

REPORT DOCUMENTATION PAGE

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14. ABSTRACT LIBS (Laser Induced Breakdown Spectroscopy) and Raman Spectroscopy address all five threats (CBRNE) for security and force protection applications. Military and civilian First Responders could utilize LIBS for CB, explosive hazards, suspicious powder, and hazardous spill analysis. Industrial applications include hazardous materials, quality control and process monitoring. Importantly, LIBS can identify innocuous materials on-site and in real-time saving time and money in response to hazardous material spills. A3 Technologies, LLC established					
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Report Title

Laser Induced Breakdown Spectroscopy (LIBS)

ABSTRACT

LIBS (Laser Induced Breakdown Spectroscopy) and Raman Spectroscopy address all five threats (CBRNE) for security and force protection applications. Military and civilian First Responders could utilize LIBS for CB, explosive hazards, suspicious powder, and hazardous spill analysis. Industrial applications include hazardous materials, quality control and process monitoring. Importantly, LIBS can identify innocuous materials on-site and in real-time saving time and money in response to hazardous material spills. A3 Technologies, LLC established LIBS based sensing as a commercial technology; four prototype LIBS based devices (laboratory, Pelicase, hand-held and stand-off) were developed and evaluated against 1st responder securities applications, forensic and commercial applications.

List of papers submitted or published that acknowledge ARO support during this reporting period. List the papers, including journal references, in the following categories:

(a) Papers published in peer-reviewed journals (N/A for none)

ctrochimica Acta Part B: Atomic Spectroscopy, doi:10.1016/j.sab.2010.08.004, published online, Sept. 6, 2010. Refereed Paper -T Trejos, A Flores and JR. Almirall, Micro-spectrochemical analysis of document paper and gel inks by laser ablation inductively coupled plasma mass spectrometry and laser induced breakdown spectroscopy, Spe

T Trejos, A Flores and JR. Almirall, Micro-spectrochemical analysis of document paper and gel inks by laser ablation inductively coupled plasma mass spectrometry and laser induced breakdown spectroscopy, Spectrochimica Acta Part B: Atomic Spectroscopy, doi:10.1016/j.sab.2010.08.004, published online, Sept. 6, 2010.

Number of Papers published in peer-reviewed journals: 2.00

(b) Papers published in non-peer-reviewed journals or in conference proceedings (N/A for none)

Number of Papers published in non peer-reviewed journals: 0.00

(c) Presentations

Number of Presentations: 0.00

Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

Canada; Jacumba, California; and Brunswick, Maine was undertaken using a RT100-HP LIBS instrument (A3 Technologies LLC/Applied Spectra, Inc. with a 90mJ, 1064 Nd:YAG laser, and CCD detector). LIBS spectra were collected at high and low resolution over the 240-600nm wavelength range. Individual spectral emission lines and line ratios for Fe, Mn, Nb, Ta, W, Ti, and Zr as well as broad and narrowband spectra were used to determine if the provenance of the columbite-tantalite samples, all with quite similar LIBS spectra, could be discriminated using advanced multivariate statistical techniques. The LIBS results were compared with Laser Ablation Inductively Coupled Plasma Mass Spectrometry (LA-ICP-MS) data obtained from the same sample suite. LIBS analysis of matrix minerals such as quartz and mica, present on some of the samples, was also performed. Principal Component Analysis (PCA) demonstrates that some of the data shows strong clustering. Partial Least Squares Discriminant Analysis (PLS-DA) of combined narrowband data (approximately 240-280 and 315-355nm) allows 100% sample-level classification using a highest confidence approach. These promising preliminary results suggest that additional work with a larger, more geographically diverse data set is warranted.

PACIFICHEM 2010 (Honolulu)
2010.

21. Winter Plasma Conference. January. Florida. 2010

"Precision assessment of a commercial Laser Induced Breakdown Spectroscopy (LIBS) instrument based on the analysis of metal samples."

J. J. Gonzalez*¹, A. A. Bolshakov², J. Yoo², L. J. East³, D. Wong³, D. Oropeza¹, and R. Russo*¹.

¹Lawrence Berkeley National Laboratory,
²Applied Spectra, Inc., and
³A3 Technologies.

Abstract: The need to analyze diverse solid samples, including environmental wastes, geological materials, biological tissues, metals, thin paint coatings, semiconductors, etc., without laborious dissolution methods has driven the advancement of laser ablation based analytical techniques. In particular, one branch of such techniques known as Laser Induced Breakdown Spectroscopy (LIBS) offers the unique advantages of rapid, in situ, multi-element analyses.

Laser induced breakdown spectroscopy (LIBS) is a simple technique that uses a tightly focused laser beam to generate a small spark on the surface of a target. This spark (microplasma) contains excited elemental and molecular species that were ablated from the surface of the target.

It is well known that the measurement quality and analytical figures of merit (precision, accuracy, limit of detection, etc) for LIBS are strongly dependent on the environment conditions, laser parameters, and physical-chemical properties of samples. In this study, we assessed some of these figures of merit for a diverse group of sample matrices based on a commercial LIBS system (RT100-HP from Applied Spectra/A3 Technologies).

21. PITCON. Florida. March. 2010

"Material composition analysis and surface/depth profiling with a laser-induced breakdown spectrometer (LIBS)"

Alexander A. Bolshakov,^{1,2} Jhanis J. Gonzalez,² Jong H. Yoo,² Chunyi Liu,² John R. Plumer,¹ Richard E. Russo^{1,2}

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² Applied Spectra Inc., 46661 Fremont Blvd., Fremont, CA 94538

Abstract: Laser induced breakdown spectroscopy (LIBS) offers rapid localized chemical analysis with high spatial resolution in lateral and depth profiling, without a need for sample preparation. Diverse solid or liquid samples including environmental, biological, geological, industrial, forensic, food, thin coatings, semiconductors, etc., can be analyzed. LIBS also can perform remotely from a distance up to ~100 meters or greater depending on the optics. These features motivated utilization of LIBS for industrial and security applications as several commercial LIBS systems became available.

We applied principal component analysis (PCA) and partial least square (PLS) procedures to discriminate and identify a large variety of organic and inorganic samples. Our data illustrate how LIBS analyzers can answer a multitude of real-world needs for rapid chemical analysis. Examples include the determination of lead in paint and children's toys; analysis of different solder materials; quality control of fiberglass panels; discrimination of coffee beans from different vendors; discrimination of generic and brand-name drugs, etc. Localized microanalysis with lateral and depth profiling is easily realized. Either traditional one-element calibration procedures or multivariate chemometrics can be applied for quantitative determination of elements in numerous samples.

We illustrate effectiveness of LIBS for security applications with the results obtained in field testing of the 50-meter standoff LIBS rasterizing detector.

Student Metrics

This section only applies to graduating undergraduates supported by this agreement in this reporting period

- The number of undergraduates funded by this agreement who graduated during this period: 1.00
- The number of undergraduates funded by this agreement who graduated during this period with a degree in science, mathematics, engineering, or technology fields:..... 1.00
- The number of undergraduates funded by your agreement who graduated during this period and will continue to pursue a graduate or Ph.D. degree in science, mathematics, engineering, or technology fields:..... 1.00
- Number of graduating undergraduates who achieved a 3.5 GPA to 4.0 (4.0 max scale):..... 0.00
- Number of graduating undergraduates funded by a DoD funded Center of Excellence grant for Education, Research and Engineering:..... 0.00
- The number of undergraduates funded by your agreement who graduated during this period and intend to work for the Department of Defense 0.00
- The number of undergraduates funded by your agreement who graduated during this period and will receive scholarships or fellowships for further studies in science, mathematics, engineering or technology fields: 0.00

Names of Personnel receiving masters degrees

<u>NAME</u>
Total Number:

Names of personnel receiving PHDs

<u>NAME</u>
Total Number:

Names of other research staff

<u>NAME</u>	<u>PERCENT SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Sub Contractors (DD882)

A3 Technology goals

1. To interact locally and effectively with the ARL to provide hardware for advancing the Army technical program, create new jobs in MD, produce spectroscopic sensors as defined in the tasks below, and grow the company capabilities to address security and force protection
2. To establish LIBS based sensing as a commercial technology utilized in a variety of off-the-shelf products (COTS) designed to meet detection and characterization requirements for DoD, Federal, commercial and 1st responder securities applications.

Relevance to the Army

The Army has a need /requirement for a new technology capable of real-time detection of chem/bio and explosive threats in the laboratory and at stand-off distances greater than 30 meters for counter IED detection.

Approach

The 3 year (FY2007, FY2008, FY2009) funding for this effort was a congressional "earmark" from the state of MD; as such the goals for the program were more than research and subsequent approach for the program was similar to a "Phase III SBIR, that being to first "engineer specific instruments to match well defined User requirements. evaluate the operation, configuration and performance of prototypes then to commercialize the resultant field tested hardware.

The second goal was to establish a commercial capability to fabricate, evaluate and refine the "tailored" laser based systems with single or multiple sensors for military , 1st Responders and commercial Users capable of detecting unknown substances in the field with no sample preparation and with high sensitivity and specificity.

This report describes the three year contract effort, to produce the deliverables and carry-out the commercialization program involved in the creation of a Maryland based enterprise chartered "to address the Security needs of the Federal, DOD and civilian 1st Responders through Engineering, Manufacturing, Support and Training on new sensors for the detection of CBER&N."

The primary tenant for all on-site detection and analysis of unknown materials is to INCREASE to speed and accuracy of the detection of unknown materials, time saves money and lives for 1st Responders.

customers and politicians. Follow-up visits to A3 have been made by staffers for MD Congressman Kratovil and Senator Cardin; Senator Cardin is scheduled to visit A3 in August.

- A3 has been proactive in joining local business organization to include:
 - Army Alliance
 - NMTC
 - Chamber of Commerce
 - AUSA
 - NDIA
 - A3 has recruited a COOP student from the Harford Community College and interviewed Harford Technology HS students for the summer and will maintain or grow this program as a part of the technical staff.
 - A3 staff member (Dr Diane Wong) participated in theFY 2008 Capitol Hill Day resulting in her becoming a special technical advisor for the Maryland politicians and staff.
- A3 employment, during this reporting period the Maryland based staff has grown by 6 additional full and part time employees bring the total to 9; additional hires are planned for the next 2 years.
- A3 has formalized relationships with principles in the 1st Responder community, forensic sciences community, political and with lobbying firms as well as maintaining close ties to the federal and DoD and DOE to ensure that new opportunities (SBIRS, BAAs, etc.) are captured and evaluated by the company.

A3 has also aggressively carried out an ongoing interactive development process with representatives from the 1st Responder community (IAB).

- Interagency Board Equipment Panel co-chair (Mr. Edward Baylor) to refine the user threat and hardware requirements (Common Hazard Index-CHI and Configuration Standard-CST) developed in year 1 of the program and to establish a 3rd Party Review and acceptance criteria and test plans for each of the developmental instruments.
- Mr. Baylor, Mr. Miller and Dr. Hark (on contract) are establishing commercialization and fielding pathways for each of the 3 instruments to include:
 - In-house capability review
 - 3rd party beta testing



Figure (1) - Mini-standoff device

MINI-ST Specifications

LIBS Laser

Wavelength	1064 nm
Type	Nd:YAG
Energy	350mJ
Pulse Duration	4-5 ns

Power Requirement 110 VAC

Raman Laser

Wavelength 532 nm

Type Diode Pump

Energy 400mW (Continuous)

Power Requirement 110 VAC

Spectral detection

LIBS 200-980 nm Multichannel CCD Systems

0.1 nm Resolution

RAMAN

200-2700 cm-1

Special design round to linear fibre

Computer

Standalone Notebook for remote control

Build in computer in the system

Windows XP

Remote Control

Software, Under Development

Operation range: 15-30 Meter

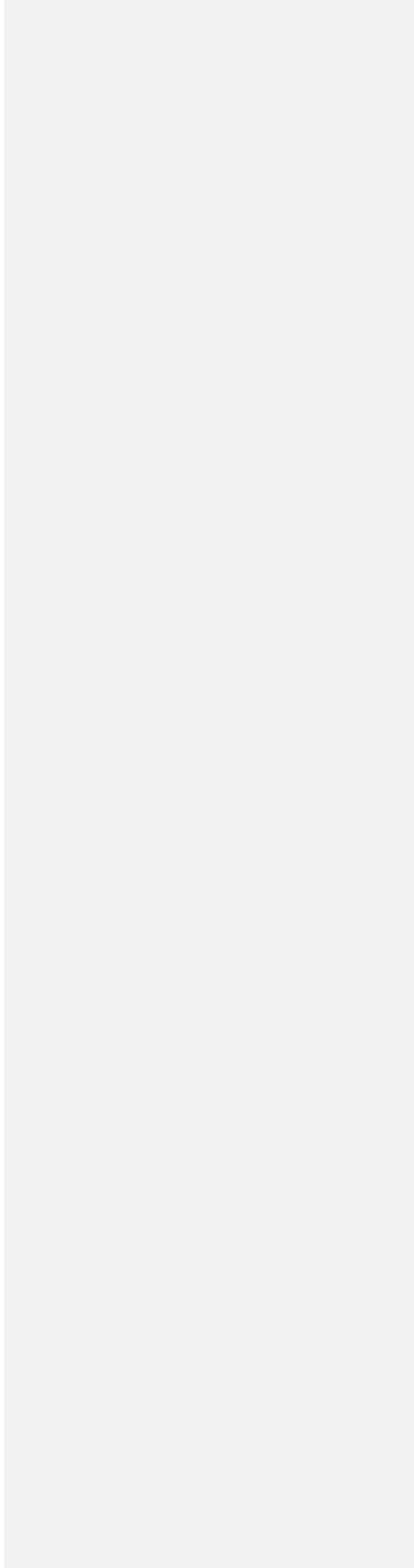
- The current status, capabilities and potential applications for A3 Technologies commercial, Alpha & beta-prototype instrumentations are summarized in Table 2

Table (2)

Status of Developmental Instrumentation

<ul style="list-style-type: none">• RT-100 - Application - Green Chemical Analysis – Configuration - Laboratory and mobile laboratory capability – LIBS or LIBS & Ramon sensors– >300 pounds<ul style="list-style-type: none">– Use: Forensic studies, inks, coinage, glasses, minerals , lead-free solders, semi-conductor materials and “conflict minerals”–Status: Commercial Product• Pelicase instrument– Application - Detection & Analysis – Configuration - Field Transportable and 1stResponder “warm-zone” – Decontamination capable – LIBS only sensor- <50 pounds<ul style="list-style-type: none">–Use: Explosives, hazardous industrial mat’s, chem/bios–Status: Beta Prototype• Mini-standoff instrument– Application - Detection & Analysis – Configuration -Field and ROV Transportable – 1st responder “cold-zone” – 35 meter st-off – LIBS & Ramon sensors– <250 pounds<ul style="list-style-type: none">- Use: Remote Explosive detection. CBRN&E detection- Status: Beta Prototype• Hand-held instrument –Application - Detection of single elements –Configuration - Field Transportable<ul style="list-style-type: none">– 1 meter max st-off - LIBS sensor- <15 pounds

- Use: Single element detection
- Status: Alpha Prototype



Nitrogen mustard (HN)	Lassa	Polonium 210	
Phosgene oxime (CX)	Staphylococcal Enterotoxin B (SEB) (Food Poisoning)	Thallium 201	
Hydrogen cyanide (AC)	FUNGI	Thorium 232	
Cyanogen chloride (CK)	Aflatoxin	Lead 210	
Arsine (SA)	Bacillus	Sodium 22	
Cyclosarin (GE, GF)	Streptococcus		
Methyl Isocyanate	Staphylococcus		
Diborane	Erwinia		
DMMP	Pseudomonas		
Ethylene Oxide	Amanita echinocephala		
Nitrogen Dioxide	Amatoxins		
	Amanita muscaria		
	Amanita pantherina		
	clitocybe		
	Conocybe		
	Copelandia		
	Entoloma rhodopolium		
	Gymnopilus		
	Gyromita species		
	Inocybe		
	Inocybe fastigiata		
	Inocybe patouillardi		
	Omphalutis olearius		
	Panaeolus		
	Pluteus		

Nitrated carbohydrate explosive.			Oxycodone (PIM 894)
Nitrated glucoside explosive.			Oxymesterone (PIM 914)
Nitrated polyhydric alcohol explosives.			Oxymetholone (PIM 915)
Nitric acid and a nitro aromatic compound explosive.			Oxymorphone (PIM 892)
Nitric acid and carboxylic fuel explosive.			Paracetamol (PIM 396)
Nitric acid explosive mixtures.			Paroxetine (PIM 175)
Nitro aromatic explosive mixtures.			Pemoline (PIM 940)
Nitro compounds of furane explosive mixtures.			Pentamorphone (PIM 890)
Nitrocellulose explosive.			Pentazocine (PIM 407)
Nitroderivative of urea explosive mixture.			Pethidine (PIM 877)
Nitrogelatin explosive.			Phendimetrazine tartrate (PIM 941)
Nitrogen trichloride.			Phenelzine (PIM 970)
Nitrogen tri-iodide.			Phenmetrazine hydrochloride (PIM 942)
Nitroglycerine [NG, RNG, nitro, glyceryl trinitrate, trinitroglycerine].			Phentermine (PIM 415)
Nitroglycide.			Phenytoin (PIM 416)
Nitroglycol [ethylene glycol dinitrate, EGDN].			Phytomenadione (PIM 424F, French)
Nitroguanidine explosives.			Pinazepam (PIM 679)
Nitronium perchlorate propellant mixtures.			Podophyllum (PIM 427)
Nitroparaffins Explosive Grade and ammonium nitrate mixtures.			Potassium chloride (PIM 430)
Nitrostarch.			Prazepam (PIM 680)
Nitro-substituted carboxylic acids.			Primaquine phosphate (PIM 434)
Nitrourea.			Procainamide (PIM 436)
Octogen [HMX].			Promethazine (PIM 439)
Octol [75 percent HMX, 25 percent TNT].			Propranolol (PIM 441)
Organic amine nitrates.			Quazepam (PIM 932)
Organic nitramines.			Quinbolone (PIM 916)
PBX [plastic bonded explosives].			Quinidine (PIM 463)
Pellet powder.			Quinine (PIM 464)
Penthrinite composition.			Reserpine (PIM 467)
Pentolite.			Retinol (PIM 468)
Perchlorate explosive mixtures.			Rifampicin (PIM 472)
Peroxide based explosive mixtures.			Salicylic acid (PIM

Trinitrobenzene.			Phentermine 122-09-8
Trinitrobenzoic acid.			Phentermine hydrochloride 1197-21-3
Trinitrocresol.			
Trinitro-meta-cresol.			clostebol
Trinitronaphthalene.			drostanolone
Trinitrophenetol.			fluoxymesterone
Trinitrophloroglucinol.			furazabol
Trinitroresorcinol.			mesterolone
Tritonal.			methandienone
Urea nitrate.			methandriol
Water-bearing explosives having salts of oxidizing acids and nitrogen bases, sulfates, or sulfamates (cap sensitive).			methenolone enanthate
Water-in-oil emulsion explosive compositions.			methenolone
Xanthamonas hydrophilic colloid explosive mixture.			methenolone acetate
			methyltestosterone
			nandrolone esters
			nandrolone
			norethandrolone
			oxabolone
			oxandrolone
			oxymesterone
			oxymetholone
			quinbolone
			stanolone
			stanozolol
			testosterone

			Crystalline IZS
			Extended Insulin Zinc Suspension
			Globin Insulin
			Globin Insulin with zinc
			Globin Zinc Injection Insulin
			Globin Zinc Insulin
			GZI
			Injectio Insulini Protaminati cum zinco
			Insulin cum zinco (crystallisati) suspension
			Insulin Hydrochloride
			Insulin Injection
			Insulin lente
			Insulin semilente
			Insulin Ultralente
			Insulin zinc suspension (mixed)
			Insulin zinci crystallisati suspension injectabilis
			Insulini cum zinco (Amorphi) suspension injectabilis
			Insulini cum zinco suspensio composita
			Insulini Isophani Protaminati Suspension injectabilis
			Insulini Solution Injectabilis
			Insulini Zinci Injectabilis Mixta
			Insulini zinci protaminati injectio
			Insulini zinci protaminati suspension injectabilis PZI
			Isophane Insulin
			Isophane Insulin (NPH)

Isophane Insulin Suspension
Isophane Protamine Insulin Injection IZS
Neutral Insulin NPH Insulin
Ordinary Insulin
Prompt Insulin
Zinc Suspension Protamine zinc injection
Regular Insulin
Soluble Insulin
Unmodified Insulin

Natural Opium
Morphine
Diamorphine (Heroin)
Alphaprodine
Amileridine
Alfentanyl
Fentanyl
Sufentanyl
Nalbuphine

Buprenorphine
Butorphanol

Dextromoramide
Dezocine
Ketobemidone
Meptazinol

Pentamorphone
Pentazocine
Pethidine
Tincture Of Opium
Paregoric
Codeine

Hydromorphone
Oxymorphone
Hydrocodone
Oxycodone
Tramadol

- 1.3.2 Each device should be able to withstand the impact, abrasion and aggressive contact of common decontamination tools. Soft and medium polymer brush bristles are the standard mediums used.
 - 1.3.3 Each device should be able to withstand the pressure exerted by a soft spray device nozzle. The minimum operating exposure pressure to withstand is 20 pounds per square inch (psi.)
 - 1.3.4 Each device should be able to withstand exposure to solutions introduced at a temperature up to 160 degrees Fahrenheit at the maximum pressure listed in 1.3.3.
- 1.4 Each manufacturer should design each system to be capable of operating without interruption, distortion or failure of any kind due to electromagnetic interference generated by the radio transmission / reception equipment in proximity of the MP-LIBS device.
- 1.4.1 The device (software and hardware) should be able to meet this standard during all conditions of operation of internal radio transmission and reception devices collocated within the MP-LIBS housing.
 - 1.4.2 The device (software and hardware) should be able to meet this standard while operating within close proximity (two feet) of the antenna clusters of wireless radio devices mounted in emergency vehicles. Sample frequency output tolerances are ranged below as minimum standards:
 - 1.4.2.1 Low Band (3-30MHz): 100 Watt output
 - 1.4.2.2 VHF (30 MHz to 300 MHz): 110 Watt output
 - 1.4.2.3 UHF (300-512 MHz): 110 Watt output
 - 1.4.2.4 UHF (824-960 MHz): 35 Watt output
 - 1.4.2.5 UHF (1850-1910 MHz): 3 Watt output
 - 1.4.2.6 UHF (2400–2483.5 MHz): 2 Watt output
 - 1.4.3 The radio transmission equipment contained within the MP-LIBS device should not operate in a manner that inhibits the operation, IP – based communications backbone, clarity or transmission strength of an external portable wireless device being used in proximity (> one foot) of the MP-LIBS.
 - 1.4.3.1 The radio transmission equipment (Bluetooth, Wi-Fi, Cellular, etc.) integrated into the MP-LIBS device should comply with all federal laws and regulations respective to the type, frequency and transmission strength of the transmitting devices therein contained.
 - 1.4.4 A manual override shutdown switch for all wireless radio transmitting equipment should be clearly and prominently displayed and accessible on the main control surface of each MP-LIBS device.

- 1.9.1.1 The LCD screen should have a glare-reducing coating, or other accommodation that provides glare reduction.
- 1.9.1.2 The text characters displayed on an LCD or similar screen should be readable at a distance of 24" using the personal protective equipment described in 1.9.1. The suggested minimum font size is 36 point using the "Arial" true type font.
- 1.9.1.3 Chart lines should be readable at a distance of 24" using the personal protective equipment described in 1.9.1. The suggested line size is 2.5 point in width, colored in clear contrast with the background color displayed.
- 1.9.1.4 Warning lights, power meter or signal strength illuminated displays should be readable at a distance of 24" using the personal protective equipment described in 1.9.1.
- 1.9.2 Each MP-LIBS device should have audible information and alarm generators that are capable of being clearly recognized and understood by an operator wearing a Level A fully encapsulating chemical protective clothing ensemble and using a self-contained breathing apparatus (SCBA) in positive pressure mode.
 - 1.9.2.1 Audible information messages should be able to be clearly heard at a distance of 36" (inches) from the display console of the device using the personal protective equipment described in 1.9.1.
 - 1.9.2.2 Audible warnings and alarms should be able to be clearly heard at a distance of 20' (feet) from the display console of the device using the personal protective equipment described in 1.9.1.
 - 1.9.2.3 Requirements of this section are contingent on the assumption that the end-user / operator of the device has no more than a 10% hearing loss in any ear.
 - 1.9.2.4 The audible alarm should not sound the same as a PASS alarm attached to SCBA.
 - 1.9.2.5 A visual LED cluster at the device's lid's edge area should be installed and operate when a panic alarm is activated, or when an analysis identifies an extremely hazardous substance.
 - 1.9.2.6 The device should have a manual override switch to disable the audible and visual alarm for law enforcement operations.
- 1.10 The MP-LIBS device should be able to detect a broad variety of hazardous and nonhazardous materials that may be encountered by First Responders in the course of their duties. Analysis should be performed on materials contained inside a sample chamber fitted with a suitable transparent window.
 - 1.10.1 These materials fall into the following major classifications:

ATTACHMENT A: Definitions of Classes and Zones

Hazardous Areas are locations where the potential for fire or explosion exists because of gases, dust, or easily ignitable fibers or flyings in the atmosphere.

In North America, hazardous areas are separated by classes, divisions, and groups to define the level of safety required for equipment installed in these locations. *Classes* define the general form of the flammable materials in the atmosphere. *Divisions* define the probability of the presence of flammable materials. *Groups* classify the exact flammable nature of the material.

In Europe and countries outside of North America, classification of hazardous areas is accomplished differently. *Zones* are used to define the probability of the presence of flammable materials. *Protection Types* denote the level of safety for the device. *Groups* classify the exact flammable nature of the material. These groups are separated differently than North American Groups. *Temperature Identifications* convey the maximum surface temperature of the apparatus based on 104° F (40° C) ambient. These temperature codes are selected carefully not to exceed the ignition temperature of the specific gas or vapor to be encountered in the application.

Some classifications are not shown here. For further detailed information, see specific standards published by approval organizations.

Common Terms:

Class I, Division 1

A Class I, Division 1 location is a location where ignitable concentrations of flammable gases, vapors or liquids:

- can exist under normal operating conditions;
- may exist frequently because of repair or maintenance operations or because of leakage; or
- may exist because of equipment breakdown that simultaneously causes the equipment to become a source of ignition

Equipment intended for use in a Class I, Division 1 area is usually of the explosionproof, intrinsically safe, or purged/pressurized type.

Explosionproof apparatus: "Apparatus enclosed in a case that is capable of withstanding an explosion of a specified gas or vapor that may occur within it and of preventing the ignition of a specified gas or vapor surrounding the enclosure by sparks, flashes, or explosion of the gas or vapor within and that operates at such an external temperature that a surrounding flammable atmosphere will not be ignited thereby." (See NFPA 70)

Intrinsically safe apparatus: "Apparatus in which all the circuits are intrinsically safe." (See UL 913)

measures (such as larger spacings among wiring connections) are taken to prevent the possibility of high temperatures or sparks. A minimum IP rating of IP 54 is required." (See UL 2279)

Encapsulation: "Arcing contacts are completely surrounded by an encapsulating material." (See UL 2279)

Powder filling: "Arcing contacts are surrounded by a filling material (glass or quartz powder)." (See UL 2279)

Class I, Zone 2

A Class I, Zone 2 location is a location:

- where ignitable concentrations of flammable gases, vapors or liquids are not likely to occur in normal operation or, if they do occur, will exist only for a short period;
- where volatile flammable liquids, or flammable gases or vapors exist, but are normally confined within closed containers
- where ignitable concentrations of gases, vapors, or liquids are normally prevented by positive mechanical ventilation;
- adjacent to a Class I, Zone 1 location from which ignitable concentrations could be communicated.

Equipment that is intended for use in a Class I, Zone 2 area is usually of the nonincendive, non-sparking, restricted breathing, hermetically sealed or sealed device type.

Nonincendive: See definitions under Class I, Division 2

Non-sparking: See definition under Class I, Division 2

Restricted breathing: "The enclosure relies on tight seals and gaskets to prevent diffusion of the explosive atmosphere into the equipment enclosure. Provision for checking that the restricted breathing properties of the enclosure are maintained is provided." (See UL 2279)

Hermetically sealed: See definition under Class I, Division 2

Sealed device: "A device that is constructed so that it cannot be opened and is sealed to restrict entry of an external atmosphere. The device may contain arcing parts or internal hot surfaces." (See UL 2279)

Class II, Division 1

A Class II, Division 1 location is a location where:

- ignitable concentrations of combustible dust can exist in the air under normal operating conditions;
- ignitable concentrations of combustible dust may exist because of equipment breakdown that simultaneously causes the equipment to become a source of ignition;

- J. J. Gonzalez¹, A. A. Bofshakov², J. Yoo², L. J. East³, D. Wong³, D. Oropeza¹, and R. Russo^{*1}. ¹Lawrence Berkeley National Laboratory, ²Applied Spectra, Inc., and ³A3 Technologies. **Precision assessment of a commercial Laser Induced Breakdown Spectroscopy (LIBS) instrument based on the analysis of metal samples.** Winter Plasma Conference. January. Florida. 2010
- Jhanis J. Gonzalez¹, Dayana D. Oropeza¹, Jong Yoo², Richard E. Russo,¹ **Femtosecond Laser Ablation Inductively Coupled Plasma Mass Spectrometry: The ultrafast road to routine solid samples chemical analysis,** PITCON. Florida. March. 2010
- Jeremiah J. Remus¹, Russell Harmon², Jhanis Gonzalez³, Diane Wong⁴, Jennifer Gottfried⁵, Dirk Baron⁶. ¹Clarkson University, ²ARL Army Research Office, ³Applied Spectra Inc., ⁴A3 Technologies LLC, ⁵Army Research Laboratory, ⁶California State University – Bakersfield, ¹Clarkson University, **Signal Processing Analysis of Factors Affecting LIBS-Based Obsidian Artifact Source Identification,** LIBS 2010- September 13-17 Memphis, TN.
- Katrina Shughrue¹, Keith Hilferding¹, Richard R. Hark¹, Jeremiah J. Remus², Russell Harmon³, Michael A. Wise⁴, Lucille J. East⁵, Jhanis Gonzalez⁶ and Dayana D. Oropeza⁷. ¹Department of Chemistry, Juniata College, Huntingdon, PA 16652, USA; ² Department of Electrical and Computer Engineering, Clarkson University, Potsdam, NY 13699, USA; ³ARL Army Research Office, PO Box 12211, Research Triangle Park, NC 27709, USA; ⁴Division of Mineralogy, Smithsonian Institution, P.O. Box 37012, MRC 119, Washington, D.C. 20013, USA; ⁵A3 Technologies LLC, 1200 Technology Drive, Aberdeen, MD 21001, USA; ⁶Applied Spectra Inc, 46661 Fremont Boulevard, Fremont, CA 94538, USA; ⁷Lawrence Berkeley National Laboratory, 1 Cyclotron Road, Berkeley, CA 94720, USA, **LIBS Analysis of Conflict Minerals: The Example of Columbite-Tantalite,** LIBS 2010- September 13-17 Memphis, TN
- Alexander A. Bofshakov,^{1,2} Jhanis J. Gonzalez,² Jong H. Yoo,² Chunyi Liu,² John R. Plumer,¹ Richard E. Russo, **Material composition analysis and surface/depth profiling with a laser-induced breakdown spectrometer (LIBS),** PITCON. Florida. March 2010
- Alyssa M. Kress¹, Lucille J. East², Richard R. Hark¹, Jhanis Gonzalez³,¹Department of Chemistry, Juniata College, Huntingdon, PA 16652, USA²A3 Technologies, LLC, Aberdeen, MD 21001, USA; ³Applied Spectra, Inc., Fremont, CA 94538, USA, **Analysis of Silver Coins by Laser-Induced Breakdown Spectroscopy (LIBS),** LIBS 2010- September 13-17 Memphis, TN
- Jennifer L. Gottfried¹. Kimberly Weber², Dana Short², Tyler Goralski², Stephanie

detecting the presence of chemical, biological, nuclear, radiological, or explosive (CBNRE) threats, toxic industrial materials (TIM's), pharmaceuticals, and illegal drugs, along with the benign materials (e.g., harmless white powders) commonly encountered during First Responder operations. Laser-induced breakdown spectroscopy (LIBS) is a sensor technology that could allow First Responders to manage hazardous materials incidents more efficiently, effectively, and economically than previously possible using multiple pieces of equipment. The technique provides nearly instantaneous elemental analysis of solids, liquids and gases, does not require any sample preparation, and is amenable for use as a robust, man-portable device in the field in either stand-off or stand-near modes. LIBS utilizes a broadband spectrometer to collect the light emitted by a microplasma which is formed when a pulsed laser is used to interrogate a target. The data obtained is subjected to chemometric analysis and comparison against a spectral library to facilitate rapid identification of the material and provide the First Responder with the information vital for making crucial decisions in a timely manner. There are currently no commercially available LIBS systems that are designed specifically for use by the First Responder community. This presentation will explore some of the issues related to the development of suitable instrumentation and libraries as well as incorporation of the technique into existing First Responder protocols.

- EMSLIBS 2009 (Italy)

"Evaluation of Laser-induced Breakdown Spectroscopy (LIBS) Instruments for First Responder Applications"

Richard R. Hark¹, Adam L. Miller², Kristin M. Beiswenger¹, Alyssa M. Kress¹

¹Department of Chemistry, Juniata College, Huntingdon, PA 16652, USA

²Huntingdon County Emergency Management Agency, Huntingdon, PA 16652, USA

The challenge to rapidly and reliably identify unknown substances encountered in a wide variety of field conditions is a task routinely faced by First Responders. For the majority of operations multiple instruments must be employed currently to assist response managers in making decisions regarding protective actions and force protection. An extensive array of wet chemical tests and portable instrumentation have been developed and/or adapted for the purpose of detecting the presence of hazardous materials of interest. These include chemical, biological,

Analysis (PCA) demonstrates that some of the data shows strong clustering. Partial Least Squares Discriminant Analysis (PLS-DA) of combined narrowband data (approximately 240-280 and 315-355nm) allows 100% sample-level classification using a highest confidence approach. These promising preliminary results suggest that additional work with a larger, more geographically diverse data set is warranted.

- PACIFICHEM 2010 (Honolulu)
2010.

Winter Plasma Conference. January. Florida. 2010

Precision assessment of a commercial Laser Induced Breakdown Spectroscopy (LIBS) instrument based on the analysis of metal samples.

J. J. Gonzalez^{*1}, A. A. Bofshakov², J. Yoo², L. J. East³, D. Wong³, D. Oropeza¹,
and R. Russo^{*1}.

¹Lawrence Berkeley National Laboratory,

²Applied Spectra, Inc., and

³A3 Technologies.

Abstract: The need to analyze diverse solid samples, including environmental wastes, geological materials, biological tissues, metals, thin paint coatings, semiconductors, etc., without laborious dissolution methods has driven the advancement of laser ablation based analytical techniques. In particular, one branch of such techniques known as Laser Induced Breakdown Spectroscopy (LIBS) offers the unique advantages of rapid, *in situ*, multi-element analyses.

Laser induced breakdown spectroscopy (LIBS) is a simple technique that uses a tightly focused laser beam to generate a small spark on the surface of a target. This spark (microplasma) contains excited elemental and molecular species that were ablated from the surface of the target.

It is well known that the measurement quality and analytical figures of merit (precision, accuracy, limit of detection, etc) for LIBS are strongly dependent on the

environment conditions, laser parameters, and physical-chemical properties of samples. In this study, we assessed some of these figures of merit for a diverse group of sample matrices based on a commercial LIBS system (RT100-HP from Applied Spectra/A3 Technologies).

PITCON. Florida. March. 2010

Material composition analysis and surface/depth profiling with a laser-induced breakdown spectrometer (LIBS)

Alexander A. Bolshakov,^{1,2} Jhanis J. Gonzalez,² Jong H. Yoo,² Chunyi Liu,² John R. Plumer,¹ Richard E. Russo^{1,2}

¹ A3 Technologies LLC, 1200 Technology Dr., Aberdeen, MD 21001

² Applied Spectra Inc., 46661 Fremont Blvd., Fremont, CA 94538

Abstract: Laser induced breakdown spectroscopy (LIBS) offers rapid localized chemical analysis with high spatial resolution in lateral and depth profiling, without a need for sample preparation. Diverse solid or liquid samples including environmental, biological, geological, industrial, forensic, food, thin coatings, semiconductors, etc., can be analyzed. LIBS also can perform remotely from a distance up to ~100 meters or greater depending on the optics. These features motivated utilization of LIBS for industrial and security applications as several commercial LIBS systems became available.

We applied principal component analysis (PCA) and partial least square (PLS) procedures to discriminate and identify a large variety of organic and inorganic samples. Our data illustrate how LIBS analyzers can answer a multitude of real-world needs for rapid chemical analysis. Examples include the determination of lead in paint and children's toys; analysis of different solder materials; quality control of fiberglass panels; discrimination of coffee beans from different vendors; discrimination of generic and brand-name drugs, etc. Localized microanalysis with lateral and depth profiling is easily realized. Either traditional one-element calibration procedures or multivariate chemometrics can be applied for quantitative determination of elements in numerous samples.

We illustrate effectiveness of LIBS for security applications with the results obtained in field testing of the 50-meter standoff LIBS rasterizing detector.

**Femtosecond Laser Ablation Inductively Coupled Plasma Mass Spectrometry:
The ultrafast road to routine solid samples chemical analysis.**

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¹Lawrence Berkeley National Laboratory. Berkeley. CA. 94720

²Applied Spectra, Inc. Fremont. CA. 94538

Abstract: Laser ablation sampling for inductively coupled plasma mass spectrometry (LA-ICP-MS) has become one of the most popular approaches for analysis of solid samples. When compared with conventional dissolution techniques, laser ablation offers direct characterization of solids, reduced risk of contamination and sample loss, analysis of very small samples not separable for solution analysis, rapid analysis, and spatial resolution. Chemical analysis using laser ablation is a straightforward process in which a high energy laser pulse is used to transform a portion of a solid sample into aerosol for subsequent chemical analysis. The use of femtosecond laser ablation is based on improved performance metrics by controlling the amount of ablated material, particle size distribution, matrix dependence, and fractionation. Femtosecond laser ablation sampling into the ICP-MS has been shown to provide good chemical analysis precision and accuracy by reducing systematic errors related to the particle size distribution and resultant spikes in the ICP-MS response. A relaxation of matrix dependence also has been shown for the analysis of wide range of sample matrices when using femtosecond laser pulses. This paper presents an overview of the current research state in femtosecond laser ablation for chemical analysis and describes recent data using femtosecond ablation sampling for ICP-MS.

LIBS 2010- September 13-17 Memphis, TN. Deadline May 31 2010

**Signal Processing Analysis of Factors Affecting LIBS-Based Obsidian
Artifact Source Identification**

Jeremiah J. Remus¹, Russell Harmon², Jhanis Gonzalez³, Diane Wong⁴, Jennifer Gottfried⁵, Dirk Baron⁶

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³Applied Spectra Inc.,

⁴A3 Technologies LLC,

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⁶California State University – Bakersfield

Abstract: In a companion study (Harmon et al.), we present results of our efforts to develop methods for identifying the provenance, i.e. source of origination, of obsidian artifacts from California. A significant focus of that most recent work was the effect of LIBS laser wavelength, based on a comparison of classification performance for two data sets collected for the same set of obsidian samples with different LIBS laser systems (266 nm and 1064 nm wavelengths). This study addresses factors in that study that affected performance from a statistical signal processing and classification perspective. The outcomes of classification experiments and high-dimensional visualization techniques were used to investigate the link between effects seen in the data and subsequent classification performance as a function of three parameters of the LIBS setup and data collection: laser wavelength, the number of pre-collection 'cleansing' shots, and the number of shots recorded from each sample. The results of this study indicate that data collected using the two different laser wavelengths, as expected, displayed a wavelength-dependency in the observed emission spectra due to differences in laser light interaction with the obsidian samples. However, the results of the classification analysis also suggest that, despite the strong differences observed in the measured spectra when using lasers of different wavelengths, there is still significant information available for identification of obsidian provenance using either LIBS setup. Preliminary analysis of the potential for fusion using LIBS data collected at multiple wavelengths will also be presented. The results of the current study suggest the potential capabilities of chemometrics and signal processing techniques applied to broadband LIBS analysis when developing methods for sample classification and identification, even in suboptimal conditions of LIBS data collection.

LIBS Analysis of Conflict Minerals: The Example of Columbite-Tantalite

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Abstract: Niobium and tantalum are two rare metals of high commercial value. Niobium (Nb) is used in the manufacture of hardened steel, superalloys, and superconducting magnets for applications like medical magnetic resonance imaging, while tantalum (Ta) is used in consumer electronics, mainly for capacitors and the high-power resistors used in portable telephones, personal computers, and automotive electronics. The primary natural occurrence of Nb and Ta is in the complex oxide minerals columbite and tantalite, which form a solid-solution series having the general composition $[\text{Fe,Mn}](\text{Nb,Ta})_2\text{O}_6$. Because central Africa is a major source for columbite-tantalite ore, its illicit export from the Democratic Republic of Congo is thought to be responsible for financing the ongoing civil conflicts in this region. Determining the chemical composition of a columbite-tantalite ore is one means of ascertaining its provenance.

Laser induced breakdown spectroscopy (LIBS) is an analytical technique that is simultaneously sensitive to all elements with a single laser shot. Thus, LIBS offers an attractive means of rapidly distinguishing different geographic sources for a mineral because the LIBS plasma emission spectrum provides a 'chemical fingerprint' of any material in real-time. To test this idea for columbite-tantalite, an examination of three samples sets from spodumene-bearing granite-associated pegmatite fields in Yellowknife, Canada; Jacumba, California; and Brunswick, Maine was undertaken using a RT100-HP LIBS instrument (A3 Technologies LLC/Applied Spectra, Inc. with a 90mJ,

1064 Nd:YAG laser, and Avantes spectrometer) to collect LIBS spectra over the 240-700nm wavelength range. The spectral emission lines and line ratios for Fe, Mn, Fe/Mn, Nb, Ta, Nb/Ta, W, Ti, Ti/W, and Zr were used to determine if the provenance of the columbite-tantalite samples, all with quite similar LIBS spectra, could be discriminated using advanced multivariate statistical techniques.

Analysis of Silver Coins by Laser-Induced Breakdown Spectroscopy (LIBS).

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Abstract: Silver coins were first struck in the United States in 1794 when banks and merchants brought metals to the Philadelphia Mint for coinage. These metals mostly originated from foreign coins, as well as bullion from mines and refineries. Initially, the depositor was able to request the denomination to be minted. This led to erratic production because depositors favored large denominations causing the smaller denominations to become essentially worthless and obsolete. With the Coinage Act of February 21, 1853, the government placed regulations on silver coinage and defined values for the coins regardless of composition. This arrangement later led to disputes over face value that persisted until the use of silver for coinage was discontinued in 1970.

Some numismatists choose to accumulate their collection on the basis of a coin's metallurgical composition, such as only collecting gold, silver, copper, or platinum coins. For these collectors especially, accurately knowing the chemical make-up of a coin is important to verify authenticity. However, a main concern for collectors is that many analytical techniques applicable for analysis of coins are unacceptably destructive which lowers the value of the coin.

Laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS) has successfully been applied to the analysis of coins without significant damage and at very low detection limits for trace elements. Unfortunately, this technique requires specialized training and involves expensive instrumentation. Laser-induced breakdown

spectroscopy (LIBS) has the potential to distinguish coins based on their chemical composition and trace elements more quickly and cost effectively, without causing considerable damage. Coins from the United States and foreign mints, dating predominantly from the mid-nineteenth to mid-twentieth centuries and containing varying amounts of silver (Figure 1), were studied using an RT100-HP LIBS instrument

Discrimination of Biological and Chemical Threat Simulants in Residue Mixtures on Multiple Substrates

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Laser-induced breakdown spectroscopy (LIBS) is a promising technique for detection of chemical and biological warfare agents in the field by military or first responder personnel. LIBS can be used to probe both the organic and inorganic constituents of solid/liquid/aerosol samples in realtime with no sample preparation, and therefore has the potential to serve as an early warning system to trigger analysis by confirmatory, but more time-consuming sensors. Our laboratory, as well as many others, has generated increasingly promising results with regards to chemical and biohazard detection and identification.¹⁻³ Much of the progress is due to the improvements in the use of advanced chemometric techniques, which have converted LIBS from just an "elemental detector" to a versatile molecular and biomaterial sensor.

Residue samples of biological and chemical threat simulants were prepared on polycarbonate, stainless steel, and aluminum foil by Battelle Eastern Science and Technology Center. LIBS spectra were collected by Battelle on a LIBS Pelicase (PL100-GEO) instrument developed by A3 Technologies. In this paper, the chemometric analysis of the Battelle data at the U.S. Army Research Laboratory (ARL) will be presented. The simulant samples tested include *Bacillus atropheus* spores, *Escherichia coli*, MS-2 bacteriophage, α -Hemolysin from *Staphylococcus aureus*, 2-chloroethyl ethyl sulfide (CEES), and dimethyl methylphosphonate (DMMP). Luria broth, Phosphate Buffered Saline (PSA) with 1% Bovine Serum Albumin (BSA) and Chloroform served as inoculation controls. Models based on partial least squares discriminant analysis (PLSDA) were developed based on the full broadband spectra and on input variables derived from only the relevant emission lines. The performance of the two types of models for individual substrates and multiple substrates will be compared.

In addition, mixtures of the simulants and controls with two interferents, dolomitic limestone and ovalbumin, were tested against the models.

ASTM-Symposium on Surface and Dermal Sampling. October 14-15. San Antonio. Texas. 2010.

Semi-Qualitative Beryllium analysis by Laser Induced Breakdown Spectroscopy (LIBS)

Jhanis J. Gonzalez, Jong Yoo, Chunyi Liu, and Richard E. Russo.

Applied Spectra, Inc. Fremont. CA, 94538

In recent years, there has been an increased awareness in the health risks associated with toxic metal species as Cadmium (Cd), Lead (Pb), Mercury (Hg), and Beryllium (Be). Due to increasing health risk associated with a certain class of toxic elements, the U.S. Occupational Safety and Health Administration (OSHA) has set a maximum legal limit for employees at the work place to be exposed to these chemical substances. A simple and effective analytical method for both qualitative and quantitative analysis of these toxic metals in ambient air, on surfaces, and in bulk materials is increasingly sought after by health policy regulators, health professionals as well as analytical service labs. In particular, laser ablation as a method to perform solid sampling has received tremendous interest due to its ability to analyze diverse samples without laborious acid dissolution process and generation of toxic acid waste. LIBS (Laser Induced Breakdown Spectroscopy), which is based on emission spectroscopy of the laser ablation generated plasma, has been studied and developed for trace element analysis in various types of solid materials as a rapid, *in situ*, multi-elemental technique.

The focus of the current work is to develop LIBS for the detection of Beryllium (Be). It has been documented that the inhalation of Beryllium-containing dusts can produce a direct corrosive effect on healthy tissues and may cause a chronic, life-threatening allergic disease called Berylliosis. A test method for determining Beryllium content in soils, rocks, sediments, and fly ashes has been developed by the American Society for Testing and Materials (ASTM) using Ammonium Bifluoride extraction and fluorescence detection¹. However, one main drawback of such approach for Be analysis is the

laborious and time-consuming sample preparation steps, in addition to the generation of chemical waste from processing samples. LIBS has been tested as an analytical method for determination of Be in air² and in particle filters³. The results of these studies indicated that LIBS is an appropriate technique for both qualitative and quantitative determination of Be if an appropriate sampling protocol can be developed.

In the current study, the use of commercial LIBS system (RT100-HP, Applied Spectra, Inc., Fremont, CA) was assessed as a screening tool for quick identification of the surface Beryllium (Be) presence above the controlled limit. The surface areas of potential Be contamination were swiped with cotton swabs to collect Be particles. The swabs were then analyzed with a certain set of laser ablation sampling pattern using RT100-HP LIBS instrument. The goal was to determine whether Be signal can be detected from these samples and subsequent correlation of LIBS intensity can be made with concentration values obtained from ICP-OES analysis. A special attention was paid to developing a proper LIBS sampling protocol and data reduction scheme to differentiate samples that has Be concentration above the OSHA limit from those that are safely below it. Along with optimization of laser and detection parameters, other important sampling parameters for establishing LIBS as an effective Be screening method include the pattern of sampled locations on the swab surface, signal averaging scheme, and a number of laser pulses applied per sampled spot.

Appendix (4)

ASI Patents

1. COMBINATION LIBS AND RAMAN ABLATION SYSTEM (Standoff Apparatus)
2. COMBINATION LIBS AND RAMAN ABLATION DATA COLLECTION SYSTEM AND METHOD

Disclosure No: 61/321678

Inventors:

Jong Hyun Yoo

Chunyi Liu

Alexander A. Bol'shakov