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piezoimmunosensors and molecular beacons for the detection of GSH have been designed and tested. The neural network analysis of sensor signals from the same type of sensors has been developed and will be applied to the analysis sensor arrays during the third year of the Project.						
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Introduction

During the second year of this Project, we have investigated interactions of biomarkers of oxidative stress to be utilized in sensors for testing autism biomarkers and diagnose environmental conditions requiring preventive or medical intervention to control the development of the disease. The interactions of oxidative stress biomarkers with various dye molecules, including fluorone black and coumarin derivatives, monochlorobimane, molecular beacons, and antibodies were investigated in order to explore possible venues for designing enhanced sensors for these biomarkers. This was followed by the development of several sensor types and testing their performance. Printed circuits were developed for single microsensor chips and for sensor arrays. The oxidative stress biomarkers, including glutathione (GSH), cysteine (Cys), and homocysteine (Hcys), were also studied in processes of ligand exchange in core-shell gold nanoparticles [1-3] for application in novel assays for GSH, cysteine and homocysteine, as well as for the enhancement of sensor sensitivity due to the improved electrocatalytic activity and increased real surface area. Studies of the ligand exchanges in GSH-Hcys-capped gold nanoparticles [3] have shown interesting kinetic effects and pH dependence enabling for their analytical determinations [2]. On the other hand, by adsorbing fluorosurfactant on a gold surface, homocysteine and cysteine could be analyzed [1]. By considering detailed potential distribution in sensory films, we have made an attempt to design a novel anti-GSH monoclonal antibody based sensor with positive potential barrier. This type of sensor can be tested in piezoimmunosensing, as well as the redox-probe voltammetric framework. The sensor development studies were paralleled with efforts to miniaturize sensor chips and gaining experience with operation of sensor arrays.

Body

The investigations carried out during the second year of the Project involved continuation of studies on several sensing platforms commenced during the first year, as well as new studies on electrocatalytic materials for application in sensors for oxidative stress biomarkers. These investigations were followed by developmental work on designing microsensor chips, testing the microsensors, and miniaturization studies using microsensor arrays.

Since the interactions of oxidative stress biomarkers with other molecules, electrocatalysts surfaces and nanoparticles are the key factor in analytical platform development and signal transduction, our studies involved the investigation of interactions of glutathione, cysteine and homocysteine with dye molecules, conductive polymers, different electrode surfaces including various forms of carbon, gold, and metal alloys, as well as with ligands in core-shell nanoparticle microenvironment framework. Among the dyes that interact with GSH, we have studied: (i) derivatives of fluorone black (FB) due to the electrochemical activity of FB and possible electron mediation of the GSH oxidation process, (ii) coumarin (CM) derivatives due to the possible effect of GSH and GSSG on the reduction waves of various coumarins, and (iii) monochlorobimane (MCB) due to the kinetic effects associated with slow binding of GSH to MCB and high specificity of this reaction. While the interactions of GSH, Cys, and Hcys with these dyes may be best studied using optical techniques such as the absorbance, fluorescence, and resonance elastic light scattering spectroscopies, the sensor designs may involve electrochemical, impedance, piezometric, or optical techniques. Hence, comprehensive studies are necessary to evaluate the optimum approach. The interaction of oxidative stress biomarkers with dyes are generally characterized with relatively low binding constant. A dramatical increase of the binding characteristics comes with the application of antibodies and other biorecognition systems. We have investigated biosensors based on anti-GSH monoclonal antibody and on molecular beacons. Both these platforms show high sensitivity that comes in cost of reduced durability and expensive reagents. The molecular beacon that we have developed responds to GSH and Cys down to 10⁻⁸ M concentration and could be utilized for the analysis of plasma samples to diagnose GSH deficiency.

In the report, we show also the microsensor chip circuit and sensor array circuit that will be used for further analysis. Initial experimental work with these new sensors has been described and it will be expended during the third year of the Project. <u>Aim 1</u>: Design EQCN sensors responsive to GSH, GSH+GSSG, and thioaminoacids to determine and monitor the redox potential in biological samples as a potential biomarker for autism.

- a) design and testing of polyclonal antibody sensors responsive to GSH + GSSG using electrochemical Quartz crystal nanobalance (EQCN) and quartz crystal immittance (QCI) techniques (Months 1-12); N/A
- b) design and testing of monoclonal anti-GSH antibody sensors using QCI and AFM techniques (Months 13-24);

The GSH immunosensors based on a rabbit monoclonal anti-GSH antibody were designed utilizing experiences gained in year 1 of the Project while constructing mouse polyclonal anti-GSH antibody. Two types of immunosensors were prepared: (i) sensors with positively charge basal SAM film with aminohexane thiol (AHT) and (ii) sensors with negatively charged basal film in the form of deprotonated GSH-SAM. The sensor surface was imaged using the atomic force microscopy (AFM) to evaluate uniformity of surface coating. The sensors were examined by quartz crystal immittance (QCI) spectroscopy and the resonance frequency changes were related to the apparent mass changes that were measured using the electrochemical quartz crystal nanobalance (EQCN) which is an integral part of the QCI instrumentation (EQCN-930). The details of the sensor design and the applied procedures are described below.

Preparation of immunosensors:

<u>Type 1 sensor: $QC/Au/AHT/Ab_{mono}/BSA.</u> The aminohexanethiol (AHT) was$ immobilized on a fresh quartz crystal Au-electrode by immersing the electrode in3.2 mM AHT ethanolic solution for 75 min. After washing with PBS buffer, theantibody molecules were attached via amide bonds to a self-assembled monolayer of $AHT by incubating the electrode in 6.7 <math>\mu$ M anti-GSH monoclonal antibody for 75 min. The carboxylic groups of anti-GSH antibody were activated by 0.033 M EDC. A BSA solution (0.001%) was then applied for 60 min to adsorb BSA and block nonspecific binding sites. The biosensor was rinsed with 50 mM PBS buffer and used for testing the antibody-antigen interactions using free GSH or GSH-capped AuNP as the analyte. For the control experiments, the electrodes were prepared without antibody. Voltammetric measurements were performed in 1 mM K₃Fe(CN)₆ and 1 mM Ru[(NH)₃]₆ in phosphate buffer saline solution (PBS) consisting of 0.15 M NaCl, 2 mM KCl, and 50 mM phosphate buffer pH = 7.4.</u>

<u>Type II sensor: $QC/Au/GSH/Ab_{poli}/GSH-AuNP.</u> A quartz crystal piezoelectrode was$ immersed in a 5 mM glutathione (GSH) solution for 20 min. Then, a solution of 58 $<math>\mu$ M anti-GSH antibody (1:2000 dilution of original solution) with carboxylic groups activated by 0.033 M EDC was added to bind covalently the IgG to AHT-SAM and form the recognition layer. The biosensor was tested for the detection of GSHcapped AuNP. For the control experiments, electrodes without antibody layer were used. Voltammetric measurements were performed in 4 mM Fe(CN)₆⁻³ in 1M KNO₃, pH = 6.74. This sensor, designed and tested for the sake of comparison with the positive barrier sensor, responds also to GSH-capped AuNP but shows lower sensitivity than the type I sensor. Therefore, we do not include any further details on the negative barrier sensor in this report. They will be included in the paper which is under preparation for publication.</u>

Results and Discussion *Design of positive potential-barrier immunosensor for GSH*

The immunosensor designed in this investigation is depicted in Scheme 1. The anti-GSH antibody molecules were immobilized on positive potential-barrier SAM of aminohexanethiol (AHT). For the attachment of proteins, the SAMs with functional groups such as NH₂, COOH, OH are suitable [4-6]. The accessibility of binding sites for the analyte epitope at the top branches of the Y shaped antibody molecules is the key element in the sensor response. In this work, the anti-GSH antibody molecules were immobilized on an AHT SAM adsorbed on gold piezoelectrode via amide bonds between carboxylic groups of the Fc stem of an Ab and amine groups of the thiol. The carboxylic groups of Ab were activated with EDC reagent. In this work, the IgG molecules were covalently attached through the amide bond formation to – NH₂ groups of the basal SAM. Because of the absence of carboxyl groups in the Fab recognition arms of IgG, the amide bond formation leads to the favorite orientational bonding of IgG molecules in the sensory film. To control nonspecific binding, the electrodes were incubated with 0.001% BSA solution in 0.1M PBS pH 7.4. The main goal of this work was to evaluate electrostatic interactions in the sensory film based on the AHT basal SAM. The analyte solutions in the form of a free GSH or GSH-capped gold nanoparticle (AuNP@GSH) solution were added to investigate the antigen-antibody interactions.



Scheme 1. The design of an electrochemical and nanogravimetric immunosensor with positive potential barrier for the detection of glutathione-capped AuNP.

Nanogravimetric monitoring of immunosensor construction

Each step of the modification of a gold piezoelectrode was monitored by the electrochemical quartz crystal nanogravimetry to confirm binding of molecules and the structure build up on a gold electrode.

The adsorption of AHT from ethanolic solution results in a multilayer film formed on a gold surface. After washing the excess of AHT in ethanol and water, the amount of adsorbed AHT was estimated from the net mass change: $\Delta m = 32.9$ (25.4) ng/QC corresponding to mass density $m_{AHT} = 128.9$ (99.7) ng/cm² and surface coverage: $\gamma_{AHT} = 0.75$ nmol/cm² ($\Gamma_{AHT} = 4.52 \times 10^{14}$ molec/cm²; adsorption of AHT.HCl is assumed, as necessary for the charge balance) and independently, from the charge Q_{des} required for the reductive desorption of AHT taking place during the potential scan from -0.4 V to -1.2 V in alkaline solution: $q_{des} = 18.0 \,\mu\text{C/QC}$ ($Q_{des} = 70.4 \,\mu\text{C/cm}^2$) corresponding to surface excess: $\gamma_{AHT} = 0.73 \,\text{nmol/cm}^2$ ($\Gamma_{AHT} = 4.40 \times 10^{14} \,\text{molec/cm}^2$), assuming one-electron transfer cathodic process: Au-S(CH₂)₆NH₃⁺ + H⁺ + e⁻ = Au + HS(CH₂)₆NH₃⁺. These values compare favorably with theoretical charge and coverage for linear alkane thiols: $Q_{des} = 74.1 \,\mu\text{C/cm}^2$, $\gamma_{AHT} = 0.768 \,\text{nmol/cm}^2$, $\Gamma_{AHT} = 4.62 \times 10^{14} \,\text{molec/cm}^2$ [7, 8].

In a typical nanogravimetric mass transient, recorded after the injection of monoclonal anti-GSH antibody solution (10 µL of 1 mg/mL IgG solution to 1 mL of PBS + 0.5 mL of 0.1 M EDC; final concentration of IgG: 6.67 μ g/mL), the total resonant frequency shift $\Delta f = 370$ Hz (corresponding to apparent mass increase Δm = 321 ng/QC) is observed. To evaluate the maximum surface coverage by IgG molecules, the following X-ray derived dimensions of the four-peptide chain Yshaped IgG molecule have been taken into account: the two identical antigen binding Fab arms with dimensions 6.5 nm by 3.5 nm and the inactive Fc shrank with dimensions 5 nm by 3.5 nm [9]. Hence, the theoretical surface coverage by IgG is obtained: $\gamma_{gG,theo} = 4.31 \text{ pmol/cm}^2$ ($\Gamma_{IgG,theor} = 2.60 \times 10^{12} \text{ molec/cm}^2$) and the mass of a monolayer of IgG is $m_{IgG,theor} = 629.7$ ng/cm², corresponding to the amount od IgG adsorbed on a QC/Au surface: $\Delta m = 160.6$ ng/QC, based on the molecular mass $M_{\rm Ab}$ = 146 kDa (subgroup IgG2). Taking into account the apparent mass amplification known for other antibody films which is due to the incorporation of solvent in IgG films, this result likely indicates on a full coverage of the electrode surface by IgG molecules, $\theta \approx 1$. This is supported by control experiments with higher concentration of IgG in which no further mass increases were observed. From our experiments, it follows that the mass-percent of water in IgG film is 49.9% (i.e. 160.4 ng of water and 160.6 ng of IgG) and the volume-percent of water is even higher, as it approaches 71% (based on the mass of pure water film, 11.5 nm thick, equal 225.4 ng/QC).

To control nonspecific binding, the electrodes were incubated in a BSA solution. The experimental apparent mass change observed during incubation was $\Delta m = 52.9$ ng, which corresponds to a mass density $m_{BSA} = 207.4 \text{ ng/cm}^2$ and surface coverage: $\gamma_{BSA} = 3.01 \text{ pmol/cm}^2$ ($\Gamma_{BSA} = 1.81 \times 10^{12} \text{ molec/cm}^2$). This surface coverage is lower than that calculated for theoretical dense packing coverage for vertical orientation of BSA [10]: $\gamma_{\text{BSA,theo}} = 5.49 \text{ pmol/cm}^2$ ($\Gamma_{\text{BSA,theor}} = 3.31 \times 10^{12} \text{ molec/cm}^2$, $m_{\text{BSA,theor}} =$ 378.8 ng/cm²) and that for horizontal orientation: $\gamma_{BSA,theo} = 3.35 \text{ pmol/cm}^2$ ($\Gamma_{BSA,theor}$ = 2.02×10^{12} molec/cm², $m_{BSA,theor}$ = 231.5 ng/cm²), based on molecular dimensions: 5.5 x 5.5 x 9 nm³ [11]). It is also lower than experimentally measured saturation coverage of BSA on citrate-coated Au surface: $\gamma_{BSA,theo} = 6.14 \text{ pmol/cm}^2$ ($\Gamma_{BSA,theor} =$ 3.31x10¹² molec/cm², $m_{BSA,theor} = 378.8 \text{ ng/cm}^2$) and a bare Au electrode: $\gamma_{BSA,theo} =$ 15.3 pmol/cm² ($\Gamma_{\text{BSA,theor}} = 9.20 \times 10^{12} \text{ molec/cm}^2$, $m_{\text{BSA,theor}} = 1054 \text{ ng/cm}^2$), measured recently by Brewer et al. [12]. The latter value was likely due to the multilayer film formation and change in viscoelastic properties which becomes non-negligible for multilayer protein films. In contrast, in our experiments, the BSA coverage is only: $\theta = 0.55$ (in equivalent BSA monolayers with vertical orientation). The coverage lower than the equivalent monolayer is expected since BSA only fills the gaps between IgG molecules. The BSA molecules cannot replace IgG molecules since they

are covalently bound to the anchored AHT SAM. The coverages cited above are considered for the sake of comparison only since the exact evaluation would require additional information concerning conformation changes of IgG resulting in film thickness increase, changes in water content in the film, as well as changes in the film viscoelastic properties, if any. However, it is clear that the measurement sensitivity is sufficient for monitoring the amount of components immobilized on the sensor surface and establishing a protocol for rejecting sensors that fall outside of the preset apparent mass ranges. For the purpose of this work, the apparent mass variation within $\pm 10\%$ were assumed and found not to influence considerably the general characteristics of films and their behavior.



Figure 1. *Left panel*: Changes of apparent mass vs. time during binding of: (1) glutathione and (2) glutathione-capped AuNP, on a AuQC/AHT/Ab_{mono}/BSA modified gold piezoelectrode. *Right panel*: Dependence of apparent mass change vs. AuNP@GSH concentration for a QC/Au/AET/BSA,Ab_{mono} sensor in 50 mM PBS, with surface regeneration in 0.2 M glycine solution, pH = 3, after each test.

The IgG used in this study was a monoclonal mouse antibody (Ab_{mono}) that is specific to glutathione. Figure 3 presents the apparent mass response of the AuQC/AHT/Ab_{mono}/BSA modified piezosensor immersed in: (1) GSH solution and (2) AuNP@GSH solution. As illustrated in Figure 1 (left panel), the Ab_{mono} shows higher affinity towards glutathione-capped gold nanoparticles than glutathione alone. The lower immunoreactivity in the latter case clearly indicates that GSH itself does not have the sufficient size and structural complexity to induce the formation of Ab_{mono} with very high affinity toward small GSH antigen (Amara et al. [13]).

For the immunosensor regeneration, we have found that washing the sensors with an aqueous 0.2 M solution of glycine, pH 3.04, and rinsing with PBS buffer provides satisfactory results. Similar procedure was recently adopted by Wasowicz et al. [14]. The apparent mass change vs. AuNP@GSH concentration plot for a QC/Au/AHT/Ab_{mono} piezoimmunosensor, with surface regenerated with glycine solution after each test, is presented in Figure 3 (right panel). The calibration plot is fitted by the least-square fitting routine to give a straight line:

 $\Delta m = a + b C_{AuNP@GSH},$

with intercept a = 2.97 ng and slope b = 63.8 ng/nM (the nanoparticle concentration is given in nM).

<u>A "molecular beacon" based fluorescent assay for selective detection of glutathione</u> and cysteine

Molecular beacons are composed of a single-stranded oligonucleotide with selfcomplementary 5' and 3' ends that can self-hybridize (Scheme 2). In the absence of a target, it forms a stem-loop structure that brings a fluorophore/quencher pair, attached to the ends of the DNA strand, into close proximity, reducing fluorescence emission. Once the single stranded loop portion of the molecular beacon hybridizes to the target, the stem melts and the resulting spatial separation of the fluorophore from the quencher leads to an enhancement in fluorescence. We have investigated a fluorescence turn-on "molecular beacon" probe for the detection of glutathione (GSH) and cysteine (Cys). The method was based on a competitive ligation of Hg^{2+} ions by GSH/Cys and thymine-thymine (T-T) mismatches in a DNA strand of the self-hybridizing. (Scheme 2).



Scheme 2. The mechanism of turning "on" the molecular beacon by addition of GSH/Cys caused by extraction of Hg^{2+} ions from the MB stem and separation of the fluorophore 6-FAM (orange) from the quencher DABCYL (blue).

The molecular beacon that we have developed responds to GSH and Cys with nanomolar sensitivity (Figure 2). 5×10^{-9} M GSH/Cys can induce measurable fluorescence signal, indicating that the present method can successfully detect the GSH/Cys with high sensitivity. At the same conditions, very little change of the fluorescence intensity was observed upon addition of other amino acids (Figure 602d).



Figure 2. Fluorescence emission spectra for different concentrations of GSH (a) and Cys (c); (b,c) dependence of $I_{\rm FL}$ vs (b) $C_{\rm GSH}$ or (c) $C_{\rm Cys}$, (e) influence of different amino acids and GSH on fluorescence emission spectrum of MB/Hg²⁺, [DNA] = [Hg²⁺] = 1×10⁻⁷ M, measurements after 15 min at 52°C; [MB] = [Hg²⁺] = 1×10⁻⁷ M; [amino acid] = 2×10⁻⁷ M.

c) study of high density immobilized fluorone analogue GSH EQCN sensors (Months 1-6); N/A

d) investigations of electrochemical reactivity of coumarins and design of coumarin analogue EQCN sensors responding to GSH and thioaminoacids (Months 7-18);

We have continued the investigations of fluorescence emission and electrochemical reactivity of coumarin dyes. The focus of this study was on the interactions of coumarins with gold nanoparticles (AuNP) and in particular, on the energy transfer between coumarin dye molecules acting as the donor and AuNP acting as the acceptor. We have considered several derivatives of the main coumarine dye (Scheme 3). The behavior of coumarin dyes and their interactions with biomarkers of oxidative stress and gold nanoparticle carriers have been studied (Figures 3-5). The characteristics obtained are utilized in the development of sensor arrays for biomarkers of oxidative stress in year 3 of the Project. The interactions of AuNP with coumarins are important for the development of assays for GSH and homocysteine based on the accumulation of these biomolecules on the nanoparticle surface which we have studied extensively during the first two years of the Project.



Basic coumarin dye



6,8-Dibromocoumarin-3-arboxylic



Coumarin 7

Coumarin 1









Scheme 3. Coumarin dyes.



Coumarin 120



Figure 3. Effect of AuNP_{5nm} on fluorescence spectrum of coumarin 4: (1) 166.7 μ M coumarin 4, (2) 166.7 μ M coumarin 4 + 3.8 nM AuNP_{5nm}. and (3) emission spectrum of 3.8 nM AuNP_{5nm}. Excitation wavelength: $\lambda_{ex} = 363$ nm.



Figure 4. Fluorescence spectra for AuNP_{5nm} in the presence of 50 μ M coumarin 4; AuNP concentration [nM]: (1) 0, (2) 0.63, (3) 1.27, (4) 1.9, (5) 2.53, (6) 3.17, (7) 3.8, (8) 4.43, (9) 5.07, (10) 6.33, (11) 6.97. Excitation wavelength: (left panel) $\lambda_{ex} = 363$ nm; (right panel) dependence of F_0/F vs. $C_{AuNP,5nm}$ for: (1) excitation wavelength λ_{ex} = 363 nm and (2) $\lambda_{ex} = 355$ nm.



Figure 5. Fluorescence emission behavior of Coumarine 7 in the presence of AuNP. *Upper-left panel*: Effect of AuNP_{5nm} on absorbance spectrum of coumarin 7: (1) 5 μ M coumarin 7, (2) 9 nM AuNP_{5nm}, (3) 9 nM AuNP_{5nm}+ 5 μ M coumarin 7, (3-2) difference spectrum of (3) minus (2).

Upper-right panel: Normalized absorbance (1) and fluorescence (2) spectra of coumarin 7, $C_{\text{coumarin7}} = 5 \ \mu\text{M}$ and 500 nM respectively for absorbance and fluorescence measurements. (3) Absorbance spectrum of 9 nM AuNP_{5nm}

Lower-left panel: (a) Absorbance spectra for different concentrations of AuNP_{5nm} in the presence of 2.5 μ M coumarin 7; AuNP_{5nm} concentration, C_{AuNP} [nM]: (1) 0, (2) 0.253, (3) 0.507, (4) 0.76, (5) 1.013, (6) 1.27, (7) 1.52, (8) 1.77, (9) 2.28, (10) 2.53, (11) 3.04, (12) 3.55, (13) 4.05, Inset: Dependence of $A_{535 \text{ nm}}$ vs. C_{AuNP} .

Lower-right panel: (a) Absorbance spectra of Coumarin 7 in the presence of 3.8 nM spherical gold nanoparticles AuNP_{5nm}, $C_{\text{coumarin 7}}$ [µM]: (1) 0, (2) 0.1, (3) 0.5, (4) 0.75, (5) 1.0, (6) 1.5, (7) 1.75, (8) 2, (9) 2.5, Inset: dependence of λ_{max} vs. $C_{\text{coumarin 7}}$.

The results are in preparation for publication. The investigations of coumarin reactivity and energy transfer will continue during the year 3 of the Project in the development of sensor arrays for biomarkers of oxidative stress.

e) testing of 20 samples, 1 mL extracts, from Projects 1 and 2 using the above sensors to check for matrix effects (Months 18-24);

The plasma samples were obtained from the University of Arkansas and Arkansas Children Hospital research group of Professor J. James. The samples were tested for the matrix effects in analytical determination of GSH levels. The tests were performed in two groups; (i) in the first group, proteins from plasma samples were removed by precipitation with 5-sulfosalicylic acid (SSA), which is recommended for the removal of proteins from sample solutions and for the prevention of GSH oxidation, followed by centrifugation at 8,000 rpm (10 min), and collecting supernatant for further analyses; (ii) in the second group, plasma samples were used as received to obtain information on the influence of proteins, mostly human serum albumin (HSA), on the GSH analyses. The analyses were performed using fluorescence spectroscopy, immunosensors and dye-modified glassy carbon electrodes. The matrix effects have been evaluated using standard addition method and calibration curves in pure buffer solutions. In Figures 6 and 7, examples of the standard addition method applied to a plasma sample and comparison of calibrations with pure buffer and plasma are presented.



Figure 6. Determination of GSH in a plasma sample by standard addition method; MCB concentration: 33 μ M, glutathione S-transferase (GST) concentration: 10 μ g/mL, $\lambda_{ex} = 370$ nm, $\lambda_{em} = 483$ nm, plasma dilution: 10x, 20 mM PBS buffer, pH = 7.4.



Figure 7. Effect of plasma matrix on calibration curve for GSH: (1) calibration in 20 mM PBS buffer, (2) calibration curve in 20 mM PBS in the presence of plasma, obtained from a standard addition experiment after shifting the concentration scale to account for the GSH concentration in plasma sample; $C_{\rm MCB} = 330 \ \mu$ M, GSH S-transferase concentration: 1 mg/mL, $\lambda_{\rm ex} = 370 \ {\rm nm}$, $\lambda_{\rm em} = 483 \ {\rm nm}$.

The evaluation of matrix effects introduced by the presence of blood plasma components was performed by utilizing the data from standard-addition experiments. An example of the comparison with the calibration curve obtained in 20 mM PBS buffer was made by shifting the concentration scale in the standard addition experiment graph to account for the GSH concentration in plasma sample. This comparison, presented in Figure 7, indicates that in this example, the ordinate intercept is lowered by the plasma from 12.7 to 10.6 a.u., or 15.5%. The slope of the calibration curve is decreased from 0.1762 to 0.1492, or 15.3%, in the presence of plasma.

Due to specificity of each analytical determination methods, the matrix effects have to be evaluated separately for each sensor type included in the integrated array analysis system. This work is routinely done while developing each new sensor and the applied determination technique. <u>Aim 2</u>: Design a biosensor chip composed of an artificial neural network of nanosensors with immobilized different host molecules providing redox potential information that can be utilized in determining potential vulnerability to autism.

a) construction of fluorone derivative microsensors and testing their performance (Months 12-18);

Several sensors incorporating xanthene fused triple-ring moiety have constructed and tested. The rigid xanthene group is responsible for fluorescent properties of many dyes, including fluorone, fluorescein, pyronine, rhodamine B [15], and their derivatives. The monochlorobimane (MCB) dye is also included here because of the specificity of the interaction of MCB with GSH.

<u>Experimental</u>

Apparatus. The fluorescence spectra were recorded using LS55 Spectrometer (Perkin Elmer, Waltham, MA, U.S.A.) equipped with 20 kW Xenon light source operating in 8 µs pulsing mode. Separate monochromators for the incident beam and the detector beam enabled to use monochromatic radiation with wavelengths from 350 nm to 700 nm. The dual detector system consisted of a photomultiplier tube (PMT) and an avalanche photodiode. The UV-Vis spectra were recorded using Varian Cary 50 Bio, UV-Visible Spectrophotometer (Varian Inc.) in the range from 200 nm to 800 nm at room temperature. Isothermal calorimetry titrations were performed using The ITC Nano manufactured by TA Instruments, (Lindon, UT, U.S.A.). The reference cell was filled with water. The MCB in phosphate buffer was loaded into a 360 µL volume of sample cell of the calorimeter and GSH in the same buffer was placed in a 50 µL syringe. The system was allowed to equilibrate and a stable base line was recorded before initiating and finishing an automated titration through 300 s. The titration experiment consisted of 16 injections of 3 µL each into the sample cell with 3600 s intervals between injections. The sample cell was stirred at 300 rpm and the temperature of the system was maintained at 25 °C. voltammetric measurements were recorded using Model The PS-205B Potentiostat/Galvanostat and Electrochemical Quartz Crystal Nanobalance, Model EQCN-700 (Elchema, U.S.A.) with a Data Logger and Control System, Model DAO-716v, operating under Voltscan 5.0 data acquisition and processing software. A double-junction saturated (KCl) Ag/AgCl electrode (Elchema) was used as the reference electrode, Pt wire (Elchema) as the counter electrode and glassy carbon electrode (GCE, Elchema) was used as working electrodes.

Quantum mechanical calculations of electronic structures for fluorone black were performed using modified Hartree-Fock methods with 6-31G* basis set and pseudopotentials, semi-empirical PM3 method, and density functional theory (DFT) with B3LYP functional. The molecular dynamics simulations and quantum mechanical calculations were carried out using procedures embedded in Wavefunction (Irvine, CA, U.S.A.) Spartan 6. The electron density and local density of states (LDOS) are expressed in atomic units, au⁻³, where 1 au = 0.529157 Å and 1 au⁻³ = 6.749108 Å⁻³.

GSH Sensors Based on Fluorone-Modified Electrodes

A glassy carbon substrate immersed in a solution containing 100 μ M fluorone in phosphate buffer solution (PBS), pH = 7.4, in 30:70 water:methanol solvent exhibits a well-defined electrooxidation wave beginning at E = 100 mV and with a peak potential at $E_{pa} = 201$ mV. This wave is irreversible and there is virtually no back reduction observed. However, during the subsequent cycles, the oxidation wave of fluorone increases indicating that fluorone undergoes a slow adsorption on the glassy carbon surface. This is illustrated in Figure 8.



Figure 8. Cyclic voltammograms for a glassy carbon electrode in 100 μ M FB solution: (1) immediately after immersion of a freshly polished electrode and (2) after 3 min of GC exposure to FB solution; 20 mM phosphate buffer (water:methanol = 30:70), pH = 7.4.

The integration of the amount of charge consumed during the initial voltammogram and that after fluorone adsorption leads to the value $\Delta Q_{an} = 132.5 \,\mu\text{C/cm}^2$. Assuming that no adsorption has taken place immediately after immersing the electrode in solution, we can ascribe the value of ΔQ_{an} to the oxidation of adsorbed fluorone black. This value can be compared with the theoretical monolayer charge for densely packed fluorone black film with 3e exchanged in oxidation of 3 OH groups of fluorone black to quinone groups. The theoretical values for different orientations of FB are presented in Table I. They were calculated based on the dimensions of FB molecule obtained from a molecular dynamics (MD) and quantum mechanical (QM) calculations of FB structure and electron density distribution. These dimensions are: *length* = 1.285 nm, *height* = 1.209 nm, and *width* = 0.300 nm.

Variable	Units	Orientation			
		Horizontal	Side-on	Vertical	
A	nm ²	1.554185	0.38559	0.36276	
$\Gamma_{ m mono}$	molec/cm ²	6.43E+13	2.59E+14	2.76E+14	
Ymono	nmol/cm ²	0.1069	0.4308	0.4579	
m _{mono}	ng/cm ²	34.23	137.99	146.67	
Q _{mono} **	$\mu C/cm^2$	30.9	124.7	132.5	

Table I. Surface coverage by fluorone black*

Symbols: A - area per molecule, Γ_{mono} - molecular surface coverage, γ_{mono} - molar surface coverage, m_{mono} - monolayer mass, Q_{mono} - monolayer charge.

* Based on MD and QM calculations using Wavefunction Spartan 6 and molecular dimensions: length = 1.285 nm, height = 1.209 nm, and width = 0.300 nm;

** $Q_{\text{mono}} = nF\gamma$, where n = 3, F = 96485 C/equiv.

After preadsorbing FB on the electrode surface, the injection of GSH to the solution results in the increase of the oxidation current in the region of FB wave, as illustrated in Figure 202 b). The shape of the wave remains unchanged which indicates that most likely GSH undergoes an FB-mediated oxidation before it can form adducts with FB by $S_N 2$ substitution in one of the positions 1, 4, 8, 5 in the adsorbed FB. The GSH oxidation is irreversible similar to the oxidation of FB. Subtraction of the waves 2 and 1 in Figure 202 a), leads to the difference voltammogram presented in Figure 202 b) which corresponds to the oxidation of GSH. The peak potential $E_{pa} = 210$ mV. Hence, there is a small difference $\Delta E_{pa} = 9$ mV between the E_{pa} values for FB and GSH. This is much less than that observed for high concentrations of GSH and when nucleophilic substitution $S_N 2$ is completed to form FB-GSH and FB-(GSH)₂ adducts.



Figure 9. (a) CV difference curve for CV of (1) GSH + FB and (2) FB alone, obtained for GC electrode with preadsorbed FB (3 min. in 100 μ M FB solution); 0.91 mM GSH, 20 mM PBS (water:methanol = 30:70), pH = 7.4. (b) original CV for (1) and (2).

As seen in Figure 9a, significant electrooxidation of GSH begins from E = 110 mV, but onset of the process is clearly visible already at E = -150 mV.

On the other hand, the FB-mediated electrooxidation of GSH is similar to that we observed for catechol-GSH mixtures, presented in Figure 10. Here, the addition of GSH also results in the increase of the oxidation peak with minimal anodic shift of the peak potential ($\Delta E_{pa} \approx 10 \text{ mV}$). The reduction peak the ortho-quinone is strongly suppressed by addition of GSH which indicates that it reacts very efficiently with GSH in a redox process in which the quinone moiety is reduced and GSH undergoes a nucleophilic S_N2 substitution.



Figure 10. Cyclic voltammograms for a GC electrode in: (1) 100 μ M catechol and (2) 100 μ M catechol + 750 μ M GSH, in 20 mM phosphate buffer, pH = 7.4.

In Figure 11, the voltammetric and nanogravimetric characteristics of a bare gold and GSH-capped (AuQC/GSH) piezoelectrodes in 100 μ M solution of fluorone black are presented. The cyclic voltammogram for an adsorbed fluorone black on a bare electrode shows three oxidation waves with peak potentials: $E_{an,1} = 0.174$, $E_{an,2} =$ 0.419, and $E_{an,3} = 0.654$ V and a reduction peak at $E_{cat} = 0.110$ V. These waves are associated with consecutive oxidation of the three functional -OH groups of the fluorone black. The increase of mass about 35 ng is due to the adsorption of phosphate ions and fluorone black molecules on the surface of the gold electrode (Figure 204a). In Figure 204b, the oxidation wave appears in the potential range from 245 to 478 mV. The changes of mass and current are smaller in comparison with those observed on a bare gold electrode. It indicates: (1) on the immobilization of glutathione on the electrode surface via strong Au-GSH bond formation and also (2) on the formation of FB-GSH adducts in solution.



Figure 11. Current-potential (1) and mass-potential (2) characteristic for AuQC electrode in 100 μ M FB solution (70% methanol + 30% phosphate buffer) in the absence (a) and presence (b) 0.4 mM GSH, recorded at scan rate 100 mV/s.

In Figure 12, typical apparent-mass change transient for the film formation on a QC/Au piezoelectrode is presented. For 33.3 μ M FB solution, the adsorption was completed in 35 min and the final mass change of 71.3 ng was observed.



Figure 12. Nanogravimetric transient for fluorone black adsorption on Au piezoelectrode from 33.3 μ M FB solution (70% methanol+30% phosphate buffer); 50 mM phosphate buffer, pH 7.4

<u>Resonace Elastic Light Scattering and Fluorescence Measurements for GSH Detection</u> with Fluorone Black and Monochlorobimane

The Resonance Elastic Light Scattering (RELS) and fluorescence measurements have been carried out for possible utilization of these techniques in GSH quantitation using multi-cell arrays. Since the sensor array is composed of a number of cells with printed sensor electrodes, some cells may be used to measure light scattering or fluorescence either from the sample solution or from sensory material. We have detected changes in light reflected from molecularly-template GSH sensors designed during year 1 of the Project. Here, we report on RELS and fluorescence measurements of fluorone black (FB) dye interacting with GSH, as well as the monochlorobimane (MCB) dye which binds specifically GSH.

<u>Fluorone Black.</u> The absorbance spectrum of FB solutions show small changes in the absorbance maximum structure in the wavelength range 350-500 nm, as illustrated in Figure 13. In the lower wavelength range 200-350 nm, there is clear additivity of absorbances of FB and GSH. The broad absorbance peak observed at ca. 450 nm for FB in aqueous solutions corresponds to two separate electron excitation processes which are well-separated when measurements are performed in pure methanol.



Figure 13. Absorbance spectra for: (1) FB, (2) GSH, (3) FB + GSH, (4) sum of spectra (1) and (2); $C_{\text{FB}} = 33.3 \,\mu\text{M}$, $C_{\text{GSH}} = 0.67 \,\text{mM}$, 20 mM PBS buffer pH = 7.4 (water:methanol = 70:30).

In order to evaluate the effect of GSH of this peak structure, we have calculated the difference spectrum between the sum of FB and GSH spectra and the experimental spectrum for a solution of FB + GSH. The difference spectra obtained for several concentrations of GSH and constant concentration of FB are presented in Figure 14. They show positive peak with $\lambda_{max} = 383$ nm and a negative peak with $\lambda_{max} = 458$ nm. This means that the addition of GSH causes a change in the electron excitation energy from 3.23 eV to 2.7 eV. The excitation energy change is then quite large and equals $\Delta E_{ex} = 0.53$ eV. The higher excitation energy is concomitant with lowering of the ground state energy, otherwise the adduct formation would not take place. To

estimate how much the ground state is downshifted, we invoke the electrochemical experiments recently published. The shift in the voltammetric peak current of FB upon addition of high concentration of GSH observed by Strongin et al. is on the order of 0.3 V. If one assumes that the ground state is shifted by ca. 0.3 eV then the adduct FB-GSH may not be stable in the excited state since the energy level of the excited FB alone would be lower than that of the adduct by 0.2 eV.



Figure 14. (a) Absorbance spectra for 33.3 μ M FB in the presence of GSH, C_{GSH} , mM: (1) 0, (2) 0.17. (3) 0.33, (4) 0.5, (5) 0.67; (b) Absorbance difference spectra for 33 μ M FB + *x* GSH solutions minus sum of the spectra for individual components, *x* [mM]: (1) 0.17, (2) 0.33, (3) 0.5, (4) 0.67; 20 mM PBS buffer pH = 7.4 (water : methanol = 70:30); (c) dependence of ΔA vs. C_{GSH} .

The RELS and fluorescence spectroscopy of FB solution upon addition of GSH reveals large changes in light scattering and very small changes in FB fluorescence. This is presented in Figure 15 for constant $C_{FB} = 3.33 \,\mu\text{M}$ and C_{GSH} changing from 0 to 833 μ M. The RELS intensity I_{sc} changes linearly with increasing concentration of GSH indicating on an increase of size and concentration of scattering particles. At the same time, the fluorescence of FB remains unchanged in a wide concentration range of FB, as illustrated in Figures 16a,b.



Figure 15. RELS and fluorescence spectra of 3.33 μ M FB + x GSH obtained for the excitation at $\lambda_{ex} = 450$ nm, *x* [μ M] from 0 to 833.



Figure 16. Dependence of RELS (a) and fluorescence (b) intensity on C_{GSH} obtained for FB + GSH solutions; $\lambda_{\text{ex}} = 450$ nm, $C_{\text{FB}} = 3.33 \,\mu\text{M}$, PBS, pH = 7.4

<u>Monochlorobimane.</u> The substitution of Cl atom in monochlorobimane (MCB) is very specific to GSH and thus it was studied for possible inclusion in oxidative stress biomarker sensor arrays. The absorbance and fluorescence measurements have been performed. The binding constant of GSH to MCB was determined by means of fluorescence and isothermal calorimetry titration (ITC) techniques.

recorded The **UV-Vis** spectra were for increasing concentration of monochlorobimane (MCB) from 0 to 20 μ M as illustrated in Figure 17a. The stock solutions of 1 mM monochlorobimane in 20 mM phosphate buffer pH 7 was used in these experiments. There is a major absorbance band observed with $\lambda_{max} = 395$ nm. The absorbance maximum increases linearly with C_{MCB} . The linear regression equation is: $A = 0.00617 C_{MCB}$ - 0.00107, with a correlation coefficient of R = 0.9989, where A is the absorbance, and C_{MCB} is the MCB concentration in [μ M] (Figure 17b).



Figure 17. (a) Absorbance spectra of monochlorobimane, recorded in 20 mM phosphate buffer pH 7.43, for C_{MCB} [µM]: (1) 0, (2) 2.5, (3) 5, (4) 7.5, (5) 10, (6) 12.5, (7) 15, (8) 17.5, (9) 20; (b) dependence of A_{max} vs. C_{MCB} .

It is well known that the essentially nonfluorescent halogenated bimane molecules form fluorescent GSH-bimane adducts with glutathione (1). Figure 18 shows the temporal evolution of fluorescence emission spectra for 0.33 mM MCB and 0.33 mM GSH in 20 mM phosphate buffer, pH 7.4 with excitation wavelength $\lambda_{ex} = 395$ nm and emission wavelength $\lambda_{em} = 485$ nm. Fluorescence maximum increases without reaching the saturation value during two hour experiments. Therefore, we can assume that process of conjugation of GSH with MCB has not completed. The major reactive species of GSH with MCB at pH = 7.4 is the anion. The GSH is negatively charged due to dissociated carboxylic groups (COO⁻) of glutamate and glycine residue aminoacids and protonated -amino group (-NH₃⁺) (pK₁ = 2.04 (glutamate-COOH), pK₂ = 3.4 (glycine-COOH), pK₃ = 8.72 (-SH group), pK₄ = 9.49 (- NH₂ group)).



Figure 18. (a) Temporal evolution of fluorescence emission intensity recorded after mixing monochlorobimane solution with GSH, in 20 mM phosphate buffer pH 7.43 in time; time, [min]: (1) 0, (2) 15, (3) 40, (4) 55, (5) 90, (6) 120; $\lambda_{ex} = 395$ nm, $\lambda_{em} = 485$ nm; $C_{MCB} = 330 \mu$ M, $C_{GSH} = 330 \mu$ M, final concentrations. (b) Dependence of I_{FL} vs. time.



Figure 19. (a) Fluorescence spectra for 330 μ M monochlorobimane solution after adding different concentrations of glutathione, measured after 50 min of the interaction time, $C_{\rm GSH}$ [μ M]: (1) 0, (2) 67, (3) 133, (4) 200, (5) 270 (6) 333; (b) dependence of $I_{\rm FL}$ vs. $C_{\rm GSH}$; inset: linear dependence for lower concentration range (0 - 333 μ M of GSH).

Figure 19 presents typical fluorescence spectra for MCB-GSH adducts at constant excitation wavelength $\lambda_{ex} = 395$ nm. The increase of concentration of GSH solutions results in the enhancement of emission spectrum of MCB. The fluorescence intensity I_{FL} increases from $I_{FL, min} = 14.72$ to the final value $I_{FL,final} = 181.95$ at the saturation established for $C_{GSH} > 1.67$ mM. The linear response was observed in the range from 0 to 0.33 mM concentration of GSH, with a correlation coefficient R = 0.995. In the full range of concentrations of GSH, the dependence of I_{FL} vs. C_{GSH} is sigmoidal with a Boltzmann function fitted to the experimental data:

 $I_{\rm FL} = A_2 + (A_1 - A_2) / (1 + \exp\{(C - C_{1/2}) / s\})$

where A_1 , A_2 - are the lower and higher I_{FL} , C is concentration, s is the slope parameter.

Mono(haloalkyl)bimanes react with glutathione to form a substitution product, monoalkylated glutathione (RSG) (2). Binding constant of GSH to MCB from fluorimetric measurements was determined as $1.43 \times 10^3 \text{ M}^{-1}$.

$$K = \frac{\left[C_{GSH-MCB}\right]}{\left[C_{free,GSH}\right]\left[C_{free,MCB}\right]}$$

where:

 $C_{\text{free,GSH}} = C_{\text{GSH}}^{-} C_{\text{GSH-MCB}}$

 $C_{\text{free,MCB}} = 0.33 \ ^{-}C_{\text{GSH-MCB}}$

To confirm the obtained value of the binding constant, microcalorimetric measurements were performed using and Isothermal Titration Calorimetry (ITC). A typical ITC profile for the binding of GSH to MCB using a Nano ITC is shown in Figure 20. It corresponds to the signal (heat) produced following each addition of GSH (stock solution 30 mM) to 4 mM MCB in 20 mM phosphate buffer at pH 7.43

with 16 aliquots (3 μ L each). The bottom panel in this figure shows the integration of the heats over the time course of the experiment. The heats (in μ J) integrated over each peak are plotted against the mole ratio of reduced GSH to MCB. From fitting of experimental data to a model of independent sites, the stoichiometry of the reaction was obtained as 1:1, the binging constant K = 1.31 x 10³, and the standard enthalpy changes Δ H = -106.4 kJ/mol. Thus, the reaction of GSH with MCB is exothermic.



Figure 20. Isothermal titration characteristic for binding of glutathione to monochlorobimane, in 20 mM phosphate buffer pH 7.43. The experiment consisted of 16 injections of 3 μ L each of a 30 mM GSH. GSH was injected into a sample cell (volume 185 μ L) containing 4 mM of MCB. Injections were at 1 h intervals.

Further efforts will be directed toward finding new catalysts and modifying surface morphology to form nanstructures that would be able to enhance the surface electrocatalytic properties toward GSH oxidation. These results will be incorporated in designing and testing sensor arrays in year 3 of the Project.

The results described above will be presented at the Electrochemical Society Meeting in Montrel, Canada, 2011 and are in preparation for publication in a peer-reviewed journal.

b) construction of coumarin derivative microsensors and testing their performance (Months 12-18);

The microsensors based on coumarin derivatives were constructed using different substrates to test their electrocatalytic properties. We have found that many coumarins can be reduced on glassy carbon electrodes (GC) and on lead-tin alloy $(Sn_{63}Pb_{37})$ electrode while graphite and $Sn_{96.5}Ag_3Cu_{0.5}$ show no electrocatalytic properties. In Figures 21a,b presented are cyclic voltammograms (CV) for a $Sn_{63}Pb_{37}$ alloy electrode in 50 mM phosphate buffer solution (PBS), showing the background at negative potentials and the oxide formation/reduction region, respectively.



Figure 21. Cyclic voltammograms for a $Sn_{63}Pb_{37}$ alloy electrode in 50 mM PBS: (a) background at negative potentials and (b) oxide formation/reduction region (cathodic peaks C_1 , C_2 , C_3) with a coumarin 4 (CM4) reduction wave C_4 at E = -1.7 V vs. Ag/AgCl, $C_{CM4} = 100 \mu$ M; v = 100 mV/s.

As shown in Figure 21 (right panel), coumarin 4 (CM4) added to a solution is reduced in an irreversible process in the negative potential range showing a reduction wave C₄ with $E_{\rm pc} = -1.7$ V vs. Ag/AgCl. By limiting the potential scan to the range E < -0.84 V, the formation of oxides on the electrode surface can be avoided and voltammograms for a clean alloy surface can be obtained.



Figure 22. Cyclic voltammogram for a $Sn_{63}Pb_{37}$ alloy electrode in 200 μ M CM4 + 50 mM PBS, recorded on a surface undistorted by oxide formation/reduction; v = 100 mV/s.

This is illustrated in Figure 22 for a $Sn_{63}Pb_{37}$ alloy electrode in 200 μ M CM4 + 50 mM PBS solution. It is seen that coumarin 4 reduction C_1 on the surface that is

undistorted by oxide formation/reduction takes place at a slightly lower overpotential ($E_{pc} = -1.65$ V) with a tale extending to more negative potentials, C₂.

The reduction of coumarins on a glassy carbon electrode and $Sn_{96.5}Ag_3Cu_{0.5}$ alloy electrode is not catalyzed as well as on a $Sn_{63}Pb_{37}$ alloy electrode. This is illustrated in Figures 504 and 505 for coumarin 120 and coumarin 1.



In these Figures the process C_1 is associated with reduction of adsorbed oxygen species and peak C_1 be removed by cathodization and solution deoxygenation. The cathodic peak C_2 is due to the reduction of coumarins 120 and 1. The peak potential is shifted toward a potential $E_{pc} = -1.85$ V, which is about 200 mV more negative than that observed for a $Sn_{63}Pb_{37}$ alloy electrode. The electrocatalytic properties of a $Sn_{63}Pb_{37}$ alloy electrode toward reduction of coumarins is convenient for sensor designs since this alloy can be readily applied on copper traces in printed circuits.

Further efforts will be directed toward modifying the electrode morphology and applying nanomaterials to improve electrocatalytic properties of the sensors. The small peaks shown in cyclic voltammograms can be expanded into large peaks by applying square wave voltammetry or differential pulse voltammetry. We will apply these techniques in the final stage of sensor testing in year 3 of the Project after selection of the sensory films to be used in sensor arrays.

c) study of downscaling fluorone and coumarin based probes for sensor arrays (Months 18-30);

In the first stage of these investigations, we have designed printed circuits for a single sensor with dual interdigitated electrodes. The electrode width of 200 μ m with interelectrode spacing of 200 μ m was used. The sensor design is presented in Figure 25. The sensors were electroplated with a thin Au layer and different sensory films were deposited to test the sensor performance. These microsensors were applied in direct measurements of electrocatalytic activity of Sn₆₃Pb₃₇ and Sn_{96.5}Ag₃Cu_{0.5} alloy electrodes described in section 1(b).



Figure 25. Design of a microsensor with interdigitated electrodes.

Further investigations are underway and will be finished during the third year of the project.

- d) software development for artificial neural networks utilizing experimental concentration dependences of sensor signals (neuron firing functions) (Months 18-24);
 The software for artificial neural networks has been designed and tested on artificial data sets.
- e) construction of multisensor arrays based on sensors with different responses to GSH, GSSG, thiols and testing using multi-channel potentiostat with multi-sensor data acquisition system (Months 18-24);

The multisensory arrays have been designed based on the concepts similar to those used in constructing microsensors. A multisensor array with six pairs of interdigitated electrodes has been constructed, as illustrated in Figure 26. One of the electrodes of each interdigitated pair was connected to the common and the other had an independent connection. This arrangement enabled measurements of lateral conductance independently in each sensory film with reduced interconnections. The experience to operate on small sensor areas and to avoid inter-sensor contamination has been gained. In particular, the problem of hydrophobizing the insulating silk-screened surfaces has been addressed. The durability tests have also been carried out to determine viability of reusing the sensors after sensory film removal or analyte-antibody adduct dis-association with 0.2 M glycine solution at pH = 3.



Figure 26. Design of a microsensor array with pairs of interdigitated electrodes.

The experimental setup with a six-channel potentiostat/galvanostat was prepared for simultaneous voltammetric analysis utilizing all six sensors of the sensor array. The potentiostat system allows for the use of a single counter electrode and a single reference electrode which reduces the number of electrodes for a six-cell array chip from 18 to 8. Due to the specificity of different experiment control for different sensors and separate data processing, the system control software will have to be modified, which we hope to achieve during year 3 of the Project. Therefore, in the investigations, we analyzed all sensors in the array separately, one at a time, except for a series of arrays with sensors of the same type, differing only in sensory film composition. Typical sensor arrangement examined in this work involved: (1) molecularly templated conductive polymer sensor synthesized by in-situ electropolymerization of ortho-phenylenediamine (oPD) in the presence of glutathione (GSH); (2) GSH-templated conductive polymer sensor synthesized by in-situ electropolymerization of polypyrrole (PPy) in the presence of GSH; (3,4) monoclonal anti-GSH antibody sensors; (5) Sn₆₃Pb₃₇ alloy based sensor or carbon with transition metal oxide catalyst-based sensor; (6) glassy carbon powder or unmodified PPy sensor. The procedure of preparing these sensors is rather laborious due to small size of sensors and manual operation. Hence, only a limited number of arrays has been prepared and tested. To gain more experience in handling sensor arrays, we have also constructed arrays consisting of sensors of the same kind, either identical or differing slightly in composition, for instance a sensor array was obtained by modifying the surface of sensors with AET SAM followed by immobilization of anti-GSH monoclonal antibody with slow withdrawal of the array chip from solution producing a concentration gradient of an antibody. This type of an approach is simpler than that of individual sensor preparation using different reagents and procedures which may result in cross-contamination.

The microsensor arrays described above, as well as others built on the same principle that will be subsequently constructed, will be employed in the analysis of array responses using algebraic equations and artificial neural networks while testing real-world samples (extracts from Projects 1-2) during the third year of the Project.

- f) testing of 20 samples, 1 mL extracts, from Projects 1 and 2 using sensor arrays (Months 24-36); N/A
- g) analysis of array responses using algebraic equations and artificial neural networks (Months 24-36); N/A

Key Research Accomplishments

- Glutathione- and homocysteine-sensitive ligand exchange processes at core-shell gold nanoparticles have been utilized for rapid nanoparticle functionalization for applications in designing nanostructure-enhanced sensors.
- Highly selective assays for glutathione and homocysteine based on specific plasmonic microenvironment have been proposed.
- A novel biosensor based on an anti-GSH monoclonal antibody immobilized on a gold substrate with a positive potential barrier film has been designed and operated as a piezoimmunosensor or a voltammetric sensor with free redox ion probe.
- A fluorone black sensor for GSH has been developed and the GSH oxidation enhancement via fluorone-mediated electron transfer has been demonstrated.
- Highly sensitive platform for GSH and cysteine in the form of a molecular beacon has been proposed.

Reportable Outcomes

(1) Paper published: "Rapid functionalization of metal nanoparticles by moderator-tunable ligand-exchange process for biosensor designs", M. Stobiecka, M. Hepel, *Sensors Actuators B*, 149 (2010) 373-380.

(2) Paper published: "Ligand exchange effects in gold nanoparticle assembly induced by oxidative stress biomarkers: Homocysteine and cysteine", M. Stobiecka, J. Deeb, M. Hepel, *Biophys. Chem.*, 146 (2010) 98-107.

(3)Paper published: "Resonance Elastic Light Scattering (RELS) Spectroscopy of Fast Non-Langmuirian Ligand-Exchange in Glutathione-Induced Gold Nanoparticle Assembly", M. Stobiecka, K. Coopersmith, M. Hepel, *J. Colloid Interface Sci*, 350 (2010) *168-177*.

(4) Paper in press: "Multimodal coupling of optical transitions and plasmonic oscillations in rhodamine B modified gold nanoparticles", M. Stobiecka, M. Hepel, Phys. Chem. Chem. Phys., (2010) (*in press*).

(5) Presentations:

- 1. Resonance Elastic Light Scattering Assays for Oxidative Stress Based on Selectively-Crosslinked Gold Nanoparticle Network Assembly, Maria Hepel and Magdalena Stobiecka, 4th International Conference on Oxidative/Nitrosative Stress and Disease, October 28-30, 2009, New York
- Study of Resonance Elastic Light Scattering and Fluorescence Energy Transfer in Rhodamine B Modified Spherical Au Nanoparticles and Nanorods, Magdalena Stobiecka, Kaitlin Coopersmith, and <u>Maria Hepel</u> Materials Research Society Meeting, Boston, November 30-December 4, 2009
- Resonance Energy Transfer in a Multicomponent Fluorescent Dye System Influenced by Gold Nanorod Quadrupole Surface Plasmon Coupling, <u>Kaitlin Coopersmith</u>, Magdalena Stobiecka, and Maria Hepel, Pittsburgh Conference on Analytical Chemistry, Orlando, FL, February 28-March 5, 2010
- 4. Flow-cell nanogravimetric immunosensing for the detection of glutathione, Magdalena Stobiecka, <u>Zachary Reed</u>, Justine Barcomb, and Maria Hepel, the North-East Regional Meeting of American Chemical Society NERM-2010, 2-5 June, 2010, Potsdam
- 5. Nanomaterial Surface Energy Transfer (NSET) between Multiple Fluorescent Dyes and Gold Nanoparticles. Kaitlin Coopersmith, Magdalena Stobiecka and Maria Hepel, State University of New York at Potsdam, the North-East Regional Meeting of American Chemical Society NERM-2010, 2-5 June, 2010, Potsdam
- Resonance Elastic Light Scattering (RELS) Spectroscopy for Monitoring Biomolecule-Induced Gold Nanoparticle Assembly. Maria Hepel and Magdalena Stobiecka, the North-East Regional Meeting of American Chemical Society NERM-2010, 2-5 June, 2010, Potsdam

- 7. Novel antibody-based nanostructured biosensors for glutathione oxidative-stress biomarker, Zachary Reed, Nicholas Trombley, Robert Wallace, Magdalena Stobiecka, and Maria Hepel, National Meeting of the American Chemical Society, Boston, MA, August 22-26, 2010
- 8. Fluorescence resonance energy transfer between functionalized Coumarin dyes and gold nanoparticles, Kaitlin Coopersmith, Magdalena Stobiecka, and Maria Hepel, National Meeting of the American Chemical Society, Boston, MA, August 22-26, 2010
- 9. Detection of homocysteine based on gold nanoparticle plasmonic coupling monitored by elastic light scattering, Magdalena Stobiecka, Jeffrey Deeb, and Maria Hepel, National Meeting of the American Chemical Society, Boston, MA, August 22-26, 2010
- Novel ligand-exchange technique for rapid functionalization of monolayer-protected gold nanoparticles, Zachary Reed, Robert Wallace, Sara Cutler, Magdalena Stobiecka, and Maria Hepel, National Meeting of the American Chemical Society, Boston, MA, August 22-26, 2010.
- 11. "Resonance Elastic Light Scattering and Plasmonic Phenomena in Glutathione-Mediated Gold Nanoparticle Assembly", Amanda Prance^a, Zachary Reed^a, Magdalena Stobiecka^a, and Maria Hepel, *The Electrochemical Society Meeting*, Vancouver, Canada, April 25-30, 2010.

Conclusions

The investigations of interactions between biomarkers of oxidative stress and dye molecules from the group of xanthene, coumarin and monochlorobimane have enabled designing new sensor types for these biomarkers. A novel monoclonal anti-GSH antibody-based piezosensors with positive potential barrier have been developed and shown to have higher mass response to GSH-capped gold nanoparticles than to GSH alone. The functionalized gold nanoparticle carriers may be considered in future for extraction and accumulation of analytes from samples. The immunosensors with positive potential barrier can also operate as voltammetric sensors with ferricyanide ion as the redox probe. Another biorecognition based sensing platform has been developed based on molecular beacon which shows high sensitivity and selectivity toward GSH and cysteine in a matrix of amino acids. For further development of microsensors, we have designed printed circuits for microsensor chips and performed initial testing of the design. Printed circuits for sensor arrays have also been designed and fabricated. The arrays with interdigitated electrodes will enable different kinds of sensors to be embedded within the array in further studies during the third year of the Project.

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Appendix

- A. Paper published: "Rapid functionalization of metal nanoparticles by moderator-tunable ligand-exchange process for biosensor designs", M. Stobiecka, M. Hepel, *Sensors Actuators* B, 149 (2010) 373-380.
- B. Paper published: "Ligand exchange effects in gold nanoparticle assembly induced by oxidative stress biomarkers: Homocysteine and cysteine", M. Stobiecka, J. Deeb, M. Hepel, *Biophys. Chem.*, 146 (2010) 98-107.
- C. Paper published: "Resonance Elastic Light Scattering (RELS) Spectroscopy of Fast Non-Langmuirian Ligand-Exchange in Glutathione-Induced Gold Nanoparticle Assembly", M. Stobiecka, K. Coopersmith, M. Hepel, *J. Colloid Interface Sci*, 350 (2010) *168-177*.
- D. Paper in press: "Multimodal coupling of optical transitions and plasmonic oscillations in rhodamine B modified gold nanoparticles", M. Stobiecka, M. Hepel, Phys. Chem. Chem. Phys., (2010) (*in press*).



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Rapid functionalization of metal nanoparticles by moderator-tunable ligand-exchange process for biosensor designs

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ABSTRACT

A new method for rapid functionalization of metal nanoparticles based on a moderator-tunable 2D nucleation and growth of ligand-exchange domains has been developed for the design of biosensors with nanoparticle-enhanced sensory films and other applications. The proposed functionalization of gold nanoparticles proceeds through the nucleation and avalanche growth of ligand-exchange domains in the self-assembled monolayer film on a gold nanoparticle surface. The nucleation and growth is controllable by a moderator molecule. The experimental system described presents a ligand-exchange process at citrate-capped gold nanoparticles, with homocysteine acting as the incoming ligand and glutathione as the moderator. The kinetics of the nucleation and growth driven ligand-exchange is characterized by a sigmoidal switching function and differs from that of the usually observed Langmuirian pseudo-first-order process corresponding to the random place-exchange. The ultra-fast functionalization process was monitored using resonance elastic light scattering (RELS) spectroscopy and confirmed by UV-vis plasmonic band absorbance measurements.

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1. Introduction

Growing interests in bioassays providing transduction of bioinformation to optical and electronic signals have recently been observed in conjunction with stimulating developments in synthesis of highly efficient quantum-dots and functionalized gold nanoparticles (AuNP) [1–3]. The vast potential of AuNP in nanomedicine and bioassays stems from the immense possibilities of designing complex nanoarchitectures with precision recognition of DNA fragments [4-8]. In biosensors and sensor arrays which have been modified by immobilizing multifunctional AuNP in sensory films, an enhanced response has been observed in a variety of sensors [8-11]. The enhancement is likely to be associated with surface plasmon coupling, increased surface area, improved flexibility of embedded biorecognition elements, and other effects. Various functionalization methods for AuNP based on self-assembly of protective monolayers, such as the multi-functional thiols [1,2,12-16], DNA [3,17], and other biorecognition layers [15,18,19], have been utilized. Kinetic studies show that the ligand-exchange process in a self-assembled monolayer (SAM) film is basically a Langmuirian pseudo-first-order process [2,20-22] and as such, is based on the random place-exchange proceeding evenly on the entire surface of AuNP. Additionally, this process may be influenced by such slow steps as surface diffusion [19], hydrogen bond breaking [22], or

slow desorption [23]. Generally, low concentrations of the incoming ligands have been used in AuNP functionalization processes, on a presumption of better film ordering. However, film ordering itself is an inherently slow process due to the very slow surface diffusion which is then the slowest step in the film preparation, taking in some cases up to 100 h [22,24]. The improvement of the rate of metal nanoparticle functionalization is then highly desired.

In our previous studies, we have focused on designing and testing piezosensors based on glutathione (GSH) films (QC/Au/GSH and derivatives) for the detection of heavy metals (Hg(II), Pb(II), Cd(II)) and other metal ions (Ni(II), Cu(II), lanthanides) [25-29]. The fundamental problems of the fabrication of GSH films, as well as other thiol-based films, and their functionalization have been the subject of extensive studies by other groups as well and still the mechanism and kinetics of the sensory film formation are not well understood [30,31]. Such issues as to whether the mixed thiol films are homogeneous [32] or segregated multi-phase systems [33,34] are being actively investigated in view of the importance the form and composition of sensory films have for the functionality and performance of the sensors. In this work, we have described phenomena which are the key factors in sensory film fabrication. These phenomena relate to the speed of the film formation and modification of the film composition. Since the utilization of gold nanoparticles for the enhancement of sensor performance has recently been demonstrated [8-11], the assembly of gold nanoparticles to form organomolecule-linked networks is also of interest for the sensor developers, not only as a means of monitoring the ligand-exchange processes in nanoparticle shells, but also for the

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Fig. 1. Schematic view of the hydrogen bonded citrate SAM basal film (a) and the nucleation and growth of a hydrogen bonded Hcys-ligand domain (c) on an edge of a citrate-capped AuNP (b).

preparation of AuNP networked films. Thus, the practical aspects of the phenomena described in this paper are associated with the sensor development and film fabrication.

Herein, we describe a new nanoparticle functionalization methodology based on employing high-concentration moderator ligands controlling a fast one-step ligand-exchange operation. In the experiments reported below, a short time frame, $\tau = 60$ s, was arbitrarily selected. The fine-tuning of the film composition was achieved by utilizing moderator molecules able to control the interfacial ligand-exchange process. Since the ligand-exchange process on Au terraces is very slow then to attain high exchange rates, we have based our approach on the sequential process commencing from nanocrystal edges [35]. The nucleation of ligand-exchange domains at the core edges and their 2D growth, corresponding to this approach, are illustrated in Fig. 1.

A clear break in the film-protecting hydrogen-bonds in a citrate SAM at the nanocrystal edge is seen in drawing (b). In the experimental example of the proposed methodology presented in this paper, we have employed a biomolecule, homocysteine (Hcys), as the ligand replacing citrate capping of $AuNP_{5\,nm}$ (see Fig. 1) and glutathione (GSH) which can act as the moderator for ligand-exchange processes. For the fast detection of ligand-exchange processes, we have employed the resonance elastic light scattering (RELS) spectroscopy [36–39]. The RELS spectroscopy has been proposed for analytical determination of complexes and biocompounds [36–39] and offers also an exceptional sensitivity to the degree of AuNP assembly [14].

2. Materials and methods

2.1. Chemicals

All chemicals used for investigations were of analytical grade purity. L-Glutathione reduced, minimum 99% (GSH),

DL-Homocysteine (HS(CH₂)₃NH₂COOH), tetrachloroauric(III) acid trihydrate (HAuCl₄·3H₂O), 99.9+% metals basis, were purchased from Sigma–Aldrich Chemical Company (Atlanta, GA, USA) and used as received. Sodium citrate, dihydrate (HOC(COONa)(CH₂COONa)₂·2H₂O) was obtained from J.T. Baker Chemical Co. (Phillipsburg, NJ, USA). Sodium borohydride (NaBH₄) and other reagents were obtained from Fisher Scientific Company (Pittsburgh, PA, USA). Solutions were prepared using Millipore (Billerica, MA, USA) Milli-Q deionized water (conductivity σ = 55 nS/cm). They were deoxygenated by bubbling with purified argon.

2.2. Apparatus

The imaging analyses of Au nanoparticles were performed using high-resolution transmission electron microscopy (HR-TEM) with Model JEM-2010 (Jeol, West Chester, PA, USA) instrument with accelerating voltage of 200 kV. The resonance elastic light scattering (RELS) spectra were recorded using LS55 Spectrometer (Perkin Elmer, Waltham, MA, USA) equipped with 20 kW xenon light source operating in 8 µs pulsing mode allowing for the use of monochromatic radiation with wavelength from 200 to 800 nm with 1 nm resolution and sharp cut-off filters: 290, 350, 390, 430, 515 nm. The dual detector system consisted of a photomultiplier tube (PMT) and an avalanche photodiode. Pulse width at half height was less than 10 µs. For the instrument to record scattering spectra for the resonance elastic light scattering (RELS) spectroscopy, the sample was illuminated with a monochromatic beam of light and the scattered light was detected at 90° angle. The wavelength scanning was set to $\Delta \lambda = 0$ (i.e. simultaneous scan of the excitation and detection monochromators). The constant-excitation spectra were recorded by setting λ_{ex} = const and scanning λ_{em} (emission wavelength) around $\lambda_{\text{ex}}.$ Other details of RELS technique can be found



Fig. 2. Characterization of citrate-capped AuNP: the SP absorbance spectrum with $\lambda_{max} = 516$ nm and photo of the cuvette showing ruby-red color of AuNP solution; inset: high-resolution TEM image of nanoparticles (bar length: 5 nm). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

in our recent publication [14]. The RELS technique is suitable for studying ligand-exchange processes followed by AuNP assembly which provide a high amplification of the RELS signal. The UV-vis spectra were recorded using Perkin Elmer Lambda 50 Spectrophotometer in the range of 220–1100 nm or Ocean Optics (Dunedin, FL, USA) Model R4000 Precision Spectrometer in the range from 340 to 900 nm.

2.3. Procedures

The Au nanoparticles were synthesized according to the published procedure [14,40,41]. Briefly, to obtain 5 nm AuNP, a solution of HAuCl₄ (10 mM, 2.56 mL) was mixed with a trisodium citrate solution (10 mM, 9.6 mL), ratio 1: 3.75, and poured to distilled water (88 mL). The obtained solution was vigorously stirred and fresh cold NaBH₄ solution (5 mM, 8.9 mL) was added dropwise. The solution slowly turned light grey and then ruby red. Stirring was maintained for 30 min. The obtained citrate-capped core-shell Au nanoparticles (AuNP) were stored at 4°C. Their size, determined by HR-TEM imaging and UV-vis surface plasmon absorption was 5.0 ± 0.9 nm (*n* = 85); no larger particle population was present. The concentrations of AuNPs are given in moles of particles per 1 L of solution (usually, in the nM range). The size and distribution of AuNP were also tested using plasmonic absorbance spectra in UV-vis. A clear surface plasmon band with λ_{max} = 516 nm and a low background beyond that wavelength indicate that no larger particles were present. The UV-vis spectrum of the plasmonic band, TEM high-resolution image, and color photograph of the AuNP solution is presented in Fig. 2. The homocysteine and glutathione stock solutions in water (10 mM) were stored at 4 °C. The solutions were prepared fresh just before the experiments, with citrate buffer, pH = 5, C_{Cit} = 0.46 mM (final concentration). A separate solution was prepared for each measurement. The pH of AuNP solution and that of Hcys and GSH solutions was checked before mixing and also at the end of experiment. The interactions of Hcys and GSH with AuNP were monitored using RELS spectroscopy and UV-vis surface plasmon spectroscopy.

Molecular dynamics (MD) and quantum mechanical (QM) calculations of electronic structures of Hcys and GSH and their interactions were performed using modified Hartree–Fock methods [42,43] with 6-31 G* basis set and pseudopotentials, as well as the density functional theory (DFT) with B3LYP functional and strongly correlated advanced Moller–Plesset method, embedded in Wavefunction Spartan 6 [43]. The electron density and local density of states (LDOS) are expressed in atomic units, au⁻³, where 1 au = 0.52916 Å and 1 au⁻³ = 6.7491 Å⁻³.



Fig. 3. (a) RELS spectra for: (1) 20 μ M Hcys, (2) 3.8 nM AuNP_{5 nm} and (3) 3.8 nM AuNP_{5 nm} +20 μ M Hcys recorded after 60 s reaction time; (b) RELS spectra at constant λ_{ex} = 560 nm for 3.8 nM AuNP_{5 nm} + *x* Hcys after 60 s reaction time, *x*[μ M]: (1)0, (2)5, (3)5.5, (4)5.75; (c) illustration of the inhibition of nucleation of Hcys-domains in a shell of citrate-capped AuNP_{5 nm} by the addition of GSH during 60 s AuNP functionalization: (1) GSH alone, (2) AuNP alone, (3) GSH mixed with AuNP and then added Hcys, (4) Hcys mixed with AuNP and then added GSH, (5) AuNP + Hcys (without GSH); citrate buffer, *C*_{Cit} = 0.46 mM, pH = 5; τ = 60 s (all concentrations are final concentrations).

3. Results and discussion

3.1. RELS spectroscopic monitoring of ligand-exchange and AuNP assembly

The measurements were carried out at a predefined wavelength selected from the RELS spectra for AuNP@Hcys (Fig. 3a). Well resolved RELS spectra were obtained for excitation wavelengths in the range: $\lambda_{ex} = 560-640$ nm (Fig. 3b and c). The increase of scattering intensity is due to the dependence of scattering cross-section on particle diameter *a* and the dielectric function (or refractive index) of the medium:

$$I_{\rm sc} = I_0 N \frac{1 + \cos^2 \theta}{2R^2} \left(\frac{2\pi}{\lambda}\right)^4 \frac{(n_{\rm p} - n_{\rm s})^2 - 1}{(n_{\rm p} - n_{\rm s})^2 + 2} \left(\frac{a}{2}\right)^6 \tag{1}$$

where n_p and n_s are the refractive indices for the particles and the solution, respectively, λ is the wavelength of incident light beam, R is the distance from source, θ is the scattering angle, N is the number of particles, and I_0 is the constant.

We note that the scattering maximum in Fig. 3a appears at longer wavelengths than the absorbance maximum. For perfectly monodisperse, non-interacting particles, the maximum of absorbance and scattering should be close if the efficiency of the secondary emission (or reflectivity) is not dependent on wavelength. Since the plasma frequency, determined by the frequency of the absorbance maximum, is the highest frequency with which free electrons can follow the electromagnetic field oscillations, the AuNP behave as a non-metal at frequencies higher than plasma frequency and as a perfect metal at frequencies lower than plasma



Fig. 4. Dependence of I_{sc} on pH for: (1) 20 μ M GSH solutions and (2) 20 μ M Hcys solutions; τ = 60 s; C_{AuNP} = 3.8 nM; AuNP diameter: 5 nm, C_{Cit} = 0.46 mM.

frequency. The maximum of the light reflection will then be shifted toward wavelengths longer than the Frohlich wavelength λ_F where free electrons reflect light with high efficiency (here, λ_F approximately equals to λ_{max} of plasmon absorbance). In other words, high scattering intensity is observed when both the absorbance and secondary emission are high. The RELS maximum can also be shifted to longer wavelengths if in equilibrium with majority of single gold nanoparticles there would be a small number of AuNP aggregates. However, this should not be of significance since the absorbance spectra recorded in the absence of thiols show a very narrow SP band with no long-wave tail present (*cf.* Fig. 2).

To distinguish between Hcys-dominated AuNP and GSHdominated AuNP, the pH dependence of RELS was analyzed (Fig. 4). By carefully selecting pH, it is possible to keep Hcys in the form of zwitterions, which leads to the AuNP assembly [14,44]. In solution at pH = 5, we have predominantly zwitterionic Hcys and negatively charged GSH. The pK_a values for Hcys are: $pK_1 = 2.22$ (-COOH), $pK_2 = 8.87$ (-NH₂) and $pK_3 = 10.86$ (-SH) making it a zwitterions at pH = 5. At the same pH, GSH is negatively charged (pK_a values for GSH are: $pK_1 = 2.04$ (glutamate –COOH), $pK_2 = 3.4$ (glycine –COOH), $pK_3 = 8.72$ (-SH group), $pK_4 = 9.49$ (-NH₂ group)). (Note that the scattering decrease for Hcys at pH > 6.5 (Fig. 4) indicates on a shift of the pK_a value for protonation of –NH₂ group in adsorbed Hcys. Similar shifts in surface pK_a are not unusual and have been observed for other ligands as well [31,45-52]. Therefore, a high RELS intensity can be ascribed to the Hcys-dominated AuNP shells (due to Hcys-induced aggregation of AuNPs) and low RELS intensity to the GSH-dominated AuNP shells (due to repulsions between AuNPs).

The RELS signal is extremely sensitive to the aggregation. Recently, we have derived the size dependence of scattering intensity for the aggregation process [14] showing that I_{sc} increases with third power of aggregate diameter:

$$\frac{I_{\rm sc,2}}{I_{\rm sc,1}} = a_{\rm rel}^3$$
(2)

where $I_{sc,1}$ and $I_{sc,2}$ are the scattering intensities before aggregation (1) and after aggregation (2) leading to the formation of aggregates with relative particle-size increase $a_{rel} = a_2/a_1$ where a_1 and a_2 are the diameters of the particle before and after aggregation, respectively. While a rigorous physical treatment is not available, there are two indications that a red-shift should be observed in the case of AuNP assembly: (i) first of all, for larger solid particles, a red shift follows from theoretical calculations [53–55] and from experimen-



Fig. 5. (a) Dependence of RELS intensity I_{sc} for citrate-capped AuNP_{5 nm} on incoming ligand concentration *C* for: (1) GSH, (2) Hcys; (b) control experiment showing the dependence of λ_{max} for plasmonic absorbance band shift on ligand concentration *C*; $C_{AuNP} = 3.8$ nM, citrate buffer, $C_{Cit} = 0.46$ mM, pH = 5, $\lambda_{ex} = 560$ nm; $\tau = 60$ s; inset: TEM images for (1) and (2), scale bar: 10 nm; all concentrations are final concentrations; curves (2) are fitted with sigmoidal Boltzmann function, *R*: (a) 0.98, (b) 0.97.

tal observations [56–60]; (ii) when two AuNP particles are at close distance (distance d < 5r, where r is the nanoparticle radius), the surface plasmons of the two particles interact with each other and begin to oscillate in resonance and the frequency of these oscillations should be lower similar to frequency lowering effect with the resonator size (e.g for longitudinal SP resonators in nanorods with increasing length of the rod).

The dependence of RELS intensity on concentration of the incoming ligand, *C*, is presented in Fig. 5a. It becomes immediately apparent that the shape of the $I_{sc}-C_{Hcys}$ relationship (curve 2) is a threshold-type characteristics which is modeled using the Boltzmann function of the form:

$$I_{\rm sc} = \frac{I_{\rm max,1} - I_{\rm max,2}}{1 + \exp\{(C - C_{1/2})/S\}} + I_{\rm max,2}$$
(3)

with the following parameters: the low-concentration scattering intensity $I_{max,1} = 1.35 \pm 2.18$, the high-concentration scattering intensity $I_{max,2} = 36.99 \pm 1.42$, the half-way threshold concentration of the ligand $C_{1/2} = 5.78 \pm 0.09$, and the slope parameter $S = 0.312 \pm 0.082$. The switching begins at $C_{Hcys} = 4 \,\mu$ M and ends at $C_{Hcys} = 6 \,\mu$ M with a sharp transition from the background scattering level of AuNP@Cit to that characteristic of the AuNP@Hcys. The observed characteristic indicates that none of the monophasic



Fig. 6. Tuning the speed of ligand-exchange and SAM shell composition in fast AuNP functionalization; dependence of RELS intensity I_{sc} on C_{Hcys} for different C_{GSH} [μ M]: (1) 5, (2) 20, (3) 100, (4) 400; C_{AuNP} = 3.8 nM, citrate buffer, C_{Cit} = 0.46 mM, pH = 5, λ_{ex} = 640 nm, τ = 60 s; all curves are fitted with sigmoidal Boltzmann function.

models of random ligand-exchange [61] can satisfactorily describe our system behavior since none of these models is compatible with the threshold-type of a transition. Moreover, it has recently been shown that the rate of aggregation induced by the random ligandexchange is of a pseudo-first order w.r.t. the incoming ligand [21]. Since the sharpness of the transition is reminiscent of an avalanche process that is initiated by the nucleation and growth of a new phase, we attribute this transition to the ligand-exchange process proceeding via the nucleation and growth of 2D domains. The independent control experiments performed by monitoring plasmonic absorbance band shifts (Fig. 5b) confirm the fast switching behavior of the ligand-exchange and AuNP assembly. The experimental data were fitted with Boltzmann function:

$$\lambda_{\max} = \frac{\lambda_{\max,1} - \lambda_{\max,2}}{1 + \exp\{(C - C_{1/2})/S\}} + \lambda_{\max,2}$$
(4)

with the following parameters: the low-concentration λ_{max} limit $\lambda_{max,1} = 517.7 \pm 2.1$, the high-concentration λ_{max} limit $\lambda_{max,2} = 561.8 \pm 1.4$, the half-way threshold concentration of the ligand $C_{1/2} = 5.755 \pm 0.138$, and the slope parameter $S = 0.821 \pm 0.133$; the regression coefficient was R = 0.974 and $x^2 = 7.60$.

The sigmoidal kinetic plots presented here are consistent with the model of 2D-nucleation and growth of incoming-ligand domains [35]. In this model, exchanges occur at the circumference of the growing domain and the rate of exchange first increases, as the domain grows, and after taking up a half-sphere, decreases with further domain growth. This results in the sigmoidal characteristics of I_{sc} vs. C.

3.2. Composition control of SAM films

To control the SAM composition in the fast ligand-exchange process, GSH-moderator molecules able to influence the nucleation and growth processes in the short time-scale of the function-alization process have been used. A series of experiments has been performed in which the concentration ratios $C_{\text{GSH}}/C_{\text{Hcys}}$ were changed in a wide range from 0.002 to 160. In Fig. 6, the RELS intensity for 3.8 nM AuNP_{5 nm} solutions is plotted vs. C_{Hcys} for different concentration levels of GSH. The average composition of the film is

approximately given by:

$$\theta = \frac{I - I_{\min}}{I_{\max} - I_{\min}} \tag{5}$$

where θ is the content of the linker ligand (Hcys) in the SAM shell and I_{min} , I_{max} are the minimum and maximum scattering intensities corresponding to AuNP@GSH and AuNP@Hcys, respectively. This dependence enables a quick estimate of the average film composition.

The ability to control the SAM composition in the fast ligand-exchange process is the key element to the nanoparticle functionalization. The mechanism of action of the moderator molecules is not well understood but it likely involves the competition for the nucleation sites and/or tuning the exchange processes at ligand-exchange wave-front, i.e. at the perimeter of the growing domains of the incoming ligand.

The changes in film composition, discussed above, are useful in several approaches in sensory film fabrication, such as in the process of: (i) embedding two, or more, different functionalities, (ii) introducing spacers for the attachment of large bioorganic molecules (e.g. streptavidin [17]), (iii) controlling the range of sensor response (e.g. in GSH-capped QC/Au-piezosensors for Hg(II), the film response can be tuned to higher or lower Hg(II) concentration range [25,27] by controlling the sensory film properties, such as the film density, leading to the changes in film permeability). On the other hand, no morphological changes in nanoparticle cores are encountered unless the system is heated to higher temperatures, which would result in AuNP core enlargement.

In general, the aggregation of AuNP may not necessarily be due to the ligand-exchange but may also be caused by other factors as well, such as the addition of higher salt concentrations (on account of considerable decrease of Debye layer thickness at the outer nanoparticle film interface [60] or simply by reducing AuNP solubility in the salting-out effect) or injection of small amounts of multivalent metal cations able to coordinate to the ligands of nanoparticle shells [62] in which case metal cations act as the linkers. However, neither the salt or metal cations have any chance to replace a SAM film that protects AuNP. In contrast to that, the high affinity of thiols to a Au surface [30] changes the situation dramatically and enables such thiols as GSH and homocysteine to readily replace citrates from the nanoparticle shell [14,58,60]. While GSH can form intermittently some weakly bound intermediate interparticle linking structures [35], these only help to isolate a citrate ion from its neighbors and remove that citrate from the film.

3.3. Molecular dynamics and quantum mechanical calculations

A comparison of the size and electronic structure of molecules taking part in ligand-exchange processes at AuNP are presented in Fig. 7. It is seen that Hcys and GSH molecules are taller than Cit. Hcvs appears to be smoother than GSH and should show less steric hindrance than GSH while forming 2D domains. The experimental data confirm this conjecture. The MD simulation of intermolecular binding for a group of homocysteine (Hcys) molecules as a model of Hcys domain in SAM-shell on a gold nanoparticle (AuNP) were carried out to evaluate intermolecular hydrogen bonding in that group and to support the mechanism of predominant interactions of Hcys with other Hcys molecules rather than with existing citrate ligands in SAM on AuNP. The calculations were performed by collecting a group of four Hcys molecules in a zwitterionic form (protonated -NH3⁺ and dissociated -COO⁻ groups). The molecules were moved close to each other and allowed to relax and form inter- and intra-molecular H-bonds. The zwitterionic structure of Hcys enabled the formation of H-bonds between each neighbor molecule thus strengthening the group. The results of QM calculations of electronic structure are presented in Fig. 8. The electron



Fig. 7. Comparison of molecule size and electron density surfaces for citrate, homocysteine, and glutathione taking part in ligand-exchange processes at a AuNP; the electrostatic potential is mapped on the density surface (color code: from negative potential – red, to positive potential – blue). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

density surface is mapped with electrostatic potential (color coded: from negative potential (red) to positive (blue)).

It is seen that on the outer surface some disorder of functional groups ($-COO^-$ and $-NH_3^+$) is apparent. This is due to the conformational adjustments of Hcys molecules to adapt to the H-bonding geometry. The intermolecular forces make the group seen as a single cluster of molecules. Such groups of a few Hcys molecules can be treated as nuclei of the ligand-exchange domain. The nuclei grow on the outside borders where bonding between Hcys molecules and citrate ligands of the existing SAM on AuNP is weak. Eventually, the fast growing domains take over the entire surface of a nanoparticle. The surface diffusion of ligands does not play any role because of the time limitation of process, which was restricted to 60 s in this work.

Similar calculations have also been performed for a group of citrate molecules, as illustrated in Fig. 9. Again, intermolecular H-bonding strengthens the citrate SAM and makes it less penetrable to foreign species, e.g. Hcys ligands. This corroborates the assumption that most likely nucleation sites for the ligand-exchange domains are located where the film has discontinuities, i.e. at the edges and plane steps, in agreement with Murray's model [2].



Fig. 8. Electron density surface for a group of homocysteine molecules forming a nucleus of the ligand-exchange domain at an edge of a AuNP; the electrostatic potential is mapped on the density surface (color code: from negative potential – red, to positive potential – blue); 1 – inter-molecular hydrogen bond, 2 – intra-molecular hydrogen bond. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)



Fig. 9. Electron density surface for a group of citrate molecules of a SAM shell on a AuNP; the electrostatic potential is mapped on the density surface (color code: from negative potential – red, to positive potential – blue); the group is inter-molecularly hydrogen bonde; hydrogen bonds are marked with dashed lines. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

Since the forces and phenomena playing important roles in the behavior of SAM films on AuNP are complex, their comprehensive evaluation would require carrying out calculations taking into account highly populated adsorption films, together with the nanocrystalline-structured substrate (Au core), and the diffuse double-layer on the solution side. Such calculations have not been done so far for any system. Therefore, at this stage, our MD simulations and QM calculations have focused on smaller, more primitive models to evaluate some limited effects, rather than solve the entire SAM film problems. Since for the analysis of ligandexchanges it is important to verify if the intermolecular H-bonding within the SAM film occurs or not, we have carried out calculations for small groups of citrate and homocysteine molecules emulating a portion of the SAM films. The results indicate clearly that H-bonding stabilizes both the citrate SAMs and the homocysteine SAMs. As concerns to the ligand pK_a in solution and pK_a shifts in a SAM film, the calculations were carried out for the predetermined ionic/neutral species and thus reflected a fixed solution pH for which these pre-set ligand forms predominate. In this way, the results obtained are invariant with the pK_a -modifying forces and correspond to the well-defined film composition and the charge state. (In other words, if these would not have been pre-set, then the pK_a -modifying forces, including the interactions of ligand molecules in the SAM with gold substrate and with the solution phase would have to be taken into account.)

The results of MD and QM calculations provide visualization of the strengthening of pure ligand 2D phases by hydrogen bonding and enable better understanding of the reduced steric hindrance at the nanocrystal edge which is essential in identifying the likely nucleation sites for the incoming ligand domain formation. The H-bonding and over-driven irreversible conditions are likely prerequisites of the nucleation and growth mechanism of the ligand-exchange observed in this work.

4. Conclusion

In summary, we have demonstrated the viability of a fast (on the order of 60s) nanoparticle functionalization process with moderator-tunable composition of the monolayer-shell. This process is based on a new paradigm of the ligand-exchange proceeding through the nucleation and growth of 2D ligand domains and may be utilized as an efficient preparation step in nanoparticle-enhanced sensory film designs for biosensors and other applications, such as the multifunctional nanoparticles for MRI image enhancement, photodynamic cancer therapy, colorimetric assays for heavy metals and others.

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Ligand exchange effects in gold nanoparticle assembly induced by oxidative stress biomarkers: Homocysteine and cysteine

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ABSTRACT

The interactions of oxidative stress biomarkers: homocysteine (Hcys) and cysteine (Cys) with the multifunctional gold nanoparticles, important in view of novel biomedical applications in diagnostics and therapy, have been investigated using resonance elastic light scattering (RELS), UV–Vis plasmonic spectroscopy, and high-resolution TEM imaging. The Hcys-induced assembly of gold nanoparticles has been observed for non-ionic surfactant-capped gold nanoparticles as well as for negatively-charged citrate-capped gold nanoparticles. We have observed for the first time the de-aggregation of citrate-capped gold nanoparticle ensembles followed by their conversion to citrate-linked Hcys-capped nanoparticle assemblies. The Cys molecules, which are smaller than Hcys by only one CH₂ group, show much less activity. The mechanisms leading to this intriguing disparity in the abilities of these two thioaminoacids to ligand exchange with surfactant- or citrate-capping molecules of the gold nanoparticle shells are proposed on the basis of the experimental evidence, molecular dynamics simulations, and quantum mechanical calculations. For citrate-capped gold nanoparticles, we postulate the formation of surface complexes facilitated by electrostatic attractions and formation of double hydrogen bonds for both Hcys and Cys. The conformational differences between these two kinds of complexes result in marked differences in the distance between –SH groups of the biomarkers to the gold surface and different abilities to induce nanoparticle assembly. Analytical implications of these mechanistic differences are discussed.

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1. Introduction

Biomarkers of oxidative and nitrosative stress have recently been the subject of extensive studies [1,2] as the new evidence demonstrates ever increasing number of related diseases. The oxidative stress has been suggested as the causative factor in aging [3] and many diseases such as cadiovascular, diabetes, cancer, autism spectrum disorders (ASD) [4]. and others. Among the biomarkers of oxidative stress are small biomolecules such as: ubiquinol [5] which is very labile in the oxidation of low-density lipoprotein (LDL), glutathione (GSH) which is depleted in the presence of organic radicals and peroxides [6], homocysteine [7,8] which has been found at elevated levels in atherosclerosis [9-14], Alzheimer disease [15,16], dementia [15], and poses an increased risk of birth defects [17]. Some biomarkers of oxidative stress are necessary to maintain healthy homeostasis (e.g. glutathione), while others participate in the development of diseases (e.g. homocysteine). For instance, decreased levels of glutathione and increased levels of oxidized glutathione (GSSG) have been observed in plasma, serum and urine samples from individuals diagnosed with ASD [4,18-20]. Homocysteine (Hcys), which is a sulfur-containing amino acid, is formed during a metabolism of methionine to cysteine but the increased concentration of Hcys in plasma (C_{Hcys} >15 μ M) is a risk factor for many disorders, including cardiovascular [9–12], renal [21], Alzheimer's [15,16], and other diseases [22]. Redox-related alterations, measured usually as the change in the concentration ratio of GSH/GSSG which is the main redox level maintaining couple in organisms, may also be heritable. Deviations from healthy biomarker concentration levels may result from deficiency of certain vitamins, e.g. B12 and folic acid (in hyperhomocysteinemia). The investigations of oxidative stress biomarkers are important to understand their behavior and role in organisms and to develop sensors and assays for their rapid detection and diagnosis of stress-related disorders.

The reactivities and interactions of the oxidative stress biomarkers have been investigated in conjunction with the development of molecularly-templated polymer films with biorecognition capabilities designed for biomarkers detection [23], fluorimetric assays based on specific reactions [24–26], electrochemical sensors [27,28], colorimetric assays based on nanoparticle assembly [29–32], and the design of immunosensors [33] and other sensors for the analysis of biomarkers or utilizing biomarkers in the sensory film design [34–36]. In particular, in studies of biomolecule-induced gold nanoparticle assembly, the kind of interparticle interactions is the key element of the functionalized nanoparticle self-affinity [37–39]. The interparticle forces include electrostatic [40], zwitterionic [29,40], van der Waals

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forces [41], as well as hydrogen bonding forces [41–43]. The investigations of functionalized spherical gold nanoparticles and gold nanorods for application in novel assays for GSH [43], cysteine [32,44–48] and homocysteine [29,30,45,49] have been reported. The gold nanoparticle cores with protective shells of self-assembled monolayers (SAM) of thiolates [50,51], surfactants [47,52,53], citrate ions [48], and others can be utilized in the analysis. A difference in the sensitivity of the gold nanoparticle assembly process to structurally similar cysteine and homocysteine molecules, which differ only by one CH₂ group, has been found [32,46,47]. Probing the interactions of biomolecules with gold nanoparticles and their influence on surface plasmon resonance and the elastic light scattering cross-section has potential applications in the development of novel assays for these molecules.

The gold nanoparticle assembly process observed upon the addition of biomolecules is believed to be due to the ligand exchange [54] followed by the attractive interparticle interactions [29,48]. According to the thermodynamic stability, the citrate shell is less strongly bound than cysteine shell and the latter is less strongly bound than homocysteine shell. In practice, the kinetic hindrance may slow down considerably the ligand exchange processes. Also, the interparticle molecular-linking may induce an assembly before the completion of a ligand exchange process, as we have recently observed in the case of GSH-induced assembly.

The biomolecule-induced gold nanoparticle assembly process can be monitored using surface plasmon absorbance band shifts. The oscillation frequency of the local surface plasmon (SP) is very sensitive to the changes in dielectric environment of nanoparticles and distance between nanoparticles within 5r range (where r is the nanoparticle radius). Theoretical studies of plasmonic oscillations [55-65] and SP absorbance spectra [66-74] have enabled the understanding of mechanisms leading to the absorbance maximum shifts associated with the assembly processes. The hydrodynamic radius of nanoparticle aggregates can be measured using dynamic light scattering although the complex dielectric medium and formation of aggregates of small particles may complicate the analysis. The use of transmission electron microscopy (HR-TEM) has been so far the best in determining the nanoparticle diameters and presenting images of aggregated nanoparticles. In this work, we have applied UV-Vis plasmonic absorbance measurements, HR-TEM, and the resonance elastic light scattering (RELS) spectroscopy [75-87]. The latter provides very sensitive measure of the degree of gold nanoparticle assembly. The gold nanoparticles show enhanced scattering during the assembly process due to the collective oscillation of local surface plasmons in nanoparticles bound in an ensemble.

In this work, the assembly of gold nanoparticles induced by oxidative stress biomarkers, homocysteine and cysteine, has been investigated using non-ionic fluorosurfactant-capped gold nanoparticles and negatively-charged citrate-capped gold nanoparticles. The remarkable differences in ligand exchange abilities of the homocysteine and cysteine, have been observed for both the charged and uncharged nanoparticle shells. Different mechanisms leading to these effects for uncharged and charged nanoparticle shells are proposed. The elucidation of these mechanisms is crucial for analytical determination of structurally similar cysteine and homocysteine using rapid and inexpensive measurement techniques important for oxidative stress screening and prevention of environmental pollution effects on human health.

2. Experimental

2.1. Chemicals

All chemicals used for investigations were of analytical grade purity. DL-Homocysteine (HS(CH₂)₃NH₂COOH), L-Cysteine (HS (CH₂)₂NH₂COOH), tetrachloroauric(III) acid trihydrate (HAuCl₄· 3H₂O)

with 99.9+% metals basis, D-Methionine,and L-glutathione (GSH) reduced (minimum 99%), were purchased from Sigma Aldrich Chemical Company (Atlanta, GA, U.S.A.) and used as received. ZONYL FSN-100, a fluorocarbon-ether surfactant (FES), with nominal composition $CF_3(CF_2)_m(C_2H_4O)_nCH_2OH$ and average molecular mass $M = \sim 950$ g/mol was obtained from Sigma Aldrich. Sodium citrate dihydrate (HOC(COONa)(CH₂COONa)₂·2H₂O) was received from J.T. Baker Chemical Co. (Phillipsburg, NJ, U.S.A.). Sodium borohydride (NaBH₄) was obtained from Fisher Scientific Company. L(+) Histidine was purchased from Eastman Organic Chemicals (Rochester, NY, U.S. A.). Solutions were prepared using Millipore (Billerica, MA, U.S.A.) Milli-Q deionized water (conductivity σ =55 nS/cm). They were deoxygenated by bubbling with purified argon.

2.2. Apparatus

The imaging analyses of Au nanoparticles were performed using high-resolution transmission electron microscopy (HR-TEM) with Model JEM-2010 (Jeol, West Chester, PA, U.S.A.) HR-TEM instrument (200 kV) and imaging with a Jeol Model JSM-7400F field-emission scanning electron microscope (FE-SEM). The elastic light scattering spectra were recorded using LS55 Spectrometer (Perkin Elmer, Waltham, MA, U.S.A.) equipped with 20 kW Xenon light source operating in 8 µs pulsing mode. Pulse width at half height was less than 10 µs. Separate monochromators for the incident beam and the detector beam enabled to use monochromatic radiation with wavelengths from 200 nm to 800 nm with 1 nm resolution. Additionally, the system was equipped with sharp cut-off filters: 290, 350, 390, 430, 515 nm. The dual detector system consisted of a photomultiplier tube (PMT) and an avalanche photodiode. The RELS spectra were obtained at 90° angle from the incident (excitation) light beam. The excitation beam monochromator was either scanned simultaneously with the detector beam monochromator ($\Delta \lambda = 0$) or set at a constant excitation wavelength. The UV-Vis spectra were recorded using Perkin Elmer Lambda 50 Spectrophotometer in the range 400 to 900 nm or Ocean Optics (Dunedin, FL, U.S.A.) Model R4000 Precision Spectrometer in the range from 340 nm to 900 nm.

2.3. Procedures

The Au nanoparticles were synthesized according to the published procedure [88]. Briefly, to obtain 5 nm AuNP, 10 mM HAuCl₄ was mixed with 10 mM trisodium citrate solution (ratio 1: 3.75) and poured to distilled water (109 mL). The obtained solution was vigorously stirred and fresh cold NaBH₄ solution (5 mM, 8.9 mL) was added dropwise. The solution slowly turned light grey and then ruby red. Stirring was maintained for 30 min. The obtained citratecapped core-shell Au nanoparticles (AuNP) were stored at 4 °C. Their size, determined by HR-TEM imaging and UV–Vis surface plasmon absorption was 5.0 nm. The concentrations of AuNP's are given in moles of particles per 1 L of solution (usually, in the nM range). The RELS and UV–Vis spectra for samples were obtained with 1 min of mixing of AuNP with biomolecule solutions, unless otherwise stated.

Quantum mechanical calculations of electronic structures for a model fluorocarbon-ether surfactant, citric acid, cysteine and homocysteine were performed using modified Hartree–Fock methods [89,90] with 6–31G* basis set and pseudopotentials, semi-empirical PM3 method, and density functional theory (DFT) with B3LYP functional. The molecular dynamics simulations and quantum mechanical calculations were carried out using procedures embedded in Wavefunction (Irvine, CA, U.S.A.) Spartan 6. The electron density and local density of states (LDOS) are expressed in atomic units, au⁻³, where 1 au = 0.529157 Å and 1 au⁻³ = 6.749108 Å⁻³.

3. Results and discussion

3.1. Plasmonic spectroscopy of thioaminoacid-induced assembly of gold nanoparticles protected by ZONYL

Fluorosurfactants provide similar advantages to other surfactants but, in addition, show high degree of chemical inertness. For these reasons they have recently been applied in chemical analysis [52]. The ZONYL fluorosurfactant is known to form self-assembled monolayers on gold surfaces rendering the surface more hydrophobic and significantly retarding the gold oxide formation processes [91]. In the case of AuNP, it stabilizes gold colloids by forming tight shells around nanoparticle cores with hydrophilic heads oriented toward Au surface and fluorocarbon tails forming hydrophobic non-interacting external surface. Although this surfactant forms water-tight shells, its bonding to a gold surface is not as strong as that of thiolates. Therefore, in their presence, ZONYL is replaced in a ligand exchange process by thiols, including thioaminoacids, homocysteine and cysteine, investigated in this work, provided that sufficiently high concentration of these agents is used and long enough time is allowed. The HR-TEM images of fluorosurfactant-capped AuNP's are presented in Fig. 1 before (a) and after (b–d) homocysteine-induced nanoparticle framework assembly.

The ligand exchange process taking place upon addition of homocysteine to ZONYL-capped AuNP can be monitored using SPband absorbance of AuNP, as illustrated in Fig. 2. The UV–Vis spectra 1– 9 were recorded for increasing concentrations of Hcys, from 0 to 22.2 μ M and constant concentration of AuNP_{5 nm} (6 nM). It is seen that the SP band shifts toward longer wavelengths and the maximum absorbance increases with increasing C_{Hcys} . These observations are consistent with ligand exchange process:

 $AuNP / FES_x + yHcys = AuNP / Hcys_v + xFES$

where $x \approx y$, followed by interparticle molecular linking of AuNP/Hcys through direct Hcys-Hcys interactions. At the pH of these experiments (pH = 6.0), homocysteine exists as a zwitterion with α -amino group protonated ($-NH_3^+$) and carboxylic group dissociated (COO⁻). Therefore, the zwitterionic interparticle binding between Hcys-capped AuNP is playing a predominant role as recently discussed by Zhong et al. [29].



Fig. 1. HR-TEM images of ZONYL-capped gold nsanoparticles before (a) and after assembly with 15 μ M homocysteine (b-d); $C_{AuNP} = 6$ nM, $C_{ZONYL} = 0.22$ %, pH = 6; bar size: (a) 50 nm, (b) 50 nm, (c) 10 nm, (d) 5 nm.



Fig. 2. (a) Absorbance spectra for ZONYL-capped AuNP for different concentrations of homocysteine, $C_{\text{Hcys}}[\mu M]$: (1) 0, (2) 2.22, (3) 3, (4) 4.44, (5) 5.56, (6) 11.11, (7) 16, (8) 18, (9) 22.22. $C_{\text{AuNP}} = 6$ nM, $C_{\text{ZONYL}} = 0.22$ %, pH = 6; (b-c) dependence of (b) λ_{max} and (c) A_{max} vs. C_{Hcys} .

The bathochromic shift of the surface plasmon peak ($\Delta\lambda_{max} = 36$ nm, for 16 μ M Hcys) corresponds to the formation of small Hcys-linked AuNP ensembles. The increase of SP absorbance by 21% (from 0.253 to 0.305, Fig. 1b) indicates on the collective oscillations of local surface plasmons in AuNP that form these ensembles. The collective oscillation of local surface plasmons is excited when the distance *d* between AuNP is: *d*<5*r*, where *r* is the AuNP radius. The absorbance maximum increases with *C*_{Hcys} and reaches the saturation value at *C*_{Hcys}>7 μ M, with the half-absorbance change appearing at *C*_{Hcys}=3.38 μ M. The value of λ_{max} also reaches saturation at *C*_{Hcys}>7 μ M (Fig. 1c). Therefore, we can assume that above 7 μ M Hcys concentration the ligand exchange process has completed and nanoparticle shells are saturated with Hcys.

Extensive studies of the surface plasmon absorbance for various AuNP systems have been carried out by several groups [37,38,42, 50,51,70,86,92–99]. In particular, it follows from studies of the homocysteine-mediated assembly of AuNP that the interparticle zwitterion interaction of the Hcys-Au system is particularly strong [29] and that the Hcys-mediated assembly of AuNP can be accelerated by an increased temperature and ionic strength of the solution thus reducing the barrier for Hcys attachment to gold nanoparticle surface [29]. Also, the assembly can be reversed by the pH change [29,30].

Similar experiments performed with cysteine indicate that at higher concentrations ($C>15 \,\mu$ M) the kinetics of ligand exchange for both Hcys and Cys is very fast and the exchange is completed within 1 min of mixing AuNP solution with the thioaminoacids. However, at lower concentrations, the ligand exchange is considerably faster for Hcys than for Cys.

3.2. Resonance scattering of the thioaminoacid-mediated ZONYL-capped gold nanoparticle assembly process

Typical light scattering spectrum for a ZONYL FSN surfactant-capped 5 nm diameter Au nanoparticles (AuNP_{5 nm}) in solution is presented in Fig. 3, curve 1, for AuNP_{5 nm} concentration of 6 nM and a constant excitation wavelength $\lambda_{ex} = 550$ nm (1.94 eV). The strong resonant Rayleigh scattering from AuNP_{5 nm} nanoparticles in solution results from the absorption of photons at 550 nm followed by secondary emission without any energy loss. Thus, the coherent elastic Rayleigh scattering with Gaussian peak shape centered at $\lambda_{em} = \lambda_{ex} = 550$ nm is observed. The narrow linewidth of $\Delta \lambda = 15$ nm confirms that the effects due to radiation broadening, density fluctuation, fluorescence, and inelastic Raman scattering are negligible. Note that the background intensity is very low (virtually zero), which is leading to the well defined RELS peaks.

The addition of homocysteine to the ZONYL-capped AuNP_{5 nm} nanoparticles results in strong enhancement of Rayleigh scattering, as indicated in Fig. 3, curves 2–9, obtained for 6 nM AuNP_{5 nm} + $x\mu$ M Hcys, where x = 0 ... 22.2 μ M. Upon addition of Hcys, the solution pH was maintained at pH = 6.0. This pH value is within the range of predominantly neutral (zwitterionic) form of homocysteine (pH = 2.22 to 8.87; pK_{a1} = 2.22 (COOH), pK_{a,2} = 8.87 (NH₂), pK_{a,3} = 10.86 (SH)). The strong enhancement of RELS from AuNP_{5 nm} by Hcys molecules is expected since any size increase of AuNP due to the aggregate formation associated with interparticle interactions with zwitterionic Hcy-Hcys cross-linking should result in stronger scattering. The strong sixth-power dependence of elastic scattering intensity I_{sc} on the



Fig. 3. Resonance elastic light scattering spectra for ZONYL-capped AuNP_{5 nm} for different concentrations of homocysteine, C_{Hcys} [μ M]: (1) 0, (2) 2.22, (3) 3, (4) 4.44, (5) 5.56, (6) 14, (7) 16, (8) 18, (9) 22.22. $C_{AuNP} = 6$ nM, $C_{ZONYL} = 0.22$ %, pH = 6, $\lambda_{ex} = 550$ nm.

nanoparticle diameter *a* follows from the Rayleigh equation for light scattering from small particles:

$$I_{sc} = I_0 N \frac{\left(1 + \cos^2\theta\right)}{2R^2} \left(\frac{2\pi}{\lambda}\right)^4 \frac{\left([n_2 - n_1]^2 - 1\right)}{\left([n_2 - n_1]^2 + 2\right)} \left(\frac{a}{2}\right)^6 \tag{1}$$

where n_1 and n_2 are the refractive indices for the solution and particles, respectively, λ is the wavelength of incident light beam, θ is the scattering angle, N is the number of particles, and I_0 is the constant. For $\lambda = \text{const}$ and other experimental conditions (θ , R, I_0) unchanged, one obtains:

$$\frac{I_{sc,2}}{I_{sc,1}} = \frac{N_2 a_2^6}{N_1 a_1^6} = c_{rel} a_{rel}^6$$
(2)

where indices 1,2 stand for the particles before and after Hcys addition, respectively, $c_{rel} = N_2/N_1$ is the relative concentration of particles after addition of Hcys, and $a_{rel} = a_2/a_1$ is the relative diameter of particles after addition of Hcys. Therefore, the increase in the particle diameter can be estimated as follows:

$$a_{rel} = \left[\frac{I_{sc,2}}{c_{rel}I_{sc,1}}\right]^{1/6}$$
(3)

Furthermore, the relative concentration c_{rel} , which is equal to 1 for a no-aggregation condition and less than 1 for aggregation, can be expressed by:

$$c_{rel} = \frac{N_2}{N_1} = \frac{V_1}{V_2}$$
(4)

where V_i is the effective volume of a single aggregate *i*. Substituting $V_i = (4/3)\pi(a_i/2)^3$, one obtains:

$$c_{rel} = a_{rel}^{-3} \tag{5}$$

and:

$$\frac{I_{\text{sc},2}}{I_{\text{sc},1}} = a_{rel}^3 \tag{6}$$

Therefore, the increase of the particle diameter can be estimated as follows:

$$a_{rel} = \sqrt[3]{\frac{I_{sc,2}}{I_{sc,1}}}$$
(7)

From the data of Fig. 3, the scattering intensity increase is: $I_{sc,2}/I_{sc,1} = 80.44/10.26 = 7.84$ and, hence,

$$a_{\rm rel} = 1.99$$
 (8)

This means that most likely small aggregates composed of only few nanoparticles (e.g. 2–6) are formed. Since a small contribution to the change in particle diameter is also due to the ligand exchange, we have to estimate this contribution. The thickness of the ZONYL shell around AuNP is 1.1 nm (vertical, fully extended orientation, ZONYL FSN-100, with formula $CF_3(CF_2)_m(C_2H_4O)_nCH_2OH$ and average m = 12, n = 6 assumed on the basis of molmass M = 950 g/mol) and the height of Hcys molecule adsorbed on Au is on the order of 0.5 nm based on quantum mechanical evaluation for Hcys adsorbed on a solid Au surface. The structure and dimensions of ZONYL and Hcys molecules are shown later on (Figs. 8–11). Hence, the diameter of a single AuNP, with core of 5 nm diameter would decrease from ca. 7.2 nm to 6.0 nm. Obviously, the diameter decrease cannot explain the observed ~8-fold scattering intensity increase. Therefore, we can con-

clude that Hcys-mediated assembly of AuNP's occurs upon addition of Hcys to the ZONYL-capped AuNP solution and the effective diameter of assemblies is: $a = 1.99 a_0$ (where a_0 is the diameter of Hcys-capped AuNP). This assembly results in a large increase in I_{sc} in accord with the data of Fig. 3. Because there are only very weak interactions between the Hcys molecules and hydrophobic tail of ZONYL, any Hcys-mediated bridging of ZONYL-capped AuNP's, such as that observed upon addition of GSH to citrate-capped AuNP's, cannot take place. Hence, the ligand exchange is the first stage of the interactions between Hcys and ZONYL-capped AuNP and it is followed by Hcys-Hcys interparticle interactions leading to AuNP assembly.

3.3. Ligand exchange processes for ZONYL-capped gold nanoparticles

It is interesting to compare the ligand exchange processes for different aminoacid ligands and ZONYL-capped AuNP. As reported earlier [24,52], these processes differ considerably between aminoacids and these differences are due to highly selective ZONYL-replacement abilities of the particular aminoacids. The plots of RELS intensity vs. aminoacid concentration measured at $\lambda_{ex} = 550$ nm for Hcys, methionine, alanine, histidine, and glutathione, are presented in Fig. 4. They show a strong increase of I_{sc} with C for homocysteine and apparent no response for other aminoacids and glutathione. The I_{sc} vs. C_{Hcys} dependence is sigmoidal with an inflection point at low Hcys concentration indicating a high affinity of Hcys for Au surface, higher than that of ZONYL. From a Boltzmann function fitted to the experimental data for Hcys and ZONYL, we obtain:

$$I_{\rm sc} = A_2 + (A_1 - A_2) / (1 + \exp[(C - C_{1/2}) / s])$$
(9)

where A_1 , A_2 — are the lower and higher I_{sc} plateaus, $C_{1/2}$ is the concentration at the inflection point, and *s* is the slope parameter. The value of $C_{1/2} = 3 \,\mu$ M and the characteristic constant $K_{1/2}^*$ describing the "half-reaction" state of the ligand exchange in the ZONYL replacement by Hcys is: $K_{1/2}^* = 3.3 \times 10^5 \,\text{M}^{-1}$ (note that the value and units of this phenomenological half-reaction-state equilibrium constant are typically different than those for a thermodynamic equilibrium constant for higher order reactions involving more than single molecules). The high value of $K_{1/2}^*$ confirms a high affinity of Hcys to the gold surface in comparison to that of the ZONYL surfactant.

The longer elution time for Hcys than for Cys observed in C18 column chromatography experiments [24,52] is consistent with higher affinity of Hcys than Cys to hydrophobic chains. In the setting of a ZONYL-capped AuNP, this would translate to a slower transfer of Hcys through a ZONYL shell and a slower kinetics of the ligand



Fig. 4. Dependence of elastic light scattering intensity maximum $I_{sc,max}$ for ZONYL-capped AuNP on concentration of analytes: (1) homocysteine, (2) methionine, (3) alanine, (4) histidine, (5) glutathione, $C_{AuNP} = 6$ nM, $C_{ZONYL} = 0.22\%$, pH = 6, $\lambda_{ex} = 550$ nm.

exchange process. Since the opposite is observed, this means that other factors play a role in the ligand exchange mechanism. The interactions of Hcys and Cys with ZONYL molecules are further discussed later on by employing molecular dynamic simulations of a model ZONYL and biomarker molecules.

3.4. Interactions of thioaminoacids with citrate ligands of core-shell gold nanoparticles

Upon addition of homocysteine to citrate-capped AuNP, an increase in resonance elastic light scattering, similar to the one described for ZONYL-capped AuNP, is also observed (Fig. 5), provided that the solution pH is carefully controlled. The RELS spectra in Fig. 5 were obtained at pH = 5.0 for λ_{ex} = 560 nm, for increasing concentrations of Hcys from C_{Hcys} = 0 to 15 μ M. The increase in scattering intensity upon addition of 15 μ M Hcys is $I_{sc.2}/I_{sc.1}$ = 36.2/1.91 = 19.0 (mean of 5 measurements). The 19-fold increase in scattering intensity clearly indicates on the homocysteine-induced assembly of AuNP. Utilizing again Eq. (7), we obtain for the increase of particle diameter: a_{rel} = 2.7.

Similar RELS experiments carried out for other aminoacid ligands and glutathione, presented in Fig. 6, show that the RELS response is highly selective to Hcys, consistent with recent findings [29,43,46] showing that thiol-containing aminoacids adsorb preferentially on a gold surface while glutathione (at neutral pH) is repelled from the citrate shell of nanoparticles. The mechanisms leading to this high selectivity are not well understood, though the importance of this selectivity for analytical determinations of homocysteine in a matrix of aminoacids and glutathione is high.

In order to explore the effects of protonation equilibria for species in solution and in the protective SAM environment of gold nanoparticle shells, we have performed RELS measurements for Hcys and citratecapped AuNP at three different solution pH: 2.0, 5.0, and 9.0. The plot of scattering intensity I_{sc} vs. C_{Hcvs} for these three media is presented in Fig. 7. The three dependencies of I_{sc} vs. C_{Hcvs} for different pH values show completely different behaviors. The curve 1 for pH = 2.0 shows a scattering intensity decrease with increasing C_{Hcys} and establishment of a plateau for C_{Hcys} > 4 μ M. Curve 2 shows a sigmoidal shape with the onset of scattering at $C_{Hcys} = 5 \,\mu\text{M}$ and establishment of a new level of scattering intensity plateau for $C_{Hcys} > 7 \,\mu M$. In the case of the third curve, for pH = 9.0, there is virtually no scattering change seen for the entire concentration range of Hcys examined and the level of scattering is very low ($I_{sc} \approx 8$, for 20 μ M Hcys). Note that the scattering intensity levels established for pH = 2.0 and pH = 5.0 at higher concentrations of Hcys, are different.



Fig. 5. Resonance elastic light scattering spectra for citrate-capped AuNP_{5 nm} for different concentrations of homocysteine, C_{Hcys} [μ M]: (1) 0, (2) 5, (3) 5.5, (4) 5.75, (5) 6.75, (6) 15, C_{AuNP} = 3.8 nM, pH = 5, λ_{ex} = 560 nm.



Fig. 6. Dependence of elastic light scattering intensity maximum $I_{sc,max}$ for citrate-capped AuNP_{5 nm} on concentration of analytes: (1) homocysteine, (2) methionine, (3) alanine, (4) histidine, (5) glutathione, (6) cysteine, $C_{AuNP} = 3.8$ nM, pH = 5, $\lambda_{ex} = 560$ nm.

The elucidation of the mechanism of processes leading to the complex behavior of the citrate-capped AuNP – homocysteine system is a key element to understanding the reactivity and assembling properties of functionalized AuNP and their interactions with small biomolecules. The three situations represented by the data of Fig. 7 can be analyzed as follows:

- (i) The low elastic scattering intensity observed at pH=9 (curve 3) for all Hcys concentrations examined is certainly due to the high gold colloid stability which is associated with strong electrostatic interparticle repulsions between deprotonated carboxyl groups that exist in the citrate shell before and in the Hcys-shell after the ligand exchange has taken place.
- (ii) The situation changes at pH = 5 (curve 2) where citrates are still predominantly deprotonated (pK_{*a*,1} = 3.09, pK_{*a*,2} = 4.75, pK_{*a*,3} = 5.41) but homocysteine exists as a zwitterion with protonated $-NH_3^+$ group and dissociated COO⁻ group (pK_{*a*,1} = 2.22 (COOH), pK_{*a*,2} = 8.87 (NH₂)). Thus, at low Hcys concentrations ($C_{Hcys} < 5 \mu$ M), scattering is low since it is dominated by interparticle repulsions of negatively-charged citrate shells. As the ligand exchange process progresses, the citrate ions are being replaced by the neutral Hcys molecules. The progression is accelerated at higher Hcys concentrations. The switch from low elastic light scattering intensity to high intensity is observed in the concentration range: 5μ M < $C_{Hcys} < 7 \mu$ M. At $C_{Hcys} = 7 \mu$ M, the



Fig. 7. Dependence of elastic light scattering intensity maximum $I_{sc,max}$ on concentration of homocysteine C_{Hcys} for citrate-capped AuNP_{5 nm} for different solution pH: (1) pH=5 and (2) pH=2.

saturation level is attained. This level can be ascribed to small ensembles of Hcys-linked AuNP where the interparticle attractions are attributed to strong Hcys-Hcys zwitterionic interactions.

(iii) In an acidic solution at low pH (curve 1, pH=2) and in the absence of homocysteine, a strong scattering intensity is observed which is due to the extensive interparticle hydrogen bonding. This occurs because at this pH citrates are predominantly undissociated ($pK_{a,1} = 3.09, pK_{a,2} = 4.75, pK_{a,3} = 5.41$, for citric acid) rendering the gold colloid unstable. The hydrogen bonding is responsible for the formation of gold nanoparticle networks and since the scattering intensity strongly increases with the aggregate size, a high scattering intensity is observed. Upon the addition of homocysteine, the light scattering intensity unexpectedly decreases to a new level, approximately at 50% of the initial scattering intensity value. This can be rationalized by assuming the dismantling of the initial citrate-linked gold nanoparticle ensembles and replenishing the nanoparticle shells with homocysteine in a ligand exchange process. While the newly formed shells are more strongly bound to the gold cores than citric acid based shells do, the Hcys molecules at pH=2 are partially positively charged and cannot form as large the nanoparticle aggregates as citrate-capped AuNP do. In fact, one should expect interparticle repulsions of Hcys-capped AuNP at pH = 2 since $pK_{a,1} = 2.22$ (COOH), $pK_{a,2} = 8.87$ (NH₂) for homocysteine. There are two plausible explanations of this behavior. On one hand, the reported value of $pK_{a,1}$ for Hcys, which has been determined for the solution phase, is not relevant to Hcys molecules adsorbed on gold. A shift of the value of pK_{a.1} to somewhat lower values, would make the Hcys molecules still zwitterionic at pH = 2. However, to evaluate this possibility, the pK_a values for surface bound homocysteine should be determined. On the other hand, some of the partially dissociated citrate molecules may participate in the neutralization and cross-linking of Hcys-capped AuNP. The level of light scattering intensity indicates that the nanoparticle ensembles formed are larger than those formed at pH=5 where pure zwitterionic interactions have been found. Therefore, participation of citrate ligands in the gold nanoparticle cross-linking is likely to occur.

In summary, we have observed for the first time the scattering spectra for the de-aggregation of citrate-capped gold nanoparticle ensembles followed by their conversion to citrate-linked Hcys-capped nanoparticle assemblies.

3.5. Molecular dynamics and quantum mechanical analysis of ligand exchange processes for core-shell gold nanoparticles

The two main monolayer-protective types of shells for AuNP examined in this work differ considerably in their composition and properties, yet they both provide selectivity toward homocysteine versus cysteine in the nanoparticle assembly process. In order to elucidate the intriguing difference one methylene group makes in the behavior of cysteine (HS–(CH₂)₂–NH₂–COOH) and homocysteine (HS–(CH₂)₃–NH₂–COOH), we have performed molecular dynamics and quantum mechanical calculations to characterize the kind of intermediate structures that form on approach of Cys and Hcys molecules to a charged citrate-capped gold nanoparticle. Molecular dynamics simulations have also been carried out to evaluate the interactions of Hcys and Cys with a non-ionic fluorosurfactant-capped gold nanoparticle.

A model gold nanoparticle coated with a monolayer of a fluorocarbon-ether surfactant is presented in Fig. 8. The fluorosurfactant used for model calculations has a composition $CF_3(CF_2)_m$ $(C_2H_4O)_nH$ and consists of a hydrophobic fluorocarbon tail and an ethoxylated chain $-(C_2H_4O)_n-$, with assumed chain lengths: m=6 and n=4. The formation of a tight hydrophobic shell is consistent with the ZONYL-AuNP core-shell structure following studies on Au solid



Fig. 8. Model ZONYL-capped gold nanoparticle; electron density surfaces for d = 0.08 au⁻³, calculated for a fluorosurfactant molecule with formula $CF_3(CF_2)_m(C_2H_4O)_nH$ with m = 6, n = 4, with electrostatic potential map (color coded from negative – red, to positive – blue).

electrode surfaces [91]. In order to understand the behavior of cysteine and homocysteine in the surroundings of a fluorosurfactant shell, we have performed molecular dynamics simulations of the interactions of a biomarker with different parts of the fluorosurfactant molecule: (a) the top $-CF_3$ group of the molecule, (b) the side of the $-(CF_2)_m$ tail, and (c) the side of the ethoxy chain. In Fig. 9, shown are cysteine molecules interacting with a fluorosurfactant molecule at these three positions. While there is virtually no effect of cysteine on the conformation of the fluorosurfactant molecule when cysteine interacts at the top or at the side of the hydrophobic tail, there is a change of the conformation observed when cysteine interacts with the ethoxylated part of the fluorosurfactant. A tendency of the ethoxy chain toward surrounding the cysteine molecule is observed in later stages of the simulation. Similar molecular dynamics simulations were performed for homocysteine. Fig. 10 illustrates the interactions of homocysteine with the top of the surfactant molecule, the side of the hydrophobic tail and the side of the ethoxylated chain. Again, there are no conformational changes in the surfactant molecule when homocysteine interacts with the hydrophobic tail. There are some



Fig. 9. Molecular dynamics simulations of interactions of cysteine with a model fluorosurfactant molecule with formula CF3(CF2)m(C2H4O)nH with m=6, n=4; positions of cysteine: (a) at the top of the surfactant molecule, (b) at the side of the hydrophobic -(CF2)m- chain, and (c) at the side of ethoxy chain.



Fig. 10. Molecular dynamics simulations of interactions of homocysteine with a model fluorosurfactant molecule with formula CF3(CF2)m(C2H40)nH with m=6, n=4; positions of homocysteine: (a) at the top of the surfactant molecule, (b) at the side of the hydrophobic -(CF2)m chain, and (c) at the side of ethoxy chain.

conformational changes in the surfactant molecule when homocysteine interacts with ethoxyleted chain, but these changes are much smaller than in the case of cysteine. This may be due to higher polarization of cysteine than homocysteine. The ethoxylated chain attempts to surround the smaller cysteine molecule while lowering the system energy. Therefore, it seems that the stronger interaction of cysteine with the fluorosurfactant may slow down considerably the adsorption competition between cysteine and fluorosurfactant at the surface of an Au substrate and hinder the ligand exchange process. It has been suggested earlier that the stronger affinity of homocysteine to fluorocarbon tail facilitates faster transport of homocysteine than cysteine, which is however, contradicted by the results of C18 column chromatography experiments [52] showing clearly faster elution of Cys than Hcys, consistent with stronger interactions of Hcys with a hydrophobic chain [24]. In addition to that, the shorter cysteine forms less strongly bound film of SAM on gold than longer homocysteine so in the adsorption competition, cysteine is a weaker competitor to the fluorosurfactant than homocysteine. In summary, there seem to be both thermodynamic as well as kinetic aspects of the ligand exchange between the thioaminoacids and the fluorosurfactant that lead under carefully selected conditions to a much higher effectiveness of homocysteine, in relation to that of cysteine, in replacing ZONYL from the gold nanoparticle protective shell.

The interactions of cysteine and homocysteine with citratecapping film have also been considered. At the pH of measurements (pH 5–6), the citrate shell is charged negatively providing a long-term stability for the gold colloid, whereas both cysteine and homocysteine are in the form of zwitterions with protonated $-NH_3^+$ group and dissociated $-COO^-$ group. The main interaction of the electrostatic nature between $-COO^-$ group of the nanoparticle shell and $-NH_3^+$ group of the approaching thioaminoacid is expected with strong repulsions between dissociated carboxylate groups of the citrate and Cys or Hcys molecules. The results of molecular dynamics simulations and quantum mechanical calculations obtained are presented below.

In Fig. 11, the interactions of cysteine and homocysteine with citrate ions in a ligand exchange process are analyzed. It is seen that both Cys and Hcys form intermediate surface complexes on approaching to a citrate-capped gold nanoparticle. Within the framework of electrostatic



Fig. 11. Interactions of cysteine and homocysteine with citrate ions in a ligand exchange process: (a, b) surface complex formation through hydrogen bonding calculated for (a) Cit-Cys and (b) Cit-Hcys using molecular dynamics, and (c, d) electron density surfaces for $d = 0.08 \text{ au}^{-3}$, with electrostatic potential map for (c) Cit-Cys and (d) Cit-Hcys; electrostatic potential: color coded from negative – red to positive – blue.

attractions between COO⁻ group of the nanoparticle shell and NH₃⁺ group of the thioaminoacid, a double hydrogen bond is formed for both the Cit-Cys and Cit-Hcys complexes. Immediately seen is, however, a completely different configuration of the thioaminoacid in the surface complex formed. Whereas a cysteine molecule forms a kind of axial (linear) configuration extending out of the citrate protective SAM, the homocysteine tends to bend out of the axial conformation and toward the citrate side-chain and the electrode surface. The lack of flexibility of the cysteine molecule has already been pointed out when comparing ring-forming abilities of these two molecules [32]. Here, the bending toward the citrates side chain results in the substantial difference in the distance of the thiol group to the gold surface. This distance is 0.75 nm for Cit-Cys surface complex and only 0.38 nm for Cit-Hcys complex. This difference can be translated to classifying the thioaminoacid position as being outside of the shell (in the case of cysteine) or inside the shell (in the case of homocysteine). The large difference in the observed light scattering intensity between Cys and Hcys can be explained by easier and faster penetration of Hcys into the citrate-dominated gold nanoparticle shell followed by citrate ligand replacement. After the ligand exchange has been completed, the zwitterion-type interactions begin to operate leading to the nanoparticle assembly and manifested by the sharp increase in the resonance elastic light scattering, as observed experimentally. On the other hand, in the case of cysteine, the ligand exchange process is strongly hindered by cysteine inability to enter the citrate protective shell due to the axial conformation of the surface complex Cit-Cys.

4. Conclusion

The results demonstrate clearly the differences between cysteine and homocysteine in their ability to ligand exchange with non-ionic fluorosurfactant-capped AuNP, as well as with negatively charged citrate-capped AuNP. These intriguing differences appear as an amplification of a small structural difference in the molecular buildup (one methylene group), which progresses through several stages leading to the final sensitive detection in the gold nanoparticle assembly process. The selective stages include kinetic retardation due to interactions of the thioaminoacids with the fluorosurfactant chain or formation of charge-induced H-bonded complexes, as in the case of citrate-capped AuNP. Conformational differences in these surface complexes on one hand prevent cysteine from entering the citrate shell and on the other hand pull the homocysteine into the citrate film, thus shortening the distance between the thiol part of the biomarker and the Au surface and making it easier to form Au thiolate bond. We have observed for the first time the RELS characteristics for de-aggregation of citrate-capped gold nanoparticle ensembles followed by their conversion to citrate-linked Hcys-capped nanoparticle assemblies. The ligand exchange effects and gold nanoparticle assembly induced by thioaminoacid zwitterionic interparticle interactions are important in understanding physicochemical aspects of small biomolecule interactions with metal nanoparticles as the use of the latter is widely being explored for new nanomedical applications. The observed differences in the behavior of structurally similar cysteine and homocysteine have profound implications in their analytical determinations using rapid and inexpensive measurement

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techniques important for the oxidative stress screening and preven-

tion of environmental pollution effects on human health.

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Resonance elastic light scattering (RELS) spectroscopy of fast non-Langmuirian ligand-exchange in glutathione-induced gold nanoparticle assembly

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ABSTRACT

The interactions of a biomolecule glutathione (GSH) with citrate-capped gold nanoparticles (AuNP) have been investigated to evaluate the viability of a rapid GSH-capture by gold nanoparticle carriers, as a model system for applications ranging from designing nanoparticle-enhanced functional biosensor interfaces to nanomedicine. The measurements, performed using resonance elastic light scattering (RELS) spectroscopy, have shown a strong dependence of GSH-induced scattering cross-section on gold nanoparticle size. A large increase in RELS intensity after injection of GSH, in a short reaction time ($\tau = 60$ s), has been observed for small AuNP (5 nm dia.) and ascribed to the fast ligand-exchange followed by AuNP assembly. The unexpected non-Langmuirian concentration dependence of scattering intensity for AuNP_{5nm} indicates on a 2D nucleation and growth mechanism of the ligand-exchange process. The ligand-exchange and small nanoparticle ensemble formation followed by relaxation have been observed in long term (10 h) monitoring of GSH-AuNP interactions by RELS. The results of molecular dynamics and quantum mechanical calculations corroborate the mechanism of the formation of hydrogen-bonded GSH-linkages and interparticle interactions and show that the assembly is driven by multiple H-bonding between GSH-capped AuNP and electrostatic zwitterionic interactions. The RELS spectroscopy has been found as a very sensitive tool for studying interparticle interactions. The application of RELS can be expanded to monitor reactivities and assembly of other monolayer-protected metal clusters, especially in very fast processes which cannot be followed by other techniques.

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1. Introduction

The gold nanoparticles (AuNP) have recently gained outstanding interests owing to their size and shape dependent plasmonic optical properties [1–6], ease of functionalization [7–12], and the ability to penetrate the cell membranes. Numerous prospective applications of AuNP relate to the detection of biomolecules [13-16], including DNA strands [17-25], the design of photonic and bioelectronic devices, and the nanomedicine [26-29]. In the latter field, AuNP benefit medical diagnostics, cancer therapy, and contribute to imaging enhancements. The potential application of AuNP in cancer treatment involves targeted drug delivery and photodynamic therapy (PDT). The nanoparticles concentrated in a tumor tissue can deliver chemotherapeutic agents to kill the cancer cells or act as the light scatterers for PDT. In both cases, the specific reactivities of AuNP with biomolecules have to be taken into account. Since the propensity of AuNP to strongly interact with thiol species is one of the most pronounced properties of AuNP, the potential interactions of AuNP with the natural body thiols such as the glutathione (GSH), cysteine (Cys), and homocysteine (Hcys), are of the utmost importance. Therefore, studies of the interactions of functionalized AuNP with GSH and other biomolecules are important not only to elucidate mechanistic issues and describe new phenomena encountered in these systems but also to further the understanding of effects occurring in real tissue environment and to improve the practical therapeutic outcomes.

Glutathione, which adsorbs readily on gold surfaces [10,11,30–32] is a tripeptide (glutamate-cysteine-glycine) with sulfhydryl group able to form a strong Au-S bond. Different kinds of interparticle interactions between GSH, cysteine and homocysteine have been recently discussed by Maye et al. [33]. Such molecules as homocysteine [34,35], glutathione (GSH) [35-37], and aminoacids [35,38-40] have been found to influence the spectral surface-plasmon (SP) characteristics. Previously, we have investigated the interactions and electrochemical reactivity of Hg(II) on GSH-modified gold piezoelectrodes [10,11,41]. The GSH-SAM permeability to ionic species has been demonstrated for Hg(II) [10,11], Pb(II) [30], Ni(II) [30], and Cu(II) [31] using metal adatom probe, nanogravimetry and chronoamperometry. Gooding and co-workers [42] studied GSH bonded to mercaptopropionic acid as the sensor for Cd(II). The interactions of adsorbed GSH with Cu²⁺ have also been studied [31,32]. It has been shown that GSH-SAM's formed

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on Au piezoelectrodes can act as chemically controlled ion gates [30,43–46] and as templates for metal depositions [31].

In living organism's homeostasis, GSH and its oxidized disulfide form (GSSG) constitute the main redox regulation system. It protects cells against organic peroxides and damaging radicals, and is involved in signaling processes associated with cell apoptosis. The diminished active GSH levels in cells and body fluids lead to the reduced antioxidation capacity [47] to protecting against radicals and have been found to increase susceptibility to autism [48,49], diabetes [50], and other diseases [47,49–55]. The low GSH levels have been found to be caused by oxidative stress and exposure to toxic heavy metals (Hg, Cd, Pb). GSH and phytochelatines with general structure (γ -Glu-Cys)_nGly participate as the capping agents [56,57] in heavy-metal sulfide nanoparticles formed in living organisms in natural detoxification processes [58–61].

The glutathione-mediated gold nanoparticle assembly has been studied by Sudeep et al. [36] and by Zhong and co-workers [37] and strong effects of pH and electrolyte concentration have been found. A model based on two-point zwitterionic interparticle interactions has been proposed [62]. Directional growth of GSH-linked gold nanorod assemblies has been observed by Kou et al. [63] whereas the competitive adsorption of GSH and thiolated oligonucleotides has been investigated by Ackerson et al. [64]. Recently, GSH has been found to participate in the degradation of Pt(II)based DNA intercalators utilized in chemotherapy.

In this work, we have investigated interactions of glutathione with gold nanoparticles and explored the utility of the resonance elastic light scattering (RELS) spectroscopy to monitor GSH-induced AuNP assembly. This technique has been developed [65-70] as a sensitive technique for the detection of bioorganic complexes. The resonance elastic light scattering from molecules and nanoparticles in solution results from the absorption of photons followed by an immediate coherent re-emission of light in all directions without any energy loss [70]. We have recently applied RELS to study nanoparticle assembly [71] and found it to be very sensitive to supramolecular ensemble formation in the system homocysteine-citratefluorosurfactant-AuNP. In this paper, we describe new phenomena associated with the interactions of GSH with AuNP and elucidate the mechanism of the multi-step process leading to the assembly of GSH-capped AuNP networks. For the model system based on high concentration levels of GSH and short time-scale AuNP assembly, an unexpected non-Langmuirian ligand-exchange kinetics has been found. Details of the experiments and implications of this finding are discussed.

2. Materials and methods

2.1. Chemicals

All chemicals used for investigations were of analytical grade purity. L-glutathione reduced (GSH), minimum 99%, glutathione oxidized form (GSSG), tetrachloroauric(III) acid trihydrate (HAuCl₄· 3H₂O), 99.9+% metals basis, were purchased from Sigma Aldrich Chemical Company (Atlanta, GA, USA) and used as received. Sodium citrate dihydrate was obtained from J.T. Baker Chemical Co. (Phillipsburg, NJ, USA). Sodium borohydride (NaBH₄) was obtained from Fisher Scientific Company. Solutions were prepared using Millipore (Billerica, MA, USA) Milli-Q deionized water (conductivity σ = 55 nS/cm). They were deoxygenated by bubbling with purified argon.

2.2. Apparatus

The imaging analyses of Au nanoparticles were performed using high-resolution transmission electron microscopy (HR-TEM) with Model JEM-2010 (Jeol, West Chester, PA, USA) instrument (200 kV). The elastic light scattering spectra were recorded using LS55 Spectrometer (Perkin Elmer, Waltham, MA, USA) equipped with 20 kW Xenon light source operating in 8 µs pulsing mode allowing for the use of monochromatic radiation with wavelength from 200 nm to 800 nm with 1 nm resolution and sharp cut-off filters: 290, 350, 390, 430, 515 nm. The dual detector system consisted of a photomultiplier tube (PMT) and an avalanche photodiode. Pulse width at half height was less than 10 µs. The UV–Vis spectra were recorded using Perkin Elmer Lambda 50 Spectrophotometer in the range 220–1100 nm or Ocean Optics (Dunedin, FL, USA) Model R4000 Precision Spectrometer in the range from 340 nm to 900 nm.

2.3. Procedures

The Au nanoparticles were synthesized according to the published procedure [72]. Briefly, to obtain 5 nm AuNP, a solution of HAuCl₄ (10 mM, 2.56 mL) was mixed with a trisodium citrate solution (10 mM, 9.6 mL), ratio 1:3.75, and poured to distilled water (88 mL). The obtained solution was vigorously stirred and fresh cold NaBH₄ solution (5 mM, 8.9 mL) was added dropwise. Larger AuNP (22.5 nm dia.) were obtained by mixing 10 mL of HAuCl₄ (10 mM stock solution) with 4 mL of Na₃Cit (38.75 mM) and 86 mL of water. The obtained solution was vigorously stirred and fresh cold NaBH₄ solution (5 mM, 8.9 mL) was added dropwise. The solution slowly turned light gray and then ruby red. Stirring was maintained for 30 min. The obtained citrate-capped core-shell Au nanoparticles were stored at 4 °C. Their size was first estimated from UV-Vis surface plasmon absorption band shift and determined more precisely by HR-TEM imaging to be: 5.0 ± 0.9 nm (*n* = 85) and 22.5 ± 2.6 nm (n = 40); these nanoparticles are denoted as AuNP_{5nm} and AuNP_{22nm}, respectively. No larger particle population was present. The concentrations of AuNP are given in moles of particles per 1 L of solution (usually, in the nM range). The size and distribution of AuNP were also tested using plasmonic absorbance spectra in UV-Vis. For instance, a clear surface plasmon band with λ_{max} = 516 nm for AuNP_{5nm} and a low background beyond that wavelength indicate that no larger particles were present. This was also confirmed by TEM. The pH of AuNP solutions was maintained a citrate buffer (0.46 mM) at pH = 5 for storing and adjusted to 3.24 ± 0.03 before the experiments.

The glutathione stock solutions in water (10 mM) were protected from light and stored at 4 °C. The interactions of GSH with AuNP were monitored by UV–Vis absorption and resonant elastic light scattering (RELS).

Quantum mechanical calculations of electronic structure for Cit and GSH adsorbed on small gold-atom clusters Au_n were performed using modified Hartree–Fock methods [73,74] with 6-311G^{*} basis set and pseudopotentials, semi-empirical PM3 method, and density functional theory (DFT) with B3LYP functional and 6-311G^{*} basis set, embedded in Wavefunction Spartan 6 [74]. The electron density and local density of states (LDOS) are expressed in atomic units, au⁻³, where 1 au = 0.529157 Å and 1 au⁻³ = 6.749108 Å⁻³.

3. Results and discussion

3.1. Effect of GSH on resonance elastic light scattering of AuNP nanoparticles

Typical RELS spectrum for a citrate-capped AuNP_{5nm} solution is presented in Fig. 1a, curve 1, for a constant excitation wavelength $\lambda_{ex} = 640$ nm (1.94 eV). The scattering intensity peak with a Gaussian peak shape centered at $\lambda_{em} = \lambda_{ex} = 640$ nm and with a narrow linewidth of $\Delta \lambda = 14$ nm confirms that the effects due to radiation broadening, density fluctuation, fluorescence, and inelastic Raman



Fig. 1. Upper panel: (a) light scattering spectra for 10.1 nM AuNP_{5nm} in the absence (1) and in the presence (2) of 5 mM glutathione, recorded for the incident beam wavelength λ_{ex} = 640 nm (spectra recorded within 1 min of glutathione injection). Lower panel: (b) high-resolution TEM image of AuNP_{5nm}; atomic rows with distance 0.24 nm are seen; (c) HR-TEM image of small GSH-linked AuNP_{5nm} assemblies.

scattering are negligible. The background intensity is very low (virtually zero) providing excellent conditions for a sensitive analysis with well defined RELS peaks.

In the presence of GSH, the light scattering from AuNP_{5nm} nanoparticles is strongly enhanced (Fig. 1a, curve 2). The solution pH was 3.24 ± 0.03 which is within the range of predominantly neutral (zwitterionic) form of GSH (pH = 2.04-3.4; pK_a values for GSH are: $pK_1 = 2.04$ (glutamate –COOH), $pK_2 = 3.4$ (glycine –COOH), $pK_3 = 8.72$ (-SH group), $pK_4 = 9.49$ (-NH₂ group)). Note that surface pK_a values may shift for surface-confined GSH similar to other molecules with pH-sensitive pendant groups ([75-81]), hence, these values are used only as guidelines. However, the strong RELS increase in pH range close to 3.2 confirms that zwitterionic form of GSH is in relative predominance in the AuNP shells, otherwise the assembly and strong scattering would not be observed. The enhancement of RELS from AuNP_{5nm} by GSH molecules is attributed to the size increase of AuNP due to the ligand exchange (i.e. replacing short-chain citrate molecules in the nanoparticle shell with longer-chain GSH molecules) and/or interparticle interactions leading to AuNP assembly. The strong sixth-power dependence of elastic scattering intensity I_{sc} on the nanoparticle diameter *a* follows from the Rayleigh equation for light scattering from small particles:

$$I_{\rm sc} = I_0 N \frac{(1 + \cos^2 \theta)}{2R^2} \left(\frac{2\pi}{\lambda}\right)^4 \frac{\left(\left(n_p - n_s\right)^2 - 1\right)}{\left(\left(n_p - n_s\right)^2 + 2\right)} \left(\frac{a}{2}\right)^6 \tag{1}$$

where n_p and n_s are the refractive indices for the particles and the solution, respectively, λ is the wavelength of incident light beam, θ is the scattering angle, N is the number of particles, and I_0 is the constant. Taking into account the decrease in particle concentration

due to assembly and assuming λ = const and other experimental conditions (θ , R, I_0) unchanged, the increase of the effective particle diameter a_{rel} can be estimated using the formula [71]:

$$a_{\rm rel} = \frac{a_1}{a_0} = \sqrt[3]{\frac{I_{\rm sc,1}}{I_{\rm sc,0}}}$$
(2)

where indices 0 and 1 stand for the particles before and after GSH addition, respectively. From the data of Fig. 1a, the scattering intensity increase is: $I_{sc,1}/I_{sc,0} = 9.09$ and, hence, $a_{rel} = 2.09$. This means that most likely small aggregates composed of only few nanoparticles (e.g. 2–6) are formed. Similar experiments performed with larger Au nanoparticles show a completely opposite effect of GSH addition. In Fig. 2a, the RELS spectrum for a solution of AuNP_{22nm} ($C_{AuNP} = 1.42$ nM) is shown in the absence of GSH (curve 1) and in the presence of 5 mM GSH (curve 2). Now, the addition of GSH to the Au nanoparticles, unexpectedly, results in a strong quenching of the Rayleigh scattering. To elucidate these differences in the behavior of AuNP_{5nm} and AuNP_{22nm}, further investigations of full-scan RELS spectroscopy have been carried out.

The full-scan RELS spectra for small and large AuNP are presented in Fig. 3. For small AuNP_{5nm} nanoparticles, a dramatic increase of the resonant scattering intensity in the entire photon energy range scanned is observed. The I_{sc} maximum for AuNP_{5nm} alone is at λ_{max} = 625 nm. The broad RELS peak generated by the interactions of GSH with AuNP_{5nnm} is shifted to longer wavelengths and appears at λ_{max} = 655 nm. Similar RELS spectra forlarger nanoparticles (AuNP_{22nm}), presented in Fig. 3b, show that the I_{sc} maximum for AuNP_{5nm} alone is larger than that for AuNP_{5nm} alone, consistent with stronger scattering for larger particles. How-



Fig. 2. Upper panel: (a) light scattering spectra for 1.42 nM AuNP_{22nm}, in the absence (1) and in the presence (2) of 5 mM glutathione (spectra recorded within 1 min of glutathione injection); incident beam wavelength: $\lambda_{ex} = 640$ nm. Lower panel: HR-TEM images of AuNP_{22nm} before (b) and after (c) addition of 5 mM GSH.



Fig. 3. RELS spectra I_{sc} vs. λ for: (a) small nanoparticles (AuNP_{5nm}) and (b) larger nanoparticles (AuNP_{22nm}) in the absence of GSH (1) and in the presence of 5 mM GSH (2), recorded within 1 min of GSH injection.

ever, in the presence of GSH an increase in scattering is only observed in the shorter wavelength region, $\lambda < 580$ nm, whereas a decrease in scattering intensity is apparent in the wavelength range above $\lambda = 580$ nm. The origin of the broad scattering peaks with $\lambda_{max} > \lambda_{SP,max}$ nm is ascribed to the increasing reflectivity of free electrons in Au at wavelengths longer than the Frohlich wavelength: $\lambda > \lambda_{Froh} = \lambda_{SP,max}$ where the electrical conductance of nanoparticles becomes a true metallic conductance [82]. At shorter wavelengths, the free conduction electrons in Au are unable to follow fast changing electromagnetic field imposed by the incident light beam.

The scattering intensity increases with nanoparticle concentration as illustrated in Fig. 4 where the dependencies of I_{sc} on C_{AuNP} for AuNP_{5nm} and AuNP_{22nm} are presented. A higher slope $\partial I_{sc}/$ ∂C_{AuNP} is encountered for AuNP_{22nm} than for AuNP_{5nm} consistent with the enhanced scattering by larger particles.

The relationship between the RELS intensity and GSH concentration for small Au nanoparticles, AuNP_{5nm}, measured after $\tau = 60$ s of reaction time does not conform to the Langmuirian pseudo-first-order kinetics. This is illustrated in RELS spectra presented in Fig. 5. The dependence of $I_{sc,max}$ on C_{GSH} is sigmoidal, as shown in Fig. 5b, curve 1, indicating on a kinetic threshold. This dependence indicates on the 2D nucleation and growth mechanism of the ligand-exchange process rather than uniform place-exchange mechanism, for which



Fig. 4. Resonance elastic light scattering intensity I_{sc} on concentration of gold nanoparticles C_{AuNP} for: (1) AuNP_{5nm} and (2) AuNP_{22nm}; incident beam wavelength: $\lambda_{ex} = 640$ nm.



Fig. 5. (a) Elastic light scattering spectra for 10.1 nM AuNP_{5nm} for different concentrations of GSH, recorded within 1 min of GSH injection, C_{GSH} [mM]: (1) 0, (2) 2.67, (3) 3.0, (4) 3.17, (5) 3.33, (6) 5; (b) experimental dependence of l_{sc} vs. C_{GSH} (curve 1, points) fitted with Boltzmann threshold function (line) and (2–5): calculated curves for a hypothetical pseudo-first-order Langmuirian kinetics showing the absence of threshold characteristics in Langmuirian model, k [M⁻¹s⁻¹]: (2) 40, (3) 17, (4) 4, (5) 0.8; τ = 60 s; incident beam wavelength: λ_{ex} = 640 nm.

a pseudo-first-order Langmuirian kinetics describes well the ligand exchange and AuNP assembly in other systems [83–85]. To delineate the difference in the shape of the Langmuirian kinetic characteristics and the one observed experimentally, a family of kinetic curves was calculated for the rate constant values k = 40, 17, 4, and $0.8 \text{ M}^{-1}\text{s}^{1}$ (curves 2–5) using the kinetic equation [86] derived for random place-exchange assembly with pseudo-first-order Langmuirian kinetics:

$$I_{\rm sc} = \varepsilon (1 - \exp\{-kC_{\rm GSH}\tau\}) + I_{\rm sc,ini} \tag{3}$$

where $\varepsilon = I_{sc,fin} - I_{sc,ini}$, *k* is the rate constant, τ is the reaction time, and $I_{sc,ini}$, $I_{sc,fin}$ are the RELS intensities at $\tau = 0$ and $\tau = \infty$, respectively. These curves show clearly the absence of any threshold in contrast to the experimental curves.

3.2. Evolution of surface plasmon absorbance of AuNP on interactions with GSH molecules

To corroborate the conclusion from previous section that gold nanoparticle assembly is responsible for the large scattering increase of $AuNP_{5nm}$ particles upon injection of GSH, we have performed measurements of the surface plasmon band shift under the same conditions as those for Fig. 1. A bathochromic SP band shift and a band broadening are indicative of the SP coupling occurring during the AuNP assembly process [36,37] and can thus be utilized to confirm the assembly. This type of UV–Vis spectroscopic evidence has been supported by the dynamic light scattering (DLS) measurements [33], small-angle X-ray scattering (SAXS) [33,87], and theoretical calculations of spectral shifts [1–5].

The color changes associated with ligand-exchange GSH-induced AuNP assembly are illustrated in Fig. 6. The concentration dependence of the SP absorbance band maximum $\lambda_{max} = f(C_{GSH})$ and $A_{\text{max}} = f(C_{\text{GSH}})$ recorded within 1 min of the reaction time do not represent a uniform ligand exchange, with GSH replacing the existing citrate SAM, but rather a 2D nucleation and growth process. It is seen that the threshold GSH concentration range is from 1.5 to 2.5 mM (Fig. 6b). The value of λ_{max} increases from $\lambda_{max,ini}$ = 522 nm to the final value $\lambda_{max,fin}$ = 576 nm at the plateau established for $C_{GSH} \ge$ 2.6 mM. At the same time, A_{max} goes through a maximum and decreases at higher GSH concentrations. In the first stage, for C_{GSH} < 2.28 mM, absorbance maximum increases with C_{GSH} on account of the increasing diameter of GSH-capped AuNP ensembles, while in the second stage, for $C_{GSH} > 2.28$ mM, absorbance maximum decreases with further increase of C_{GSH} due to the bathochromic shift of the SP band to longer wavelength and natural $1/\lambda$ absorbance decrease. Note that the concentrations required to drive ligand exchange in 60 s of reaction time are much higher than those observed for small thiol molecules such as cysteine or homocysteine which are typically in the low micromolar range. Extensive studies of SP absorbance for various AuNP systems have been carried out by the Zhong group [33–35,88]. In particular, from studies of the GSH-mediated assembly of AuNP [37,62] it follows that the presence of NaCl (10 mM) stimulates GSH-mediated assembly of AuNP_{11nm} while the addition of NaOH (1.6-3.3 mM) reverses it. In our experiments, the pH was maintained at pH = 3.24 ± 0.03 by citrate buffer which is within the range of zwitterionic predominance of GSH species.

The SP band broadening concomitant with bathochromic band shift is clearly observed in Fig. 6. The calculations of the SP band structure for gold and silver nanoparticles have been performed by various groups [1–6] enabling to assess the effects of the size and shape variability of nanoparticles, nanorods, and nanoplates, on plasmonic absorbance. The broadening of the SP band for AuNP has been described by El-Sayed et al. [2,3]. The SP band broadening and bathochromic band shift observed in our experiments corroborate the assembly process.



Fig. 6. Effect of glutathione concentration on absorbance of 10.1 nM AuNP_{5nm} nanoparticle solution: (a) absorbance spectra for C_{CSH} [mM]: (1) 0.1, (2) 0.67, (3) 2.0, (4) 2.33, (5) 2.5, (6) 2.67, (7) 2.83, (8) 3.0, (9) 3.33; above: color change in response to GSH injections (1–9); (b) dependence of the surface plasmon band wavelength λ_{max} on C_{CSH} ; (c) dependence of SP absorbance maximum A_{max} on C

Similar experiments performed with oxidized glutathione GSSG (not shown) indicate that the transition concentration $C_{1/2,GSSG}$ for

half-way shift of peak wavelength λ_p is lower than that for the reduced GSH. For AuNP_{5nm}, $C_{1/2,GSSG}$ = 0.83 mM for GSSG for 1 min reaction time in comparison to $C_{1/2,GSH}$ = 2.0 mM for GSH, as determined from fitting of the Boltzmann function:

$$\lambda_p = \lambda_{p,\max} + \frac{(\lambda_{p,\min} - \lambda_{p,\max})}{\left(1 + \exp\left\{\frac{C - C_{1/2}}{s}\right\}\right)}$$
(4)

where $\lambda_{p,\min}$, $\lambda_{p,\max}$ – are the minimum and maximum values of the peak wavelength λ_p , $C_{1/2}$ is the concentration at the inflection point, and *s* is the slope parameter. The lower value of $C_{1/2}$ for GSSG than for GSH can be ascribed to the higher 2D nucleation rate for the disulfide where each GSSG molecule contributes 2 GS⁻ moieties to the forming 2D nucleus.

3.3. Long-term monitoring of GSH-mediated AuNP assembly by resonance light scattering

The temporal evolution of RELS signal at λ_{ex} = 640 nm, for a 2.5 nM AuNP_{5nm}, after addition of GSH (5 mM final concentration), is presented in Fig. 7, for the time span of 10 h. Immediately after GSH injection, a jump of scattering occurs (stage I). This initial reactivity, completed within 1 min of GSH injection, represents a straight vertical line in Fig. 7. As discussed in the next section, the ligand-exchange and GSH-mediated AuNP assembly into small ensembles are responsible for this large change in the scattering cross-section. The initial jump of scattering is followed by a slow exponential decrease of scattering (stages II and III) which is attributed to the film ordering and relaxation after the completion of the ligand-exchange and formation of small assemblies. This process lasts approximately 4.5 h. The duration of the first part of the decay (stage II) is approximately 1.5 h and results in a 12% decrease in RELS intensity. This period is then followed by ca. 3 h of very slow scattering changes (stage III of apparent colloid stability). After that a slow decay of scattering is observed (stage IV) which is due to C_{AuNP} depletion arising from the sedimentation of assemblies. Control experiments indicate on a decrease of absorbance and thus confirm the solution depletion due to sedimentation. This is also corroborated by the observation of precipitated networked AuNP material after 24 h. Larger particles (e.g. AuNP_{22nm}) are more likely to show screening of incident light for other AuNP_{22nm} bound in ensembles. Also, the sedimentation begins immediately after the addition of GSH. These might be the main reasons why the decrease of RELS was observed in Fig. 2. To the increased speed of sedimentation may also contribute nanoparticle crystallinity which is higher for AuNP_{22nm} than for $AuNP_{5nm}$ which are spherical. The $AuNP_{22nm}$ nanocrystals have large flat surfaces and the attachment of two particles becomes then much stronger since the interparticle interactions are stronger. This in turn induces the formation of larger aggregates for which both the screening is more efficient and precipitation is faster.

3.4. Mechanistic aspects of the interactions of GSH with AuNP

On the basis of measurements described in previous sections, we have evaluated the pathways of GSH interactions and reactivity with core-shell gold nanoparticles. Before presenting the mechanism, it is necessary to assess changes in the thickness of AuNP protecting monolayers. The thickness of a citrate shell around a AuNP is from 0.38 nm (flat orientation) to 0.70 nm (vertical, fully extended orientation) and the height of a GSH molecule adsorbed on a Au is on the order of 1.18 nm based on EQCN measurements and quantum chemical evaluation [30] for GSH adsorbed on solid QC/Au piezoelectrodes. The structure and dimensions of Cit and GSH molecules are shown in Fig. 8. During the ligand exchange (AuNP@Cit+GSH = AuNP@GSH + Cit), the diameter of a single



Fig. 7. Upper panel: (a) temporal evolution of RELS intensity at $\lambda = 640$ nm for a 2.5 nM AuNP_{5nm} nanoparticle solution after injection of 5 mM GSH. Lower panel: HR-TEM images obtained during a GSH-induced AuNP assembly; increasing density of AuNP networks from (b) to (e).

AuNP, with core of 5 nm dia., would increase from ca. 6.4 nm to 7.4 nm, or by 15.6%. This is rather a small change of the diameter and so it cannot account for the observed 9-fold scattering intensity increase observed upon injection of GSH to $AuNP_{5nm}$ solution. The change in the refractive index on ligand replacement is small due to the similarity of organic shells and is thus neglected. Therefore, upon addition of GSH to a solution of citrate-capped AuNP, a GSH-mediated assembly of AuNP@GSH must take place.

According to our estimates based on RELS measurements, the diameter of the assemblies is approximately doubled (the effective diameter: $a_1 = 2.1 a_0$, where a_0 is the diameter of the original citrate-capped AuNP). The GSH-capped AuNP assemblies are depicted in Fig. 8.

During the film relaxation period (stage II), the newly formed GSH SAM is being compacted and the film ordering processes take place. It is also rational to assume that any intermediate structures composed of linker molecules present in the interparticle space are disassembled and removed in favor of more stable direct particle-to-particle bonding through the zwitterionic and H-bond forces.



Fig. 8. (a) Comparison of heights of AuNP capping molecules: citrate and glutathionate (atoms: yellow – sulfur, red – oxygen, blue – nitrogen, gray – carbon, light gray – hydrogen); (b) small assemblies of *n* GSH-linked AuNP with diameter $2a_0 < a < 3a_0$ (n = 3 to 6, marked at the assemblies), where a_0 is the diameter of single AuNP (n = 1). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

3.5. Interparticle hydrogen bonding and electrostatic interactions

In order to verify the feasibility of the mechanism presented above, molecular dynamics simulations and quantum mechanical calculations of structural interdependencies of interacting molecular capping agents and cross-linkers were conducted.

The initiating interactions of GSH molecules with citratecapped AuNP is likely to break hydrogen bonds between citrate molecules in the citrate SAM on AuNP making the citrate ligands more vulnerable to be pulled out the SAM. One example of such bonding between GSH linker and citrate-capped AuNP is presented in Fig. 9a. The ligand-exchange that follows leads to the complete replacement of weakly bound citrate SAM with more strongly bound GSH-SAM on account of the strong Au-S bonding. While in the stage I of GSH interactions with AuNP the ligand-exchange is completed, provided that sufficiently high concentration of GSH is used ($C_{GSH} > 2.5$ mM), it is likely that interparticle linker structures are formed which facilitate the fast assembly of AuNP, as indicated by the large jump in the scattering intensity. It is rational to assume that these structures (e.g. AuNP@GSH – GSH(aq) - AuNP@GSH) release the linker molecules during the relaxation stage II in favor of directly bonded particle-to-particle ensembles with strong zwitterionic and hydrogen bonding. An example of such interparticle bonding is presented in Fig. 9c. This process represents compacting of ensembles and is therefore reflected in the decrease of scattering. The experimental decrease of ca. 12% of scattering is consistent with this model. Due to the flexibility and intrinsic multiple-functionality of GSH ligands, a wealth of interparticle interactions becomes possible. Hence, the interparticle interactions of GSH-capped AuNP have been further analyzed by considering the formation of multiple hydrogen bonds as depicted in Fig. 10. It is seen that there are several possibilities for the formation of hydrogen bonds, from a single H-bond up to a triple H-bond. In Fig. 10a, a single H-bond COOH'-COOH" formed between COOH groups from two GSH molecules is presented. In Fig. 10b, another single H-bond COOH–NH₂ is shown which forms between COOH group from one GSH molecule and NH₂ group from another GSH molecule. Then, in Fig. 10c-e, double H-bonds are presented. They can be formed as follows: (c) two H-bonds on the same couple COOH'-COOH" where one carboxyl is from one GSH molecule and one from the second GSH molecule; (d) COOH'-COOH" and COOH'-COOH"', where the same COOH' from one GSH molecule forms two H-bonds with two different carboxyl groups COOH" and COOH"' from the second GSH molecule; (e) one H-bond COOH'-NH2 and one COOH"-COOH where two different carboxyl groups (COOH' and COOH") in one GSH molecule are involved. A triple H-bond is shown in Fig. 10f: two H-bonds in one carboxylate couple COOH'-COOH" plus one H-bond COOH"'-NH₂. This means that there is a lot of configurational flexibility in GSH molecules to achieve one- to triple-H-bonding and thus the ensembles of GSH-capped AuNP are considerably strengthened by the selection of H-bonding opportunities.

The formation of hydrogen bonds is augmenting strong zwitterionic interactions due to the polarized groups $COO^--NH_3^+$ operat-



Fig. 9. Mechanism of interactions of GSH with gold nanoparticles: (a) formation of interparticle linkages, (b) ligand exchange, (c) interparticle hydrogen bonding; gold nanoparticles drawn not-to-scale; in (b): electron density surfaces for a model citric acid and glutathione for electron density $d = 0.1 \text{ au}^{-3}$, color coded electrostatic potential: from blue – positive, to red – negative potential. Internal hydrogen bonds are also seen in citric acid and glutathione in (b). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

ing in the pH range from 2.04 to 3.4. At pH < 2.04 and pH > 3.4, electrostatic repulsions become the dominant force in the interparticle interactions between GSH-capped gold nanoparticles.

The results presented above corroborate the mechanism of interactions of glutathione molecules with citrate-capped gold nanoparticles, the formation of GSH linkages, and the interparticle interactions of AuNP.

4. Conclusions

We have demonstrated that RELS spectroscopy can provide a wealth of information about the interactions of small biomolecules, such as glutathione, with gold nanoparticles and can be applied to monitor the ligand-exchange processes followed by AuNP assembly. The RELS spectroscopic measurements, in conjunction with plasmonic UV–Vis absorbance and HR-TEM imaging, have revealed that the interactions of glutathione with gold nanoparticles are complex and proceed through several stages: (i) ligand-exchange and interparticle cross-linking resulting in AuNP assembly, (ii) relaxation of AuNP ensembles with GSH-SAM ordering and disassembly of interparticle linker structures evidenced by the decrease in light scattering, (iii) period of a metastable colloidal solution (steady-state), (iv) further aggregation with sedimentation. Strong dependence of scattering cross-section on GSH concentration has been found for small AuNP (5 nm dia.) with 9-fold increase of RELS intensity I_{sc} (for $C_{AuNP,5nm} \approx 10$ nM and $C_{GSH} > 2.5$ mM). The calculated effective diameter of assemblies is $2.1a_0$ indicating on the formation of small ensembles (2-6 original nanoparticles). For larger AuNP (22.5 nm dia.), an opposite effect has been observed where the addition of GSH caused a sharp decrease in I_{sc} , ascribed to more extensive assembly and sedimentation of aggregates. Indeed, the time-resolved assembly and sedimentation stages in GSH-linked AuNP network formation have been observed in long-term monitoring of GSH-AuNP interactions by RELS for smaller, more stable, AuNP_{5nm} nanoparticle systems. The ligand exchange (citrate for GSH) has been found to deviate from the first-order Langmuirian kinetics observed for some longer chain thiols [83,84] and the obtained characteristics $I_{sc} = f(C_{GSH})$ appear to be a sigmoidal threshold



Fig. 10. Hydrogen bonding between two glutathione molecules from shells of two interacting AuNP; H-bonds marked with a dotted line; atoms: yellow - sulfur, red oxygen, blue - nitrogen, gray - carbon, light gray - hydrogen); GSH molecules are separated with a red dashed line. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

function resembling that obtained recently for homocysteine ligand [71]. Such a ligand-exchange process is likely to encompass a 2D nucleation and growth process rather than a random place-exchange. The observed SP band broadening and bathochromic shift are consistent with the ligand-exchange and GSH-induced AuNP assembly. These control experiments support the conclusions drawn from the results of RELS measurements. Molecular dynamics and quantum mechanical calculations corroborate the interparticle interactions with zwitterionic and multiple H-bonding leading to GSH-induced AuNP assembly observed experimentally. We have found the RELS spectroscopy to be very useful in elucidating mechanistic aspects of interparticle interactions. The application of RELS can be expanded to monitor reactivities and assembly of other monolayer-protected metal clusters, especially for very fast processes which cannot be followed by other techniques.

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ARTICLE TYPE

Multimodal Coupling of Optical Transitions and Plasmonic Oscillations in Rhodamine B Modified Gold Nanoparticles

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The optical pr operties of a photolumine scent d ye Rhodamine B (RhB) interacting with gold nanoparticles (AuNP) have b een investigated using plasmonic absorbanc e, fluorescence, and resonance elastic light scatteri ng (RELS) spe ctroscopy. We have found th at these interactions ¹⁰ result in a m ultimodal coupling that influ ence op tical t ransitions in RhB. In absorbance measurements, we have observed for the first time the coupling resulting in strong screening of

- RhB π - π^* transitions, likely caused by a contact adsorption of RhB on a conductive surface of AuNP. The nanoparticles quench also very efficiently the RhB fluorescence. We have d etermined that the static quenching mechanism with a non-Fö rster fluorescence resonance energy transfer
- ¹⁵ (FRET) from RhB molecules to AuNP is involved. The Stern-Volmer de pendence $F_0/F = f(Q)$ shows an upward deviation f rom linearity, attributed to the ultra-high quenching efficiency of AuNP leading to the new extended Stern-Volmer model. A sharp RELS peak of RhB alone ($\lambda_{max} =$ 566 nm) has been observed for the first time and attributed to the resonance fluorescence and enhanced scattering. This peak is completely quenched in the presence of AuNP_{22nm}. Our quantum
- $_{20}$ mechanical cal culations confirm that the distance between AuNP surface and conjugated π electron system in RhB is well within the range of plasmon ic fields extending from AuNP. The optical transition coupling to plasm onic oscillations and the efficient energy transfer due to the interactions of fluorescent dy es with nano particles are important for biophy sical studies of life processes and applications in nanomedicine.

25 1. Introduction

Although known as pigments f or centu ries, the gol d nanoparticles h ave only recently become of a widesp read interest with the emergence of nanotechnology owing to their unique optical and electronic properties $^{1-8}$ and exciting

- ³⁰ applications in new field s such a s na nomedicine ⁹ (fo r imaging, sensing, and thera py), in bio sensors, and in ene rgy conversion de vices ¹⁰⁻¹⁴. The gold nanopart icles have also been found to act as very efficient quenchers for fluore scent dyes ¹⁵⁻²¹. It is believed that t he quenching process is due to
- ³⁵ the fluorescence resonance energy transfer (FRET) ²²⁻²⁵ from the dy e molecules to gol d nanoparticles (AuNP). Thi s property of AuNP ha s f ound applications in flu orescence microscopy in studies of biochemical processes. For instance, photoluminescent (PL) dy es quenched by AuNP can b e
- ⁴⁰ attached to AuNP thro ugh a ssDNA chai n enabling very sensitive single-mismatch detection of a targe t DNA ¹⁷. We have recently investigated a num ber of fl uorescent dy es interacting with AuNP and we report here on the interactions of rhodamine B dye with AuNP.
- $_{45}$ Rhodamine B (RhB) is a flu $\,$ orescent dy e belongin g to th e group of xant hene dyes. Owing to the structural rigidity and chemical stability, it shows a high fluorescence quantum yield $\phi_F=0.31^{-26}$. In acidic solutions, RhB is a monocation due to the positive charge on the nitrogen. The deprotonation of the

⁵⁰ carboxylic group at the be nzene ring attach ed to xant hene moiety (pK $_a = 3.2^{-27}$) m akes rhoda mine B a zwitterion in

neutral solutions. According to Magde et al. ²⁶, the em ission maximum for RhB⁺ cation i s $\lambda_{em} = 5.68$ n m and shi fts to a longer wavelength $\lambda_{em} = 583$ nm for RhB zwitterion while the ⁵⁵ quantum efficiency increases from $\phi_F = 0.24$ for RhB⁺ cation to $\phi_F = 0.31$ for RhB zwitte rion. The fluore scence lifetime for RhB⁺ i n water is $\tau_F = 1$. 52 n s and i ncreases i n lo wer dielectric constant solvents (e.g. in octanol, $\tau_F = 3.1.8$). Rh B is us ed extens ively in ELIS A assays, flow cytometry, and ⁶⁰ fluorescence micros copy ²⁸. In order t o atta ch RhB a s the label to a pr otein insi de a ce ll, the isot hiocyanate group (-N=C=S) is introduced to the carboxy phenyl ring in Rh B and this derivative is then reactive toward a mine groups on the protein. Due to high lipophilic ity, RhB has been employed as ⁶⁵ the fluorescent probe for imaging organelles ²⁹.

- The För ster-type flu orescence quenchi ng due to FRET between RhB and biocompounds is often utilized in analytical determinations. The optical t racking, based on FRET, of organically modified silica nanoparticles as DNA carriers has
- ⁷⁰ been proposed by Prasad et al. ³⁰. This method can provide a new, n on-viral, nano medicinal approach for gene delivery. The meth ods of covalent att achment of FRET sy stems to bioactive molecules have been widely investigated, including the incorporation of novel FRET systems to synthetic DNA ³¹.
- ⁷⁵ The benzot riazole-derivatized RhB ha s recently been proposed as a s trongly adhe ring dy e for s urface-enhanced resonance Raman scattering analysis ³². Interestingly, silver nanoparticles d o not ap pear t o quenc h the f luorescence o f RhB ³³, although the opp osite is true f or R6G. Less p rone to ⁸⁰ environmental variation i s Rh 101, whic h is also use d as a

fluorescence polarization s tandard ³⁴, but its absorbance and emission spectra are red-s hifted and offer smaller spectral overlap with AuNP than RhB does. I n gene ral, a RET in a bimolecular dye-quencher sy stem, in volves a sin gle dip ole-

- $_{\rm 5}$ dipole interaction formulating the Förster RET. In the case of a d ye-nanoparticle sy stem, the dipole-di pole interaction i s replaced with a dipole-multipole i nteraction $^{35, 36}$ characteristic for the na nomaterial s urface energy trans fer (NSET) with an extended (1/R⁴) distance dependence.
- ¹⁰ For studies of particle and molecule interactions presented in this work, we have applied fluorescence sp ectroscopy and resonance elas tic light s cattering (REL S) spectroscopy. The RELS technique is inhe rently sensitive to t he interparticle distance and the dielectric function of the m edium
- ¹⁵ surrounding the m etal nanoparticles. The elastic light scattering i s a fast two-step process in which light is momentarily a bsorbed by a particle and then the a bsorbed energy, without an y loss, is coherently re-em itted i n all directions. RE LS occurs when pola rizable particles a re
- ²⁰ subjected to t he oscillating el ectric field of a beam of light which induces oscillating dipoles in the particles and these dipoles radiat e light to the sur roundings. The REL S spectroscopy h as beco me a very sensitive and convenie nt technique in a nalytical determinations of interacting proteins,
- ²⁵ DNA, and complexes ³⁷⁻⁴⁰. The light-scattering properties of AuNP applied as label s ha ve been utilized in biom edical imaging, in optical coherence tomogra phy ^{41, 42}, dar k-field microscopy ^{43, 44}, and multi -photon micro scopy ^{45, 46}. T he absorption of light by AuNP, followed by scattering an d
- ³⁰ nonradiative de-excitation processes, leads to the temperature increase which is applied in cancer therapy ⁴⁷⁻⁴⁹ to kill cancerous cells and a s a sensitivity e nhancement in photoacoustic spectrosc opy ^{50, 51}. Theoretical description of optical properties of AuNP is based on the Mie theory ⁵².
- ³⁵ Extensive s tudies have been perform ed to predict s cattering from Au NP with different shapes and sizes using analytical solutions or discrete dipole approximation simulations ⁵³⁻⁶³. In this work, we have investigated the effects of rhodamine B interactions with gold nan oparticles on optica 1 properties of
- ⁴⁰ the system. We have fo und t hat these i nteractions result in multimodal coupling of opt ical transitions and surface plasmon oscilla tions. T hese phenom ena, de scribed in detail, are important for biom edical applications of f luorescent dy es and metal nanoparticles.

45 2. Experimental

Chemicals. All che micals used for investigations we re of analytical grade purity . Fluo rescent dye Rhoda mine B and tetrachloroauric(III) acid t rihydrate (HAuCl₄·3H₂O), 99.9+% metals ba sis, were purcha sed from S igma Al drich Che mical

- ⁵⁰ Company (Atlanta, GA, U. S.A.) and us ed as received. Sodium citrate, dihy drate (C ₃H₂(OH)(COONa)₃·2H₂O) w as obtained from J.T. Baker Chemical Co. (Phillipsburg, NJ, U.S.A.). So dium borohydride (NaB H₄) was obtained fr om Fisher Scientific Co mpany (Pitt sburgh, PA, U.S.A.).
- ss Solutions we re prepared using Milli pore (Billerica, MA, U.S.A.) Milli-Q deionized water (conductivity σ = 55 nS/cm). *Apparatus.* A hig h-resolution t ransmission electr on

microscope (HR-TEM), Model JE M-2010 (Jeol, West Chester, PA, U.S.A.), with 200 kV accelerati ng voltage, was 60 used f or i maging of Au nan oparticles. The elastic light scattering spectra were recorded us ing LS55 Sp ectrometer (Perkin Elmer, Waltham, MA, U.S.A.) equipped with 20 kW Xenon light so urce operating in 8 µs pulsi ng mo de. Pulse width at hal f height was less t han 10 µs. Se parate 65 monochromators for the inci dent and detector beams enabled using monochromatic radiation with wavelengths from 200 to 800 nm with 1 nm resolution. The system was equipped with sharp cut-off filters: 290, 350, 390, 430, 515 nm. The dual detector sy stem consisted of a photo multiplier tube (PMT) 70 and an avalan che photo diode. The RELS spectra we re obtained at 90° angle f rom t he incident (e xcitation) li ght beam. T he excitation bea m monochromator was eithe r scanned si multaneously with t he detector bea m

⁷⁵ wavelength. The UV-Vi s spectra were recorded using Perkin Elmer Model Lambda 50 Spectrophotometer in the range 400 to 900 nm or Model R40 00 Precision Spectrometer (Ocean Optics, Dunedin, FL, U.S.A.) in the range 340 to 900 nm.

monochromator ($\Delta \lambda = 0$) or set at a constant excitation

Procedures. T he Au na noparticles were synthesized by the ⁸⁰ method reported by Turkevich et al. ⁶⁴. Briefly, to obtain 5 nm AuNP, a solution of HAuCl₄ (10 mM, 2.56 mL) wa s mixed with a trisodium citrate solution (10 mM, 9.6 mL), ratio 1: 3.75, and poured to di stilled water (88 mL). The obtained solution was vigorously stirred and fresh cold NaBH₄ solution

85 (5 mM, 8.9 mL) was added dropwise. The solution slowly turned light grey and then ruby red. Stirring was maintained for 30 min. Syntheses were also performed using the citrate reduction of gold under reflux. The final products containing core-shell citrate-capped gold nanoparticles were transpare nt

⁹⁰ ruby red. The mean size of AuNP deter mined by TEM was 5.0 ± 0.9 n m (n = 85) and 2 2.5 ± 0.9 n m (n = 60) f or a borohydride and citrate reduction, respectively; these nanoparticles are denoted as Au NP_{5nm} and Au NP_{22nm}, respectively. The solutions were prepared fresh just before

⁹⁵ the experiments, with citrate buffer, pH = 5, C_{Cit} = 0.46 mM (final concentration). The conc entrations of AuNP's are given in moles of par ticles per 1 L of solution (usually, in the n M range). The concentration ns of Au NP solutions wer e determined from exact amounts of reagents used in synthesis
¹⁰⁰ and the size of AuNP particles determined by TEM im age analysis. All concentrations specified for mixed solutions are final concentrat ions. The Rh B stock solution in water (20 µM) was screened from light.

Molecular dy namics (MD) and quantum mechanical (QM) ¹⁰⁵ calculations of electronic structure for rhoda mine B molecule and it s i nteractions with cit rate capped gold nan oparticles were performed usin g density functional the ory (DFT) with B3LYP functional ^{65, 66} with 6-31G* basis set, semi-empirical PM3 method, and strongly correlated advanced Moller-Plesset ¹¹⁰ method, embedded in Wavefunction Spartan 6 ⁶⁶. The electron density and lo cal den sity of states are e xpressed in ato mic units, au⁻³ (1 au = 0.529157 Å and 1 au⁻³ = 6.749108 Å⁻³).

3. Results and discussion

3.1. Local surface plasmon spectroscopy of AuNP in the presence of rhodamine B

Typical UV-Vis spectrum of the surface plasmon (SP) band of 5 citrate-capped AuNP _{22nm} is presented in F igure 1, curve 1.

The position of the SP absorbance m aximum (A₁) is at the wavelength $\lambda_{max} = 530$ n m in the ab sence of Rh B. After addition of R hB (10 μ M, final concentrat ion), a s econd maximum A₂ appears on the spectrum at $\lambda_{max} = 556$ nm (curve

¹⁰ 2). This spectral change differs from those associated with simple nanoparticle assembly observed in other systems, e.g. mercaptopropionic acid- or glutathione-induced assembly.



Figure 1. (a,b) TE M i mages of gol d nano particles at a r esolution (bar ls length) of: (a) 2 0 nm and (b) 5 nm; (c) UV-Vis spectra for: (1) surface plasmon absorbance band of 0.88 nM AuNP_{22nm}, (2) 0.88 nM AuNP_{22nm} + 10 μ M RhB; (3) 10 μ M RhB with out gold na noparticles; (4) difference spectrum (2)-(1) reflecting the absorbance increase in the presence of 10 μ M RhB.

- ²⁰ By comparing the abs orbance s pectrum of RhB (curve 3) showing the RhB π - π * transitions at $\lambda_{max} = 555$ nm, with the spectrum for AuNP + Rh B, it becomes evident that both spectra are superimposed. To check i f there is a straightforward additivity of t hese spectral features, we have
- $_{25} \ subtracted \ spe \ ctrum \ 1 \ from \ spectrum \ 2 \ and \ obtaine \ d \\ difference \ spe \ ctrum \ 4. \ This \ s \ pectrum \ can \ be \ directl \ y \\ compared \ with \ spectrum \ 3 \ wh \ ich \ was \ recorded \ for \ 10 \ \mu M \\ RhB \ in \ the \ a \ bsence \ of \ AuNP. \ It \ is \ clear \ that \ the \ spectra \ for \\ AuNP \ and \ for \ RhB \ are \ not \ a \ dditive \ and \ a \ considera \ ble$

30 absorbance loss of 58.6% is apparent. This loss is most likely due to the AuNP screening associated with high electrical conductivity of gold which renders optical transitions of RhB ineffective and results in diminished abs orbance of light energy by the dy e molecules. For this to happen, the RhB ³⁵ molecules would need t o be tightly adsorbed around AuNP. Such an interaction is not unli kely due to the positi ve charge on N-atom in RhB molecule and a negatively charged citrate shell of AuNP. We have confirmed the adsorption of RhB on a s olid Au ele ctrode surface us ing electroc hemical quartz 40 crystal nanobalance. The surfa ce coverage Γ and monolay er mass Δm of RhB were found to be: $\Gamma = 0.16 \text{ nmol/cm}^2$, $\Delta m =$ 77 ng/c m^2 , clos e to the theoretical values for a horizontal orientation of RhB: $\Gamma_{\rm th} = 0.149 \text{ nmol/cm}^2$, $\Delta m_{\rm th} = 71.4$ ng/cm^2).



⁴⁵ **Figure 2**. Nor malized fluor escence spectra for r hodamine B solutions: (1) absorbance spectrum and (2) emission spectrum for excitation at $\lambda_{ex} =$ 340 nm and (3) n ormalized absorbance spectrum of g old nano particles AuNP_{22nm}, showing consider able over lap of RhB em ission and AuNP absorbance.

⁵⁰ A small sc reening effect for r rhodamine B isothiocy anate adsorbed on mercaptooctanoate-stabilized AuNP_{10nm} has been observed by Franzen et al. ⁶⁷. About 40% decreas e of rhodamine 6G absorbance upon addition of 2.5 nm AuNP gold nanoparticles was observed by Kamat et al. ¹⁸. Moreover, by ⁵⁵ comparing, in Fig.1, spectr um 3 ($\lambda_{max} = 555$ n m) an d difference spec trum 4 ($\lambda_{max} = 560$ n m), a bathochr omic shift of RhB absorption band is encountered which is likely due to the change in the s olvation s hell of RhB as sociated with adsorption on a nanoparticle. Such chan ges are expected and ⁶⁰ indeed reported ⁶⁸. Since the close interactions of RhB with AuNP may result in photol uminescence qu enching of RhB, more detailed investigations of such an effect have been performed and they are described in the next sections.

65 3.2. Photoluminescence of RhB and the quenching effect of AuNP

The nor malized RhB ab sorbance and photolum inescence emission spectra are presented in Figure 2. The luminescence maximum is o bserved at $\lambda_{em,max} = 578$ n m ($\lambda_{ex} = 450$ n m).

The norm alized S P abs orbance spectrum of a s olution of AuNP is al so included in Figure 2 to de monstrate the la rge overlap of AuNP ab sorbance wit h RhB em ission characteristics. S uch an overlap is a pre requisite of an s efficient energy trans fer (F RET), provi ded that the dis tance

between the interacting dipole molecule and surface multipole is sufficiently small.

The effect of AuNP on RhB photoluminescence signal has been evaluated in F igure 3 for 50 nM RhB solution in

- ¹⁰ experiments without AuNP and with ad dition of 0.8 8 n M AuNP_{22nm}. It is seen that the fluore scence peak of RhB at $\lambda_{PL,max} = 578$ nm observed in curve 1, is completely quenched upon the addition of AuNP (curve 3). (Note that the enhancement of RhB fluorescence by AuNP, recently reported ¹⁵ by Zhu et al. ⁶⁹, has been observed at 3-orders of magnitude
- higher concentrations of RhB (66.9 μ M)).



Figure 3. Fluorescence spectra for: (1) 50 nM RhB solution, (2) 0.88 nM AuNP_{22nm}, and (3) 50 nM RhB + 0. 88 nM AuNP_{22nm}. Excitation: $\lambda_{ex} = 348$ nm. Peaks: I_1 – Ram an water vibration, I_2 – RhB f luorescence, I_3 – ²⁰ secondary Rayleigh scattering.

The s econdary Ra yleigh s cattering obs erved in F igure 3 a t $\lambda_{RELS} = 698$ nm increases in intensity due the strong scattering propensity of AuNP. The solution of AuNP with the same concentration shows no PL signal near $\lambda_{PL} = 578$ nm but still

- $_{25}$ larger scattering at $\lambda_{RELS} = 698$ nm (curve 2). This means that RhB molecules reduce the s cattering intensity of AuNP. The reduction in scattering intensity is likely to be due to t he change in the dielectric function of the medium (shell) surrounding the nanoparticle.
- ³⁰ By increasing the concentration of RhB, while maintaining the concentration of AuNP constant, the PL signal of RhB can be recovered but with considerably lower qua ntum y ield and lower fl uorescence signal sensitivity: $\partial I_{\rm PL}/\partial C_{\rm RhB}$. Thi s is illustrated in Figure 4, for RhB concentration range from 0.01
- $_{35}$ µM to 10 µM. The fluore scence m aximum is reds hifted in comparison to that in the absence of gold nanoparticles. From the plot of I_{PL} vs. C_{RhB} in Figure 4 c, it is seen that the fluorescence intensity is nearly linear up to $C_{RhB} = 5$ µM and then shows negative deviations from linearity, likely due to
- ⁴⁰ chemical effects (concentration quenching, H-aggregate



Figure 4. Fluorescence spectra (a) for solutions of 0. 88 nM AuNP_{22nm} + RhB [μ M]: (1) 0.6, (2) 0.8, (3) 1.0, (4) 2.5, (5) 5.0, (6) 10.0. (b, c) 45 Dependence of f luorescence intensity (1) and secondar y scatter ing intensity (2) on concentration of RhB. Excitation: $\lambda_{ex} = 348$ nm.

formation, etc.). At the same time, the intensity of the secondary lights cattering dec reases s lowly with inc reasing C_{RhB} (Fig. 4c, curve 2).

In Figure 5, presented are two dependencies of I_{PL} on C_{RhB} s for RhB solutions in the absence and in the presence of AuNP quenchers, curves 1 and 2, respectively. The deviation from linearity seen for curve 1 i s due to the relatively high concentration of the dye ($C_{RhB} > 1 \mu$ M). The difference in the initial slope of curves 1 and 2 indicates on a ver y high quenching efficiency of AuNP. The quantum efficiency of

fluorescence for RhB alone is high (according to Magde et al. $^{26} \varphi_0 = 0.31$, Demas and Crosby 70 reported $\varphi_0 = 0.71$ at roo m temperature; Huth et al. 71 obtained $\varphi_0 = 0.45$ -0.50 at 293 K;



15 **Figure 5.** Dependence of fluorescence intensity on RhB concentration in solutions without (1), and with 0. 88 nM AuNP_{22nm} (2). Excitation: $\lambda_{ex} = 348$ nm.

Karstens and Kobe ⁷² a fter careful evaluation of temperature dependence of RhB and rhodamine 101 su ggested that $\varphi_0 \leq$ ²⁰ 0.5; according to Linds ay et al. ⁷³, $\varphi_0 = 0$. 70 f or RhB in ethanol, close to 0.68 found by Snare et al. ⁷⁴ in 94% ethanol). Assuming the value of $\varphi_0 = 0.31$ after the recent determination for un perturbed RhB in water, we can calculate the quantu m efficiency of RhB fluore scence in the pres ence of 0.88 nM ²⁵ AuNP_{22nm} as follows:

$$\varphi_{q} = (F_{q}/F_{0}) k \varphi_{0} \tag{1}$$

where F_0 and F_q are the fluorescence intensities for RhB i n the abs ence a nd in the presence of 0.88 nM AuNP_{22nm}, respectively, a nd k is the correction function for che mical ³⁰ quenching due to the high concentration of the dye used for comparison of the quenc hed and un quenched fluo rescence. The value of φ_q thus obtained is: $\varphi_q = 0.01$. This sm all value of fluore scence quantu m efficiency confirms the high quenching effectiveness of AuNP.

35

3.3. Resonance elastic light scattering from AuNP/RhB nanoparticles

The RELS intensity vs. wavel ength spectra I_{sc} - λ for AuNP,

RhB, and AuNP/RhB solutions are presented in Figure 6. For ⁴⁰ a 0.88 n M Au NP solution, a large and b road RELS peak is encountered in the wavelength range from $\lambda_1 = 550$ nm to $\lambda_1 =$ 750 nm, with the scattering maximum located at $\lambda_{max} = 653$ nm. This scattering peak is c haracteristic of AuNP _{22nm}. In quasi-static a pproximation ⁷⁵, the scattering efficiency Q_{sc} is ⁴⁵ given by:

$$Q_{sc} = \frac{128\pi^4 a^4 \varepsilon_m^2}{3\lambda^4} \left| \frac{\varepsilon - \varepsilon_m}{\varepsilon + 2\varepsilon_m} \right|^2$$
(2)

where ε is the complex dielectric function ($\varepsilon = \varepsilon_1 + i\varepsilon_2$) of the metal core of Au nanopart icles ⁷⁶⁻⁷⁸, ε_m is the dielectric constant of the medium, and *a* is the nanoparticle radius.

⁵⁰ The origin of the broad scattering peak at $\lambda_{max} = 653$ n m is ascribed to the increasing reflectivity of Au at wavelengths longer than the Frohlich wavelength:

$$\lambda > \lambda_{\text{Froh}}$$
 (3)

where the die lectric function ε for g old become s more ⁵⁵ negative than $-2\varepsilon_m^{67}$; here $\lambda_{\text{Froh}} = \lambda_{\text{SP,max}}$.



Figure 6. RELS spectra I_{sc} vs. λ for: (1) 0.88 nM AuNP_{22nm}, (2) 500 nM RhB, (3) 500 nM RB + 0. 88 nM AuNP_{22nm}, (4) 10 μ M RB + 0. 88 nM AuNP_{22nm}.

On the other hand, the RhB alone in a 500 nM solution, shows ⁶⁰ a narrow REL S peak at $\lambda_{max} = 566$ n m. B eyond this peak, there is virtually no scattering at longer wavelengths ($\lambda > 600$ nm). After the addition of AuNP to RhB solution, the REL S spectrum changes dramatically and the strong RhB s cattering at $\lambda_{max} = 566$ nm is c ompletely quenched by AuNP. At the ⁶⁵ same time, there is no effect of RhB seen on the broad AuNP peak at $\lambda_{max} = 653$ n m. By increasing the concentration n of RhB 20-fold (to 10 µM), a small decrease of the AuNP RELS intensity at $\lambda_{max} = 653$ nm is observed (curve 4) which is due to screening effects described in previous sections. A sm all ⁷⁰ barely distinguishable shoulder on the main RELS peak at $\lambda =$ 577 nm i s al so ob served which is re miniscent of the sharp RELS peak of the pu re Rh B sol ution at $\lambda_{max} = 566$ n m, observed in curve 2. The concentration dependence of the sharp RhB RELS peak at $\lambda_{max} = 566$ nm, has been examined in the range from 25 nM to 500 n M Rh B. The obtained RELS spectra are presented in Figure 7. The concentrati on dependence of scatterin g s intensity m aximum $I_{sc,max} = f(C_{RhB})$, shown in the in set, indicates that this dependence is linear with a slope of $\partial I_{sc,max}/\partial C_{RhB} = 0.186 \times 10^9$ M⁻¹. The position of the REL S maximum, $\lambda_{max} = 566$ nm, is in the mi dst between the absorbance m aximum ($\lambda_{max} = 555$ nm) and the fluore scence emission maximum ($\lambda_{max} = 578$ nm) emphasized in the state of the scence of the state of the state of the scence of the state of the scence of the state of the scence of the state of the state of the state of the state of the scence of the state of the state of the scence of the state of t

¹⁰ emission ma ximum ($\lambda_{max} = 578$ nm) emphasizi ng equa 1 importance of absorbance and em ission a st he s teps of a scattering process. Taki ng into account the se circumstance s, it is plausible to conclude that the origin of the RELS peak at



15 **Figure 7.** RELS spectra of I_{sc} vs. λ for RhB solutions with concentrations [nM]: (1) 25, (2) 50, (3) 100, (4) 125, (5) 250, (6) 375, (7) 500. I nset: Dependence of $I_{sc,max}$ on RhB concentration.

 $\lambda_{\text{max}} = 566 \text{ nm}$ is associated with the c ombined resonance ²⁰ scattering an d resonance f luorescence and sh ould b e composed of signals differing in phase: a fast coherent signal (scattering) and a s lower inc oherent signal (fluorescence). The narro w ha lf-peak width (w = 25 n m) c orroborates the conclusion that a resonance is involved.

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3.4. Resonance energy transfer from RhB to AuNP

The s tatic abs orbance and s cattering s creening effect s discovered for the RhB-m odified AuNP suggest a tight adsorption of R hB on AuNP and a reso nance energy transfer 30 (RET) from the dye molecule to the local surface plasmon in

AuNP. In the energy transfer processes, usually described in terms of a bimolecular quenching:

$$D^* + A \to D + A^* \tag{4}$$

the excitation e nergy is transferred from an excited donor D^* ³⁵ molecule to a ground-s tate acceptor A re sulting in quenching of D^{*} and sensitization of A ²²⁻²⁴. This a ds a new decay channel to the existing decay route of D^{* 21}, as expressed by the overall decay rate constant k:

$$k = \tau_{\rm D}^{-1} + k_{\rm Q}[\mathbf{A}] \tag{5}$$

⁴⁰ where τ_D is the emission lifetime and k_Q is the bimolecular quenching rate constant. Although, the mechanism of RET is different for NSET, the collisional dynamics for sufficiently low concentration of dy e and AuNP remains the same. In order to check if quenching is due to RET in solution (i.e. the ⁴⁵ dynamic collisional quenching without adsorption of the dy e on AuNP), the critical quencher concentration Q_0 has been determined for 500 n M RhB from the experimental data of Fig. 8a using the dependence:

$$\eta = 1 - \frac{F_{DA}}{F_D} = \sqrt{\eta} \gamma \exp(\gamma^2) erfc(\gamma)$$
(6)

⁵⁰ representing the solution to the collision frequency problem, where $erfc(\gamma)$ is the complementary error function:

$$erfc(\gamma) = 1 - erf(\gamma) = \frac{2}{\sqrt{\pi}} \int_{\gamma}^{\infty} \exp(-t^2) dt$$
 (7)

 $F_{\rm D}$ and $F_{\rm DA}$ are the fluorescence intensities in the absence and in the presence of an acceptor, respectively, and:

$$_{55} \gamma = Q/Q_0 \tag{8}$$

For $Q = Q_0$, $\gamma = 1$ and the critical quenching efficiency $\eta^*_{(\gamma=1)}$ can be readily found from the right-hand-side of eq. (6):

$$\eta^*_{(\gamma=1)} = 0.76.$$
 (9)

Now, from the experimental data showing $\eta = 1 - F_{DA}/F_D = 0.76$, we obtain : $Q = Q_0 = 0.3 \times 10^{-9}$ M. The critical distance R_0 is then calculated according to the equation:

$$R_0 = \sqrt[3]{\frac{3000}{2\pi^{3/2}NQ_0}} \quad (10)$$

where N is the Avogadro number and R_0 is in cm. The value of R_0 obtained is too large ($R_0 = 1.14 \ \mu m$) for any RET to 65 occur in solution and t hus energy trans fer must proceed i n direct contact between the dye and AuNP, i.e. in an adsorption film of RhB on AuNP surface. The cont rol experiments performed u sing quartz cry stal nano gravimetry ³⁶ have confirmed cont act ads orption of RhB on a Au surface. The 70 adsorption of RhB has been recently reported ⁷⁹ also for other surfaces. A strong quenching of RhB fluorescence by AuNP has been found in experi ments described in Fig. 3 and 5. Since the dynamic collisional quenching is not likely to occur, as in dicated ab ove, the te sts for static que nching have been 75 performed. If the FRET mechanism is based on static quenching, a linear Stern-Volmer plot should be obtained:

$$\frac{F_0}{F} = 1 + K_{SV}Q$$
 (11)

where K_{SV} is t he quenching constant. Therefore, a series of f experiments with vary ing concentration of Au NP quencher have been performed. T he results o btained are plotted in
Figure 8b as t he dependence: $F_0/F = f(Q)$ where F_0 is the fluorescence in the abs ence of AuNP and F – is the fluorescence i n the pres ence of AuNP quencher at a



concentration Q.

⁵ **Figure 8.** Quenching of RhB fluorescence emission by AuNP_{5nm} (a) and FRET dependence of F_0/F vs. C_{AuNP} for solutions of 500 nM RhB + x nM AuNP_{5nm} (b).

It is seen that the plot $F_0/F = f(Q)$ is not linear and shows an upward de viation fr om linea rity. While such a deviatio n ¹⁰ could be o bserved fo r a combined dy namic and static quenching, it is not likely the case here since we have already excluded t he possibility of dy namic collisional quenc hing. We have ob served the hy per-linear Stern -Volmer pl ots al so for other dye-AuNP systems, e.g. for coumarin 4, coumarin 7, ¹⁵ fluorescein, and Nile bl ue, so the case of rhodam ine B is not an exception. The deviation from linearity of F_0/F vs. Q plots is most likely associated with the high quenching efficiency of AuNP leading to the extended Stern-Volmer relation³⁶.

$$\frac{F_0}{F} = 1 + \frac{KQ_{tot}}{\left(1 + \frac{KF}{\varepsilon}\right)}$$
(12)

where ε is the dye fluorescence intensity factor ($\varepsilon = F/C_{\text{RhB}}$), Q_{tot} is the t otal concent ration of active site s on t he nanoparticle q uenchers, and K is the bindi ng equilibri um constant. The strong distance dependence of RET which in

- ²⁵ Förster theory is proportional to $1/R^6$, extends to longer ranges in NSET due the weaker, $1/R^4$, dependence of RET ³⁵. The analysis of energy transfer distances is presented in the next section. It has to be emphasized that the simultaneous RELS measurements do not indicate on any assembly of AuNP (t he
- ³⁰ RELS intensity does not increase upon ad dition of Rh B to AuNP), hence there i s no c omplication of the que nching relationship coming from the AuNP network formation.

3.5. Modeling rhodamine B interactions with gold ³⁵ nanoparticles

In order to g ain further in sights into the nature of the interactions of RhB m olecules with AuNP, MD simulations and QM calculations for model RhB-citrate of the AuNP shell have been performed.

- ⁴⁰ In Figure 9, the side- and top-views of an ensemble created in direct interactions of RhB molecule with a citric acid molecule are pres ented. The electron dens ity surfaces ($\rho =$ 0.05) were calculated using semi-empirical PM3 method and DFT at the B3LYP-functional level. The electron den sity ⁴⁵ surface is mapped with an ele ctrostatic potential (blue-to-red colored areas correspond to high-to-low potential values). The two molecules form a hydrogen bond between one of the
- oxygens of t he carboxy l grou ps of cit ric acid and carboxyphenyl group of Rh B. At the sa me time, another 50 carboxylate group of citric acid interacts with the N⁺ center of
- the py ronine group o f RhB. A scissor s-like structu re is formed, as shown in Fig. 9a, with considerable flexibility in scissoring movement due t o the relative ly large angle adjustability of the hydrogen bond. The planar rigid structure
- ss of the xanthene grou p is p reserved but the interaction of carboxyphenyl grou p with x anthene moiety is di minished because of the hy drogen bonding with AuNP shell. Thi s should result in the increased rate of nonradiative decay. This mechanism has been confirmed in fluorescence measurements
- ⁶⁰ in other systems, e.g. in protic solvents with varying polarity. In F igure 10, t he electros tatic interactions between the RhB cation and de protonated citrate anion are pres ented. In contrast to t he arrange ment of Figure 9, the prefe rred arrangement i s no w the extended con figuration, wit h ⁶⁵ rhodamine N ⁺ center bein g attracted by two car boxylate groups of the c itrate anion. T he planar rigid structure of the xanthene gr oup is pre served and so th e fluoresce nce
- properties of the dye molecule should be preserved. As the di stance between RhB and citrate m olecules is fixed τ_0 after MD si mulations and c orresponds to an equilibrated complex, the li kely distances for the energy transfer can be evaluated as follows . T he distance from delocalized π -electron system to the gold surface can be estimated from the following atomic distances: (i) for RhB adsorbed horizontally
- ⁷⁵ on to p of a cit rate-capped Au NP, $d_{\min} = 0$.32 nm b etween surface Au-atoms and clos est C atom of the xanthene group, (ii) for RhB adsorbed vertically on a citrate-capped AuNP,





 $d_{\min} = 0.46$ nm, and (iii) for RhB adsorbed horizontally on Au, $d_{\min} = 0.24$ nm between surface Au-atoms and the N⁺-center of RhB can be estimated. Therefore, the close distances of the delocalized π -electron system t o the electron cl oud of a

- ¹⁰ surface pla smon on AuNP surface can rea dily facilitate the NSET. Thes e distances can be favorably compared to the maximum di stance of the interparticle surface pla smon coupling which is effective for interparticle distances up to $d \approx 5r = 56$ nm, where r = 11.25 nm is the nanoparticle radius.
- ¹⁵ The plasmonic field extends then to at leas t 28 nm from each particle. Therefore, this lo ng r ange coupling and weak $1/R^4$ distance dependence of RET i n NSET provide conditions for strong en hancement of the g old nan oparticle quenchin g of fluorescent dyes.





Figure 10. Electron density surfaces and molecular arrangement of rhodamine B cation attracted electrostatically to a citrate anion of a model ²⁵ citrate-capped core-shell Au nanoparticles: (a) side view, (b) front view; no hydrogen bonds are formed in this configuration. Electron density surfaces ($\rho = 0.05$) are mapped with electrostatic potential (red-to-blue coloring corresponds to the lower-to-higher potential).

In summary, the model calculations corroborate experimental ³⁰ conclusion that RhB molecules interact st rongly with the citrate-capped core-shell AuNP. In these interactions, both the electrostatic and hydrogen bonding are involved.

4. Conclusions

³⁵ We have in vestigated the effects of r hodamine B interaction s with gold nanoparticles on optical propertie s of the sy stem and we have found a m ultimodal coupling of optical transitions with electronic SP oscillations. Firstly, these interactions result in lowering of RhB π - π * transition ⁴⁰ efficiency attributed to the screening effect of conduction

electrons of AuNP. This indicates that RhB is adsorbed on the s urface of citrate-cappe d AuNP 's. S econdly, the fluorescence e mission of R hB appears to be quenched by a static non-Förs ter energy t ransfer mechanism (NS ET).

- ⁴⁵ Thirdly, a non-linear Ster n-Volmer relation, $F_0/F = f(Q)$, ha s been found. This non-linearity can ascribed to the ultra-high quenching efficiency of Au NP leading to the extended Stern-Volmer relation. Fourthly, a sharp RELS band of RhB alone ($\lambda_{max} = 56\ 6\ n\ m$) has been discovered and a ttributed to the
- ⁵⁰ combined re sonance s cattering and re sonance fluore scence, and thi s band is completely quenched in the presence of AuNP. Finally, the strong RELS band at $\lambda_{max} = 653$ nm, observed for AuNP _{22nm}, with a high peak-to-valley scattering intensity ratio, $I_P : I_V = 7.7$, is screened to some extent by the ⁵⁵ interacting RhB molecules at higher RhB concentrations. The results of MD simulations and QM calcula tions performed indicate that both hy drogen bonding and electro static interactions are involved in an ensemble formed by RhB with citrate-capped AuNP. Whereas the covalent binding of a dy e
- 60 through a well-defined spacer provides an excellent definition

of the distance for studying energy trans fer, the contact adsorption can als o s erve a s an option for establishing the distance for resonance energy transfer. In the case of RhB, the contact adsorption on a cit rate-coated Au s urface was

- s confirmed by EQCN. Our QM calculations have shown that the distance for a dipole-mult ipole energy trans fer in RhB-AuNP system is well within the range of pl asmonic fields extending from the nano particles. The pla smonic field range $(d \approx 28 \text{ nm})$ is estimated to be several times larger than the
- ¹⁰ distance betwe en the π -conjugated electron system of RhB and the AuNP surface (d = 0.32 nm). Therefore, a very efficient quenching of RhB fluorescence by AuNP is possible.
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Notes and references

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