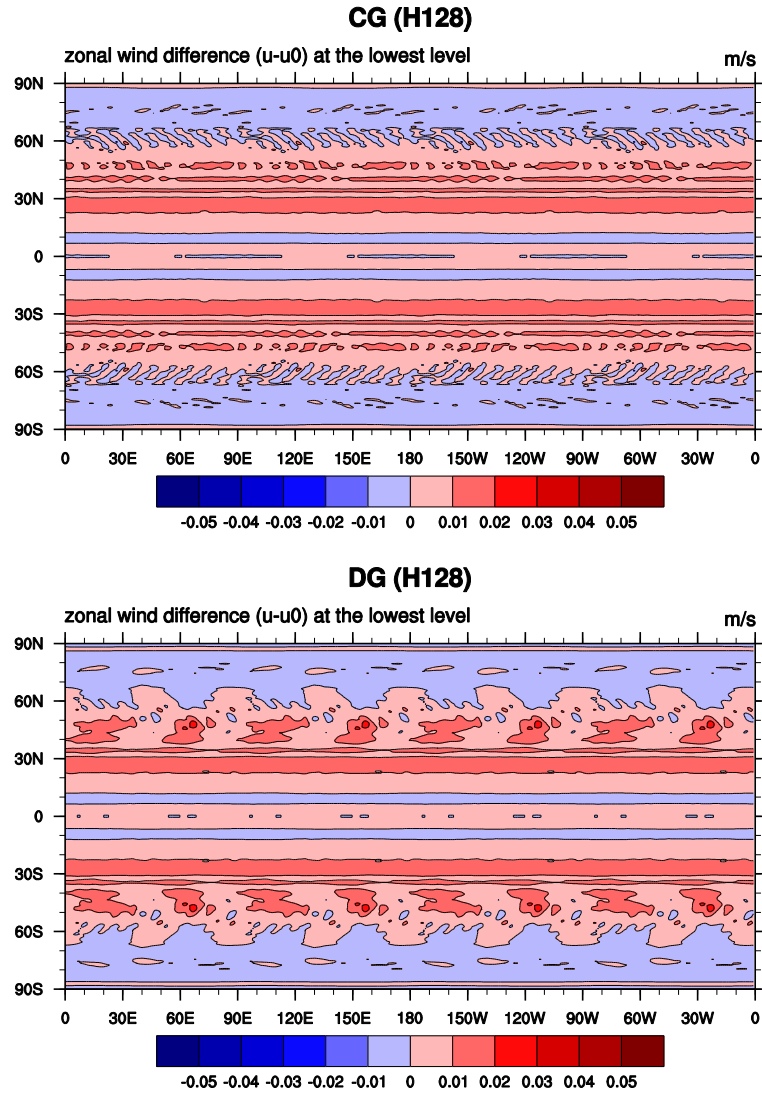


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589 FIG. 4. L2 error norm of surface pressure in Pa for the CG and DG simulations at the

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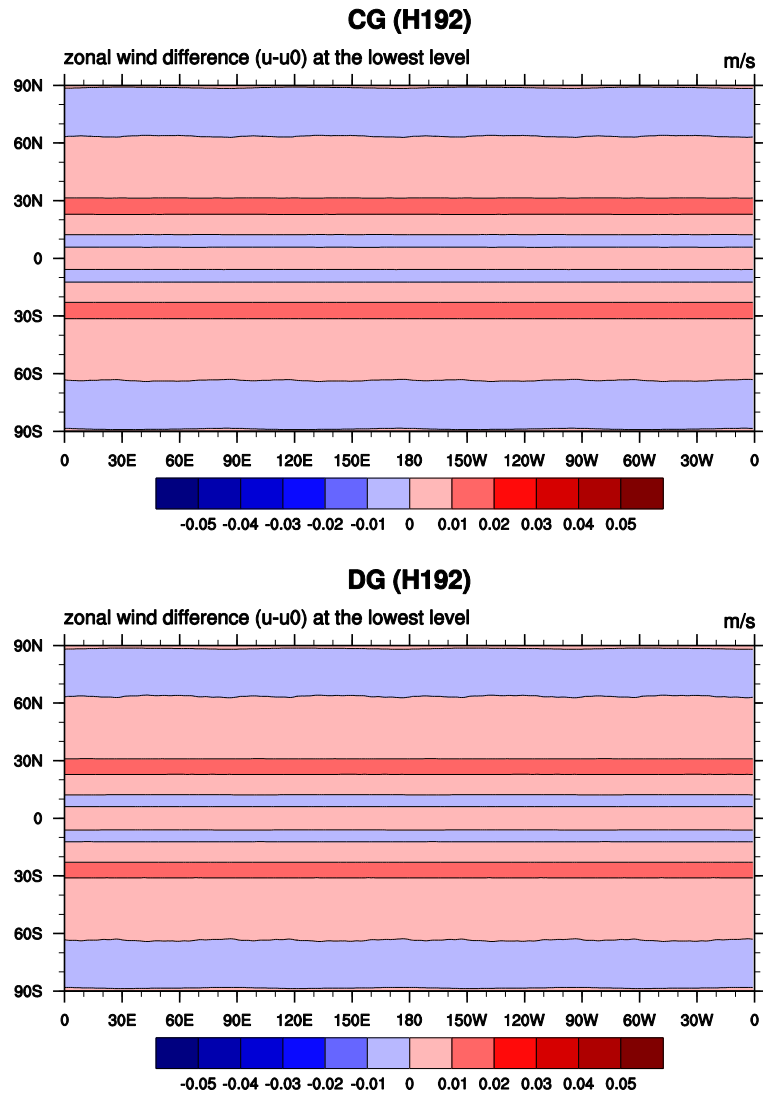
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593 FIG. 5. Distribution of zonal wind difference at the lowest model level between day 20

594 and day 0 for the (top) CG and (bottom) DG simulations at the $H = 128$ ($n_H = 16$ and

595 $N = 8$) horizontal resolution and 26 vertical levels.

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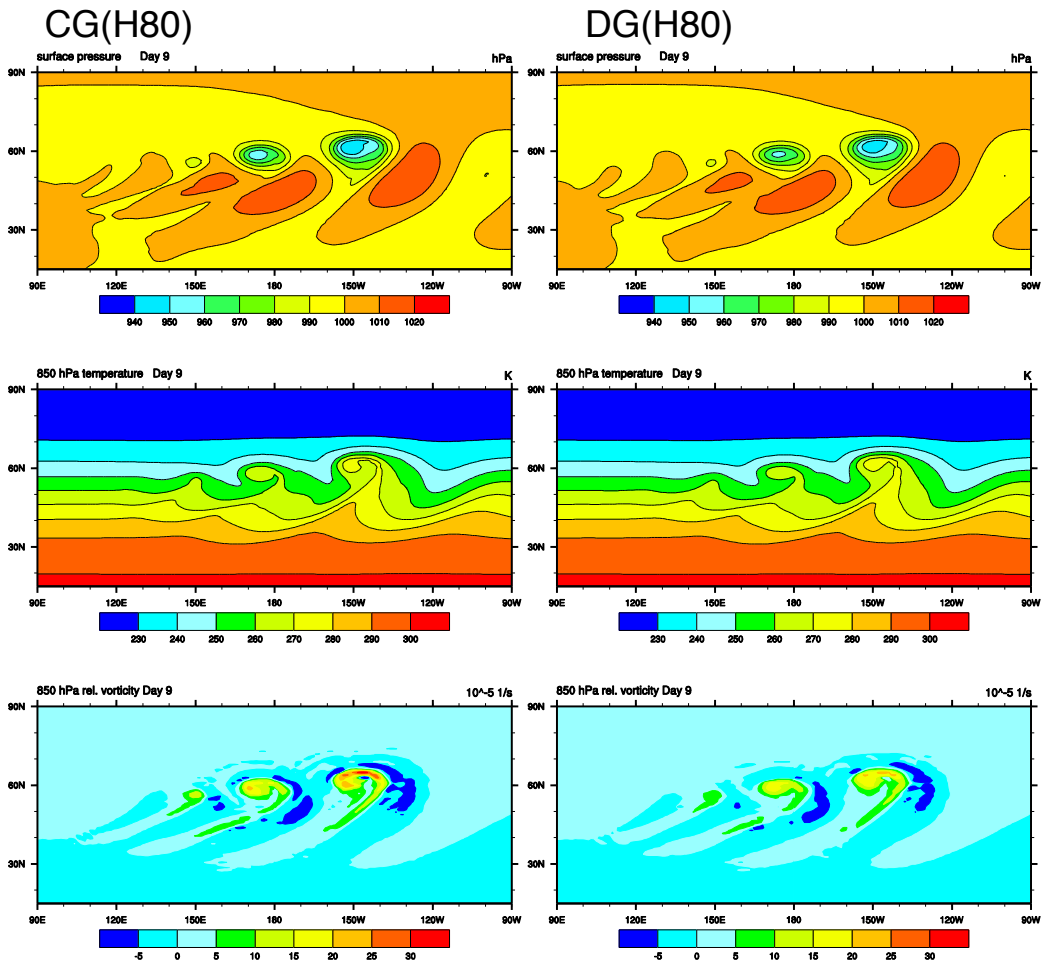


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598 FIG. 6. As in Fig. 5 but for the $H = 192$ ($n_H = 16$ and $N = 12$) horizontal

599 resolution.

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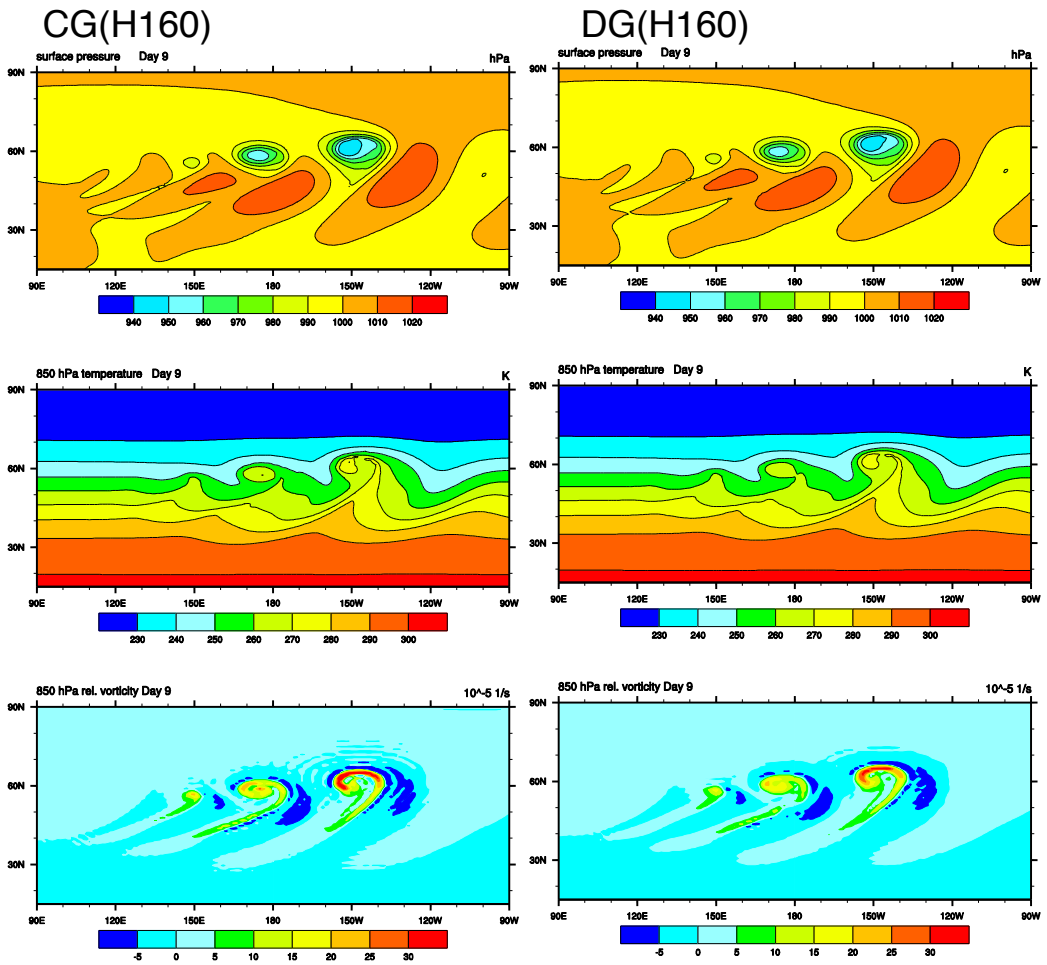
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FIG. 7. Baroclinic wave at day 9 with the (left) CG and (right) DG simulations with the resolution of the $H = 80$ ($n_H = 16$ and $N = 5$) horizontal resolution and 26 vertical levels: (upper row) surface pressure, (middle row) 850 hPa temperature, and (bottom row) 850 hPa relative vorticity at days (left) 7 and (right) 9.

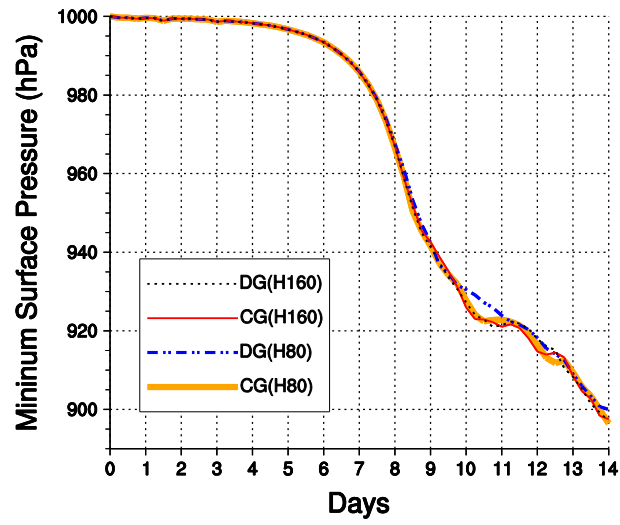


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FIG. 8. As in Fig. 7 but for the $H = 160$ ($n_H = 32$ and $N = 5$).

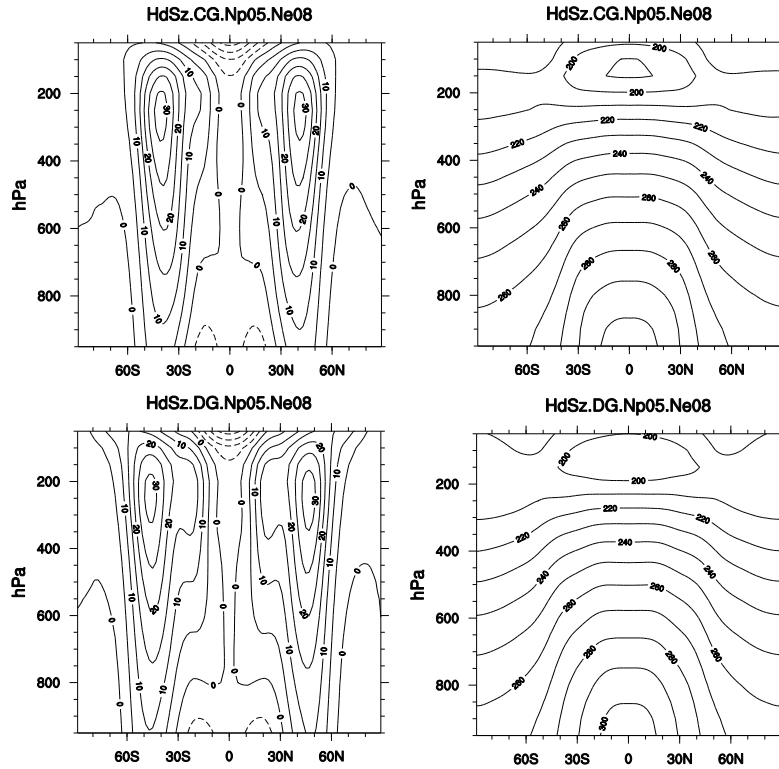
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611 FIG. 9. The minimum surface pressure (hPa) as a function of days for the CG and DG
 612 simulations with the lower resolution of the $H = 80$ ($n_H = 16$ and $N = 5$) and the higher
 613 resolution of the $H = 160$ ($n_H = 32$ and $N = 5$).

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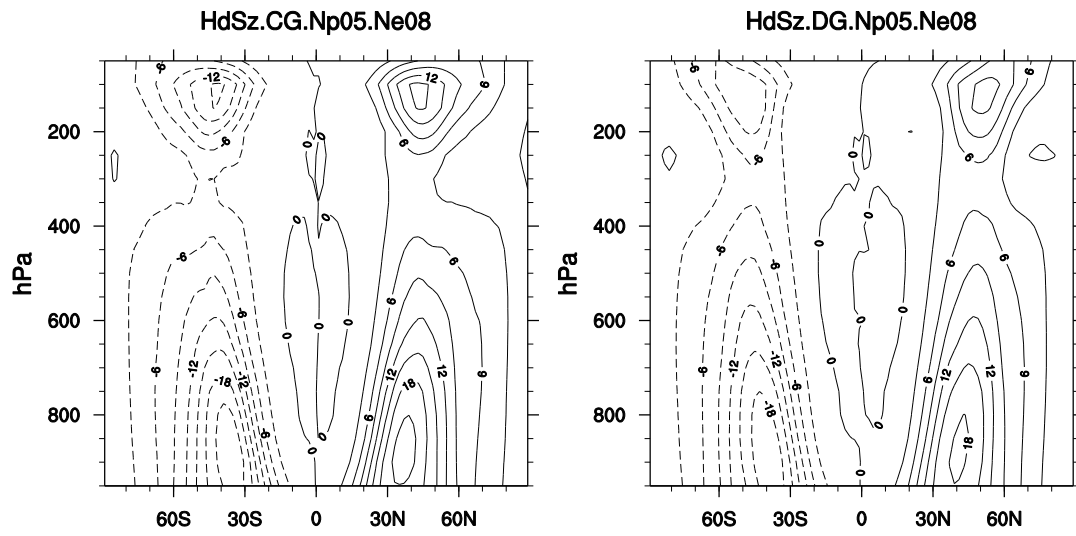
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621

622

FIG. 11. The mean zonally averaged eddy heat flux for the (left) CG and (right) DG

623

simulation with the resolution of the $H = 40$ ($n_H = 8$ and $N = 5$).