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| REPORT DOCUMENTATION PAGE | | | <i>Form Approved</i> OMB No. 0704-0188 | | |
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| 1. REPORT DATE (DD-MM-YYYY) 08-02--2012 | | 2. REPORT TYPE Proceedings | | 3. DATES COVERED (From - To) 02-08-2011 to 04-08-2011 | |
| 4. TITLE AND SUBTITLE Proceedings of the 2011 AFMS Medical Research Symposium. Volume 6. Traumatic Brain Injury (TBI) & Psychological Health Track | | | 5a. CONTRACT NUMBER | | |
| | | | 5b. GRANT NUMBER | | |
| | | | 5c. PROGRAM ELEMENT NUMBER | | |
| 6. AUTHOR(S) Mr. Andy Tesfazion (Editor), Welford C. Roberts, Ph.D. (Coordinating Editor) | | | 5d. PROJECT NUMBER | | |
| | | | 5e. TASK NUMBER | | |
| | | | 5f. WORK UNIT NUMBER | | |
| 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) | | | 8. PERFORMING ORGANIZATION REPORT NUMBER | | |
| 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) US Air Force Office of the Surgeon General AF/SG9 5201 Leesburg Pike Falls Church, VA 22041 | | | 10. SPONSOR/MONITOR'S ACRONYM(S) | | |
| | | | 11. SPONSOR/MONITOR'S REPORT NUMBER(S) | | |
| 12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; distribution is unlimited | | | | | |
| 13. SUPPLEMENTARY NOTES | | | | | |
| 14. ABSTRACT The U.S. Air Force Medical Service presented the sixth annual Air Force Medical Research Symposium coordinated by the Air Force Medical Support Agency's Research and Development Division (AFMSA/SGRS). The symposium was held 2-4 August 2011 at the Gaylord National Hotel & Convention Center, National Harbor, MD. The symposium featured two half-days of plenary sessions, one and a half days of scientific presentations, and a poster session. It was organized into five tracks to include: Operational Medicine (In-Garrison Care), Enroute Care and Expeditionary Medicine, Force Health Protection, Traumatic Brain Injury (TBI) and Psychological Health, and Healthcare Informatics. These proceedings are organized into six volumes to include one that provides a general overview and all presentation and poster abstracts; the other five each address a specific track. Volume 6 contains abstracts and presentation slides for the Traumatic Brain Injury (TBI) & Psychological Health Track. | | | | | |
| 15. SUBJECT TERMS US Air Force, Medical Service, Medical Research, Traumatic Brain Injury, Psychological Health | | | | | |
| 16. SECURITY CLASSIFICATION OF: | | | 17. LIMITATION OF ABSTRACT SAR | 18. NUMBER OF PAGES 152 | 19a. NAME OF RESPONSIBLE PERSON Nereyda Sevilla |
| a. REPORT U | b. ABSTRACT U | c. THIS PAGE U | | | 19b. TELEPHONE NUMBER (include area code) 703-681-6383 |

Proceedings of the
2011 AFMS Medical Research
Symposium
Volume 6. Traumatic Brain Injury and
Psychological Health Track
Abstracts and Presentations



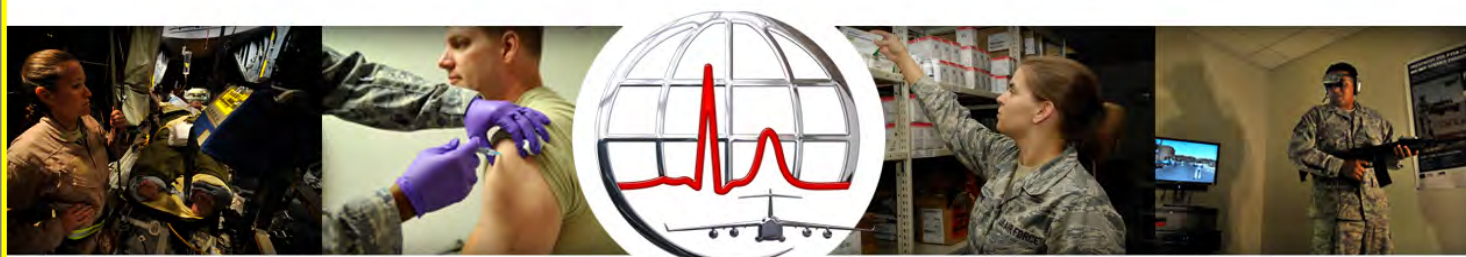
AIR FORCE MEDICAL SERVICE



2011 AFMS MEDICAL RESEARCH SYMPOSIUM

2-4 AUGUST 2011

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Proceedings of the
2011 AFMS Medical Research
Symposium
Volume 6. Traumatic Brain Injury and
Psychological Health Track
Abstracts and Presentations

Edited by: Anderson A. Tesfazion



Held
2-4 August 2011
at the
Gaylord National Resort Hotel and Convention Center
201 Waterfront Street
National Harbor, MD 20745



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| In September 2010 BG James J. Carroll, USAF, signed a Capability Development Document (CDD) for a non-invasive traumatic brain injury diagnostic capability. This was the culmination of a procurement effort sponsored by USAF Air Combat Command. The CDD was taken up by Joint Program Committee 6 (JPC6) and in January of 2011 an Integrated Product Team (IPT) was chartered for joint development of a diagnostic device. This presentation will report on progress of that IPT. Included will be descriptions of the leading technologies. | 82 |
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Proceedings of the 2010 AFMS Medical Research Symposium Introduction

The U.S. Air Force Medical Service presented the sixth annual Air Force Medical Research Symposium coordinated by the Air Force Medical Support Agency's Research and Development Division (AFMSA/SGRS). The symposium was held on 2-4 August 2011 in the Washington DC area at the Gaylord National Resort Hotel and Convention Center in National Harbor, MD. The symposium featured two half-days of plenary sessions, one and a half days of scientific presentations, and a poster session.

The symposium was organized into several tracks to include Enroute Care, Force Health Protection, Healthcare Informatics, Operational Medicine (In-Garrison Care), and Psychological Health/Traumatic Brain Injury, as follows:

- The Enroute Care Track addressed science and technology targeted at the continuum of care during transport from point of injury to definitive care including, but not limited to: Casevac, Medivac; Aeromedical Evacuation; Critical Care Air Transport; and Patient Staging. Further areas addressed included: patient stabilization; patient preparation for movement; impact of in-transit environment on patient and AE crew physiology; human factors concerns for AE crew or patient population; AE/medical personnel training; infectious disease/control; burn management; pain management; resuscitation; lifesaving interventions; and nutrition research in the enroute care environment.
- The Force Health Protection Track focused on prevention of injury and illness and the early recognition or detection of emerging threats for in-garrison or deployed operations. Topics of interest include research in bio-surveillance, infectious disease, emerging threats (pandemic response), protective countermeasures, disaster response/consequence management, toxicology/health risks (e.g., particulates nanomaterials, radiation, etc.), monitoring disease trends, other areas of preventive medicine, public and environmental health relevant to the military workforce.
- The Healthcare Informatics Track focused on the use of innovative information management & technology solutions that enhance healthcare delivery at any point of the full spectrum of patient care to include medical simulation and training.
- The Operational Medicine (In-Garrison Care) Track focused on care delivered in the outpatient or inpatient in-garrison setting and on enhancing the performance of airman in challenging operational and expeditionary environments.
- The Psychological Health/Traumatic Brain Injury Track addressed topics pertaining to screening, diagnosis, and treatment of TBI and/or Psychological Health in the military community. Specific focus areas within Psychological Health included depression, substance use disorders, family functioning, and suicide prevention. Topics of special interest included field-deployable diagnostic tests for mild TBI (concussion), blast modeling, large epidemiologic studies of Psychological Health and TBI, and strategies for translating research into practice.

These proceedings are organized into five volumes, as follows:



- Volume 1. This volume is a general overview of the entire 2011 Air Force Medical Research Symposium and includes abstracts of all the oral presentations and posters. First presented is the symposium's opening plenary session, followed by the abstracts from the four technical tracks, and then the closing plenary session. The abstracts associated with the poster session are in the last section of these proceedings. The agenda for the overall symposium is in Appendix A, attendees are listed in Appendix B, and continuing education information is in Appendix C of this volume. Appendices D-J are copies of presentation slides from the plenary sessions.
- Volume 2. This volume contains abstracts and presentation slides for the Enroute Care Track.
- Volume 3. This volume contains abstracts and presentation slides for the Force Health Protection Track.
- Volume 4. This volume contains abstracts and presentation slides for the Healthcare Informatics Track.
- Volume 5. This volume contains abstracts and presentation slides for the Operational Medicine (In-Garrison Care) Track.
- Volume 6. This volume contains abstracts and presentation slides for the Psychological Health/Traumatic Brain Injury Track.

(Pro) Decompressive Craniectomy: Lessons Learned and Clinical Experience from the DECRA Study and US Combat Operations

US Army Medical Research and Materiel Command

Dr. Kenneth Curley

The recent publication of the DECRA (Decompressive Craniectomy or DC) trial has resulted in a great deal of discussion and disagreement especially within the military neurosurgical community.¹⁻⁴ The trial was an international effort sponsored and coordinated by the Australian and New Zealand Intensive Care Society Clinical Trials Group. It was a prospective, randomized trial involving 155 adults (out of 3478 screened) with severe TBI and medically refractory Intracranial Hypertension (ICH) that found that decompressive craniectomy did not improve functional outcomes at 6 months after injury when compared to a group randomly assigned to receive non-surgical second tier ICP therapy. **Col McCafferty** and **Dr. Marion** will opine that many aspects of the trial make this one of the most important recent clinical trials of a novel therapy for severe TBI, and a Class I study that should be considered as the foundation for an evidence-based guideline. The most important is that this was a very well planned, carefully crafted and closely monitored multi-center prospective randomized clinical trial (PRCT), and PRCTs are the gold-standard for evidence based guidelines. By design, the study addressed all 22 elements of the CONSORT guidelines.⁵ Detailed protocols for critical care of all patients were clearly defined, agreed upon by all study investigators, and implemented at all enrolling centers. In particular, all patients were required to have intracranial pressure (ICP) monitors, 20 mm Hg was defined as the treatment threshold, and first and second tier ICP therapies were clearly defined. A pilot randomized trial was completed and published in 2008 as the basis for fine tuning protocols and data analysis plans, as well as providing objective data for determining the number of subjects needed to reach a two-sided type I error of 0.05 for the Phase III trial.⁶ Other than the imbalance in pupil reactivity, there were no significant clinical or demographic differences between the two groups. Dr. Marion and Col McCafferty will also address some of the concerns raised by their colleagues to include the issue of timing and inclusion of “lifesaving” procedure patients who had uncontrolled ICP at 72 hours as well as results of other PRCTs and reports that point to the issue of DC being more “gray” than “black and white”.





Decompressive Craniectomy: Lessons Learned and Clinical Experience from the DECRA study and US Combat Operations



a Debate

Kenneth C. Curley, MD
Neurotrauma Portfolio Manager
Combat Casualty Care Research Program
US Army Medical Research and Materiel Command

2 August 2011



Kenneth C. Curley MD, JNMB-RTC (P) 14-9-3341 (DSN 345-1) / Kenneth.Curley@us.army.mil UNCLASSIFIED Slide 1 of 4 2 August 2011





The NEW ENGLAND JOURNAL of MEDICINE

Decompressive Craniectomy in Diffuse Traumatic Brain Injury

G. James Cooper, M.D., Jeffrey V. Rosenfeld, M.D., Lynette Murray, B.App.Sci., Susan M. Arnold, M.Sc., Andrew B. Quares, M.B., B.S., Paul C. Yau, Ph.D., Thomas Knorr-Lewis, M.D., James Himmelfarb, Ph.D., Ian Sargent, M.B., B.S., Peter Reilly, M.D., and Bruce Wille, Ph.D., for the DECRA Trial Investigators and the Australian and New Zealand Intensive Care Society Clinical Trials Group

This article (10.1056/NEJoa1102077) was published on March 25, 2011, at NEJM.org.



Kenneth C. Curley MD, JNMB-RTC (P) 14-9-3341 (DSN 345-1) / Kenneth.Curley@us.army.mil UNCLASSIFIED Slide 2 of 4 2 August 2011




CONCLUSIONS

In adults with severe diffuse traumatic brain injury and refractory intracranial hypertension, early bifrontoparietal decompressive craniectomy decreased intracranial pressure and the length of stay in the ICU but was associated with more unfavorable outcomes. (Funded by the National Health and Medical Research Council of Australia and others; DECRA Australian Clinical Trials Registry number, ACTRN012005000000012)

NEJM J March 2011
(Copyright © 2011 Massachusetts Medical Society)

This article (10.1056/NEJoa1102077) was published on March 25, 2011, at NEJM.org.



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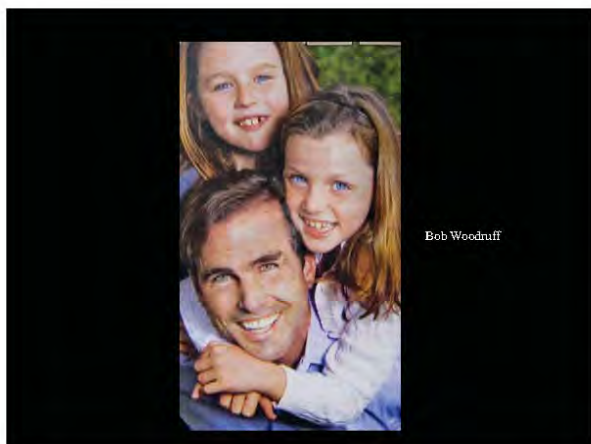



Format of Debate

- Pro-arguments
 - Dr. Donald Marion,
 - Defense and Veteran's Brain Injury Center
 - Col Randall McCafferty,
 - Chief, Neurosurgery, San Antonio Military Medical Centers
 - Dr. Bizhan Aarabi
 - Director of Neurotrauma, University of Maryland R Adams Cowley Shock Trauma Center
- Con-arguments
 - COL Rocco Ammonda
 - Neurosurgery, Walter Reed National Medical Center



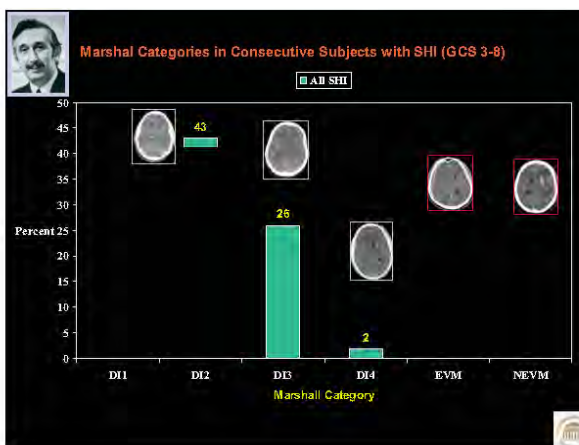
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How Do We Rank Evidence?

- QUESTION
- METHODOLOGY
- DATA
- INTERPRETATION
- RANK

Q: Does Bifrontal Decompressive Craniectomy In Severe Head Injury due to Diffuse Injury Improve Functional Outcome





Is Methodology Scientific?

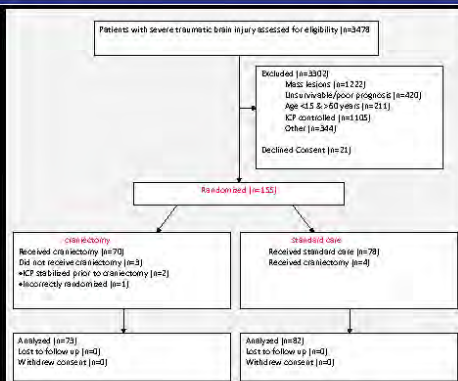
PRCT ATTEMPTS

- Coplin W
- TBI Clinical Trials Network

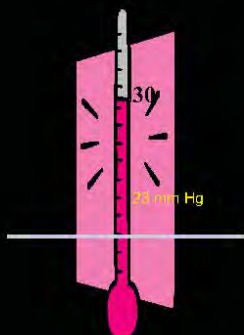


National Institute of Health

Assessment, Randomization, and Follow-up of the Trial Patients

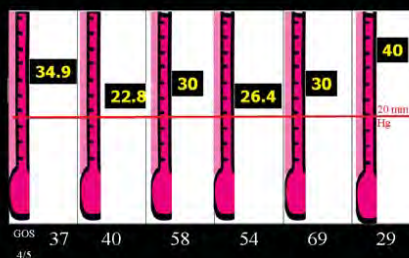


ICP Threshold for Intervention



ICP Threshold for DC for swelling

Polin Gower Guerra Taylor Whitfield Schneider

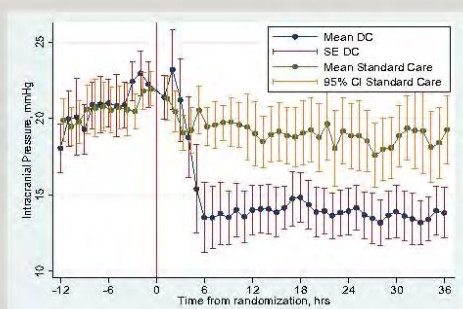
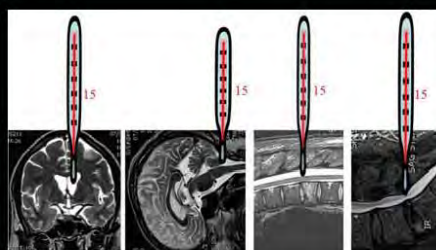


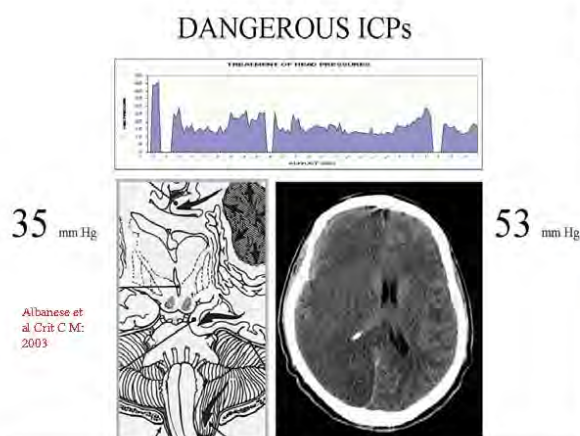
Are Data Sound & Controlled?

INTERPRETATION

- PUPILS
- OUTCOME
 - Dichotomized first which is not sensitive : GOS
 - Ordinal: GOSE, 1-4 and 5-8

DC and Added Volume to Intracranial Space





PREDICTORS OF GOOD OUTCOME
Aarabi et al J Neurosurgery 2006

| Variable | Diffuse Injury N (% with good outcome) | Crude Odds Ratio | 95% CI |
|--|---|------------------|-----------|
| Timing of DC | | | |
| Early | 13 (38.5) | 1.0 | Referent |
| Late | 26 (87.7) | 2.2 | 0.6-8.5 |
| Shift before DC | | | |
| >5 mm shift | 12 (80.0) | 0.9 | 0.2-3.6 |
| No significant shift | 27 (91.8) | 1.0 | Referent |
| Admission GCS | | | |
| 3-5 | 12 (16.7) | 1.0 | Referent |
| 6-8 | 18 (66.7) | 10.0 | 1.6-60.9 |
| 9-15 | 9 (66.7) | 19.0 | 1.2-78.1 |
| Admission motor GCS | | | |
| 1-4 | 22 (36.4) | 4.2 | 0.1-116.3 |
| 5-6 | 17 (79.6) | 1.0 | Referent |
| Age | | | |
| <20 years | 13 (61.5) | 1.9 | 0.5-7.2 |
| 20-49 years | 26 (46.1) | 1.0 | Referent |
| ≥50 years | 0 | -- | -- |
| Abnormal pupillary response [†] | | | |
| No | 29 (58.6) | 1.0 | Referent |
| Yes | 9 (33.3) | 0.3 | 0.1-1.7 |

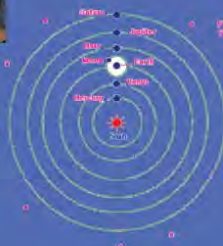
RANK?


Classification of Evidence on Therapeutic Effectiveness

- Class I Evidence from one or more well-designed, PRCT studies.
- Class II Evidence from one or more well-designed comparative clinical studies.
- Class III Evidence from case series, comparative studies with historical controls.

| AUTHOR | YEAR | Level of Evidence | Grade of Recommendation | COHORT | GOS 1 % | GOS 2/3 % | GOS 4/5 % |
|-----------------|------|-------------------|-------------------------|------------|-----------|-----------|-----------|
| Gower et al | 1988 | IV | Weak | 10 | 40 | 20 | 40 |
| Gaeb et al | 1990 | IV | Weak | 37 | 14 | 8 | 78 |
| Polin et al | 1997 | IV | Weak | 35 | 23 | 40 | 37 |
| Guerra et al | 1999 | IV | Weak | 57 | 10 | 23 | 58 |
| Whitfield et al | 2001 | III | Strong | 26 | 23 | 8 | 69 |
| Taylor et al | 2001 | II | Strong | 13 | 23 | 23 | 54 |
| Schneider et al | 2003 | IV | Weak | 65 | 23 | 48 | 29 |
| Timofeev et al | 2006 | III | Strong | 49 | 18.4 | 20.4 | 61.2 |
| Aarabi et al | 2006 | III | Strong | 50 | 28 | 32 | 40 |
| TOTAL | - | - | - | 342 | 23 | 25 | 52 |

And I Quote:
 "Finally we shall put the Sun himself in the center of the Universe"





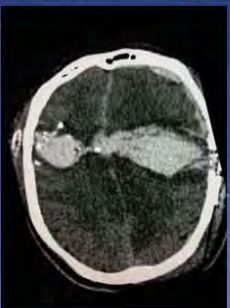
Decompressive Craniectomy for Traumatic Brain Injury

Don Ed W. Marion, MD MSc
*Defense and Veterans Brain Injury Center
and the Henry M. Jackson Foundation*

Disclaimer

The views expressed in this presentation are those of the author and do not reflect the official policy of the Department of Defense, Department of Veterans Affairs or the U. S. Government.

Craniectomy is necessary for non-expectant penetrating injuries



Source: ultradite.blogspot.com

Recent studies of OIF/OEF Service Members focus on Penetrating Injuries

- Outcomes of 33 patients from the wars in Iraq and Afghanistan undergoing bilateral or bicompartamental craniectomy. Robert D. Ecker, Lisa P. Mulligan, Michael Dirks, Randy S. Bell, Meryl A. Severson, Robin S. Howard and Rocco A. Armonda. J Neurosurg, 115:124-129, 2011
 - All 33 with penetrating injuries
- Early decompressive craniectomy for severe penetrating and closed head injury during wartime. Bell RS, Mossop CM, Dirks MS, Stephens PL, Mulligan L, Ecker R, Neal CJ, Kumar A, Tigno T, Armonda RA. Neurosurg Focus, 28(5):E1, 2010.
 - 154/188 with penetrating injuries

Diffuse injury and swelling with Blast



Source: Ling et al., JOURNAL OF NEUROTRAUMA 26:815-825 (June 2009)

DEcompressive CRAniectomy (DECRA) Trial: First randomized trial for decompressive craniectomy

- ⊙ 155 adults with:
 - > Severe diffuse **non-penetrating** TBI
 - > Intracranial hypertension refractory to first tier therapy
- ⊙ Randomization
 - > Bifrontotemporoparietal craniectomy or
 - > Aggressive second tier medical management – mild hypothermia, barbiturates

Cooper DJ, Rosenfeld JV, Murray L, Arabi YM, Davies AR, D'Uzo F, Kasprmann T, Forstorf J, Seppelt J, Reilly P, Wolfe R. DECRA Trial Investigators: Australian and New Zealand Intensive Care Society Clinical Trials Group. Decompressive craniectomy in diffuse traumatic brain injury. *N Engl J Med*. 2011 Apr 21;364(16):1493-502.

DECRA - Outcome

- ⊙ **6 month mortality rate the same**
 - > 19% (decompressive craniectomy) vs 18% (medical management)
- ⊙ **Unfavorable outcomes similar, or slightly higher for decompressive craniectomy group**
 - > Adjusted OR: 1.90; 95% CI, 0.95 to 3.79 (adjusted for higher incidence of brainstem injury in DC group)

Standards for reporting randomized controlled trials in neurosurgery (*J Neurosurg*, 114:280-285, 2011)

- ⊙ "...the quality of reporting of these trials remains suboptimal, especially in the neurosurgical journals."
- ⊙ "Improved awareness of the CONSORT guidelines by journal editors, reviewers, and authors of these papers could improve the methodology and reporting of randomized controlled trials in neurosurgery."

Consolidated Standards of Reporting Trials (CONSORT)

- The **CON**solidated **S**tandards of **R**eporting **T**rials (**CONSORT**) Guidelines were developed to help authors improve reporting of two-parallel design randomized controlled trials by using a checklist and flow diagram.
- The most up-to-date revision of the CONSORT Statement is CONSORT 2010.

Schulz KF, Altman DG, Moher D, for the CONSORT Group. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. Ann Int Med 2010;152.

DECRA Observed all but two CONSORT 2010 Guidelines

CONSORT 2010 Guidelines

| Title and abstract | | DECRA |
|---------------------------|---|-------|
| 1a | Identification as a randomised trial in the title | N |
| 1b | Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts) | Y |
| Introduction | | |
| Background and objectives | 2a Scientific background and explanation of rationale | Y |
| | 2b Specific objectives or hypotheses | Y |

Schulz KF, Altman DG, Moher D, for the CONSORT Group. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. Ann Int Med 2010;152.

CONSORT 2010 Guidelines

| Methods | | DECRA |
|----------------------------------|---|-------|
| Trial design | 3a Description of trial design (such as parallel, factorial) including allocation ratio | Y |
| | 3b Important changes to methods after trial commencement (such as eligibility criteria), with reasons | Y |
| Participants | 4a Eligibility criteria for participants | Y |
| | 4b Settings and locations where the data were collected | Y |
| Interventions | 5 The interventions for each group with sufficient detail to allow replication, including how and when they were actually administered | Y |
| Outcomes | 6a Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed | Y |
| | 6b Any changes to trial outcomes after the trial commenced, with reasons | Y |
| Sample size | 7a How sample size was determined | Y |
| | 7b When applicable, explanation of any interim analyses and stopping guidelines | Y |
| Randomisation | 8a Method used to generate the random allocation sequence | Y |
| | 8b Type of randomisation; details of any restriction (such as blocking and block size) | Y |
| Allocation concealment mechanism | 9 Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned | Y |
| Implementation | 10 Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions | N |
| Blinding | 11a If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how | Y |
| | 11b If relevant, description of the similarity of interventions | Y |
| Statistical methods | 12a Statistical methods used to compare groups for primary and secondary outcomes | Y |
| | 12b Methods for additional analyses, such as subgroup analyses and adjusted analyses | Y |

CONSORT 2010 Guidelines

| Results | | | DECRA |
|--|-----|---|-------|
| Participant flow (a diagram is strongly recommended) | 13a | For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome | Y |
| | 13b | For each group, losses and exclusions after randomisation, together with reasons | Y |
| Recruitment | 14a | Dates defining the period of recruitment and follow-up | Y |
| | 14b | Why the trial ended or was stopped | Y |
| Baseline data | 15 | A table showing baseline demographic and clinical characteristics for each group | Y |
| Number analysed | 16 | For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups | Y |
| Outcome and estimation | 17a | For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval) | Y |
| | 17b | For binary outcomes, presentation of both absolute and relative effect sizes is recommended | Y |
| Auxiliary analyses | 18 | Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory | Y |
| Harms | 19 | All important harms or unintended effects in each group (for specific guidance see CONSORT for harms) | Y |

CONSORT 2010 Guidelines

| Discussion | | | DECRA |
|-------------------|----|--|-------|
| Limitations | 20 | Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses | Y |
| Generalisability | 21 | Generalisability (external validity, applicability) of the trial findings | Y |
| Interpretation | 22 | Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence | Y |
| Other information | | | |
| Registration | 23 | Registration number and name of trial registry (Clinical Trials.gov Identifier: NCT00155967) | Y |
| Protocol | 24 | Where the full trial protocol can be accessed, if available | Y |
| Funding | 25 | Sources of funding and other support (such as supply of drugs), role of funders | Y |

Oxford Centre for Evidence Based Medicine, (CEBM) Evidence Grades: (Standard endorsed by the US Agency for Healthcare Research and Quality (AHRQ), HHS)

- Evidence Grade: A
- Definition of Grade: Level 1 study
- Definition of Level 1 Study: Randomized controlled trial

Source: <http://www.ahrq.gov/chipra/lessons.htm>

What do the other studies show?

- There are no other randomized controlled trials that compare bilateral decompressive craniectomy with aggressive medical management!
- In 29 retrospective, or prospective case controlled/cohort studies with historical controls, poor outcomes for patients undergoing decompressive craniectomy range from 31% to 93%.

Sahuquillo J, Ariza F. Decompressive craniectomy for the treatment of refractory high intracranial pressure in traumatic brain injury. Cochrane Database Syst Rev. 2008(1):CD005993.

Criticisms of the DECRA Trial

- Crossover for medical management group
- 6 month follow-up too short
- Overly aggressive treatment of intracranial pressure (ICP) of 20-25 mm Hg
- Wrong operation

Impact of Cross-Over Design

- Intent-to-treat outcome analysis rules
- Bias is toward worse outcome in medical group

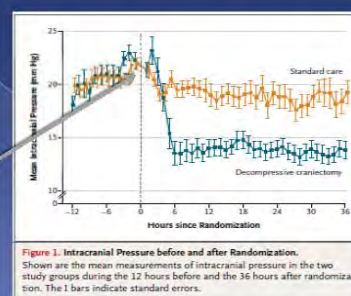
Assessment of Outcomes at 6 months-Usual Practice for Contemporary TBI Clinical Trials

- 786 patients with severe TBI in the MCV TBI Database: significant slowing in the rate of recovery after 6 months as compared to the rate of improvement from the time of injury to 6 months.
 - > "the 6-month outcome could be a reasonable end point for a clinical trial".
- Trying to obtain 1, 2 and 3 year outcomes is not only cost prohibitive, but associated with significant loss to follow-up.

Choi SC, Barnes TY, Bullock R, Germanos TA, Marmarou A, Young HF. Temporal profile of outcomes in severe head injury. J Neurosurg. 1994; 81:169-173.

Overly aggressive treatment of ICP?

The number is not the whole story:
How hard are you working to maintain this ICP?



Best Operation for Refractory ICH:

- Temporal lobectomy
- Extended temporal craniectomy
- Unilateral frontotemporoparietal craniectomy ipsilateral to swollen hemisphere
- Bilateral frontotemporoparietal craniectomy, with or without central bridge(± cut SSS, falx)



Medical Complications of DC

- Herniation through cranial defect (26-51%)
- Subdural effusions (49-62%)
- Seizures (14-22%)
- Hydrocephalus (11-14%)

1. Aarabi B, J Neurosurg. 2006 Apr;104(4):469-79.; 2. Ban S, J Korean Neurosurg Soc. 2010 Sep;48(3):244-50.
3. Gooch MR, Neurosurg Focus. 2009 Jun;26(6):E9.; 4. Honeybul S, J Clin Neurosci. 2010 Apr;17(4):430-5.
5. Honeybul S, J Neurotrauma. 2011 Jun 28.; 6. Stiver SI, Neurosurg Focus. 2009 Jun;26(6):E7.
7. Yang XF, Acta Neurochir (Wien). 2008 Dec;150(12):1241-2

Lesson learned:

Successful control of refractory ICP does not equate to improved outcomes!

- > Same lesson learned with therapeutic hypothermia!

Evidence Based Standard

Decompressive craniectomy should not be considered an effective therapy for improving neurologic outcome in adults with severe nonpenetrating TBI.

Headquarters U.S. Air Force

Integrity - Service - Excellence



Decompressive Craniectomy

- Col Randall McCafferty
- AF/SG Consultant for Neurosurgery
- Chief of Neurosurgery, SAMMC

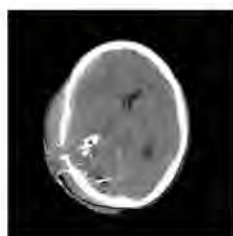
Disclaimer

- The views expressed in this presentation are those of the author and do not reflect the official policy of the United States Air Force or the U. S. Government.

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Decompressive Craniectomy

- Complications
- Military Literature
- Animal Studies



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Complications of Craniectomy

- Overall (55%)
- Herniation through cranial defect (26-51%)
- Subdural effusions (49-62%)
- Seizures (14-29%)
- Hydrocephalus (11-40%)
- ICU/Hospital stay 13/27 days

1. Aarabi B, J Neurosurg. 2006 Apr;104(4):469-79; 2. Ben S, J Korean Neurosurg Soc. 2010 Sep;43(3):244-50; 3. Chhabra R, World Neurosurgery 75(3A): 555-62, 2011; 4. Goshal MB, Neurosurg Focus. 2009 Jun;26(6):E7; 5. Hwang JH, J Clin Neurosci. 2010 Apr;17(4):450-5; 6. Hwang JH, J Neurosurg. 2011 Jun 26; 7. Kim P, J Neurosurg. 2005(Suppl):337-42, 2006; 8. Pao G, J Trauma. 2009; 9. Silver SM, Neurosurg Focus. 2009 Jun;26(6):E7; 10. Yang X, Acta Neurochir (Wien). 2008 Dec;150(12):1241-7.

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Complications of Cranioplasty

- Overall 34%
- Infection/Wound Dehiscence 11.6 - 14.5%
- Re-operation 26%
- Extra-Axial Hematoma 3.2%
- Status Epilepticus 1.6%
- Long term (>30d) implant problems 7 - 8%
- Death 2.2%

Goeh MR et al. Neurosurg Focus 26 (6):E9, 2009. Honeybul, et al, J Neurotrauma 28:329-35, 2011.

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Military Studies

- Bell RS, Vo AH, Neal CJ, Tigno J, Roberts R, Mossop C, Dune JR and Ammonda RA. Military Traumatic Brain and Spinal Column Injury: A 5 year Study of the Impact Blast and Other Military Grade Weaponry on the Central Nervous System. J Trauma 66(4 suppl):S104-S111, 2009.
- Ragel BT, Klimo P, Martin JE, Teff RJ, Bakken HE and Ammonda RA. Wartime decompressive craniectomy: technique and lessons learned. Neurosurg Focus 28(5):E2, 2010.
- Bell RS, Mossop CM, Dirks MS, Stephens FL, Mulligan L, Ecker R, Neal CJ, Kumar A, Tigno T, and Ammonda RA. Early decompressive craniectomy for severe penetrating and closed head injury during wartime. Neurosurg Focus 28(5):E1, 2010.
- Ecker RD, Mulligan LP, Dirks M, Bell RS, Severson MA, Howard RS and Ammonda RA. Outcomes of 33 patients from the wars in Iraq and Afghanistan undergoing bilateral or bicompartmental craniectomy. J Neurosurg 115:124-129, 2011.
- Stephens FL, Mossop CM, Bell RS, Tigno T, Rosner MK, Kumar A, Moores LE, and Ammonda RA. Cranioplasty complications following wartime decompressive craniectomy. Neurosurg Focus 28(5):E3, 2010.

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Level/Class of Evidence

Retrospective Descriptive Case Series

- Oxford Center of Evidence Base Medicine: Level IV
- US Preventive Services Task Force; National Health Centre (UK); Cochrane Collaboration: Level/Class III

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Specific Limitations of Military Reports

- Unreliable data
- High Drop Out (108/188) out of 408
 - #1 cause could not vet basic demographic info
- Not Peer-Reviewed Literature
- Difficult to obtain meaningful follow-up
- Mean GCS 7.7+/- 4.2
- "culture of care developed that all patients... potentially salvageable... undergo decompression" "...to avoid making long transport flights unsafe"

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Outcome 33 Patients with Penetrating Injury

| Characteristic | GOS Score at 1-5 Yrs No. of Patients (%) | |
|-----------------------------------|---|--------------------------------|
| | Poor Outcome (Score 1-3) | Good Outcome (Score 4 or 5) |
| focus of initial injury | | |
| bifrontal | 2 (17) | 13 (72) |
| all other locations | 10 (83) | 5 (28) |
| timing | | |
| delayed | 0 (0) | 3 (18) |
| early | 16 (100) | 14 (82) |
| Mean age 24 | | |
| GOS at 6 mos: 17/33 GOS 4/5 (52%) | | |

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Medical Complications from Decompressive Craniectomy in Military Patients

- Seizure 33%
- CNS infection 38%
- Shunt 14/22 (64%)
- ICU days 19.4 +/- 31.5



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Complications of Cranioplasty from Theater Patients

- Infection 12%
- Seizure 7.4%
- Extra-axial Hematoma 7.4%
- Re-operation 11%
- Death 1%

Stephens et al. Neurosurg Focus 28 (5):E3, 2010

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Neuro-Physiological Studies

- Normal Cat brain: Hemicraniectomy decreases CBF, CMRO2 and CMR
- Patients with Cranioplasty have decreased phosphocreatine activity before and significant improvement after cranioplasty
- Improved CBF after cranioplasty

Schaller, Brain Research 982:31-77, 2003. Yoshida et al. J Neurol Neurosurg Psych 61:166-71, 1996
Sakamoto et al. Clin Neurol Neurosurg 108:583-5, 2006

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Summary

- 'Culture' of early decompressive craniectomy should be abandoned
- Neurotrauma patients should be considered for delayed evacuation until neuro-physiologically stable
- Option: Delayed craniectomy should be considered only a late tier therapy in consideration of deleterious ramifications of decision
- More (and better) research required

13

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(Con) Decompressive Craniectomy: Lessons Learned and Clinical Experience from the DECRA Study and US Combat Operations

Dr. Kenneth Curley

The recent publication of the DECRA (Decompressive Craniectomy or DC) trial has resulted in a great deal of discussion and disagreement especially within the military neurosurgical community.¹ The trial was an international effort sponsored and coordinated by the Australian and New Zealand Intensive Care Society Clinical Trials Group. It was a prospective, randomized trial involving 155 adults (out of 3478 screened) with severe TBI and medically refractory Intracranial Hypertension (ICH) that found that decompressive craniectomy did not improve functional outcomes at 6 months after injury when compared to a group randomly assigned to receive non-surgical second tier ICP therapy. Issues related to severity of injury, timing of intervention, duration of followup and differences between the operated and non-operated groups with respect to injury severity were just a few of the weaknesses identified in the study.² Of concern, many in the neurosurgical and neurological critical care communities have taken this study as evidence to support discontinuing the practice of early DC. This, despite the fact that literature published by military and civilian neurosurgeons in the U.S. have shown significant benefit in the young, healthy population. In one study 60% of the casualties were functioning independently at long-term followup.³⁻⁶ In this session, **COL Rocco Armonda** and **Dr. Bizhan Aarabi** will discuss their experiences regarding DC in contrast to what was revealed by the DECRA trial. They will argue that there is a place for DC in the military and civilian neurocasualty and that the broad interpretation of the conclusions of the DECRA trial are inappropriate.

DECRA CON: Why DECRA Doesn't Apply to Wartime Severe Neurotrauma*

Col. Rocco A. Armonda, MD
National Capital Neurosurgery Consortium
Walter Reed National Military MEDCEN

*(and probably Civilian Trauma as well)

Disclamier

- The views expressed in this presentation are those of the author (me) and do not necessarily reflect the official policy or position of the Department of the Army, Department of the Navy, Department of Defense, nor the US Government.
- I have no relevant financial disclosures



Progression of Therapy

Figure 1. Historical Evolution of Treatment Paradigms for Penetrating Brain Injury





Wartime Penetrating Injuries of the Brain

Table 12.1 Cushing's Classification of Penetrating Brain Injury (1918)¹

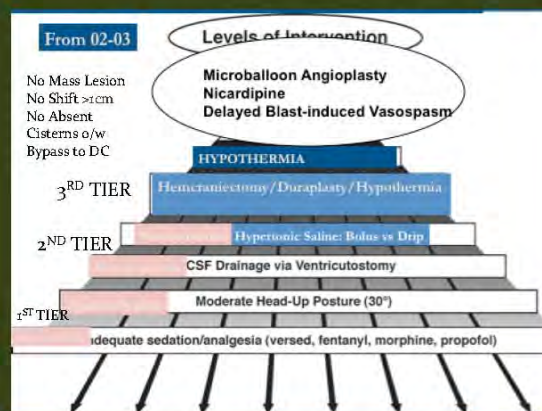
| Grade | Description | No. of WWI Cases | % Mortality |
|-------|---|------------------|-------------------|
| I | Scalp lacerations with intact skull | 22 | 4.5 |
| II | Wounds with skull fractures/intact dural = depression | 54 | 9.2 |
| III | Wounds with depressed skull fracture/dural laceration | 18 | 11.8 |
| IV | Wounds (guttering type) with in driven fragments, usually protruding brain | 25 | 24 |
| V | Penetrating wound, lodged projectile, brain usually protruding | 41 | 36.6 |
| VI | Wounds penetrating ventricles with either (a) bone fragments or (b) projectiles | a) 14 b) 16 | a) 42.9 b) 100 |
| VII | Wounds involving orbital/anal or auropterosal region with extruding brain | 15 | 73.3 |
| VIII | Perforating wounds, cerebral injury severe | 5 | 80 |
| IX | Cranio-cerebral injury with massive skull fracture | 10 | 50 |

Review of Difference: DECRA vs Wartime Craniectomy

- Different Population
- Different Indications
- Different Mechanisms
- Different Technique
- Different Length of Follow-up
- Different Centralized Rehabilitative Care!!!

Population Differences

- Wartime
 - Mass Lesions
 - Shift (Lateralized)
 - Contusions
 - Hematoma
 - Intra/Extra-axial
- DECRA
 - Diffuse Injury
 - 155 OUT OF 3248! !
 - Blunt Trauma
 - Exclusion of Mass Lesions
 - 73 SURGERY VS 83 MEDICAL THERAPY



Wartime Decompressive Craniectomy

Neurosurgery Focus 28 (Dec 1), 2010

Early decompressive craniectomy for severe penetrating and closed head injury during wartime

Randy S. Bell, M.D.,^{1,2} Carlos M. Shott, M.D.,^{1,2} Michael S. Drees, M.D.,^{1,2}

Christopher J. Neal, M.D.,^{1,2} Alexander H. Vo, M.D.,^{1,2} James R. Dunne, M.D.,^{1,2} and Rocco A. Armonda, M.D.^{1,2}

¹Uniformed Services University of Health Sciences, Department of Neurosurgery, National Naval Medical Center, Bethesda, Maryland; ²Department of Neurosurgery, Walter Reed Army Medical Center, and ³Naval Capital Construction Neurosurgery Program, Washington, DC

R. S. Bell et al.

TABLE 1. Injuries in military patients who underwent decompressive craniectomy stratified by mechanism and submechanism, as well as the extent of injury classified with reference to the most recent GOS score

| | PH | CH | OTH |
|---------------------|-----------|-----------|-----------|
| Mechanism of injury | | | |
| PH | 154 | 22 | 10 |
| CH | 130 | 50 | 16 |
| OTH | 130 | 50 | 16 |
| Submechanism | | | |
| PH | 91 | 28 | 4 |
| CH | 91 | 28 | 4 |
| OTH | 91 | 28 | 4 |
| Mean GOS score | 3.7 ± 1.6 | 2.7 ± 1.3 | 2.5 ± 1.8 |
| p value | | 0.002 | |

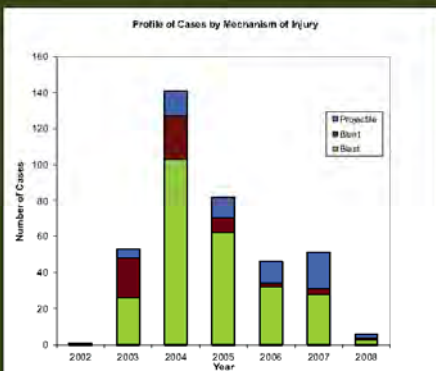
* PH = penetrating head injury; CH = closed head injury; OTH = gunshot wound; PH = penetrating head injury; † Values represent number of patients.

The Journal of TRAUMA® Injury, Infection, and Critical Care

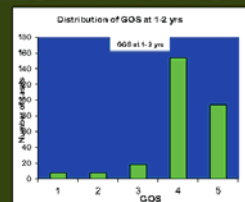
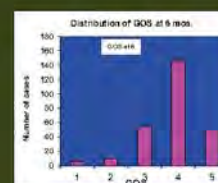
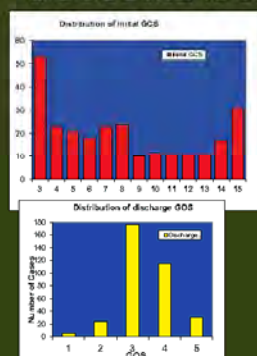
Military Traumatic Brain and Spinal Column Injury: A 5-Year Study of the Impact Blast and Other Military Grade Weaponry on the Central Nervous System

Randy S. Bell, Alexander H. Vo, Christopher J. Neal, Jane Tigas, Ryan Roberts, Corey Massup, James R. Dunne, and Rocco A. Armonda

205 Decompressive Craniectomies
(50% of all Consults)
2003–2008
Average GCS 7
Mortality 4.4% in CONUS



OUTCOME vs Time



Worse Group OUTCOMES

- 42 Patients with GCS 3-5
 - 17 Improved to GOS 4 or 5
 - 10 Expired
 - 15 GOS 2 and 3.

MILITARY MULTIPLE COMPARTMENT DECOMPRESSIONS

DOI: 10.3171/2011.2.JNS.3571.98

Outcomes of 33 patients from the wars in Iraq and Afghanistan undergoing bilateral or bicompartamental craniectomy

Clinical article

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MICHAEL DUKES, M.D., CPT, USA;³ RANDY S. BELL, M.D., LCDR, USN;²
MURRY A. SIVVERSON, M.D., CDR, USN;² BRIAN S. HOWARD, M.A.;²
AND ROCCO A. ARNONE, M.D., COL, USA¹

¹Maine Medical Partners Neurosurgery & Spine, Scarborough, Maine; ²Department of Neurosurgery, National Naval Medical Center, Bethesda, Maryland; and ³Department of Neurosurgery and Clinical Investigation, Walter Reed Army Medical Center, Washington, DC

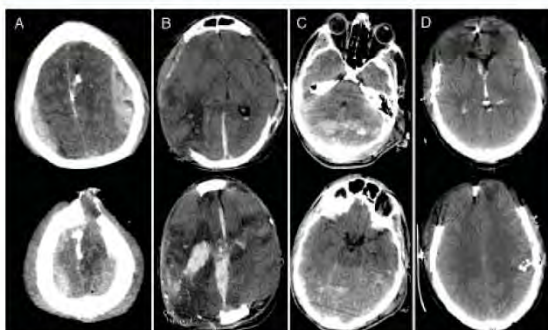


FIG. 1. Computed tomography scans showing injury focus above the ventricles (A), at the ventricles (B), below the ventricles (C), and bifrontal (D).

TABLE 1: Patient demographic and clinical characteristics

| Characteristic | Value* |
|----------------------------------|------------|
| median age (yrs) | 24 (19–46) |
| male sex | 33 (100) |
| type of injury | |
| blast penetrating | 29 (88) |
| gunshot wound | 4 (12) |
| type of decompression | |
| bifrontal | 19 (58) |
| bihemispheric | 8 (24) |
| supra- & infratentorial | 6 (18) |
| location of injury | |
| bifrontal | 16 (49) |
| ventricular | 7 (21) |
| below the ventricle | 7 (21) |
| above the ventricle | 3 (9) |
| median initial GCS score | 5 (3–14) |
| median length of follow-up (mos) | |
| survivors | 34 (6–69) |
| deaths | 2 (<1–10) |

* Median values are presented with their ranges in parentheses. All other values represent the number of patients with percentages in parentheses.

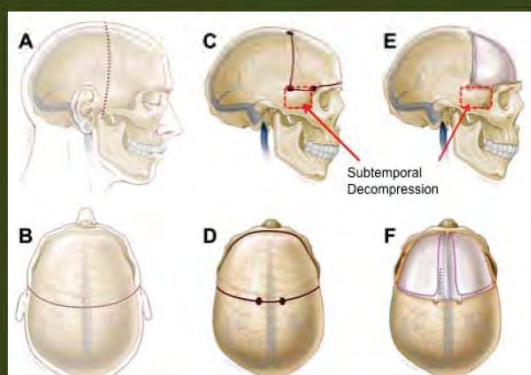
TABLE 2. Association of clinical characteristics and GOS score at 6 months and 1-5 years

| Characteristic | GOS Score at 6 Mos | | | GOS Score at 1-5 Yrs | | |
|--------------------------|---------------------|--------------------------|-----------------------------|----------------------|--------------------------|-----------------------------|
| | No. of Patients (%) | Poor Outcome (Score 1-3) | Good Outcome (Score 4 or 5) | No. of Patients (%) | Poor Outcome (Score 1-3) | Good Outcome (Score 4 or 5) |
| No. of patients | 18 | 17 | 0 (0) | 12 | 18 | 0 (0) |
| sex | | | | | | |
| male | 16 (100) | 15 (94) | 1 (6) | 12 (100) | 12 (100) | 0 (0) |
| female | 2 (12) | 2 (100) | 0 (0) | 0 (0) | 0 (0) | 0 (0) |
| location of injury | | | | | | |
| bifrontal | 4 (22) | 4 (100) | 0 (0) | 2 (17) | 2 (100) | 0 (0) |
| all other locations | 12 (78) | 12 (100) | 0 (0) | 10 (83) | 10 (100) | 0 (0) |
| type of decompression | | | | | | |
| bifrontal | 8 (80) | 8 (100) | 0 (0) | 5 (42) | 5 (100) | 0 (0) |
| bifrontal + craniectomy | 5 (31) | 5 (100) | 0 (0) | 5 (42) | 5 (100) | 0 (0) |
| supra- & infratentorial | 3 (19) | 3 (100) | 0 (0) | 2 (17) | 2 (100) | 0 (0) |
| category | | | | | | |
| gunshot wound | 1 (6) | 1 (100) | 0 (0) | 0 (0) | 0 (0) | 0 (0) |
| penetrating head injury | 15 (84) | 14 (93) | 1 (7) | 12 (100) | 12 (100) | 0 (0) |
| mechanism of injury | | | | | | |
| blast | 8 (50) | 8 (100) | 0 (0) | 6 (50) | 6 (100) | 0 (0) |
| projectile | 8 (50) | 8 (100) | 0 (0) | 6 (50) | 6 (100) | 0 (0) |
| presence of | | | | | | |
| CSF leak | 114 (7) | 5 (26) | 0.26 | 110 (93) | 4 (22) | 0.062 |
| pulmonary embolism | 115 (7) | 3 (26) | 0.26 | 111 (93) | 2 (17) | 0.36 |
| seizures | 6 (36) | 5 (83) | 0.83 | 6 (50) | 5 (83) | 0.45 |
| CN3 infection | 6 (36) | 6 (100) | 0.10 | 6 (50) | 6 (100) | 0.66 |
| systemic infection | 12 (74) | 8 (67) | 0.21 | 9 (75) | 11 (92) | 0.041 |
| optimistic injury | 5 (31) | 5 (100) | 0.47 | 5 (42) | 5 (100) | 0.99 |
| pseudotumor | 3 (19) | 3 (100) | 0.83 | 3 (25) | 3 (100) | 0.57 |
| vasospasm | 4 (24) | 4 (100) | 0.99 | 5 (42) | 5 (100) | 0.83 |
| acute swelling | 8 (50) | 8 (100) | 0.54 | 8 (67) | 8 (100) | 0.36 |
| shunt | 5 (31) | 5 (100) | 0.17 | 5 (42) | 5 (100) | 0.42 |
| major vascular occlusion | 3 (19) | 3 (100) | 0.15 | 3 (25) | 3 (100) | 0.59 |
| any vascular injury | 10 (62) | 9 (90) | 0.59 | 10 (83) | 9 (90) | 0.271 |

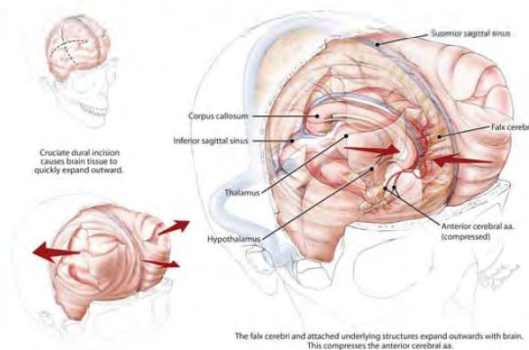
* Probability values were obtained using the Wilcoxon rank-sum test or Kruskal-Wallis ANOVA to compare clinical factors with the numerical GOS outcomes at 6 months and 1-5 years.

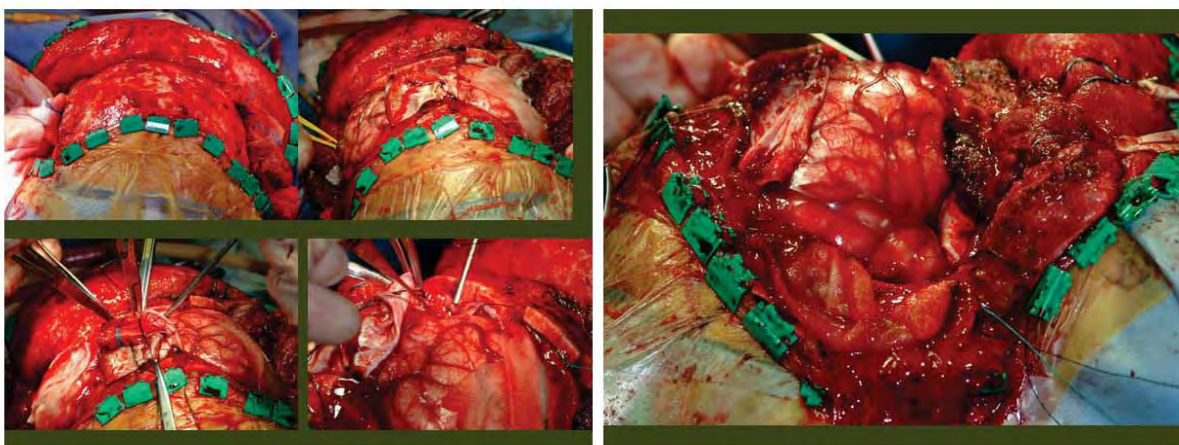
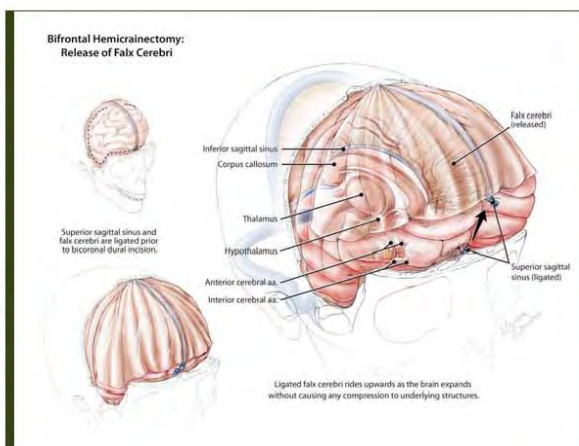
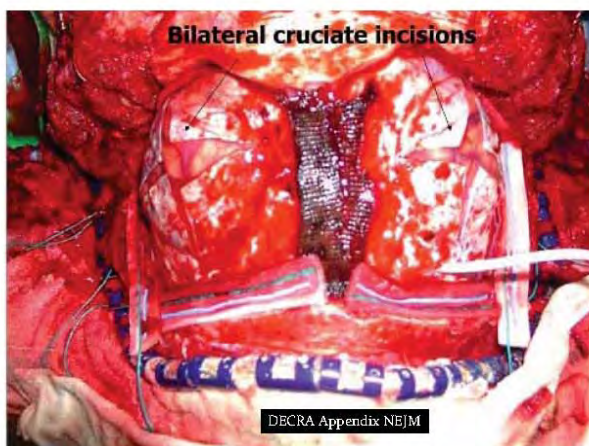
Follow-up Outcome: Military Multi-compartmental

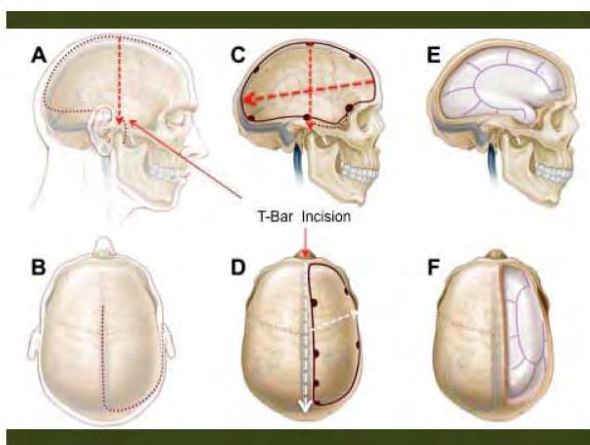
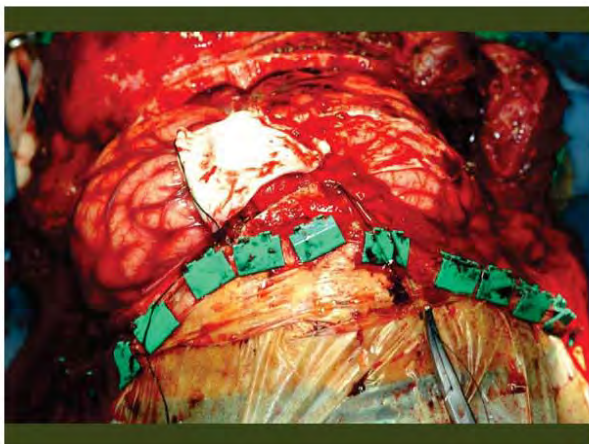
- 33 patients 6 months
- 30 patients 1-5 years
 - 23% dead
 - 17% GOS 2 or 3 (7% vegetative, 10% Dependent)
 - 60% GOS 4 or 5
 - Average > than 2 years (Median 34 months Follow-up)



Bifrontal Hemicraniectomy: Cruciate Dural Incision Without Release of Falx Cerebri





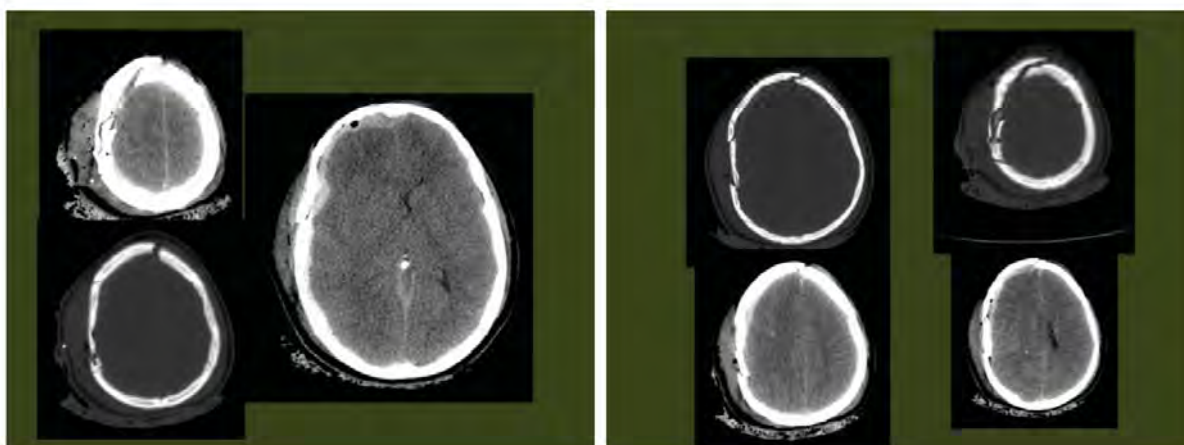


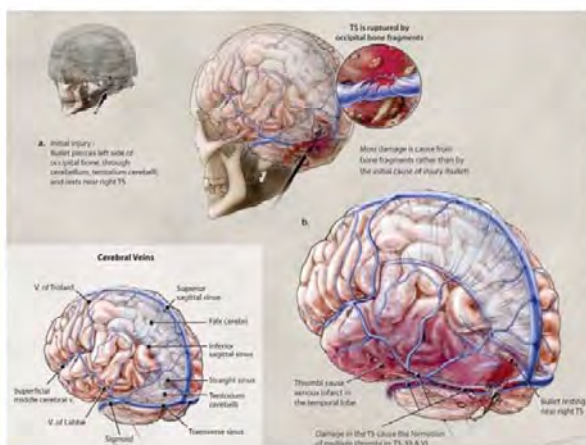
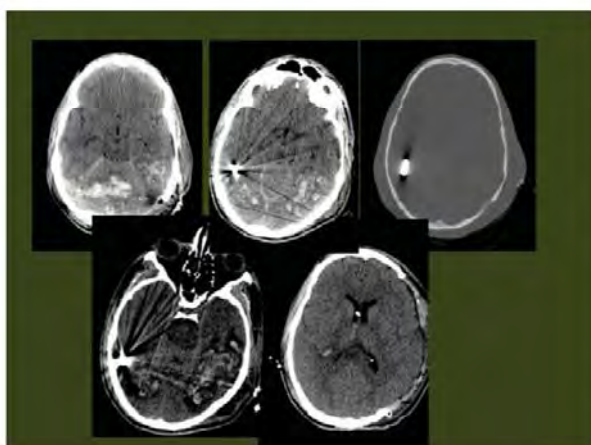
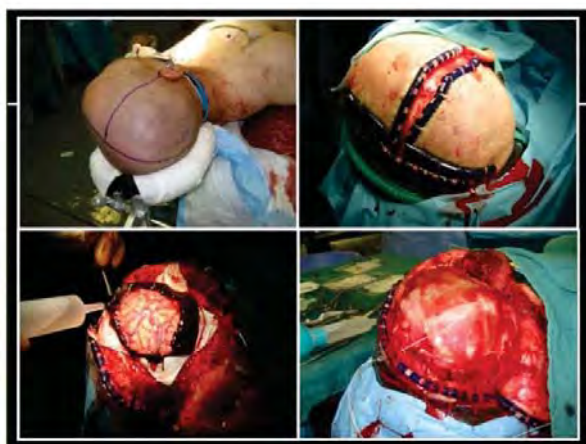
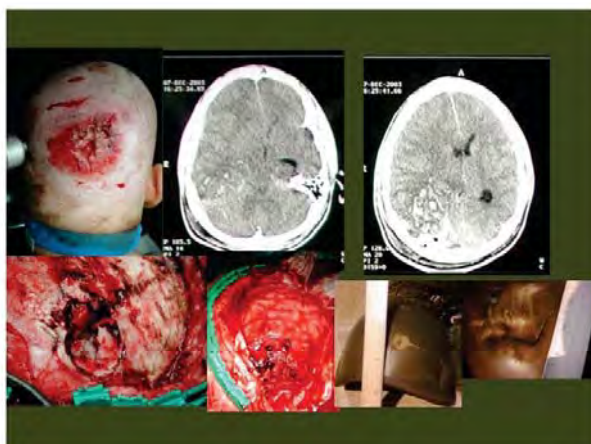
Immediate Complications

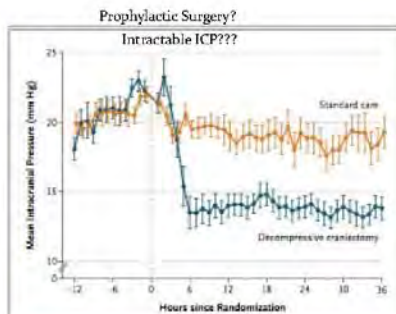
| Time | Type of Complications | Treatment |
|-------------|--|--|
| 0 – 24 hrs | ICP Increased Hematoma Ischemia Anatomic Defect Hypoxia Hypotension | Hemicraniectomy Evac/Coag Correction Decompression/ID Occlusion Anatomic Closure Airway/Pulmonary Correction Overt or Occult EBL PRBC/FFP/PLT's vs. whole blood vs. Hypertonic Saline |
| 24 – 48 hrs | ICP Increased Hematoma Hydrocephalus Edema Seizure | Hemicraniectomy Evac/Coag Correction Ventriculostomy Decompression Anti-epileptics/cEEG monitoring |

Delayed Deterioration

| Time | Type of Complications | Treatment |
|------------------------|--|--|
| 2 - 3 rd Wk | Infections Vasospasm Pseudoaneurysm Seizures Delayed Hydrocephalus | R/O Abscess, CSF Infection TCDs, PbO2, cEEG, CBF monitoring with combined IDHH vs. Angioplasty Endovascular vs. Microsurgery Anti-epileptics VP Shunt (low-pressure consider use of a programmable valve) |
| 1 - 6 months | Infection Low-pressure hydrocephalus Syndrome of the Trefine Seizures <i>Cranioplasty Complications:</i> Tempratic Atrophy Infection Hydrocephalus Epidural/Subgaleal Hygroma/Hematoma ICH Scalp Necrosis | R/O Abscess, Meningitis VP Shunt (programmable valve) Reconstructive Cranioplasty Anti-epileptics Re-suspension/Implant/Fat graft Prosthesis Removal VP Shunt Drainage Evacuation Free-Flap |





Shown are the mean measurements of intracranial pressure in the two study groups during the 12 hours before and the 36 hours after randomization. The I bars indicate standard errors.

Unbalanced Groups

Table 1. (Continued.)

| Characteristic | Decompressive Craniectomy (N=73) | Standard Care (N=82) | P Value† |
|--|----------------------------------|----------------------|----------|
| Time from injury to hospital — hr | | | 0.90 |
| Median | 1.0 | 1.2 | |
| Interquartile range | 0.8–1.8 | 0.7–1.9 | |
| Time from injury to randomization — hr | | | 0.60 |
| Median | 35.2 | 34.8 | |
| Interquartile range | 23.3–52.8 | 25.8–45.4 | |
| Marshall class — no. (N)†† | | | 0.39 |
| Diffuse injury II | 17 (23) | 27 (33) | |
| Diffuse injury III or IV | 33 (73) | 33 (83) | |
| Nonexcavated mass lesion (V)‡ | 3 (4) | 2 (2) | |

TABLE 1

Outcome at discharge in relation to intracranial pressure*

| Outcome at Discharge | Diffuse Injury I | | Diffuse Injury II | | Diffuse Injury III | | Diffuse Injury IV | | Excavated Mass | | Nonexcavated Mass | | Brainstem Injury | | Unknown | | Totals | |
|----------------------|------------------|------|-------------------|------|--------------------|------|-------------------|------|----------------|------|-------------------|------|------------------|------|---------|-------|--------|------|
| | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | | |
| good | 14 | 27.0 | 15 | 8.3 | 3 | 3.3 | 1 | 3.3 | 14 | 5.5 | 1 | 2.8 | 3 | 6 | 0 | 0 | 30 | 7.0 |
| moderate | 18 | 34.6 | 40 | 26.0 | 20 | 13.1 | 1 | 3.3 | 49 | 17.7 | 5 | 8.3 | 0 | 0 | 1 | 7.0 | 138 | 33.5 |
| severe | 10 | 19.2 | 72 | 46.7 | 41 | 26.8 | 6 | 18.8 | 72 | 28.1 | 7 | 18.4 | 1 | 31.3 | 0 | 0 | 209 | 29.0 |
| vegetative | 5 | 9.6 | 29 | 17.1 | 31 | 22.9 | 6 | 18.8 | 34 | 12.3 | 4 | 18.7 | 0 | 0 | 0 | 0 | 106 | 14.8 |
| dead | 5 | 9.6 | 28 | 17.1 | 52 | 34.0 | 18 | 56.2 | 107 | 38.9 | 19 | 52.8 | 2 | 94.7 | 16 | 143.3 | 243 | 62.5 |
| totals | 52 | 100 | 177 | 100 | 153 | 100 | 32 | 100 | 276 | 100 | 36 | 100 | 3 | 100 | 17 | 100 | 746 | 100 |

* Outcome classified by the Glasgow Outcome Scale.† For a description of Diffuse Injury I to IV, see Table 1.

Diffuse I Death 9.6%
Diffuse II Death 13.5%
Diffuse III Death 34%
Diffuse IV Death 56.2%

Table 1. Baseline Characteristics of the Patients *

| Characteristic | Decompressive Craniectomy (N=73) | Standard Care (N=82) | P Value† |
|---|----------------------------------|----------------------|----------|
| Age — yr | | | 0.89 |
| Median | 25.1 | 24.6 | |
| Interquartile range | 18.4–29.3 | 13.3–33.3 | |
| Mean sex — no. (%) | 49 (67) | 41 (50) | 0.44 |
| Spinal fluid pressure — mm Hg | 131.4 (12.8) | 131.5 (21.4) | 0.93 |
| Glasgow Coma Scale | | | 0.21 |
| Open eyes | 4 | 6 | |
| Motor response | 2.2 | 2.7 | |
| Verbal response | 1 | 3 | 0.47 |
| Median | 1–4 | 1–5 | |
| Interquartile range | 1–4 | 1–5 | |
| Maximum score for head injury on Abbreviated Injury Scale — no. (%) | | | 0.22 |
| 1 or 2 | 23 (31) | 24 (29) | |
| 3 | 48 (65) | 48 (58) | |
| Motor Severity Score | | | 0.88 |
| Median | 39 | 42 | |
| Interquartile range | 27–52 | 24–61 | |
| Fluctuating brain injury severity score** | | | 0.46 |
| Median | 8.75 | 9.75 | |
| Interquartile range | 5.42–8.88 | 6.25–10.92 | |
| Number of pupils — no. (%) | | | 0.04 |
| Both pupils | 16 (21) | 10 (12) | |
| One or both pupils | 57 (77) | 69 (84) | |
| Interquartile range | 24 (33) | 28 (34) | |
| Interquartile range | 18 (25) | 24 (29) | |
| Fluctuating brain injury severity score — no. (%) | | | 0.90 |
| Median | 12 (16) | 18 (22) | |
| Interquartile range | 10 (14) | 15 (18) | |
| Score of pupils — no. (%) | | | 0.72 |
| Both pupils | 15 (20) | 15 (18) | |
| One or both pupils | 58 (79) | 67 (81) | |
| Interquartile range | 24 (33) | 28 (34) | |
| Interquartile range | 18 (25) | 24 (29) | |

27%
NON-RX

12%
NON-RX

Pronostic Factors in TBI TRIALS

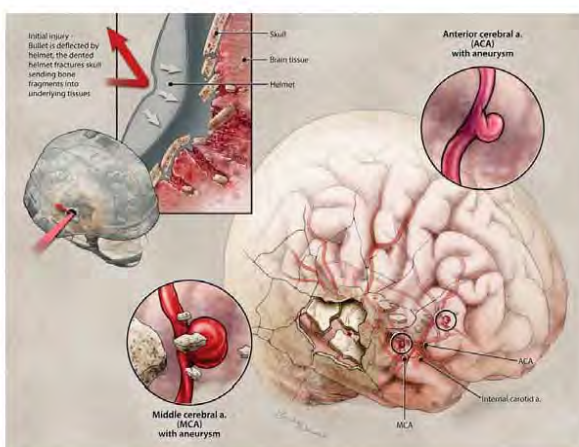
- AGE
- Motor SCORE
- Pupillary Reactivity
 - 3x More Likelihood for Poor outcome when absent
 - IMPACT Trial (Steyerberg PLoSMedicine, 2008)
- Marshall Score
 - Grade III Score Worse OUTCOME compared with GdII

INTERIM CHANGE IN STATS?

- INITIALLY 4 OUTCOME SCALE (GOS)
- CHANGED TO 8 OUTCOME SCALE (GOSe)
- INITIALLY REQUIRED 210 PATIENT THEN CHANGED TO 150? AFTER REVIEW OF INTERIM RESULTS
- 15 patients (18%) crossed from the medical to surgical group (analyzed as an intention to treat with their original group).

Mechanism Differences

- | | |
|--|---|
| <ul style="list-style-type: none"> • Wartime Trauma <ul style="list-style-type: none"> • Heterogenous • PBI/Blast/Blunt • Concomitant Injuries • Skull Base/Maxillofacial Injuries | <ul style="list-style-type: none"> • DECRA <ul style="list-style-type: none"> • Homogenous • Blunt Force (MVA/Falls) • Isolated Head • No PBI/Blast |
|--|---|



Timing of Surgery

- Wartime
 - 90% First 12 hours
 - Unable to monitor ideally during transport
 - Late Swelling that Persists
 - Majority cistern obliteration at Presentation
 - Open Depressed Skull Fractures Required intervention
- DECRA
 - 72 hours Decompression
 - Close Monitoring
 - ICP, >22 mmhg for 15 min
 - Typical Pattern of Swelling Day#3
 - Non-responsive to Maximal Medical Treatment
 - Ventriculostomy?

Differences in Techniques

- Wartime
 - Majority 70% Hemispherectomy
 - Multi-compartmental (30%)
 - Bifrontal 20% with sectioning of the falx
- DECRA
 - All Bifrontal
 - Falx Not Released
 - Bilateral Durotomies

Military Multi-compartmental

- Average Age 24
- Initial GCS 5
- Criteria Significant for Poor Outcome
 - Focus of Initial Injury (3rd vent worse)
 - Any Vascular Injury
 - Systemic Infection
 - GCS 3 @ Conus

CONCLUSIONS: PROBLEMS w/DECRA

- DECRA limited to diffuse injury not mass lesions
 - <5 % of all Patients Screened
- DECRA Shorter follow-up
 - 6months not reflective of Final Outcome
- Higher Percentage w/ non-reactive pupils in Surgical Group (Significant Poor Prognostic Indicator)
- Falx Not Sectioned for Bifrontal Release
- Bifrontal Decompression Likely to have higher complications (<30% of Military Cohort)
- Definition of Elevated ICP ?

What Can We Conclude? DECRA + Military Experience



- Decompressive Craniectomy Unlikely to Improve Diffuse Injury with minimally elevated ICP
- Military Experience: In Face of Mass Lesions with PBI/Blast Best done Early
- Outcome influenced by Zone of INJURY
 - Diencephalic/3rd Ventricle
 - Non-reactive Pupils
 - Systemic Infection/Vascular Injury.

Treatment with Ethanol Decreases Systemic Inflammation and Improves Functional Recovery After Traumatic Brain Injury in Mice

711 HPW/USAFSAM-ETS

Dr. Timothy Pritts

INTRODUCTION: Traumatic brain injury (TBI) is a major cause of morbidity and mortality in both military and civilian casualties. Clinical studies have suggested that moderate intoxication at the time of head injury is correlated with improved outcome. Previous studies indicate that ethanol attenuates the neuroinflammatory response to traumatic brain injury in mice and may decrease secondary brain injury. We hypothesized that ethanol given after traumatic brain injury would attenuate the neuroinflammatory response and improve functional outcome. **METHODS:** Mice were subjected to a moderately severe blunt TBI by weight drop or sham injury. At 30 min post injury, mice were given 5 g/kg of ethanol or water by gavage. Serum and brain samples were analyzed for inflammatory cytokines by ELISA. Neuron-specific enolase (NSE) was measured as a serum biomarker of TBI severity. Functional recovery was tested on the rotarod device at intervals up to 2 weeks post injury. **RESULTS:** In mice receiving ethanol, there were decreased serum levels of KC (145.1 vs. 317.2 pg/mL; $p < 0.05$) and IL-6 (57.6 vs. 230.2 pg/mL; $p < 0.05$) 3 hr after TBI as compared to those mice receiving vehicle. Serum levels of NSE were diminished in mice receiving ethanol as compared to water (65.6 vs. 164 μ g/L; $p < 0.05$). Functional recovery, as measured rotarod time, was improved at 3 days after injury in mice receiving ethanol as compared to water (99.7% vs. 36.6%; $p < 0.05$). **CONCLUSION:** After moderate TBI, ethanol decreases systemic inflammation, NSE, and results in improved functional outcome as measured by the rotarod device.





Treatment with Ethanol Decreases Systemic Inflammation and Improves Functional Recovery After Traumatic Brain Injury in Mice

Timothy A. Pritts, MD, PhD
University of Cincinnati


Every Airman a Force Multiplier
August 2011 AFMS Research Symposium

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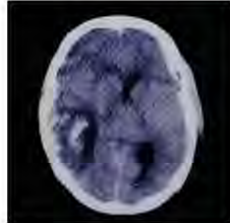



Traumatic Brain Injury (TBI)

Every Airman a Force Multiplier



- ✓ **Serious cause of morbidity and mortality**
- ✓ **52,000 civilian deaths**
- ✓ **80,000 permanent severe neurologic disabilities**

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Traumatic Brain Injury

Every Airman a Force Multiplier




- ✓ **Diverse clinical condition**
 - ✓ **Wide range of severity**
 - ✓ Mild to fatal
 - ✓ **Various mechanisms of injury**
 - ✓ Penetrating versus blunt
 - ✓ **Localization of injury**
 - ✓ Focal versus diffuse





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Secondary Brain Injury

Every Airman a Force Multiplier



- ✓ **Occurs minutes to days after insult**
- ✓ **Related to decreased cerebral oxygenation**
 - ✓ Hypotension, hypoxia, and increased intracranial pressure
- ✓ **Neuroinflammation plays an important role in secondary brain injury**



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Neuroinflammation

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Ethanol

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- ✓ Cytokines not routinely present in normal, uninjured brain tissue
- ✓ Cytokine levels increase rapidly after TBI
 - ✓ Inflammatory cell recruitment and activation
 - ✓ Increased blood brain barrier permeability



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- ✓ High prevalence among trauma victims
- ✓ Modulates the inflammatory response
- ✓ Clinical studies investigating ethanol and traumatic brain injury have shown a potential decrease in mortality attributable to ethanol



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Previous Work

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| First Author | Year | # of Patients | Mortality Outcomes |
|--------------|------|---------------|--------------------------------------|
| Alexander | 2004 | 80 | No difference |
| Tien | 2006 | 3675 | ↓ in moderate EtOH ↑ in high EtOH |
| Salim | 2009 | 482 | ↓ in EtOH group |
| Salim | 2009 | 38,019 | ↓ in EtOH group |
| Shandro | 2009 | 836 | ↓ in EtOH groups (trend) |



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- ✓ Pretreatment with EtOH:
 - ✓ Decreased systemic chemokines
 - ✓ Decreased neuroinflammation



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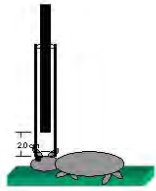
Hypothesis

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Treatment with ethanol after experimental TBI would attenuate the neuroinflammatory response.

TBI Model

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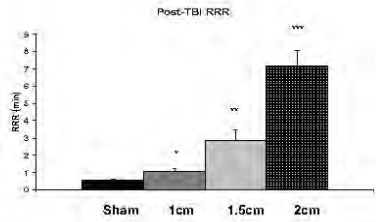
Blunt
Moderate/Concussive

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Post-TBI Rapid Righting Reflex

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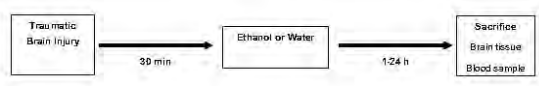




| Impact Depth | RRR (min) |
|--------------|-----------|
| Sham | ~0.5 |
| 1cm | ~1.5 |
| 1.5cm | ~3.0 |
| 2cm | ~7.5 |

^{*} P=0.02 vs. Sham
^{**} P=0.01 vs. 1 cm
^{***} P<0.001 vs. 1.5 cm

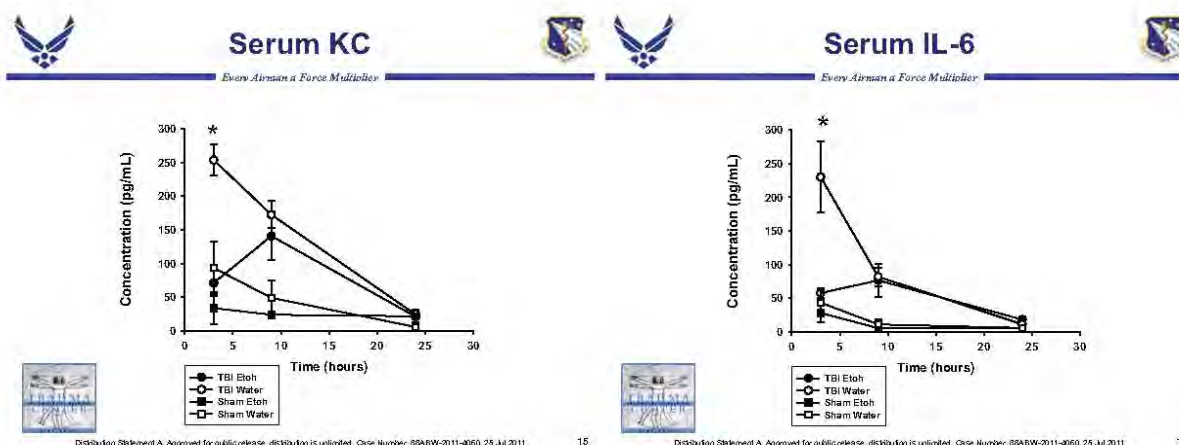
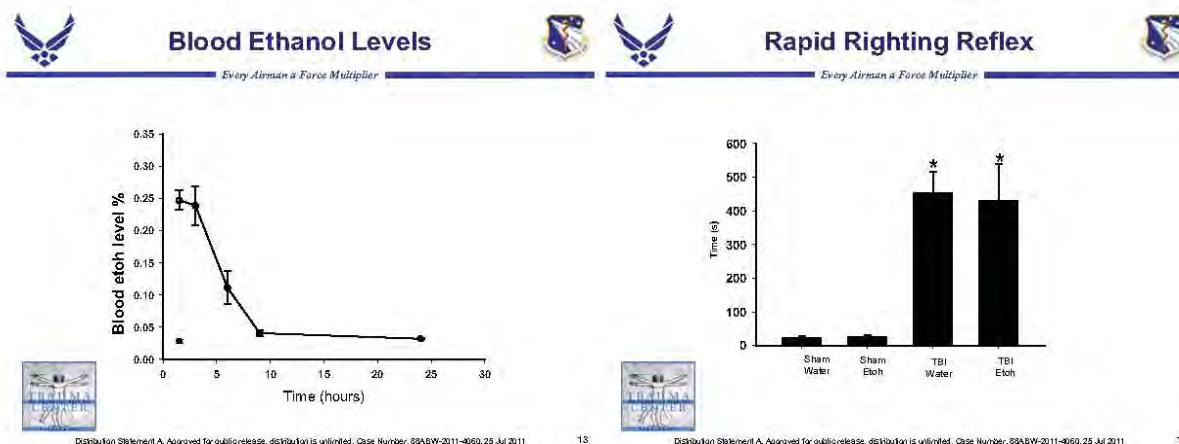
Experimental Design

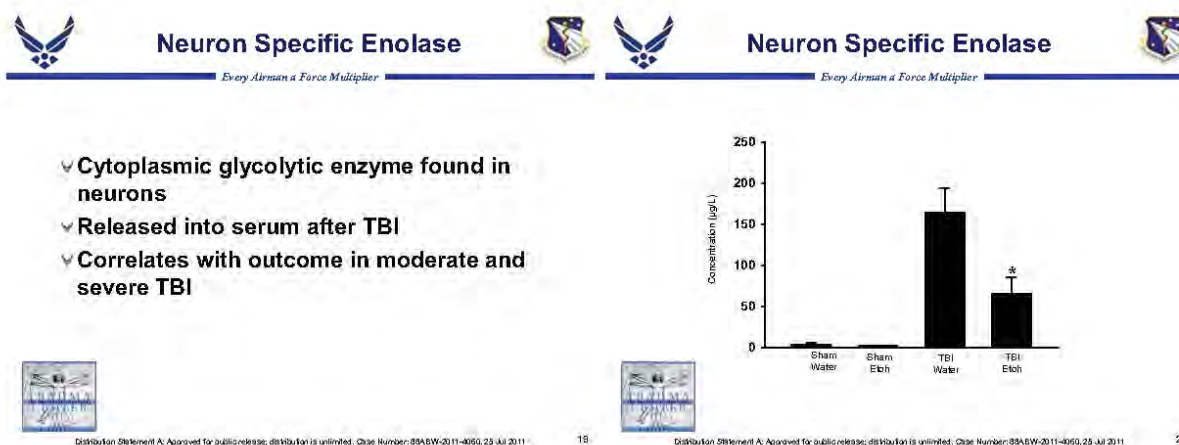
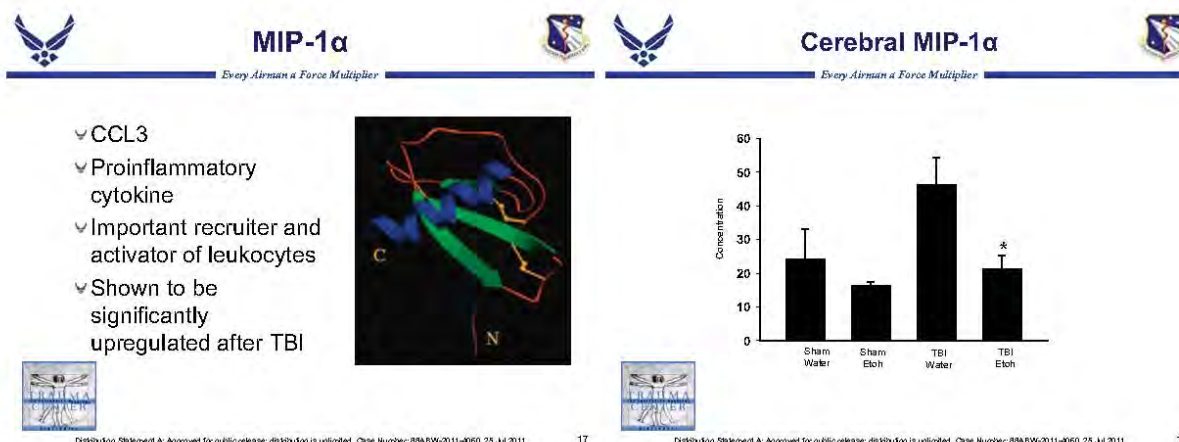
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Functional Effects?

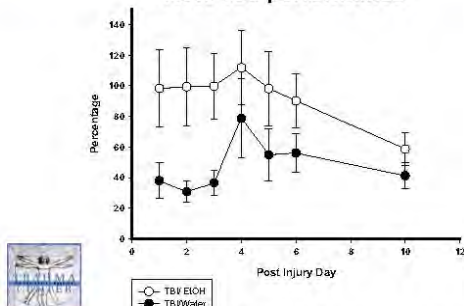
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Summary



Roto-rod performance



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Post-TBI alcohol administration:

- Decreases serum KC and IL-6
- Reduces brain MIP-1 α
- Decreases serum neuron specific enolase
- Improves functional motor performance



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Conclusion

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Acknowledgments



Ethanol may mitigate the proinflammatory response when given after TBI



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Impacts of Frequent and Multiple Deployments on Substance Abuse by Service Members

TMA/DCOE

Dr. Vladimir Nacev

As troops return from Iraq and Afghanistan to civilian life, clinicians and policy decision-makers are grappling with how best to address the post-deployment adjustment problems. Data suggest the presence of mental health problems for service members that include posttraumatic stress disorder (PTSD), head injury, interpersonal violence, and substance abuse. Moderate correlations were found between PTSD symptoms severity, substance use, and adverse health outcomes. Regarding substance abuse, problems with alcohol and nicotine abuse are most prevalent and pose a significant risk to the health of veterans as well as the troops in the Reserve Component and National Guard. At greatest risk are deployed personnel with combat exposures, as they are more apt to engage in new-onset of heavy weekly drinking and binge drinking and to suffer alcohol-related problems as well as smoking initiation and relapse. A maximally effective substance abuse prevention program will require layering of interventions across various environments at the DOD/ Services level, installations level, and service members' level. Prevention efforts for heavy alcohol use are likely to be the most productive if they focus on lower- and midgrade enlisted personnel, as the rate for heavy drinkers was nearly twice as high for personnel in the lower pay grades than the higher. Specifically, among young adults, social motives appear to be associated with moderate alcohol use, enhancement with heavy drinking, and coping motives with alcohol-related problems.

 **DEFENSE CENTERS OF EXCELLENCE**
For Psychological Health & Traumatic Brain Injury

The Impact of Deployments on Service Members

Vladimir Nacev, Ph.D., ABPP
Clinical Psychologist

Jennifer Mallis
Research Assistant

POD: (Name, Email, Phone)

Logos: DoD, DoM, DoS, DoA, DoN, DoC, DoI, DoJ, DoH, DoE, DoA, DoS, DoN, DoC, DoI, DoJ, DoH, DoE

Background

- 2 million SM deployed
- 3.3 million times deployed
- 800K had multiple deployments

Deployments:
Air Force – 15%
USMC – 15 %
Navy – 18%
Army – 52%

History • Research • Strategy

2

Background

- **71 % of officers and 40% of enlisted are married**
 - **42% have children**
 - **14% are women**

History • Research • Strategy

3

Mental and Physical Health Data of Returning Service Members

- Multiple deployments at most risk
- PTSD: 4 - 31%
- Depression: 3 – 25%

History • Research • Strategy

4

Mental Health Problems - Army

- 1 deployment – 12%
- 2 deployments – 18%
- 3 + deployments – 27%
- 27% active duty
- NG/RC – 35.5%

- Soldiers deployed since 2003:
 - 38% deployed more than once
 - 10% deployed 3 or more times

5

Heavy Drinkers

- Increased risk for injuries
- Decreased overall health and productivity
- Decreased readiness and negative impact on the unit
- Interpersonal problems
- Alcohol dependence

6

Substance Abuse

- Those with PTSD and depression at increased odds for new-onset and continued alcohol related problems
- Reserve/Guard – increased odds for new onset for all 3 drinking outcomes compared to non-deployed

7

Substance Abuse – 2008

- 20% of SM compared to 14% of civilians were heavy alcohol users
- Exposure to combat stress → substance use
- Young SM, RC, NG exposed to combat → greater likelihood for new-onset weekly drinking, heavy episode drinking, and alcohol related problems

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Alcohol and Deployment Problems – Iraq

- 18-24 y/o more likely to screen positive and less likely to be married
- Significantly more mental health problems
- More combat experiences
- 25% of Soldiers screened positive 4 months following deployment



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Addressing Alcohol Misuse

- Discourage alcohol abuse – not consistent with readiness
- Promote "That Guy" (www.thatguy.com)
- Increase use of breathalyzers
- Training and education of all personnel
- Promulgate the DOD/VA clinical practice guidelines for substance use disorders



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Stages of Deployment

1. Pre-deployment
2. Deployment
3. Sustainment
4. Redeployment
5. Post-deployment



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Impact of Combat Exposure

- **Deployed 3 or 4 times** – increased risk for behavioral health problems ... alcohol
- **Alcohol misuse**: new on-set of heavy weekly drinking, binge drinking, or alcohol related problems
- **Women** – 1.2 times more likely to report new-onset of heavy drinking; less likely for binge drinking or alcohol related problems
- **Men**: 1.3 times more likely to experience new onset of binge drinking



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Impact of Deployment

- Binge drinking
- Born after 1980: 6.7 increased odds of new-onset of binge drinking and 4.7 odds of new-onset of alcohol related problems
- Marines more likely to misuse alcohol than Soldiers
- Psychological health concerns surface months later after return from deployment

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Impact of Deployment

- Deployments longer than 12 months associated with increased stress
- Where served made a difference
- Type or purpose of deployment
- Exposure to combat
- PTSD
- Major Depression

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Deployment to Dwell Time Ratio

- Defense Science Board:**

 - 1:2 ratio for active duty
 - 1:5 for RC/NG

Mental Health Advisory Team VI:

 - 12 months insufficient dwell time
 - 24 months minimum
 - 30 months preferred

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Deployment to Dwell Time by Service

- Army – 63% less dwell time
- Marine Corps – 25% less
- Reserve Components and NG – 40% less
- Air Force and Navy – data not available

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Impact on Readiness

- Longer deployments and shorter dwell time → psychological distress
- The rate of psychological problems tends to rise with the number of deployments
- First deployment is most distressing
- Dwell time is less restful if deployment time is unknown
- Initial weeks upon return from deployment is more important than total dwell time

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Addressing Stigma

- Real Warriors Campaign
- Changes to security questionnaire
- CJCS initiative on stigma reduction
- Service wide programs on addressing stigma

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Addressing Stigma

Air Force:

- The Suicide Prevention Program, Frontline Supervisor Training, and Wingman Day training, all include stigma-reduction messages.
- Comprehensive Airman Fitness (CAF) makes Airmen aware of helping resources and encourages good wingmanship and responsible help-seeking through semi-annual Wingman Days.

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Addressing Stigma

Army:

- Comprehensive Soldier Fitness (CSF) designed to build resilience and enhance performance

Navy and Marine Corps:

- The Combat and Operational Stress Control (COSC) provides Navy and Marine Corps leaders guidance on combat and operational stress control

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Questions

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Spouse Abuse and Combat-Related Deployments in Air Force Couples

AFMOA

Maj Rachel Foster

PURPOSE: Despite the general belief that combat-related deployment is associated with increased spousal aggression, evidence showing a link between spouse abuse and deployment is weak. The purpose of this study was to conduct the first population-based investigation comparing rates of spouse abuse among married active duty Air Force (AF) personnel and their spouses after versus before combat-related deployment.

Methods: The sample included all married AF members with at least one substantiated incident of spousal physical or emotional abuse and at least one combat-related deployment between October 1, 2001 and October 31, 2008. Department of Defense (DoD) guidelines regarding the mandatory reporting of spouse abuse by active duty members and DoD civilians changed in April of 2006 to include intimate partners. Substantiated cases of intimate partner violence were deleted from this study so as not to conflate intimate partner violence and spouse abuse. During the 85-month study period, 6,063 individuals in 4,874 AF married couples were reported for 7,003 unique incidents of spouse abuse across 9,676,517 days at risk (i.e., days when neither spouse was deployed).

RESULTS: Overall, spouse abuse rates were lower after deployment ($RR = .87$, $CI_{95\%}: .84, .91$). This general pattern was found regardless of offender military status, type of abuse, total number of deployments, and total deployment duration. However, in some circumstances spouse abuse rates were higher after than before deployment. For example, for couples exhibiting unidirectional abuse (by either spouse) when the offender had used alcohol, post deployment abuse was higher. Further, for couples in which the husband perpetrated unilateral moderate or severe spouse abuse and used alcohol, the abuse rate was 37% higher after as compared to before deployment. **IMPLICATIONS:** Although spouse abuse rates increased following deployment under some conditions, the overall rate was lower after deployment. However, because the present study included only abusive couples who had experienced combat-related deployment, these results do not necessarily reflect changes in rates of spouse abuse in the general AF population during the study period. Notwithstanding, the data suggest that prevention efforts should focus not just on spousal violence but also on context and in particular on the use of alcohol.

Integrity - Service - Excellence



Spouse Abuse and Combat-Related Deployments

Brief for the 2011 AFMS Medical Research Symposium, 2 Aug 2011

Maj Rachel E. Foster
Medical Services Flight Commander
Clinical Social Worker, Ph.D.
579th MDG



Research Funding & Contributors



- Project Funding: Air Force Family Advocacy Program
- Air Force Contributors: Lt Col David J. Linkh and Lt Col Carol M. Copeland
- Northern Illinois University Contributors – Center for the Study of Family Violence and Sexual Assault: Joel S. Milner, Ph.D., Mandy M. Rabenhorst, Ph.D., Cynthia J. Thomsen, Ph.D.



Previous Research with Active Duty: Deployment and Spouse Maltreatment



- Three studies of married Army personnel
 - Male perpetrated physical spouse abuse only
 - Between-groups design (deployed vs. not)
 - Troops were deployed in support of a peace-keeping mission in Bosnia
 - Excluded dual military
- Summary of Results:
 - One study: Longer deployments were (weakly) associated with increased likelihood of severe, but not moderate, spouse abuse
 - Other two studies: No difference in spouse abuse between deploying and nondeploying families
 - Either pre- or post-deployment
 - Whether reported by the husband or wife



Combat Deployment and Spouse Abuse in AF Couples: Our Study



- **Objective:** To conduct the first population-based study comparing rates of substantiated physical and/or emotional spouse abuse among married active duty Air Force (AF) personnel and their spouses after versus before combat-related deployment.
- **Sample:** All married AF personnel and their spouses who have:
 - been involved in at least one substantiated incident of spouse physical or emotional abuse, and
 - experienced at least one combat-related deployment between 1 October 2001 and 31 October 2008



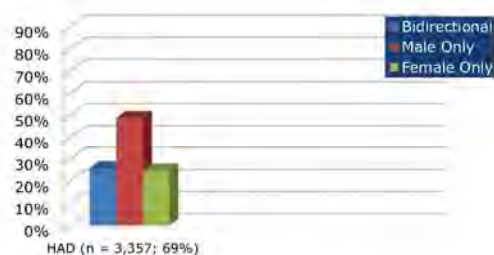
Methods



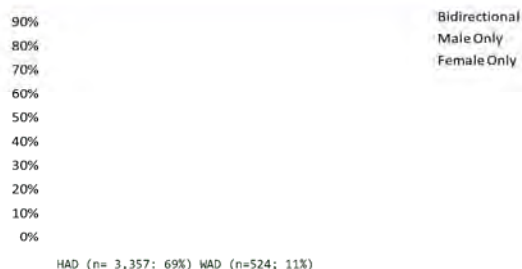
- Two data sets:
 - Family Advocacy System of Records (AFMOA)
 - Deployment Data (Brooks City-Base, now at Kelly)
- During the 85-month study period, 6,063 individuals in 4,874 AF couples perpetrated 7,003 unique incidents of spouse abuse across 9,676,517 days at risk (i.e., days when neither spouse was deployed).
- Data are organized by couple; each couple was associated with up to:
 - 10 substantiated incidents of abuse ($M = 1.44$, $SD = .78$, 47% had more than one) and
 - 9 combat-related deployments ($M = 1.89$, $SD = 1.11$, 32% had more than one)



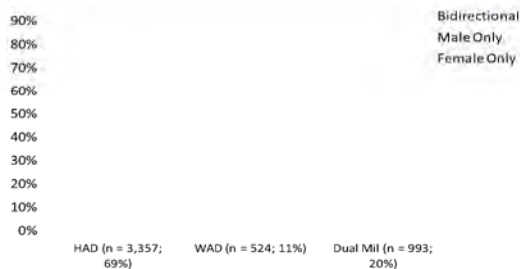
Results - Descriptive



Results - Descriptive



Results - Descriptive





Results



- During the 85-month study period, of the 4,874 AF couples that perpetrated 7,003 unique incidents of spouse abuse across 9,676,517 days
- Military personnel perpetrated the majority (64%) of all incidents
- 25% of couples were involved in bidirectional abuse (82% on the same day)
- Of the 75% with unidirectional abuse, offenders were most often male (71%) and were most often the spouse who deployed (60%)



Results



- Of the 7,003 incidents:
 - 23% involved moderate or severe abuse
 - 22% involved offender alcohol use
 - 6% involved both



Adjusted* Rates of Spouse Abuse



- Poisson regression was used to compare rates of spouse abuse *regardless of timing relative to deployment* stratified by variables of interest
- Adjusted* rates were significantly higher for couples with:
 - Enlisted versus officer
 - Bidirectional versus unidirectional abuse
 - No children vs. with children
 - Physical or both physical and emotional vs. emotional only
 - At least one moderate/severe incident vs. mild only

* Adjusted for all other characteristics



Adjusted Rates of Spouse Abuse



- Adjusted rates did not vary by:
 - Family type (i.e., husband active duty, wife active duty, dual military)
 - Offender military status
 - Offender alcohol use in incident
 - Couple race
 - Number of deployments
 - Deployment duration
- Note: given our select sample, the actual rates we calculated do not reflect rates in the general AF population



Rate Ratios of Spouse Abuse Post- vs. Pre-Deployment



- Conditional Poisson regression was used to compare rates of spouse abuse post- vs. pre-deployment
- Contrary to expectations, overall spouse abuse rates were significantly lower following combat-related deployment than before, $p < .001$
 - $RR = .87$, $CI_{95\%} .84, .91$
 - Controlling for the year of the couple's first deployment did not alter this finding; $RR = .81$



Rate Ratios of Spouse Abuse Post- vs. Pre-Deployment



- Spouse abuse rates were lower following deployment regardless of:
 - Offender military status
 - Abuse type (physical vs. emotional)
 - Couple's race and presence of children
 - Number of deployments
 - Total deployment duration
- This pattern was significant for
 - Husband AD, but not Wife AD or dual military
 - Bidirectional, but not unidirectional abuse
 - Mild, but not moderate/severe incidents
 - Incidents *not* involving offender alcohol use



Rate Ratios of Spouse Abuse Post- vs. Pre-Deployment



- In contrast to the general pattern, rates of spouse abuse were significantly higher following deployment in:
 - unidirectionally violent couples
 - with male perpetrators
 - rates of moderate/severe spouse abuse and/or
 - abuse involving offender alcohol use
- Specifically, the abuse rate among couples in which the husband perpetrated unilateral moderate or severe spouse abuse and used alcohol was 37% higher after than before deployment



Discussion



- Possible explanations for overall post-deployment decreases in rates of spouse abuse:
 - Appreciation for one's spouse or posttraumatic growth following deployment
 - Resiliency initiatives instituted by AF to address deployment-related concerns
 - Post-deployment increases may take longer to appear (cf. Orcutt et al., 2003; Prigerson et al., 2002)
 - Results may reflect pre-deployment increases
- Possible reasons for increases in certain groups:
 - Combat-related deployment related to increased substance use



Future Research



- Time series design that evaluates trends pre- and post deployment trends
- Combat-related deployments and post-traumatic stress indicators



Limitations



- Cannot account for divorces
 - People entering and leaving the database
- Cannot account for possible pre-deployment increases
- Cannot account for those acts of violence that are never reported to AF Family Advocacy Program



Summary and Questions



Questions?

Maj Rachel E. Foster
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DSN 297-0611/Comm 202-767-0611

The Psychometric Properties and Clinical Utility of the Air Force Post-Deployment Health Reassessment (PDHRA) for Airmen with Posttraumatic Stress Disorder (PTSD) or Depression

AFMSA

Maj Michael McCarthy

Operation Enduring Freedom (OEF) (Afghanistan) and Operation Iraqi Freedom (OIF) represent one of the longest wartime deployments in the history of the American military. To date, more than 2 million American military members have deployed. Of these, an estimated 300,000 have returned with a mental health condition, such as depression or PTSD. The Department of Defense has established a robust screening program to identify and track deployment-related physical and psychiatric illnesses. The Post-Deployment Health Reassessment (PDHRA) is a primary tool to identify physical and psychiatric risk following a deployment. The PDHRA is a web-based survey, which is administered between 90-180 days after a deployment. This study seeks to evaluate the psychometric properties and clinical utility of the Post-Deployment Health Reassessment (PDHRA) for accurately identifying trauma and depression among Airmen following a deployment. Descriptive statistics, confirmatory factor analysis and structural equation modeling were used to address separate research aims. Study aims assessed the impact of deployment on military members and the clinical utility and psychometric properties of the Post-Deployment Health Reassessment. Findings suggest that the Post-Deployment Health Reassessment is a useful triage tool to identify trauma and depression among Airmen following deployment. The study makes recommendations for improving the clinical utility and psychometric properties of the Post-Deployment Health Reassessment (PDHRA).

Headquarters U.S. Air Force

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The Psychometric Properties and Clinical Utility of the PDHRA for Airmen with PTSD or Depression



Major Michael McCarthy
Air Force Suicide Prevention Program Manager

U.S. AIR FORCE



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Problem Statement

- >1.6 million service members deployed since '01
- An estimated 300,000 have returned with a mental health condition, such as depression or PTSD, DoD wide (Rand, 2008)
- The PDHRA is a primary tool to identify returning military members with mental health needs
- Efficacy of the PDHRA at identifying returning military members with mental health needs remains unexamined

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Research Aims

- Assess the internal consistency of PDHRA subscales and supplemental assessments
- Assess the sensitivity, specificity, positive predictive value and negative predictive value of the PDHRA for depression and PTSD
- Assess the factor structure of PDHRA questions related to TBI, Depression, Trauma, Alcohol Misuse and Support Network Conflict
- Assess the effect size of various scales and individual PDHRA items on depression and trauma
- Assess the Predictive Validity of the PDHRA for Depression and PTSD
- Identify areas to improve the ability of the PDHRA to identify Airmen at risk for PTSD and Depression

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Sample

- N=58,242 (over 99% response rate)
- PDHRA responses and supplemental AUDIT, PHQ-9 and PCL-M from 1 Jan 08- 1 Jan 09
- DSM dx from PDHRA completion date- 1 Dec 09
- 85% male
- Pay grades ranged from Airman Basic (E-1) through Major General (O-8)
- The average respondent in this study had deployed twice ($M=1.98$, $SD=1.76$)

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Internal Consistency

- Alcohol Screening Questions ($\alpha=.60$)
- PTSD Screening Questions ($\alpha=.76$)
- Depression Screening Questions ($\alpha=.83$)
- AUDIT ($\alpha=.93$)
- PCL-M ($\alpha=.98$)
- PHQ-9 ($\alpha=.99$)

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Supplemental Scales

- AUDIT
 - $M=11.99$, $SD=5.93$
 - Significantly above the clinical score of 8
 - Approaching the clinical cutoff of 13 for females and 15 for males which is likely to indicate alcohol dependence
- PCL-M
 - $M=6.91$, $SD=14.08$
 - >3 SD below the PCL-M's clinical cutoff level of 50
- PHQ-9
 - $M=2.10$, $SD=9.37$
 - <1 SD of mild/moderate clinical concerns range (5/10)

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Sensitivity/Specificity for Depression

| | | Depression Diagnosis | | Total |
|----------------------------------|-----|------------------------|----------------------|---------------|
| | | No (Specificity) | Yes (Sensitivity) | |
| PDHRA Behavioral Health Concerns | No | 37713 (<u>65.1%</u>) | 100 (29.6%) | 37813 (64.9%) |
| | Yes | 20191 (34.9%) | 238 (<u>70.4%</u>) | 20429 (35.1%) |
| Total | | 57904 (100%) | 338 (100%) | 58242 (100%) |

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Sensitivity/Specificity for PTSD

| | | PTSD Diagnosis | | Total |
|----------------------------------|-----|------------------------|----------------------|---------------|
| | | No (Specificity) | Yes (Sensitivity) | |
| PDHRA Behavioral Health Concerns | No | 37772 (<u>65.0%</u>) | 41 (25.6%) | 37813 (64.9%) |
| | Yes | 20310 (35.0%) | 119 (<u>74.4%</u>) | 20429 (35.1%) |
| Total | | 58082 (100%) | 160 (100%) | 58242 (100%) |

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Chi-Square/Odds/Likelihood Ratios for Depression

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- + PDHRA is significantly associated with depression dx
 $\chi^2(1, N=58,242)=186.43, p<.001$
- Depression Dx: + PDHRA= 1 out of 85; - PDHRA= 1 out of 378
- Airmen with + PDHRA >4x likely to be diagnosed with depression

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Chi-Square/Odds/Likelihood for PTSD

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- + PDHRA is significantly associated with PTSD dx,
 $\chi^2(1, N=58,242)=108.81, p<.001$
- PTSD Dx: + PDHRA= 1 of 171; - PDHRA= 1 of 922
- Airmen with + PDHRA >5x more likely to be diagnosed with PTSD

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Factor Structure

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| | Interest | Activities | Depression | PTSD |
|------------|----------|------------|------------|------|
| Interest | 1.00 | | | |
| Activities | .72 | 1.00 | | |
| Depression | .55 | .50 | 1.00 | |
| PTSD | .50 | .40 | .60 | 1.00 |
| Interest | .50 | .40 | .60 | 1.00 |
| Activities | .50 | .40 | .60 | 1.00 |
| Depression | .50 | .40 | .60 | 1.00 |
| PTSD | .50 | .40 | .60 | 1.00 |
| Interest | .50 | .40 | .60 | 1.00 |
| Activities | .50 | .40 | .60 | 1.00 |
| Depression | .50 | .40 | .60 | 1.00 |
| PTSD | .50 | .40 | .60 | 1.00 |

$\chi^2(76, N=58,242)=1243.05, p<.001, CFI=.99, TLI=1.00, RMSEA=.02; p<.001$

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Factor Structure with Supplemental Scales

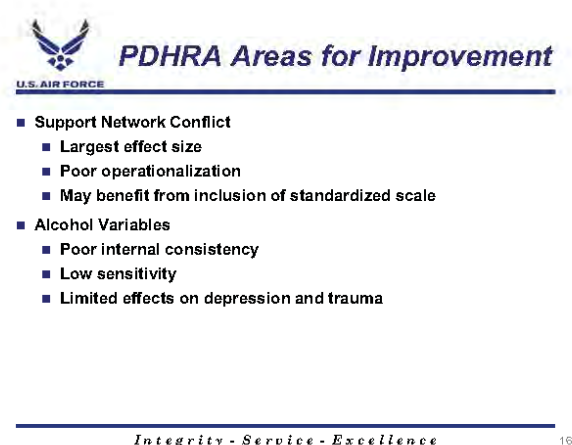
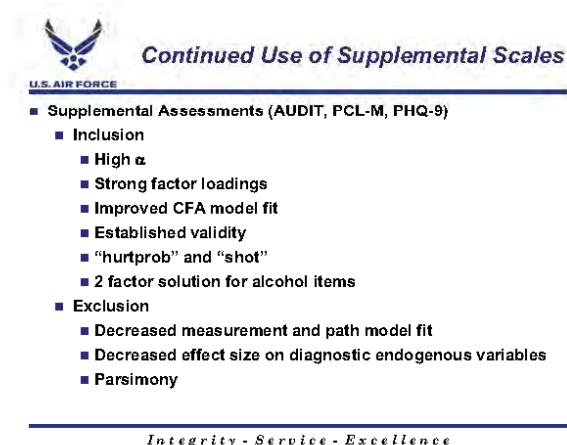
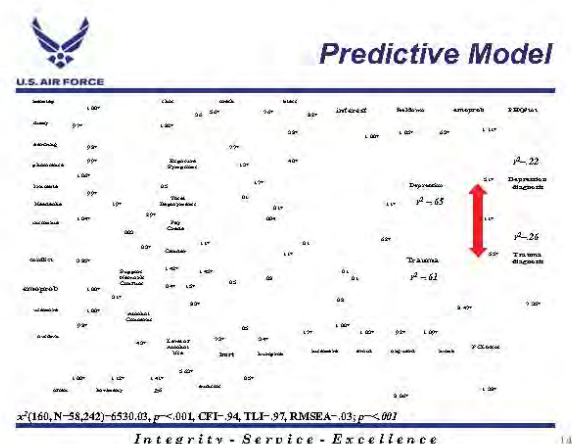
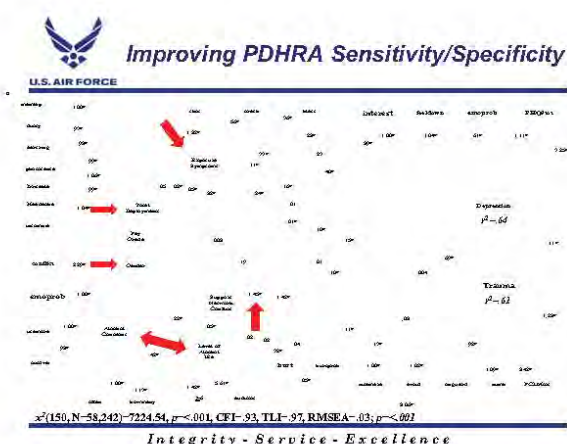
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| | Interest | Activities | Depression | PTSD |
|------------|----------|------------|------------|------|
| Interest | 1.00 | | | |
| Activities | .72 | 1.00 | | |
| Depression | .55 | .50 | 1.00 | |
| PTSD | .50 | .40 | .60 | 1.00 |
| Interest | .50 | .40 | .60 | 1.00 |
| Activities | .50 | .40 | .60 | 1.00 |
| Depression | .50 | .40 | .60 | 1.00 |
| PTSD | .50 | .40 | .60 | 1.00 |
| Interest | .50 | .40 | .60 | 1.00 |
| Activities | .50 | .40 | .60 | 1.00 |
| Depression | .50 | .40 | .60 | 1.00 |
| PTSD | .50 | .40 | .60 | 1.00 |

$\chi^2(92, N=58,242)=2747.34, p<.001, CFI=.98, TLI=.99, RMSEA=.02; p<.001$

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Informative Findings

- Total Deployments
 - Not related to PTSD or depression
 - May suggest shorter deployment cycle is protective
 - Healthy Warrior Phenomenon
 - Post-deployment screening/support
- Pay Grade
 - Related to depression only
 - May suggest that the inclusion of operational stress questions would increase clinical utility

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Informative Findings

- Gender
 - Gender specific thresholds
 - AUDIT scores
- Exposure Symptoms (TBI)
 - Significant direct effects on trauma and depression in measurement and path models
 - Suggests exposure symptoms should be included in PDHRA behavioral health concerns

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Strengths/Limitations

- | | |
|--|--|
| <ul style="list-style-type: none">■ Strengths<ul style="list-style-type: none">■ Large N■ Use of modeling■ Addressed lit gap | <ul style="list-style-type: none">■ Limitations<ul style="list-style-type: none">■ Poor post-PDHRA control■ Exclusion of TBI■ Limited Generalizability |
|--|--|

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Questions?

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Trends in the Early Care of Casualties with Polytrauma and Moderate or Severe TBI

USUHS/GSN (USAF/NC)

Lt Col Karen O'Connell

Moderate and severe traumatic brain injuries (TBIs) result in death or significant lifelong deficits. Secondary insults such as hypovolemic hypotension, hypoxia, and hypothermia exacerbate primary TBI. The purpose of this study was to describe the characteristics of casualties with polytrauma and a moderate or severe TBI. Data from the Joint Theater Trauma Registry for casualties with polytrauma/TBI admitted to a Level III facility were studied. All American forces who sustained blunt trauma with a head Abbreviated Injury Score > 2 and an admission Glasgow Coma Scale score ≤ 12 between 2006 and 2010 were included. Descriptive and bivariate statistics were used to determine any trends in admission vital signs, massive transfusion requirements, or mortality during the first 24 hours after injury. Data were available for 239 casualties. Once admitted to a level III facility, survival was 91.2%, similar to overall casualty survival statistics. Hypoxia and hypothermia occurred in less than 6% of casualties. Hyperthermia and hypotension occurred in 15.9% and 14.6% of casualties, respectively. A massive transfusion was required in 17.6% of casualties. There was a significant correlation between Level III admission vital signs and mortality and the administration of a massive transfusion. The results demonstrate the high incidence of hyperthermia and emphasize the need to closely monitor temperature as uncontrolled hyperthermia may contribute to secondary brain injury. The correlations are not unexpected but warrant further examination of the relationships. Casualties with polytrauma/TBI have a high survival rate revealing the need for further secondary insult prevention research to improve outcome.**These are the preliminary results for a study intended to benchmark 24 hour mortality and evaluate the relationships between the level III facility admission vital signs and 24 hour mortality in this population.

“The author acknowledges Joint Theater Trauma Registry (JTTR) for providing data for this study.”

Trends in the Early Care of Casualties with Polytrauma and Moderate to Severe TBI

Karen M. O'Connell, Lt Col, USAF, NC
PhD Student, Graduate School of Nursing
Uniformed Services University of the Health Sciences

Disclaimer

- The views expressed are those of the authors and do not reflect the official policy or position of the Uniformed Services University of the Health Sciences, the Department of Defense, the United States Air Force, or the United States government.
- Funding received from Uniformed Service University of the Health Sciences Intramural Funds

Overview

- Background
- Sample Characteristics
- Physiologic Data
- Correlations
- Findings/Implications
- Future Directions
- Summary

Background

- TBI occurs frequently in the current conflicts
- 212,742 from 2001 to 1st quarter 2011
 - 2,235 severe and 35,661 moderate = 37,896 (DVBIC, 2011)
- Long term deficits may impair survivor's ability to return to work or even care for themselves

Background

- 10 years of ground operations in OIF & OEF
- Joint Theater Trauma Registry (JTTR) a component of the Joint Theater Trauma System was created in 2004
- Data repository to facilitate performance improvement
- JTTR contains demographic, mechanistic, physiologic, and mortality data for all OIF & OEF casualties who arrive at a level III facility

Background

- First time *real time* combat data analyzed to improve care
- Improvements in care seen by implementation of Clinical Practice Guidelines
- Other injury groups have been evaluated
- Little data published on casualties with polytrauma and moderate or severe TBI

Goal

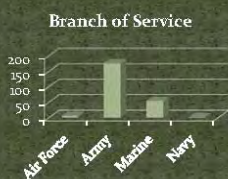
- To develop benchmark metrics to evaluate the effectiveness of the JTTS in improving the care of casualties with combat-related polytrauma and a moderate or severe blunt TBI

Sample

- All American military with a blunt TBI & head AIS ≥ 2 entered in the JTTR between 1 Jan 06 and 31 Dec 10
- 1,680 cases returned
- Limited to those who had a GCS ≤ 12 upon arrival at the level III facility
- Did not limit to isolated TBI
- Final sample 239 cases

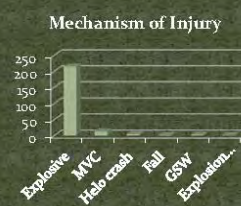
Sample Characteristics

- 97.9% male
- 25.78 years old (mean)
 - Range 18 to 46 years old
- 73.6% Army
- 60.3% OEF
- 38.9% injured in 2010



Sample Characteristics

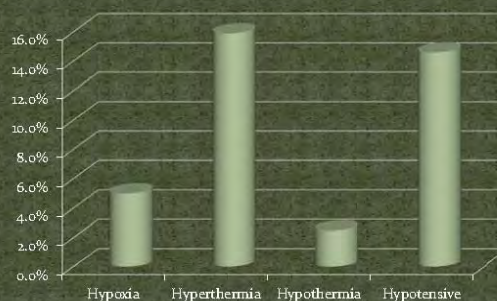
- 90% injured by explosive device
- Military ISS mean 41.15
 - Range 4 - 75



Physiologic Data

- Mean GCS 4.49
 - 76.6% GCS = 3
- 82.8% Sedated on arrival to level III
- 85.8% Intubated on arrival to level III
- 17.6% required a Massive Transfusion
 - ≥ 10 units PRBCs within 1st 24 hours
- 8.8% Mortality within 1st 24 hours

Occurrence of Secondary Insults



Correlations

- Vital Signs – SaO₂, SBP, MAP, HR & Temp
 - Significant correlations between vital signs
 - Strongest correlation between HR & Temp ($r=.311$)
 - SBP & MAP correlated at $r=.884$
 - MAP created from SBP
- SaO₂, SBP, MAP, & HR significant correlation with 24 hour mortality & administration of a massive transfusion
- Administration of a massive transfusion significant correlation with 24 hour mortality ($r=.166$)
- 19% Mortality after a massive transfusion

Correlations between Vital Signs

| | SaO ₂ | SBP | MAP | HR | Temp |
|---------------------|------------------|--------|--------|-------|------|
| SaO ₂ | --- | | | | |
| SBP | .298* | --- | | | |
| MAP | .287* | .884* | --- | | |
| HR | .189* | .036 | .033 | --- | |
| Temp | .329* | .019 | .009 | .311* | --- |
| Massive Transfusion | -.173* | -.193* | -.232* | .156* | .030 |

* Significant at the $p \leq .05$ level

Correlation with 24 Hour Mortality

| | 24 Hour Mortality |
|---------------------|-------------------|
| SaO ₂ | -.340* |
| SBP | -.319* |
| MAP | -.266* |
| HR | -.118 |
| Temp | -.131 |
| Massive Transfusion | .116* |

* Significant at the $p \leq .05$ level

Findings/Implications

- Data from the JTTR for this population:
 - Demographic data complete
 - Level III data - missing vital signs data: between 0.4% (HR) & 14.2% (temperature)
 - Level II data - missing vital signs data: 56.9% (HR) to 72.8% (temperature)

Findings/Implications

- Mortality among casualties with polytrauma and a moderate or severe TBI, 8.8%, is higher than overall combat mortality rate
 - Eastridge et al (2009) found mortality of 5.2% in sample from July 2003 to July 2008
 - Mason (2007) reported a 4% mortality for casualties treated at Balad AB, Iraq
- Over 90% of these casualties survive
 - Vital to discover effective treatment to improve functional outcomes

Findings/Implications

- Hyperthermia occurs in 15.9% of these casualties
 - 33% of isolated TBI casualties were hyperthermic in first 72 hours (Bridges & Biever, 2010)
- Temperature must be monitored – uncontrolled hyperthermia may contribute to secondary brain injury

Findings/Implications

- 17.6% required a massive transfusion
 - In separate studies Eastridge et al (2009 & 2010) reported rate of massive transfusion to be 6.4 to 6.8%
- Evaluate why the incidence of massive transfusion is higher in this group of casualties

Findings/Implications

- Mortality rate following massive transfusion is over 2 times that of overall mortality for this group of casualties
 - 19% mortality in those who received a massive transfusion in our sample
 - Eastridge et al (2010) reported mortality of 20.8% and Larson (2010) reported mortality of 20% in those receiving massive transfusion
- Evaluate why mortality is higher in these casualties

Limitations

- Retrospective Study
- Data collected under extreme conditions by providers
- 'Snapshot' data – cannot evaluate trends

Future Directions

- Investigate relationship of hyperthermia and outcome
 - 14.2% missing data in this sample restricts the validity of the results
- Investigate relationship between administration of a massive transfusion and 24 hour mortality

Acknowledgements

- The author acknowledges the Joint Theater Trauma Registry (JTTR) for providing the data for this study
- Intramural funding by Uniformed Services University of the Health Sciences
- Dr. Marguerite Littleton-Kearney (Chair), Dr. Sandra Bibb, & Dr. (Col) Elizabeth Bridges – my dissertation committee

Summary

- Background
- Sample Characteristics
- Physiologic Data
- Correlations
- Findings/Implications
- Future Directions
- Summary




Questions?

The Traumatic Brain Injury Research Portfolio of the Army and Defense Medical Research and Development Programs: An Overview

US Army Medical Research and Materiel Command

COL Dallas Hack

The US Army Medical Research and Materiel Command (USAMRMC) has been tasked with the management of Army and Defense Medical Research and Development Program (DMRDP) intra- and extramural projects addressing the diagnosis and treatment of traumatic brain injury (TBI). While these research topics are by no means new to the command, increased funding in response to the significant increase in TBI since the onset of Operations Iraqi Freedom and Enduring Freedom has enabled expansion and expedition of research efforts. As of April 2011 over 450 projects at a cost of over \$400M have been awarded or are pending award. These efforts span epidemiology, diagnostics, monitoring, en-route care, initial and definitive treatment, protection and rehabilitation. This large and complex portfolio will be reviewed with respect to promising results and remaining research gaps according to our Continuum of Care model. The project management process involving three Joint Program Committees and their relevant working groups will be described. The goal is for our partners in our sister services to better understand the scope of the portfolio as well as the joint-service nature and processes of portfolio management.




AFMS Medical Research Symposium

TRAUMATIC BRAIN INJURY

DoD Research Overview

COL Dallas C. Hack
Director, Combat Casualty Care Research Program
U.S. Army Medical Research and Materiel Command
Chair, JPC6 & JTCC6 (Combat Casualty Care)
3 August 2011

The views expressed in this presentation are those of the author and do not reflect official policy or position of the Department of the Army, Department of Defense or the U.S. Government

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TRAUMATIC BRAIN INJURY Research Overview

Briefing Outline

PURPOSE: To provide a broad overview of Traumatic Brain Injury research funded through the Defense Medical Research & Development Program and USAMRMC

1. TBI Research Overview
2. Highlighted Projects
3. Interagency Collaboration

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TRAUMATIC BRAIN INJURY Research Overview

BLUF

PURPOSE: To provide a broad overview of Traumatic Brain Injury research funded through the Defense Medical Research & Development Program and USAMRMC

1. **No FDA approved objective test for mTBI**
2. **No FDA approved treatment for TBI**
3. **JPC6 is coordinating a comprehensive research approach to this medical frontier**

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TRAUMATIC BRAIN INJURY Research Overview

TBI in the Military

- 1.5 million cases and 50,000 deaths each year in the US
- #1 cause of disability for those under the age of 24 years old
- Direct medical costs is over \$60 billion in the US each year
- Frequent cause of morbidity and mortality in modern battlefield
- Penetrating brain injuries claim 25% of soldiers killed in battle
- 2/3 of casualties have brain injuries and concussion is growing military medical problem



<http://www.brianinjurystatistics.org/brian-injurystatistics.html>

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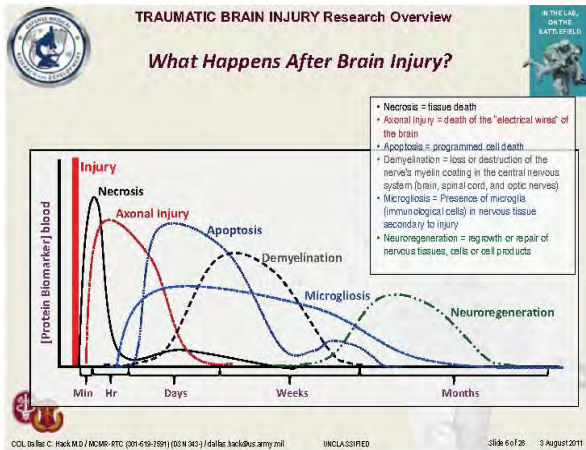
TRAUMATIC BRAIN INJURY Research Overview

Blast Injuries

- Complex pressure wave generated by an explosion
- Explosion creates instantaneous rise in pressure over atmospheric pressure that creates a blast over pressurization wave
- Primary blast injury occurs from an interaction of the over pressurization wave and the body with differences occurring from one organ system to another
- Almost ALL Head Blast Injuries are combined with an Impact Injury

Pressure Waves → Impact

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TRAUMATIC BRAIN INJURY Research Overview

mTBI Metrics: Objective and Subjective

Definition of concussion and consequent sequelae should take into account four factors:

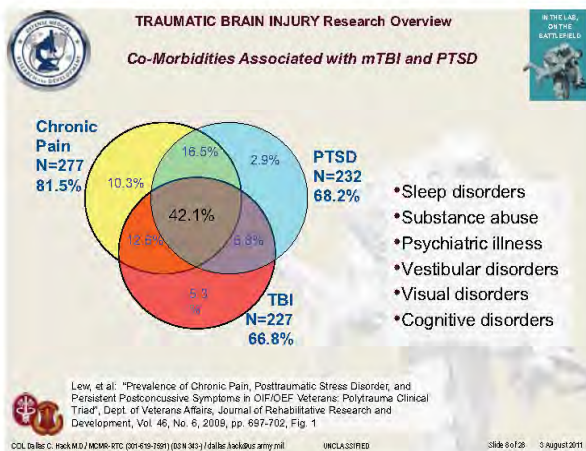
- Head Trauma: A credible force applied to the brain that causes disruption of function
- **Cognitive Impairment: Acute cognitive impairments that are relevant and objective**
- Symptoms: Partitioning of nonspecific or confounding symptoms
- Outcome measures: Discernible end point for recovery or disability

| Cognitive | Somatic | Affective |
|--|--|--|
| <ul style="list-style-type: none"> • Memory deficits* • Attention deficit* • Decreased concentration* | <ul style="list-style-type: none"> • Headache* • Fatigue* • Insomnia • Balance and coordination problem • Dizziness* • Tinnitus • Sleep disturbances* • Sensitivity to noise or light* | <ul style="list-style-type: none"> • Depression • Irritability* • Anxiety |

*Three of any of these symptoms persisting for more than 7 months defines persistent post-concussive syndrome. Alexander MP. Mild traumatic brain injury: pathophysiology, natural history, and clinical management. *Neurology*. 1995;45:1253-1260.

→ "The clinical deficits caused by the neurologic injury can be understood as manifestations of **impaired attention**."

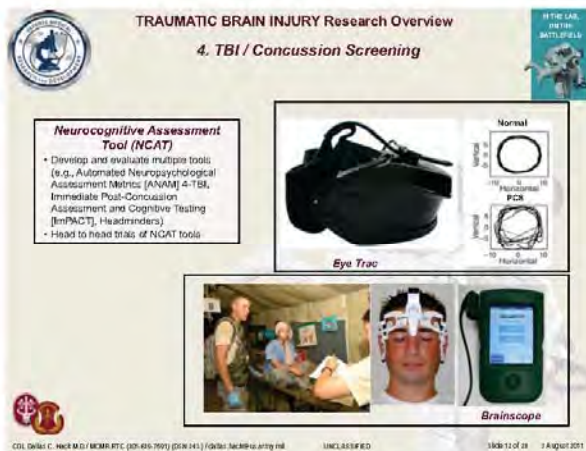
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Proceedings of the 2011 AFMS Medical Research Symposium

Volume 6

Traumatic Brain Injury and Psychological Health



TRAUMATIC BRAIN INJURY, USAMRMC Research Overview




5. TBI / Concussion Assessment

TBI Neuroimaging at National Intrepid Center of Excellence (NICOE)

Fusion between NCNC and NICOE

- National Capital Neuroimaging Consortium (NCNC)- \$4.1 million effort to study imaging of TBI in the military
- Started at Walter Reed and USU 2 years ago
- Advanced Imaging Study initiated
 - Standard MRI protocols are usually read as normal in mTBI
 - Developed advanced neuroimaging protocols implemented at the NICOE
 - 41,000 images per study (350 images in routine MRI)

| Patients imaged with Advanced MRI TBI Protocol (10 weeks) | TBI Patients with positive findings on Advanced Imaging | TBI Patients with positive findings who previously had negative imaging or no imaging |
|---|---|---|
| 44 enrolled to date | 28 of 44 (64%) | 23 of 28 (82%) |

Read as Normal Possible Lesion Multiple Lesions Detected

COL Data: C. Hawk MD, J. M. H. R. C. (P14 9-79) (P3N 345) / datschak@usm.mil UNCLASSIFIED Slide 9 of 27 3 August 2011

TRAUMATIC BRAIN INJURY Research Overview

PT075299

Advanced MRI in Blast-Related TBI

Brody, David L.
Washington University

1 Sep 2008 to 30 Jul 2011

Aims

- Assess the extent of acute blast TBI-related abnormalities using diffusion tensor imaging (DTI) and resting-state functional magnetic resonance imaging (fMRI)
- Develop acute imaging predictors of overall 6- to 12-month TBI-related clinical outcomes

Approach

- Initial DTI, resting-state fMRI, and MRI scans are being acquired on blast-related TBI patients at Landstuhl Regional Medical Center within 4 days of injury
- Participants detailed clinical data, including neuropsychological, cognitive, and motor tests, and repeat imaging scans are collected 6-12 months after injury
- Analyses are being performed to develop optimal acute imaging predictors of global outcome and specific post-traumatic deficits

Deliverables

- Evaluation of the extent of abnormalities and acute axonal injury that result from blast-related TBI using DTI and resting-state fMRI
- Identification of a set of clinically relevant acute imaging predictors of post-traumatic deficits and disorders, including motor and learning deficits, depression, and post-traumatic stress disorder

Status

- Funded and open
- A 9-month extension with an additional \$200,000 from RAD2 was granted
- Initial results published in 2 June NEJM

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TRAUMATIC BRAIN INJURY Research Overview

5. TBI / Concussion Assessment

Radiological-Pathological Correlations Following Blast-Related Traumatic Brain Injury in the Whole Human Brain Using Ex Vivo Diffusion Tensor Imaging

Pt: Brody, David L.
Washington University

1 Jan 2011 to 31 Dec 2013

Aims

- Perform anatomically correlated high-resolution diffusion tensor imaging (DTI) studies and quantitative immunohistochemical analyses of axonal injury in the human brain following TBI

Approach

- 2-4 custom magnetic resonance imaging (MRI) coils are being built and tested for use with a 21 cm bore MRI scanner
- High-resolution DTI scans are being performed on 20 brains with a range of TBI severities
- Quantitative stereological neuroanatomical methods are being used to assess axonal injury in 20 regions of interest from each brain
- Direct radiological-pathological correlation is being performed




Deliverables

- Validation of DTI for assessing traumatic axonal injury
- Knowledge regarding the extent and distribution of axonal injury following human TBI
- Detailed atlases of the brain regions most frequently injured by blast-related TBI and non-blast-related TBI

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TRAUMATIC BRAIN INJURY Research Overview

5. TBI / Concussion Assessment

Biomarker Assessment for Neurotrauma Diagnosis & Improved Triage System (BANDITS)

No test is currently approved to objectively diagnose TBI, particularly mTBI. The goal of the BANDITS program is to develop a blood test for brain cell damage.

BANDITS is entering Phase III clinical trials. Phase II clinical trials appear to demonstrate the ability to diagnose mTBI with approximately the same accuracy as the Troponin test that is routinely used to detect heart damage and the PSA test for prostate cancer.



GOALS

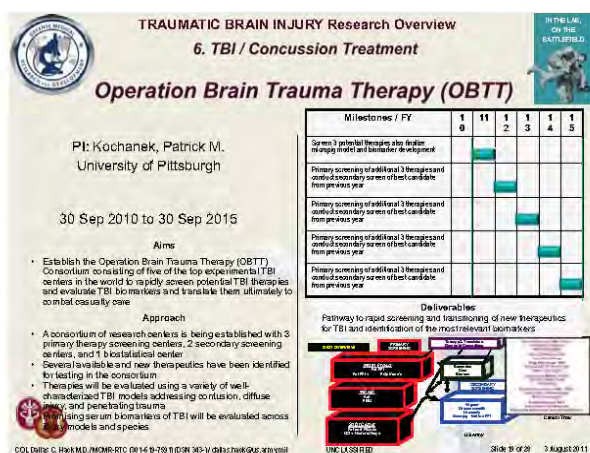
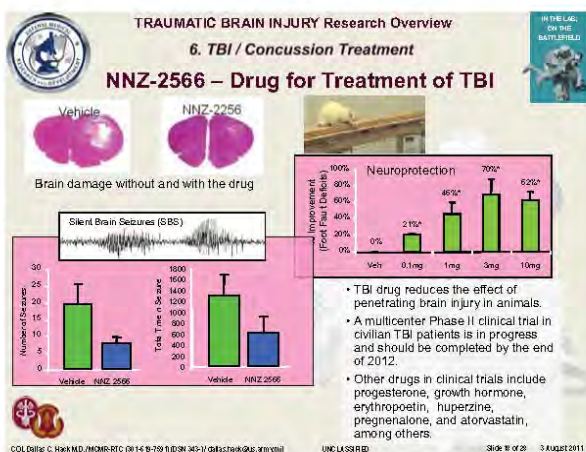
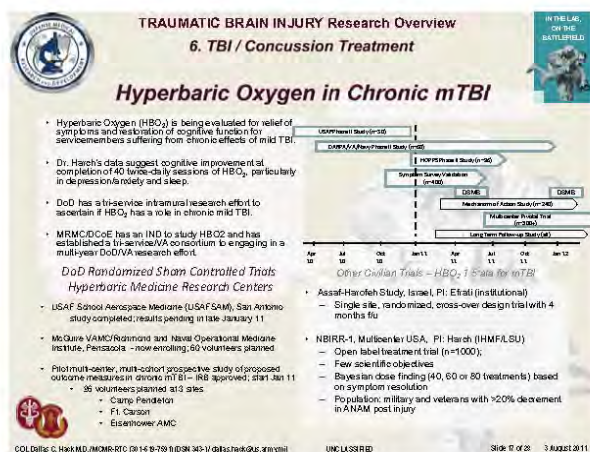
- A device to identify and assess internal brain injuries from a single drop of blood
- It will be embedded in an automated system available to Level III or lower echelons of care from first an open bench-top system to later a handheld device.




Other biomarkers under development include:

- SBDP146 (Axons), SBDP120 (Axons), MAP-2 (Cell Body), β -III-Tubulin (Dendrites), α -Synuclein (Cell Body), β -Synuclein (Cell Body), PSD95 (Presynaptic), Synaptophysin (Postsynaptic), Synaptotagmin (Postsynaptic), CAMK2 α (Postsynaptic), MBP (Myelin), Synapsin (Presynaptic), Autoantibodies (Subacute and Chronic) and others

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TRAUMATIC BRAIN INJURY Research Overview

6. TBI / Concussion Treatment

A Behavioral Treatment for Traumatic Brain Injury-Associated Visual Dysfunction Based on Adult Cortical Plasticity

Polat, Uri
Tel Aviv University
1 Aug 2010 to 1 Aug 2013

Aims

- Demonstrate that defective neuronal interactions can be identified in the visual field of persons with TBI-associated visual dysfunction
- Demonstrate that induced neuronal plasticity can improve vision inside the damaged field by using a computer-based visual training module

Approach

- Healthy individuals are taking the computer training module to test the effectiveness of the training module to improve peripheral vision
- Subjects with TBI-associated visual dysfunction are being treated with the computer-aided behavioral training module
- Computer interfaces, user guides, and other products are being developed for efficient and easy use of the technique

Milestones / FY

| | 10 | 11 | 12 | 13 |
|---|----|----|----|----|
| Validate behavioral training protocol in healthy individuals | | | | |
| Conduct visual training for patients with TBI-associated visual dysfunction | | | | |
| Develop an efficient and easy to use technique for non-scientific personnel | | | | |

Deliverables

- A treatment that has the potential to improve visual dysfunctions associated with TBI using an easy-to-use, noninvasive paradigm
- User-friendly computer interfaces and user guides for the wide applicability of the computer training module

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TRAUMATIC BRAIN INJURY Research Overview

7. TBI / Concussion Recovery

Study of Cognitive Rehabilitation Effects (SCORE):
A randomized treatment trial in a military population with mild traumatic brain injury incurred during deployment to OIF/OEF

Director, Military Brain Injury Rehabilitation Research Consortium, SAMMC-N (Dr. Cooper)
Chief, Traumatic Brain Injury Service SAMMC-N (Dr. Bowles)
DVBIC (Dr. Kennedy, COL Grimes, Dr. Vanderploeg)
WRAMC (Dr. French)
Jun 2010 – Dec 2013

Aims/Approach

- Determine the effectiveness of cognitive rehabilitation in individuals with a history of mild TBI
- Determine which component of cognitive rehabilitation treatment (or combination of components) is most effective
- Determine which participant characteristics are associated with better treatment outcomes
- Conduct an 18-week RCT investigating the effectiveness of cognitive rehabilitation on subjects with mild TBI
- Subjects will be randomly assigned to one of four treatment arms of the study: 1. Psycho-educational, 2. Self-administered computerized cognitive rehabilitation, 3. The graduated individualized cognitive rehabilitation, 4. Integrated interdisciplinary cognitive rehabilitation combined with cognitive behavioral psychotherapy.

Milestone/FY

| | 10 | 11 | 12 |
|----------------------------|----|----|----|
| Finalize research protocol | | | |
| Create Database | | | |
| Subject enrollment | | | |
| Data Analysis | | | |
| Dissemination | | | |

Deliverables

Empirically-validated cognitive rehabilitation interventions for service members with a history of mild TBI

Project Status

- Steering committee workshop
- Scientific advisory review
- IRB Submission

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TRAUMATIC BRAIN INJURY Research Overview

7. TBI / Concussion Recovery

TBI Autoantibody Biomarkers
(subacute and chronic biomarkers)

Therapeutic opportunity

Immune-Attack of CNS

Brain cell injury → **Brain Protein biomarker release** → **Circulating brain protein antigens*** → **Autoimmune response** → **Autoantibodies** → **Chronic TBI diagnostics**

Brain is usually immune privileged, thus brain proteins are foreign to the immune system

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TRAUMATIC BRAIN INJURY Research Overview

8. mTBI / Concussion Resol/RTD

mTBI Return To Duty Assessment Tools

US Army Aeromedical Research Laboratory (USAARL)
(Catherine Webb; Thomas Harding; Angus Rupert)
Abbott Northwestern Hospital MN
(Mary Radomski)
Oct 2009-Sep 2012

Aims/Approach

- Develop objective repeatable assessments to aid RTD decisions following mTBI
- Weapon utilization tasks in conjunction with physiologic measures; battery of balance and vestibular tasks to aid RTD decisions
- Dual-task paradigm Combat readiness check (CRC) assessment which involves a highly familiar soldiering task with a second cognitive task to simulate operational demands and reveal safety-jeopardizing impairments

Milestones

| | FY | 09 | 10 | 11 | 12 |
|---|----|----|----|----|----|
| Develop tools and operational procedures | | | | | |
| Validation studies | | | | | |
| Advanced development, dissemination of findings | | | | | |

Deliverables

- Cognitive, vestibular/oculomotor, and performance assessment tools to aid determination of readiness for RTD following mTBI

Project Status

- Development of tools is underway and validation studies will be conducted
- Findings will inform test battery/measures improvement and standards for RTD decisions
- Establishing IPT and advanced development team to identify and transition most promising technologies

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Proceedings of the 2011 AFMS Medical Research Symposium

Volume 6

Traumatic Brain Injury and Psychological Health

TRAUMATIC BRAIN INJURY Research Overview
Research Collaboration
Federal Interagency TBI Research Work Group (FITBIR)

Mission:
To better enable federal agencies engaged in Traumatic Brain Injury Research to be informed of one another's efforts and to facilitate coordination and collaboration of such efforts.

Goals:
1. Facilitate communication and collaboration among Federal agencies (Funding and non-funding)
2. Develop and share access to centralized research projects repository
3. Continue ongoing Common Data Elements Project, and develop related computing project

Communications Platform
• Multiple levels of permission
• Standardized Terminology – Common Data Elements
• Research Data Repository
• Social Networking: Facilitated and targeted connections among members
• Functionally over narrow bandwidth
• Customizable for users (i.e., to create groups and/or engage in workflow management)
• Ability to "hook-in" quality improvement capabilities (i.e., FAQs, feedback, and web analytics)

FITBIR's Intent
A circular diagram showing the FITBIR Work Group Communication Platform connecting various federal agencies including DoD, VA, HHS, and others, with a central focus on Research, Knowledge, and Data.

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TRAUMATIC BRAIN INJURY Research Overview
Research Collaboration, cont.
Common Data Elements

- Quad Agency Initiative: NIH, DoD, VA, CDC
- Global Aim: Develop Common language/ terminology and refine standards for data collection to rapidly advance research by combining comparable large TBI patient populations.
- Imaging MRI/CT: Adopt Technical Standards/Secure Internet Transfer of Images
- Establish Core Demographics and Outcome measures
- Biomarkers: Establish SOP for Processing, Storage and Shipping. Core elements- DNA, proteomics, endocrine,
- DoD and Civilian partnership to develop national database with Academic, federal DoD steering committee.

<http://www.commondataelements.ninds.nih.gov/>

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TRAUMATIC BRAIN INJURY Research Overview
Research Consortium
Traumatic Brain Injury Multidisciplinary Research Consortium

Award Details
• "Mission Connect"
• Co-directors – Drs. John Holcomb (University of Texas Health Science Center (UTHSC)) and Claudia Robertson (Baylor College of Medicine (BCM))
• Twenty awards made to four academic institutions (UTHSC, BCM, University of Texas Medical Branch and Rice University)
• Awarded August 1, 2008
• Five year period of performance (2008-2013)
• Combined budget of \$36M
• Progress tracked by review of quarterly and annual progress reports from each PI

Research Focus
Vision: to improve the diagnosis and treatment of mTBI through collaborative basic and clinical research
• Diagnosis of mTBI: Observational and interventional clinical trial to improve the diagnosis of mTBI by the integrated clinical protocol across several institutions and collaborating PIs
• Characterize rat models: investigating five models of mTBI including fluid percussion, cortical impact and blast, with and without polytrauma
• Develop new and innovative treatment strategies for mTBI: 15 projects on neuroprotection and 6 projects on regeneration

External Advisory Board
• Members drawn from federal agencies (Defense Center of Excellence, OTIS, NIH, VA, USAMRIID, DoD/VA Vision Center of Excellence), academic institutions and one retired military TBI survivor
• Provide independent expertise and advice to the Grant Officers Representative (GOR) to facilitate consortium progress based on review of progress reports

Research Progress
• Completed second year of awards
• Progress reviewed quarterly by EAB and GOR
• Excellent progress on individual non-clinical projects
• Rat model of mTBI plus hemorrhage for 60 minutes confirmed to result in a more severe outcome; erythropoietin (Epo), and derivatives are being studied in this model with promising results for Epo derivative ARA290
• Recruitment is a significant limiting factor for the clinical projects and is the topic of ongoing discussions with the consortium leadership

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TRAUMATIC BRAIN INJURY Research Overview
Research Center
USUHS Center for Neuroscience and Regenerative Medicine

Neuropathology: Major Goals
• Determine pathological features of mild TBI
• Comparative analysis to repetitive sports injuries to evaluate tau and CTE
• Comparative analysis across the spectrum of TBI experienced in military (blast injury, closed head, blast, blast plus, repetitive exposures, war severity)
• Focus analyses on mechanisms of damage/regeneration that can inform future therapeutic interventions. Examine acute and chronic injuries to evaluate long term consequences
• Biologic marker-correlate expression with damage sites and features
• Neuropathologic-translational pathological features to patient imaging
• Phenotyping data-correlate brain pathology with functional deficits, validate clinical and diagnostic criteria to advance all studies in TBI field
• Outcomes-correlate exposure to clinical outcomes (including behavioral/psychiatric manifestations)

Neuropathology: NFL and CTE
• In order to study the brain pathology which occurs following a career in professional football Dr. Paul has examined a series of brain specimens, derived from former NFL football players, age matched controls and former professional boxers.
• Data obtained to date indicates that the retired NFL players develop a form of slowly progressive neurodegenerative disease called chronic traumatic encephalopathy, a neuropathologically distinct disorder that prior to these studies had almost exclusively been described in former boxers. The changes in the brains of the former football players are virtually identical to those seen in boxers.
• We expect this collaboration to continue and to expand in order to make comparative between the football players and what is seen following mTBI

CTE in a 45 year old former NFL linebacker
• Beginning age 35, he developed apathy, dysphasia, inattention, irritability of anger and short term memory problems.
• Tau protein immunoreactivity: involvement of amygdala, hippocampus, temporal and frontal cortex.
• In age 42, short term memory loss, depression, irascibility, poor executive function noted. Scams addicted to cocaine (shot of an overdose).
• Tau pathology: prominent involvement of amygdala, temporal cortex (t) and hippocampus.

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TRAUMATIC BRAIN INJURY Research Overview

Backup Slides

DOI: 10.1002/ajim.20001

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TRAUMATIC BRAIN INJURY Research Overview

Why Biomarkers Matter?

- Useful in the elucidation of pathogenesis, in improving early diagnosis, in predicting outcome, and in the identification and evaluation of targets for the implementation and evaluation of therapeutic agents.
- Ideal biomarker in TBI would have some or many of the following characteristics:
 - provided the ability to track individual response
 - be absent under normal conditions
 - be present rapidly post-injury
 - be easily accessible and measurable in biofluids
 - have an absolute value proportional to the extent of damage
 - allow for the establishment of a link with pathophysiologic processes

DOI: 10.1002/for

ENCLOSURE

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TRAUMATIC BRAIN INJURY Research Overview

BANDITS PROGRAM

Clinical Trials Towards FDA Approval

- **Pilot study** = first in human experience to determine if it is possible to detect markers
- **Feasibility study** = explore the biomarker validity in applicable patient population and generate data to establish diagnostic claims
- **Pivotal study** = satisfy clinical regulatory requirements needed to support premarket authorization by FDA by production of results to confirm the diagnostic claims

CURRENT AND PLANNED CLINICAL TRIALS

| TYPE OF STUDY | TBI SEVERITY | # OF PATIENTS | STATUS |
|-----------------------|--------------------------|------------------------------|---|
| Feasibility Study | Severe TBI | 200 | 200 Patients enrolled |
| Pilot Study | Mild-Moderate TBI | 50 | • Complete • Data analysis in progress |
| Feasibility Study | Mild-Moderate TBI | 350 | 201 Enrolled |
| Reference Range Study | Non Acute TBI | Phase I 750 Phase II 1500 | Phase I 750 Enrolled |
| Pivotal Study | Mild-Moderate-Severe TBI | 1200 | • Will use an automated benchtop device and POC • June 2011 initiated according to schedule, enrollment completion Q4'12 |

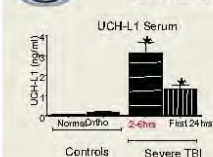
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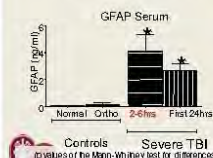
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TRAUMATIC BRAIN INJURY Research Overview

BANDITS PROGRAM
Biomarkers in Severe TBI Patients



| UCH-L1 (Serum) | # | Mean | SEM | P value |
|----------------|-----|-------|-------|------------|
| Normal | 176 | 0.06 | 0.004 | |
| Ortho | 11 | 0.16 | 1.04 | |
| TBI 2-6 hrs | 37 | 3.140 | 0.53 | * < 0.0001 |
| TBI 24 hrs | 101 | 1.35 | 0.18 | * 0.0005 |

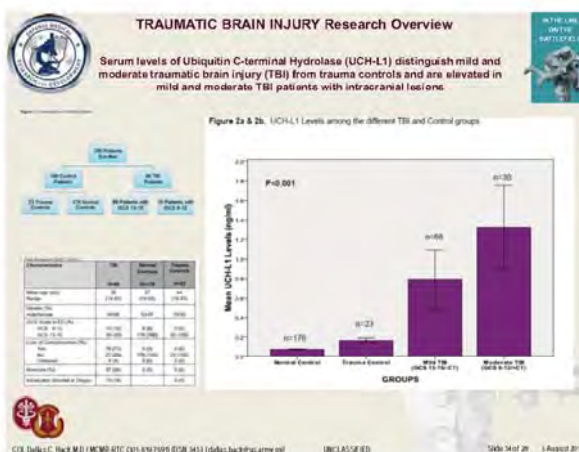
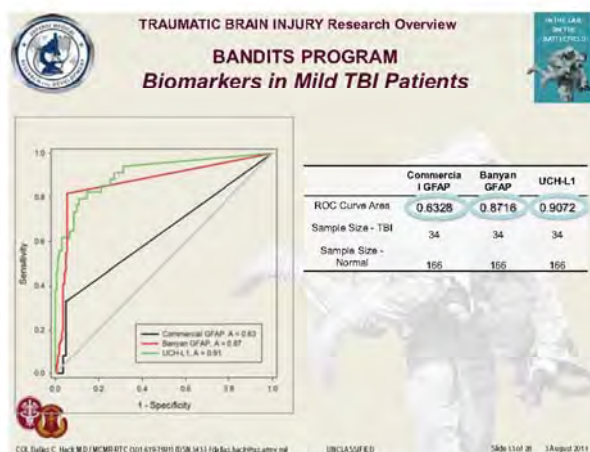


| GFAP (Serum) | # | Mean | SEM | P value |
|--------------|-----|------|-------|----------|
| Normal | 176 | 0.06 | 0.008 | |
| Ortho | 11 | 0.13 | 0.13 | |
| TBI 2-6 hrs | 37 | 4.08 | 1.22 | *<0.0001 |
| TBI 24 hrs | 101 | 2.65 | 0.49 | *<0.0001 |

between the groups [TBI versus Crtho Controls]

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TRAUMATIC BRAIN INJURY Research Overview

Current Status of BANDITS Brain Biomarkers

- 1st Generation ELISA Assays
 - 2 day assay time
 - All manual steps
 - Complex assay formats
 - Multiple pieces of equipment
 - Not suited for military environment

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TRAUMATIC BRAIN INJURY Research Overview

Current Status of BANDITS Brain Biomarkers

- 2nd Generation ELISA Assays
 - 4 hr assay time
 - manual steps with semi automation
 - Complex assay formats
 - Single to dual piece of equipment

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Volume 6

Traumatic Brain Injury and Psychological Health

| TRAUMATIC BRAIN INJURY Research Overview | | |
|--|---|---|
| Other TBI Biomarker Exemplar Projects | | |
| Sep 10 - Sep 13 | Khale, Stephen NMRC | Neurocognitive and Biomarker Evaluation of Combination mTBI from Blast, Over pressure and Traumatic Stress |
| Apr 09 - | Khale, Stephen NMRC | Neuroimaging of Biomarkers for Combat Relevant Traumatic Brain Injury |
| Feb 10 - Jun 13 | Calvin Goforth SFC Fluorics | Microarray Diagnostic Device for Rapid Assessment of Traumatic Brain Injury |
| Aug 05 - Jun 06 | Carke, Jean/ Nanomedics, Inc. | Multiplexed Immunological Assay on Micro-Ring Resonators for Detection of Brain Injury Specific Biomarkers |
| Sep 07 - Mar 10 | Crawford, Fiona/Roskamp Inst | Proteomic Analysis of Biomarkers and Pathogenesis of Traumatic Brain Injury |
| Sep 10 - Aug 13 | Gombkova, Svetlana/Vanderbilt Univ | Validation of AMR1 Receptor Peptide Assay to Improve Diagnostic Certainty of mTBI |
| Oct 10 - Sep 13 | Gosh, Prasad K/UT Houston | Biomarkers Prognostic for Elevated Intracranial Pressure |
| Jan 08 - Feb 14 | Haskin, Howard/Cornell Univ | Discovery and Validation of Peripheral Biomarkers of Traumatic Brain Injury |
| Jun 09 - Jun 13 | Say Kaminori/WRAIR/Geneva | Brain Injury Biomarkers and Behavioral Characterization of mTBI in Soldiers Following Repeated, Low-Level Blast Exposure |
| Sep 10 - Sep 13 | Senowies, Raymond/WOR | Neurocognitive and Biomarker Evaluation of Combination mTBI from Blast, Over pressure and Traumatic Stress |
| Oct 10 - Sep 11 | Srinivasan, Ram/ NMRC | Identification and Characterization of Sensory/Cerebrospinal Fluid Biomarkers Following Blast/Trauma (mTBI) in Rats: Short-Term and Long-Term Impact |
| Jun 08 - Sep 10 | Hood, Leroy/Institute for Systems Biology | Brain Region- and Cell-Type-Specific Transcripts for Informative Diagnostics |
| Apr 10-Mar 13 | Ung, Christopher/USHS | An Anti-Inflammatory Approach to Diagnosis and Treatment of Combined PTSD and Mild TBI |
| Feb 10 - Jan 13 | Maheshwar, Radha/USHS | Role of MicroRNAs in Mild Traumatic Brain Injury (TBI) and Posttraumatic Stress Disorder (PTSD): Identification of Biomarkers and Therapeutic Targets |
| Aug 05 - Jul 06 | McKinney, Richard/ Lymnich, Inc. | Rugged, Handheld, and Array-Based Traumatic Brain Injury Biomarker Biosensor |
| Sep 09 - Oct 10 | Mullan, Peter/Univ of Wash | A non-invasive biomarker for intracranial pressure |
| Oct 10 - Sep 11 | Musker, Gregory P./USHS | Discovery of Early Biomarkers in TBI by Identifying Protein Autoantigens |
| Oct 10 - Sep 11 | Reitman, Jacques/BNST/ATRC | Identification of Novel Protein Biomarkers Based on in vivo Models of Traumatic Brain Injury |
| Apr 08 - Mar 12 | Schickman, Donna/ NMRC | Validation of Prospective Biomarkers for TBI in the Presence of Hemorrhagic Shock |
| Sep 08 - Sep 10 | Wagner, Arne/Univ of Pittsburgh | Data Mining Approaches for Biomarkers in Clinical Traumatic Brain Injury |

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Update on Non-Invasive TBI Diagnostic Efforts

US Army MRMC

Dr. Douglas Gibson

In September 2010 BG James J. Carroll, USAF, signed a Capability Development Document (CDD) for a non-invasive traumatic brain injury diagnostic capability. This was the culmination of a procurement effort sponsored by USAF Air Combat Command. The CDD was taken up by Joint Program Committee 6 (JPC6) and in January of 2011 an Integrated Product Team (IPT) was chartered for joint development of a diagnostic device. This presentation will report on progress of that IPT. Included will be descriptions of the leading technologies.

Update
On
Noninvasive Neurodiagnostic
Product Development Effort

Douglas B. Gibson, Ph.D.
Deputy Neurotrauma Research Coordinator
USAMRMC-CCRP (RAD2)
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Acronyms Used in this Briefing

- AGC—Air Combat Command
- AMC—Army Medical Department
- AMCDS—Army Medical Department Center and School
- AMHS—Advanced Research Hospital of the Army Medical Department
- ARL—Army Research Laboratory
- ARS—Armed Forces Research Institute for Biological Diseases
- BCESS—Ballistic Error Accounting System
- BO—Biological Support
- CCOCP—Combat Care Gap Research Program
- CDL—Cognitive Developmental Function
- CDR—Commander
- DAVIA—Defense Advanced Visual Information Assessment
- DDO—Directorate of Combat and Devolved Development
- DDO—Department of Defense
- DTI—Cellular Tissue Imaging
- DTN—Data Transfer Network
- DMSC—Defense and Modernization Support Center
- EDC—Electronic Data Center
- ECI—Environmental Studies in Chemicals, Infection and Potential Health Problems
- JEI—Integrative Health-Care Assessment and Evaluation, Training

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The Problem

Mild Traumatic Brain Injury (mTBI) causes cognitive problems

The extent and severity of these problems is hard to assess

Those affected may

- have true recovery
- adapt to their deficits
- conceal their deficits
- be unaware of their own deficits (anosognosia)

Current assessment relies largely on self-report and psychological tests



How Many People Have TBI?

Data are critical to understand **traumatic brain injury (TBI)** as an important public health problem. This **data** can help inform TBI prevention strategies, identify research and education priorities, and support the need for services among those living with a TBI

National TBI Estimates

Each year, an estimated 1.7 million people sustain a TBI annually.¹ Of them:

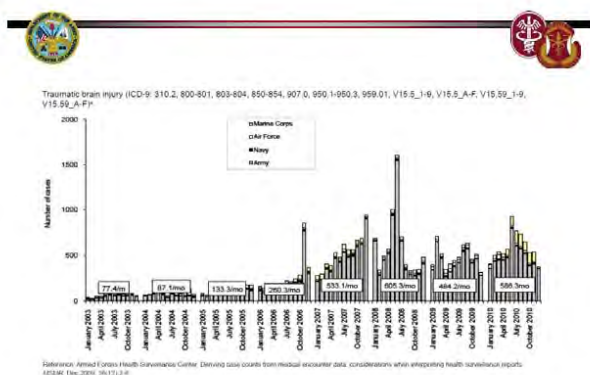
- 52,000 die,
- 275,000 are hospitalized, and
- 1.365 million, nearly 80%, are treated and released from an emergency department.

TBI is a contributing factor to a third (30.5%) of all injury-related deaths in the United States.¹

About 75% of TBIs that occur each year are concussions or other forms of mild TBI.²

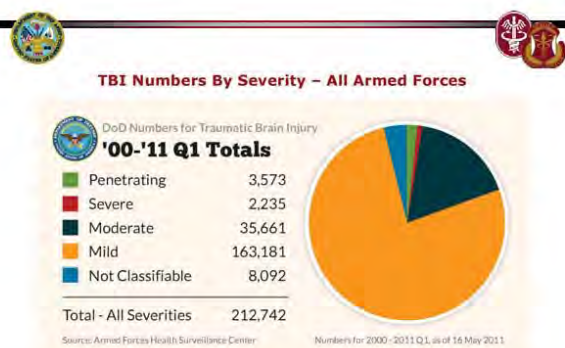
*The number of people with TBI who are not seen in an emergency department or who receive no care is unknown.





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Mild TBI (mTBI) constitutes 77%

Return

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Result

Because we cannot adequately assess mTBI

- Missions are impaired
- War fighters are imperiled
- Return to duty decisions cannot be adequately made
- Treatments cannot be developed
- Casualties cannot be accurately reported
- Service members (and families) suffer immediately and in the long term



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Abstract

- In September 2010 BG James J. Carroll, USAF, signed a Capability Development Document (CDD) for a non-invasive traumatic brain injury diagnostic capability.
- This was the culmination of a procurement effort sponsored by USAF Air Combat Command and led by Col Mike Jaffee.
- The CDD was taken up by Joint Program Committee 6 (JPC6)
- December of 2010 Integrated Product Team (IPT) was chartered for joint development of a diagnostic device--Non-invasive Neurodiagnostic IPT (NN IPT)

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Volume 6

Traumatic Brain Injury and Psychological Health



NN IPT Membership

- **General Membership:**
 - Dr. Douglas Gibson, CCCRP, IPT Chair
 - Dr. Kenneth Curley, CCCRP, IPT Co-Chair and RAD Representative
 - Mr. Michael Husband, USAMMA, IPT Co-Chair
 - Ms. Leslie Connell, USAMMA, Logistics Representative
 - Dr. Lloyd Salisbury, USAMMA, Product Manager
 - Mr. Salmack, USAMMA, Clinical Technical Advisor Representative
 - Ms. Cynthia Barlow, USAMRMC, Quality Management Representative
 - Mr. Marcus Streipe, USAMRMC, Legal Representative
 - Mr. Terry Lee, USAMRMC, Testing
 - Dr. Eugene Golanov, TATRC, Neuroscience Program Manager
 - Dr. Christie Yu, CDMRP, Neuroscience Science Officer
 - Dr. Kate Nassauer, MOMRP, JPCS/Concussion
- Mr. William Robertson, DCDD, User Representative
- Dr. Hank Gardiner, DCDD, User Representative
- COL Leo Tucker, DCDD, User Representative
- Dr. James Kirkpatrick, DCDD, Combat Developer
- Mr. Willie Lindsay, AMEDD Test Board, Field Evaluation
- Dr. Michael Russell, AMEDDC&S, Clinical Evaluation Representative

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NN IPT Membership

- Dr. Reuben Kraft, ARL, Biomedical Engineering SME
- Dr. Frank Tortella, WRAIR, Applied Research SME
- Dr. Mona Hicks, NINDS, Other Government Partner
- Mr. Michael Mitchell, USAF, ACC, Service Representative
- CDR Jack Tsao, USN, Service Representative
- CAPT James Hancock, USN, Service Representative SME
- Mr. Kevin Joyner, USMC, Service Representative
- CDR David Tarantino, USMC, Representative SME

Subcommittees

Technology/Analysis of Alternatives Subcommittee

- Maj Laura Baugh, USAF, Other Military Services (USAF)
- Maj Jeffrey Lewis, USAF, Other Military Services (USAF)
- Dr. Donald Marion, DVBIC, Clinical Research SME

Planning Subcommittee

- Mr. BC Baker, USAMRAA, Contracts Representative
- Ms. Patricia Beverly, USAMMDA, Regulatory Affairs Representative
- Mr. Ronald Palmer, CCCRP, Financial/Programmatic

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Background

- **Four pronged approach for in-theater mTBI diagnosis**—four orthogonal measures
 1. Self-report/psychological tests—current standard
 2. Biochemical biomarkers—an IPT is currently developing these
 3. Imaging—some MRI techniques are useful: DTI, SWI
 4. Physiological—focus of this IPT (Non-invasive Neurodiagnostic IPT)
- **Three step approach to Physiological Measure**—least risky path
 1. Three or more independent desktop devices to be used in a Battalion Aid Station (BAS) and above.
 2. A single desktop device that incorporates several physiological technologies.
 3. A hand-held device that could be used by medic

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Background

- **Product Description:** A quantifiable assessment of mild traumatic brain injury (concussion) using physiological methods immediately following the event.
- **Current/Next Milestone:** Pre-Milestone A, multiple modalities are available and there may be more than one proceeding at once [e.g., smooth pursuit eye tracking, quantitative EEG, balance].
- **Key Product Decisions:**
 - 9 August 2009, Assessment of Non-invasive Neurodiagnostic Technologies,** meeting of experts. Selected smooth pursuit eye tracking and quantitative EEG for further development as the most promising of several diagnostic technologies identified by the panel.
 - 14-15 August 2010, Field-Deployable mTBI Diagnostics Workshop** a meeting of experts concluded that the solution will require multiple modes of diagnosis.
 - 20 September 2010, Portable, Field-Based Devices for the Early Diagnosis of Mild Traumatic Brain Injury,** a review of literature released.

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Criteria used to rank technologies at 9 Aug 09 Assessment of Non-Invasive Neurodiagnostic Technologies Workshop

1. Can the proposed solution feasibly accomplish its diagnostic/monitoring purpose in a field environment? (including power requirements, environmental "noise" and human factors)
2. Will the technology substantially alter/improve management at echelons I, II or III as well as in transport? (Specify the levels at which the technology can be used)
3. Can the proposed technology be easily and quickly used by a medic, nurse, physician, surgeon or neurosurgeon? (specify level of provider required to use and interpret technology)
4. Can the technology be fielded in the time estimated by the investigator?
5. Is the unit cost reasonable?

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Field-Deployable mTBI Diagnostics Workshop

14-15 August 2010

Cognitive Assessment—MACE, ANAM, ImPACT

Molecular biomarkers—Serum/blood biomarkers; peripheral white blood cell; gene expression; saliva; urine; microfluidics; nanotechnology

Imaging (vascular instability)—Transcranial Doppler; hemodynamic vascular analysis

Imaging (structural)—Transcranial ultrasound

Imaging (functional and structural)—Near-infrared imaging

Oculomotor—Saccades; smooth pursuit

Attention—Smooth pursuit eye tracking

Electrophysiology

Autonomic—Pupillometry; heart rate variability assessment

Vestibular—Balance error scoring system (BESS); Romberg; vestibulo-ocular reflex (VOR)

Cranial nerve function—Olfaction; oculomotor

Physical examination findings—Neurological soft signs—e.g. two-point discrimination; structured clinical interview

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Portable, Field-Based Devices...

20 September 2010

Sensor Systems for Detecting Pyroclastic Injuries Events
Current Helmet-Based Sensor Systems

Neurocognitive/Psychological Testing Methods and Devices

Point-of-Care Detection Devices for Assessing Biomarkers
Current State of Biomarkers for mTBI Diagnosis
Point-of-Care Biomarker Analysis Devices

Ocular Imaging Methods and Devices

Electrophysiologic Methods and Devices

Electroencephalography

Evoked Potentials and Event-Related Potentials

Electrical Impedance Methods and Devices

Sensory Assessment Methods and Devices

Olfactory System

Auditory System

Balance Assessment Methods and Devices

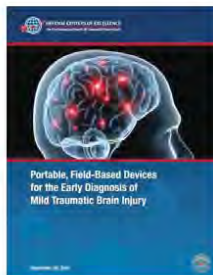
Transcranial Ultrasound Methods and Devices

Transcranial Doppler Ultrasound

Acoustical Imaging Methods and Devices

Passive Acoustical Monitors, Imaging Methods and Devices

Near-Infrared Spectroscopy



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Directive-type Memo (DTM 09-033)



1. Combat medic/Corpsman concussion (mTBI) triage (pre-hospital/no medical officer in the immediate area)—MACE used.
2. Initial provider management—MACE used.
3. Referral from Level I or II or polytrauma
4. Recurrent concussion (3 documented in 12 month span) evaluation—MACE used.

MACE—Military Assessment of Concussion

1. A structured interview to determine current symptoms and history,
2. A 30 point mental status examination, and
3. A summary determination of an ICD 9 diagnosis.

Mental status tests are designed to identify and document severe cognitive deficits.

MACE is similar to the MMSE
useful when subject is dazed
and disoriented.

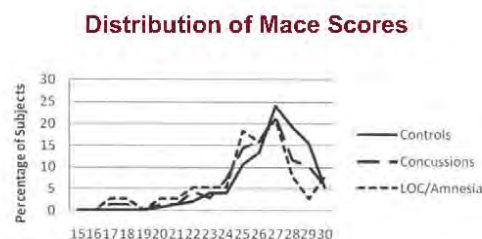


FIGURE 1. Distribution of MACE scores.

Results of a research study conducted in theater service members between 12 and 72 hours post-concussion and controls (Coldren, et al., 2010)

Receiver Operating Characteristic Curve

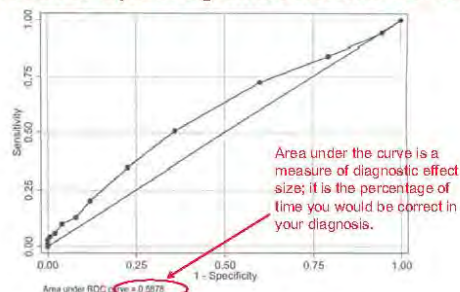
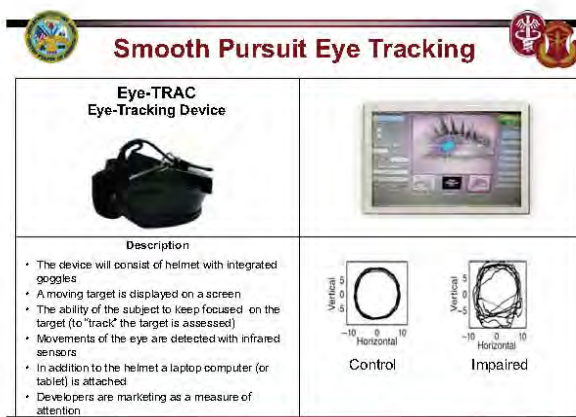
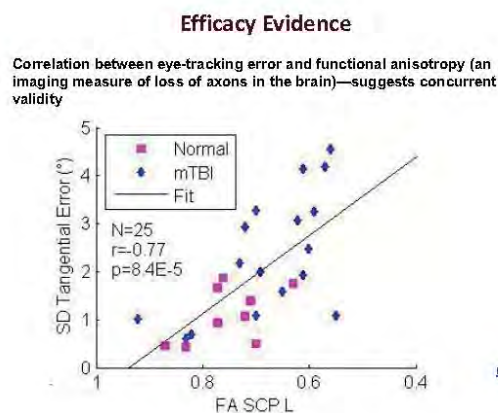
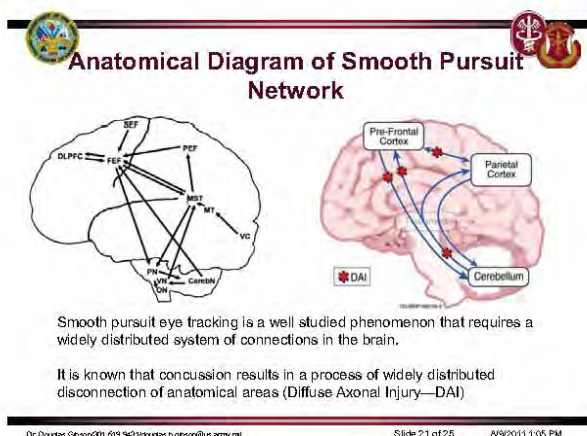


FIGURE 2. ROC curve of MACE scores for all concussed subjects vs. controls

Smooth Pursuit Eye Tracking

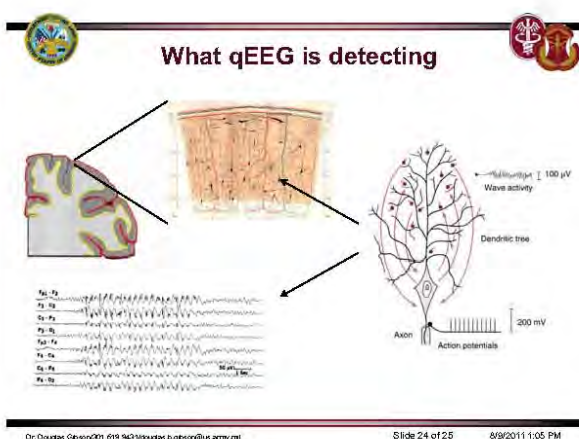


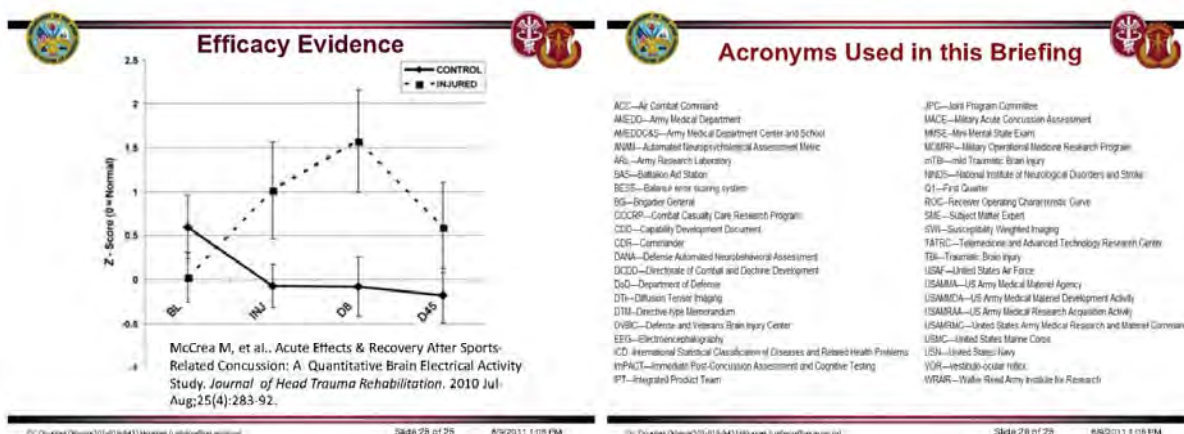


Lead Quantitative EEG Product

| | |
|---|--|
| BrainScope Ahead™ M-100 A portable, quantitative EEG platform | |
| Description <ul style="list-style-type: none"> The device will consist of a head mounted electrode array Small electrical signals from the cortex of the frontal lobe of the cerebrum will be acquired A hand-held processor will be attached to the electrode array The processor will use a proprietary algorithm to analyze the data Developers data indicates that mTBI patients can be distinguished from unimpaired individuals | |

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Read out Loud: The Impact of Military Deployments on Shared Reading Practices in Pre-School Children

SAUSHEC

Capt Gayle Haischer-Rollo

Objective: The impact of a decade of military deployments on the population of military children is largely unknown. Parent-child reading habits during recent deployments may have long reaching impacts into the development of military children. Since September 11th 2001 many military families have experienced long and more frequent deployments. Although there are multiple ongoing studies investigating the psychosocial impact of deployments on families and children; there are few that focus on the important aspect of reading in the home. We decided to study the number of nights per week parents read to their children and compare the rates between military families with no deployed parents and those with one parent deployed. Methods: We distributed a brief questionnaire to 40 deployed and 70 non-deployed families at two similar southwestern military base clinics. Results: We found that parents with a deployed member in the family read to their children on average 4.65 nights a week and non-deployed 5.75 nights per week (p value 0.0059). We also found that families with a deployed member read on average 18 minutes per session as opposed to families with no deployed member reading 28.6 minutes per night (p value 0.0011). Conclusions: Health care professionals taking care of military dependants should be aware of that time spent in shared reading practices may be impacted during deployment. This information can be used when counseling parents and supporting them with resources aimed at increasing household literacy practices.

Read Out Loud

The impact of military deployments on shared reading practices in pre-school children

Gayle "Hava" Haischer-Rollo, MD
SAUSHEC



Background

- Early childhood literacy
 - Guides future attitudes
 - Better school preparedness
- Literacy rich environments
 - Advanced oral language
 - Higher reading knowledge and skills



Background

- Literacy poor
 - Parental attitudes
 - Low income
 - Minority
- 16% do not read to kids
 - 23% read 1-2x per week
- Parents factors
 - Time
 - Stress
 - Finances



*Shared Reading Practice-Benefits

- Rich, authentic, interesting literature can be used
- Provides opportunities to model reading for the children
- Opportunities for concept and language expansion
- Awareness of the functions of print, language patterns and word-recognition skills

*Holdaway, Don. 1979. *The foundations of literacy*.



Effect of Deployments

- Multiple on going studies since Sept 11th 2001
- Longer and more frequent deployments
- Long reaching social and emotional impacts
- Increased stress
 - Time
 - Finances



Study Design

- Cross Sectional Observational Study
 - Primary structured survey
 - Deployment status
 - Children ages
 - Nights per week/minutes per night
 - Demographic information



Recruitment

- Two Pediatric Clinics
 - WHMC
 - BAMC
- April to August 2010
 - Well children
 - Acute appointments
- Letters offered



Inclusion/Exclusion Criteria

- Inclusion
 - Ages 0-5
 - One parent active duty
- Exclusion: Children of
 - Retirees
 - Civilians
 - Dependents



Data Analysis

- Number of days per week
 - Compare deployed vs. non-deployed with Mann-Whitney
- Hours per night
 - Compare deployed vs. non-deployed with Mann-Whitney
- Demographics
 - Compare with Chi-Square or Mann-Whitney



Demographics

| | Deployed | Non deployed | P-Value |
|--------------------------|----------|--------------|---------|
| Rank (n=110) | | | |
| <E5 | 22 | 28 | 1.88* |
| E6-E8 | 9 | 19 | |
| O1-O3 | 3 | 15 | |
| O4-O6 | 6 | 7 | |
| Education (n=110) | | | |
| Some high school | 1 | 1 | 0.074* |
| High school/GED | 9 | 4 | |
| Some college | 13 | 20 | |
| Graduated college | 12 | 33 | |
| Post graduate | 5 | 12 | |



Demographics

| | Deployed | Non deployed | P-Value |
|--|----------|--------------|---------|
| Race (n=110) | | | |
| Asian/Island Pacific | 3 | 5 | 0.364 |
| Black/African American | 3 | 11 | |
| Hispanic/Latino | 11 | 11 | |
| White/Caucasian | 23 | 43 | |
| Number of children in the household | | | |
| | 2.1 | 2.0 | 0.504 |



Demographics

| | Deployed | Non deployed | P-Value |
|------------------------|----------|--------------|---------|
| Ages of Mothers | | | |
| | 31.8 | 30.8 | 0.824 |
| Ages of Fathers | | | |
| | 32.7 | 32.8 | 0.354 |



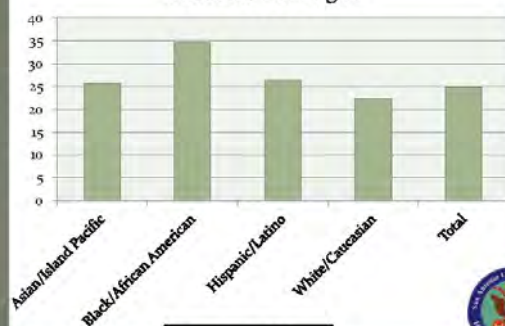
Results

| | Deployed | Non-Deployed | P-value |
|------------------------|----------|--------------|----------|
| Avg. nights per week | 4.65 | 5.75 | 0.0059* |
| Avg. minutes per night | 18.3 | 28.7 | 0.0011** |

*P < 0.01 = statistically significant



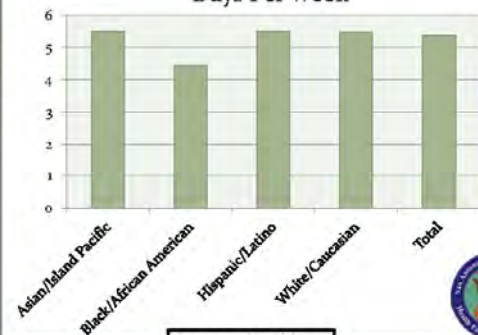
Minutes Per Night



P value 0.715



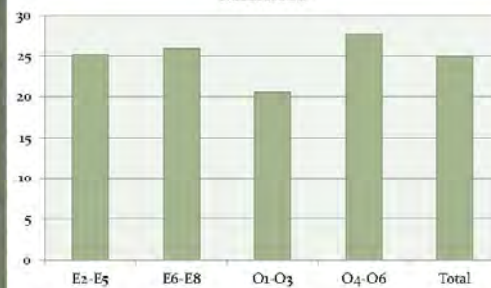
Days Per Week



P value 0.308

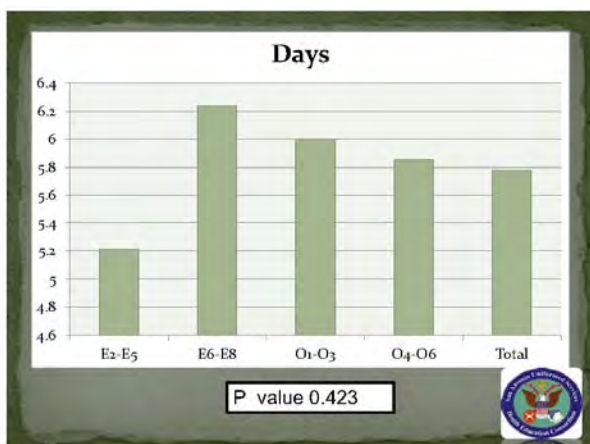


Minutes



P value 0.851





Summary of Results

- No difference in reading with regards to
 - Race
 - Rank
- There is a difference in deployed and non-deployed
 - In days per week
 - In hours per day



Discussion

- Many studies look at deployment effects
- Among the first to look at deployment effects on reading



Discussion

- Overall negative impact on time spent reading
- Information provides opportunity to provide
 - Resources
 - Anticipatory guidance
 - Information on the importance of reading



Conclusion

- Deployments impact reading practices
- Minimize the impact of deployments
- Follow-up studies
 - Reviewing reading scores/levels
 - Survey before and after anticipatory guidance



Acknowledgements

- Dr. Eric Flake for mentorship
- Ms. Cristy Landt, MS for statistical support
- Mr. Victor Haischer for creating a database for this project
- Dr. Deena Sutter for general guidance



Questions?

Potential Burden of Repetitive Concussions in the Pediatric Population

633rd MDOS/SGOMP

MAJ Dalila Lewis

Sports injury is the second leading cause of traumatic brain injury in persons aged 15-24 years. Concussions are of particular interest in the pediatric population as the vast majority of persons playing contact or collision sports are under the age of 21 years. Young athletes are more prone to adverse sequelae following concussion according to an ever-growing body of scientific literature. Reasons for this are multiple, and include mechanical, physiologic, and neurometabolic differences of the developing brain. Suboptimal recovery in areas of attention, verbal memory, visual processing speed, reaction time, numerical sequencing ability, and learning has been observed via standardized computerized testing following concussion in young athletes. Further, post-concussive symptoms of headache, disequilibrium, emotional lability, dysregulated sleep, and cognitive difficulty are frequently prolonged after repeated concussions. Entities such as 'dementia pugilistica' and 'chronic traumatic encephalopathy' in adult athletes have highlighted concern regarding potential cumulative chronic neuropathologic changes that may result from repetitive concussive injury. In addition, current studies involving nuclear imaging to attempt to determine a temporal window of relative cerebral vulnerability following concussion have demonstrated prolonged disturbances in cerebral metabolism following concussive injury. Results of these studies have prompted the recommendation of a period of 'cognitive rest' following concussion ranging from one to several weeks. As persons taking care of both the active duty population and their young dependents, it is imperative that clinicians be aware of the potential impact of concussion, both immediate and long term.

Concussions in the Pediatric Population

Dalila Lewis, MD, FAAP
MAJ, USAF, MC
Staff Pediatric Neurologist
Naval Medical Center Portsmouth
Langley AFB

Outline

- Scope
- Scientific literature review
- Current management recommendations

Scope

- Mva#1 cause, sports #2 cause
- 300K sports-related concussions annually
- >50% occur in persons under age 21y
- Sports participation increasing exponentially among youth

Problem

- Concussions are often under-recognized and under-reported
- Lack of understanding of neurobehavioral effects of concussion in lay population
- Multiple concussions predispose to longer recovery and negative cognitive sequelae

Characteristics of concussion

- Concussion = mild tbi
- Concussion may not always include LOC
 - 'a trauma-induced alteration in mental status that may or may not be accompanied by a LOC'
- Nausea, vomiting, headache, amnesia, confusion, & dysequilibrium are actually more common than frank LOC

- Post-concussion syndrome
 - Decreased attention and focus
 - Poor short-term memory
 - Insomnia
 - Fatigue
 - Headaches
 - Dysequilibrium
 - Mood lability
- May persist for weeks to months after concussion, though most often resolves within 1 month

pathophysiology

- No structural brain injury
 - normal conventional neuroimaging (CT, MRI)
- Concussion results in metabolic brain injury that is typically reversible
 - Increased cerebral glucose consumption
 - Decreased cerebral blood flow
 - Cerebral energy mismatch with decreased ATP production
 - Increase in production of excitatory neurotransmitters
- Cascade of intracranial metabolic derangements detectable by advanced neuroimaging techniques (PET, proton-MRI, SPECT)
- Cerebral pathophysiology may remain altered for days to weeks
- Clinically manifests as neurobehavioral changes seen acutely after concussion, or with postconcussion syndrome

Scientific literature review

- Greater vulnerability of pediatric brain
 - Decreased myelination may result in decreased 'shock absorption'
 - Less developed neck musculature predisposes to increased acceleration-deceleration injury
 - Shearing may induce disruption of developing neural connections resulting in learning and memory impairment
- Data also suggests gender differences, with females being more susceptible to concussion than males
- Studies of high school athletes report prolonged recovery times after concussion compared with adult counterparts
- Recovery times correlate with number of previous concussions
 - Athletes who have suffered 3 or more concussions have longer duration of neurocognitive symptoms

- Risk of repeat concussion greatest within 1st 7-10 days of initial concussion
- Data suggests that neurometabolic derangements following concussion lasts days to weeks, though increased brain vulnerability within 1st 7-10 days
 - May provide neurochemical basis for second impact syndrome

- long-term potentiation, a cerebral process crucial for learning and memory, may take even longer to recover
 - Basis for recommendations regarding period of cognitive rest following concussion

controversy

- Recent study of collegiate athletes found that a symptom-free waiting period ranging from 1-30+ days did not change outcome compared with control group
 - Same study notes that repeat concussions were greatest within 1st 10 days following initial concussion
 - Did not take into account history of prior concussions
- studies also suggests repetitive concussions leads to greater risk for earlier-onset dementia
 - Chronic traumatic encephalopathy
- Genetic factors regarding vulnerability to brain injury may play a role

Current management & recommendations

- Currently, no serologic or radiographic marker commercially available to diagnose or monitor concussion resolution
- Purely clinical diagnosis, heavily reliant upon self-reporting of symptoms
- Diagnosis and treatment varies, based on community availability of resources
 - Computer-based neuropsychological testing (ImPACT, ANAM, Concussion Resolution Index, CogSport) prior to sports season and after concussion to aid in rtp decisions
 - Neuropsychology referral
 - Neurology referral
 - Sports medicine specialty referral

- **Current consensus:**

No rtp until symptom free at rest and with exertion for at least 7 days
Consider period of cognitive rest with graded return to academic activity

- **Post-concussive syndrome**

individualized; symptom-based approach

- Headaches, Insomnia, mood lability, dysequilibrium: tricyclic antidepressants
- Poor attention & focus: consider stimulant, academic curriculum modification

Summary

- Potential health and economic burden of recurrent concussions incurred in youth are significant
- Greater emphasis being placed on appropriate timing of RTP to minimize risk of recurrent concussion
- Future identification of practical neuroimaging modality and/or bioserological marker may improve prognostication following concussive injury

Concussion Research in Children and Youth

DCoE

Col Stephen Sharp

Concussion is receiving increased attention in the military and civilian populations because of the number of Service Members concussed in the Global War on Terror and the reports of long term cognitive issues after multiple concussions in professional sports such as the NFL. Even within the military community data has suggested that approximately 80% of concussion occurs CONUS from sports injuries and falls. Appropriately, increasing concern is being given to the effects of concussion on children and adolescents, particularly those stemming from athletic activities. A result has been an increased research effort looking for better ways to diagnose and assess concussion in young people, more stringent recommendations regarding returning to play, and better methods for treatment. Studies looking at biomarkers, EEG, and neuroimaging that were originally aimed at adults are now being investigated in youth as well. A recent controversial recommendation for cognitive rest after concussion has generated a lot of discussion. What is cognitive rest? Does outward cognitive rest equate to actual physiological brain rest? Are the results significant enough to warrant enforcing this on active young people? Additionally, researchers are looking at the question of the time that the brain is at risk post-concussion. How long should one be “protected” from a subsequent concussion? Should rules be changed for sports in youth that vary even more significantly from those in adults? The presentation will discuss the present reported research in these areas from screening and diagnosis through treatment and return to activity as they apply to children and youth.



Outline

- Epidemiology
- Issues in Pediatric/Adolescent Concussion
- Prevention
- Diagnosis
- Treatment

• Col Sharp has nothing to disclose.
• The opinions presented are those of the speaker and do not represent official positions of DOD, the USAF, or DOD.

Numbers???

- 1-1.5 million ED visits/year in US for TBI.
 - Roughly 80% for concussion (Ruff, 2009)
 - 91.5% of children treated and released from ED
- Reported around 300,000 sports related concussions per year. Estimates from 1.7-3.8 million (Lew, 2007)
- 8.9% of all sports injuries
- 65% of ER visits for sports-related TBI is in 5-18 y/o age group

Concerns

- Football has highest incidence of concussion
 - Appx 3 million children between 6-14 y/o play tackle football
- Girls have higher rates than boys in similar sports and often longer recovery times (Gessel, 2007; Gregory, 2007)
 - 68% more in soccer; 3 times as many in basketball
 - ? Weaker neck muscles and smaller head mass
 - ? Males less likely to report it
- "Youth are indestructible"
 - Previous thought was the developing brain was more resilient than older brain
 - Children often seem to recover more quickly
 - Newer research suggests the opposite- injuries to a developing brain may take longer to heal and may show signs of injury later
- Children's sports teams less likely to have trained staff on the sidelines for evaluations

Physiology

- Immature brain is more vulnerable to injury; metabolic changes present in the injured brain may alter child development. (Aloi, 2008)
 - Full cognitive maturity in mid-20's.
- Developing brain is 60 times more sensitive to NDMA and excitotoxic brain injury. (Field, 2003)
- Children commonly experience more severe symptoms of post-concussion syndrome. (McCrory, 2009)
- mTBI lesions tend to occur in WM, especially at the gray-white junction.
 - Depending on location have been associated with neuropsychiatric outcomes: ADD, OCD, anxiety disorder, etc. (Suskauer, 2009)

Grading

- The Management of Concussion in Sports. AAN, 1997.
 - Grades 1,2,3. Management based of grading.
- Zurich Statement. International Symposia on Concussion in Sports, 2008.
 - Delineation of "Grades" was arbitrary and not useful in managing concussion
- Sport-Related Concussion in Children and Adolescents. AAP, 2010.
 - Abandonment of previous grading scales for a symptom-based approach

Prevention

- Important part of preventing concussion. CDC "Heads-up" program (ie. helmets, mouth guards, etc)
 - Effectiveness difficult to measure in studies
 - Educational efforts at coaches especially important (Hollis, 2009)
- Soccer- protection from colliding heads, but not from heading the ball
 - Moving head vs. stationary head
 - Protects from soft-tissue injuries
- Football helmets decrease rate of concussion by roughly 1/3. ??? Repeated mild "bangs" to a developing brain.



Genetic testing

- Apolipoprotein E4 gene
 - E-4 allele associated with worse outcome after severe TBI; 3-9 fold increase in dementia
 - Concussion??; studies after mild/acute injury negative
- S-100 calcium binding protein gene
- Studies on children have not demonstrated significant differences in injury characteristics or outcomes; not recommended at this time.

Field Assessments

- Maddocks questions
- Standardized Assessment of Concussion (SAC)
- Balance Error Scoring System (BESS)
- Immediate Post-Concussion Assessment and Cognitive Testing (ImPACT)
- Sport Concussion Assessment Tool 2 (SCAT2)
 - Newest and combination of much of the above; not standardized for children as yet.
 - Ice hockey 9-17 y/o (Schneider, 2010)
- More beneficial to test > 15 minutes after cessation of exercise and in a standardized setting; not on the sideline



Neuropsychological testing

- Computerized test for athletes < 12 y/o in development
- Hand-held "do it yourself" concussion assessment

Biomarkers

- S-100 calcium-binding protein B
 - Elevated after all severities of TBI
 - No clear relationship to outcome in most studies
 - May help predict outcome with more severe TBI (Berger, 2007)
 - Influenced by age and time from injury (Aristotelis, 2010)
- Glutamate
 - Increased in children with cerebral confusion and chronic post-traumatic HA
- Neuron-specific endolase
 - Not discriminatory (Geyer, 2009; 2011)
- Glial fibrillary acidic protein (GFAP)
 - May have prognostic value after severe TBI (Fraser, 2011)
- Myelin basic protein
 - Not discriminatory (Simon, 2010)
 - May help predict outcome with more severe TBI (Berger, 2007)

Imaging: CT/MRI

- Easier and faster than MRI
 - < 4-8% positive in mTBI; < 0.5% require intervention. (Vasquez, 2007)
- Criteria for use
- Radiation exposure
 - About 2 rem; (20 chest X-rays). (Bazarian, 2006)
- ?MRI may be better after 48 hours
 - Up to 30% more sensitive
 - 10-57% abnormalities in mTBI (four studies, 1991-2004)
 - Susceptibility-weighted MRI
 - Shows promise in detecting hemorrhagic lesions (Beauchamp, 2011)

CHALICE Criteria

- The children's head injury algorithm for the prediction of important clinical events rule
- A computed tomography scan is required if any of the following criteria are present
- History
 - Witnessed loss of consciousness of >5 min duration
 - History of amnesia (either anterograde or retrograde) of >5 min duration
 - Abnormal drowsiness (defined as drowsiness in excess of that expected by the examining doctor)
 - 25 vomits after head injury (a vomit is defined as a single discrete episode of vomiting)
 - Suspicion of non-accidental injury (NAI) defined as any suspicion of NAI by the examining doctor)
 - Seizure after head injury in a patient who has no history of epilepsy
- Examination
 - Glasgow Coma Score (GCS) <14, or GCS <15 if <1 year old
 - Suspicion of penetrating or depressed skull injury or tense fontanelle
 - Signs of a basal skull fracture (defined as evidence of blood or cerebrospinal fluid from ear or nose; periorbital eyes; Battle's sign; hemotympanum; facial crepitus or serious facial injury)
 - Positive focal neurology (defined as any focal neurology, including motor, sensory, coordination or reflex abnormality)
 - Presence of bruise, swelling or laceration >5 cm if <1 year old
- Mechanism
 - High-speed road traffic accident (either as pedestrian, cyclist or occupant (defined as accident with speed >40 mph))
 - Fall of >3 m in height
 - High-speed injury from a projectile or an object
- If none of the above variables are present, the patient is at low risk of intracranial pathology. (Dunning, 2006)

Imaging: SPECT

- Children 2-18 with mTBI: medial temporal hypoperfusion was associated with persistent post-concussion syndrome

Imaging: Functional MRI

- Used serially to follow recovery and compensatory patterns
- Athletes with depression after TBI showed similar findings with non-athletes with major depression (Chen, 2008)
- Not much in children
 - Ongoing study at Univ of Toronto

Imaging: DTI

- Assess WM changes following DTI
- Adult studies:
 - Not associated with post-concussional disorder 2 months following mTBI
 - Acute changes can be seen following mTBI (McDonald, 2011)
- Changes seen in functional anisotropy 6-12 months after mild and moderate TBI in children 10-18 (Wozniak, 2007)
- Some correlation with more intense post-concussion symptoms (Prabhu, 2011)
- Altered FA (suggestive of cytotoxic edema) within 6 days of injury in adolescents (Wilde, 2008)

Recovery times

- High school athletes demonstrated impairments of learning and memory up to 7 days post injury; compared to 3 days for college athletes.
- Return to play guidelines may need to be more conservative for younger athletes
- Cognitive impairment may begin or worsen several days after mild concussions that appeared to have rapid resolution (< 15 minutes)

Return to play

- Never on the same day
- Longer than college age and above
 - 7-10 days or longer

Education

- Education program for adults after TBI. At 3 months intervention group had fewer symptoms. (Ponsford, 2002)
- Similar results in pediatric study by same group (Ponsford, 2001)

Physical Rest

- Removed from activities with graded return
- High levels of overall activity may interfere with recovery; more moderate levels may be acceptable or beneficial. (Majerske, 2008)
 - Exercise to levels just below where symptoms are induced

Cognitive Rest

- Physical and cognitive rest mainstays of sports related concussion treatment
- Minimize activities that require concentration and attention: reading, schoolwork, TV, video games, text messaging, working online, playing games that require concentration
 - If phonophobia: cut down noise
 - If photophobia: sunglasses and a darkened room
- Academic performance based on memory and processing speed....
- Anecdotal studies

Medications

- Sleep
 - Melatonin
- Attention
 - Methylphenidate
 - Improvement in 5 attention tasks (Whyte, 1997)
 - Williams study: no help for pediatrics (Williams, 1998)

Medications

- Headache
- Cognition
 - Amantadine: Safe and well-tolerated in children and may improve cognition, but not statistically significant (Green, 2003; Beers, 2004)

Post-Concussion Syndrome

- Adult study: PCS in trauma patients does not show an association with mTBI (Meares, 2011)

Second Impact Syndrome

- Second, often minor, concussion leads to devastating injury or death
- CACNA1A calcium channel subunit gene may be associated
- Almost all have been in athletes 18 y/o or younger.

Conclusions.....

- A lot of research is underway in the area of concussion in children and adolescents
- There is not much “hard fact” data at this point
- Monitor symptoms rather than the concussion itself
- Error on the side of caution
- Questions????

Addressing Sleep Disorders Associated with Mild Traumatic Brain Injury

DCoE

CDR Michael Handrigan


Mild Traumatic Brain Injury is frequently associated with co-occurring sleep disturbances leading to difficulty in recovery, complications with rehabilitation and diminished quality of life. Sleep disturbances in the acute post-TBI period should be an important clinical focus since this is a period of active functional recovery. Identification and treatment of sleep disturbances during this period may reduce TBI morbidity, enhance recovery and limit long term sequelae of mTBI including the risk of chronic sleep disorder. This presentation will focus on the evaluation of sleep disorder following mTBI and treatment tips for sleep based on potential etiology.



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For Psychological Health & Traumatic Brain Injury

Mild Traumatic Brain Injury & Sleep Disorders

CDR Michael Handrigan, MD
 Director, TBI Clinical Standards of Care Directorate
 Defense Centers of Excellence for Psychological Health & Traumatic Brain Injury



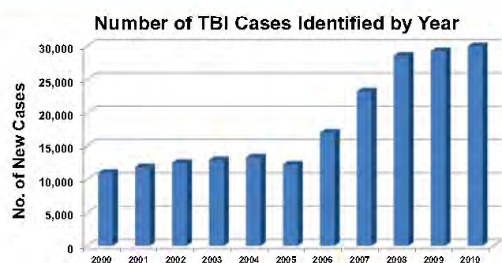
Sleep and the Military

- Consideration of sleep disturbances is particularly important for military service members
- Combat and support Service requirements often require long, unpredictable periods of wakefulness and sleep deprivation, which can impair human performance and vigilance
- The Military Deployment Survey of Sleep indicated that 74% of a group of deployed military personnel rated their quality of sleep as significantly worse in the deployed environment
- Service members with TBI may be at greater risk of sleep disturbances. Prevalence of sleep disturbances among military TBI populations range between **72% and 94%**
- Individuals with TBI and sleep disturbance are more likely to have deficits in key areas of cognitive functioning including attentional focus, memory recall and decision-making

(Himashree, et al., 2002; Lim & Dinges, 2008; Peterson, et al., 2008; Lew et al., 2010, 2007; Castriotta et al., 2007; Wilde et al., 2007)

2

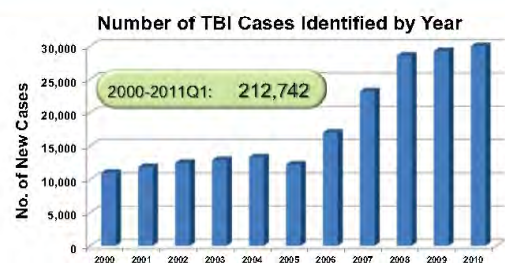
How Big is the TBI Challenge?



(Data Source: www.PVBIIC.org)

3

How Big is the TBI Challenge?

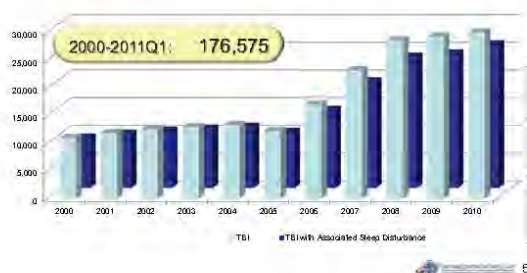


(Data Source: www.PVBIIC.org)

4

TBI with associated Sleep Disturbance

Number of TBI Cases Identified by Year
and Potentially Associated Sleep Disorder with prevalence at 75-95%



What We Know About Sleep and TBI

- TBI patients experience a spectrum of sleep disturbances following injury.
- There is a higher prevalence of sleep disturbances in the military.
- The severity of TBI may play a role in the severity or prevalence of sleep disorders.
- Dreaming is impaired temporarily following TBI, which may also be influenced by co-morbid conditions like PTSD.
- Well-established pharmacological therapeutics, such as modafinil and melatonin are beneficial.
- Non-pharmacological therapeutic approaches, such as cognitive behavioral therapy and sleep hygiene education, can be effective.
- Benzodiazepine hypnotics and antipsychotics should generally be avoided given their potential for impairment of neuronal recovery and cognitive performance.

Sleep and Human Physiology

Necessary for:

- cognitive processing
- cardiac function
- muscular enervation
- temperature regulation
- sexual function

Dysfunction leads to or exacerbates:

- Hypertension
- Obesity
- Diabetes
- Depression
- Stroke and heart attack
- Post-traumatic stress disorder
- Depression
- anxiety disorders

SLEEP and TBI

- Humans spend about a third of their lives in sleep
- Sleep is regulated by brain structures and mechanisms often affected by TBI.

Biology of Normal Sleep Mechanisms

- Non-rapid eye-movement (NREM)
 - NREM sleep is divided into three stages
 - each with unique physiological characteristics
- Rapid eye-movement (REM).
 - Dream state
 - 3-4 REM periods per sleep episode
 - 20-25% of total sleep time
 - critical component of memory consolidation
- Normal sleep patterns usually begins with NREM stage 1, then progresses through deeper NREM stages 2 and 3 until returning to stage 2 before proceeding into REM.

(Smith & Lapp, 1991; Vassalli & Dijk, 2003)

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Sleep and Wakefulness Transitions

- Regulated by a two-process model
- Process S: promotes sleep
 - homeostatic drive for sleep
 - accumulates throughout the day
 - Peaks at night
- Process C: maintains wakefulness
 - Process C counteracts Process S
 - builds throughout the day and declines around bedtime



(Achermann, 2004; Saper, Lu, Chou, & Gooley, 2005; ICM, 2006)

10

Sleep Disturbances and Sleep Disorders In the General Population

- Sleep Disturbance: any disruption of sleep
 - Complaint of poor sleep
 - Subjective sleep quality
- Sleep Disorder: Medically recognized sleep disorders:
 - Insomnia
 - Hypersomnia
 - Narcolepsy
 - obstructive sleep apnea (OSA)
 - Circadian rhythm sleep disorder (CRSD)
- Classification systems include
 - International Classification of Sleep Disorders, Second Edition (ICSD-2)
 - American Psychiatric Association's *Diagnostic and Statistical Manual of Mental Disorders IV-TR* (Revised 4th ed.)
 - World Health Organization's International Classification of Diseases (ICD-9 and ICD-10).

11

General Sleep Disorder Prevalence

- 50 to 70 million Americans suffer from chronic sleep disorders, with negative consequences to their daily function and general health



(IBM, 2006, U.S. Census Bureau)

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Insomnia

- Difficulty in initiating sleep or staying asleep
- Non-restorative sleep for at least one month
- Often accompanied by daytime fatigue or impairment in functioning.
- Effects approximately 33% of U.S. adult population
- Commonly associated with chronic stress on the hypothalamic-pituitary-adrenal (HPA) axis [elevated cortisol and adrenocorticotrophic hormone, hyperactive corticotrophin releasing hormone]
- Risk factors for insomnia: older age, female gender, family history, stressful lifestyle, medical and psychiatric disorders (especially depression), and erratic work schedules
- **Diagnosis**
 - Self-reports of sleep quality and duration
 - Medical and psychiatric histories
 - Sleep logs, actigraphy² and ambulatory monitoring
 - Polysomnography (PSG)

(DSM-IV-TR, Zeman, 2007; Arnold-Lipsell & Roff, 1993; Breakey, et al., 1996; Roff, 2006; Roth & Rothen, 2003; Roff, 2006; Vgontzas et al., 2007)

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Hypersomnias and Excessive Daytime Sleepiness (EDS)

- Hypersomnia: excessive sleepiness for at least one month as evidenced by prolonged sleep episodes or EDS
- **Primary**
 - Narcolepsy
 - Idiopathic hypersomnia
 - Rare disorders such as Kleine-Levin syndrome
- **Secondary**
 - sleep apnea, sleep deprivation, CRSD
 - drug abuse, depression, head trauma, stroke, neurodegenerative disease
- Effects approximately **4% to 20%** of the general population
- **Diagnosis**
 - Symptom inventories and clinical evaluation
 - Epworth Sleepiness Scale (ESS)
 - Stanford Sleepiness Scale

(DSM-IV-TR, Papou, 2009; Johns, 1991; Hershovitch & Broughton, 1991; Ohayon, 2005)

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Narcolepsy

- Primary hypersomnia
- EDS
- Repeated sleep attacks
- Cataplexy (sudden, reversible loss of muscle tone during consciousness)
- Intrusions of REM sleep into transitions between sleep and wakefulness
- sleep onset REM (SOREM)
- Effects approximately **0.045%** of the general population
- Frequently associated with brain tumors
- **Diagnosis**
 - Symptom inventories and clinical evaluation
 - Epworth Sleepiness Scale (ESS)
 - Polysomnography
 - Multiple Sleep Latency Testing (MSLT)

(DSM-IV-TR, 2000; Silber, et al., 2007; Ohayon, 2005; Peacock & Banta, 2010)

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Obstructive Sleep Apnea (OSA)

- AKA: Breathing Related Sleep Disorder
- Caused by complete or partial airway obstructions during otherwise normal sleep respiration
- Interrupt sleep and reduce blood oxygenation
- Result in neurocognitive and cardiovascular effects
- **24% to 28%** of men and **9% to 28%** of women experience sleep apnea events that warrant treatment
- Risk factors include: obesity, male gender and increasing age
- **Diagnosis**
 - Medical history
 - physical exam
 - sleep study,
 - polysomnography

(Dempsey, et al., 2010; Young, et al., 2002; White, 2006)

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Sleep Disorders in the TBI Population

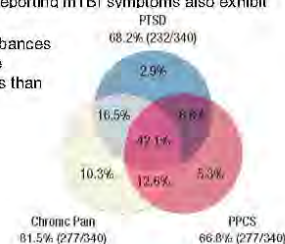
- **30% and 70%** of TBI patients experience sleep disturbances
- Sleep disturbances in TBI impacts attention and memory functioning
- The overlap depression and other anxiety disorders, suggests an increased risk for new or exacerbated psychological health disorders

(Off, et al., 2009; Zeidan, et al., 2009; Bloomfield, et al., 2010; Gastriotta et al. 2007; Wade et al., 2007)

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Sleep Disorders Associated and the "Clinical Triad"

- Sleep disturbances appear to be particularly common in the military patient population and are associated with the "clinical triad" of TBI, PTSD and pain
- Sleep disturbances is seen in **93.5%** of this population.
- As many as **84%** of patients reporting mTBI symptoms also exhibit sleep disturbances
- TBI Patients with sleep disturbances required longer stays in acute trauma and rehabilitation units than TBI patients without sleep disturbances



(Lew et al., 2010; Lew et al., 2007)

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Insomnia in TBI

- **50-71%** of TBI patients experience insomnia
- The presence of insomnia is associated with less severe injuries, more severe depressive symptoms, greater pain and greater fatigue.

(Ouellet et al., 2004; Ouellet & Morin, 2006)

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Hypersomnia and EDS in TBI

- Approximately **50%** of TBI patients experience hypersomnia and/or EDS
- PSG reveals significantly less time spent in REM sleep and significantly higher time spent in superficial NREM stage 2
- Reduced sleep efficiency in injured patients
- Significant daytime episodes of falling asleep, indicating EDS.
- Suggesting that that key brain structures involved in normal sleep, such as the brainstem, basal forebrain and hypothalamus may be affected in mTBI.

(Mazel et al. 2001; Watson et al. 2007; Verma et al. 2007; Schneider et al., 2008)

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Narcolepsy and TBI

- 6% of a TBI population in one study exhibited narcolepsy
- compared to 0.045% in the general population

(Castriotta et al., 2007)

21

Sleep Apnea and TBI

- 23 – 47% of adults with TBI exhibit evidence of sleep apnea within three months of injury as assessed by the Respiratory Disturbance Index (RDI).

(Webster et al., 2001; Young et al., 2002; Castriotta et al., 2007)

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Impact of TBI on Dreaming and Nightmares

- Problems with dreaming are not typically a formal part of sleep disorder diagnosis
- studies suggest a transient reduction or cessation of dreaming following injury.
- Studies also suggest a relationship between TBI and Co-occurring psychological disorders.
- 56% of veterans with mTBI in one study experienced sleep disturbances due to nightmare-induced awakenings associated with PTSD
- 83% of veterans with mTBI and neurocognitive impairments experienced awakenings due to nightmares

(Parsons & Van Bock, 1992; Ruff et al., 2008)

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Treatment of Sleep Disorders in TBI Patients Insomnia

- **Pharmacological Treatment**
 - Hypnotics
 - Benzodiazepines **should be avoided** due to risk of dependence and rebound insomnia particularly due to potential interference with neuronal recovery.
 - Non-benzodiazepine hypnotics (e.g., zolpidem, zaleplon, eszopiclone) may be acceptable alternatives
 - Antidepressants
 - TCA's (amitriptyline, desipramine, nortriptyline) may have a role in post-TBI depression, risk of overdose and suicide may be a significant concern
 - SSRIs/Serotonin Antagonists: not well studied in TBI
 - Antipsychotics
 - Risperidone may improve insomnia and daytime sleepiness
 - But may also impair neuronal recover and cognitive performance
 - Melatonin Agonists
 - decrease sleep latency and increase sleep time
 - Studies not yet conclusive
- **Non-Pharmacological Treatment**
 - Cognitive behavioral therapy (CBT) and sleep hygiene psychoeducation
 - CBT alone may be more effective than pharmacological intervention alone or in combination with CBT

(Reinger, 2005; Zarmit, 2007; Managan et al., 2007; Rao & Rollings, 2002; Zisler, 1993; Miller, 2005; Fennel et al., 1992; Schneider et al., 1995; Batsone et al., 2010; Swann & Marner, 2010; Wu, et al., 2009; Chouin & Morin, 2007)

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Treatment of Sleep Disorders in TBI Patients Hypersomnia and EDS

- Hypersomnia and EDS are most commonly attributed to secondary causes
 - (e.g., sleep deprivation, OSA, CRSD, headaches, pain, other psychiatric and medical conditions)
 - Mainstay of treatment is to address the underlying cause
- Pharmacological Treatment**
 - Modafinil 100 to 400 mg daily
 - Improved post-traumatic hypersomnia
 - Reported greater sense of attention
 - Effect may wane, thus may be best-suited as a short-term treatment solution
 - Prazosin
 - Improvement in post concussive headache, improved restful sleep and decrease in nightmares
 - Other medications for inducing alertness
 - amphetamines such as methylphenidate and dextroamphetamine
- Non-Pharmacological Treatment**
 - sleep hygiene counseling in addition to oral prazosin
 - 100% reported improvement

(Wise, et al., 2007; Page, 2009; Gastriotta et al., 2009; Ruff et al., 2009)

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Treatment of Sleep Disorders in TBI Patients Narcolepsy

- Pharmacological Treatment**
 - Stimulants (e.g., amphetamines and methylphenidate)
 - promote alertness during the day
 - Modafinil
 - MSLT scores have improved with modafinil 200 mg daily
 - indicated for use in narcolepsy associated with EDS
- Non-Pharmacological Treatment**
 - Management of narcolepsy in the general population typically relies on pharmacologic treatments.
 - Existing non-pharmacological approaches include sleep (i.e., nap) scheduling
 - managing social factors between the patients and their environment

(Pezock & Benca, 2010; Thorpy, 2007; Wipe et al., 2007; Kumar, 2008; Gastriotta et al., 2009; Garma & Marchand, 1994)

26

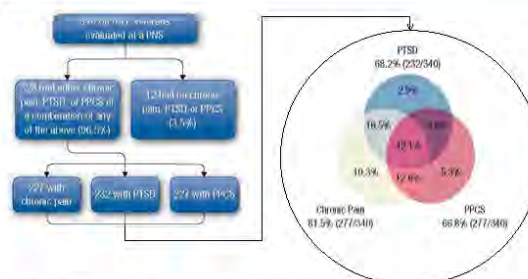
Treatment of Sleep Disorders in TBI Patients Obstructive Sleep Apnea

- Pharmacological Treatment**
 - The development of pharmacological treatments for OSA is fairly limited
 - Modafinil is FDA approved for OSA patients experiencing EDS despite optimal use of CPAP
- Non-Pharmacological Treatment**
 - Continuous Positive Airway Pressure (CPAP) is the most common treatment for OSA in the general population.
 - However, patient adherence to CPAP is low so oral appliances and surgical options are also available.
 - CPAP significantly improved Apnea-Hypopnea Index (AHI) scores and significantly increased REM sleep

(Kumar, 2008; Wesner & Sawyer, 2009; Gastriotta et al., 2009; Almeida & Lowe, 2008; Maurer, 2009)

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Complex relationships between mTBI and psychological health



28

Existing CPGs to assist providers



29 29

The Toolkit



- Background
- The First Appointment
- How to Use this Guide
- Topics
- Appendix 1: Meds
- Appendix 2: Patient Education
- Appendix 3: Provider Resources

- Sleep
- Mood
- Attention
- Chronic pain
- Concussion
- Headache
- PTSD
- Acute Stress Disorder
- Depression
- Chronic Pain
- Substance Use Disorders

<http://www.doe.health.mil/ForHealthPros/TBInformation.aspx>

REPORT COMPANY OF ENTHUSIASMS 3

Sleep Disorder tab

| | Sleep Symptom | | | | Test | Action Recommendation |
|------------------------------|-----------------------------------|----------------|-----------------|-------------|---|---|
| | Deep Sleep ↓ | REM Sleep ↓ | Awakenings ↑ | Waking ↑ | | |
| COMMON DISORDERS TO CONSIDER | Continuance | | | | <ul style="list-style-type: none"> • Consider sleep study • Assess for sleep apnea and significant comorbidities • Type I • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • If further objective sleep study is indicated, administer PMS to further assess for apnea/obstructive • Evaluate comorbidities and, ideally, management of any • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |
| | Insomnia | ✓ | | | <ul style="list-style-type: none"> • Type I • If PMS • Consider sleep study and/or evaluation of comorbidities • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • If further objective sleep study is indicated, administer PMS to further assess for apnea/obstructive • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |
| | Acting Drunk | | | | <ul style="list-style-type: none"> • Type I • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |
| | Depression | | ✓ | | <ul style="list-style-type: none"> • Type I • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |
| | Chronic Pain | | | ✓ | <ul style="list-style-type: none"> • Type I • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |
| | Insomnia Pain Disorder | | | ✓ | <ul style="list-style-type: none"> • Type I • Assess for sleep-related comorbidities and/or substance use | <ul style="list-style-type: none"> • Consider sleep hygiene and/or sleep-related comorbidities • Consider sleep hygiene and/or sleep-related comorbidities |

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Sleep Disorder tab

| Primary Etiologies Suspected in Sheep | Treatment Options: First Steps | Treatment Options: Second Steps |
|---------------------------------------|--|--|
| Intestines | <ul style="list-style-type: none"> • Give a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |
| Respiratory | <ul style="list-style-type: none"> • Administer a cough suppressant (e.g., butorphanol) if the sheep is coughing. • Administer a bronchodilator (e.g., albuterol) if the sheep is wheezing. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a cough suppressant (e.g., butorphanol) if the sheep is coughing. • Administer a bronchodilator (e.g., albuterol) if the sheep is wheezing. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |
| Cardiovascular (Heart Disease) | <ul style="list-style-type: none"> • Administer a heart medication (e.g., furosemide) if the sheep is congested. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a heart medication (e.g., furosemide) if the sheep is congested. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |
| Acute Abdomen | <ul style="list-style-type: none"> • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. | <ul style="list-style-type: none"> • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. |
| Excretory | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |
| Diarrhea/Pain | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |
| Subacute Abdomen | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. | <ul style="list-style-type: none"> • Administer a laxative (e.g., molasses or mineral oil) if the sheep is constipated. • Administer a deworming treatment (e.g., ivermectin) if the sheep is infested with internal parasites. • Administer a pain reliever (e.g., aspirin) if the sheep is in pain. |

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Summary

- TBI patients experience a spectrum of sleep disorders following injury
- TBI injury severity may play a role in the type and severity of sleep disturbance
- A transient reduction or cessation of dreaming may following TBI
- Treatment approaches for insomnia include
 - CBT, Melatonin, Prazosin
 - Should avoid benzodiazepines
- Treatment approaches for hypersomnia and narcolepsy include
 - Sleep hygiene counselling in combination with Prazosin, modafinil
- Treatment approaches for OSA include
 - CPAP
- Co-Occurring PH Disorders may contribute to or complicate sleep disorder following TBI

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Questions?

- The toolkit may be obtained from DVBIC-
 - info@DVBIC.org
 - 1-800-870-9244

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The Association of Post-Deployment Symptoms with Concussion and Post-Traumatic Stress Disorder in US Soldiers Deployed to Iraq or Afghanistan

WRAIR

Dr. Richard Herrell

We examined the effects of single and multiple concussions on post-deployment health symptoms in a sample of 2,064 U.S. Soldiers who completed an anonymous survey 4 to 6 months after returning from deployment to Iraq or Afghanistan. 17% of the study participants reported suffering a concussion during their previous deployments. One third reported a head injury with a loss of consciousness (LOC), the remainder an alteration of consciousness (AOC) only. Of those reporting a concussion, 59% reported more than one concussion during their previous deployment. After adjustment for PTSD, depression, and other factors, LOC was significantly associated with headaches, memory problems and balance problems. However, PTSD and depression had a stronger association with these symptoms than concussion history. Multiple occurrences of concussion increased the risk of headache and sleep disturbances compared to a single occurrence, independent of PTSD or depression. However, even in this group, depression showed equivalent odds ratios for the association with headache and sleep disturbances. These data indicate that current screening tools for mTBI being used by the Department of Defense and Veterans Affairs may have limited utility in identifying individuals who have post-deployment symptoms uniquely attributed to concussions. Accumulating evidence supports the need for multidisciplinary collaborative models of treatment in primary care to address the full spectrum of post-war physical and neurocognitive health problems.

[Presentation slides not provided]

VA Screening and Evaluation Data for TBI: Effects of Psychiatric Symptoms and Injury Characteristics

DCoE / VA Maryland Health Care System

Dr. Alison Cernich

This presentation will summarize findings from a retrospective analysis of traumatic brain injury (TBI) screening and evaluation data from a VA Medical Center in an urban area. Data taken from the initial two years of the program were gathered to determine the effect of concurrent report of psychiatric symptoms on TBI symptom reports, the factor structure of the secondary level symptom questionnaire and the effect of concurrent psychiatric symptoms on the measure, and the effect of injury characteristics and psychiatric symptoms on neurocognitive evaluation. Sample size ranged from approximately 300 Veterans for the screening evaluations to 30 veterans who had data available from a neuropsychological evaluation. Findings from this retrospective review revealed that individuals with positive TBI and positive PTSD initial screens had higher rates of symptom reporting with greater emphasis on cognitive symptom reporting ($\eta^2 = .061-.111$). Screening data for depression accounted for the greater proportion of the variance in TBI symptom reporting, over and above PTSD or reported alcohol abuse. Finally, a smaller study of cognitive testing looked at the effect of PTSD and reported LOC on cognitive testing results. Self-reported LOC had a small effect on processing speed and there was no particular effect of PTSD on anything but symptom reports. Implications of these data for the evaluation of these Veterans and the need for close integration of rehabilitation and mental health services will be discussed.

VA SCREENING & EVALUATION DATA FOR TBI

ALISON N. CERNICH, PH.D., ABPP-CN
ACTING VA SENIOR LIAISON
OFFICE OF REHABILITATION SERVICES
VETERANS HEALTH ADMINISTRATION
CO-AUTHORS: SHIRA KURTZ, PH.D., JESSICA
CLARK, PH.D., & LAUREN CHANDLER, PH.D.

Effects of psychiatric symptoms and injury characteristics



VA TBI SCREENING AND EVALUATION PROTOCOL

[illegible]

- Clinical Reminder System
- TBI Clinical Reminder
 - 4 question screen
 - Given to every returning OEF/OIF veteran who seeks services within the VA
- TBI Secondary Evaluation
 - Neurobehavioral Symptom Inventory (NSI)
 - Given to any veteran who screened positive on the primary screen
- Often then referred for further assessment

FACTOR STRUCTURE OF THE NSI

- Analyses of PCS symptom factors in civilian populations generally suggest the presence of three symptom clusters: cognitive, affective, and somatic (Axelrod et al., 1996; Potter, Leigh, Wade, & Fleming, 2005)
 - Several studies show evidence of a fourth factor, comprising sensory (Cicerone & Kalmar, 1995) or behavioral symptoms (Ayr, Yeates, Taylor & Brown, 2009).
- Benge, Pastorek, and Thornton's (2009) analysis of the factor structure of the NSI in a veteran population revealed the presence of four factors: emotional disturbance, headaches, sensory problems, and a combination factor (sensory, cognitive, and motoric symptoms)
 - After controlling for symptoms of PTSD, the factor structure more closely resembled the three-factor structure seen in the civilian literature (e.g., cognitive, affective, and somatic symptoms), suggesting that PTSD symptoms appear to impact the presentation of PCS.

METHODS FOR FACTOR ANALYTIC STUDY

Study Overview

- A retrospective medical record review was conducted of OEF/OIF veterans who screened positive for mTBI on the Traumatic Brain Injury Rating Scale (TBI-RS) at the Department of Veterans Affairs (VA) Medical Center. All returning service members in an urban VA Medical Center.
- Assessment protocol**
- All PTSD screening and TBI screening took place as part of a regular clinical visit.
- At a follow-up evaluation, the veteran completed the Standardized Neurobehavioral Symptom Inventory (NSI) as a standard of care.
- Principal components analysis (PCA)** was conducted using all NSI items to determine the underlying structure of the variables. A total of 1000 permutations was utilized to determine the number of factors to retain. Varimax rotation was used.
- Three separate PCA analyses were conducted to determine whether a positive PTSD screen impacted factor structure. These included (1) all participants, (2) participants involved in combat who screened positive for PTSD, and the third included only those who screened positive for PTSD.

Sample Characteristics
N = 299

| | Participants | |
|------------------------|--------------|------|
| | N | % |
| Age in Years | 33 532-9 65 | |
| Gender | | |
| Male | 271 | 90.8 |
| Female | 28 | 9.4 |
| Ethnicity | | |
| Caucasian | 157 | 52.5 |
| African-American | 120 | 40.1 |
| Hispanic | 10 | 3.3 |
| Asian/Pacific Islander | 5 | 1.6 |
| Unknown | 7 | 2.3 |
| PTSD | | |
| Positive Screen | 183 | 61.2 |
| Negative Screen | 118 | 39.1 |

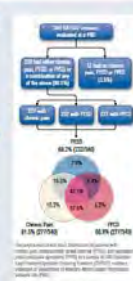
NSI FACTOR STRUCTURE: RESULTS

- When all participants were included, two factors were retained, explaining a total of 50.9% of the variance (factor 1=42.96%; factor 2=7.94%).
- The first factor includes somatic symptoms and the second consists of both cognitive and affective symptoms.
- Four items did not load significantly onto either factor.
- When the PCA was conducted for those with and without a positive PTSD screen, results remained the same and only two factors were retained with similar factor loadings.

| Factor 1 - Somatic | Factor 2 - Cognitive/Affective | No Significant Loading |
|---------------------------------|--|------------------------|
| Dizziness (.730) | Poor Concentration (.746) | Nausea |
| Loss of Balance (.771) | Forgetfulness (.678) | Hearing Difficulty |
| Poor Coordination (.636) | Difficulty Making Decisions (.720) | Sensitivity to Noise |
| Headaches (.515) | Slowed Thinking/Organizational Problems (.759) | Change in Appetite |
| Vision Problems (.674) | Fatigue (.612) | |
| Sensitivity to Light (.651) | Sleep Problems (.553) | |
| Numbness or Tingling (.502) | ANXIETY (.757) | |
| Change in Taste or Smell (.609) | Depressed (.743) | |
| | Irritability (.769) | |
| | Poor Frustration Tolerance (.799) | |

EFFECT OF CO-OCCURRING DISORDERS

- The vast majority of patients who present to the clinic with a diagnosis of mild Traumatic Brain Injury (mTBI) do not often present with mTBI alone.
- Of the veterans presenting to a Polytrauma Network Site in Low's study (2009), 91.5% had more than one diagnosis and 42.5% had three co-occurring diagnoses including pain, posttraumatic stress disorder (PTSD), and post concussion syndromes.
- In another study by Ruff and colleagues (2008), approximately 86% of veterans presenting with headache and TBI had cognitive deficits on examination, more severe and frequent headaches, more reports of pain, higher rates of PTSD, and impaired sleep with nightmares.
- Veterans with positive TBI screens are more likely to have a diagnosis of PTSD, depression, and substance abuse disorder.
- The question addressed in the following data is how do these co-occurring disorders affect mTBI symptom reporting



POSTCONCUSSIVE SYMPTOMS: EFFECT OF CO-OCCURRING DISORDERS

- PTSD**
 - A recent systematic review of the evidence found that for those with probable mTBI the frequency of co-morbid probable PTSD was 33-39% (Carlson et al., 2010).
 - Recent studies of individuals who have persistent symptoms following a mTBI suggest that the presence of PTSD may prolong the duration of symptoms and potentially exacerbate the severity of those symptoms (Polusney et al., 2011; Brenner et al., 2010; Thornton et al., 2009; Shmeiderman, Braver, & Kang, 2008).
- Depression**
 - Individuals with mTBI who experience depression post-injury report more symptoms and more severe symptoms than those mTBI patients without depression (Lange et al., 2010).
- Substance use**
 - In a recently published study of active duty soldiers with mTBI, there was a slightly higher rate of alcohol abuse in individuals with a comorbid mTBI diagnosis compared to other injuries (6.9% v 4.4%). However, when other factors were controlled in a multivariate analysis, the relationship was not as strong (Heltemes et al., 2011).

VA PSYCHOLOGICAL HEALTH SCREENS

- Annual screens are conducted as part of regular clinical visits and include:
 - PTSD (PCL-2)
 - Depression (PHQ-2)
 - Substance abuse (CAGE)
 - Suicide



PTSD SCREENING & TBI SYMPTOM REPORTING

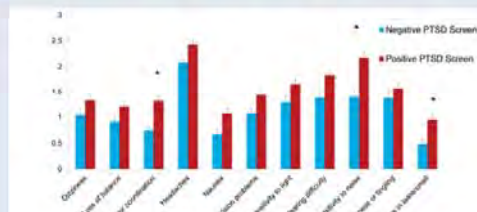
Objectives & Methods

- Objective: Determine the effect of a concurrent positive PTSD screen on report of post concussive symptoms
- Methods: Analyses of variance were conducted for all 22 items on the NSI to compare those with and without positive PTSD screens for differences in symptom reporting.

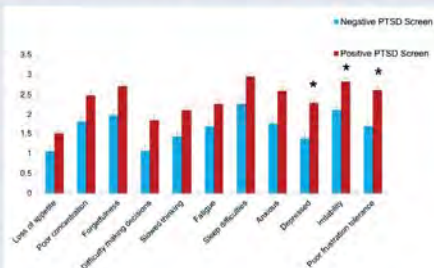
Sample Characteristics N = 252

| Participants | | |
|------------------------|--------------|------|
| | N | % |
| Age in Years | | |
| | 33.92 ± 9.52 | |
| Gender | | |
| Male | 228 | 90.5 |
| Female | 24 | 9.5 |
| Ethnicity | | |
| Caucasian | 136 | 54.0 |
| African-American | 97 | 38.5 |
| Hispanic | 9 | 3.6 |
| Asian/Pacific Islander | 4 | 1.6 |
| Unknown | 6 | 2.4 |
| PTSD | | |
| Positive Screen | 153 | 60.7 |
| Negative Screen | 99 | 39.3 |

PTSD SCREENING & TBI SYMPTOM REPORTING: SOMATIC & NEUROSENSORY



PTSD SCREENING & TBI SYMPTOM REPORTING: COGNITIVE & AFFECTIVE



COMBINATION OF CO-OCCURRING DISORDERS: EFFECT ON TBI SYMPTOMS

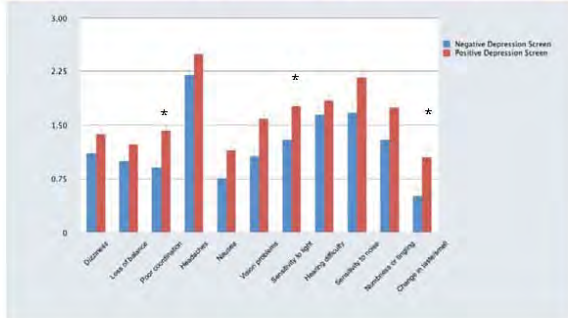
Study Objectives & Methods

- The purpose of this study was to determine the effect of depression and substance use screens on TBI symptom reporting and to determine if the screening data from all behavioral health screens could be used to predict post-concussion symptom reporting.
- T-tests were used to evaluate group differences in symptom reporting on the NSI.
- Hierarchical multiple regressions were used to determine the relative contribution of each screen to post-concussion symptom reporting.
- Incremental F was used to determine whether the addition of a particular screening measure improved the predictive ability of the model.

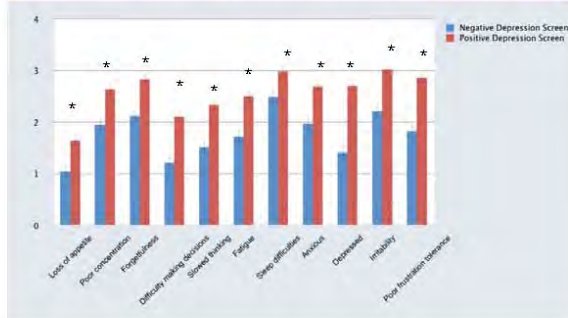
Sample Characteristics N = 296

| | | |
|------------------------|--|-----------|
| Age in Years | | 33.92 |
| | | (9.52) |
| Gender | | |
| Male | | 269 (91%) |
| Female | | 27 (9%) |
| Ethnicity | | |
| Caucasian | | 153 (51%) |
| African-American | | 100 (34%) |
| Hispanic | | 12 (4%) |
| Asian/Pacific Islander | | 1 (0%) |
| Loss of Consciousness | | |
| Present | | 67 (23%) |
| Absent | | 229 (77%) |
| PTSD | | |
| Positive Screen | | 153 (51%) |
| Negative Screen | | 143 (49%) |
| Depression | | |
| Positive Screen | | 108 (36%) |
| Negative Screen | | 188 (64%) |
| Alcohol Abuse | | |
| Positive Screen | | 123 (42%) |
| Negative Screen | | 173 (58%) |

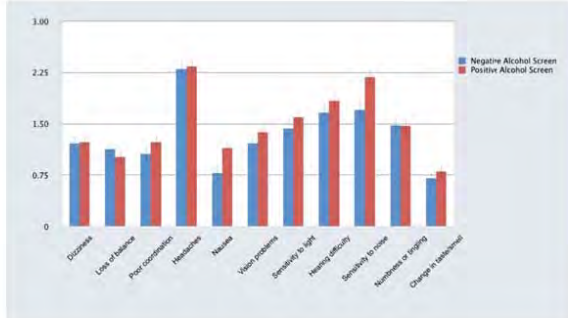
DEPRESSION SCREENING & TBI SYMPTOM REPORTING: SOMATIC & NEUROSENSORY



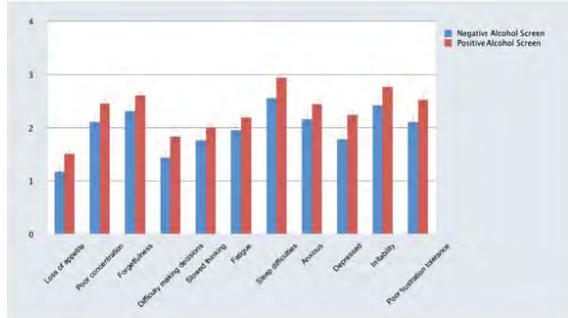
DEPRESSION SCREENING & TBI SYMPTOM REPORTING: COGNITIVE & AFFECTIVE



ALCOHOL USE SCREENING & TBI SYMPTOM REPORTING: SOMATIC & NEUROSENSORY



ALCOHOL USE SCREENING & TBI SYMPTOM REPORTING: COGNITIVE & AFFECTIVE



Incremental Effect of PTSD

| Model | Stand. Beta | R | R Square | Adjusted R Square | Change Statistics | | |
|-------|-------------|-------|----------|-------------------|-------------------|----------|---------------|
| | | | | | R Square Change | F Change | Sig. F Change |
| 1 | -.415* | .173 | .167 | .173 | .173 | 30.542 | .000 |
| 2 | .168 | .440* | .194 | .185 | .021 | 7.622 | .006 |

Incremental Effect of Depression

| Model | Stand. Beta | R | R Square | Adjusted R Square | Change Statistics | | |
|-------|-------------|-------|----------|-------------------|-------------------|----------|---------------|
| | | | | | R Square Change | F Change | Sig. F Change |
| 1 | -.328* | .107 | .101 | .107 | .107 | 17.608 | .000 |
| 2 | .338 | .440* | .194 | .185 | .086 | 31.238 | .000 |

Incremental Effect of Substance Abuse

| Model | Stand. Beta | R | R Square | Adjusted R Square | Change Statistics | | |
|-------|-------------|-------|----------|-------------------|-------------------|----------|---------------|
| | | | | | R Square Change | F Change | Sig. F Change |
| 1 | -.440* | .193 | .188 | .193 | .193 | 35.125 | .000 |
| 2 | -.014 | .440* | .194 | .185 | .000 | .063 | .803 |

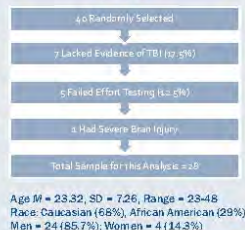
PREDICTION OF TBI SYMPTOM REPORTING
Overall all three screens accounted for 19% of variance with depression and PTSD having a significant unique contribution to overall scores.

EFFECTS OF PTSD & INJURY CHARACTERISTICS ON NEUROCOGNITION

Objectives & Methods

- Examine both the subjective complaints and objective cognitive scores on neuropsychological testing among a sample of veterans with diagnosed mTBI, some of whom had co-occurring PTSD diagnoses.
- Of veterans from the larger retrospective sample, 40 who had cognitive testing available were randomly selected for inclusion in this analysis. Only 28 of those selected were included in this study due to the presence of exclusion criteria.
- Neuropsychological testing and subjective complaints on the Neurobehavioral Symptom Inventory (NSI) were then compared for two sets of contrasts using one-tailed t-tests.

Sample Characteristics N = 28

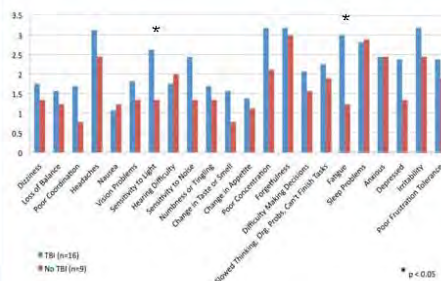


Carl, L.R., Hunt, S.W., & Demakis, E. (2011). Subjective complaints and neuropsychological performance in veterans from Operation Enduring Freedom (OEF) and Operation Iraqi Freedom (OIF). Poster presented at the meeting of the International Neuropsychological Society, Boston, MA.

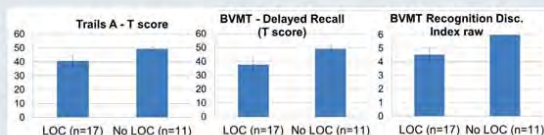
DOMAINS OF PERFORMANCE

| Domain | Measure |
|--------------------------|---|
| Processing Speed | Trail Making Test - Part A |
| Attention/Working Memory | WAIS-III Digit Span WAIS-III Letter Number Sequencing Conners' Continuous Performance Test -II (CPT-II) |
| Learning & Memory | California Verbal Learning Test-II (CVLT-II) Brief Visual Memory Test-Revised (BVMTR) |
| Executive Functioning | Trail Making Test - Part B |

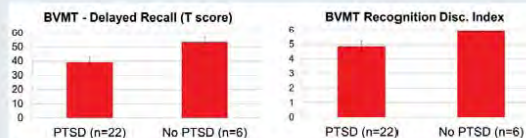
EFFECTS OF LOC ON SYMPTOM REPORTING



EFFECTS OF TBI ON COGNITIVE PERFORMANCE



EFFECTS OF PTSD ON COGNITIVE PERFORMANCE



SUMMARY AND DISCUSSION

- The factor structure of the symptom reporting measure may vary as a result of the population sampled and the presence of co-occurring disorders.
- The effect of psychological health symptoms on TBI symptom reporting may be dependent on the level of the measure used and the co-occurring conditions included as covariates.
- Depression seems to play an equally important role in the presentation of symptoms related to TBI as PTSD.
- Verification of TBI in clinical interview is an important factor in examining larger population data.
- PTSD and TBI seem to exert differential effects on cognitive performance in individuals referred for additional evaluation.



QUESTIONS?



Crisis planning for suicidal patients in combat zones

University of Texas Health Science Center at San Antonio

Dr. Craig Bryan

The crisis response plan (CRP) is an increasingly common intervention for the management of suicidal individuals across settings that has been transplanted to combat zones and aeromedical evacuation system. However, the effective use of CRPs within these settings can be hindered by contextual limitations. In the current presentation, real-life challenges and practical, evidence-based recommendations for the use of CRPs to maximize effectiveness of suicide risk management within combat zones and the aeromedical evacuation system are discussed.

Crisis planning for suicidal patients in combat zones

Craig J. Bryan, PsyD, ABPP
Assistant Professor
Department of Psychiatry
University of Texas Health Science Center at San Antonio



"I got my second Article 15. I'll probably lose a stripe over it, and they're going to send me back home now. I told my girlfriend about it and she got mad at me and hung up the phone. She won't answer my phone calls or emails now. I just don't know what I'm going to do. I was in my room yesterday and I was just thinking to myself "What's the point? I just fuck everything up." So I took out my gun from my holster and loaded it, and held it to my head. I started to pull the trigger, but then my friend came to my door and knocked. She saw me with the gun and asked what I was doing and I told her. She took my gun away and went and told the Shift, and they took me to mental health. If my friend hadn't come right then I'm pretty certain I'd be dead. It just happened so fast."



"We were out on patrol all day. It was hotter than hell like usual. I was up in the turret, we had been out for like 12 hours or something, and nothing was happening, and that's when I first thought about it. I just saw myself holding my gun to my head and pulling the trigger. And I just couldn't stop thinking about it after that."

"...We got back to the FOB and we dismounted, and I just jumped down to the ground and put the M-16 under my chin and pulled the trigger. I don't know why I did it. It just seemed like the thing to do. My buddies came running and tackled me and took the gun away."

"...I promise I won't do it again. Just don't send me back home. It was stupid of me. I swear I won't do it again."

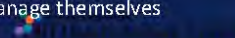


What a crisis response plan (CRP) is...

- "Checklist" of what to do when experiencing crisis

What a CRP is intended to do...

- Teach patients how to identify crises early and effectively resolve them
- Build treatment adherence
- Facilitate problem solving during periods of cognitive constriction
- Empower the patient to manage themselves



What a CRP is not...

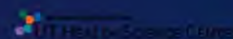
- No suicide contract
- Contract for safety
- Behavioral agreement

Contracts and agreements typically dictate what the patient will not do when distressed, and restrict autonomy



Common CRP mistakes

- Using xeroxed forms
- Overemphasis on external support
- Too vague and nonspecific
- Too wordy
- Not created collaboratively between patient and provider
- One-time intervention
- No skills training



Common CRP mistakes in combat zones

- Not adapted to contextual realities
 - Differences in availability of social support
 - Easy access to lethal means
 - Restricted ability to use common coping strategies (e.g., behavioral activation)
- Not responsive to different situational demands within A/E system



When thinking about killing myself or acting on my suicidal thoughts by trying to find a gun (or another method to kill myself), I agree to take the following steps:

1. Call a friend or a family member to talk about what's bothering me.
2. Call my mental health provider at the clinic.
3. Go to the emergency department at the hospital.



When thinking about killing myself or acting on my suicidal thoughts by trying to find a gun (or another method to kill myself), I agree to take the following steps:

1. I will try to identify specifically what's upsetting me.
2. Write out and review more reasonable responses to my suicidal thoughts, including thoughts about myself, others, and the future.
3. Review all the conclusions I've come to about these thoughts in the past in my treatment log.
4. Try and do the things that help me feel better for at least 30 mins.
5. Repeat all of the above at least one more time.
6. If the thoughts continue, get specific, and I find myself preparing to do something, I'll call the emergency call person at (phone number: XXXXXX).
7. If I still feel suicidal and don't feel like I can control my behavior, I'll go to the emergency room located at XXXXXX, phone number: XXXXXX.

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During the A/E process, I agree to the following behaviors:

1. Not possess weapons of any kind
2. Listen to medical staff and flight crew at all times
3. Not engage in potentially dangerous actions at any time
4. Not threaten or otherwise endanger the safety of myself, other patients, or other medical staff
5. Not to injure myself or engage in suicidal behaviors

If at any time I feel the desire to harm myself or others, I agree to tell medical staff immediately

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Secrets to successful crisis planning

- View plan as a clinical intervention, not a risk management strategy
- Work with the patient to develop the plan
- Sit next to the patient when creating the plan
- Skills training!!!
- Practice, practice, practice
- In combat zones, CRP should be appropriate to context/situation, and should be revisited at each leg in A/E chain

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I will use this crisis response plan when:

1. Wanting to go to sleep and not wake up
2. Thinking about holding a gun to my head
3. Thinking "I can't take it anymore."

Things I will do on my own for 30 mins:

1. Take slow, deep breaths
2. Think about my upcoming promotion
3. Write a letter home to my wife

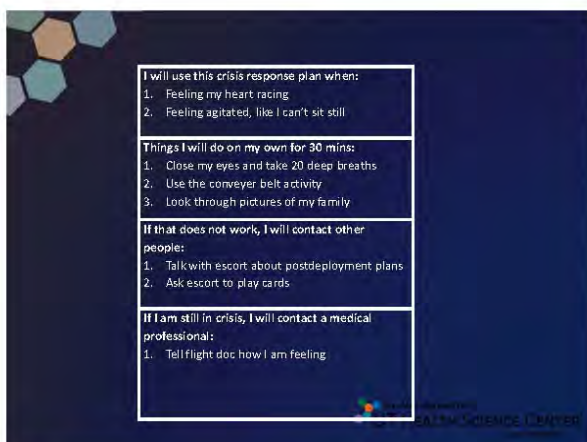
If that does not work, I will contact other people:

1. Talk to Dave about hobbies
2. Talk to Beth about funny memories

If I am still in crisis, I will contact a medical professional:

1. Dr. Wood at CSC: xxx-xxxx
2. Go to CSH emergency department

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I will use this crisis response plan when:

1. Feeling my heart racing
2. Feeling agitated, like I can't sit still

Things I will do on my own for 30 mins:

1. Close my eyes and take 20 deep breaths
2. Use the conveyor belt activity
3. Look through pictures of my family

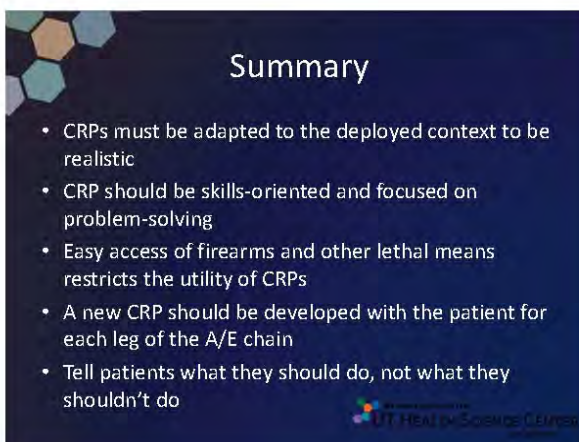
If that does not work, I will contact other people:

1. Talk with escort about postdeployment plans
2. Ask escort to play cards

If I am still in crisis, I will contact a medical professional:

1. Tell flight doc how I am feeling

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Summary

- CRPs must be adapted to the deployed context to be realistic
- CRP should be skills-oriented and focused on problem-solving
- Easy access of firearms and other lethal means restricts the utility of CRPs
- A new CRP should be developed with the patient for each leg of the A/E chain
- Tell patients what they should do, not what they shouldn't do

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Questions?

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Department of Psychiatry
University of Texas Health Science Center at San Antonio
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Trends in service members seeking combat stress services in remote deployed settings

88 MDG - WPAFB

Capt Sara Wright, Ph.D.

The purpose of this presentation is to educate medical providers on trends in service members who seek combat stress services in deployed settings. A descriptive analysis was conducted of military service members who sought combat stress services in Afghanistan from 2008 to 2010 at four forward operating bases and three combat outposts. Prevalence and ratios analyses were conducted to describe demographic information, including age, race, gender, rank, marital status, number of deployments, and history of prior mental health treatment. Information was also collected about treatment including presenting problem, diagnosis, length of treatment, psychiatric medication use, and treatment dropout rates. The demographic information collected in this project was then discussed in the context of demographic information known about SM who were deployed to Afghanistan in similar time frame (MHAT, 2009). The information gathered can be used in several ways to better educate medical and mental health providers and policymakers about current mental health trends in deployed settings. Specifically, the information can be used to determine those who may be more at risk for developing psychological problems while deployed. In addition, the information can be used by combat stress providers to more effectively target outreach efforts to those who are likely to seek combat stress services. The information can also be used to educate combat stress providers on the types of diagnoses and treatment interventions that are used in deployed setting.



Trends in Combat Stress Patients in a Remote Deployed Setting

Sara Wright, PsyD, ABPP
Capt, USAF

Anna Fedotova, MPH
Capt, USAF

"The views and opinions expressed in this presentation are those of the authors and do not reflect official policy or position of the United States Air Force, Department of Defense, or US Government."



Overview

Train, Treat, Teach



- Rationale
- Method
- Findings
 - Demographics of Service Members
 - Combat Stress Treatment Trends
- Implications
- Questions



Rationale

Train, Treat, Teach

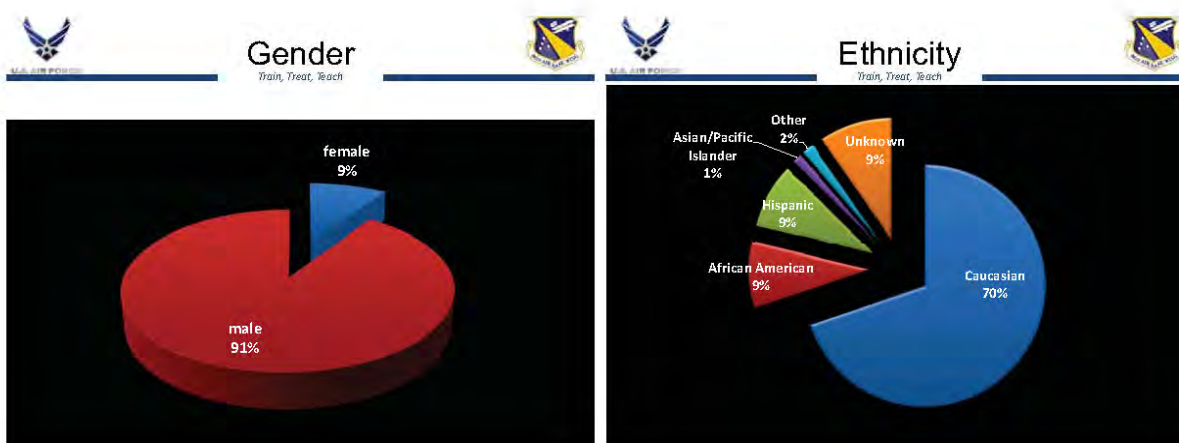
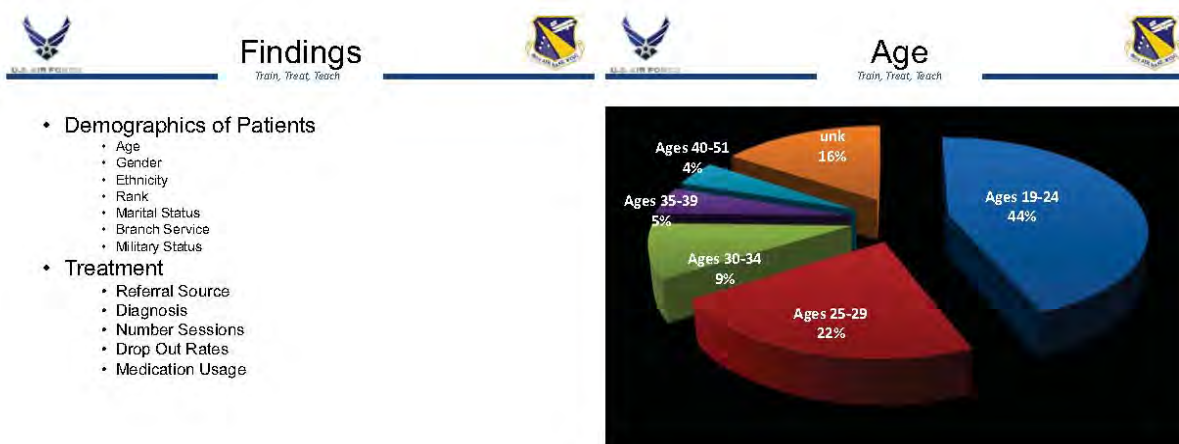


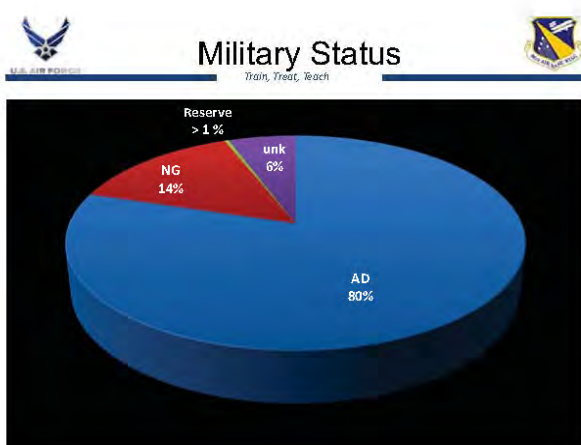
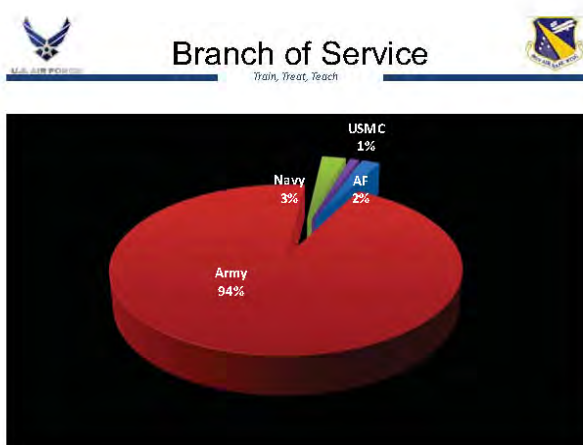
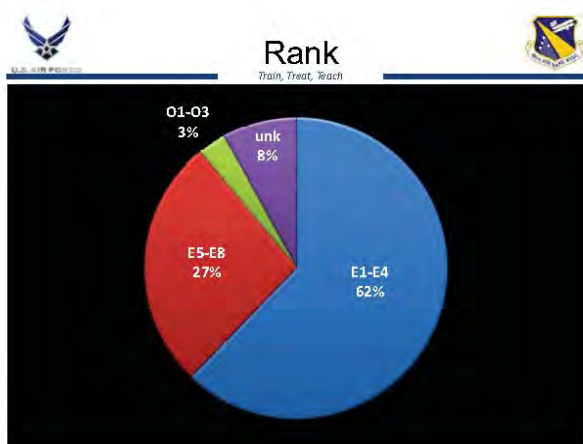
Method

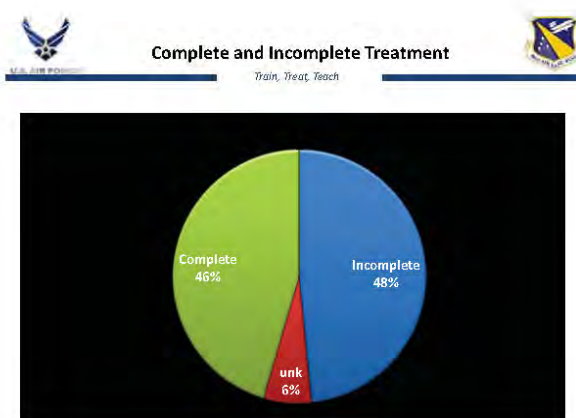
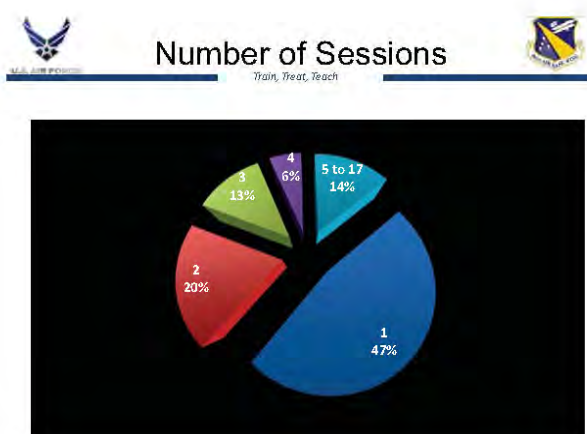
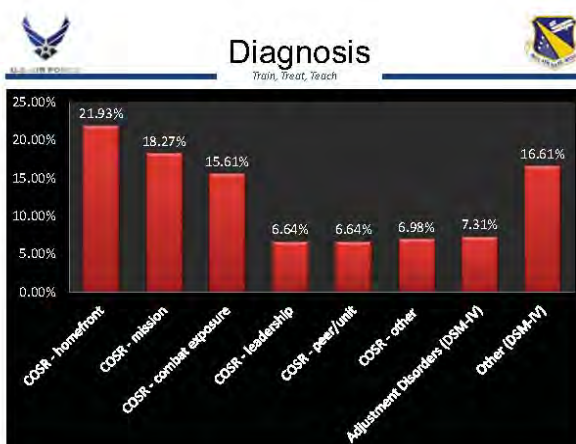
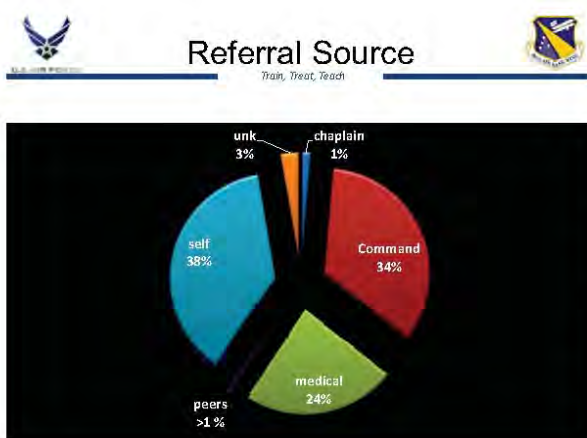
Train, Treat, Teach



- Very little research in this area
 - Lots research on post deployment mental health, none on deployed mental health
 - Very little data on who is seeking services, what treatment consists of, and no data from remote areas
 - » "Outpatient Mental Health Care at a Remote U.S. Air Base in Southern Iraq" by Wayne Chappelle, 2006.
 - » M-HAT OEF VI
- Bagram Air Base, Afghanistan, Joint Combat Casualty Research Team (JC2RT) determined this was a Performance Improvement Project
- Records review of combat stress patients from February 2008 – February 2010
 - Informed consent for treatment signed by all patients included statement "Your non-identifiable information may be used for performance improvement project purposes"
- 301 deployed SM
- 4 FOBs & 3 COPs in Eastern Afghanistan









Clinical features of mTBI within days of injury in a combat zone

University of Texas Health Science Center at San Antonio

Dr. Craig Bryan

There is very limited data regarding the impact of mTBI within days of injury, which restricts deployed medical providers' ability to make optimal decisions. In the current presentation, a series of findings from a forward-deployed TBI Clinic will be reviewed: (1) absence of differences in neuropsychological functioning according to blast vs. nonblast injury mechanism; (2) clinical factors associated with clinicians' decisions to return a service member to duty; (3) variables contributing to posttraumatic headache; (4) and typical patterns of decline in neuropsychological performance on the ANAM following mTBI.

Clinical features of mTBI within days of injury in a combat zone


Craig J. Bryan, PsyD, ABPP
Assistant Professor
Department of Psychiatry
University of Texas Health Science Center at San Antonio



Subjects ($n = 161$)

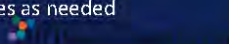
| | <i>n</i> | % |
|------------------|----------|-------|
| Male | 160 | 93.2% |
| Race | | |
| Caucasian | 114 | 70.8% |
| African-Amer. | 25 | 15.5% |
| Hispanic/Latino | 15 | 9.3% |
| Asian/Pac Island | 4 | 2.5% |
| Other | 1 | 0.6% |
| Unknown | 2 | 1.2% |
| Branch | | |
| Army | 127 | 78.9% |
| Air Force | 22 | 13.7% |
| USMC | 8 | 5.0% |
| Civilian | 4 | 2.5% |
| mTBI Diagnosis | | |
| Yes | 137 | 85.1% |
| No | 23 | 14.3% |

| | <i>n</i> | % |
|--|----------|-----------|
| Rank | | |
| E1-E4 | 89 | 55.3% |
| E5-E6 | 50 | 31.1% |
| E7-E9 | 9 | 5.6% |
| Warrant | 1 | 0.6% |
| Officer | 8 | 5.0% |
| Unknown | 4 | 2.5% |
| Age | <i>M</i> | <i>SD</i> |
| Days since index event (range: 0 to 1364) | 27.69 | 7.22 |
| | 52.15 | 193.50 |



Methods

- Location: Joint Base Balad, Iraq
- Service members referred via one of two routes: (1) directly from field, (2) from medical provider on base
- Standard evaluation:
 - Intake paperwork
 - Clinical interview by psychologist
 - Medical exam by physician
 - Referrals to specialty services as needed




Study 1

Blast vs. nonblast mTBI:

Are there differences between blast vs. nonblast mTBI in concussive symptoms, cognitive performance, and psychological symptoms within 72 hours of exposure?

Luethke, C.A., Bryan, C.J., Morrow, C.E., & Isler, W.C. (2011). Differences in cognitive performance, concussive symptoms, and psychological symptoms between acute blast versus non-blast head injuries. *Journal of the International Neuropsychological Society*, 17, 36-45.



Clinical features

| | Nonblast | | Blast | | χ^2 | <i>p</i> |
|---|-----------|-------------|-----------|-------------|---------------|--------------|
| | <i>n</i> | % | <i>n</i> | % | | |
| Disposition RTD | 40 | 95.2 | 37 | 92.5 | 0.268 | 0.604 |
| LOC Duration | | | | | 8.603 | 0.035 |
| None | 19 | 45.2 | 25 | 62.5 | | |
| < 1 min | 9 | 21.4 | 12 | 30.0 | | |
| 1 - 20 mins | 12 | 28.6 | 3 | 7.5 | | |
| 20+ mins | 2 | 4.8 | 0 | 0 | | |
| Dazed & confused | 37 | 88.1 | 33 | 84.6 | 0.209 | 0.648 |
| Amnesia for index event | 21 | 51.2 | 15 | 38.5 | 1.314 | 0.252 |
| Bruising / laceration / swelling | 33 | 78.6 | 11 | 29.7 | 19.017 | 0.000 |

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Immediate concussive symptoms

| | Nonblast | | Blast | | χ^2 | <i>p</i> |
|-----------------|-----------|-------------|-----------|-------------|---------------|--------------|
| | <i>n</i> | % | <i>n</i> | % | | |
| Dizziness | 28 | 66.7 | 22 | 55.0 | 1.172 | 0.279 |
| Memory | 19 | 45.2 | 11 | 27.5 | 2.779 | 0.096 |
| Balance | 19 | 45.2 | 10 | 25.0 | 3.671 | 0.055 |
| Nausea | 22 | 52.4 | 8 | 20.0 | 9.259 | 0.002 |
| Vomiting | 11 | 26.2 | 3 | 7.5 | 5.055 | 0.025 |
| Concentration | 19 | 45.2 | 12 | 30.0 | 2.023 | 0.155 |
| Irritability | 8 | 19.0 | 8 | 20.0 | 0.012 | 0.913 |
| Vision | 12 | 28.6 | 7 | 17.5 | 1.411 | 0.235 |
| Hearing | 7 | 16.7 | 21 | 52.5 | 11.699 | 0.001 |
| Sleep | 14 | 33.3 | 15 | 37.5 | 0.156 | 0.693 |

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Current concussive symptoms

| | Nonblast | | Blast | | χ^2 | <i>p</i> |
|---------------|----------|------|----------|------|----------|----------|
| | <i>n</i> | % | <i>n</i> | % | | |
| Memory | 13 | 31.0 | 8 | 20.0 | 1.290 | 0.256 |
| Balance | 5 | 11.9 | 3 | 7.5 | 0.451 | 0.502 |
| Nausea | 3 | 7.1 | 2 | 5.0 | 0.164 | 0.685 |
| Vomiting | 1 | 2.4 | 1 | 2.5 | 0.001 | 0.972 |
| Concentration | 15 | 35.7 | 8 | 20.0 | 2.507 | 0.113 |
| Irritability | 6 | 14.3 | 9 | 22.5 | 0.925 | 0.336 |
| Vision | 4 | 9.5 | 5 | 12.5 | 0.186 | 0.666 |
| Hearing | 4 | 9.5 | 9 | 22.5 | 2.586 | 0.108 |
| Sleep | 9 | 21.4 | 7 | 17.5 | 0.201 | 0.654 |

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Current psych symptoms

| | Nonblast | | Blast | | <i>t</i> | <i>p</i> |
|-------------------------|----------|-----------|----------|-----------|----------|----------|
| | <i>M</i> | <i>SD</i> | <i>M</i> | <i>SD</i> | | |
| PCL-M | 26.65 | 13.22 | 27.77 | 8.91 | -0.980 | 0.330 |
| Global Mental Health | 3.39 | 0.58 | 3.48 | 0.38 | 0.167 | 0.868 |
| Insomnia Severity Index | 8.20 | 6.60 | 7.71 | 5.97 | 0.166 | 0.869 |
| ANAM Mood Scales | | | | | | |
| Sleep | 2.95 | 1.36 | 2.91 | 1.27 | 0.184 | 0.854 |
| Happiness * | 54.73 | 24.70 | 61.97 | 22.60 | -1.409 | 0.163 |
| Vigor * | 45.03 | 22.64 | 53.34 | 22.28 | -1.803 | 0.075 |
| Fatigue * | 37.28 | 24.26 | 32.17 | 24.33 | 1.144 | 0.256 |
| Restlessness * | 20.20 | 18.76 | 22.60 | 20.38 | -0.564 | 0.574 |
| Anxiety * | 16.68 | 17.70 | 15.69 | 16.69 | 0.131 | 0.896 |
| Depression * | 16.83 | 20.86 | 9.34 | 17.28 | 1.681 | 0.097 |
| Anger * | 18.03 | 20.86 | 21.29 | 20.71 | -0.773 | 0.442 |

* Percentile scores

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ANAM score declines: Baseline to postinjury

| Subtest | | Speed | | | | Accuracy | | | |
|---------|------------|----------|-------|--------|-------|----------|-------|--------|-------|
| | | Nonblast | | Blast | | Nonblast | | Blast | |
| | | M | SD | M | SD | M | SD | M | SD |
| SRT | Baseline | 100.88 | 16.26 | 101.78 | 7.81 | 101.22 | 0.85 | 101.31 | 1.01 |
| | Postinjury | 74.42 | 38.06 | 75.44 | 51.65 | 101.04 | 0.21 | 101.12 | 0.33 |
| | ΔM | 26.46 | 48.18 | 26.34 | 50.25 | 0.17 | 0.83 | 0.19 | 0.98 |
| PRT | Baseline | 99.50 | 17.47 | 96.74 | 15.01 | 99.83 | 11.96 | 101.92 | 8.74 |
| | Postinjury | 68.88 | 78.33 | 72.89 | 52.09 | 102.57 | 10.48 | 88.73 | 35.29 |
| | ΔM | 30.62 | 79.75 | 23.85 | 51.29 | 2.52 | 15.55 | 13.19 | 34.48 |
| LRN | Baseline | 98.42 | 14.45 | 96.56 | 13.69 | 104.13 | 9.96 | 105.62 | 6.26 |
| | Postinjury | 88.65 | 27.20 | 91.04 | 21.76 | 104.00 | 9.77 | 105.77 | 8.25 |
| | ΔM | 9.77 | 24.82 | 5.12 | 22.17 | 0.13 | 7.36 | 0.15 | 7.58 |
| DM | Baseline | 92.38 | 16.85 | 88.52 | 21.91 | 105.70 | 10.84 | 104.19 | 9.44 |
| | Postinjury | 79.65 | 27.51 | 70.78 | 39.56 | 96.17 | 18.52 | 98.96 | 17.11 |
| | ΔM | 12.73 | 24.70 | 17.74 | 30.85 | 5.92 | 20.30 | 3.56 | 19.01 |
| WM | Baseline | 85.77 | 27.99 | 80.59 | 27.28 | 108.00 | 6.85 | 112.04 | 4.12 |
| | Postinjury | 73.15 | 30.43 | 75.89 | 30.17 | 104.78 | 9.10 | 108.58 | 9.18 |
| | ΔM | 12.62 | 22.05 | 4.70 | 17.52 | 2.68 | 9.41 | 4.67 | 10.92 |
| SM | Baseline | 94.15 | 16.67 | 96.89 | 18.57 | 106.96 | 6.48 | 105.65 | 5.53 |
| | Postinjury | 79.38 | 30.15 | 86.56 | 24.37 | 95.85 | 19.07 | 104.23 | 8.34 |
| | ΔM | 14.77 | 26.74 | 10.33 | 19.53 | 12.46 | 20.45 | 1.37 | 10.63 |

Conclusions

Blast injuries associated with less severe LOC and concussive symptoms immediately following index event (except hearing problems)

Blast injuries and nonblast injuries do not differ in terms of concussive symptoms, psychological symptoms, or neuropsychological impairment within 72 hours of index event

Study 2

TBI vs. no TBI:

What proportion of service members demonstrate declines in ANAM scores relative to baseline performance during an mTBI evaluation conducted in Iraq?

Bryan, C.J., & Hernandez, A.M. (under review). Magnitudes of Decline on ANAM Subtest Scores Relative to Predeployment Baseline Performance Among Service Members Evaluated for Traumatic Brain Injury in Iraq.

| | TBI | | | | | | No TBI | | No TBI vs. TBI with No LOC | | |
|----------|--------------------|-------|---------------------|-------|----------------------|-------|---------------------|-------|----------------------------|-------|-------------------|
| | LOC Duration | | | | | | No LOC | | | | |
| | No LOC (n = 32) | | < 1 min (n = 11) | | 1-20 mins (n = 9) | | 20+ mins (n = 2) | | No LOC (n = 10) | | |
| | n | % | n | % | n | % | n | % | n | % | χ^2 P ϕ |
| Speed | | | | | | | | | | | |
| SRT | 15 | 46.9% | 4 | 36.4% | 6 | 66.7% | 1 | 50.0% | 1 | 5.3% | 9.588 .002 0.434 |
| PRT | 21 | 65.6% | 4 | 36.4% | 5 | 55.6% | 1 | 50.0% | 4 | 21.1% | 7.779 .005 0.403 |
| CSL | 16 | 50.0% | 3 | 27.3% | 5 | 55.6% | 0 | 0.0% | 2 | 10.5% | 6.984 .008 0.378 |
| CSD | 17 | 53.1% | 3 | 27.3% | 4 | 44.4% | 1 | 50.0% | 4 | 21.1% | 5.063 .024 0.315 |
| MATH | 16 | 50.0% | 3 | 27.3% | 7 | 77.8% | 1 | 50.0% | 4 | 21.1% | 4.191 .041 0.287 |
| SM | 15 | 46.9% | 3 | 27.3% | 6 | 66.7% | 1 | 50.0% | 4 | 21.1% | 3.401 .065 0.258 |
| Accuracy | | | | | | | | | | | |
| SRT | 1 | 3.1% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0.606 .436 0.109 |
| PRT | 10 | 31.3% | 2 | 18.2% | 5 | 55.6% | 1 | 50.0% | 4 | 21.1% | 0.622 .430 0.110 |
| CSL | 3 | 9.4% | 2 | 18.2% | 1 | 11.1% | 0 | 0.0% | 1 | 5.3% | 0.279 .597 0.074 |
| CSD | 5 | 15.6% | 4 | 36.4% | 4 | 44.4% | 1 | 50.0% | 3 | 15.8% | 0.000 .988 0.002 |
| MATH | 8 | 25.0% | 1 | 9.1% | 5 | 55.6% | 0 | 0.0% | 4 | 21.1% | 0.103 .748 0.045 |
| SM | 10 | 31.3% | 2 | 18.2% | 4 | 44.4% | 1 | 50.0% | 2 | 10.5% | 2.846 .092 0.236 |

Conclusions

- ANAM speed scores sensitive to mTBI
 - 50% or more of service members with mTBI (regardless of LOC severity) show $> .5$ SD (7.5 points) decline in ANAM speed standard score
 - Only 10-25% of service members without mTBI show same magnitude of declines
- ANAM accuracy scores do not differentiate by mTBI status
 - Simple reaction time seems especially robust

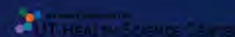


Study 3

Headache predictors:

Which concussive, psychological, and cognitive symptoms are associated with headache severity among deployed military personnel deployed with mTBI?

Bryan, C.J., & Hernandez, A.M.*(2011). Predictors of posttraumatic headache severity among deployed military personnel. *Headache*, 51, 945-953.



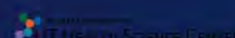
Full sample ($n = 137$)

| | b | SE | 95% C.I. | | exp(B) | 95% C.I. | | P |
|----------------|--------|-------|----------|--------|--------|----------|-------|--------|
| Intercept | 1.082 | 0.155 | 0.777 | 1.386 | 2.949 | 2.175 | 4.000 | < .001 |
| LOC | 0.210 | 0.099 | 0.017 | 0.404 | 1.234 | 1.017 | 1.498 | .033 |
| TBI symptoms | 0.019 | 0.017 | -0.015 | 0.053 | 1.019 | 0.985 | 1.055 | .277 |
| PCL | 0.009 | 0.003 | 0.002 | 0.015 | 1.009 | 1.002 | 1.015 | .008 |
| Reaction Time | -0.001 | 0.001 | -0.002 | 0.000 | 0.999 | 0.998 | 1.000 | .035 |
| Zero-inflation | | | | | | | | |
| Intercept | -0.506 | 0.383 | -1.256 | 0.244 | 0.603 | 0.285 | 1.276 | .186 |
| ISI | -0.096 | 0.037 | -0.169 | -0.022 | 0.909 | 0.845 | 0.978 | .011 |



Patients seen w/i 7 days ($n = 101$)

| | b | SE | 95% C.I. | | exp(B) | 95% C.I. | | P |
|----------------|--------|-------|----------|-------|--------|----------|-------|------|
| LOC | 0.317 | 0.127 | 0.068 | 0.566 | 1.373 | 1.071 | 1.761 | .013 |
| TBI symptoms | 0.026 | 0.024 | -0.021 | 0.073 | 1.026 | 0.980 | 1.075 | .276 |
| PCL | 0.013 | 0.005 | 0.003 | 0.022 | 1.013 | 1.003 | 1.022 | .007 |
| Reaction Time | -0.003 | 0.001 | -0.006 | 0.000 | 0.997 | 0.994 | 1.000 | .024 |
| Zero-inflation | | | | | | | | |
| Intercept | -0.487 | 0.468 | -1.404 | 0.430 | 0.615 | 0.246 | 1.537 | .298 |
| TBI symptoms | -0.169 | 0.100 | -0.365 | 0.027 | 0.844 | 0.694 | 1.027 | .090 |



Conclusions

- Headache severity following mTBI is associated with LOC, PTSD symptoms, slowed reaction time, and insomnia severity
- Within 7 days of index mTBI, TBI symptoms appear to be more robust than insomnia

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ANAM sensitivity

Can the ANAM subtest scores differentiate between deployed service members with and without mTBI within 72 hours of index event?

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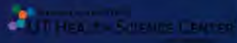
| ANAM Subtest | AUC | SE | p | 95% C.I. | |
|-----------------|------|------|------|----------|-------|
| | | | | Lower | Upper |
| Speed | | | | | |
| SRT | .682 | .073 | .023 | .540 | .824 |
| PRT | .585 | .076 | .290 | .436 | .734 |
| CSL | .636 | .076 | .091 | .487 | .785 |
| CSD | .605 | .079 | .193 | .449 | .760 |
| MATH | .672 | .073 | .032 | .529 | .815 |
| SM | .571 | .080 | .379 | .414 | .728 |
| Accuracy | | | | | |
| SRT | .552 | .081 | .515 | .393 | .712 |
| PRT | .606 | .077 | .187 | .456 | .757 |
| CSL | .499 | .080 | .986 | .343 | .654 |
| CSD | .614 | .080 | .158 | .457 | .770 |
| MATH | .482 | .076 | .819 | .332 | .631 |
| SM | .507 | .082 | .933 | .346 | .668 |
| Throughput | | | | | |
| SRT | .681 | .073 | .024 | .538 | .824 |
| PRT | .592 | .075 | .253 | .444 | .739 |
| CSL | .682 | .072 | .024 | .541 | .823 |
| CSD | .624 | .077 | .121 | .474 | .775 |
| MATH | .680 | .073 | .025 | .537 | .822 |
| SM | .542 | .081 | .600 | .382 | .702 |

| ANAM Subtest | Standard Score | Sensitivity | Specificity | Accuracy | PPV | NPV |
|-----------------|----------------|-------------|-------------|----------|-------|-------|
| SRT Speed | 70 | 0.143 | 1.000 | 0.572 | 1.000 | 0.380 |
| | 85 | 0.200 | 0.952 | 0.576 | 0.888 | 0.396 |
| | 100 | 0.514 | 0.667 | 0.591 | 0.746 | 0.519 |
| | 115 | 0.971 | 0.000 | 0.486 | 0.649 | — |
| MATH Speed | 70 | 0.200 | 0.905 | 0.553 | 0.800 | 0.396 |
| | 85 | 0.457 | 0.762 | 0.610 | 0.785 | 0.492 |
| | 100 | 0.829 | 0.381 | 0.605 | 0.718 | 0.754 |
| | 115 | 0.971 | 0.143 | 0.557 | 0.683 | 0.948 |
| SRT Throughput | 70 | 0.143 | 1.000 | 0.572 | 1.000 | 0.380 |
| | 85 | 0.257 | 0.905 | 0.581 | 0.837 | 0.414 |
| | 100 | 0.714 | 0.619 | 0.667 | 0.781 | 0.647 |
| | 115 | 0.943 | 0.048 | 0.496 | 0.654 | 0.902 |
| CSL Throughput | 70 | 0.086 | 1.000 | 0.543 | 1.000 | 0.365 |
| | 85 | 0.257 | 0.905 | 0.581 | 0.837 | 0.414 |
| | 100 | 0.571 | 0.619 | 0.595 | 0.741 | 0.550 |
| | 115 | 0.886 | 0.143 | 0.515 | 0.663 | 0.822 |
| MATH Throughput | 70 | 0.057 | 1.000 | 0.529 | 1.000 | 0.358 |
| | 85 | 0.171 | 0.905 | 0.538 | 0.774 | 0.388 |
| | 100 | 0.657 | 0.667 | 0.662 | 0.790 | 0.605 |
| | 115 | 0.971 | 0.095 | 0.533 | 0.671 | 0.948 |



Conclusions

- Several ANAM subtests outperform chance in differentiating mTBI from no TBI
 - Speed: SRT, MATH
 - Throughput: SRT, CSL, MATH
- Although mTBI is associated with increased variance of scores, many patients still score within “normal” range
- Patients who score below 85 likely have mTBI

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Questions?

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