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CONTRACTING ORGANIZATION: University of Miami Miami, Florida 33136

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### **3) INTRODUCTION**

Staggering statistics arise from analysis of cancer disparities. Breast Cancer (BC) is the second leading cause of cancer death among African-American (AA) women (1). Mortality is 20% greater than that in Caucasian (Cauc) women, and is partially attributed to more aggressive disease and poorer prognosis. In addition, AA women  $\leq$  50 years have the highest rate of new BC cases in the US (1,2). General consensus exists that AA women of all ages are more likely to have poorly differentiated BC, which is likely to occur at an earlier age, be ER and PR negative, and to have a higher proliferative fraction - all factors associated with more aggressive tumorgenicity (2). Therefore, the prognosis in AA patients is worse, even adjusted for stage of presentation. Ethnic-specific differences in response to adjuvant therapy have also been reported (3,4). Taken together, the cumulative data suggests that intrinsic, ethnic-specific, and biological/genetic differences contribute to disparities in BC morbidity and mortality. Very few studies have directly compared multi-ethnic differences in breast cancer incidence, staging, tumor characteristics, and mortality in the United States.

A number of articles have been written concerning the incidence and types of breast cancer among native Africans in Nigeria and Kenya (5-10). All of these studies are in agreement that Nigerian women develop BC at an earlier age and suffer high mortality. An important recent study by Bird et al (11) focused on a cohort of BC patients from the Kijabe Hospital in Kenya and reported a very low frequency of hormone receptor expression: 24% ER-positive and 34% ER-or PR-positive tumors. Compared to BC in Western or Cauc populations, the Kijabe patients have a high proportion of poorly differentiated, advanced cancers and irrespective of disease stage, were much less likely to be hormone sensitive (ER and PR negative). Overall, the possibility of inherently more aggressive tumor biology, coupled with low hormone receptor sensitivity and lack of HER2, may represent manifestations of modified biology in African populations. Further research is urgently needed to fully characterize the tumors in the Kijabe clinical cohort, and to determine whether BC subtypes with a poor prognosis are overrepresented in the African race. Comparative molecular studies of BC in indigenous African populations, African Americans, and Caucasian Americans are needed to allow genetic and molecular detailing of these tumors that appear to have modified biology relative to breast cancers in other populations.

We have developed a collaboration with *Almac Diagnostics (www.almacgroup.com)*. In a early pilot study, samples from three AA and three Cauc BC patients (matched for age; ER+/PR+/Her 2-) demonstrated feasibility of the Almac platform in formalin-fixed, paraffin-embedded (FFPE) samples obtained from UM Pathology laboratories. Next, a broader multi-ethnic project focusing on triple negative breast cancer cases in AA, His and Cau patients was initiated. An investigation of 28 cases (10 AA cases, 8 Cauc cases and 10 His cases). Analysis of the detected transcripts for each patient demonstrated low variability between samples and a high number of detected transcripts. Across all 56 samples (28 tumor and 28 matched normal), greater than 22,000 transcripts were detected significantly above background (*data not shown*). Further statistical analyses (including ANOVA and T-tests) resulted in the identification of 2,622 statistically significant, differentially expressed genes. The 2,622 genes were used as input sequences for the expression pattern identification across the six sample groups (tumor and normal in 3 ethnic groups). In the tumor samples, six ethnic-specific expression patterns were identified in the resultant heatmap. The subset of differentially expressed genes was analyzed using real-time PCR to validate gene expression differences. To summarize, even in a small pilot study, we have identified both common and ethnic-specific transcriptional changes between AA and Cauc breast cancer samples. If confirmed in larger data sets, these observations may well provide important new insights into molecular mechanisms of ethnic subtype differences in clinical outcomes.

The current project is a study of the potential differences or similarities between a cohort of African-American triple negative cases with a cohort of triple negative cases from Kijabe (Kenya) obtained through collaboration with Dr .Peter Bird (Nairobi, Kenya). It applies the gene expression array technology described above in addition to investigation of possible chromosomal or copy number alterations in the same samples (through collaboration with Dr. Alan Ashworh, England) and an analysis of a panel of ancestry-informative DNA markers (through collaboration with Dr. Rick Kittles, Chicago).

# 4) BODY

## Task 1: Obtain UM IRB and USAMRMC HRPO Approvals. Status: Completed

Approval by the UM Institutional Review Board for Human Subjects research was obtained on May 6, 2009. It is UM protocol number 20081188. The title of the protocol is the same as the grant "Defining Genomic Changes in Triple Negative Breast Cancer in Women of African Descent" It was approved as Exempt status.

## Task 2: Transport of Kijabe samples to the University of Miami. Status: Completed

We received the Kijabe native African breast cancer samples from Dr. Peter Bird, the last were received in December 2009. To date we have received 64 samples from Africa. Dr. Bird and Dr. Pegram will be meeting during the ASCO meeting in Chicago this June to discuss the progress on the project.

# Task 3. Determination of HER2 status in the Kijabe clinical cohort using fluorescence in situ hybridization. Status: Underway

In the original proposal we indicated that we would need to determine the HER2 status of the Kijabe samples as it is not commonly performed during the cancer treatment for these individuals. ER and PR receptor status assays are available for most of the samples. We evaluated an initial subset of 10 Kijabe samples for ER, PR and Her2 status in the UM Pathology laboratory. Comparison with the available ER and PR status from Africa showed a couple of discrepancies in ER or PR status. These samples were repeated and the discrepancies remained, therefore, it was decided that we should redo ER and PR status testing for all of the Kijabe samples, as well as testing Her2 status. With the addition of this work we have not completely finished receptor status testing for all of the Kijabe samples, but anticipate completion in a few weeks. All of the UM African-American samples have ER/PR and Her2 status already available.

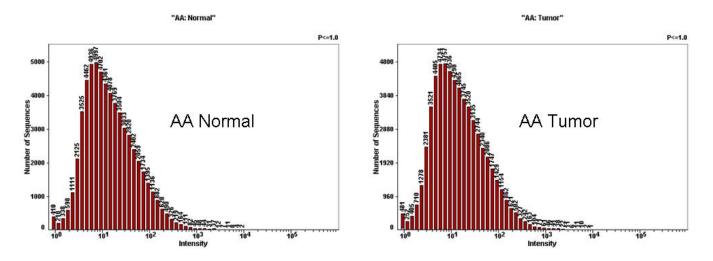
## Task 4: Extraction and preparation of DNA and RNA from FFPE tumor samples from North American African, African African, and Caucasian cohorts. Status: Underway

Separate extraction procedures are done for the extraction of DNA or RNA from the FFPE tumor and normal tissue subsamples for each patient sample. The quality or purity of the extracted samples is evaluated looking at the overall concentration, the A260/280 ratio and the presence/quality of distinct 18S and 28S peaks using a bioanalyzer for RNA. The UM Pathology samples have shown a consistent high yield of good quality RNA/DNA. Extractions of the Kijabe African samples is not yet complete, but will be completed when the final ER/PR/Her2 status information is available, which will allow for preferential treatment of the triple negative samples.

# Task 5: Aim I, Analyze and compare genome-wide transcript expression in BC samples of AA ancestry vs. native African (Kijabe) samples. Status: Underway

To date about half of the total African-American samples have been hybridized to the Almac Breast Cancer DSA chip and a selected few Kijabe samples have been hybridized. Numerous Caucasian samples have also been analyzed (see Pegram PI report for sample tables). The quality of resulting data was excellent. Detailed steps in quality control and data analyses are further discussed below.

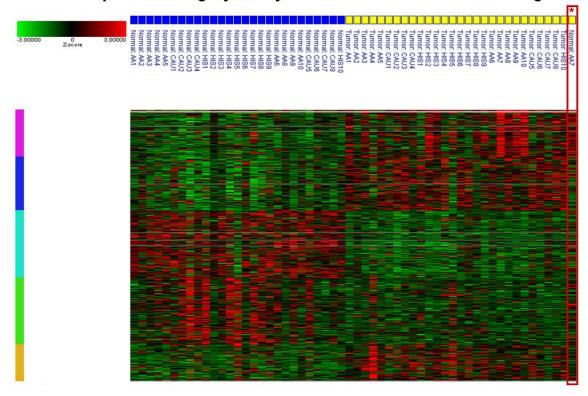
A) Distribution Analysis: The distribution of the sample data (histogram of normalised intensity values) is assessed to determine what statistical tests, metrics; etc should be applied. Data showed a normal (Gaussian) distribution, therefore a Pearson correlation, which is based on the normal distribution, was chosen for clustering.



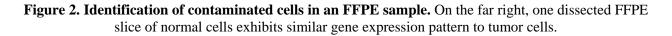
**Figure 1. Data Distribution for initial African-American samples on DSA arrays**. Data distribution was examined using all transcripts on the breast cancer DSA chip. Each sample group was from combining all samples from an ethnic group with same condition (tumor or normal). The data distribution is close to normal, indicating it is suitable for the data analysis.

**B) K-Mean Clustering**: Groups were created which show the relationships among the expression levels of conditions (tumor vs. normal) or samples. This allowed identification any spurious samples. K-Mean was used because of prior knowledge of sample condition either from tumor or normal tissue. Samples falling into a group that does not match their biological condition would suggest potential abnormality or contamination of tumor and normal tissue.

Inclusion of one contaminated "normal' sample from an African-American patient in the two-dimentional Kmean clustering analysis showed that this "normal" sample exihibited tumor-like gene expression profile and fell into the tumor cluster (see Figure 2 below).



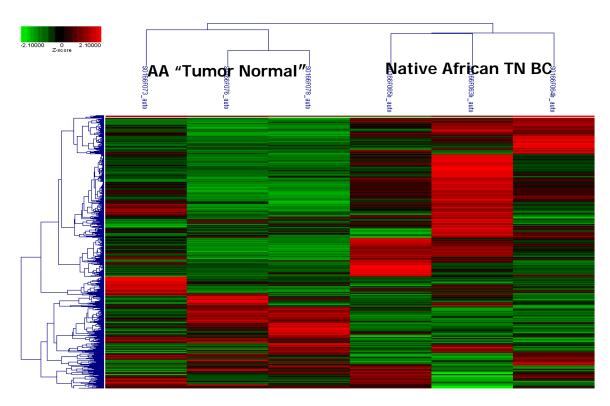
# Sample data integrity QC by 2-dimentional K-Mean Clustering



**C) Principal Components Analysis**: This data analysis technique is a decomposition technique that produces a set of expression patterns known as principal components. Linear combinations of these patterns can be assembled to represent the behavior of all of the genes in a given data set. PCA is not a clustering technique; however the aim of PCA is similar to that of clustering. It is a tool to characterize the most abundant themes or building blocks that reoccur in many genes in the experiment. See the Pegram PI report for illustration of the PCA results.

### D) Native African samples yield good quality RNA and Data:

Illustrated below is a preliminary analysis of the first Kijabe samples. These samples have been through the data quality and analysis steps described above. The heatmap displayed here is a comparison of triple negative Kijabe, Native African samples to adjacent normal tissue samples from African-Americans. The Kijabe samples clearly cluster together separate from the African-American samples. Some differences can be seen within the African-American samples and within the Kijabe samples. These differences may be due to different nodal status but are being evaluated in the correlation with clinical parameters (Task 10).



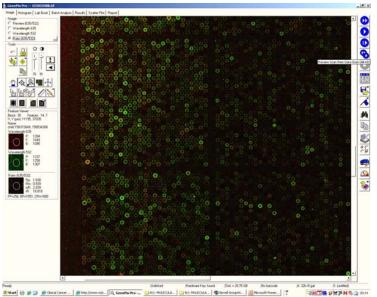
**Figure 3**.Cluster diagram from analysis of the initial triple negative Native African samples. This comparison shows that high quality RNA can be extracted from the Kijabe samples.

# Task 6: Aim II, Investigate possible chromosomal alterations associated with gene expression differences using array cGH. Status: Underway

DNA extracted from each tumor and normal sample are being hybridized to high density cGH arrays in the laboratory of Dr. Alan Ashworth at the Breakthrough Cancer Center in England. Data is assessed for quality and analyzed for copy number variation and possible chromosomal aberrations. The array being used is an aCGH 32K tiling path microarray, which has a complete coverage of the whole genome with a resolution of 50kb.

### A) DNA labelling and array hybridization

Reference (pool normal DNA extracted from blood lymphocytes from 24 individuals) and tumor DNA samples (300 ng aliquots) are labeled with Cy3- or Cy5-conjugated dCTP respectively (Amersham Biosciences, Buckinghamshire, UK) using random primer BioPrime DNA labeling. Labeled reference and tumor DNA are co-ethanol precipitated with 100 µg human Cot-1 DNA (Invitrogen Life Technologies) and re-suspended in 45 µl hybridization buffer; denatured and incubated at 37 °C to allow blocking of repetitive sequences by human Cot-1 DNA. Denatured DNA samples are then hybridized to the microarray at 37 °C for 18 h. The arrays are then washed and dried by centrifugation. aCGH slides are scanned using an Axon 4000B scanner (Axon Instruments, Burlingame, CA, USA) and images will be processed using Genepix Pro 4.0 image analysis software (Axon Instruments). See Figure 4 below for an example of hybridization of a Kijabe Native African case.



**Figure 4. Scanned image of Kijabe Native African Case.** The image is the result of merging the reference sample data with that from the tumor sample, prior to final data analysis.

### **B)** Data analysis

Cases with >10% of clones missing and clones for which data are not available in  $\geq$ 10% of cases will be excluded. Log2 ratios will be normalized for spatial and intensity dependent biases using a two-dimensional loess regression followed by a BAC-dependent bias correction. The final dataset of BAC clones with unambiguous mapping information according to the build hg19 of the human genome (http://www.ensembl.org) are used for further analysis. Analysis of samples is currently underway in Dr. Ashworth's lab. Our lab in Miami is in regular contact with Dr. Ashworth's lab and Dr. Ashworth has visited Miami, while in the US. Drs. Baumbach and Pegram also meet with Dr. Ashworth at cancer meetings such as the AACR. Co-analysis of expression array and array cGH data to correlate changes in gene expression with gene copy number will be completed in Year 2 of the project.

# Task 7: Aim III, Analyze ancestry of each sample using a panel of ancestry-informative DNA markers (AIMs). Status: Started—Scheduled to start beginning of Year 2

A sample of the DNA extracted from the North American African samples is being used by the laboratory of Dr. Rick Kittles to survey a set of 100 ancestry informative markers. These studies will allow for accurate estimates of European ancestries in the AA subjects, and allow use of individual ancestry estimates as additional covariates in overall experimental analyses. We have sent an initial set of samples to Dr. Kittles to begin this aim of the project, data from the samples is not yet available.

# Task 8: Aim I, Independent validation of gene expression differences using quantitative real-time PCR. Status: Beginning—continues in Year 2

Quantitative real-time PCR of a subset of statistically significant genes is being used as an independent validation of gene expression differences. Additionally a set of genes will be investigated to validate the combined data from the gene expression arrays and cGH arrays. As data analysis for both gene expression and array CGH is ongoing, a final list of genes to be evaluated by real-time PCR is not yet available. We have selected a subset of genes to be tested, such as the Estrogen Receptor gene, to investigate congruence with the gene expression data and the ER negative status of the samples. The total number of genes analyzed

will a minimum be 10 over expressed genes and 10 under expressed genes, but the actual percentage of differentially expressed genes is to be determined after the total number of differentially expressed genes is know.

## 5) KEY RESEARCH ACCOMPLISHMENTS

- Task 1: Obtain UM IRB and USAMRMC HRPO Approvals. Completed.
- Task 2: Transport of Kijabe samples to the University of Miami. Completed
- **Task 3:** Determination of HER2 (and ER/PR) status in the Kijabe clinical cohort using fluorescence in situ hybridization. Underway.
- **Task 4**. Extraction and preparation of DNA and RNA from FFPE tumor samples from North American African, African, and Caucasian cohorts. Underway
- **Task 5:** Aim I, Analyze and compare genome-wide transcript expression in BC samples of AA ancestry vs. native African (Kijabe) samples Underway
- **Task 6**: Aim II, Investigate possible chromosomal alterations associated with gene expression differences using array cGH. Underway
- Task 7. Aim III, Analyze ancestry of each sample using a panel of ancestry-informative DNA markers (AIMs). Started—scheduled for Year 2
- **Task 8:** Aim I, Independent validation of gene expression differences using quantitative real-time PCR—Beginning—continues in Year 2

### 6) **REPORTABLE OUTCOMES**

- L. Baumbach, J. Yan, M.E. Ahearn, C. Gomez, M. Jorda, T.A. Halsey, A. Mejias, K. Ellison, K. Mulligan, S. Gluck, M. Pegram (2009) Gene Expression Profiling of Formalin-fixed, Paraffinembedded (FFPE) Tissues from Triple-negative (TN) Breast Cancer (BC) Patients Proceedings of the San Antonio Breast Cancer Symposium.
- L. Baumbach, J. Yan, M.E. Ahearn, C. Gomez, M. Jorda, T.A. Halsey, A. Mejias, K. Ellison, K. Mulligan, S. Gluck, M. Pegram (2009) Defining multi-ethnic genome-wide transcriptional signatures in normal and cancerous breast tissue using paraffin embedded samples. Proceedings of the American Association for Cancer Research, Special Symposium on Breast Cancer, San Diego, CA
- L. Baumbach, J. Yan, M.E. Ahearn, C. Gomez, M. Jorda, T.A. Halsey, A. Mejias, K. Ellison, K. Mulligan, S. Gluck, M. Pegram (2010) Defining multi-ethnic genome-wide transcriptional

signatures in normal and cancerous breast tissue using paraffin embedded samples. Nature Biotechnology Winter Symposium.

- L. Baumbach, J. Yan, M.E. Ahearn, C. Gomez, M. Jorda, T.A. Halsey, A. Mejias, K. Ellison, K. Mulligan, S. Gluck, M. Pegram (2010) Continued Identification of Ethnic Specific Differences in Breast Tissue using Archived FFPE Specimens. Zubrod Memorial Lecture and Cancer Research Poster Session.
- Baumbach, et al., Gene Expression Profiling of Formalin-fixed, Pariffin-embedded (FFPE) Tissues from Triple-negative Breast Cancer Patients (2010, *manuscript in preparation*).

# 7) CONCLUSION

We have demonstrated the utility and reliability of using archived FFPE tissues (including those obtained from native Africa) to complete the investigations proposed in this award - namely gene expression profiling and CGH array analysis. Prior to these investigations, there has not been a published study that simultaneously evaluates genome-wide gene expression differences between tumor and selfmatched normal breast tissue in a series of African/AA and Cauc patients, and correlates these results to potential chromosome/DNA alterations in those same tumor specimens. This study will allow for the first direct comparison of gene expression/genomic copy number data in triple negative tumor specimens across Americans of African descent and Kenyan East Africans. These data sets will be posted to publically-accessible online microarray databases for immediate availability to the research community. Importantly, we have engaged an advocate who has participated in group meeting and will continue to help guide us in dissemination of our findings to the African American community. We will correlate all experimental data with a spectrum of clinical data available on study subjects, and determine possible correlations between genomic signatures, genomic changes, clinical tumor characteristics and outcomes/ response measures among and across ethnic groups. We are well on our way to completing the proposed tasks in the proposed timeframe.

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- 12. Baumbach, et al., Gene Expression Profiling of Formalin-fixed, Pariffin-embedded (FFPE) Tissues from Triple-negative Breast Cancer Patients (2010, manuscript in preparation).

**9) APPENDICES** – sample poster presentation; American Association for Cancer Research, Special Symposium on Breast Cancer, San Diego, CA 2009; *Manuscript in preparation available on request*.

### Defining multi-ethnic genome-wide transcriptional signatures in normal and cancerous breast tissue using paraffin embedded samples



Lisa L. Baumbach<sup>1</sup>, Mary Ellen Ahearn<sup>1</sup>, Carmen Gomez<sup>1</sup>, Aldo Mejias<sup>1</sup>, Merce Jorda<sup>1</sup>, Tom Halsey<sup>2</sup>, Jim Yan<sup>2</sup>, Kevin Ellison<sup>2</sup>, Karl Mulligan<sup>2</sup>, Stefan Gluck<sup>1</sup> and Mark Pegram<sup>1</sup> 1) Miller School of Medicine, University of Miami, FL: 2) Almac Diagnostics, Durham, North Carolina

#### **Overall Study Design**

The overall goal of the project is to investigate possible ethnic differences in gene expression in breast cancer when patient samples are matched for age, stage of disease and hormone receptor status. For each sample normal lissue from the same woman is used as a control to evaluated gene expression from the tumor tissue.

The final study will include 10 each African-American, Hispanic white and non-Hispanic white (Caucasian) women.

The study was originally designed to use fresh tissue samples but with the advent of the Breast Cancer DSA<sup>™</sup> Research Tool has changed to using Formalin Fixed Paraffin Embedded (FFPE) samples.

Patient Study Criteria: Age 60 years or less No exposure to chemotherapy Triple Negative hormone receptor status

#### Almac Diagnostics Cancer DSA<sup>™</sup> research tools

Standard microarrays provide large quantilies of information, but are broadly representative of the human genome rather than a particular disease state. The Breast Cancer DSA™ was developed by a

process of high throughput sequencing, gene expression profiling and bioinformatics analysis, to fully characterize the transcriptome of disease and normal tissue. Therefore, it includes significant additional relevant data not available from other microarravs.

The Breast Cancer DSA<sup>™</sup> research tool contains approximately 60,000 transcripts and is manufactured on Affymetrix GeneChip® technology.



### Breast Cancer DSA<sup>TM</sup> and detection of differentially expressed transcripts

Comparison of Breast Cancer DSA™

51% of the Breast Cancer DSA<sup>TM</sup> content is not present in the RefSeq database and 8% of the content

to the RefSen database showed that

represents antisense sequences to annotated transcripts.

Technical assessment: Use with FFPE The number of transcripts that were called present and were above the background in both RNAlater and FFPE samples was determined as shown in the table below.

	Transcripts detected in RNAIster	Transcripts detected in FFPE	Number of FFPE detected transcripts also detected in RNA/ater
Breast Cancer DSA® Research Tool	29,715	18,830	18,032
Affymetrix HG-U133 Plus 2	25,473	11,709	11,441

The high degree of data retention clearly demonstrates the power of the Breast Cancer DSA™ research tool when used in FFPE studies.

Use of the Break Cancer DAA\* research tool is detection of after initially expressed transcripts in most experimental tubles, the well point of the analysis in the detection of differentially supposed transcript, Analysis was careful out to determine the number of differentially supposed tencority also background with a 51d drange greater than 2 standard details of the first and 4005. As can clearly be seen it appointed of differentially expressed tencority and detected in the experiment design is backet on the first and analysis of the details of the market 4005. As can clearly be seen it appointed of differentially expressed tencority and detected and the details of the details and on attribute to more and those first to more in the seture.

ACKNOWLEDGEMENTS: This project has been generously supported by a grant from the Susan G. Komen Breast Cancer Foundation, Grant No. POP0601150 and the Women's Cancer Association, University of Miami Miller School of Medicine. We also thank the clinicians who contributed to this project. We are continuing this project under a newly awarded DOD Synergy Grant.

### Current ER-/PR-/Her2- Breast Cancer Patients- FFPE Samples

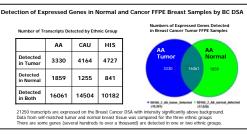
Ethnicity	Normal	Tumor
African-American women (AA)	10 samples	10 samples
Caucasian women (Cau)	8 samples	8 samples
Hispanic women (His)	10 samples	10 samples

#### Methods for RNA Extraction and Hybridization

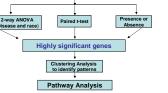
- Patient samples were obtained from the University of Miami Medical School Pathology Department, under IRB approval, as anonymous samples. All samples were from women less than 60 years of age and were known to be ER+/PR+/Her2.
- For each patient, pathologists cut new sections from paraffin-embedded sample blocks for normal lissue and for tumor lissue. Samples were sent to Almae Diagnostics for processing and hybridization to the Breast Cancer DSA<sup>TM</sup> Research Tool.

The following steps were performed by Almac Diagnostics:

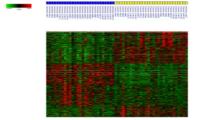
- 1. Isolation of RNA from each sample
- 2. RNA Amplification using the NuGEN FFPE System
- 3. Generation of First Strand cDNA from 10-80 ng of total RNA
- 4. Generation of a DNA/RNA Heteroduplex Double Strand cDNA and amplification
- 5. cDNA Fragmentation and Labelling using a NuGEN System
- Affymetrix Hybridisation Washing, Staining and Scanning Protocol applied to the Breast Cancer DSA™ Research Tool.







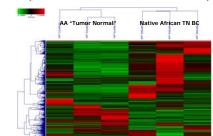
#### Cluster Analysis of Individual Tumor and Matched Normal Samples from Patients of Three Ethnicities



>2-dimentional K-Mean Clustering of 28 patient samples, 10 African-American, 10 Hispanic and 8 Caucasian.

>Two main dendrogram groupings are seen: on the left (blue samples) self-matched normal samples, on the right (yellow samples) the tumor samples. Note the similarity of heatmaps of individual samples with in a dendrogram group.

Native African Triple Negative Breast Cancer Comparison with Normal Breast Tissue Samples

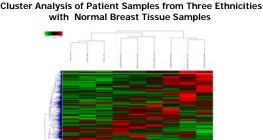


>A limited test set of triple negative breast cancer samples were obtained from collaborators at the Kijabe Kenya Hospital.

Presented here are results from analysis of the first three native African samples. Initial comparison shows that high quality RNA can be extracted from the Kijabe samples.

>All samples were anonymous FFPE samples, hormone receptor status was verified by UM Pathology.

>An analysis of additional Kijabe TN BC samples with African American "tumor normal" and "normal normal" tissue samples is underway.



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> Three main dendrogram groupings of transcripts are seen: on the left are the clustered tumor samples, second (the middle group) are the self matched normal cells adjacent to the patient tumor cells (termed "tumor normal"), lastly on the right is a cluster of "normal normal" samples obtained from noncancer patients undergoing reduction mammoplasty. (up-regulation of genes red and down-regulation green).

> "Normal Normal" samples included 4 African-American, 3 Hispanic and 3 Caucasian samples.

The 2-dimentional clustering of intensity data included 1442 probe sets selected based on their intensity (intensity>Background+3stdev, p-value <=0.01), 2-way ANOVA p\*<0.01 (With MTC by Benjamini-Hochberg FDR).</p>

- > Although "tumor normal" cells appear to be normal by pathology, it is apparent that gene expression alterations have occurred when compared to
- apparent that gene expression alterations have occurred when compared to "normal normal" tissue from non-cancer patients.

#### Summary and Conclusions

Gene expression differences have been demonstrated to exist between BC "tumor normal" and "normal normal" tissue; suggesting that the tumor microenvironment has a strong influence upon surrounding "normal" cells.

Current Status of Project:

- 1. We are selecting approximately 10 differentially expressed genes for validation by qRT-PCR, and we are extracting DNA from a subset of these specimens for hybridization to highdensity SNP arrays, to assay possible DNA copy number variations (CNV's) and/or LOH in tumor samples.
- We are currently adding triple negative breast cancer samples from native Africans (Kijabe, Kenya) to the study. Initial studies show that high quality RNA can be obtained from the samples, and show gene expression difference from African-American samples.
- The ability to obtain high quality RNA expression data from FFPE samples, illustrated here, offers new possibilities for genetic studies. Completion of this study should result in significant findings regarding genome-wide alterations associated with BC in several ethnic/racial groups, and increase understanding of the biological basis of ethnic-specific disparities in BC occurrence, mortality and therapeutic response.