

# Evidence from central Mexico supporting the Younger Dryas extraterrestrial impact hypothesis

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Edited by\* Steven M. Stanley, University of Hawaii, Honolulu, HI, and approved January 31, 2012 (received for review July 13, 2011)

**We report the discovery in Lake Cuitzeo in central Mexico of a black, carbon-rich, lacustrine layer, containing nanodiamonds, microspherules, and other unusual materials that date to the early Younger Dryas and are interpreted to result from an extraterrestrial impact. These proxies were found in a 27-m-long core as part of an interdisciplinary effort to extract a paleoclimate record back through the previous interglacial. Our attention focused early on an anomalous, 10-cm-thick, carbon-rich layer at a depth of 2.8 m that dates to 12.9 ka and coincides with a suite of anomalous coeval environmental and biotic changes independently recognized in other regional lake sequences. Collectively, these changes have produced the most distinctive boundary layer in the late Quaternary record. This layer contains a diverse, abundant assemblage of impact-related markers, including nanodiamonds, carbon spherules, and magnetic spherules with rapid melting/quenching textures, all reaching synchronous peaks immediately beneath a layer containing the largest peak of charcoal in the core. Analyses by multiple methods demonstrate the presence of three allotropes of nanodiamond: n-diamond, i-carbon, and hexagonal nanodiamond (lonsdaleite), in order of estimated relative abundance. This nanodiamond-rich layer is consistent with the Younger Dryas boundary layer found at numerous sites across North America, Greenland, and Western Europe. We have examined multiple hypotheses to account for these observations and find the evidence cannot be explained by any known terrestrial mechanism. It is, however, consistent with the Younger Dryas boundary impact hypothesis postulating a major extraterrestrial impact involving multiple airburst(s) and and/or ground impact(s) at 12.9 ka.**

black mat | cosmic impact

**W**e present data from Lake Cuitzeo in central Mexico (19.94 °N, 101.14 °W) in support of evidence for the Younger Dryas (YD) impact hypothesis, as first presented at the 2007 Meeting of the American Geophysical Union in Acapulco, Mexico. There, a consortium of scientists reported geochemical and mineralogical evidence from multiple terrestrial sites ascribed to extraterrestrial (ET) impacts and/or airbursts (1). Their first evidence was the discovery at well-dated Clovis-era archeological sites in North America of abundant magnetic spherules (MSP) and carbon spherules (CSP) in a thin layer (0.5 to 5 cm) called the Younger Dryas boundary layer (YDB), dating to 12.9 ± 0.1 ka BP (calibrated, or calendar years) or 10.9 <sup>14</sup>C ka BP (radiocarbon years)<sup>†</sup> (1–3). The YDB is commonly located directly beneath or at the base of an organic-rich layer, or “black mat,” broadly distributed across North America (1). Later, abundant nanodiamonds (NDs) were discovered by Kennett et al. (2, 3) in the YDB layer at numerous locations. NDs also were

detected at the margin of the Greenland Ice Sheet in a layer that dates to the approximate YD onset (4). These discoveries led to the hypothesis that one or more fragments of a comet or asteroid impacted the Laurentide Ice Sheet and/or created atmospheric airbursts (1) that initiated the abrupt YD cooling at 12.9 ka, caused widespread biomass burning, and contributed to the extinction of Late Pleistocene megafauna and to major declines in human populations (5).

Some independent workers have been unable to reproduce earlier YDB results for MSP, CSP, and NDs (6–8), as summarized in a “News Focus” piece in *Science* (9), which claims that the YDB evidence is “not reproducible” by independent researchers. Refuting this view, multiple groups have confirmed the presence of abundant YDB markers, although sometimes proposing alternate hypotheses for their origin. For example, Mahaney et al. (10–12) independently identified glassy spherules, CSPs, high-temperature melt-rocks, shocked quartz, and a YDB black mat analogue in the Venezuelan Andes. Those authors conclude the cause was “either an asteroid or comet event that reached far into South America” at 12.9 ka. At Murray Springs, Arizona, Haynes et al. (13) observed highly elevated concentrations of YDB MSP and iridium. Abundances of MSP were 340 × higher than reported by Firestone et al. (1) and iridium was 34 × higher, an extraordinary enrichment of 3,000 × crustal abundance. Those authors stated that their findings are “consistent with their (Firestone et al.’s) data.” In YDB sediments from North America and Europe, Andronikov et al. (2011) reported anomalous enrichments in rare earth elements (REE) and “overall higher concentrations of both Os and Ir [osmium and iridium]” that could “support the hypothesis that an impact occurred shortly before the beginning of the YD cooling 12.9 ka.”<sup>‡</sup> Tian et al. (14)

I.I.-A., J.L.B., G.D.-V., H.-C.L., T.E.B., A.W., S.X., and W.S.W. designed research; I.I.-A., J.L.B., G.D.-V., H.-C.L., T.E.B., J.H.W., J.C.W., A.W., S.X., E.K.R., C.R.K., and W.S.W. performed research; I.I.-A., J.L.B., G.D.-V., H.-C.L., P.S.D., T.E.B., J.H.W., J.C.W., R.B.F., A.W., J.P.K., C.M., S.X., E.K.R., and W.S.W. analyzed data; and I.I.-A., J.L.B., G.D.-V., P.S.D., R.B.F., A.W., J.P.K., and C.M. wrote the paper.

The authors declare no conflict of interest.

\*This Direct Submission article had a prearranged editor.

Freely available online through the PNAS open access option.

<sup>†</sup>Dates in calendar years before present, unless noted; ka = kiloyear, or 1,000 calendar years

<sup>‡</sup>Andronikov AV, Lauretta DS, Andronikova IE, Maxwell RJ, On the possibility of a late Pleistocene, extraterrestrial impact: LA-ICP-MS analysis of the black mat and Usselo Horizon samples, 74th Annual Meteoritical Society Meeting, August 8–12, 2011, London, UK, Supplement, #5008.

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1110614109/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1110614109/-DCSupplemental).

# Report Documentation Page

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1. REPORT DATE <b>05 MAR 2012</b>		2. REPORT TYPE		3. DATES COVERED <b>00-00-2012 to 00-00-2012</b>	
4. TITLE AND SUBTITLE <b>Evidence From Central Mexico Supporting The Younger Dryas Extraterrestrial Impact Hypothesis</b>				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>Northern Arizona University, School of Earth Science and Environmental Sustainability, Geology Program, Flagstaff, AZ, 86011</b>				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release; distribution unlimited</b>					
13. SUPPLEMENTARY NOTES <b>Proceedings of the National Academy of Sciences, Mar 5, 2012, Government or Federal Purpose Rights License</b>					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT <b>Same as Report (SAR)</b>	18. NUMBER OF PAGES <b>11</b>	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE <b>unclassified</b>			

observed abundant cubic NDs at Lommel, Belgium, and concluded that “our findings confirm ... the existence of diamond nanoparticles also in this European YDB layer.” The NDs occur within the same layer in which Firestone et al. (1) found impact-related materials. Similarly, at a YDB site in the Netherlands, Van Hoesel et al.<sup>8</sup> observed “carbon aggregates [consistent with] nanodiamond.” Recently, Higgins et al.<sup>9</sup> independently announced a 4- to 4.5-km-wide YDB candidate crater named Corossol in the Gulf of St. Lawrence, containing basal sedimentary fill dating to 12.9 ka. If confirmed, it will be the largest known crater in North and South America within the last 35 million years

Because of the controversial nature of the YD impact debate, we have examined a diverse assemblage of YDB markers at Lake Cuitzeo using a more comprehensive array of analytical techniques than in previous investigations. In addition, different researchers at multiple institutions confirmed the key results.

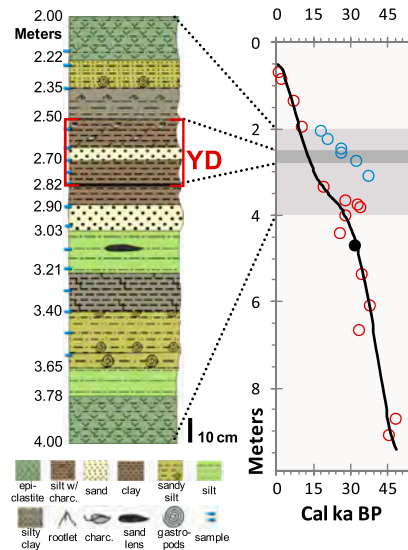
### Lake Cuitzeo

Covering 300–400 km<sup>2</sup>, Cuitzeo is the second largest lake in Mexico, located at high elevation of 1,820 m in the northern part of the state of Michoacán within the tectonically active Trans Mexico volcanic arc (15) (*SI Appendix*, Fig. 1). Situated in the tropics, this lake currently experiences a semiarid climate with annual temperatures ranging from 10 to 28 °C (avg 19 °C) and annual rainfall ranging from 60 to 100 cm. This shallow lake currently varies in depth from 0.8 to 2.2 m (avg 1.9 m).

### Results

**Sedimentary Sequence.** A 27-m-long, 10-cm-diameter core was extracted in 1997 from thick deposits in Lake Cuitzeo as part of an interdisciplinary, multiproxy effort to acquire a detailed paleoclimate record extending back to the last interglacial [130,000 (130 kyr)] (15). The core consists of interbedded sands, silts, clays, and epiclastites, along with 6-kyr-old, 20-cm-thick tephra between 1.7 and 1.4 m and 31.5-kyr-old, 20-cm-thick tephra between 4.7 and 4.5 m, with several more volcanic deposits below 10 m. A conspicuous, dark, carbon-rich layer, dominantly comprised of clay and silt, occurs between 2.82 and 2.50 m (Fig. 1) and is the focus of this study because of its similarity to the black mat at YDB sites across North America. Sediment samples of approximately 1 cm thickness were taken every 5 cm across the critical section between 2.80 and 2.65 m and at 10 cm intervals above and below this section. These samples were quantitatively analyzed for diatoms and pollen assemblages, carbonate (%TIC), organic carbon (%TOC), bulk major-element composition, stable carbon isotopes (both organic and inorganic), organic nitrogen, MSp, NDs, CSp, charcoal, and aciniform soot.

**Chronology.** Previously, Israde et al. (15) published an age-depth model for the uppermost 9 m at Lake Cuitzeo comprised of 16 accelerator mass spectroscopy (AMS) <sup>14</sup>C dates on bulk sediment and used in a linear interpolation with the YD onset identified at approximately 2.8 m. To test and refine that model, we acquired six more AMS <sup>14</sup>C dates on bulk sediment, for a total of 22 dates, and calibrated them using the IntCal04 calibration curves in CalPal07<sup>11</sup> (Fig. 1; *SI Appendix*, Table 1). A 20-cm-thick tephra layer at 4.7 to 4.5 m has been identified as the Cieneguillas rhyolitic tephra, originating from nearby Las Azufres volcano and <sup>14</sup>C dated by others at three locations to approximately 31 ka (26.8 ± 0.9 <sup>14</sup>C ka) (16). The age of this tephra serves as an anchor for the chronology. The dates from 9 to 3.35 m and from



**Fig. 1.** (Left) Lake Cuitzeo lithostratigraphy from 4.0 to 2.0 m. Red brackets indicate the carbon-rich layer corresponding to the YD. Blue tick marks at left indicate sample depths. (Right) Graph of calibrated <sup>14</sup>C dates. A regression polynomial (black line) of accepted dates (red circles) and tephra date (black dot); blue circles are excluded dates. Error bars are less than circle widths. Dark gray band denotes YD interval; lighter gray band corresponds to interval between 4.0 and 2.0 m. Cal ka BP, calibrated kiloannum before present; char, charcoal.

2 to 0 m show a relatively consistent linear increase with depth, ranging in age from approximately 46 to 0 kyr.

The samples at 3.35 and 1.95 m have calibrated ages of 18.8 and 9.9 ka, respectively, consistent with the linear extrapolation of the rest of the core. However, six samples between these two levels provided radiocarbon ages older than the interpolation predicts. They represent a major radiocarbon reversal of thousands of years, with older sediment overlying younger, a situation that can result from reworking of older organic material. The reversal begins with a date of 18.8 ka, shifts anomalously older by approximately 20 kyr above, and then normalizes to 9.9 ka higher in the section. At 2.75 m within this interval, total organic carbon (TOC) is 15.8 wt%, the highest percentage in approximately 100 kyr (15). This sample yields a date of approximately 32 ka, but linear interpolation indicates it should date to approximately 13 ka, a difference of approximately 20 kyr. Accounting for this shift requires major contamination of the TOC by radiocarbon-dead or very old carbon (92 wt%). Currently, the source of this old carbon remains unclear.

To compensate for these anomalously old radiocarbon dates, we excluded the six dates that form the reversal between 3.10 and 2.05 m and utilized the remaining 16 dates to generate an age-depth curve with a fifth-order polynomial regression<sup>\*\*</sup>. The resulting curve (Fig. 1), predicts that the 12.9-ka YD onset is at a depth of approximately 2.9 to 2.7 m, consistent with the earlier identification by Israde et al. (15).

**Biostratigraphy.** Although the stratigraphic position of the YD onset has been reasonably extrapolated using numerous <sup>14</sup>C dates, as is standard practice, we have examined other stratigraphic data to assist with this placement of the YD onset. This is achieved using biostratigraphic correlation of pollen sequences from Lake Cuitzeo with those from YD-aged regional lakes that have been independently dated. Islebe and Hooghiemstra (17) reported that evidence for YD climate change is either present or likely in some, but not all, lakes in Mexico, Guatemala, Costa Rica, Colombia, Ecuador, and Peru. Of those mentioned, we have

<sup>8</sup>Van Hoesel A, Hoek W, Braadbaart F, van der Plicht H, Drury MR, Nanodiamonds and the Usselo layer, INQUA XVIII, July 21–27, 2011, Bern Switzerland, #1556.

<sup>9</sup>Higgins MD, et al., Bathymetric and petrological evidence for a young (Pleistocene?) 4-km diameter impact crater in the Gulf of Saint Lawrence, Canada, 42nd Lunar and Planetary Science Conference, March 7–11, 2011, The Woodlands, TX, 1504 LPI Contribution No. 1608.

<sup>11</sup><http://www.calpal.de>

<sup>\*\*</sup> $y = -5E-07x^5 + 6E-05x^4 - 0.0025x^3 + 0.0366x^2 - 0.0108x + 0.512; R^2 = 0.946$

examined pollen records from Lake Petén Itzá in Guatemala (18, 19), La Chonta Bog in Costa Rica (20), and Lake La Yeguada in Panama (21) (*SI Appendix, Fig. 2*). The pollen sequences from these lakes reflect climate changes, including the Late Glacial, Bølling–Allerød (BA), (14.5 to 12.9 ka), YD stadial (12.9 to 11.5 ka), and the Holocene (11.5 ka to present), comprising a distinctive cold-warm-cooler-warmer climatic sequence. The YD interval was specifically identified by previous investigators at Lakes La Chonta and Petén Itzá.

Pollen changes in Lake Petén Itzá at the YD onset display a decrease in *Quercus* (oak), a persistence of *Pinus* (pine), a dominance of Poaceae (grasses), and low diversity and productivity for plants in general (19). At or near the YD onset, the record at Petén Itzá exhibits exceedingly large, abrupt, and unprecedented changes (both in magnitude and rate of change) for temperature, rainfall, and biotic turnover. These changes produced the most distinctive layer in the Late Quaternary record (18, 19).

For Lake La Yeguada, although the YD episode was not expressly identified, workers there recognized a major, abrupt environmental and ecological change (a “time of crisis”) close to the onset of the YD at approximately 12.8 ka (10.8  $^{14}\text{C}$  ka) (20). This is reflected in dramatic changes in the pollen and diatom records, biotic turnover, clay mineralogy, sedimentary geochemistry, and particulate carbon flux. At the same time, *Quercus* and *Myrtaceae* (myrtle) were replaced by Poaceae. These changes represent the most distinctive layer in this record (21).

In these regional lakes, the pollen records typically form a “peak-trough-peak” pollen pattern with the trough representing very low pollen levels during the YD (Fig. 2; *SI Appendix, Table 2*). At Cuitzeo, total pollen and *Quercus* reveal a similar pattern in which high pollen abundances below 2.9 m correspond to the BA when warmer temperatures supported abundant biota around the lake. Low pollen abundances in the trough correspond to the YD interval. For all lakes, the unique, distinctive BA pollen peaks are among the largest in the last 40 to 100 kyr and are followed during the YD by some of the lowest total pollen values, consistent with a cooler and/or drier climate. After the YD, a rebound of varying magnitude occurred at all lakes after the Holocene began at 11.5 ka.

Because climate change also affects diatom populations, we compared the diatom record at Cuitzeo with other lakes, and as with pollen, the diatom record reveals a period of extraordinary change at the YD onset. *Stephanodiscus niagarae* and *Aulacoseira spp.* display major YD abundance peaks that are among the largest in the last 100 kyr (*SI Appendix, Fig. 3* and *Biostratigraphy*). For Cuitzeo diatom assemblages, we also plotted the change in diversity (“ $\delta$  diversity”), defined as the value derived from subtracting the total number of diatom species in one sample from the adjacent sample above it. This demonstrates that the greatest change in diversity within >50 kyr occurred at the YD onset at 2.8 m in Lake Cuitzeo ( $\delta$  diversity in *SI Appendix, Fig. 3*), and this correlates well with the Lake La Yeguada record where the greatest turnover in diatom species occurred at approximately 12.8 ka (10.8  $^{14}\text{C}$  ka) (21). In addition, for Lakes Cuitzeo and La Yeguada,

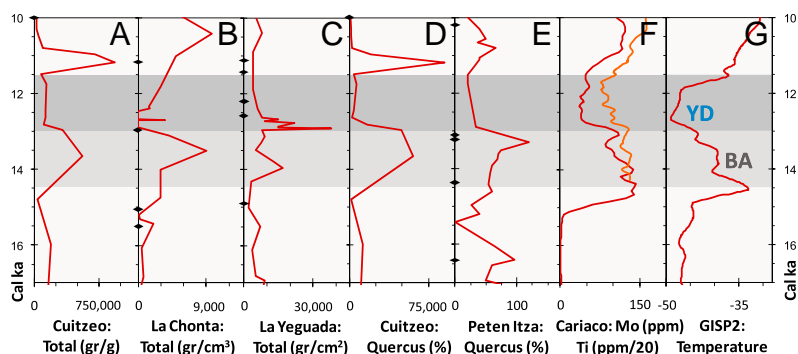
especially large YD peaks are evident in other aquatic taxa, including cattails (*Typha*) and the algal forms *Botryococcus* and *Coelastrum* (*SI Appendix, Fig. 4*). High abundances for these taxa are consistent with major ecological change at the YD onset.

In Fig. 2, we compare the pollen records to a temperature proxy ( $\delta^{18}\text{O}$ ) from a Greenland ice core, GISP2 (22). We have also correlated paleoceanographic records from the Cariaco Basin in the Caribbean (Fig. 2), in which titanium represents terrigenous input due to continental runoff (23) and molybdenum varies in response to deglacial climate change (24). The YD onset is identified in all three records and corresponds well with the pollen records from Cuitzeo and other lakes.

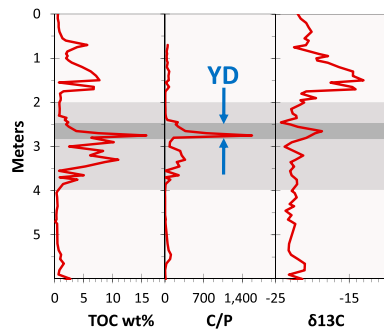
In summary, from widely separated lakes in the highlands of Costa Rica to the lowlands of Guatemala and Panama, there is only one stratigraphic interval that displays extraordinary environmental and biotic changes, and in each case, this interval occurs at or near the YD onset. For Lake Cuitzeo, the age-depth model indicates the YD onset occurs between 1.95 and 3.35 m, representing a 9-kyr span. Within this span, only one level displays extraordinary environmental and biotic changes, as in other regional lakes, and that level is at 2.8 m. Therefore, we conclude that the Cuitzeo age-depth model is robust and that the YD onset is correctly identified at 2.8 m.

**Sedimentary Geochemistry.** Our attention was first drawn to the anomalous interval of unusually high values of TOC (5–16%) occurring between 4.0 and 2.6 m, particularly a TOC of 15.8% in the thin, 1-cm-thick layer at 2.75 m, close to the YD onset (Fig. 3). This value is the highest TOC in the entire 27-m core, which has a background average of only 1.2%. We performed  $\delta^{13}\text{C}$  analyses, and below 2.75 m, there are minor fluctuations near an average of  $-2\text{‰}$ , which is typical for algal matter. Above 2.75 m,  $\delta^{13}\text{C}$  values increase  $10\times$  to  $-19\text{‰}$  at 2.7 m in the dark layer, followed by heavier values above 2.0 m (after approximately 10 ka) in the Holocene. The 2.75-m layer is depleted in phosphorus, producing a distinct carbon/phosphorus ratio (C/P) peak that is the highest in core. This material may be analogous to the YD carbon-rich black mat observed at many North American sites (25). When viewed with the scanning electron microscope (SEM), the 2.75-m layer contains thin millimeter-sized interbeds of black organic carbon that appear without form or structure. Analysis by energy-dispersive X-ray spectroscopy (EDS) indicates these bands are almost pure elemental carbon.

Organic matter in the anomalous interval is enigmatic and not the normal plant-derived kerogenous organic matter, dominating the rest of the 27-m core over the last 100 kyr; instead, it appears to be very old and radiocarbon-dead. Pyrolysis analysis (Rock/Eval) was conducted on samples from the carbon-rich layer between 2.90 and 2.55 m and then compared with a carbon-rich sample from the YD-aged black mat at Murray Springs, Arizona (*SI Appendix, Table 3*), as previously analyzed by Bischoff in Haynes (25). This comparison suggests that much of the TOC is unreactive carbon, whereas, according to GC-MS analysis, the remaining extractable carbon fraction is typical of immature



**Fig. 2.** Graphs for pollen, Cariaco Basin proxies, and GISP2 temperatures. (A–C) compare Lake Cuitzeo pollen abundances to two regional lakes. Warmer Bølling–Allerød (BA) in light gray, and YD in dark gray. *D* and *E* show that Lake Cuitzeo *Quercus* abundances are similar to those of Lake Petén Itzá. All lake plots correspond well to graph *F* from a Cariaco Basin core displaying ppm abundances of titanium (orange; smoothed  $30\times$ ) and molybdenum (red; smoothed  $3\times$ ) (23, 24). Graph *G* is a GISP2 temperature proxy plot ( $\text{‰}\delta^{18}\text{O}$ ; smoothed  $10\times$ ) (22). Black diamonds are depth of  $^{14}\text{C}$  dates. All graphs are similar, demonstrating that the YD onset is consistent at all sites.



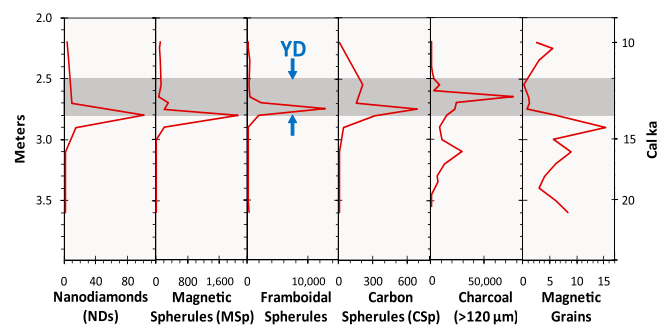
**Fig. 3.** Changes in carbon for the upper 6 m of the Lake Cuitzeo sequence. There YD onset peaks in TOC wt%, C/P, and  $\delta^{13}\text{C}$ . The dark gray band denotes the YD interval, and the light gray band is the interval between 4.0 and 2.0 m.

plant-derived compounds, mostly n-alkanes. Contamination of carbon from petroleum seeps, such as those in Lake Chapala 300 km to the west, was explored as a possible source of the anomalous carbon (26). However, pyrolysis analysis showed no detectable petroleum hydrocarbons in the sequence analyzed. This carbon does not appear to be derived from typical immature plant compounds, and its origin is unknown.

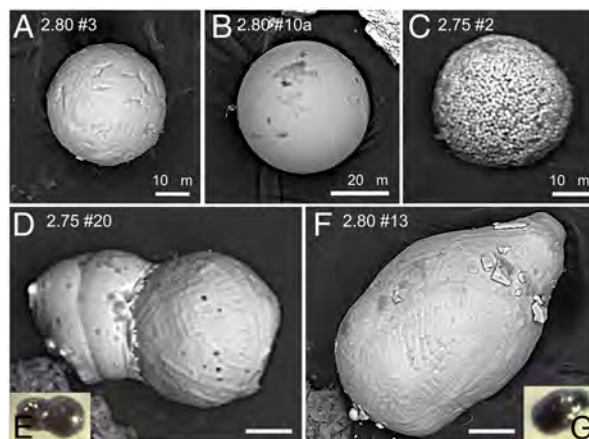
### Impact Proxies

**CSp, Charcoal, and Aciniform Soot.** Black CSp, 20 to 260  $\mu\text{m}$ , averaging 90  $\mu\text{m}$  diameter, were observed at Cuitzeo, appearing as ovoid-to-round with cracked, roughened surfaces and typically revealing a thin rind, with spongy, vesicular interiors surrounded by a smooth, homogeneous matrix (*SI Appendix, Fig. 5*). SEM-EDS indicates that CSp are dominantly carbon (>87%) with minor particulates, such as Si, Al, and Fe, concentrated in the rind. They reach a significant peak of approximately 680/kg at 2.75 m within the YDB layer. Above 2.75 m, the CSp persist at an average of approximately 120/kg. No CSp were detected below 2.8 m.

Charcoal microparticles (>125  $\mu\text{m}$ ) were counted between 3.6 and 2.2 m, an interval dating from approximately 21 to 10 ka (*Fig. 4*), displaying background levels of approximately 5,000 particles/kg. There is a minor charcoal peak at 3.1 m of approximately 29,000 particles/kg, dating to approximately 16 ka, and there is a major rise in charcoal beginning near the YD onset and reaching a maximum peak at 2.65 m of 77,000 particles/kg (15  $\times$  background) in a major episode in biomass burning. The main charcoal peak is about 5 cm above the impact proxies discussed below, and the lack of tephra within this interval indicates the biomass burning is unrelated to volcanism. There is also a major peak in particulate carbon (charcoal) in Lake Le Yeguada that dates close to 12.8 ka (21), near the YD onset.



**Fig. 4.** Markers over the interval between 3.6 and 2.2 m. The YD episode (12.9 to 11.5 ka) is represented by dark band. YDB layer is at 2.8 m. NDs and magnetic impact spherules both peak at the YD onset, whereas framboidal spherules, CSps, and charcoal peak higher in the sequence. Magnetic grains peak just prior to the YD onset. NDs are in ppb; Msps, framboidal spherules, CSps, and charcoal are in no./kg; magnetic grains in g/kg.



**Fig. 5.** SEM images of magnetic impact spherules. (A–B) Magnetic impact spherules with dendritic surface pattern. (C) Framboidal pyrite spherule. (D) Collisional magnetic impact spherules. (E) Light micrograph of same magnetic impact spherules. (F) Teardrop-shaped spherule with dendritic pattern. (G) Photomicrograph of same MSps. For labels such as “2.80 #3,” “2.80” represents depth of sample in meters and “#3” is the magnetic impact spherule number as listed in *SI Appendix, Table 4*.

**MSP and Particles.** All samples from Cuitzeo contain a variable mix of MSP, magnetic grains, framboidal spherules, and/or weakly magnetic volcanic glass (*Fig. 4; SI Appendix, Tables 4 and 5*). MSP range from 25–100  $\mu\text{m}$  in diameter, averaging 60  $\mu\text{m}$  (*Fig. 5*), and typically appear as highly reflective, black spheroids (*Fig. 5 A and B; SI Appendix, Fig. 6 B and E*), although shapes such as ovals, doublets, dumbbells, and tear-drops frequently occur (*Fig. 5 D–G; SI Appendix, Fig. 6 A, C, and D*). The MSP are conspicuous and abundant at 2.8 m (2,000/kg), where they form a sharp peak in the YDB. They average approximately 100 MSP/kg above the YDB, and none were detected at depths of 3.6 to 3.0 m.

SEM observation of outer surfaces of all MSP analyzed reveals a surficial crystalline pattern that is dendritic (*Fig. 5 D and F*) or polygonal like a soccer-ball (*Fig. 5 A; SI Appendix, Fig. 6 E*). These patterns are indicative of melting with rapid quenching<sup>††</sup>, which precludes diagenetic, biogenic, or detrital origins. Several MSP clearly display evidence of interspherule collisions while solidifying, causing fusion (*Fig. 5 D and E*). EDS analyses of the recovered MSP show that they are comprised of magnetite (Fe oxide >96%) with very low abundances of other elements (*SI Appendix, Table 4*). Because titanium is present at only trace levels in the MSP, they are not titanomagnetite grains, which are ubiquitous throughout the Cuitzeo core.

We observed variable abundances of irregularly shaped titanomagnetite grains throughout the sequence, peaking well below the YD onset (*Fig. 4*). Typically black and moderately reflective, these grains are monocrystalline and geochemically distinct from MSP and are interpreted to be detrital material from the local volcanic regolith. Pinter et al. (27) speculated that all previously reported YDB MSP are actually detrital grains or framboidal spherules, but this is refuted at Lake Cuitzeo because such grains are readily distinguishable from YDB MSP by the quench-melted textures visible by SEM imaging.

Irregularly shaped volcanic glass grains (<1  $\mu\text{m}$  to several mm long) occur in all layers and contain up to 10% Fe causing them to be magnetic. They were observed in relatively uniform abundances throughout the section, uncorrelated with the MSP peak. Most appear dark-gray to black, translucent-to-opaque, and are highly reflective. SEM imaging revealed vesicles (gas bubbles) that formed in the glass as it cooled. Using a standard igneous

<sup>††</sup>Petaev MI, Jacobsen SB, Basu AR, Becker L, Magnetic Fe, Si, Al-rich impact spherules from the P-T boundary layer at Graphite Peak, Antarctica. 35th Lunar and Planetary Conference, March 15–19, 2004, Houston, TX, 1216 (abstr.).

rock binary diagram, the glass grains are identified as alkali basalt, andesite, rhyolite, rhyodacite, tephrite, and latite (trachyandesite) (*SI Appendix, Fig. 7*). These glass grains are considered to be of local volcanic origin. In contrast, none of the quenched MSp plotted on the graph, indicating a different origin.

Framboidal spherules (approximately 20 to 60  $\mu\text{m}$ , averaging approximately 40  $\mu\text{m}$  in diameter) are concentrations of euhedral microcrystals typical of diagenetic sedimentary pyrite (28) (*Fig. 5C*; *SI Appendix, Fig. 6F*). Framboidal spherules were found intermixed with MSp, forming a distinct abundance peak in the YDB layer at 2.75 m, where they occur at 10,000/kg (*Fig. 4*). None were detected below the base of the YDB at 2.80 m. On the other hand, above 2.75 m, framboidal spherules were relatively common, averaging a few hundred per kg. The origin of the framboidal spherules is unclear, but their formation most likely resulted from the onset of anoxic conditions beginning near 12.9 ka.

We have compared and contrasted the geochemistry of MSp, framboidal spherules, glassy grains, and magnetic grains from the Cuitzeo YDB layer using SEM-EDS. A ternary diagram in *Fig. 6A* compares FeO, SiO<sub>2</sub>, and TiO<sub>2</sub> and demonstrates that the MSp are geochemically dissimilar to volcanogenic material. Next, the geochemistry of the YDB MSp was plotted on ternary diagrams and compared to that of other types of spherules and melted material. In *Fig. 6B*, no similarity was observed when compared with particles representative of cosmic influx and meteoritic ablation, including >700 meteorites and cosmic spherules (*SI Appendix, Table 6*). Instead, geochemical values for Cuitzeo MSp are similar to those for >1,000 tektites (glassy, melted impact material) and MSp from 11 craters/strewnfields formed by ET impact into terrestrial rocks (*Fig. 6C*; *SI Appendix, Table 6*), suggesting that Cuitzeo MSp also formed by cosmic impact.

**NDs.** A definitive proxy for the YD impact event is an abundant and diverse assemblage of NDs within the YDB layer across North America (2, 3) and in the Greenland Ice Sheet (4). Four allotropes of NDs have been previously identified, including cubic NDs and lonsdaleite, which has only been found on Earth associated with impact craters or within meteoritic material (29–31). The other two allotropes, n-diamond and i-carbon, were first discovered in the laboratory and are considered to be either diamond-like carbon or modified cubic NDs (32, 33).

In a comprehensive investigation of NDs at Cuitzeo, we selected eight bulk samples spanning 1.4 m from 3.6 to 2.2 m that included the YD interval within an age range from 21 to 10 ka. Noncontinuous approximate 1-cm-thick samples, ranging from approximately 4 to 23 g (approximately 3 to 20 cm<sup>3</sup>) were taken at intervals of 5 cm across the inferred YDB and at intervals of 10 to 50 cm away from the YDB. Using procedures described in Kennett et al. (2, 3), we produced acid-resistant residue for each bulk sample to concentrate any NDs (*Methods*; *SI Appendix, Methods*). In response to a challenge by Daulton et al. (8) that

the YDB contains no NDs, we examined these residues using a highly comprehensive suite of analyses surpassing previous investigations. Analyses were conducted on high-resolution transmission electron microscopes (HRTEM) and scanning transmission electron microscopes (STEM), discussed below.

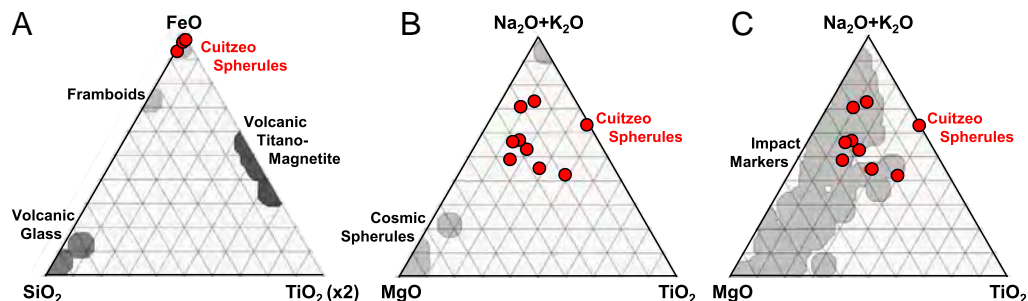
These analyses clearly identified a single major peak in NDs, centered across two samples at 2.8 and 2.75 m within the dark layer dating to the YD onset. NDs were rare below the 2.9 m layer [ $\leq 1$  parts per billion (ppb)], whereas above the 2.75 m layer, NDs were observed at low levels from 4 to 10 ppb, likely due to reworking. Initial examination of the 2.8 m sample using STEM and HRTEM revealed a striking panorama of tens of thousands of nanocrystalline carbon particles ranging in shape from spherical to elongate to euhedral (*SI Appendix, Fig. 8*). These particles varied in diameter from approximately 1 to 10 nm, averaging approximately 4 nm, and were typically embedded in amorphous carbon, as Tian et al. (14) described. We identified three of four previously reported ND variants, of which n-diamond was most abundant, with lesser amounts of i-carbon and lonsdaleite. The presence and concentrations of cubic NDs are unclear, for reasons discussed below. The NDs exhibit maximum abundance at 2.8 m of approximately  $100 \pm 50$  ppb (*SI Appendix, Table 5*), similar to the estimates for YDB NDs across North America and Greenland (2–4).

EDS analyses of five samples indicate that the observed crystals are dominantly carbon (averaging 96 atomic %) with minor concentrations of other elements (*SI Appendix, Table 7*). Using noncopper grids (gold and molybdenum) for all five EDS analyses, we detected no copper, refuting speculation by Daulton et al. (8) that YDB NDs are comprised of copper and not carbon.

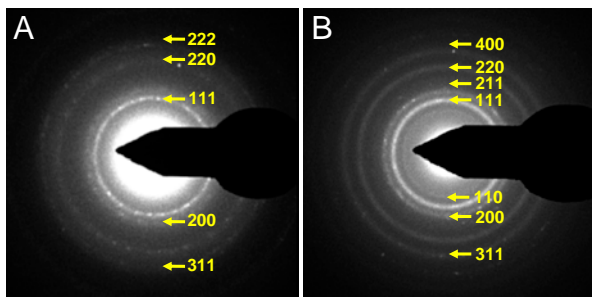
Selected area diffraction (SAD) was used to identify the dominant types of carbon crystals present in the samples. Many analyses revealed ring diffraction patterns with d-spacings that are consistent with n-diamond (*Fig. 7A*; *SI Appendix, Table 8*), with a face-centered cubic structure with space group Fm3m (34). Other SADs indicated d-spacings characteristic of i-carbon (35) (*Fig. 7B*; *SI Appendix, Table 8*), a primitive cubic crystalline structure with space group P2<sub>1</sub>3 or P4<sub>2</sub>32 (32). Crystals with d-spacings consistent with cubic nanodiamond were also observed, but identification was not conclusive.

Fast Fourier transform (FFT) is an analytical procedure that produces diffraction patterns for small single crystals. HRTEM and FFT images of the nanocrystals reveal lattices and d-spacings that are consistent with lonsdaleite, space group P6<sub>3</sub>/mmc (*Fig. 8A* and *B*; *SI Appendix, Table 8*) and with n-diamond, space group Fm3m (*Fig. 8C* and *D*).

Electron energy loss spectroscopy (EELS) was utilized to confirm that the observed nanoparticles are carbon and crystalline, as previously indicated by HRTEM, FFT, and SAD analyses. EELS spectra further indicate that the nanoparticles display sp<sup>3</sup> and sp<sup>2</sup> bonding consistent with n-diamonds and i-carbon. Independent published spectra of NDs are plotted for comparison with those



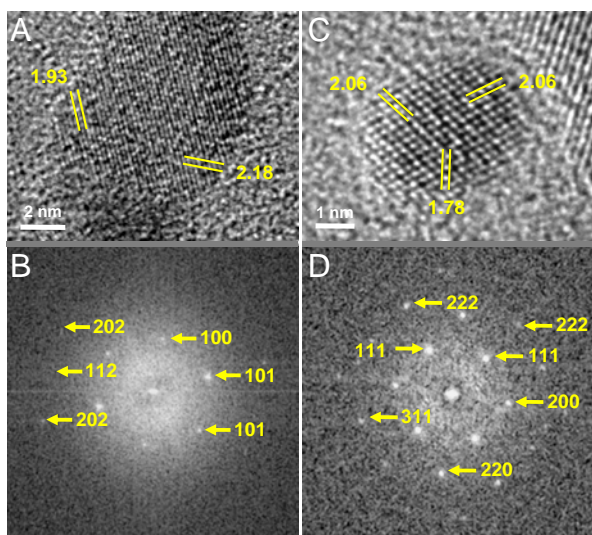
**Fig. 6.** Ternary geochemical diagrams: (A) Cuitzeo magnetic impact spherules compared to volcanogenic titanomagnetite and glassy grains, as well as framboidal spherules. Cuitzeo magnetic impact spherules are nonvolcanogenic. Of two framboidal spherules analyzed, one overlaps the magnetic impact spherules and one does not. Neither exhibits quench melting. (B) Cosmic particles compared to Lake Cuitzeo magnetic impact spherules, indicating they are noncosmic. (C) Terrestrial impact materials compared to Lake Cuitzeo magnetic impact spherules, showing close geochemical match.



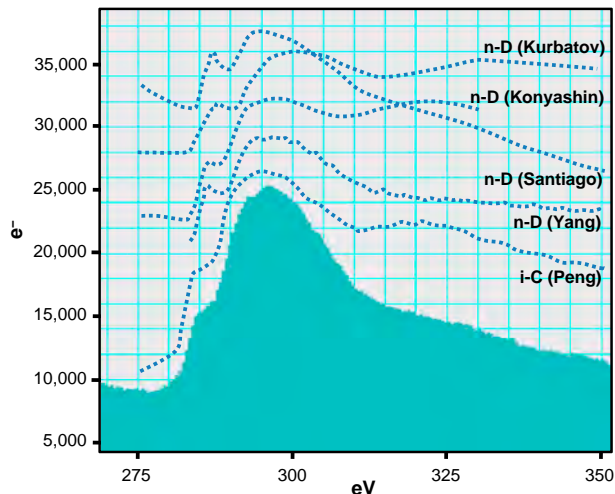
**Fig. 7.** SAD patterns of NDs from 2.7 m. (A) D-spacings indicative of n-diamonds. (B) D-spacings indicative of i-carbon.

for Cuitzeo NDs in Fig. 9 (4, 32, 34, 36, 37). The small prepeak at approximately 284 eV indicates  $sp^2$  bonding and is similar for all curves. This prepeak has been previously interpreted by others to result from a graphitic or amorphous coating on the NDs (*SI Appendix, EELS Analyses*). The spectrum does not permit definitive identification of the other two allotropes, cubic NDs, and lonsdaleite. Another EELS spectrum confirmed a lack of copper in the analyzed particles (*SI Appendix, Fig. 9*).

Energy-filtered TEM analysis (EFTEM), an EELS-related mapping technique, was used to investigate the elemental composition of the nanocrystals. We produced two maps of a region of nanoparticles by searching for specific energy levels indicative of carbon. First, a “zero-loss” image (Fig. 10*A*) was acquired and inverted to negative for easier comparison. It displays brighter particles (numbers 1 through 4) that were embedded in amorphous carbon, but exhibit a crystalline structure. Second, a “jump ratio” image (Fig. 10*B*) shows the same particles (numbers 1 through 4). Their brighter shading indicates the presence of  $sp^3$  bonding characteristic of crystalline carbon, including NDs. These results, coupled with the previous SAD and FFT analyses, are consistent with NDs and inconsistent graphite, graphene, and copper (see *SI Appendix, EFTEM Analysis*).



**Fig. 8.** Crystallographic data for NDs from 2.8 m. (A) HRTEM image of monocrystalline nanoparticle identified as lonsdaleite. The (101) and (110) planes are visible with d-spacings of 1.93 and 2.18 Å, respectively. (B) FFT of same lonsdaleite crystal above. The values adjacent to each spot indicate the reciprocal lattice vector. Image reveals (101) planes with lattice spacing of 1.93 Å, consistent with lonsdaleite. (C) HRTEM image displaying typical lattice spacing of n-diamond. The 1.78 Å measurement represents the (200) planes, consistent with n-diamond. (D) FFT of same n-diamond shown above.



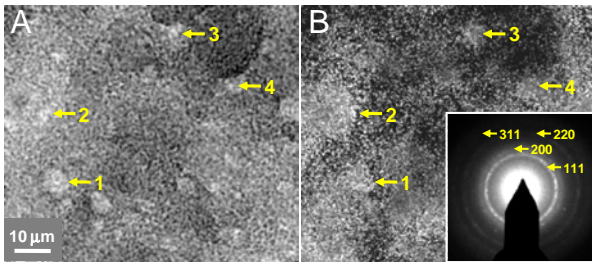
**Fig. 9.** EELS spectra for NDs from 2.8 m. A typical carbon peak of approximately 295–300 eV shows that the particle is carbon. Published n-diamond and i-carbon spectra (dotted lines) are shown for comparison (4, 32, 36, 37).

**Twinned NDs.** These NDs are made up of two or more crystals that share a common lattice plane (the twin plane) and grow symmetrically away in different orientations (Fig. 11). Twinning is commonly observed in commercial NDs formed by carbon vapor deposition (CVD), during which NDs crystallize from gaseous carbon, typically at high temperatures in an inert atmosphere. Twinned cubic NDs are common in meteorites (38), having formed in space through a process possibly analogous to CVD (39). They also are found in impact craters (39)<sup>††</sup>, where they formed upon impact from terrestrial carbon. Twinned lonsdaleite has been observed in meteorites and associated with impact craters<sup>††</sup>. Twins can form in numerous configurations, including “accordion twins,” which exhibit folded, pleat-like lattice planes, and fivefold “star twins” (38), as observed by Tian et al. (14) in the YDB layer from Lommel, Belgium. At Cuitzeo, most NDs were twinned n-diamond and i-carbon and only occasionally were monocrystalline NDs observed. Twinned lonsdaleite with d-spacings of 2.06 Å and 1.93 Å was observed occasionally (Fig. 11*B*).

**Cubic NDs.** Cubic NDs were previously identified in the YDB (2–4), and subsequently, Tian et al. (14) confirmed cubic NDs in the YDB. In the Cuitzeo section, however, we could not unequivocally identify the cubic allotrope. This may be due to masking by i-carbon and/or n-diamonds, which share some d-spacings with cubic NDs (*SI Appendix, Table 8*). Also, cubic NDs possess so-called “forbidden reflections,” such as the 1.78 Å d-spacing, that are typically invisible in cubic SAD patterns but are sometimes apparent in twinned cubic NDs, most likely due to double diffraction (38). Because n-diamonds also display these forbidden reflections, twinned n-diamonds cannot be easily differentiated from twinned cubic NDs. Thus, it is possible that some of the apparent n-diamonds from Cuitzeo are actually twinned cubic NDs.

**Carbon Onions and Ribbons.** In the YDB at Lommel, Belgium, Tian et al. (14) observed carbon onions, nanometer-sized nanoparticles constructed of concentric carbon shells. Carbon onions typically are comprised of three to eight closed shells, ranging from 3 to 100 nm wide (40), and may be either hollow or contain NDs. Broken and whole carbon onions, ranging from ovoid to round and from 2 to 10 nm wide, were observed in the 2.75 m sample using HRTEM imaging (Fig. 12; *SI Appendix, Fig. 10*). These display carbon shells with variable spacings, ranging from

<sup>††</sup>Masaitis VL, Impact diamonds from astroblemes, Mineralogical Society of America 1996 Spring Meeting, May 20–24, 1996, Baltimore, MD, abstract supplement to Eos Transactions, S142–S143.

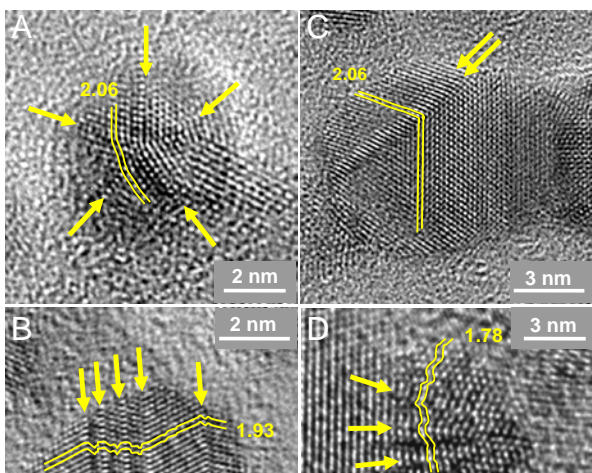


**Fig. 10.** EFTEM maps of NDs. (A) An inverted “zero-loss” image displaying brighter nanoparticles (numbered). Inset shows 4-nm-wide nanoparticle number 1 that exhibits crystalline lattice spacings of 1.30 and 2.12 Å, consistent with i-carbon. Resolution is low due to surrounding amorphous carbon. (B) A map of particles detected with characteristic carbon signature at  $299 \pm 5$  eV. Brighter particles at numbers 1 through 4 correspond to the Left panel, indicating  $sp^3$  bonding typical of NDs. Darker area near number 3 is due to  $sp^2$  bonding in the amorphous carbon film.

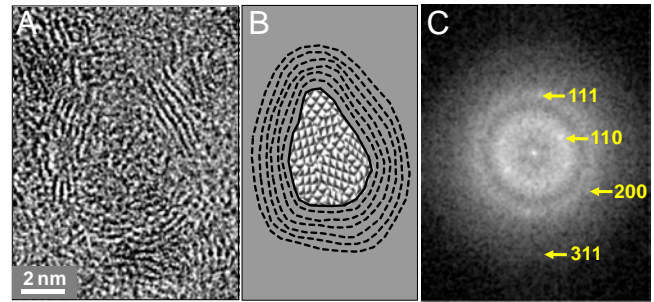
approximately 3.47 to 4.37 Å with an average of 4.07 Å. Although it is not possible to identify the exact carbon allotrope, these spacings are somewhat consistent with fullerenes (4.09 Å), which were previously detected in the YDB layer in North America (1). About half of the carbon onions enclose apparent polycrystalline i-carbon, as measured by FFT. However, definitive analysis is not possible because the NDs are very small and surrounded by amorphous carbon and carbon onion shells.

Because natural wildfires can produce carbon onions, Tian et al. (14) postulated that YDB NDs may have formed during wildfires by high-pressure compression within carbon onions. However, there is no evidence to support that suggestion because no naturally formed carbon onions have ever been found to contain NDs (*SI Appendix, Fig. 11 and Carbon Onions*). On the other hand, diamond-bearing carbon onions are known to form during production of NDs by trinitrotoluene (TNT) detonation (41) under high temperatures and oxygen-deficient conditions that are known to occur during an impact event, as discussed below.

We also observed carbon ribbons, which are multinanometer-long parallel chains of carbon atoms found in interstellar dust particles, or IDPs, and meteorites (42) (*SI Appendix, Fig. 10*). They have also been observed in nanodiamond-rich residues from TNT detonation (41).



**Fig. 11.** HRTEM images of twinned NDs from the 2.8 m layer. Double yellow lines represent lattice planes and the numbers indicate d-spacings in Å. Arrows are parallel to common twinning plane. (A) Star-twin ND with five-fold star-like morphology. (B) Accordion twin lonsdaleite with pleated morphology. (C) Twin with multiple folds. (D) “Scalloped” twin.



**Fig. 12.** Carbon onions from 2.75 m. (A) HRTEM image displays 10 nm carbon onion. Parallel strands nearby appear to be carbon ribbons. (B) Drawing illustrates shells of carbon onion and nanoparticle shown in A. (C) FFT of enclosed crystal with d-spacings generally consistent with i-carbon but with insufficient resolution to be definitive.

## Discussion

Multiple hypotheses have been proposed to explain YDB markers. Nearly all can be rejected, as follows:

**“Cosmic Rain.”** Several workers have speculated that YDB MSP and NDs represent the normal “cosmic rain” that falls continuously from space (6, 13, 27). This is a testable hypothesis, but those authors conducted no such tests. For Cuitzeo, we analyzed all available MSP geochemically and compared them to >700 meteorites and cosmic spherules from Greenland, Antarctica, and elsewhere (Fig. 6). The MSP geochemistry indicates that they are noncosmic and, instead, appear to be melted terrestrial material. Furthermore, the high abundance of YDB MSP indicates that cosmic rain is an unlikely cause because cosmic spherules in sediment are very rare. For example, the 1991 EUROMET Antarctic micrometeorite collection from continuously deposited ice contains only 0.015 microspherules (50–100 μm) per kg of ice (1 L) (43). In contrast, Cuitzeo contains >2,000 MSP per kg, totalling  $130,000 \times$  more for the same sample weight.

Cosmic NDs occur in meteorites and cosmic dust, but Tian et al. (14) concluded that YDB NDs are not cosmic because they display  $\delta^{13}\text{C}$  abundances (−28.1 to −26.3‰) that are terrestrial. Their work confirmed the results of Bunch et al.<sup>88</sup>, who reported isotopic analyses on diamond-rich residue from 12 YDB sites that exhibited terrestrial values for  $\delta^{13}\text{C}$  (−30 to −22‰) and  $\delta^{15}\text{N}$  (−17 to 15‰). Furthermore, these values are similar to those for NDs in the Cretaceous–Paleogene boundary layer (KPg, formerly K-T), where Gilmour et al. (44) measured  $\delta^{13}\text{C}$  (−18‰) and  $\delta^{15}\text{N}$  (6‰) and concluded that KPg NDs were formed during impact from terrestrial material, most likely from carbon-rich target rocks. Isotopic analyses of the carbon-rich YDB interval at Cuitzeo yielded values ranging from −23 to −19‰ for  $\delta^{13}\text{C}$  consistent with the formation of Cuitzeo NDs from terrestrial, not cosmic, carbon.

**Wildfires.** Typical wildfire temperatures range from approximately 900 to 1,200 °C with the highest reported being 1,450 °C (45). These temperatures are too low to melt magnetite into MSP (1,540 °C) and too high for the survival of NDs, which combust between 400 and 600 °C (39). Neither proxy has been reported in normal wildfires. This conclusion is reinforced by our observation that the Cuitzeo 3.1 m peak in charcoal is indicative of a major wildfire episode, and yet, displays low levels of MSP, CSp, or NDs (Fig. 4; *SI Appendix, Table 5*). Another indication that the YDB proxies are not wildfire-related is that marker peaks (2.80 to 2.75 m) were deposited earlier than the wildfire charcoal peak (2.70 to 2.65 m).

<sup>88</sup>Bunch TE, West A, Wittke J, Kennett JP, New physical evidence for a cosmic impact with the Earth at 129 ka, *American Quaternary Association (AMQUA) 5*, August 12–15, 2010, Laramie, WY, paper #3.



**Volcanism.** Low-energy volcanism produces silicate spherules but does not distribute them widely (46). Conversely, high-energy eruptions capable of disseminating ejecta widely do not appear to produce spherules. For example, the Toba eruption at approximately 75 ka, one of the largest of the last 5 million years, ejected debris up to 2,000 km, yet no spherules have been detected (46). Likewise, we analyzed tephra samples from the Laacher See eruption layer in Germany that preceded the YD onset and found no MSp or NDs. On the other hand, the Cuitzeo YDB layer contains almost no volcanic material; the closest volcanic layers are approximately 18 kyr earlier and approximately 8 kyr later (15), indicating that no significant local volcanic eruptions occurred near 12.9 ka.

**Anthropogenesis.** NDs have never been reported in industrial by-products, and because MSp, CSp, and NDs are deeply buried at approximately 3 m in well-stratified deposits at Cuitzeo, there was no reasonable chance for human contamination.

**Potential Misidentification of Markers.** Surovell et al. (6) reported finding no YDB MSp peaks, although claiming to follow the protocol of Firestone et al. (1) for quantification of MSp, and concluded that Firestone et al. misidentified and/or miscounted the MSp. Later, Lecompte et al.<sup>11</sup> independently examined two YDB sites common to Firestone et al. and Surovell et al. They reported that “spherule abundances are consistent with those of Firestone et al.” and “inconsistent with the results of Surovell et al.” They also concluded that Surovell et al. altered the prescribed MSp protocol in fatal ways, particularly by not observing requirements for sample thickness, sample weight, and size sorting. We consider these discrepancies significant enough to negate the conclusions of Surovell et al. (*SI Appendix, Surovell et al.*).

Daulton et al. (8) found no YDB NDs at Arlington Canyon, California, or at Murray Springs, Arizona, as earlier reported in Kennett et al. (2, 3). They searched for NDs in “microcharcoal aggregates” from the Murray Springs YDB site and, finding none, claimed to refute the previous results. However, Kennett et al. never claimed to find NDs in charcoal, and instead, observed NDs at Murray Springs in acid-resistant residues from bulk sediment (2, 3), which Daulton et al. did not investigate.

Daulton et al. (8) further speculated that Kennett et al. (2, 3) misidentified YDB NDs, observing copper instead, which displays d-spacings nearly identical to n-diamond and i-carbon. In addition, Daulton et al. pointed out that graphene and/or graphane have d-spacings similar to lonsdaleite and that the lonsdaleite diffraction pattern reported from Arlington Canyon by the Kennett et al. (2) was missing the lonsdaleite diffraction line at 1.93 Å. However, in YD-aged ice in Greenland, Kurbatov et al. (4) identified lonsdaleite with the 1.93-Å line, which definitively demonstrates that those Greenland nanoparticles cannot be graphene or graphane. At Lake Cuitzeo, numerous NDs have been identified with the 1.93 Å (101) line, as shown in Fig. 8A and B and Fig. 11B, eliminating the possibility that these crystals are graphene or graphane. SAD and all other analyses conclusively show that the Cuitzeo nanoparticles analyzed have d-spacings consistent with lonsdaleite and other NDs. In independent support of NDs in the YDB, Tian et al. (14) and Van Hoesele<sup>‡</sup> identified cubic NDs in the YDB layer in Europe.

Regarding CSp, Scott et al. (7) speculated that those found at YDB sites (1–3) are simply charred fungal sclerotia, which are ball-like clusters of long, branching filamentous structures, common to some fungi. The CSp from Cuitzeo and other YDB sites are unmistakably different from sclerotia in numerous critical characteristics. In particular, charred and uncharred sclerotia have textured, filamentous, low-reflectivity interiors, whereas

at Cuitzeo, SEM imaging demonstrates that CSp have smooth, glassy, highly reflective interiors with no evidence of filamentous structure observed in fungal sclerotia (or cellular structure found in charcoal) (*SI Appendix, Fig. 5*).

Cuitzeo CSp also contain numerous noncarbon particles, including aluminosilicates, indicating that these cannot be primary biological entities, such as sclerotia. In support of this, several lines of evidence support the formation of CSp during biomass burning. For example, Firestone et al. (1) reported the production of CSp in modern wildfires, and laboratory experiments have demonstrated the production of CSp from charred tree resin at approximately 500 °C<sup>\*\*\*</sup>. These CSp are morphologically identical to those found in the YDB but contain no NDs. Also, CSp similar to those found in the YDB have been reported by Harvey et al. (47), who observed vesicular CSp in the impact layer at the KPg, and suggested that CSp, along with aciniform soot, formed during impact into carbon-rich target rocks.

### Cosmic Impact as Only Viable Hypothesis

**Impact-related CVD.** Tian et al. (14) speculated that YDB NDs formed by CVD, although they offered no details. In the laboratory, formation of NDs by CVD requires intense heating of carbon vapor within an inert atmosphere, conditions not known to exist naturally at Earth’s surface [*SI Appendix, CVD (Carbon Vapor Deposition)*]. ET impacts are the only known natural events capable of generating CVD-like conditions under a reduced-oxygen atmosphere (39). This CVD mechanism has been proposed for the KPg, where  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values for cubic NDs suggest they formed from carbon that is terrestrial and not cosmic (44).

**Comets.** Based upon astrophysical observations and modeling, Napier (48) proposed that YDB impact markers were produced when Earth encountered a dense trail of material from a large already fragmented comet. His model predicts cluster airbursts and/or small cratering impacts that could account for the wide distribution of YD impact debris across more than 10% of the planet, including Cuitzeo. Most comets eventually break up as they transit the inner solar system, and previously unknown fragmented comets are discovered by space-borne telescopes, such as the Solar and Heliospheric Observatory, on average every 4 y. As evidence, Earth is bombarded at an average rate of once every 5 d by one of 72 meteor streams or “showers,” massive clouds of debris from fragmented comets. These well-known meteor showers, e.g., Perseids, Geminids, Taurids, etc., are highly dispersed, but in the recent geologic past, each stream was far more condensed, containing many large, potentially destructive fragments. Currently, the Taurid Complex contains 19 large near-earth Apollo asteroids, with diameters ranging from approximately 1.5 km (6063 Jason) to approximately 5 km (4184 Cuno) (48). None of these currently threatens Earth but may do so in the future.

**Impact Dynamics.** Earth has been subjected to a continuous, although intermittent bombardment by impactors with diameters ranging from microns to tens of kilometers; velocities range from approximately 11 km/s to 73 km/s with typical values of 17 km/s for asteroids and 51 km/s for comets. The term “cosmic impact” evokes images of craters ranging from the 50-kyr-old, 1-km-diameter Meteor Crater to the 2-billion-year-old, 200-km-diameter Vredefort crater (49, 50). For these crater-forming events that have peak impact pressures in the range of hundreds of GPa, impact dynamics and shock wave metamorphic effects are well understood (49, 50). An ET impact is the only natural mechanism known to produce major coeval abundances in cubic NDs, lons-

<sup>11</sup>LeCompte MA, et al., Summary of unusual material in early Younger Dryas age sediments and their potential relevance to the YD Impact Hypothesis, INQUA XVIII, July 21–27, 2011, Bern Switzerland, (abstr.) 1813.

<sup>\*\*\*</sup>Kimbel D, West A, Kennett JP, A new method for producing nanodiamonds based on research into the Younger Dryas extraterrestrial impact, AGU Fall Meeting, December 15–19, 2008, Eos Trans. AGU, 90(52), Fall Meet. Suppl., #PP13C-1470.

daleite, and quench-melted MSp, both of which co-occur in impact events, including Ries crater and the KPg (39).

Based on hundreds of shock-recovery experiments by one of the authors of this article (DeCarli), the formation of lonsdaleite in graphite-bearing gneisses in the Ries, Popigai, and other impact craters is in complete accord with static high-pressure data on solid–solid transformation of graphite to lonsdaleite and cubic NDs (29–31). However, this transformation does not readily explain the NDs found at the KPg boundary or in the YDB. Based on available evidence, it seems unlikely that the YDB NDs formed by shock compression of terrestrial graphite, and instead, our preferred mechanism invokes the interaction of an ET object with Earth's atmosphere (49). If incoming objects are relatively small, virtually all kinetic energy is transferred to the atmosphere at high altitudes, creating an air shock with temperatures up to tens of thousands degrees Kelvin. These are the familiar shooting stars, the remains of which may be collected as cosmic dust. Although shock pressures due to solid–air interaction are modest at high altitudes, larger objects may be disrupted and fragmented as pressure builds due to increasing atmospheric density at lower altitudes. This breakup is especially likely if the object was loosely consolidated or low density like a comet. When an incoming ET object encounters the atmosphere and breaks apart, individual pieces rapidly decelerate due to the marked increase in the ratio of cross-sectional area to mass. Area of the luminous air shock is correspondingly increased, with the result that the object appears to “explode” in a fireball. For an object traveling at 30 km/s, air shock pressure would be approximately 20 MPa at 20 km altitude, approximately 170 MPa at 10 km, and approximately 900 MPa at sea level. For an air shock of 170 MPa, the pressure exceeds unconfined compressive strengths of many rocks.

These energetic events are often termed “atmospheric impacts” to distinguish them from more familiar crater-forming events. For example, the craterless Tunguska event in Siberia in 1908 appears to be such an atmospheric impact. Estimates of energy associated with this event range from 3 to 24 megatons of TNT (51, 52), powerful enough to produce an air shock that leveled approximately 80 million trees across 2,000 km<sup>2</sup> of forest. At a distance of 60 km, the air shock was still able to knock down a Siberian trader (53), and thermal radiation was intense enough to char his clothing (49). Even though the Tunguska atmospheric impact formed no known crater, it produced MSp (54) and lonsdaleite (55). Studies of such atmospheric impacts indicate that Tunguska-sized events up to 24 megatons occur about once every 220 y (52). Similar but smaller effects occurred during the Trinity atomic bomb test in 1945, an aerial burst that also left no crater yet produced glassy surficial sheet melt, along with rounded and teardrop-like glassy spherules (56). Such an atmospheric impact scenario is also the best explanation for other well-known events with no known craters, including the Libyan Desert glass field and Dakhleh Oasis glass in Egypt. In the Australasian tektite field (780 ka), microspherules and tektites are strewn across 10–30% of Earth's surface, producing the world's largest ejecta field and yet, there is no known crater. Wasson (57) proposed that the Australasian field resulted from an atmospheric impact by a comet approximately 1 km in diameter, striking Earth's atmosphere at an oblique angle.

The amount of kinetic energy transferred during an atmospheric impact via air shock depends upon the cross-sectional area of the object, its velocity, and its mass. Air shock pressure depends upon the velocity of the object and the density of air at altitude. Shock front temperature is limited to approximately 20,000 K by dissociation of air molecules ahead of front (58), and effective duration of the intense thermal pulse can be of the order of seconds. Whether an object disintegrates in flight depends upon its strength, size, shape, velocity, and angle of entry. In the case of a comet that is a dusty porous snowball having little strength, a 20-km-diameter comet traveling at 40 km/s

would not disintegrate in the Earth's atmosphere; the front of the comet would impact Earth before the shock from atmospheric impact reached the rear of the comet. However, comets with dimensions of tens of meters will disintegrate at high altitude. Weissman (59) estimated that a comet would have to be >350 m in diameter to penetrate Earth's atmosphere and form a crater, depending upon angle, velocity, etc. Such an event would be at least 500 × more energetic than the Tunguska event.

**YD Impact Model.** Based on current data, we propose the following preliminary model for formation of the YDB NDs and MSp. A comet or asteroid, possibly a previously fragmented object that was once greater than several hundred meters in diameter, entered the atmosphere at a relatively shallow angle (>5° and <30°). Thermal radiation from the air shock reaching Earth's surface was intense enough to pyrolyze biomass and melt silicate minerals below the flight path of the impactor (60). Pyrolytic products were oxidized, locally depleting the atmosphere of oxygen, and within microseconds, residual free carbon condensed into diamond-like crystal structures, CSp, carbon onions, and aciniform soot. This involved a CVD-like process similar to diamond-formation during TNT detonation. In some cases, carbon onions grew around the NDs and other nanomaterials. At the same time, iron-rich and silicate materials may have melted to form MSp.

Several seconds later, depending on the height of the thermal radiation source, the air shock arrived. NDs, MSp, CSp, and other markers were lofted by the shock-heated air into the upper atmosphere, where prevailing winds distributed them across the Northern and Southern Hemispheres. We suggest that the above model can account for the observed YDB markers.

## Methods

Core samples were divided into multiple aliquots for a wide range of analyses. Details on methodology followed the protocol published in Firestone et al. (2007), along with improvements as discussed in detail in the manuscript and in *SI Appendix, Methods*. These include MSp, magnetic grains, framboidal spherules, magnetic glass, aciniform soot, pollen, diatoms, charcoal, and CSp. NDs were extracted using the procedure published in Kennett et al. (2), as further discussed in the manuscript and in *SI Appendix, Methods*. Standard procedures were followed for analyses of all proxies.

## Summary

Synchronous peaks in multiple YDB markers dating to 12.9 ka were previously found at numerous sites across North and South America and in Western Europe. At Lake Cuitzeo, magnetic impact spherules, CSp, and NDs form abundance peaks within a 10 cm layer of sediment that dates to the early part of the YD, beginning at 12.9 ka. These peaks coincide with anomalous environmental, geochemical, and biotic changes evident at Lake Cuitzeo and in other regional records, consistent with the occurrence of an unusual event. Analyses of YDB acid-resistant extracts using STEM, EDS, HRTEM, SAD, FFT, EELS, and EFTEM indicate that Lake Cuitzeo nanoparticles are dominantly crystalline carbon and display d-spacings that match various ND allotropes, including lonsdaleite. These results are consistent with reports of abundant NDs in the YDB in North America and Western Europe.

Although the origin of these YDB markers remains speculative, any viable hypothesis must account for coeval abundance peaks in NDs, magnetic impact spherules, CSp, and charcoal in Lake Cuitzeo, along with apparently synchronous peaks at other sites, spanning a wide area of Earth's surface. Multiple hypotheses have been proposed to explain these YDB peaks in markers, and all but one can be rejected. For example, the magnetic impact spherules and NDs cannot result from the influx of cosmic material or from any known regular terrestrial mechanism, including wildfires, volcanism, anthropogenesis, or alternatively, misidentification of proxies. Currently, only one known event, a cosmic impact, can explain the diverse, widely distributed assemblage of proxies. In the entire geologic record, there are

only two known continent-wide layers with abundance peaks in NDs, impact spherules, CSps, and aciniform soot, and those are the KPg impact boundary at 65 Ma and the YDB boundary at 12.9 ka.

**ACKNOWLEDGMENTS.** Robert Rosenbauer and Pamela Campbell [US Geological Survey (USGS)] performed GC-MS analyses of extractable organic matter from the anomalous interval. We gratefully acknowledge Ming Xie for assistance with HRTEM analyses at University of Oregon's CAMCOR transmis-

sion electron microscopy facility, supported by grants from W.M. Keck Foundation, M.J. Murdock Charitable Trust, Oregon Nanoscience and Microtechnologies Institute, and Air Force Research Laboratory (agreement #FA8650-05-1-5041). R.B.F.'s efforts were supported, in part, by US Department of Energy Contract DE-AC02-05CH11231. Research by J.P.K. was supported in part by US National Science Foundation grant #OCE-0825322, Marine Geology and Geophysics. Various parts of the manuscript were improved as a result of collegial reviews by David Hodell (Cambridge University), Anthony Irving (University of Washington), John Barron, John Hagstrum, and Scott Starratt (USGS).

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