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| <b>14. ABSTRACT</b><br><br>The goals of this grant proposal are to: 1) construct a prostate tissue-specific expression quantitative trait loci (eQTL) dataset; and 2) utilize this dataset to identify candidate genes for existing prostate cancer (PC) risk-single nucleotide polymorphisms (SNPs) that can then be followed up in future studies. To accomplish this goal we will perform a genome-wide SNP analysis (Illumina Human Omni 2.5M SNP array) and a genome-wide mRNA expression analysis (RNA sequencing) on a common set of 500 samples of normal prostate tissue sampled from men with PC. To date, we have pre-screened normal prostate tissue with the use of H&E stained sections from 4000 men having a radical prostatectomy in order to identify those cases meeting our strict selection criteria for further processing (tissue localized to the posterior region of the prostate, no tumor, no high grade PIN, no BPH, ≤1% lymphocytes, and the final percent of epithelial cells present ≥40%). Furthermore, the RNA / DNA purification and DNA genotyping / RNA expression analyses proposed have also been completed. We are now in the final phase of the project. We have completed the quality-control analysis of the genotyping and RNA sequencing results and are now completing the statistical analysis required for the construction of the eQTL dataset. |                    |                     |                                 |                                   |   |  |
| <b>15. SUBJECT TERMS</b><br>eQTL dataset  |                    |                     |                                 |                                   |   |  |
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## A. INTRODUCTION:

We hypothesize that many of the PC disease-associated SNPs already identified to date will be located in regulatory domains involved in gene transcription. Furthermore, we hypothesize that candidate genes affected by these regulatory elements can be identified by taking advantage of eQTL datasets. Therefore, the objectives of this grant proposal are to: 1) construct a prostate tissue-specific eQTL dataset that can be used to identify candidate genes for any current (or future), predictive (or prognostic) SNP identified for PC; and 2) utilize this dataset to identify candidate genes for existing PC risk SNPs that can then be followed up in future studies. To accomplish this goal, we proposed to perform a genome-wide SNP analysis (using the Illumina Human Omni 2.5M SNP array) and a genome-wide mRNA expression analysis (using RNA sequencing) on a common set of 500 samples of normal prostate tissue sampled from men with PC. The long-term objective of this strategy is to characterize the functional role of the disease-causing SNPs, to identify the biologic pathways affected by these inherited factors, and ultimately to identify targets for disease prediction, risk stratification and identification of treatment targets.

## B. BODY:

### Statement of work originally proposed for years 1 and 2:

#### Task 1. Processing of normal prostate tissue for RNA purification (months 1-9)

- 1a. Cryo-section fresh-frozen tissue from ~500-600 cases (months 1-9)
- 1b. Create hematoxylin-eosin stained slides from each case for review (months 1-9)
- 1c. Review of sections by a Pathologist. (months 1-9)
- 1d. Select 500 cases of high-quality samples for RNA extraction (Task 2) (months 10)

#### Task 2. DNA and RNA Extraction from 500 cases for study (months 11-12)

- 2a. Use sections from 500 samples selected from Task 1 to purify DNA and total RNA (months 11-12)

#### Task 3. Genome-wide genotyping of blood DNA from 500 cases for study (months 12-14)

- 3a. Place blood DNA (already extracted) in 96 well plates for genotyping (months 12)
- 3b. Genotype samples (months 12-14)
- 3c. Quality-control checks and data processing – Statistical analyses (months 14)

#### Task 4. Genome-wide mRNA profiling of tissue RNA from 500 cases for study (months 13-15)

- 4a. Place RNA in 96 well plates for expression analysis (months 13)
- 4b. Perform expression analysis (months 13-14)
- 4c. Quality-control checks and data processing – Statistical analyses (months 15)

#### Task 5. Create eQTL dataset – Statistical analysis (months 16-24)

- 5a. Test PC risk-SNPs for their association with transcript level for all mRNAs utilizing data from Tasks 3 and 4 (months 16-18)
- 5b. Test candidate target gene for association with all other SNPs (months 18-21)
- 5c. Prepare data for public distribution (months 21-24)

### Work performed: Task 1 (Processing of normal prostate tissue for RNA purification)

#### All of the work proposed for Task 1 has now been completed.

In order to achieve our goal of 500 samples of normal prostate tissue, we initially reviewed H&E stained sections from all archived cases available for study; ~4,000. These ~4000 cases were obtained from patients whom had undergone a radical prostatectomy at Mayo Clinic and are available to investigators through the Prostate Cancer SPORE. Typically, one to three pieces of frozen tissue (snap frozen at the time of surgery) was available for each case. At the time each case was initially processed, a representative H&E stained slide was made from each piece of tissue and archived for future investigator review to aid in the process of tissue selection. Although the archived slide allows for an initial evaluation, blocks are used over time and the histology can change. Thus, cutting an additional representative H&E is often necessary to re-evaluate the current state of these blocks.

For this study, the same Pathologist was used throughout the evaluation process to ensure consistency. In our initial pre-screen of the ~4000 normal tissue cases, we first removed all cases where the patient's tumor

had a Gleason score greater than 7, cases where tumor was found on the H&E slide and cases where normal prostate tissue was not available. Following this initial review, 916 pieces of tissue were available for further processing. The archived tissue was then pulled from long-term storage and a fresh representative H&E stained slide was prepared for re-evaluation by a Pathologist. In order to meet the needs of this study, the following criteria were developed for further tissue selection and processing:

1. No tumor present on the new H&E.
2. The section viewed had to be from the posterior region of the prostate – all central and anterior zone tissues were eliminated. The region of interest was determined based on histologic landmarks and Mayo practice processes (posterior region are inked for orientation).
3. No High-Grade Prostatic Intraepithelial Neoplasia (HGPIN).
4. No greater than 1% of the cells on the slide could be lymphocytes.
5. The final percent of epithelial glands present on the slide had to be at least 40%.

Of the 916 cases re-examined, 93 cases met the criteria above, but also contained Benign Prostatic Hyperplasia (BPH), seminal vesicle, urethra, or adjacent central zone. These pieces of tissue were further processed to eliminate the contaminating portion and an additional H&E stained section was prepared to ensure that the block was processed correctly and the unwanted regions were adequately removed.

Following the final review of tissue, 565 cases met the selection criteria noted above. Due to the small number of cases meeting our strict histologic criteria (565 of ~4000 cases reviewed), most of the selected cases did not have blood available for the extraction of DNA (for genotyping). As a result, we chose to take additional sections of the normal prostate tissue, which allowed for the extraction of both RNA (expression) and DNA (genotyping). From past experience, we expected that a degree of histologic change would be present throughout the sectioning process and this would result in an additional ~10% of the cases failing to meet our selection criteria. Thus, we decided to section and evaluate all 565 cases, re-evaluate H&E stained sections once more and then choose the best cases for the final processing.

#### **Work performed: Task 2 (DNA and RNA Extraction from 500 cases for study)**

##### **All of the work proposed for Task 2 has now been completed.**

For the extraction of DNA and RNA, tissue was first sectioned on a cryostat, preparing 10-micron thick sections. Prior to sectioning, however, all of the samples were randomized into cutting groups based on percent epithelium, presence or absence of lymphocytes, the time of original tissue collection, and if the tissue came from prostate cancer patients or from patients having a cysto-prostatectomy due to bladder cancer. The randomization of samples was performed in order to control for any cutting bias that might be introduced as the tissue was processed each day. The 565 cases were sectioned over a period of 26 working days in the following manner: the initial section was taken for an H&E stained slide (to serve in a one-to-one comparison with the initially reviewed H&E section to confirm that no tissue mix-up had occurred), then multiple sections placed in tube 1 for RNA, a 2<sup>nd</sup> H&E section, multiple sections placed in tube 2 for RNA, 3<sup>rd</sup> H&E section, multiple sections placed in tube 3 for DNA, 4<sup>th</sup> H&E section, multiple sections placed in tube 4 for DNA, and the final H&E section. For the RNA destined tubes, tissue was immediately placed in QIAzol buffer and then snap frozen to ensure high-quality RNA. For the DNA destined tubes, sections were placed in tubes and initially stored at -80 C. These tubes were then collected the following day, and QIAgen Genra Puregene cell lysis buffer and proteinase K were added to both DNA tubes and digested overnight at 55° C on a shaking incubator essentially as outlined by the manufacturer. Visual confirmation was done the following day to ensure all of the tissue was digested, and then the tubes were considered stable and stored at 4° C pending completion of the DNA extraction.

All five H&Es sections outlined above were evaluated once again by a Pathologist to ensure that no histologic changes had occurred as the tissue was sectioned. Additionally, the 1<sup>st</sup> H&E was used to compare to the original H&E confirming that no specimen mix-ups had occurred. Upon histologic review of all five H&E slides, roughly 10% of the cases were eliminated due to histologic changes (i.e. the appearance of small cancer foci, change in % epithelium, appearance of HGPIN, an increase in lymphocytic presence) as predicted. Following this final review, 505 cases remained that met the initial criteria. Again, because we anticipated that there would be a small number of cases having poor-quality RNA or poor DNA yield, an additional 19 cases were selected that had 2% infiltrative lymphocytes present for the final process of DNA and RNA extracted. These 524 cases were then split into two batches for RNA extraction and re-randomized again as previously described, but now the randomization scheme also included the day the tissue was processed. This randomization was performed to avoid any batch effects during RNA extraction.

DNA was extracted by first performing a protein precipitation step (Qiagen protein precipitation solution), followed by an isopropanol then Ethanol rinse. The DNA pellet was allowed to dry, then dissolved in TE and allowed to mix overnight. After mixing, DNA was quantified using a nanodrop, and concentrations were

standardized. Total RNA was extracted the using the RNeasy Mini Kit (Qiagen) according to the manufacturer's instructions on the Qiacube. RNA was then assessed for quality using an Agilent chip technology. Cases having a RIN number of 7.0 or greater were considered good quality. Once completed, the optimum set of 500 samples were then selected for the mRNA expression and DNA genotyping studies based on RNA and DNA quality and those samples meeting the most strict selection criteria (i.e. higher % epithelium, no or fewest lymphocytes present). [ *Following this initial selection, 6 samples were later omitted because they were found to not meet the original criteria for the grade of tumor (Gleason score of 7 or less).* ]

**Work performed: Task 3 (Genome-wide genotyping of blood DNA from 500 cases for study)**

**All of the work proposed for Task 3 has now been completed.**

As originally proposed, 500 samples were selected and randomized to 96 well plates with two CEPH controls on each plate. Samples were then genotyped using the Illumina Human Omni 2.5M SNP array. These studies along with the quality-control (QC) analyses to identify sample and/or SNP quality issues have now been completed.

[ *QC analyses included the evaluation of call-rates, minor allele frequencies, and tests of Hardy-Weinberg Equilibrium (HWE) for each of the SNPs. The QC filters that were applied to the genotypic data include excluding SNPs with: 1) call-rate < 95%; 2) MAF < 1%; 3) HWE p-value < 1e-4; 4) concordance in duplicates < 99.5%; and 5) unknown physical position based on current genome build. In addition, we estimated the genotyping error rates by checking for Mendelian consistency and duplicate concordance rates using CEPH controls. Finally, we tested for potential batch effects by testing for allele frequency and call rate differences across plates. Subject level QC included calculation of call-rates, sex determination, as well as calculation of pair wise identity by descent probabilities for all pairs of subjects in order to identify and remove related subjects. See **Appendix 1 and 2** for complete QC report. **Appendix 1** includes information for all SNPs and all samples. **Appendix 2** provides information after excluding problematic SNP and problematic samples and includes additional QC tests.*

*Overall, the quality of the 2.5M SNP genotyping data is excellent. A total of 17 of 494 samples were flagged for QC reasons; 5 samples had a SNP call rate < 95%, 10 are non-Caucasian (5 African, 5 Asian) and 2 subjects appear to be first cousins. After exclude one of the related pair, we have 478 unrelated, Caucasian samples remaining for analysis. SNP exclusions are summarized below. We have ~1.5M QC-passed SNPs with MAF >= 1% available for analysis.*

|                           |   |
|---------------------------|---|
| <b>Sample exclusions:</b> | 494 samples                                   |
|                           | 5 call rate < 95%                             |
|                           | 10 non-Caucasian (5 African; 5 Asian)         |
|                           | 1 related pair                                |
|                           | -----   |
| Samples remaining:        | 478   |
| <b>SNP exclusions:</b>    | 2,372,617 SNPs are on the 2.5M array          |
|                           | 6,409 call rate < 95% (205 failed completely) |
|                           | 454,736 monomorphic                           |
|                           | 902 hwe p-value < 1e-5 (276 with p < 1e-10)   |
|                           | -----   |
| SNPs remaining:           | 1,910,570                                     |
| MAF > 1%                  | 1,558,636 ]                                   |

**Work performed: Task 4 (Genome-wide mRNA profiling of tissue RNA from 500 cases for study)**

**All of the work proposed for Task 4 has now been completed.**

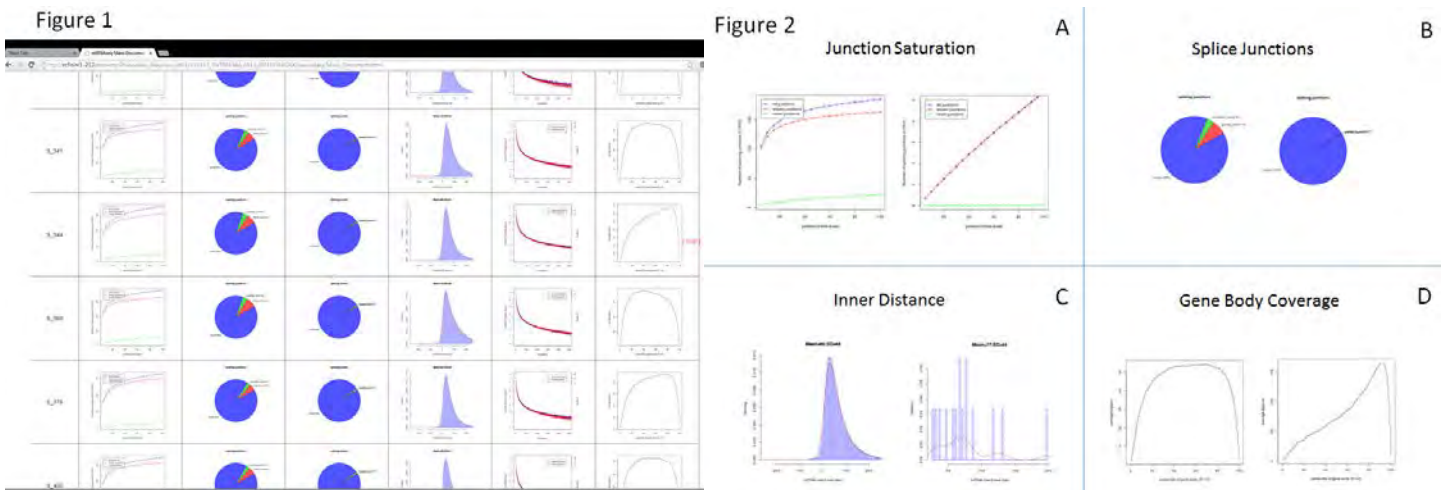
In the original statement of work, we had proposed the use of the Illumina humanht-12 BeadChip as the platform to derive the genome-wide mRNA expression dataset. However, the cost of next generation sequencing (NGS) dropped dramatically over the course of our project and, as a result, we explored the option of performing RNA profiling by NGS (RNAseq). The use of RNAseq would significantly increase both the quality and value of this dataset. We were able to obtain some additional funds to supplement the DOD award to perform these experiments, and following approval by the Scientific Officer, we changed our approach for this task to RNA sequencing. To accomplish the work proposed, we utilized the Agilent SureSelect RNA capture kit for the RNA library preparation and the Illumina HiSeq 2000 for the RNA sequencing. For these experiments, samples were first randomized to library-prep groups. The randomization was performed as previously described, but now the randomization scheme included both the day the tissue was processed and the RNA extraction group. This randomization was performed to avoid any batch effects during sequencing.

Samples were indexed such that 5 samples were analyzed in a single lane. [Our goal was to achieve a minimum of 50 million reads per sample – and this has been accomplished.]

The first phase Bioinformatic analysis was completed using an in-house developed pipeline MAP-RSeq. MAP-RSeq is a comprehensive computational pipeline for secondary analysis of RNA-Sequencing data. MAP-RSeq uses a variety of freely available bioinformatics tools along with in-house developed methods. Alignment and mapping of the reads was performed using Bowtie (<http://bowtie-bio.sourceforge.net/index.shtml>) and TopHat (<http://tophat.cbcb.umd.edu/>) softwares. Gene counts were generated using HTseq software (<http://www-huber.embl.de/users/anders/HTSeq/doc/overview.html>) and gene annotation files are obtained from Illumina (<http://cufflinks.cbcb.umd.edu/igenomes.html>). For single nucleotide variant (SNV) calling, we used the GATK (<http://www.broadinstitute.org/gatk/>) software. SNVs were further annotated and filtered for quality, coverage and other criteria using variant quality score recalibration (VQSR) method. MAPR-Seq also provides a list of expressed fusion transcripts using TopHat-Fusion algorithm. All of the bioinformatics analysis using MAPR-Seq has now been completed.

[ As with the Genotype data, QC assessment of the RNAseq data is also now complete. We compared RNA called genotypes to genotypes from the Illumina Human Omni 2.5M array to test for sample mix-ups. To investigate factors that may influence the number of counts observed, we summarized the  $\log_2(\text{gene counts})$  and the percentage of counts  $> 0$  by subject, lane, flowcells, %GC content per gene and by gene size (counting only the sum of the exons). Data quality was assessed via per-specimen box plots and MVA (Minus Versus Average) plots. The box plots were sorted by various experimental factors, e.g., batch and run order in order to examine global shifts in counts due to these factors. The existence of and functional form of biases between specimens were assessed via residual MVA plots. The modified MVA plot uses a linear model to examine trends in residuals. A detailed description with examples of the quality control analyses performed is provided in **Appendix 3**. Overall, the quality of the RNAseq data is excellent.

In addition, a manual review of several Bioinformatically generated sample specific RNAseq parameters (**Figures 1**) was conducted for each sample. These include the following: Junction saturation (**Fig 2 A**); splice junctions (**Fig 2 B**); inner distance (**Fig 2 C**); read duplication; and gene body coverage (**Fig 2 D**). **Figure 1** shows data for 5 representative samples, while **Figure 2** shows data for two samples, one with acceptable data (left) and one with unacceptable data (right). From these analyses, 8 samples were flagged as potentially problematic. ]



### Work performed: Task 5 (Create eQTL dataset)

[ We are now in the final phase of the project, that is, the construction of the eQTL dataset. For the eQTL dataset, however, we are interested in both coding (as originally planned) as well as newly described long intergenic non-coding RNA (lincRNA). The standard pipeline described above provides a description of all of the coding transcripts, but not for lincRNAs. As a result, we developed a pipeline to identify, quantify and annotate lincRNA and have applied this to our RNAseq data. These analyses have also now been completed.

The pipeline consists of three main modules:

- 1) **Candidate transcript assembly module:** this module used a genome-guided strategy for transcriptome reconstruction. The aligned BAM files (i.e., BAM files from TopHat) were assembled with Cufflinks 2.0.2. The option “Reference Annotation Based Transcript” (RABT) assembly was used because of its advantage to identify novel transcripts. The GENCODE V16 was used as annotation file to guide the transcript assembly processes.

- 2) **LincRNA identification module:** this module aimed to identify and report expressed lincRNAs in the RNAseq data. To achieve this, five filtering steps were used as follows.
- Size restriction:** transcripts smaller than 200 nt were removed.
  - Removal of known protein-coding regions:** candidate transcripts that overlap with transcripts in the “protein-coding” category in GENCODE V16 were removed.
  - Removal of transcript homologous to known proteins:** the blastx program is used to evaluate the similarity between candidate transcripts and known proteins in the RefSeq database (protein with NM\_ prefix). The transcripts with E value less than 1e-4 were removed.
  - Removal of transcripts predicted to code for proteins:** the candidate transcripts are then assessed for their coding potential by the CPAT tool, an *in silico* computational model classifying coding and non-coding transcripts. Specifically, a logistic regression model is built based on four sequence features, including open reading frame size, open reading frame coverage, Fickett TESTCODE statistic and hexamer usage bias. A training dataset is constructed containing both known protein-coding (NM\_ prefix in RefSeq database) and non-coding transcripts. Compared to other widely used tools such as CPC and PhyloCSF, CPAT has higher sensitivity and specificity (>0.966), and much faster (i.e., process thousands of transcripts within seconds).
  - Known protein domain filter:** the remaining candidate transcripts are then evaluated whether they contain a known protein coding domain. To achieve that, each candidate transcript is translated in all three reading frames and compared against 13672 known protein family domains documented in the Pfam database Version 26 by the HMMER-3 tool. HMMER-3 uses hidden Markov models (HMMs) to scan each amino acid sequence and classify whether it resembles any of the known domains in the database. Candidate transcripts with a significant Pfam hit (*P* value less than 1e-5) were excluded.

In total, we identified 72,740 candidate lincRNA transcripts at 38,899 intergenic loci in 494 normal prostate tissue samples. Among these transcripts, significant overlap was observed between them and lincRNAs annotated in GENCODE V17, i.e., 63% of lincRNAs annotated in GENCODE V 17 were also identified in our dataset. These prostate derived lincRNAs were further examined for evidence of transcriptional activity using the H3K4me3-H3K36me3 domains generated from nine cell lines in the ENCODE project. Overall, 18,368 lincRNAs (~25%) have evidence of a signature consistent with an actively transcribed gene across the entire locus (both H3K4me3 across the promoter region and H3K36me3 along the transcribed region). Of the remaining transcripts, 7,849 (11%) overlap an H3K4me3 peak alone (promoter region) and 6,856 (9%) overlap an H3K36me3 peak alone (transcribed region).

Our final step is to combine the information from the coding RNA, lincRNA, and the SNP datasets to perform the eQTL analysis. These analyses are now in progress. ]

#### **C. KEY RESEARCH ACCOMPLISHMENTS:**

- Tissue processing has been completed.
- Extraction of tissue RNA and DNA has been completed.
- DNA genotyping of 500 samples using the Illumina Human Omni 2.5M SNP array has been completed.
- RNA sequencing of 500 samples using the Agilent SureSelect RNA capture kit and the Illumina HiSeq 2000 has been completed.
- [ QC assessment of both Genotype and RNAseq data completed
- Identified, quantified and annotated lincRNA in our RNAseq data (manuscript in preparation) ]

#### **D. REPORTABLE OUTCOMES:**

- [ We are now in the final phase of the project, that is, the construction of the eQTL dataset. ]

#### **E. CONCLUSION:**

The major goal of this proposal is to construct a prostate tissue-specific expression quantitative trait loci (eQTL) dataset. Tissue processing, RNA and DNA purification, DNA genotyping and RNA expression analysis, and identification of all lincRNA's for the construction of this eQTL data set has now been completed.

#### **F. REFERENCES:** None

#### **G. APPENDICES:** None



# EQTL Test Summary

Inv: SNThibodeau

Statistics Team: McDonnell, Kosel

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**Mayo Clinic** College of Medicine, Health Sciences Research  
Rochester MN USA

September 13, 2013

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# 1 Introduction

3

This document summarizes GWAS QC analysis performed on the HumanOmni2.5-4v1 chip for Prostate Cancer patients. Data are available for 736 samples from 2,372,617 SNPs including 16 CEPH controls. This summary includes data for 510 samples and 2372617 SNPs including 16 controls.

## 2 Initial SNP Quality Control

### 2.1 SNP Call Rates

We first look at how many SNPs drop out using different SNP call rate cutoffs. See Table 1 (p. 6) for the percentage of SNPs retained as the call rate threshold increases. A total of 205 SNPs (0.009%) failed completely. Using a call rate of 98%, 28,443 SNPs (1.2%) will be dropped. Using a call rate of 95%, 6,409 SNPs (0.3%) will be dropped.

### 2.2 Failed, Monomorphic, and Low Call Rate SNPs by Chromosome

This section describes how many SNPs failed completely, are “monomorphic”, or have a call rate  $< 95\%$  by chromosome and overall (Table 2, p. 8). First “failed” SNPs are identified, then “Monomorphic”, and finally those SNPs with a call rate  $< 0.95\%$ . The distribution of SNP call rates by chromosome is presented in Figure 1 (p. 4).

### 2.3 Minor Allele Frequency

The distribution of minor allele frequencies (MAFs) for all SNPs is shown in Figure 2 (p. 5). There are a total of 456,321 (19.23%) monomorphic SNPs and 809,688 (34.13%) SNPs with MAF  $< 1\%$ .

### 2.4 Hardy Weinberg P-value

This dataset does not include controls to reliably test for Hardy-Weinberg Equilibrium so the following results should be interpreted with caution. We include only caucasian subjects resulting in 494 independent subjects. Chromosomes X, Y, XY, and MT markers

Figure 1: SNP Call Rates by Chromosome

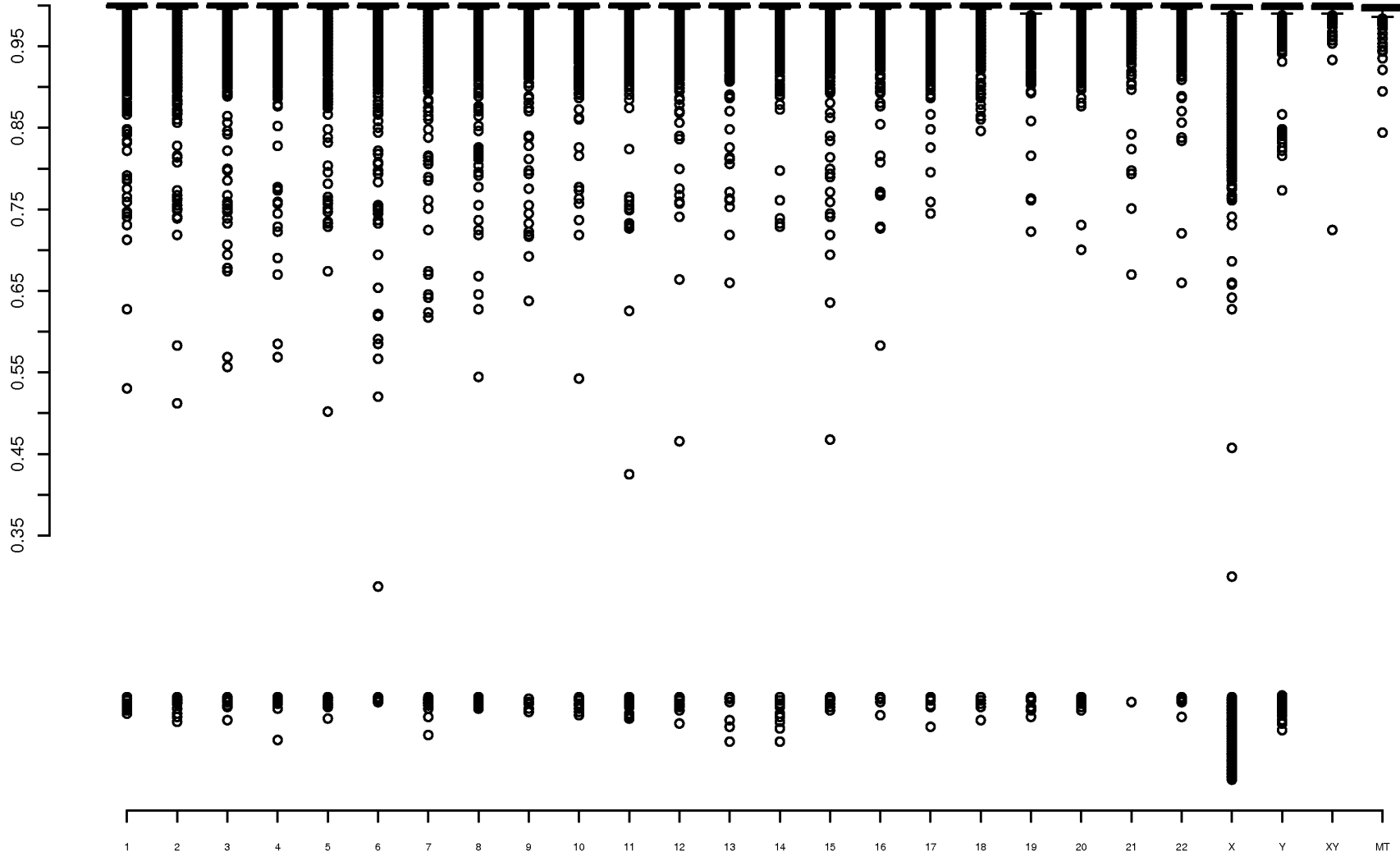


Figure 2: Histogram of Minor Allele Frequencies

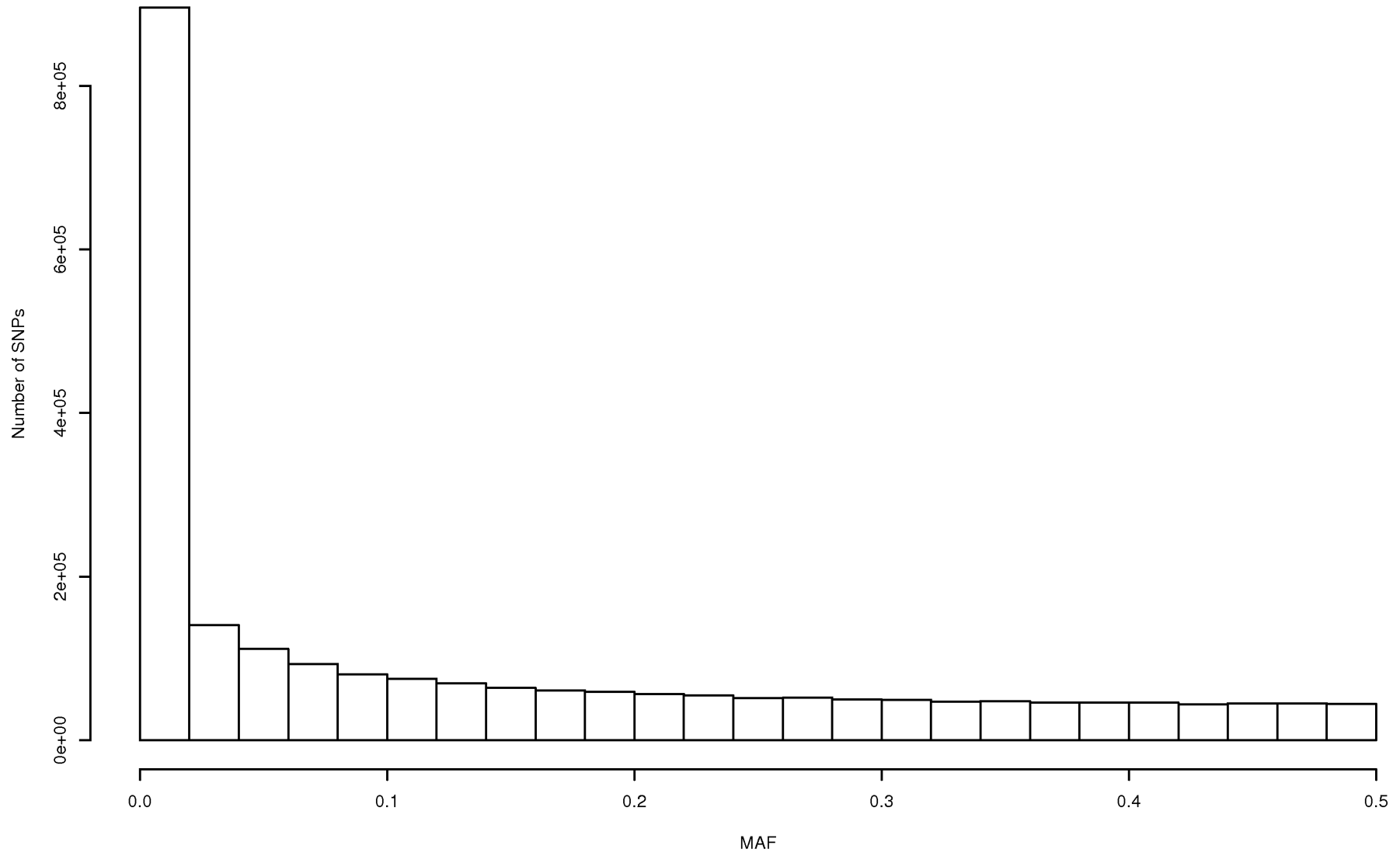


Table 1: SNP Call Rates

| CallRate | NumSNPsBelow | %Below | NumSNPsAbove | %Above  |
|----------|--------------|--------|--------------|---------|
| 0.000    | 205          | 0.000  | 2372412      | 100.000 |
| 0.800    | 2200         | 0.100  | 2370417      | 99.900  |
| 0.850    | 2458         | 0.100  | 2370159      | 99.900  |
| 0.900    | 2906         | 0.100  | 2369711      | 99.900  |
| 0.910    | 3111         | 0.100  | 2369506      | 99.900  |
| 0.920    | 3424         | 0.100  | 2369193      | 99.900  |
| 0.930    | 3968         | 0.200  | 2368649      | 99.800  |
| 0.940    | 4877         | 0.200  | 2367740      | 99.800  |
| 0.950    | 6409         | 0.300  | 2366208      | 99.700  |
| 0.960    | 9328         | 0.400  | 2363289      | 99.600  |
| 0.970    | 14625        | 0.600  | 2357992      | 99.400  |
| 0.980    | 28443        | 1.200  | 2344174      | 98.800  |
| 0.990    | 159173       | 6.700  | 2213444      | 93.300  |
| 1.000    | 901479       | 38.000 | 1471138      | 62.000  |

are excluded from this summary as are SNPs that failed on all samples and SNPs with  $MAF < 0.05$ . There are 1,242 SNPs have a HWE p-value  $< 10e-05$  (see Figure 3, p. 7).

Figure 3: Q-Q plot of HWE p-values (573 p-values have been truncated at  $10e-10$ )

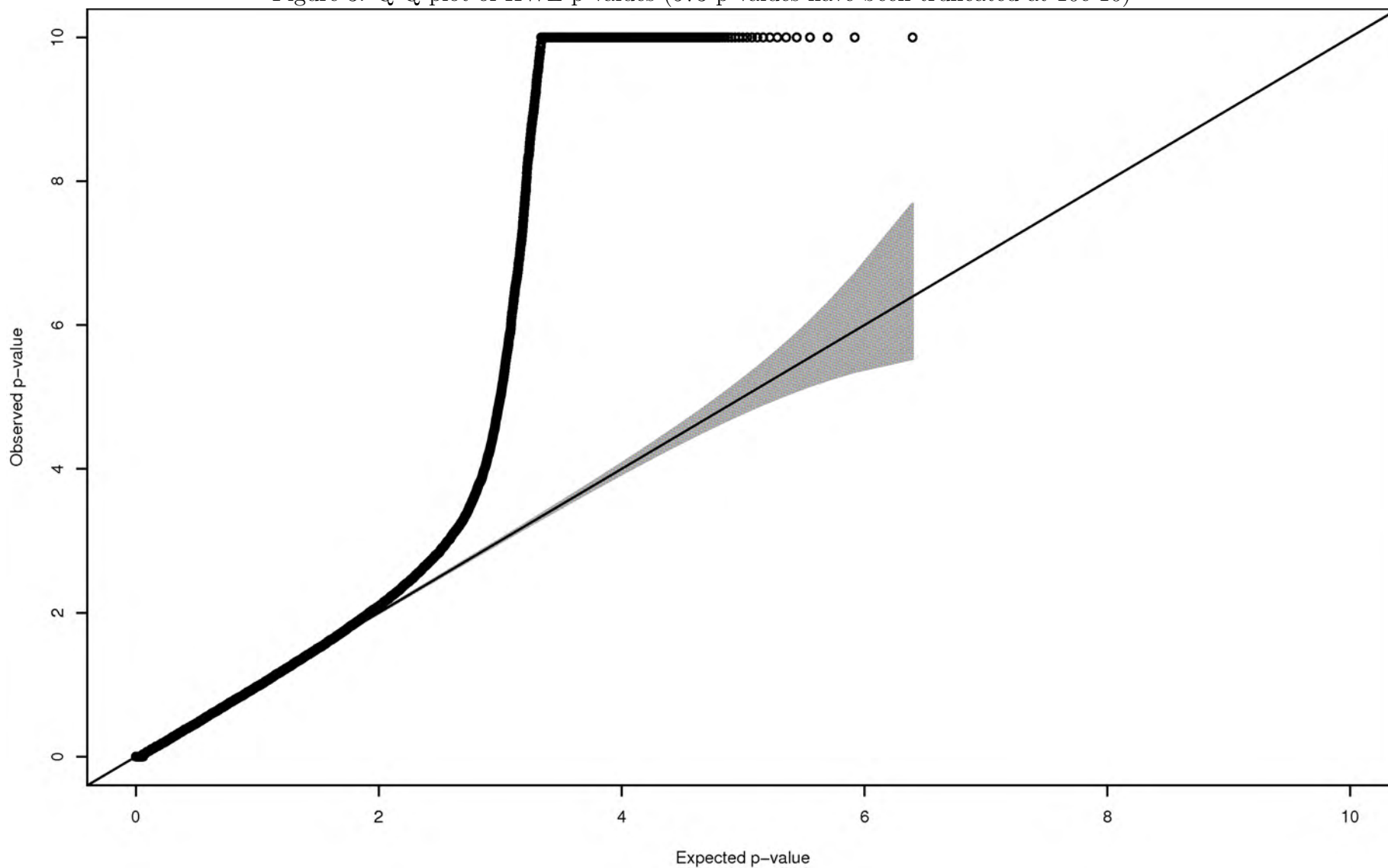


Table 2: SNP QC Summary by Chromosome - CEPH samples excluded

| Chrom   | TotalSNPs | Failed |      | Monomorphic |       | Callrate<0.95 |      | Remaining |       |
|---------|-----------|--------|------|-------------|-------|---------------|------|-----------|-------|
|         |           | N      | %    | N           | %     | N             | %    | N         | %     |
| 1       | 184072    | 10     | 0.01 | 37394       | 20.31 | 267           | 0.15 | 146401    | 79.53 |
| 2       | 194126    | 8      | 0.00 | 39033       | 20.11 | 245           | 0.13 | 154840    | 79.76 |
| 3       | 163672    | 16     | 0.01 | 31653       | 19.34 | 193           | 0.12 | 131810    | 80.53 |
| 4       | 152846    | 7      | 0.00 | 28989       | 18.97 | 193           | 0.13 | 123657    | 80.90 |
| 5       | 145453    | 4      | 0.00 | 29638       | 20.38 | 170           | 0.12 | 115641    | 79.50 |
| 6       | 154686    | 7      | 0.00 | 28652       | 18.52 | 259           | 0.17 | 125768    | 81.31 |
| 7       | 129072    | 5      | 0.00 | 24646       | 19.09 | 209           | 0.16 | 104212    | 80.74 |
| 8       | 125515    | 6      | 0.00 | 23393       | 18.64 | 189           | 0.15 | 101927    | 81.21 |
| 9       | 103011    | 6      | 0.01 | 19384       | 18.82 | 140           | 0.14 | 83481     | 81.04 |
| 10      | 119408    | 8      | 0.01 | 22824       | 19.11 | 163           | 0.14 | 96413     | 80.74 |
| 11      | 116095    | 4      | 0.00 | 23212       | 19.99 | 192           | 0.17 | 92687     | 79.84 |
| 12      | 112722    | 3      | 0.00 | 22343       | 19.82 | 158           | 0.14 | 90218     | 80.04 |
| 13      | 83483     | 4      | 0.00 | 14950       | 17.91 | 102           | 0.12 | 68427     | 81.97 |
| 14      | 76510     | 6      | 0.01 | 14566       | 19.04 | 105           | 0.14 | 61833     | 80.82 |
| 15      | 72294     | 3      | 0.00 | 13249       | 18.33 | 104           | 0.14 | 58938     | 81.53 |
| 16      | 76610     | 5      | 0.01 | 13546       | 17.68 | 139           | 0.18 | 62920     | 82.13 |
| 17      | 66387     | 4      | 0.01 | 12459       | 18.77 | 152           | 0.23 | 53772     | 81.00 |
| 18      | 68552     | 5      | 0.01 | 12196       | 17.79 | 90            | 0.13 | 56261     | 82.07 |
| 19      | 47733     | 3      | 0.01 | 8787        | 18.41 | 131           | 0.27 | 38812     | 81.31 |
| 20      | 56542     | 4      | 0.01 | 10103       | 17.87 | 94            | 0.17 | 46341     | 81.96 |
| 21      | 32075     | 4      | 0.01 | 5604        | 17.47 | 32            | 0.10 | 26435     | 82.42 |
| 22      | 33310     | 3      | 0.01 | 4993        | 14.99 | 105           | 0.32 | 28209     | 84.69 |
| X       | 55208     | 34     | 0.06 | 12690       | 22.99 | 1165          | 2.11 | 41319     | 74.84 |
| Y       | 2561      | 46     | 1.80 | 1887        | 73.68 | 14            | 0.55 | 614       | 23.98 |
| XY      | 418       | 0      | 0.00 | 49          | 11.72 | 2             | 0.48 | 367       | 87.80 |
| MT      | 256       | 0      | 0.00 | 81          | 31.64 | 6             | 2.34 | 169       | 66.02 |
| Overall | 2372617   | 205    | 0.01 | 456321      | 19.23 | 4619          | 0.19 | 1911472   | 80.56 |



Table 3: Minor Allele Frequency - CEPH samples and failed SNPs excluded

| MAFcutoff | Ndrop   | %Drop  | Nkeep   | %Keep  |
|-----------|---------|--------|---------|--------|
| 0.001     | 456321  | 19.200 | 1916091 | 80.800 |
| 0.010     | 809688  | 34.100 | 1562724 | 65.900 |
| 0.050     | 1095145 | 46.200 | 1277267 | 53.800 |
| 0.100     | 1321988 | 55.700 | 1050424 | 44.300 |

## 3 Initial Sample Quality Control

### 3.1 Sample Call Rates

Figure 4 (p. 11) shows the call rates for all samples, all samples minus CEPH controls, and CEPH controls using all SNPs (excluding chromosome Y). Table 4 (p. 10) shows the number of samples that exceed various call rate exclusion thresholds. Similarly Table 5 (p. 10) shows call rates for all non-CEPH samples, and Table 6 (p. 12) shows call rates for CEPH samples only. For example using a call rate of 95%, 5 samples (1%) will be dropped and using a call rate of 98%, 6 samples (1.2%) will be dropped.

Table 4: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) All Samples

| cutoff | Ndrop | %Drop   | Nkeep | %Keep  |
|--------|-------|---------|-------|--------|
| 0.950  | 5     | 1.000   | 505   | 99.000 |
| 0.980  | 6     | 1.200   | 504   | 98.800 |
| 0.990  | 8     | 1.600   | 502   | 98.400 |
| 0.995  | 13    | 2.500   | 497   | 97.500 |
| 1.000  | 510   | 100.000 | 0     | 0.000  |

Table 5: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) No CEPH

| cutoff | Ndrop | %Drop   | Nkeep | %Keep  |
|--------|-------|---------|-------|--------|
| 0.950  | 5     | 1.000   | 489   | 99.000 |
| 0.980  | 6     | 1.200   | 488   | 98.800 |
| 0.990  | 8     | 1.600   | 486   | 98.400 |
| 0.995  | 13    | 2.600   | 481   | 97.400 |
| 1.000  | 494   | 100.000 | 0     | 0.000  |

Figure 4: Histogram of Sample Call Rates (Y chromosome excluded)

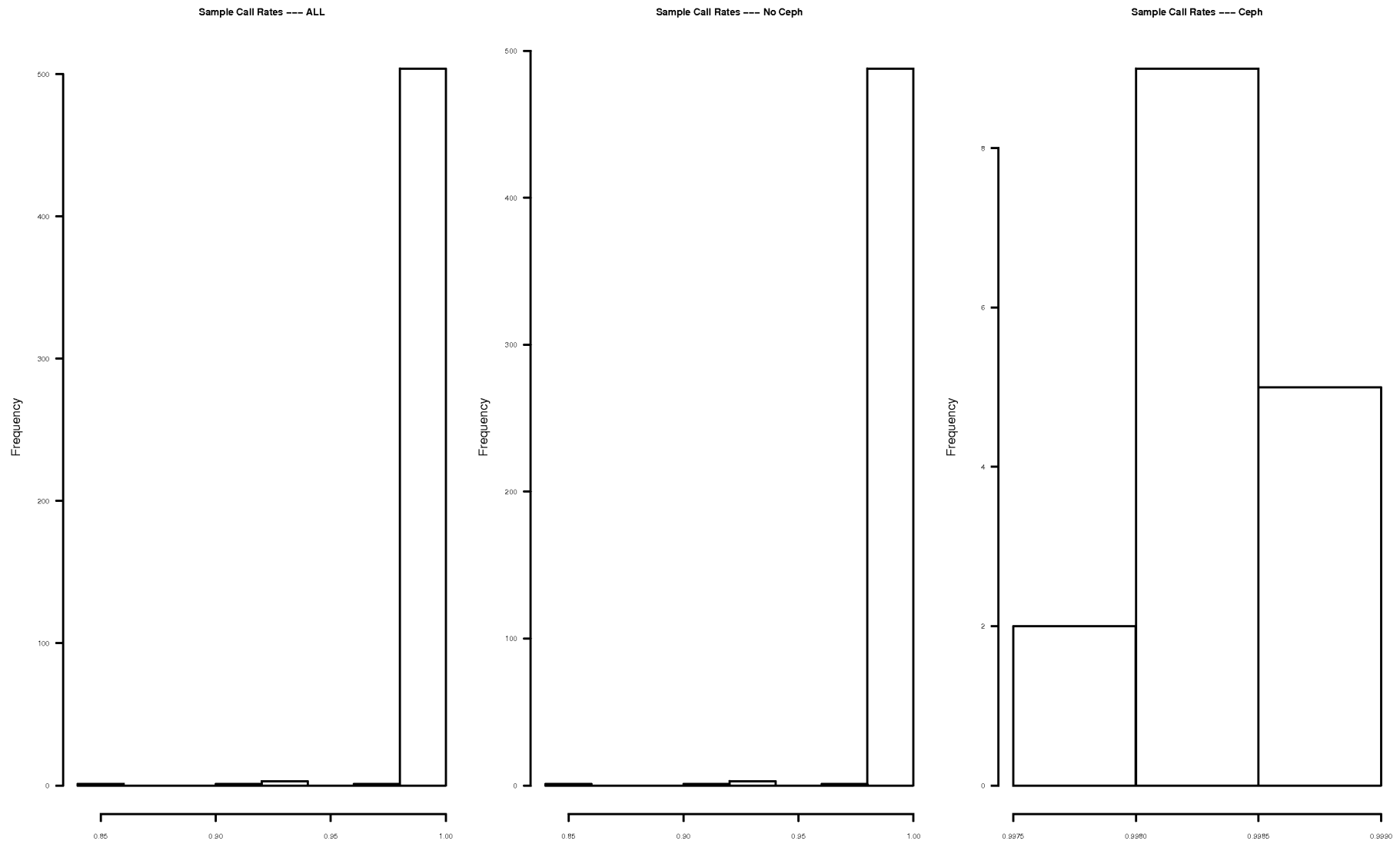


Table 6: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) CEPH Only

| cutoff | Ndrop | %Drop   | Nkeep | %Keep   |
|--------|-------|---------|-------|---------|
| 0.950  | 0     | 0.000   | 16    | 100.000 |
| 0.980  | 0     | 0.000   | 16    | 100.000 |
| 0.990  | 0     | 0.000   | 16    | 100.000 |
| 0.995  | 0     | 0.000   | 16    | 100.000 |
| 1.000  | 16    | 100.000 | 0     | 0.000   |

### 3.2 Sample Sex Check

In this section, information from Chromosomes X and Y is used to estimate sex. Subjects whose reported sex does not match the estimated sex using SNP data are presented in Table 7 (p. 13) with all subjects displayed in Figure 5 (p. 14). Table 7 column descriptions are shown below.

- **PEDSEX**: Recorded sex for this sample (1=Male, 2=Female)
- **SNPSEX**: Sex estimated from Chromosome X variants
- **STATUS**: Displays “PROBLEM” or “OK” for each individual
- **F**: Plink chromosome X inbreeding (homozygosity) estimate
- **No.Ygeno**: Number of SNVs on Chromosome Y
- **cr.chry**: Chromosome Y call rate
- **No.Xgeno**: Number of SNVs on Chromosome X

*The expectation is that  $F$  is more than 0.8 for Males and less than 0.20 for Females. We would expect  $cr.chry$  to be near 1 for Males and near 0 for Females (given the pseudo-autosomal region of Chromosome Y).*

| IID | FID | PEDSEX | SNPSEX | STATUS | F | No.Ygeno | cr.chry | het.chrx | No.Xgeno |
|-----|-----|--------|--------|--------|---|----------|---------|----------|----------|
|-----|-----|--------|--------|--------|---|----------|---------|----------|----------|

### 3.3 Sample Heterozygosity

A histogram of the overall heterozygosity per sample is shown in Figure 6. We also analyzed the per-sample heterozygosity by chromosome. In Figure 7 (p. 16), the horizontal dotted red line is the median heterozygosity for all samples.

## 4 Duplicate Concordance

Table 8: Duplicated Samples

| Sample       | Number of Replicates | Matched | Mismatch (missing) | Mismatch (called) | Missing (all replicates) | Total SNPs | Concordance |
|--------------|----------------------|---------|--------------------|-------------------|--------------------------|------------|-------------|
| QC1025302437 | 6                    | 2356459 | 14102              | 150               | 1906                     | 2372617    | 0.99994     |
| QC1025302436 | 5                    | 2356085 | 16002              | 184               | 346                      | 2372617    | 0.99992     |
| QC1025302407 | 5                    | 2357152 | 13313              | 139               | 2013                     | 2372617    | 0.99994     |

This study included 3 samples which were each run multiple times. In Table 8 (p. 13) we look at the number of SNPs whose genotypes:

- matched across all replicates,
- did not match due to missingness in one or more replicates,
- were called differently in the replicates, or
- were missing for all replicates.

Figure 5: Sex assignment verification from Plink. Samples shown in red were flagged as errors by Plink.

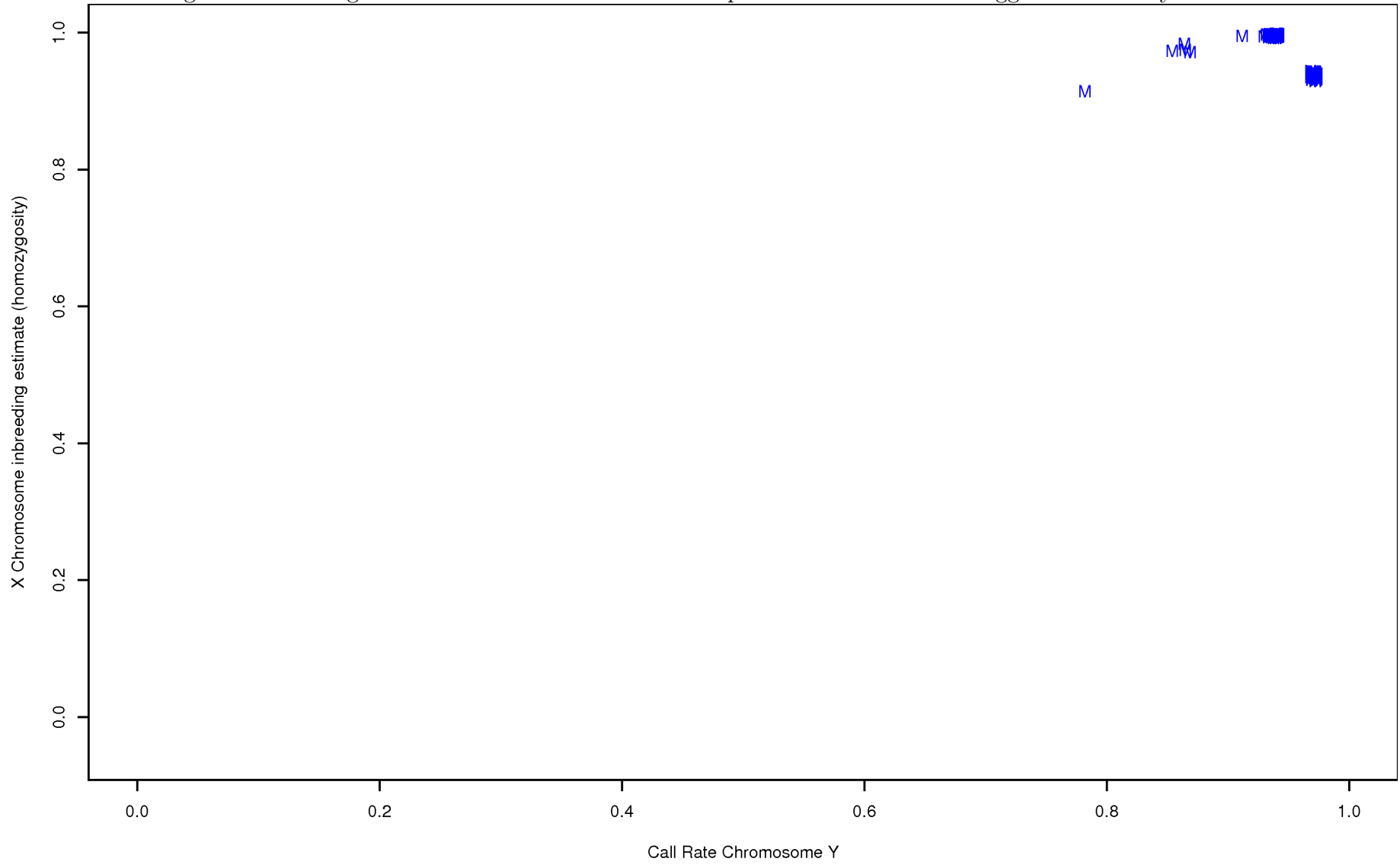


Figure 6: Sample Heterozygosity

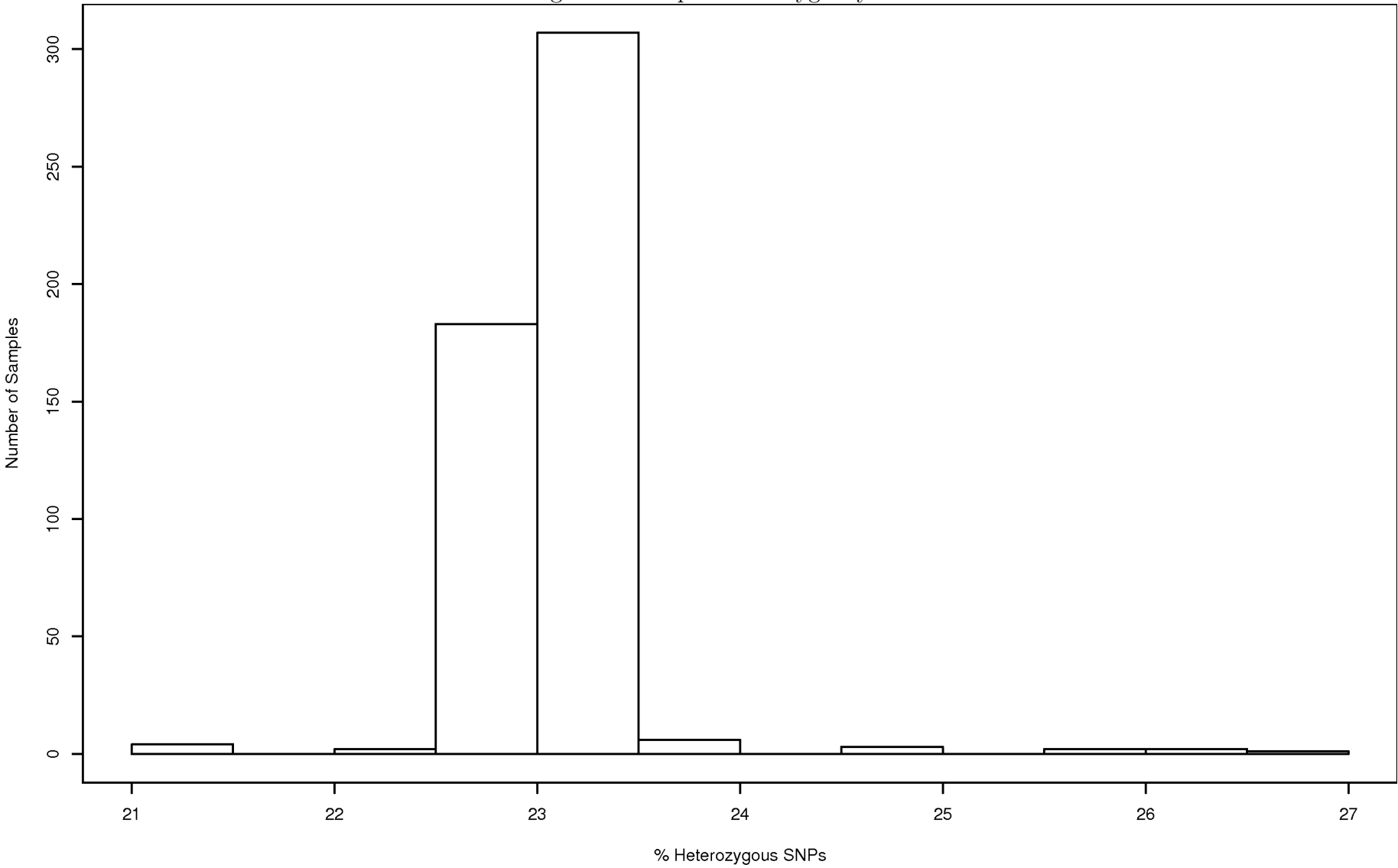
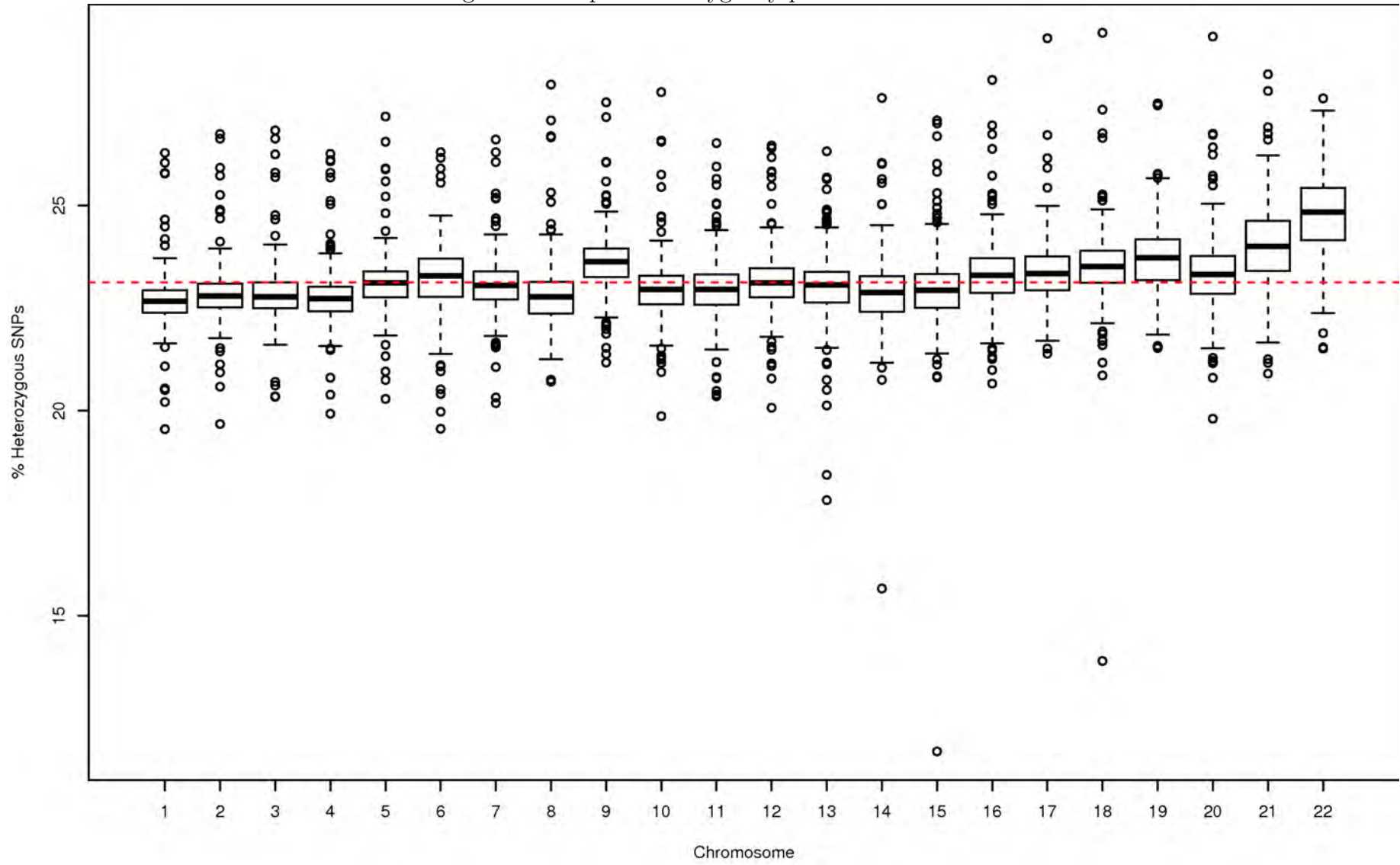


Figure 7: Sample Heterozygosity per Chromosome





# EQTL Test Summary

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September 14, 2013

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# 1 Introduction

3

This document summarizes GWAS QC analysis performed on the HumanOmni2.5-4v1 chip for Prostate Cancer patients. Data are available for 736 samples from 2,372,617 SNPs including 16 CEPH controls. **This summary includes data for 510 samples and 2366208 SNPs including 16 controls.**



## 2 Initial SNP Quality Control

### 2.1 SNP Call Rates

We first look at how many SNPs drop out using different SNP call rate cutoffs. See Table 1 (p. 6) for the percentage of SNPs retained as the call rate threshold increases. Using a call rate of 98%, 22,034 SNPs (0.9%) will be dropped. Using a call rate of 95%, 0 SNPs (0%) will be dropped.

### 2.2 Failed, Monomorphic, and Low Call Rate SNPs by Chromosome

This section describes how many SNPs failed completely, are “monomorphic”, or have a call rate  $< 95\%$  by chromosome and overall (Table 2, p. 8). First “failed” SNPs are identified, then “Monomorphic”, and finally those SNPs with a call rate  $< 0.95\%$ . The distribution of SNP call rates by chromosome is presented in Figure 1 (p. 4).

### 2.3 Minor Allele Frequency

The distribution of minor allele frequencies (MAFs) for all SNPs is shown in Figure 2 (p. 5). There are a total of 454,736 (19.22%) monomorphic SNPs and 807,572 (34.13%) SNPs with  $MAF < 1\%$ .

### 2.4 Hardy Weinberg P-value

This dataset does not include controls to reliably test for Hardy-Weinberg Equilibrium so the following results should be interpreted with caution. We include only caucasian subjects resulting in 494 independent subjects. Chromosomes X, Y, XY, and MT markers

Figure 1: SNP Call Rates by Chromosome

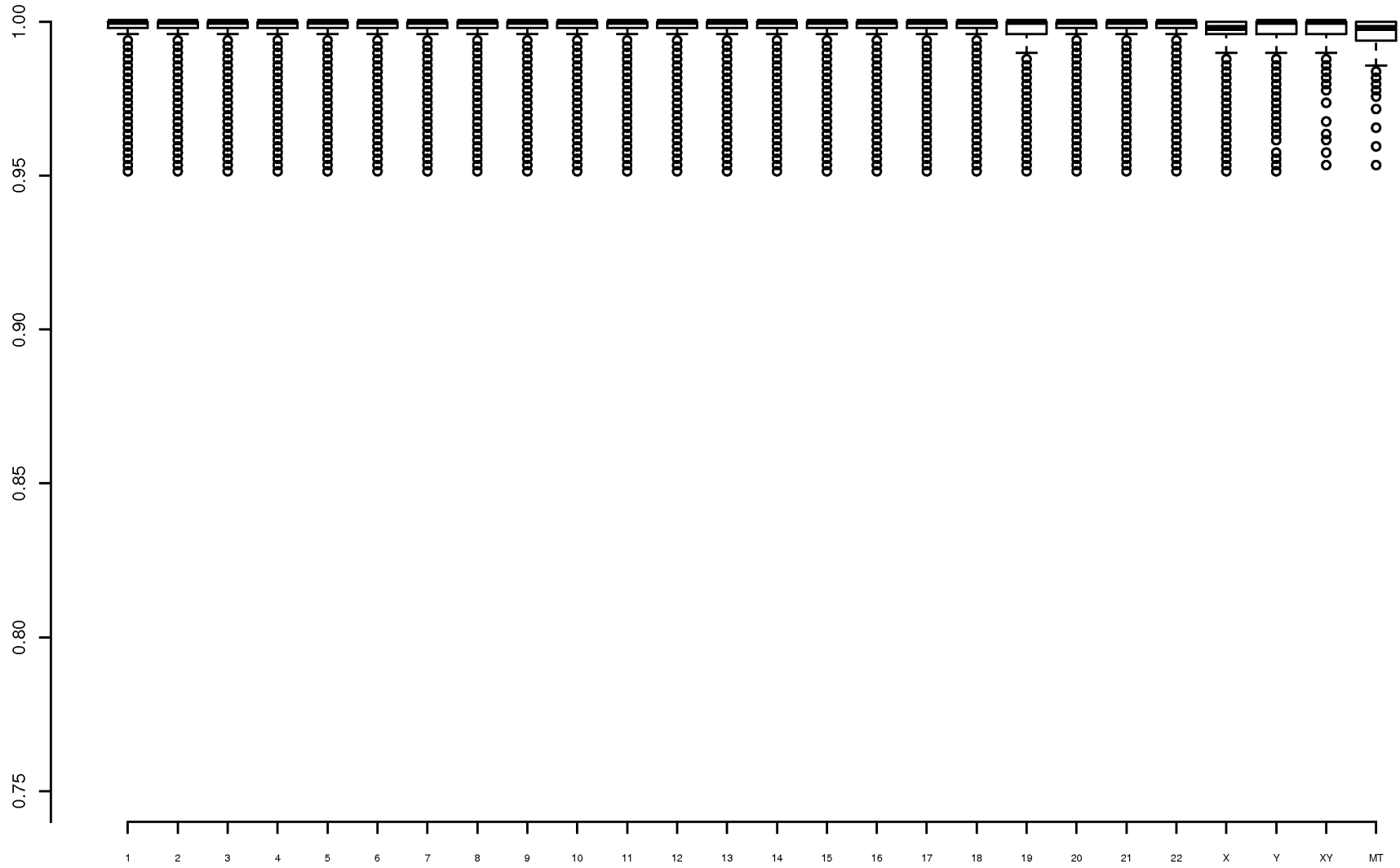


Figure 2: Histogram of Minor Allele Frequencies

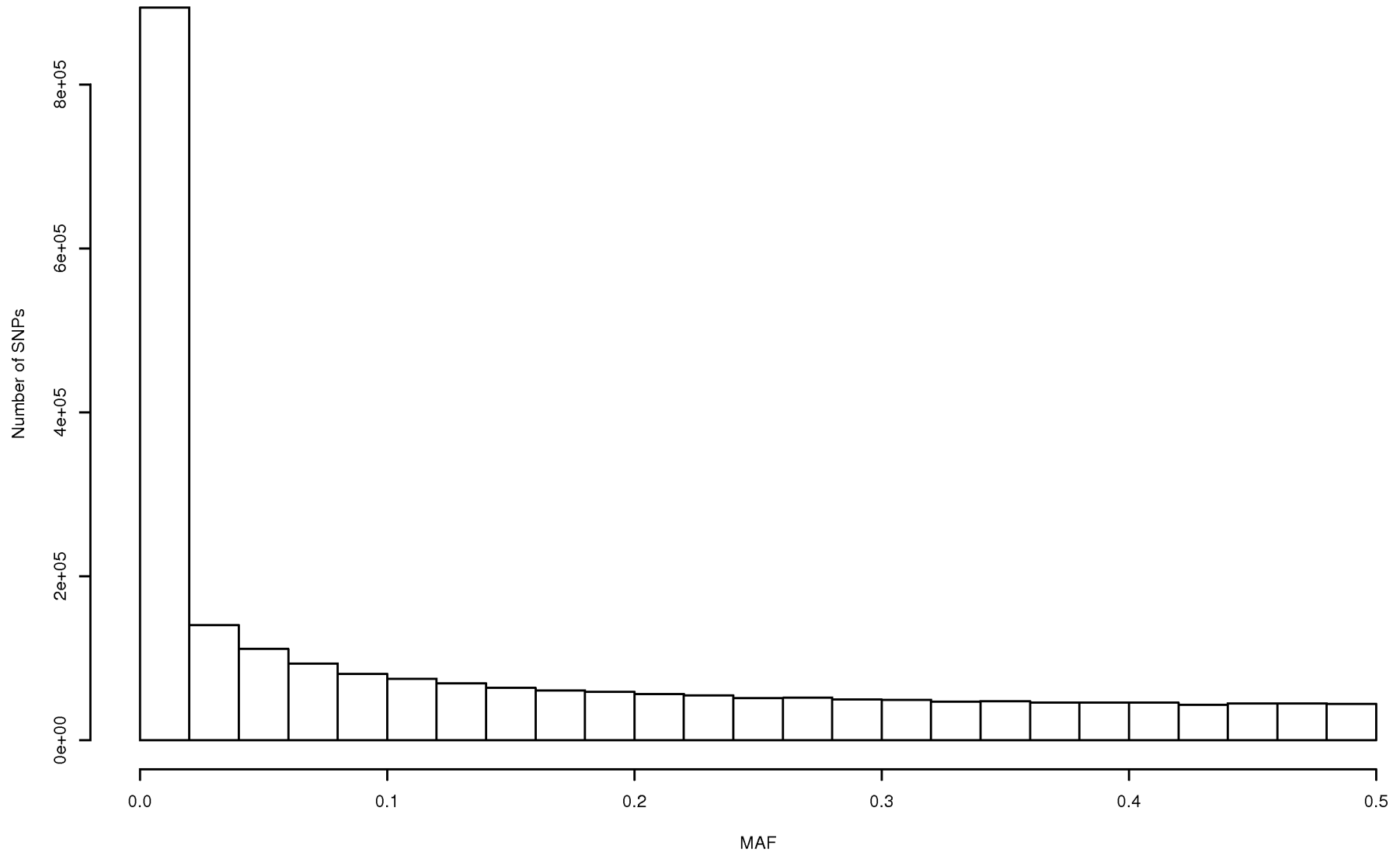


Table 1: SNP Call Rates

| CallRate | NumSNPsBelow | %Below | NumSNPsAbove | %Above  |
|----------|--------------|--------|--------------|---------|
| 0.000    | 0            | 0.000  | 2366208      | 100.000 |
| 0.800    | 0            | 0.000  | 2366208      | 100.000 |
| 0.850    | 0            | 0.000  | 2366208      | 100.000 |
| 0.900    | 0            | 0.000  | 2366208      | 100.000 |
| 0.910    | 0            | 0.000  | 2366208      | 100.000 |
| 0.920    | 0            | 0.000  | 2366208      | 100.000 |
| 0.930    | 0            | 0.000  | 2366208      | 100.000 |
| 0.940    | 0            | 0.000  | 2366208      | 100.000 |
| 0.950    | 0            | 0.000  | 2366208      | 100.000 |
| 0.960    | 2919         | 0.100  | 2363289      | 99.900  |
| 0.970    | 8216         | 0.300  | 2357992      | 99.700  |
| 0.980    | 22034        | 0.900  | 2344174      | 99.100  |
| 0.990    | 152764       | 6.500  | 2213444      | 93.500  |
| 1.000    | 895070       | 37.800 | 1471138      | 62.200  |

are excluded from this summary as are SNPs that failed on all samples and SNPs with  $MAF < 0.05$ . There are 902 SNPs have a HWE p-value  $< 10e-05$  (see Figure 3, p. 7).

Figure 3: Q-Q plot of HWE p-values (276 p-values have been truncated at  $10e-10$ )

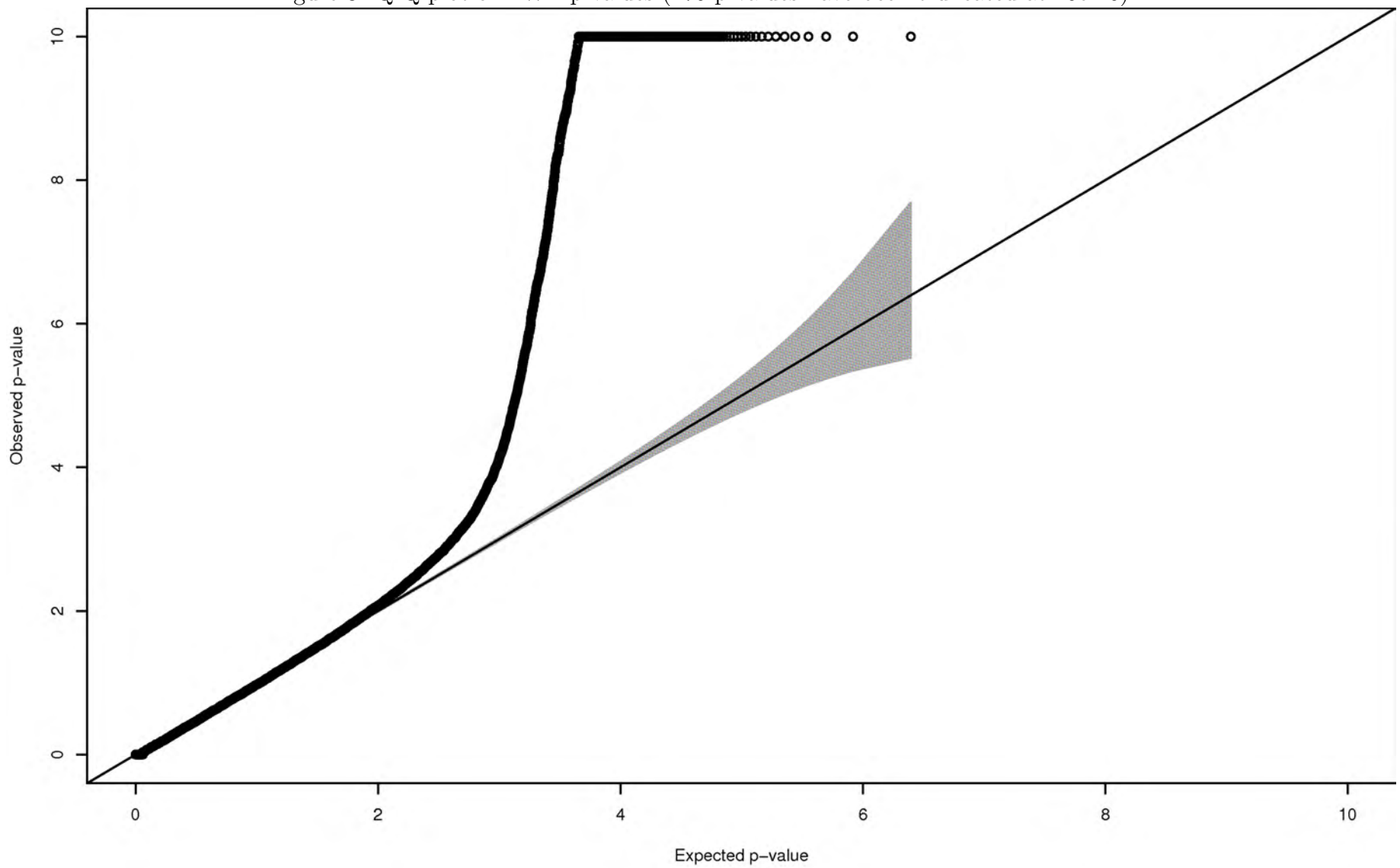


Table 2: SNP QC Summary by Chromosome - CEPH samples excluded

| Chrom   | TotalSNPs | Failed |      | Monomorphic |       | Callrate<0.95 |      | Remaining |       |
|---------|-----------|--------|------|-------------|-------|---------------|------|-----------|-------|
|         |           | N      | %    | N           | %     | N             | %    | N         | %     |
| 1       | 183728    | 0      | 0.00 | 37327       | 20.32 | 0             | 0.00 | 146401    | 79.68 |
| 2       | 193824    | 0      | 0.00 | 38984       | 20.11 | 0             | 0.00 | 154840    | 79.89 |
| 3       | 163427    | 0      | 0.00 | 31617       | 19.35 | 0             | 0.00 | 131810    | 80.65 |
| 4       | 152609    | 0      | 0.00 | 28952       | 18.97 | 0             | 0.00 | 123657    | 81.03 |
| 5       | 145233    | 0      | 0.00 | 29592       | 20.38 | 0             | 0.00 | 115641    | 79.62 |
| 6       | 154374    | 0      | 0.00 | 28606       | 18.53 | 0             | 0.00 | 125768    | 81.47 |
| 7       | 128819    | 0      | 0.00 | 24607       | 19.10 | 0             | 0.00 | 104212    | 80.90 |
| 8       | 125280    | 0      | 0.00 | 23353       | 18.64 | 0             | 0.00 | 101927    | 81.36 |
| 9       | 102842    | 0      | 0.00 | 19361       | 18.83 | 0             | 0.00 | 83481     | 81.17 |
| 10      | 119219    | 0      | 0.00 | 22806       | 19.13 | 0             | 0.00 | 96413     | 80.87 |
| 11      | 115865    | 0      | 0.00 | 23178       | 20.00 | 0             | 0.00 | 92687     | 80.00 |
| 12      | 112532    | 0      | 0.00 | 22314       | 19.83 | 0             | 0.00 | 90218     | 80.17 |
| 13      | 83353     | 0      | 0.00 | 14926       | 17.91 | 0             | 0.00 | 68427     | 82.09 |
| 14      | 76390     | 0      | 0.00 | 14557       | 19.06 | 0             | 0.00 | 61833     | 80.94 |
| 15      | 72174     | 0      | 0.00 | 13236       | 18.34 | 0             | 0.00 | 58938     | 81.66 |
| 16      | 76447     | 0      | 0.00 | 13527       | 17.69 | 0             | 0.00 | 62920     | 82.31 |
| 17      | 66220     | 0      | 0.00 | 12448       | 18.80 | 0             | 0.00 | 53772     | 81.20 |
| 18      | 68440     | 0      | 0.00 | 12179       | 17.80 | 0             | 0.00 | 56261     | 82.20 |
| 19      | 47589     | 0      | 0.00 | 8777        | 18.44 | 0             | 0.00 | 38812     | 81.56 |
| 20      | 56429     | 0      | 0.00 | 10088       | 17.88 | 0             | 0.00 | 46341     | 82.12 |
| 21      | 32030     | 0      | 0.00 | 5595        | 17.47 | 0             | 0.00 | 26435     | 82.53 |
| 22      | 33196     | 0      | 0.00 | 4987        | 15.02 | 0             | 0.00 | 28209     | 84.98 |
| X       | 53137     | 0      | 0.00 | 11818       | 22.24 | 0             | 0.00 | 41319     | 77.76 |
| Y       | 2386      | 0      | 0.00 | 1772        | 74.27 | 0             | 0.00 | 614       | 25.73 |
| XY      | 416       | 0      | 0.00 | 49          | 11.78 | 0             | 0.00 | 367       | 88.22 |
| MT      | 249       | 0      | 0.00 | 80          | 32.13 | 0             | 0.00 | 169       | 67.87 |
| Overall | 2366208   | 0      | 0.00 | 454736      | 19.22 | 0             | 0.00 | 1911472   | 80.78 |



Table 3: Minor Allele Frequency - CEPH samples and failed SNPs excluded

| MAFcutoff | Ndrop   | %Drop  | Nkeep   | %Keep  |
|-----------|---------|--------|---------|--------|
| 0.001     | 454736  | 19.200 | 1911472 | 80.800 |
| 0.010     | 807572  | 34.100 | 1558636 | 65.900 |
| 0.050     | 1092475 | 46.200 | 1273733 | 53.800 |
| 0.100     | 1318885 | 55.700 | 1047323 | 44.300 |

## 3 Initial Sample Quality Control

### 3.1 Sample Call Rates

Figure 4 (p. 11) shows the call rates for all samples using all SNPs (excluding chromosome Y). Table 4 (p. 10) shows the number of samples that exceed various call rate exclusion thresholds. Similarly Table 5 (p. 10) shows call rates for all non-CEPH samples, and Table 6 (p. 12) shows call rates for CEPH samples only. For example using a call rate of 95%, 5 samples (1%) will be dropped and using a call rate of 98%, 6 samples (1.2%) will be dropped.

Table 4: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) All Samples

| cutoff | Ndrop | %Drop   | Nkeep | %Keep  |
|--------|-------|---------|-------|--------|
| 0.950  | 5     | 1.000   | 489   | 99.000 |
| 0.980  | 6     | 1.200   | 488   | 98.800 |
| 0.990  | 7     | 1.400   | 487   | 98.600 |
| 0.995  | 12    | 2.400   | 482   | 97.600 |
| 1.000  | 494   | 100.000 | 0     | 0.000  |

Table 5: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) No CEPH

| cutoff | Ndrop | %Drop   | Nkeep | %Keep  |
|--------|-------|---------|-------|--------|
| 0.950  | 5     | 1.000   | 489   | 99.000 |
| 0.980  | 6     | 1.200   | 488   | 98.800 |
| 0.990  | 7     | 1.400   | 487   | 98.600 |
| 0.995  | 12    | 2.400   | 482   | 97.600 |
| 1.000  | 494   | 100.000 | 0     | 0.000  |

Figure 4: Histogram of Sample Call Rates (Y chromosome excluded)

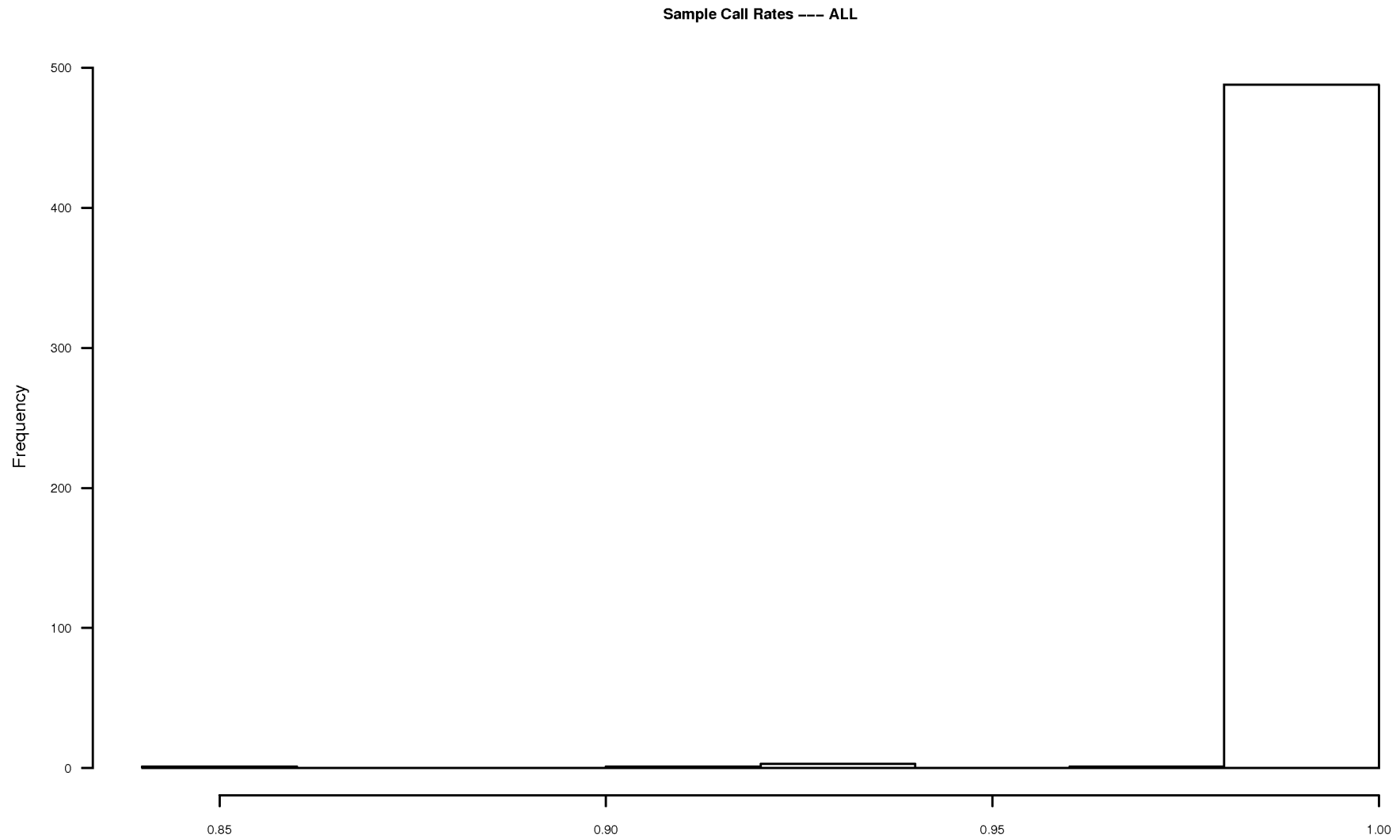


Table 6: Number of Samples Dropped by Call Rate Threshold (Y chromosome excluded) CEPH Only

| cutoff | Ndrop | %Drop | Nkeep | %Keep |
|--------|-------|-------|-------|-------|
| 0.950  | 0     |       | 0     |       |
| 0.980  | 0     |       | 0     |       |
| 0.990  | 0     |       | 0     |       |
| 0.995  | 0     |       | 0     |       |
| 1.000  | 0     |       | 0     |       |

### 3.2 Sample Sex Check

In this section, information from Chromosomes X and Y is used to estimate sex. Subjects whose reported sex does not match the estimated sex using SNP data are presented in Table 7 (p. 13) with all subjects displayed in Figure 5 (p. 14). Table 7 column descriptions are shown below.

- **PEDSEX**: Recorded sex for this sample (1=Male, 2=Female)
- **SNPSEX**: Sex estimated from Chromosome X variants
- **STATUS**: Displays “PROBLEM” or “OK” for each individual
- **F**: Plink chromosome X inbreeding (homozygosity) estimate
- **No.Ygeno**: Number of SNVs on Chromosome Y
- **cr.chry**: Chromosome Y call rate
- **No.Xgeno**: Number of SNVs on Chromosome X

*The expectation is that  $F$  is more than 0.8 for Males and less than 0.20 for Females. We would expect  $cr.chry$  to be near 1 for Males and near 0 for Females (given the pseudo-autosomal region of Chromosome Y).*

| IID | FID | PEDSEX | SNPSEX | STATUS | F | No.Ygeno | cr.chry | het.chrx | No.Xgeno |
|-----|-----|--------|--------|--------|---|----------|---------|----------|----------|
|-----|-----|--------|--------|--------|---|----------|---------|----------|----------|

### 3.3 Sample Heterozygosity

A histogram of the overall heterozygosity per sample is shown in Figure 6. We also analyzed the per-sample heterozygosity by chromosome. In Figure 7 (p. 16), the horizontal dotted red line is the median heterozygosity for all samples.

## 4 Batch Effects

Table 8: Plate Mapping

|               |   |
|---------------|---|
| WG0232831-DNA | 1 |
| WG0232832-DNA | 2 |
| WG0232833-DNA | 3 |
| WG0232834-DNA | 4 |
| WG0232835-DNA | 5 |
| WG0232836-DNA | 6 |
| WG0232837-DNA | 7 |
| WG0232838-DNA | 8 |

Table 8 (p. 13) will act as map for the following batch effect plots regarding Plate. To test for Plate effects in variant calling, we performed a chi-squared test for each SNP comparing the allele frequency estimated using samples on one Plate to the allele frequency estimated from the remaining Plates. We then took the mean of the chi-squared statistics for each Plate across all SNPs. The numbers in the plot (Figure 8) (p. 17) indicates Plate. Figure 9 (p. 18) shows boxplots of the sample call rate for each Plate. The dashed horizontal line is drawn at the 98% percentile of missingness rates for the SNPs used in the figure. Figure 10 (p. 19) shows boxplots of the sample heterozygosity rate for each Plate. The dashed horizontal line is drawn at the median heterozygosity rate across samples.

Figure 5: Sex assignment verification from Plink. Samples shown in red were flagged as errors by Plink.

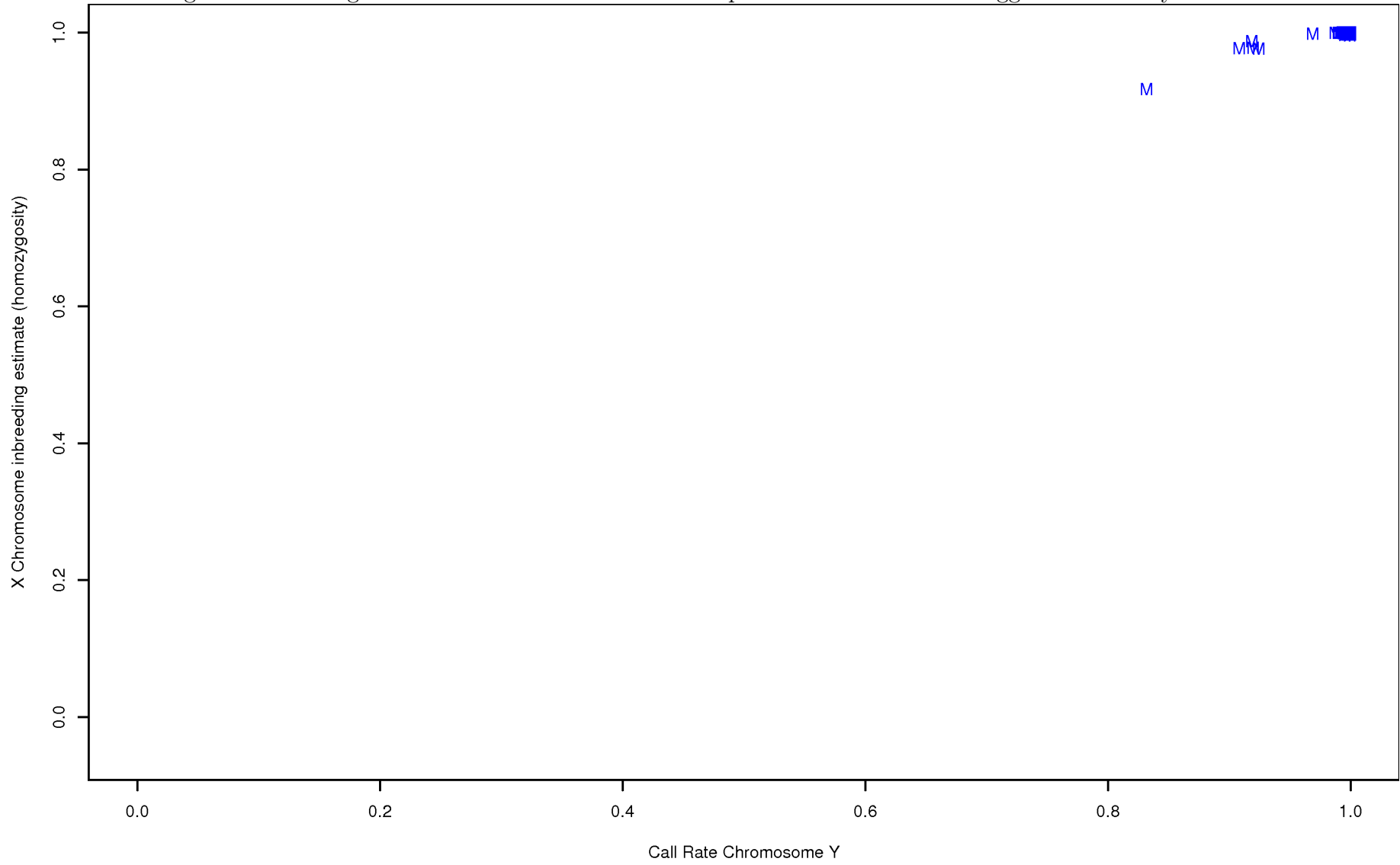


Figure 6: Sample Heterozygosity

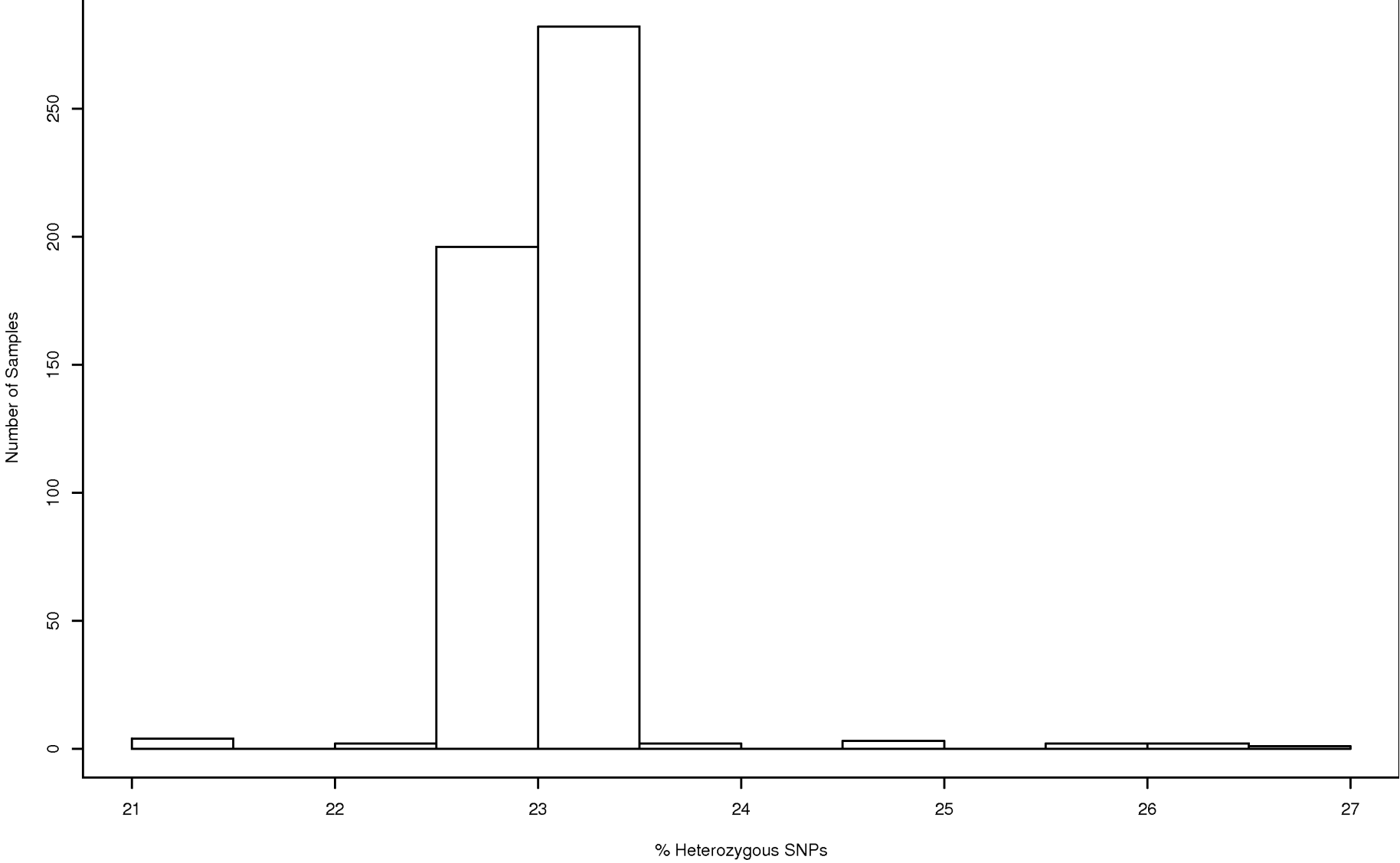


Figure 7: Sample Heterozygosity per Chromosome

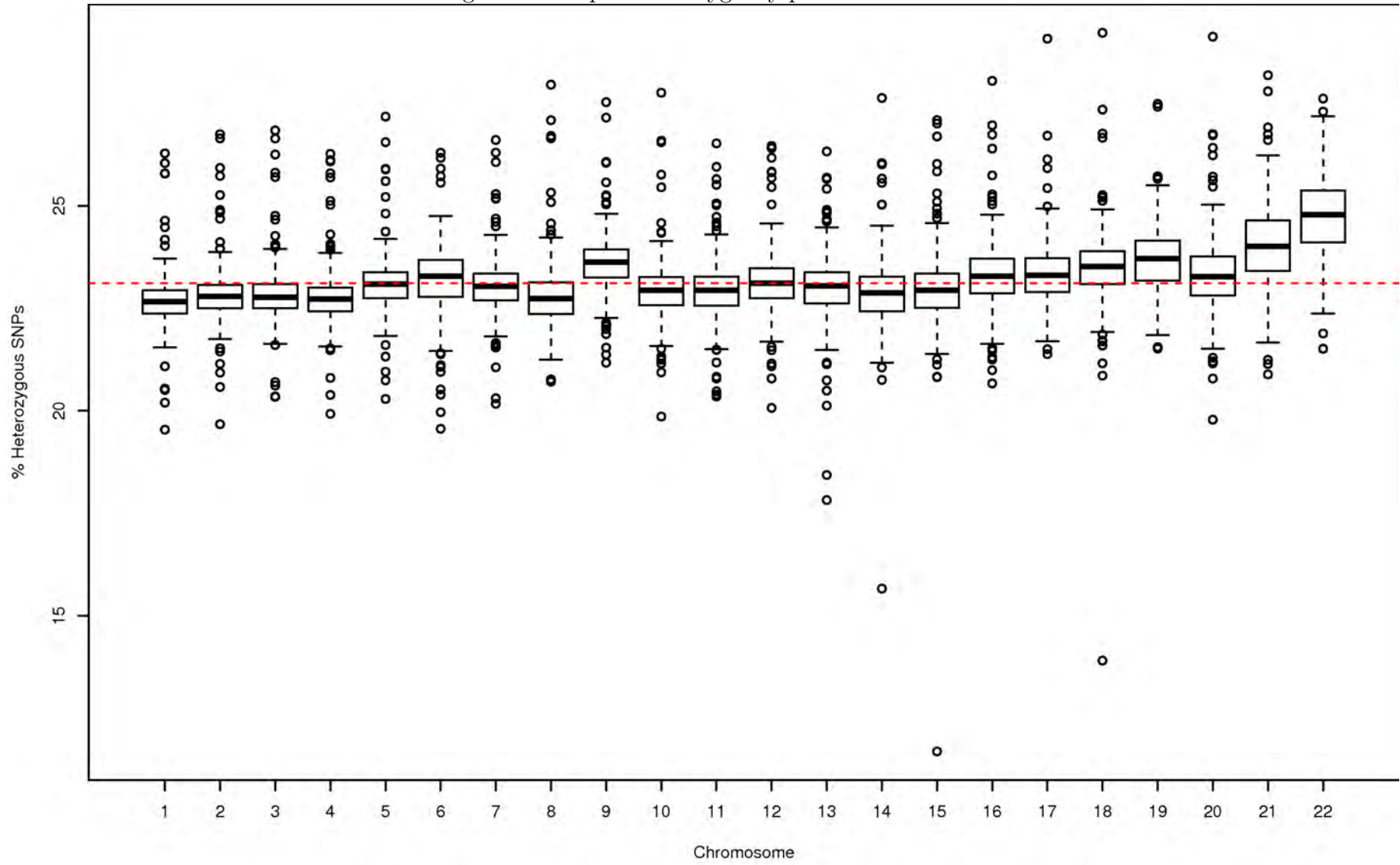




Figure 8: Test for Batch Effects

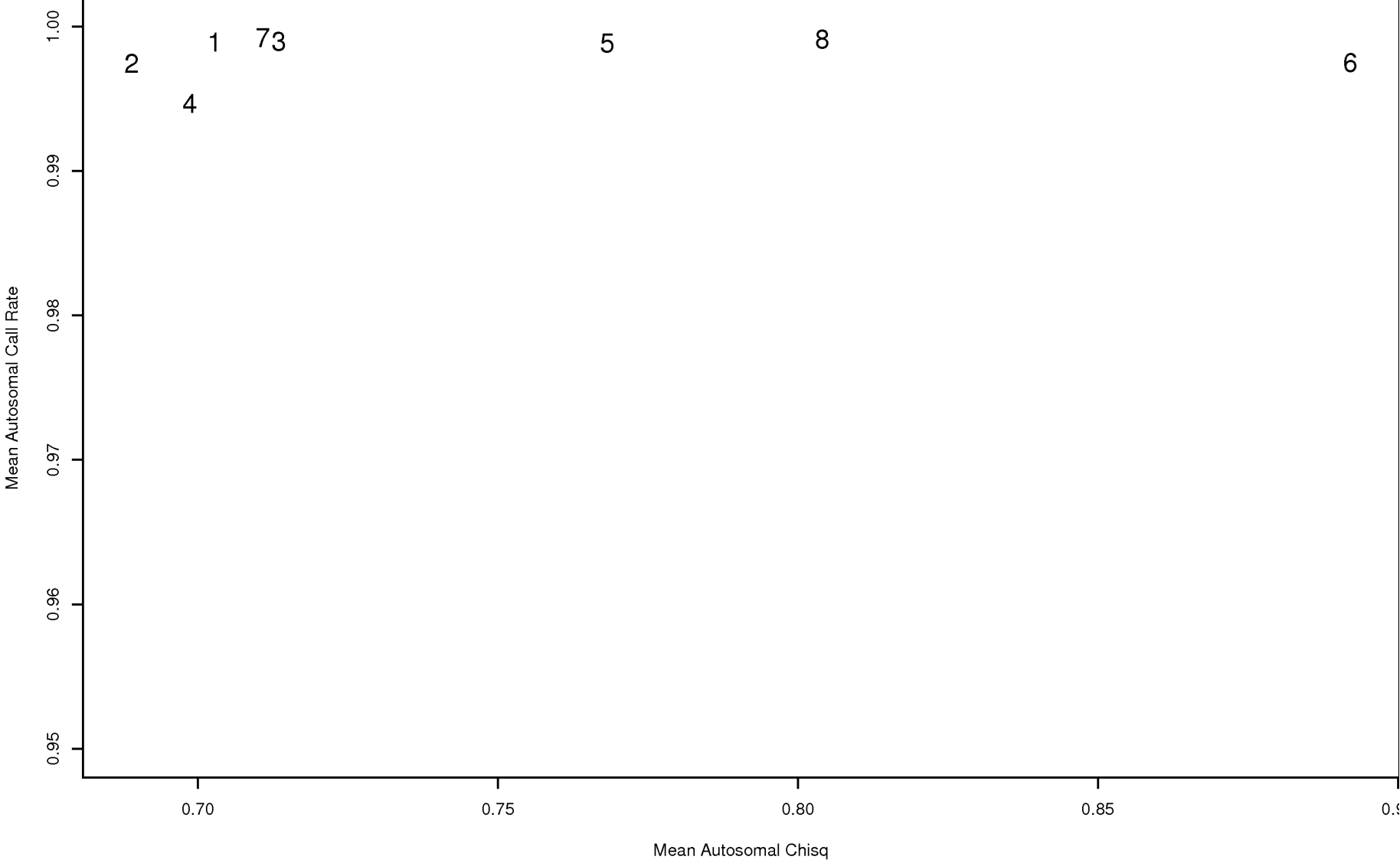


Figure 9: Sample Call Rate by Plate

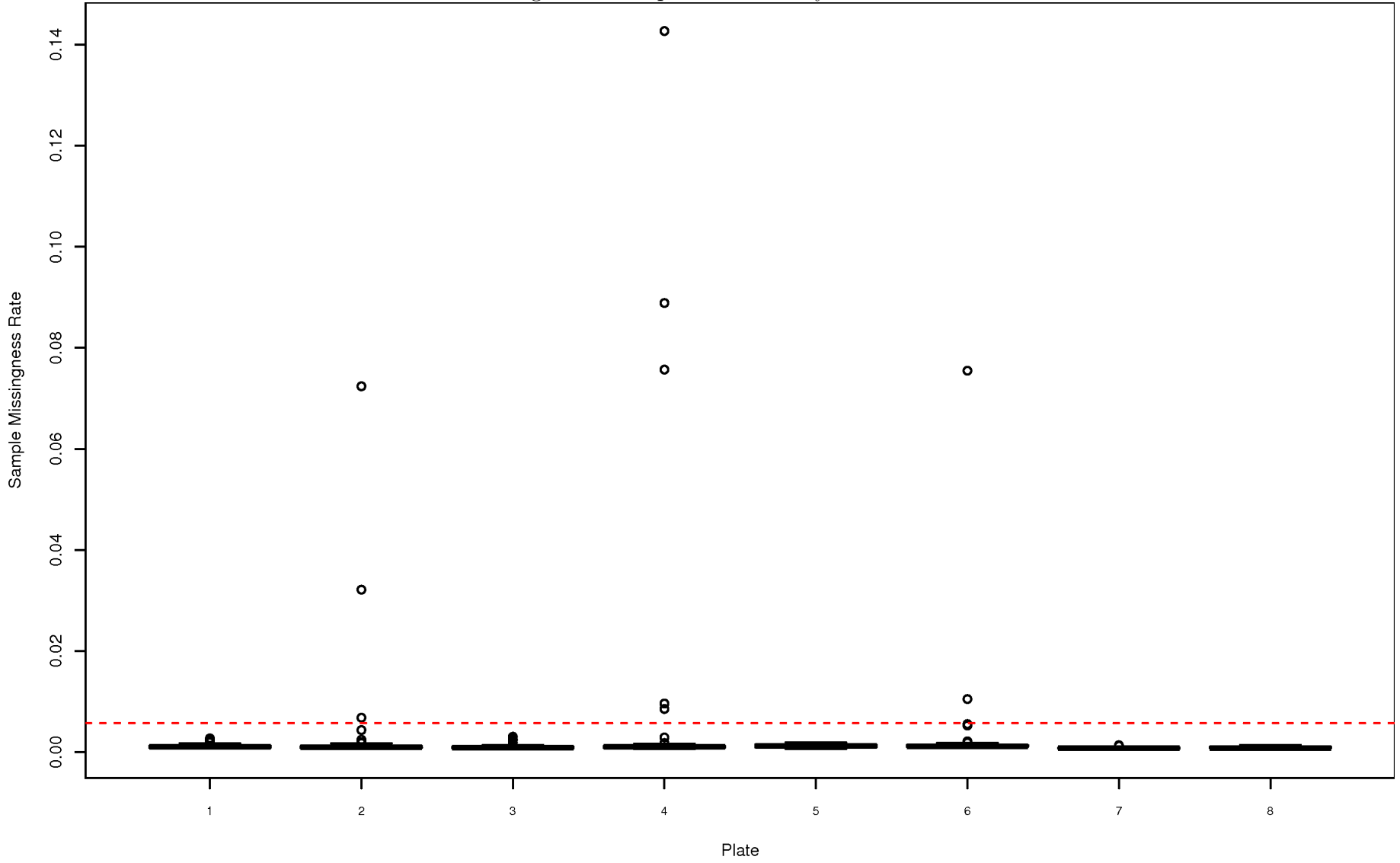
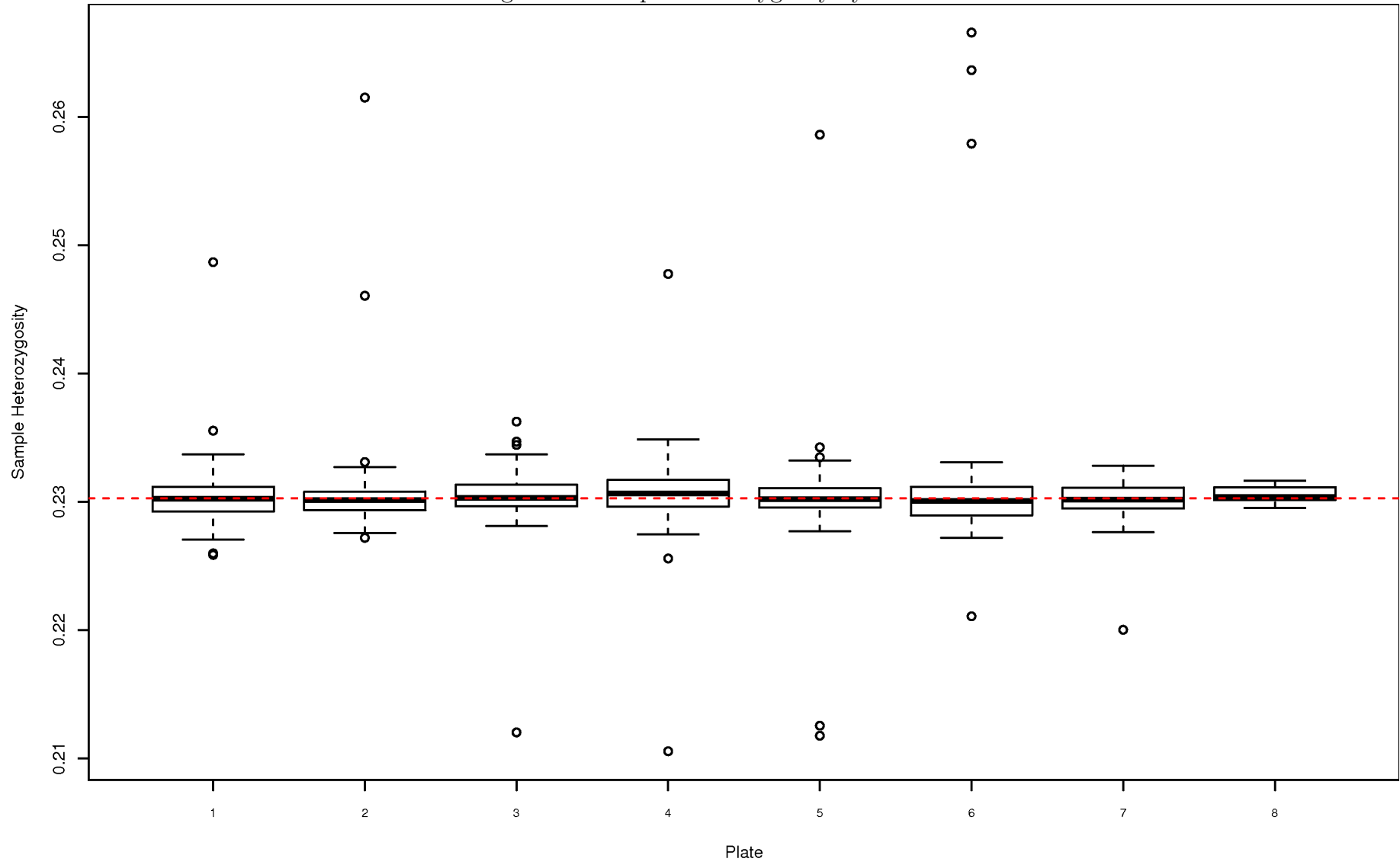


Figure 10: Sample Heterozygosity by Plate



## 9 PLINK Relationship Checking

This study consists of 494 presumed unrelated individuals. Relationship checking was performed by estimating the proportion of alleles shared identical by descent (IBD) for all pairs of subjects. PLINK was used to estimate IBD. Independent SNPs were selected for analysis by first excluding all SNPs with callrate < 0.95%, MAF < 0.05%, and HWE pvalue < 1e-06. Remaining SNPs were pruned using Plink such that pairwise correlation between SNPs ( $r^2$ ) is less than 0.01. A total of 21395 were used for this analysis. Figure 11 (p. 22) shows the IBD plot for all study samples. If this study includes both related and unrelated samples, then panel A shows the unrelated samples and panel B shows related samples. Relationship codes shown in Figure 11 along with their expected IBD sharing are shown below.

| CODE | RELATIONSHIP             | E(IBD0) | E(IBD1) | E(IBD2) |
|------|--------------------------|---------|---------|---------|
| PO   | : Parent-Offspring       | 0       | 1.00    | 0       |
| FS   | : Full-Sibling           | 0.25    | 0.50    | 0.25    |
| HS   | : Half-Sibling           | 0.50    | 0.50    | 0       |
| AV   | : Avuncular              | 0.50    | 0.50    | 0       |
| GPC  | : Grandparent-grandchild | 0.50    | 0.50    | 0       |
| FC   | : First-Cousin           | 0.75    | 0.25    | 0       |
| HA   | : Half-Avuncular         | 0.75    | 0.25    | 0       |
| HFC  | : Half-First-Cousin      | 0.875   | 0.125   | 0       |
| HSFC | : Half-Sib+First-Cousin  | 0.375   | 0.50    | 0.125   |
| U    | : Unrelated              | 1.00    | 0       | 0       |

Table 9: Check for Cryptic relatedness: **Unrelated pairs**

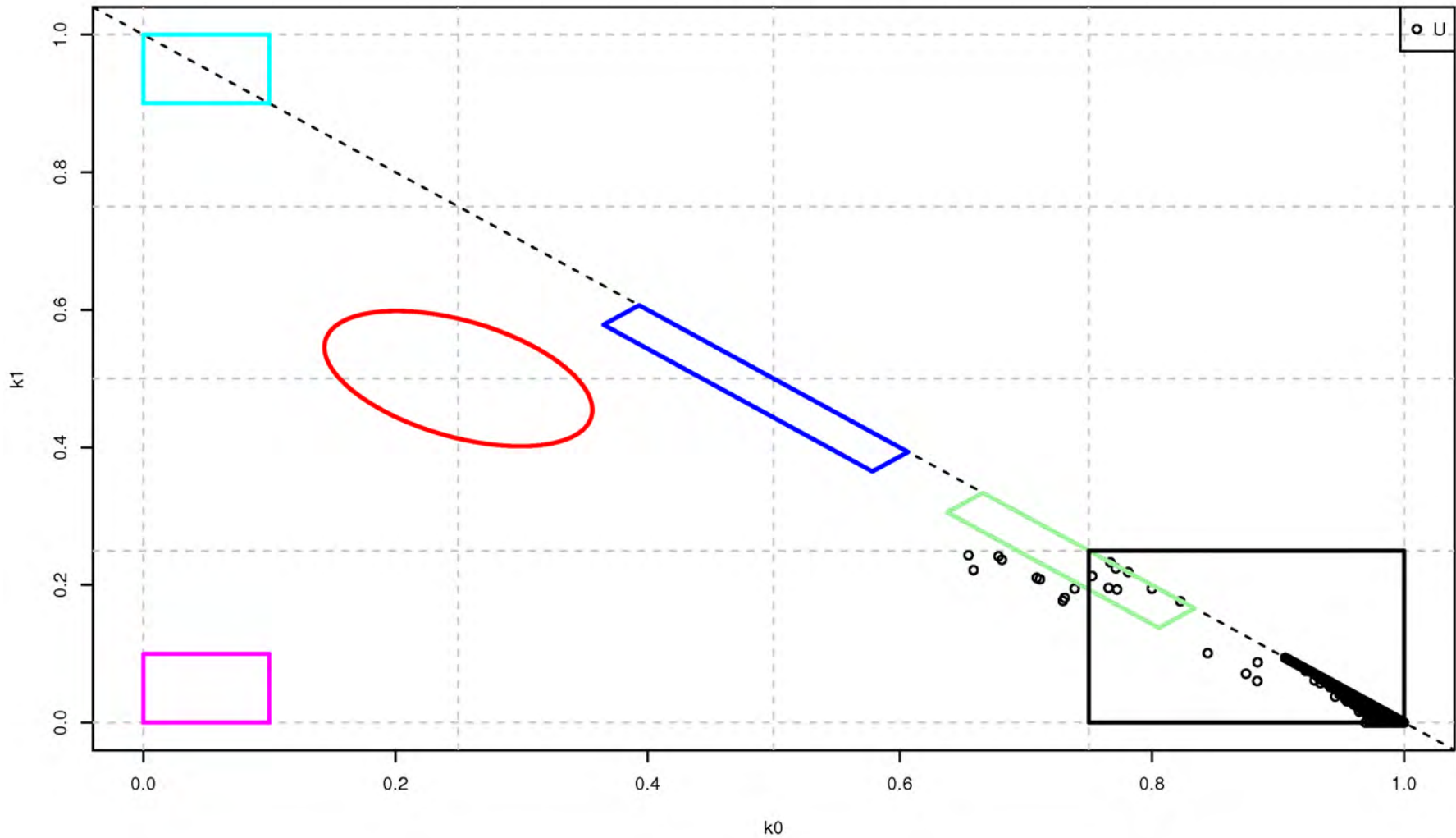
| FID1       | IID1       | FID2       | IID2       | Z0     | Z1     | Z2     | PLHAT  | RT | Obs.RT |
|------------|------------|------------|------------|--------|--------|--------|--------|----|--------|
| 1213802311 | 1213802311 | 1211702138 | 1211702138 | 0.7714 | 0.2243 | 0.0044 | 0.1165 | U  | FC     |
| 1213802311 | 1213802311 | 1211001831 | 1211001831 | 0.7812 | 0.2188 | 0.0000 | 0.1094 | U  | FC     |
| 1213802218 | 1213802218 | 1211702092 | 1211702092 | 0.7671 | 0.2329 | 0.0000 | 0.1164 | U  | FC     |
| 1211800763 | 1211800763 | 1211702138 | 1211702138 | 0.7087 | 0.2105 | 0.0808 | 0.1861 | U  | Q      |

|            |            |            |            |        |        |        |        |   |    |
|------------|------------|------------|------------|--------|--------|--------|--------|---|----|
| 1211800763 | 1211800763 | 1213802245 | 1213802245 | 0.7112 | 0.2083 | 0.0805 | 0.1846 | U | Q  |
| 1211800763 | 1211800763 | 1211001831 | 1211001831 | 0.7308 | 0.1815 | 0.0876 | 0.1784 | U | Q  |
| 1211702138 | 1211702138 | 1213802245 | 1213802245 | 0.7294 | 0.1771 | 0.0935 | 0.1820 | U | Q  |
| 1211702138 | 1211702138 | 1211001831 | 1211001831 | 0.6546 | 0.2433 | 0.1021 | 0.2237 | U | Q  |
| 1211001818 | 1211001818 | 1211800765 | 1211800765 | 0.6586 | 0.2218 | 0.1196 | 0.2305 | U | Q  |
| 1211001818 | 1211001818 | 1211702155 | 1211702155 | 0.6811 | 0.2368 | 0.0821 | 0.2005 | U | Q  |
| 1211001818 | 1211001818 | 1213103091 | 1213103091 | 0.7526 | 0.2130 | 0.0345 | 0.1409 | U | FC |
| 1213802245 | 1213802245 | 1211001831 | 1211001831 | 0.7388 | 0.1948 | 0.0665 | 0.1639 | U | Q  |
| 1211800765 | 1211800765 | 1211702155 | 1211702155 | 0.6784 | 0.2418 | 0.0799 | 0.2007 | U | Q  |
| 1211800765 | 1211800765 | 1213103091 | 1213103091 | 0.7724 | 0.1935 | 0.0340 | 0.1308 | U | FC |
| 1211702155 | 1211702155 | 1213103091 | 1213103091 | 0.7657 | 0.1958 | 0.0385 | 0.1364 | U | FC |

All pairs of unrelated subjects with the probability of sharing 0 alleles IBD  $< 0.80$  are shown in Table 9 (p. 21). **There are 15 pairs of unrelated subjects who have higher than expected IBD sharing.** Related pairs whose IBD sharing does not match expected are shown in Table ?? (p. ??). All relative pairs where the absolute value of expected minus observed sharing is greater than 0.25 for any of the IBD sharing probabilities is included. These tables includes both the expected relationship type (column labelled 'RT') and the observed relationship type based on estimated IBD probabilities (column labelled 'Obs.RT'). There are 0 pairs of related subjects whose relationships appear to be different than expected. Relationship codes shown in these tables are described on page 20.

Figure 11: Estimated IBD sharing between all pairs of subjects. If study includes pedigrees, then the IBD sharing is split into two panels: Panel A includes all unrelated pairs of subjects and Panel B includes all related pairs within pedigrees. Each relationship is displayed in a different symbol and color. Relationship codes are described on page 20.

### Unrelated Study Subjects



# Thibodeau eQTL mRNA NGS QC

Inv: Thibodeau

Statistical Team: Schaid, McDonnell, Riska, Fogarty

September 17, 2013

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# 1 Introduction

This document describes the mRNA-seq quality control checks and initial analysis performed for the “Thibodeau eQTL mRNA NGS QC” project. A total of 493 subjects contributed 493 samples consisting of N=19 cystoprostatectomy samples, N=474 low gleason samples. 493 subject(s) gave 1 samples. There are 0 repeated samples (). Samples were run up to 5 per lane, with the groupings listed in Table 1.

There were 23,398 Genes presented in the original data (46 Genes mapped to 2 different chromosomes and 3 Genes mapped to 3 different chromosomes). Of all the genes, 780 (3.3%) had no counts for all samples and were removed from further analysis (genes deemed undetectable/noise). The remaining genes were distributed across all the chromosomes (Table 2). For genes that mapped to both chromosome X and Y, only the chromosome X version was retained. After filtering, there was only 3 gene (FAM45B, MIR1256, TTL) mapped to more than 1 location (chr10, chrX, chr10, chrX, chr13, chr2). Additionally, there were still 37 Genes that mapped to chromosome Y (AMELY, BCORP1, CD24, CSPG4P1Y, DDX3Y, EIF1AY, GYG2P1, KDM5D, LINC00230A, NCRNA00185, NLGN4Y, PCDH11Y, PRKY, RBMY1A3P, RBMY2EP, RBMY2FP, RPS4Y1, RPS4Y2, SRY, TBL1Y, TMSB4Y, TSPY1, TSPY2, TTTY10, TTTY12, TTTY13, TTTY14, TTTY15, TTTY16, TTTY18, TTTY19, TTTY22, TTTY5, TXLNG2P, USP9Y, UTY, ZFY).



| Flowcell | Run.Name                           | Subjects   | N  |
|----------|------------------------------------|--|----|
| 1        | 121112_SN7001166_0111_BD1KD4ACXX   | s_10,s_114,s_142,s_202,s_21,s_23,s_280,s_313<br>s_341,s_344,s_360,s_378,s_435,s_449,s_452,s_459<br>s_471,s_501,s_511,s_547,s_61  | 21 |
| 2        | 121112_SN7001166_0111_BD1KD4ACXX_2 | s_549,s_87   | 2  |
| 3        | 121116_SN725_0269_BD1KC5ACXX       | s_104,s_141,s_172,s_176,s_224,s_354,s_375,s_392<br>s_398,s_405,s_410,s_414,s_42,s_432,s_450,s_453<br>s_504,s_506,s_516,s_539,s_65,s_80                                   | 22 |
| 4        | 121120_SN414_0250_AC1F36ACXX       | s_11,s_110,s_12,s_173,s_196,s_238,s_35,s_394<br>s_404,s_422,s_423,s_438,s_444,s_451,s_472,s_479<br>s_532,s_536   | 18 |
| 5        | 121120_SN414_0251_BD1KDGACXX       | s_106,s_160,s_165,s_169,s_217,s_218,s_239,s_24<br>s_246,s_249,s_258,s_301,s_339,s_355,s_36,s_370<br>s_400,s_419,s_443,s_478,s_486,s_497,s_510,s_527                      | 24 |
| 6        | 121128_SN7001166_0114_AD1K24ACXX   | s_133,s_163,s_166,s_187,s_198,s_226,s_27,s_270<br>s_274,s_276,s_286,s_304,s_307,s_314,s_324,s_383<br>s_41,s_437,s_474,s_492,s_509,s_541,s_546,s_77<br>s_9,s_95,s_96,s_98 | 28 |
| 7        | 121129_SN616_0231_AC1GC0ACXX       | s_126,s_145,s_155,s_182,s_194,s_260,s_272,s_275<br>s_279,s_285,s_288,s_321,s_34,s_372,s_441,s_446<br>s_447,s_477,s_483,s_507,s_553,s_556,s_70                            | 23 |
| 8        | 121129_SN616_0232_BD1K1UACXX       | s_167,s_241,s_338,s_365,s_476,s_498,s_62,s_86  | 8  |
| 9        | 121130_SN414_0256_AD1M44ACXX       | s_1,s_119,s_153,s_156,s_157,s_266,s_268,s_31<br>s_343,s_348,s_367,s_4,s_402,s_408,s_465,s_484<br>s_519,s_525,s_551,s_558,s_60,s_76,s_78,s_82                             | 24 |
| 10       | 121205_SN725_0272_AC1H54ACXX       | s_105,s_118,s_137,s_140,s_147,s_168,s_181,s_183<br>s_191,s_2,s_232,s_264,s_294,s_333,s_352,s_387<br>s_388,s_393,s_417,s_448,s_488,s_49,s_496,s_50<br>s_512,s_562         | 26 |
| 11       | 121205_SN725_0273_BD1M9VACXX       | s_152,s_171,s_178,s_210,s_25,s_269,s_287,s_337<br>s_347,s_366,s_377,s_440,s_467,s_482,s_490,s_534<br>s_538,s_542,s_59,s_84,s_89,s_91,s_94                                | 23 |

| Flowcell | Run.Name                           | Subjects   | N  |
|----------|------------------------------------|--|----|
| 12       | 121213_SN725_0275_BC1GGBACXX       | s_100,s_101,s_109,s_113,s_121,s_125,s_13,s_134<br>s_144,s_17,s_185,s_195,s_243,s_326,s_340,s_380<br>s_409,s_413,s_43,s_458,s_466,s_475,s_480,s_5<br>s_505,s_522,s_530,s_79,s_81,s_97                         | 30 |
| 13       | 121214_SN7001166_0118_AD1LW9ACXX   | s_131,s_15,s_158,s_177,s_19,s_193,s_253,s_259<br>s_319,s_32,s_33,s_373,s_382,s_397,s_407,s_421<br>s_425,s_461,s_513,s_550,s_7,s_75   | 22 |
| 14       | 121214_SN7001166_0119_BD1M77ACXX   | s_123,s_129,s_235,s_282,s_316,s_346,s_357,s_386<br>s_390,s_395,s_468,s_52,s_535,s_555,s_63   | 15 |
| 15       | 121218_SN616_0237_AD1M5BACXX       | s_115,s_116,s_151,s_18,s_180,s_205,s_255,s_257<br>s_290,s_293,s_317,s_318,s_359,s_368,s_412,s_415<br>s_427,s_442,s_45,s_469,s_47,s_515,s_526,s_548<br>s_56,s_68,s_85   | 27 |
| 16       | 130104_SN7001166_0126_AC1MU4ACXX   | s_111,s_135,s_149,s_174,s_209,s_215,s_221,s_229<br>s_278,s_30,s_308,s_310,s_315,s_363,s_364,s_385<br>s_396,s_406,s_481,s_489,s_491,s_493,s_495,s_514<br>s_518,s_528,s_537,s_543,s_545,s_57,s_64,s_69<br>s_92 | 33 |
| 17       | 130104_SN7001166_0127_BC1N0KACXX   | s_102,s_112,s_122,s_124,s_132,s_138,s_143,s_199<br>s_22,s_234,s_320,s_327,s_329,s_369,s_381,s_39<br>s_403,s_416,s_44,s_46  | 20 |
| 18       | 130104_SN7001166_0127_BC1N0KACXX_2 | s_533  | 1  |
| 19       | 130111_SN7001166_0128_AD1NCWACXX   | s_161,s_291,s_349,s_433,s_434,s_456,s_503,s_53   | 8  |
| 20       | 130125_SN316_0280_BC1KPWACXX       | s_148,s_162,s_170,s_201,s_216,s_263,s_38,s_384<br>s_40,s_430,s_485,s_6,s_72,s_74,s_93  | 15 |
| 21       | MERGE_3_28_2013-1                  | s_108,s_117,s_127,s_128,s_136,s_16,s_184,s_186<br>s_188,s_189,s_203,s_206,s_212,s_213,s_227,s_233<br>s_247,s_254,s_261,s_265,s_267   | 21 |
| 22       | MERGE_3_28_2013-2                  | s_28,s_281,s_306,s_311,s_312,s_323,s_325,s_328<br>s_330,s_336,s_345,s_350,s_351,s_361,s_362,s_374<br>s_376,s_391,s_401,s_424,s_426,s_428,s_439,s_460   | 33 |

| Flowcell | Run.Name          | Subjects   | N  |
|----------|-------------------|--|----|
|          |                   | s_464,s_499,s_517,s_554,s_565,s_71,s_8,s_83                    |    |
| 23       | MERGE_3_28_2013-3 | s_99<br>s_120,s_150,s_164,s_190,s_192,s_197,s_200,s_208        | 10 |
| 24       | MERGE_3_28_2013-4 | s_214,s_228<br>s_231,s_237,s_242,s_245,s_248,s_250,s_252,s_256 | 38 |
|          |                   | s_26,s_271,s_273,s_277,s_283,s_289,s_295,s_297                 |    |
|          |                   | s_298,s_322,s_332,s_342,s_358,s_389,s_411,s_418                |    |
|          |                   | s_420,s_431,s_445,s_455,s_457,s_463,s_470,s_473                |    |
| 25       | MERGE_3_28_2013-5 | s_523,s_524,s_55,s_557,s_58,s_88<br>s_3                        | 1  |

Table 1: Samples in each Flowcell

|       |       |       |       |       |       |       |       |       |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| chr01 | chr02 | chr03 | chr04 | chr05 | chr06 | chr07 | chr08 | chr09 |
| 2279  | 1447  | 1226  | 854   | 993   | 1184  | 1086  | 780   | 925   |
| chr10 | chr11 | chr12 | chr13 | chr14 | chr15 | chr16 | chr17 | chr18 |
| 881   | 1405  | 1152  | 385   | 759   | 770   | 916   | 1326  | 319   |
| chr19 | chr20 | chr21 | chr22 | chrX  | chrY  |       |       |       |
| 1535  | 644   | 286   | 528   | 881   | 37    |       |       |       |

Table 2: Chromosome distribution of Genes

Summaries of the  $\log_2$  (counts) and %counts > 0 by subject, by flowcell, by group, by %GCcontent, and by gene size (counting only the sum of the exons) are included in the following sections. These factors can influence then number of counts observed

## 2 Assessing $\log_2$ (Gene Counts)

### 2.1 By Subject and Lane

Figure 1 shows the distribution of Gene Counts separately for each subject via boxplots. The plots are color-coded to indicate tumor type. Because the values are presented on a  $\log_2$  scale, the Gene Counts is actually the Gene Counts + 1 so that those genes with a count of zero are also included in the figure. Figure 2 and 3 to 27 shows the same subjects, but this time the boxes are color-coded by RunID. The hope is that the boxplots are relatively consistent across all the subjects. Figure 28 to 52 shows the distribution of gene counts via line graph. Figure 53 shows, for each subject, the sum of all the Gene Counts. Lines are used to separate subjects by RunID. The red line in the middle of the dots is the median of each RunID.

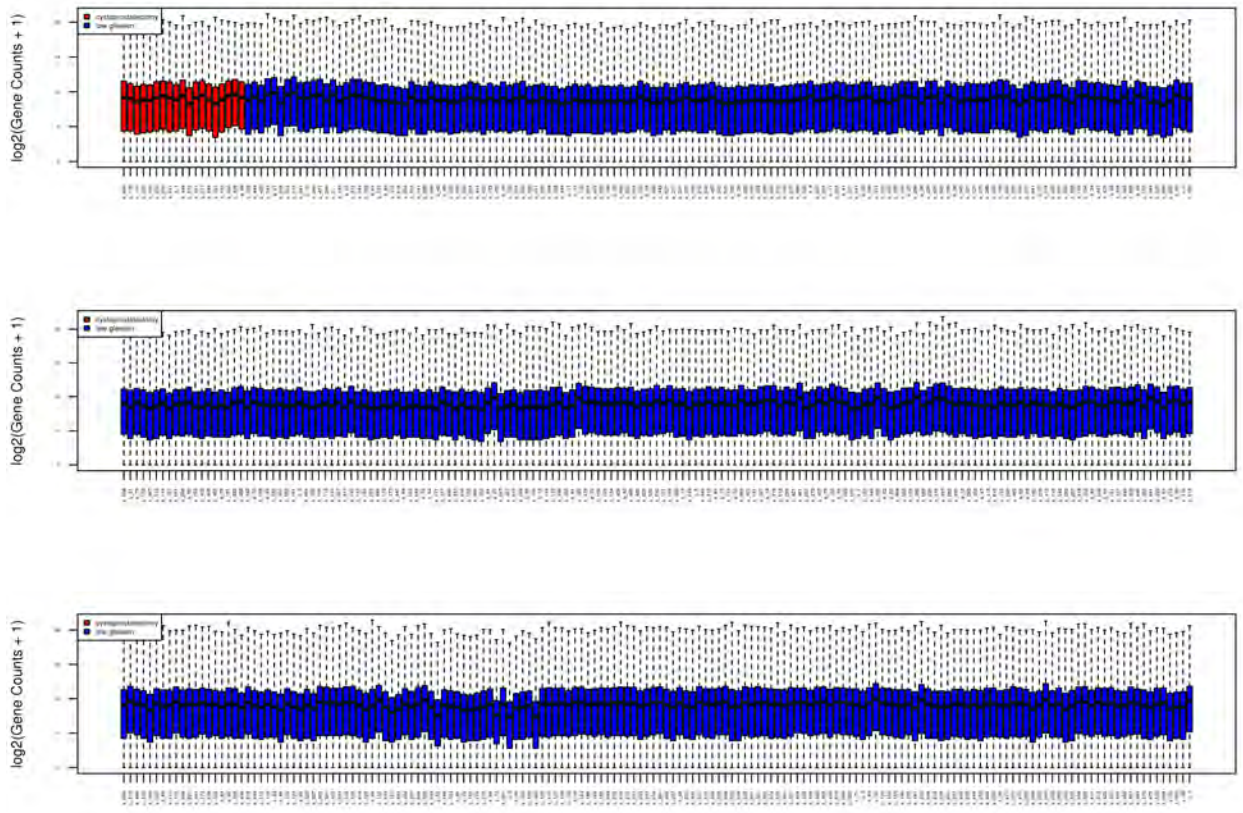


Figure 1: Distribution of  $\log_2(\text{Gene Counts})$  for each Subject color -coded by Group

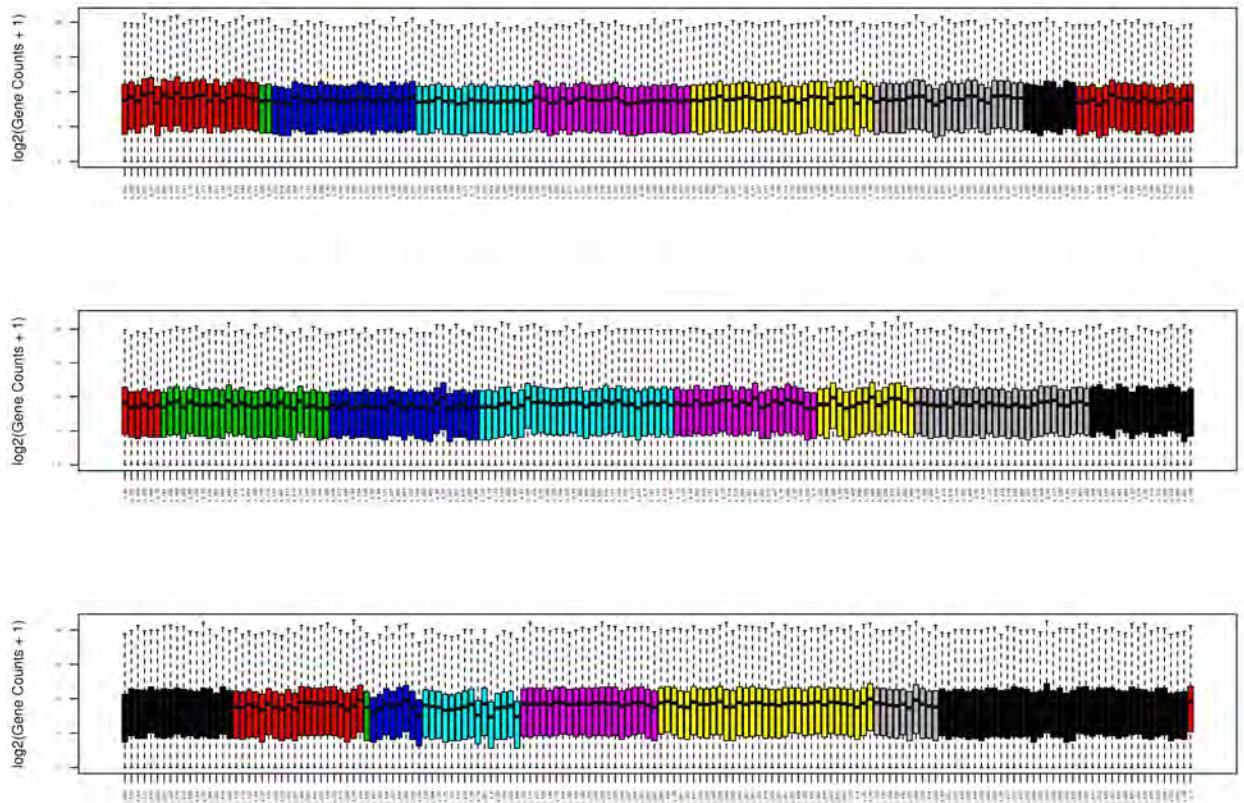


Figure 2: Distribution of  $\log_2(\text{Gene Counts})$  for each Subject color -coded by RunID

121112\_SN7001166\_0111\_BD1KD4ACXX  
Sorted by Lane and Index

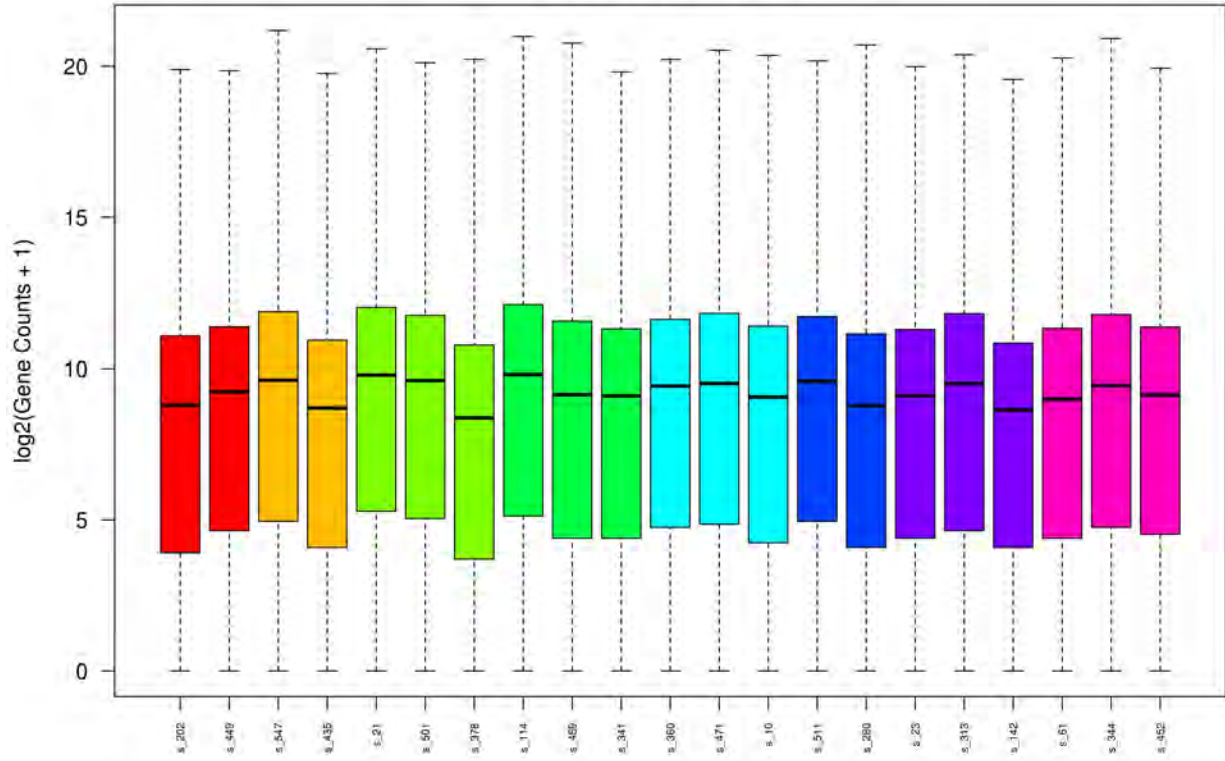


Figure 3: Distribution of  $\log_2(\text{Total Gene Counts})$  for each Subject by RunID

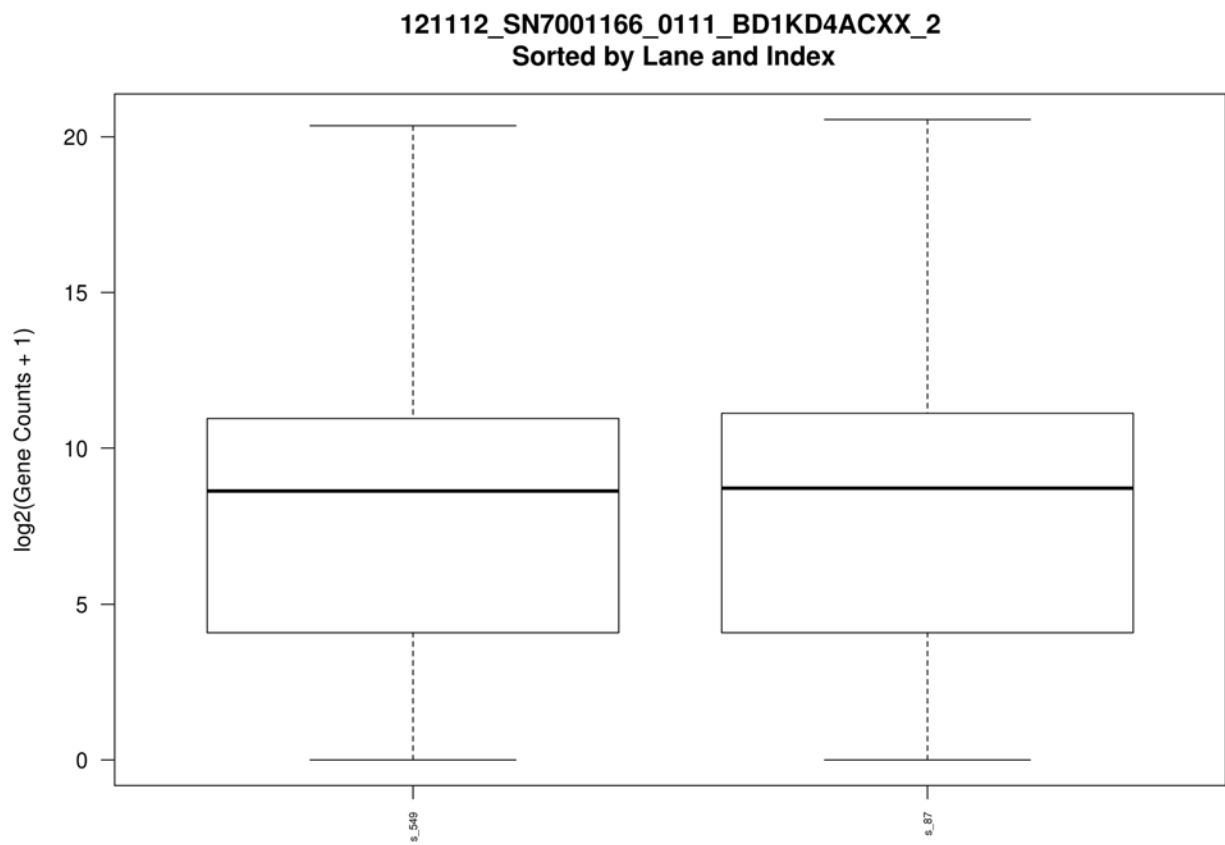


Figure 4: Distribution of  $\log_2(\text{Total Gene Counts})$  for each Subject by RunID



121112\_SN7001166\_0111\_BD1KD4ACXX

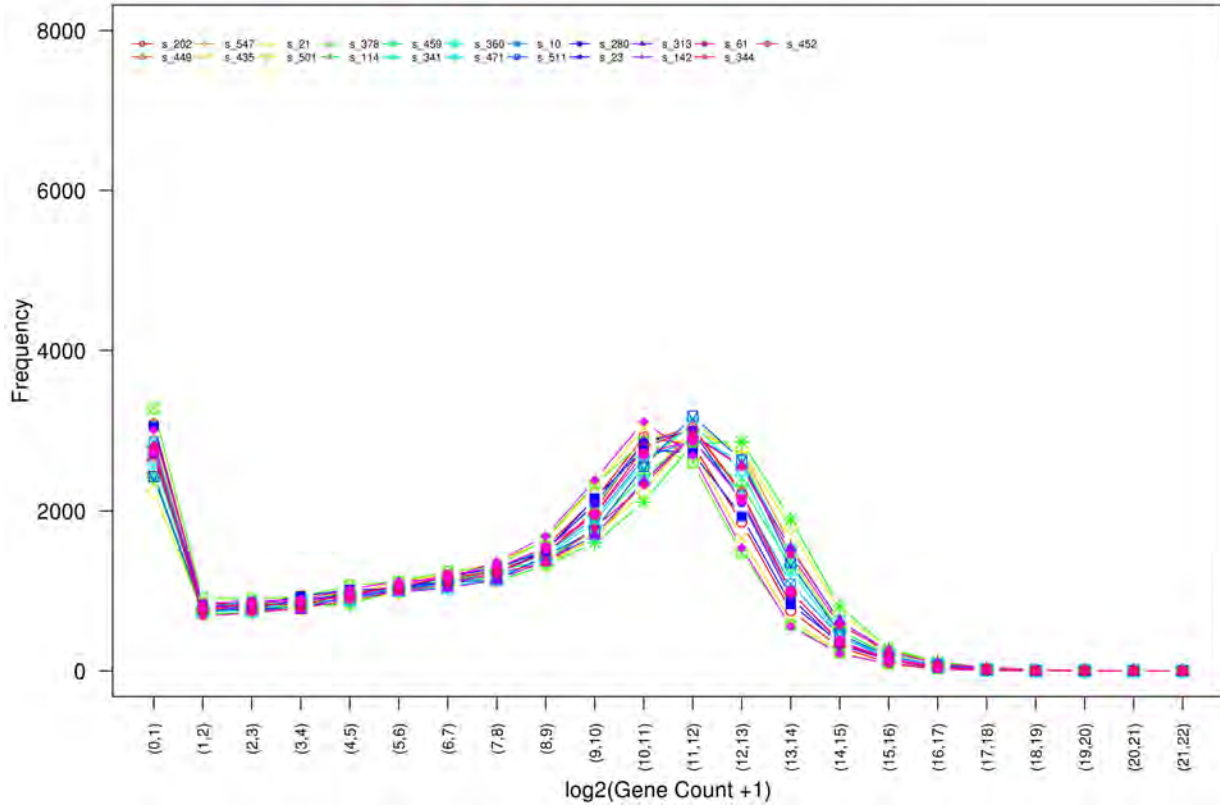


Figure 28: Distribution of  $\log_2(\text{Total Gene Counts})$  for each Subject by RunID

### MERGE\_3\_28\_2013-5

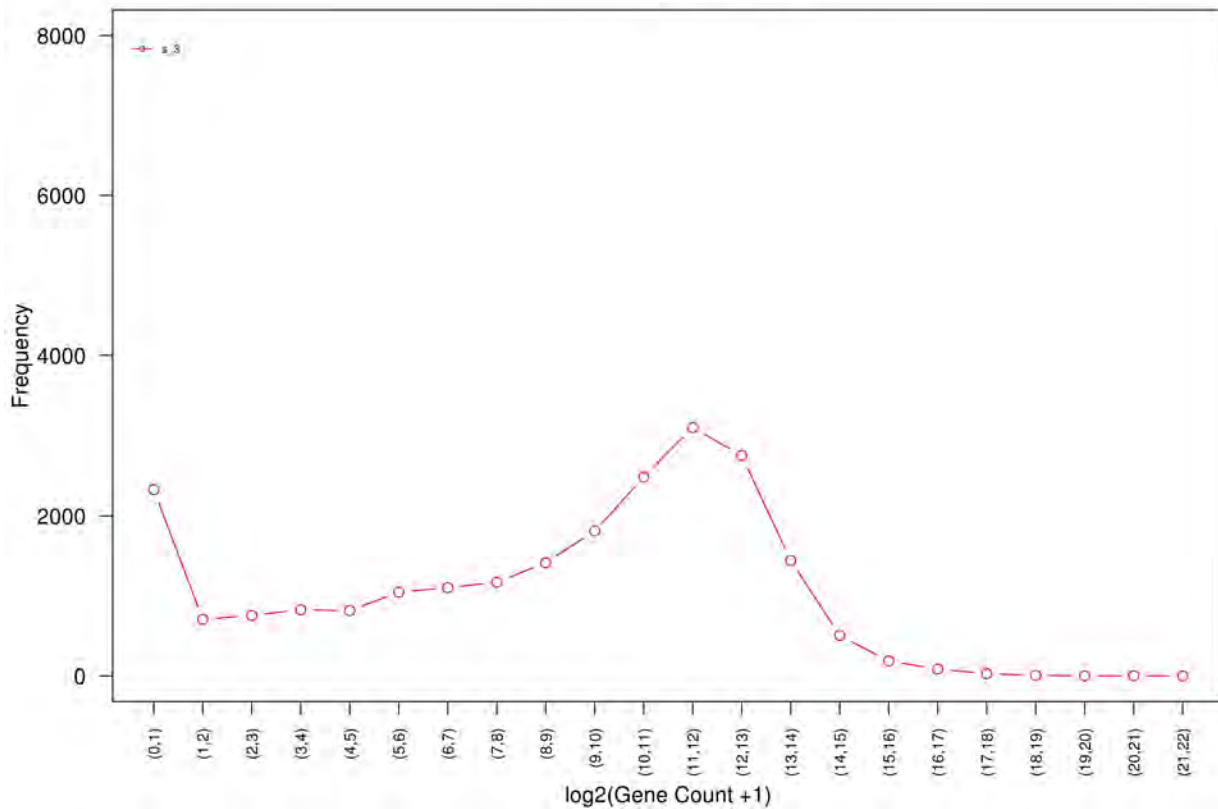


Figure 52: Distribution of  $\log_2(\text{Total Gene Counts})$  for each Subject by RunID

## 2.2 By GC Content

Because GC Content is known to impact expression levels and can be impacted by PCR, it is important to evaluate whether there are individual subjects that show overall Gene Count levels that vary by %GC. Figure 54 shows a smoothed color density representation of the scatterplot with %GC on the x-axis and  $\log_2(\text{GeneCount})$  on the y-axis. A loess smoother line is shown indicating the general pattern of all the Gene Count values for this particular subject. Similarly, Figure 55 to 79 shows the loess smoother line for each subject. Based on this plot, it appears that the overall pattern is similar for all samples. Figure 80 shows the distribution of  $\log_2(\text{Gene Count}+1)$  by deciles of %GC by flowcell. Again, there is clearly a lower Gene Count when the %GC is higher, but the patterns are similar for most samples.

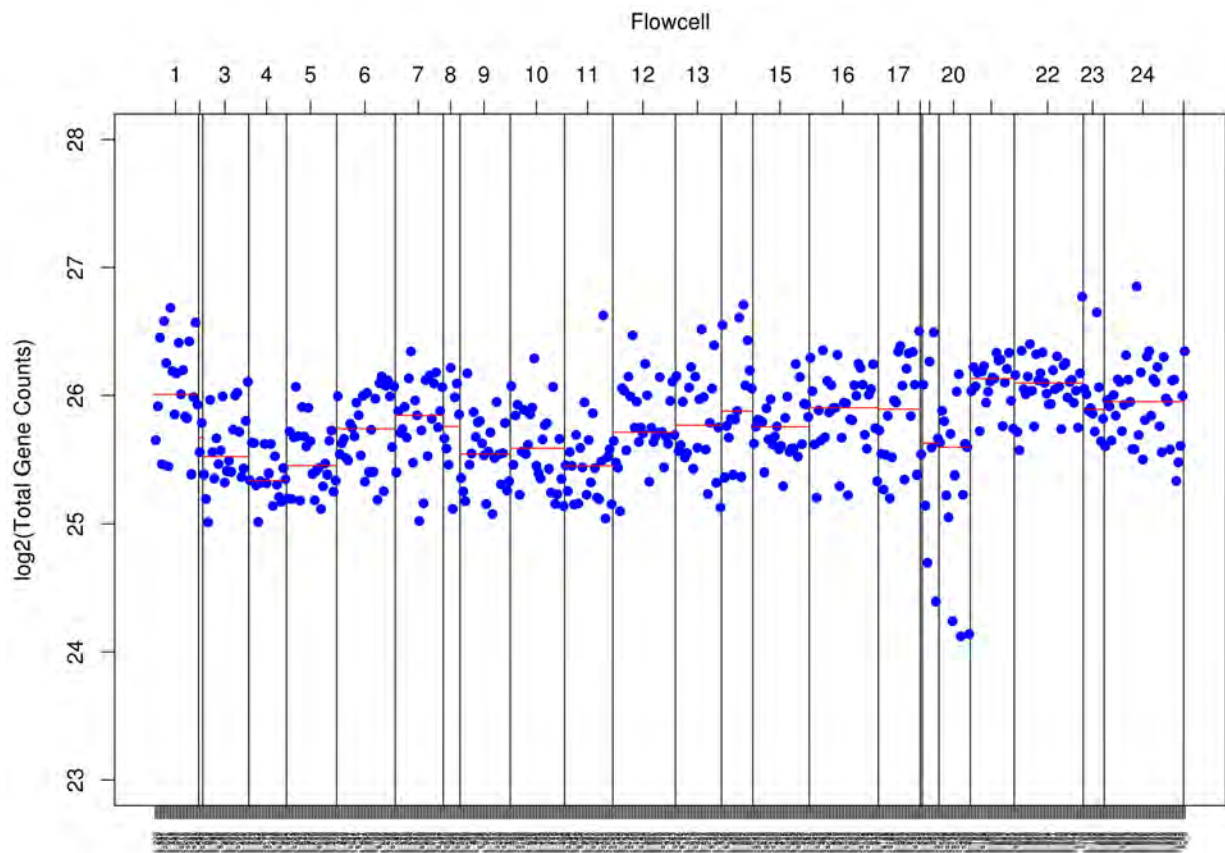
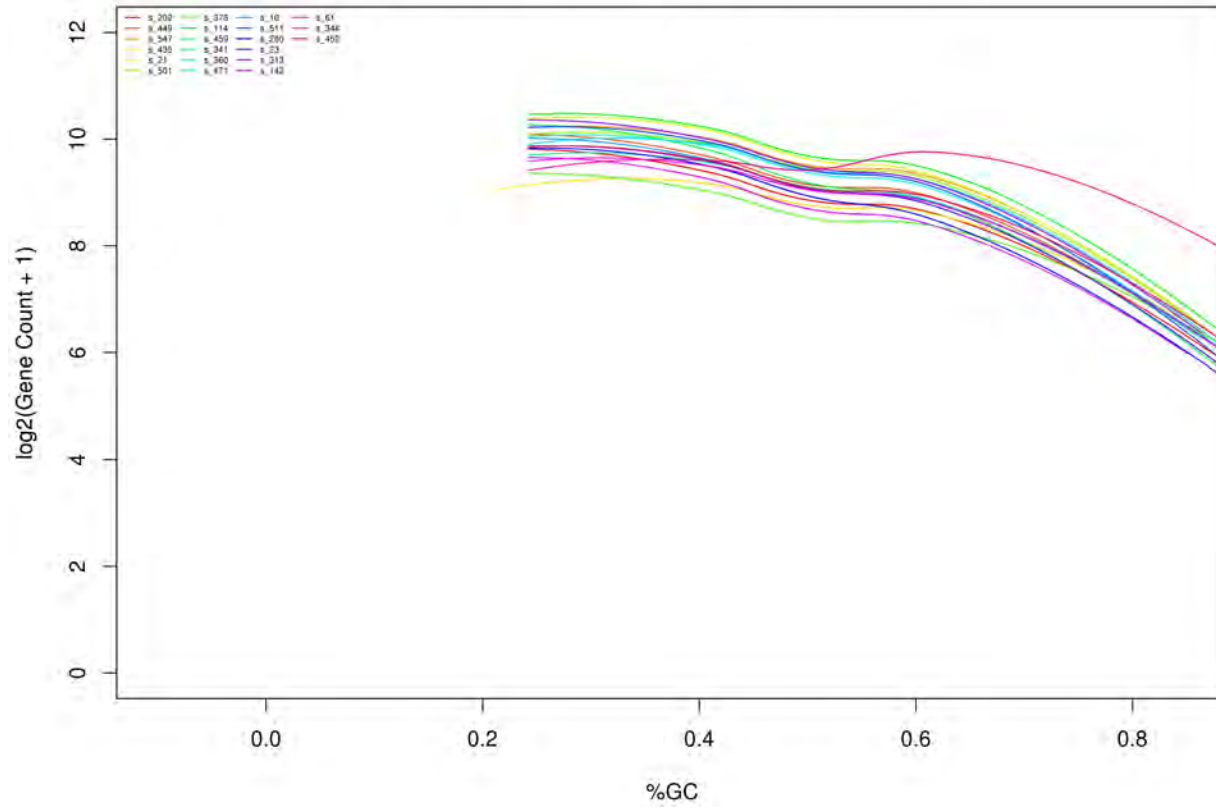


Figure 53: Distribution of Total Gene Counts) for each Subject by RunID

121112\_SN7001166\_0111\_BD1KD4ACXX



09

Figure 55: Distribution of Percent GC versus  $\log_2(\text{Gene Count} + 1)$  with a loess smoother for each subject by flowcell

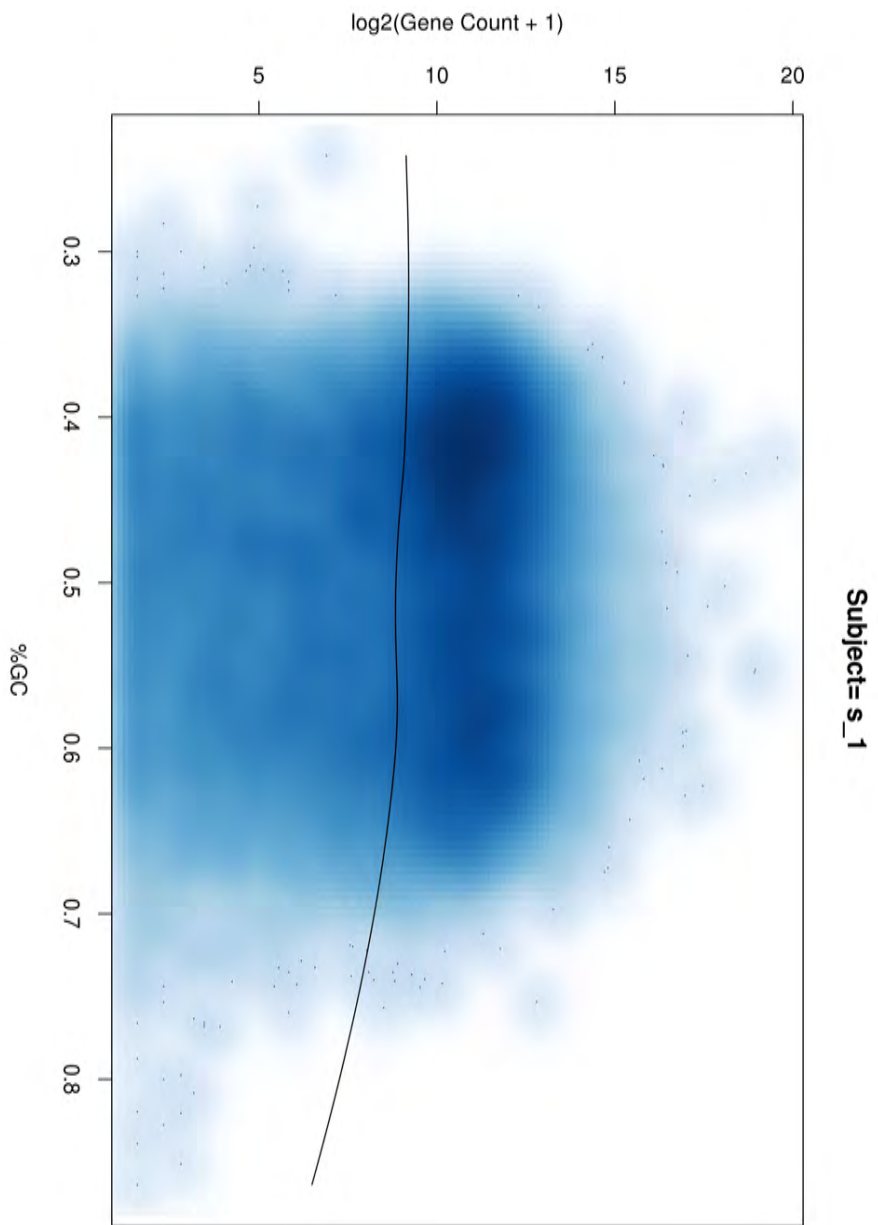


Figure 54: Distribution of %GC versus  $\log_2(\text{Gene Count} + 1)$  for subject S1 with a loess smooth

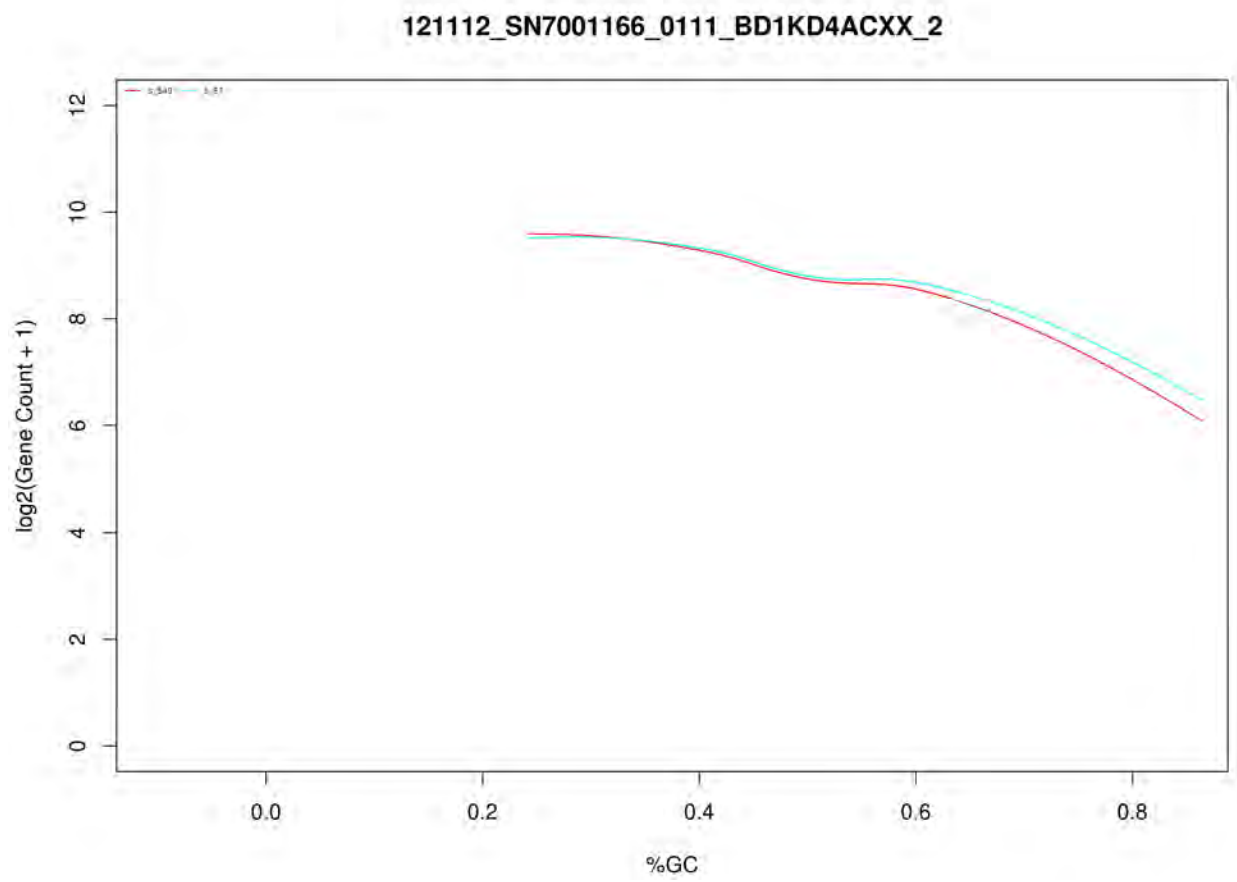
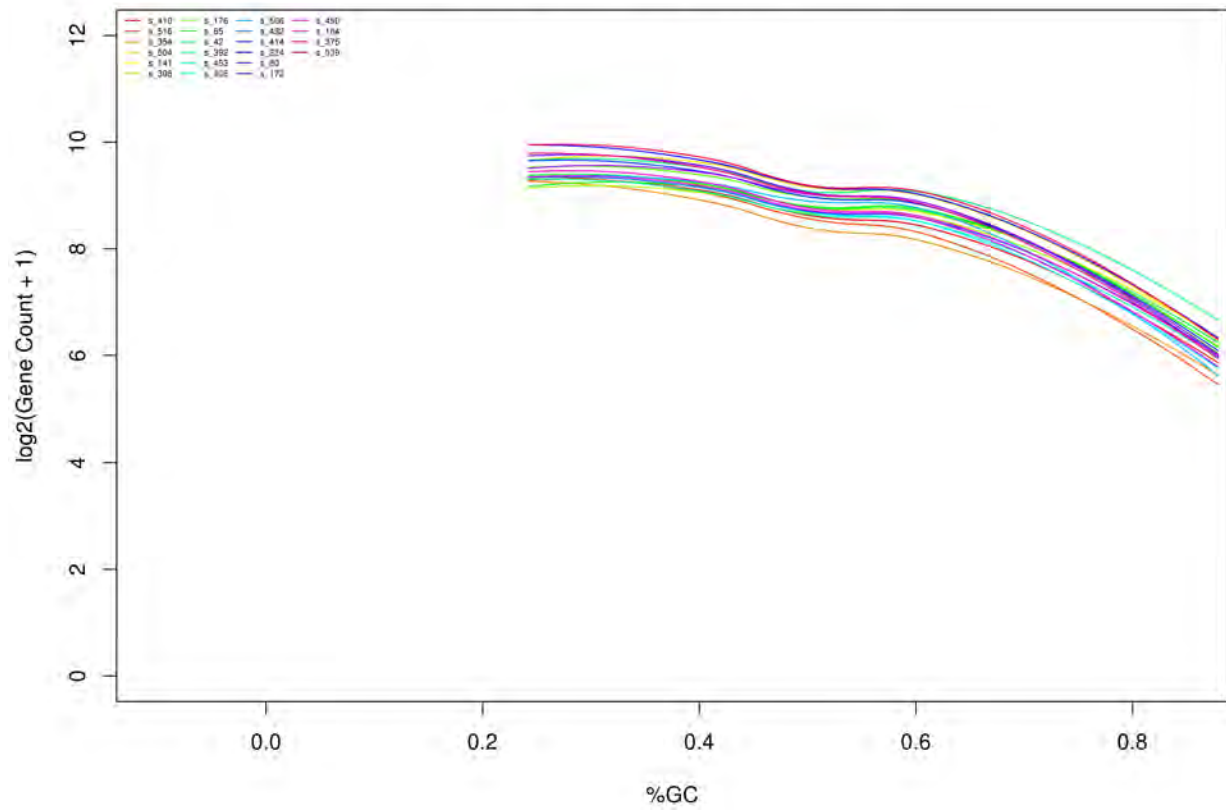


Figure 56: Distribution of Percent GC versus log2(Gene Count + 1) with a loess smoother for each subject by flowcell

121116\_SN725\_0269\_BD1KC5ACXX



89

Figure 57: Distribution of Percent GC versus  $\log_2(\text{Gene Count} + 1)$  with a loess smoother for each subject by flowcell

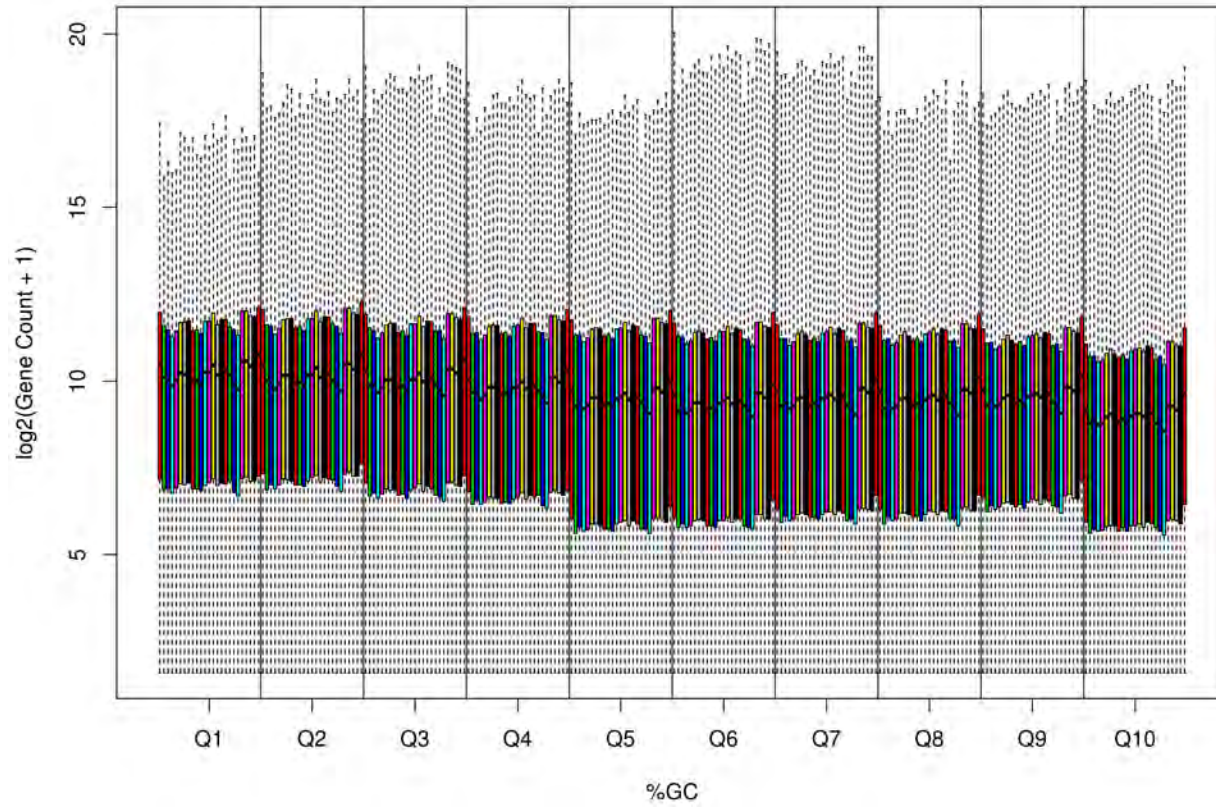


Figure 80: Distribution of  $\log_2(\text{Gene Count} + 1)$  by deciles of %GC and flowcell



## 2.3 By Gene Size

Gene Size is known to impact expression levels and hence it is important to assess overall Gene Count levels by Gene size. Figure 81 shows boxplots of Gene Counts by quintiles of Gene size, Figure 82 shows boxplots of Gene Counts by quintiles of Gene size and flowcells, and Figure 83 shows the distribution of  $\log_2(\text{Gene Count}+1)$  with smoothed lines for each subject. Patterns differ by size but there is no extreme outliers.

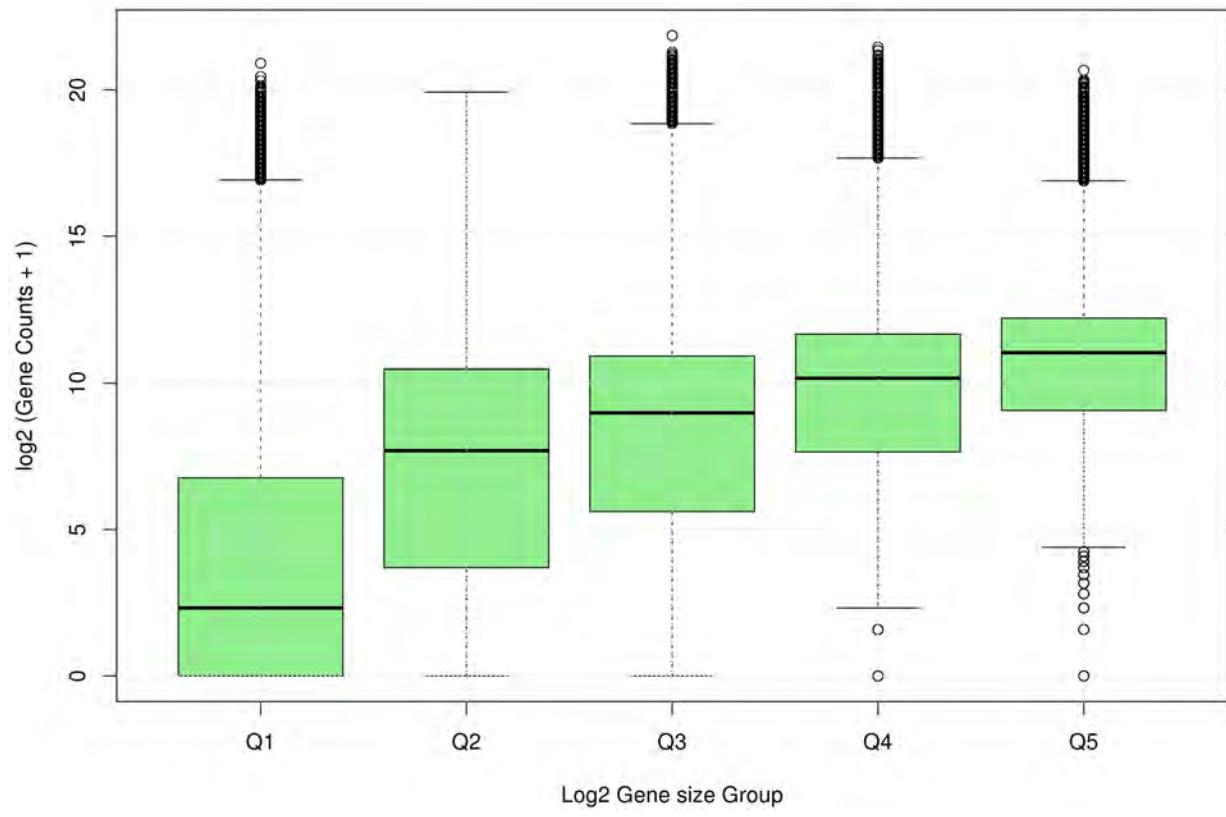


Figure 81: Distribution of  $\log_2(\text{Gene Count}+1)$  by Gene Size (5 groups)

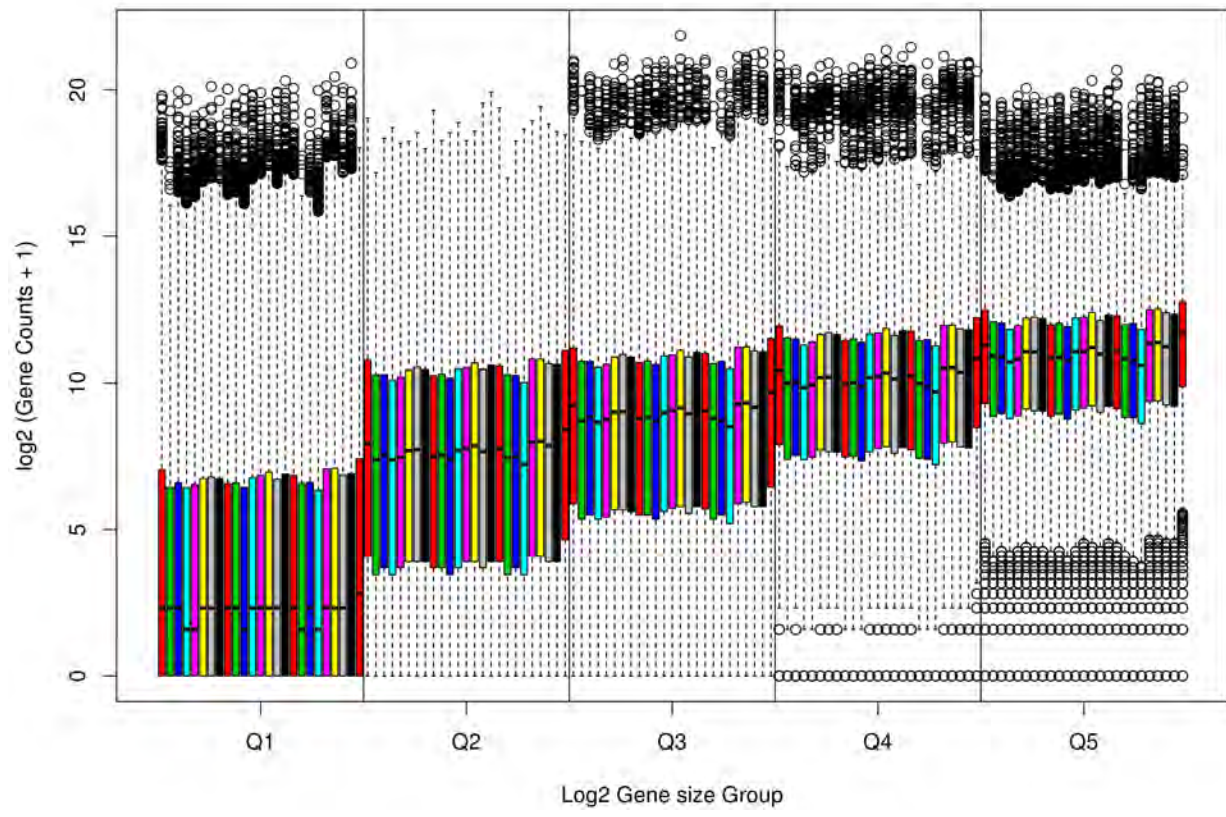


Figure 82: Distribution of  $\log_2(\text{Gene Count}+1)$  by flowcell and Gene Size (5 groups) color-coded by flowcell

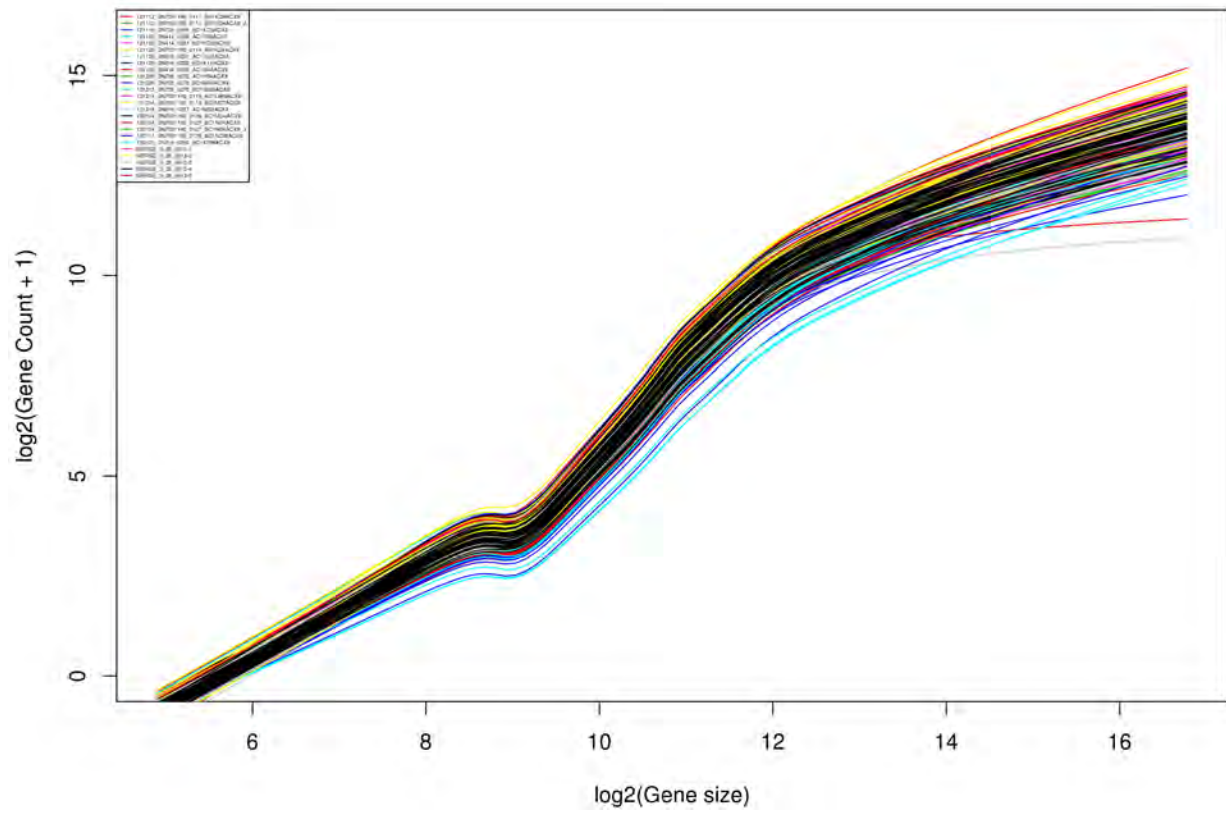


Figure 83: Distribution of  $\log_2(\text{Gene Count} + 1)$  by Gene Size. Lowess smoothed lines are shown for each subject

## 2.4 Individual Gene Counts versus the average Gene Count

Finally, it is useful to look at how individual Gene Counts differ from the average (Figure 84).

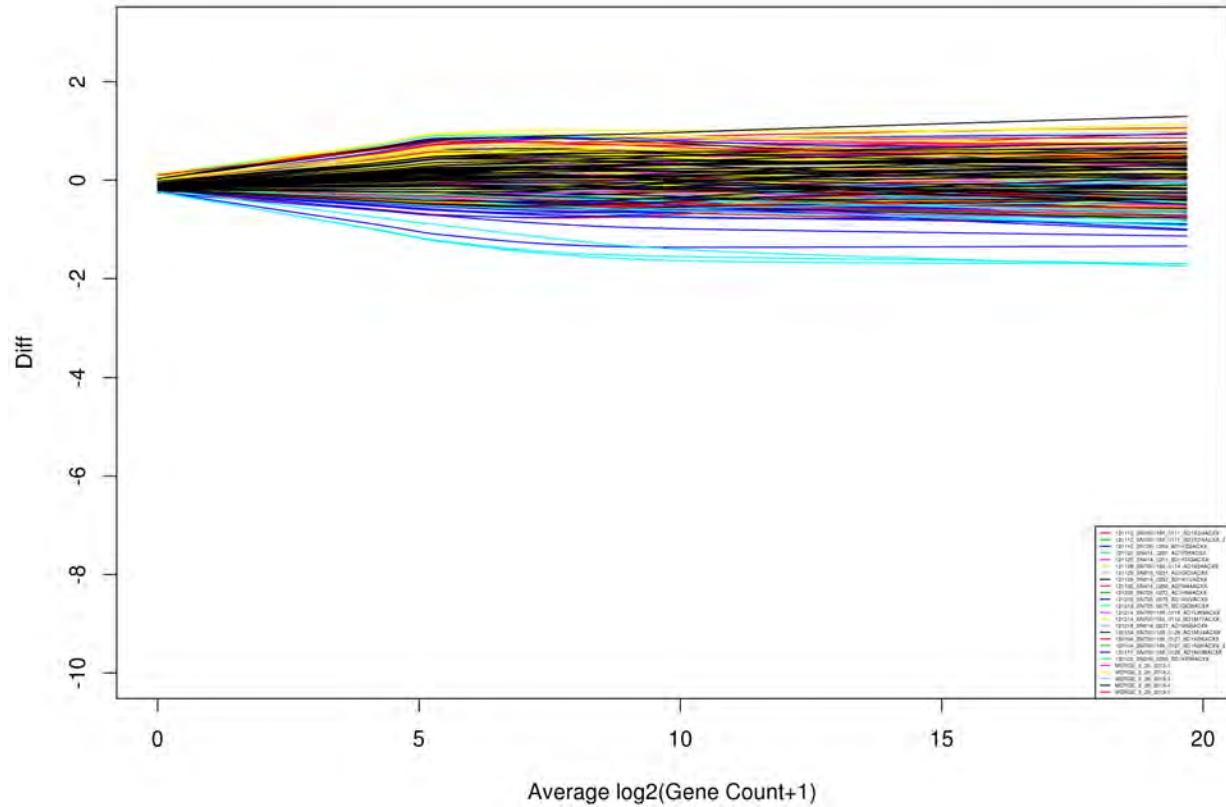


Figure 84: MA Plot showing the difference of  $\log_2(\text{Gene Count}+1) - \text{mean}(\log_2(\text{Gene Count}+1))$  versus  $\text{mean}(\log_2(\text{Gene Count}+1))$ . Lowess smoothed lines are shown for each subject and color coded by flowcell.

### 3 Normalizing Data

In much of the literature RPKM (reads per kilobase per million) has been used to normalize the mRNA-seq count data. The objective is to take into account the fact that some runs, because of the application step, are going to produce higher counts. Additionally, this approach takes into account the fact that some genes are larger than others and therefore will have larger counts. Count data typically is analyzed assuming either a Poisson or Negative Binomial distribution. Unfortunately, RPKM changes the underlying structure of the data and renders the distributional assumptions invalid when directly adjusting the ratio. The preferred approach is to model the original gene counts and adjust for additional factors by means of an offset in a Negative Binomial model.

The RPKM for a given sample (subject) is as follows:

$C$  = Number of reads mapped/assigned to a gene for that sample

$L$  = exon length in base-pairs for a gene

$N$  = Total mapped reads for the sample

These are combined in the equation for  $RPKM = (10^9 * C)/(N * L)$

#### 3.1 CQN normalization

Recent publications have shown that %GC content can have a large impact on Gene Counts and may need to be accounted for in the analysis. The CQN approach uses the %GC Content in addition to total mapped reads and Gene Length to create an appropriate offset variable for each subject-gene combination.

The CQN package in R was used to estimate an offset for each subject and gene combination, taking into account exon length (gene size) for each gene, %GC content, and total mapped reads for each subject. This offset was then used in the edgeR package in R to run the analysis testing for group differences. Figures 85, 86, 87, and 88 show QC plots after normalization (per subject, by GC Content, by Gene size, and Mean vs Average).

#### 3.2 Sample Filters

A total of 493 passed sample QC filters. 0 sample did not pass QC filters and will be removed from further analysis. Table 3 shows the excluded sample and the reason for exclusion.

| SampleID | Use.Status | Eexclude.Reason |
|----------|------------|-----------------|
|----------|------------|-----------------|

Table 3: List of Excluded Samples

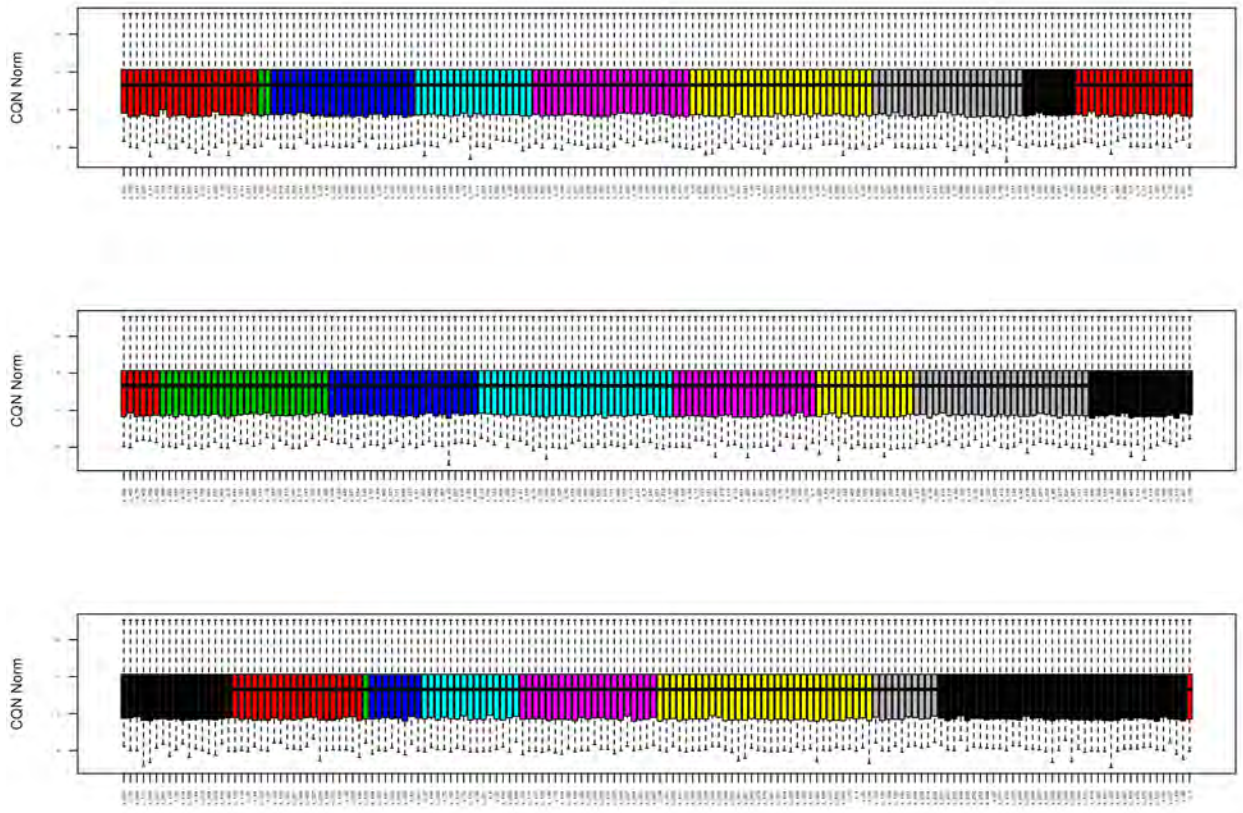


Figure 85: Distribution of normalized Gene Counts/million (on log2 scale) for each subject.



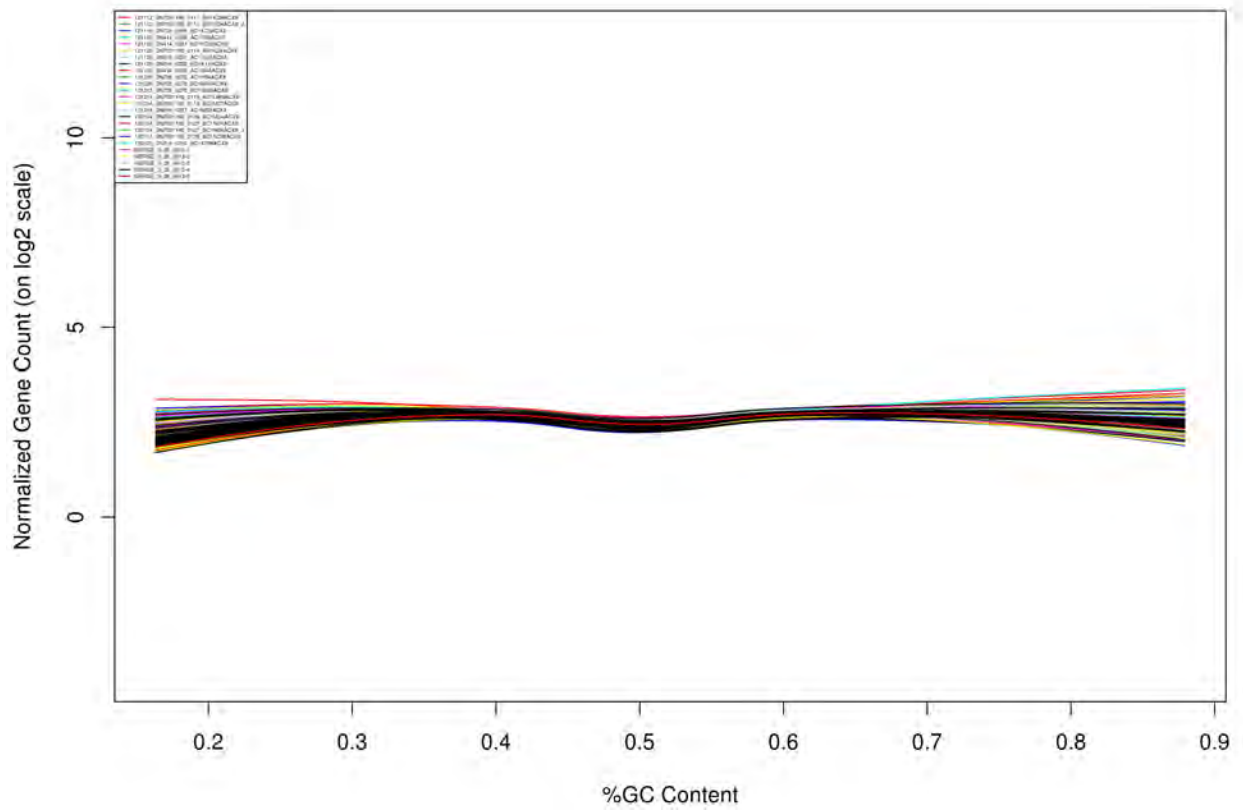


Figure 86: Distribution of normalized Gene Count (on log2 scale) by GC Content. Lowess smoothed lines are shown for each subject

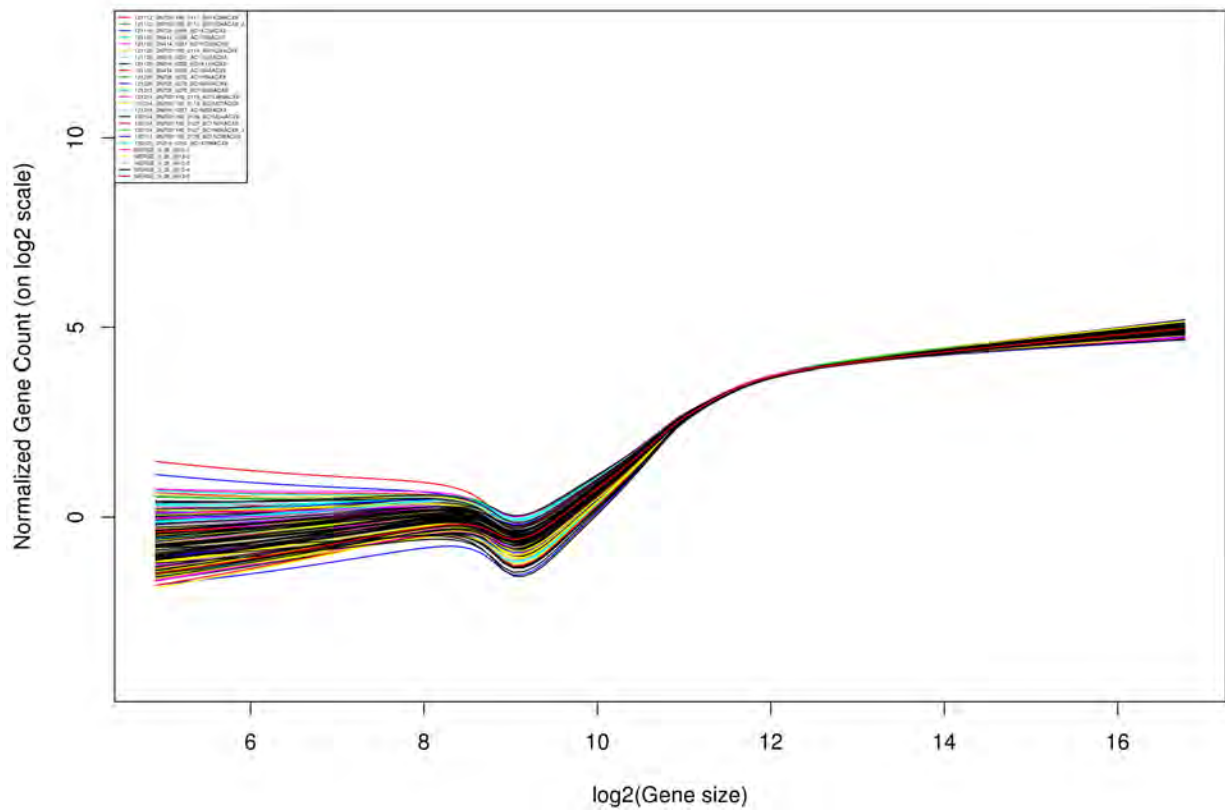


Figure 87: Distribution of normalized Gene Count (on log2 scale) by Gene Size. Lowess smoothed lines are shown for each subject

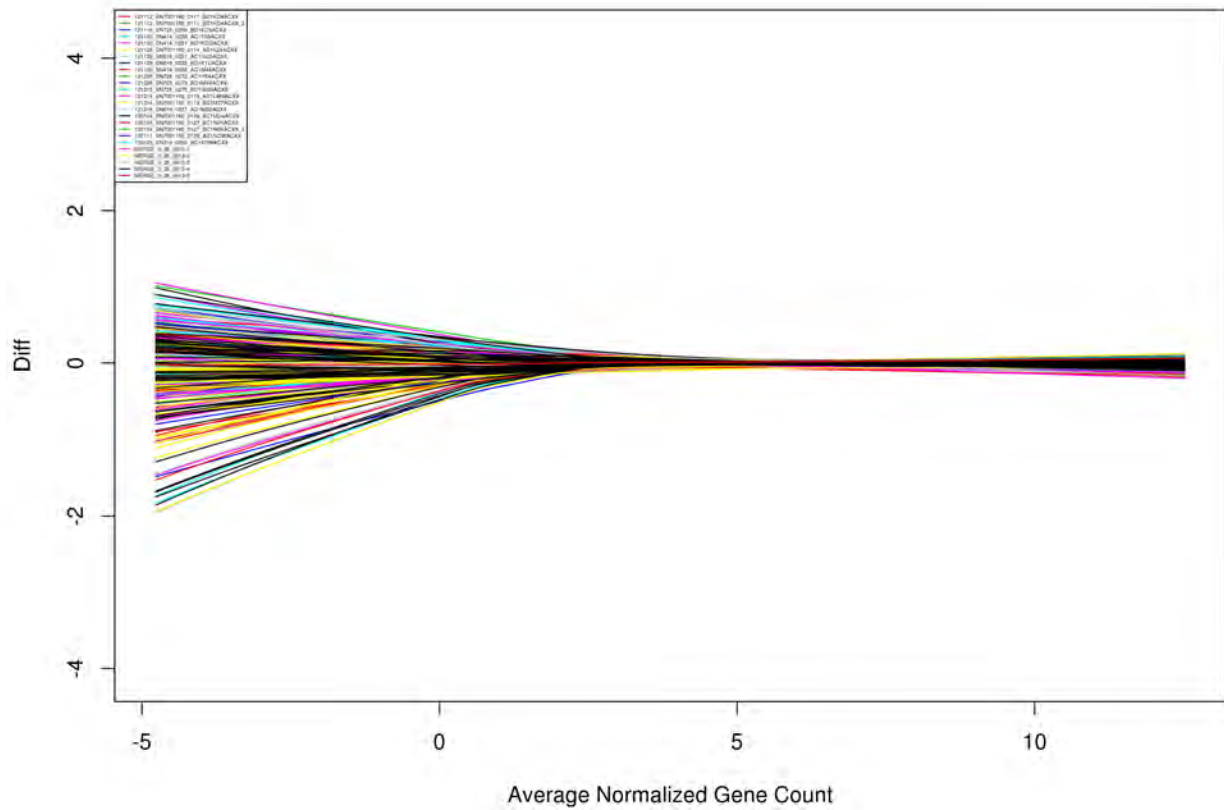


Figure 88: MA Plot showing the difference of the normalized Gene Count - mean(normalized Gene Count) versus mean(normalized Gene Count). Lowess smoothed lines are shown for each subject.

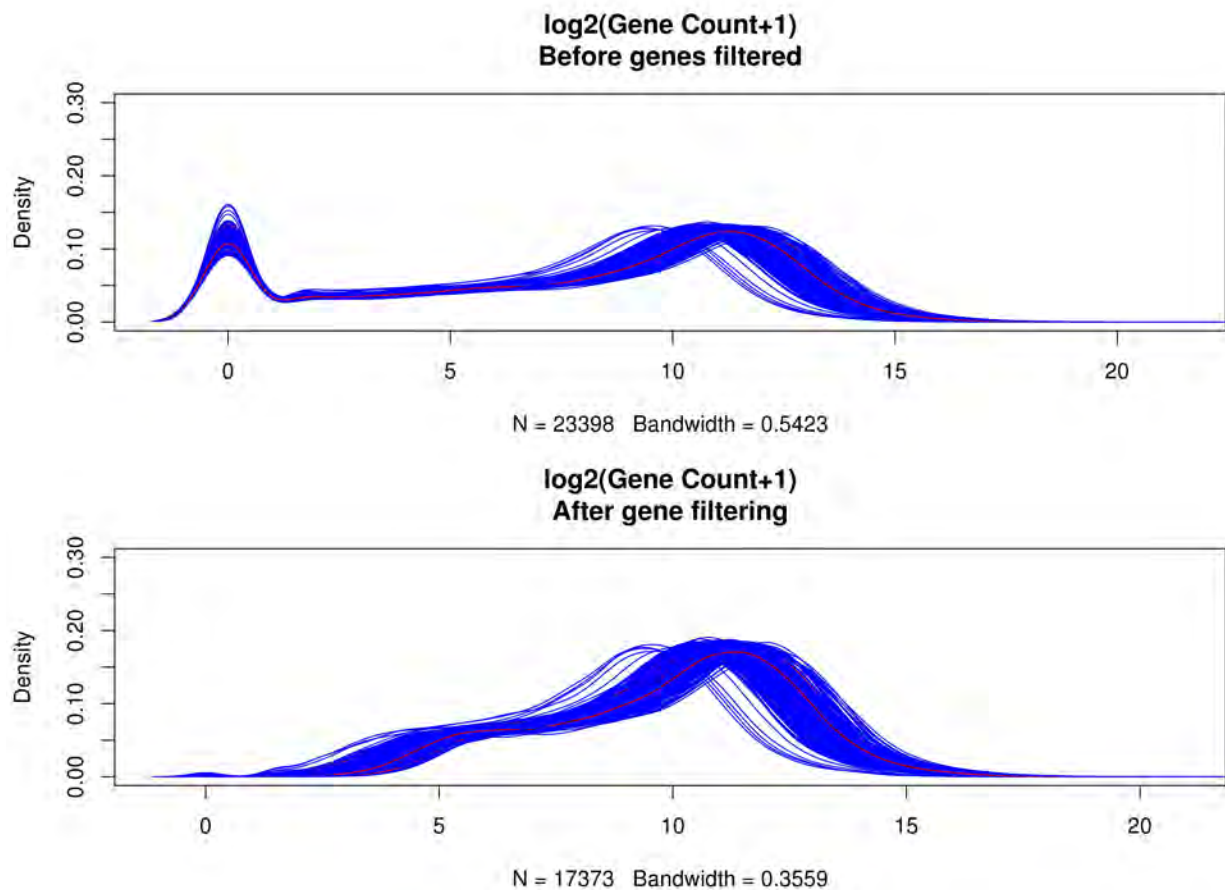


Figure 89: Distribution of  $\log_2(\text{Gene Counts} + 1)$  for each Subject by filtering

### 3.3 Gene Filters

Of the remaining genes with at least 1 count, 5,225 (23.1%) had a median count of less than 16 in the analysis groups and were removed from further analysis (genes deemed undetectable/noise). This filter was applied on the raw count data. The normalized count data will not to done again, we will simply remove the filtered out genes prior to analysis. Figure 89 shows the distribution of the  $\text{Log}_2(\text{Gene Count} + 1)$  for each subject before and after filtering for low gene count.