

Real-Time Observational Data Provision and Sampling Strategy Optimization for Adaptive Sampling and Prediction of the Ocean

James G. Bellingham
Monterey Bay Aquarium Research Institute
7700 Sandholdt Road
Moss Landing, CA 95039
Phone: (831) 775-1731 fax: (831) 775-1646 email: jgb@mbari.org

Grant Number: N00014-02-10856
<http://aosn.mbari.org/>

LONG-TERM GOALS

The long-term goals of this project are defined in its charter:

“We are designing and building an adaptive coupled observation/modeling system.

- *The system will use oceanographic models to assimilate data from a variety of platforms and sensors into synoptic views of oceanographic fields and fluxes.*
- *The system will adapt deployment of mobile assets to improve performance and optimize detection and measurement of fields and features of particular interest.*
- *The system should be sustainable in its operation, and capable of being readily relocated, in its final form.*

OBJECTIVES

The project seeks to understand and address the opportunities for large-scale ocean observing systems for ocean climate and ecosystem assessment. An extended coastal observatory is a realizable first step. Technical effort is focused on developing key components, specifically: designing tools for optimizing observation system performance, data systems, and mobile autonomous platform technology. For observation system design, we are developing methodologies for configuring and quantifying the performance of observing systems for different objectives such as field reconstruction and flux estimation. Currently we are focusing on methods of designing and evaluating AUV surveys for ocean flux estimation. Our data management objective is to enhance the data system that successfully ran for the AOSN-II and MB2006 experiments, by developing the data management components that make the data easy to find and to access across the collections simultaneously. Our development of a long range autonomous underwater vehicle (LRAUV) has passed a system-level critical design review (CDR). Mechanical, electrical, and software designs and tests are all in advanced stages. Field tests are expected in late 2008.

APPROACH

We have developed an analytical approach for designing and evaluating AUV survey strategies for ocean flux estimation from the vehicles' non-synoptic measurements. We

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 30 SEP 2008		2. REPORT TYPE Annual		3. DATES COVERED 00-00-2008 to 00-00-2008	
4. TITLE AND SUBTITLE Real-Time Observational Data Provision And Sampling Strategy Optimization For Adaptive Sampling And Prediction Of The Ocean				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Monterey Bay Aquarium Research Institute, 7700 Sandholdt Road, Moss Landing, CA, 95039				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT The project seeks to understand and address the opportunities for large-scale ocean observing systems for ocean climate and ecosystem assessment. An extended coastal observatory is a realizable first step. Technical effort is focused on developing key components, specifically: designing tools for optimizing observation system performance, data systems, and mobile autonomous platform technology.					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 6	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

are applying it to heat flux estimation in Monterey Bay using ocean model data and field data from the MB2006 experiment. Flux estimation has a different objective function from that for ocean field reconstruction. Computation of flux involves integration in space which is equivalent to low-pass filtering. This implies that the flux estimation error will be lower than the field reconstruction error. New questions arise: do we reap any gain in estimation accuracy by deploying a larger number of relatively slow gliders than a smaller number of relatively fast AUVs, if their total speeds are the same? To verify our theoretical analysis, we are now running AUV simulations using ocean model data. We have also started processing AUV field data from the MB2006 experiment, with the goal of calculating heat flux across the control volume.

WORK COMPLETED

In late 2007, we completed our work on selecting ocean observing locations by supplementing the consideration of mismatch between the base data set (from which we extract the field's spatial modes) and the observations. This consideration is important because in practice, we encounter measurement noise and errors in the base data set. In April 2008, our paper "An Efficient Method of Selecting Ocean Observing Locations for Capturing the Leading Modes and Reconstructing the Full Field" was published in the *Journal of Geophysical Research (Oceans)*. We also continued development of the Metadata Oriented Query Assistant (MOQuA) data exploration tool.

In 2008, we have continued our efforts on AUV data processing and survey design for flux estimation. The science goal of the MB2006 experiment was to close the heat budget in a control volume around an upwelling center at Point Año Nuevo, as shown in Figure 1. The box is about 40 km long and 20 km wide. Two classes of AUVs were deployed to measure temperature, conductivity, and current velocity around and inside the box. Monterey Bay Aquarium Research Institute's propeller-driven Dorado AUV ran at about 1.5 m/s; Scripps Institution of Oceanography's Spray gliders and Woods Hole Oceanographic Institution's Slocum gliders ran at about 0.25 m/s. Current velocity was measured by AUV-borne acoustic Doppler current profilers (ADCPs). In initial processing of Dorado-AUV's current velocity data, we used a series of out-and-back AUV tracks as calibration tracks to make corrections to the raw ADCP data. Then we removed the vehicle's own velocity to extract the earth-referenced current velocity. We also continued to support and maintain the integrity of the MB2006 data set, providing data "snapshots" and data analysis tools to ASAP principal investigators.

RESULTS

For the MB2006 experiment, we processed the Dorado-borne ADCP data in two steps: i. Use the calibration tracks to make corrections to the raw ADCP data. ii. Remove the vehicle's own velocity to extract the earth-referenced current velocity. The result for AUV mission on Day 234 is shown in Figure 1. Current velocities measured by Dorado AUV compare well with those measured by two bottom-mounted ADCPs (installed by the Naval Postgraduate School) near the AUV path, as shown in Figure 2.

Earth-referenced current velocity at 10 m below Dorado AUV. Day 234 of 2006.

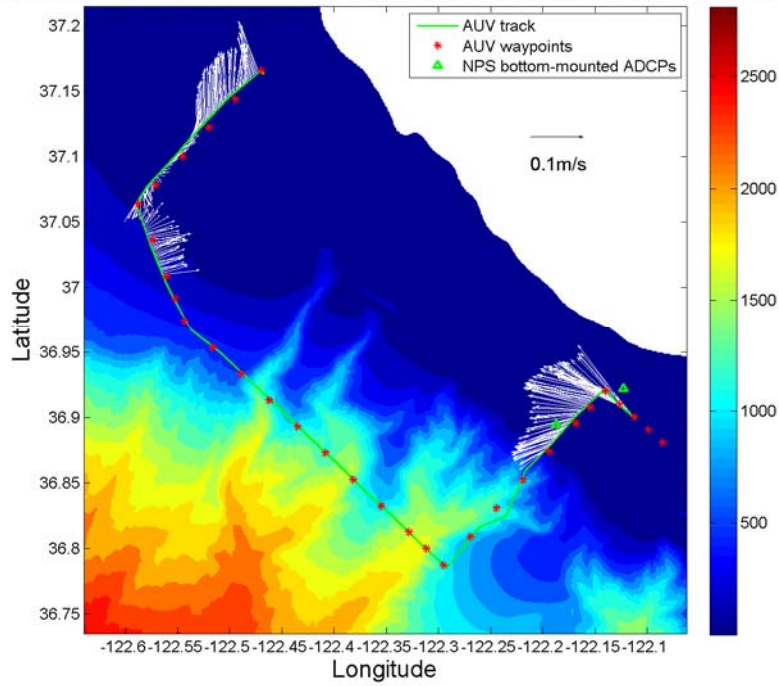


Figure 1. Earth-referenced current velocity measured by MBARI Dorado AUV.

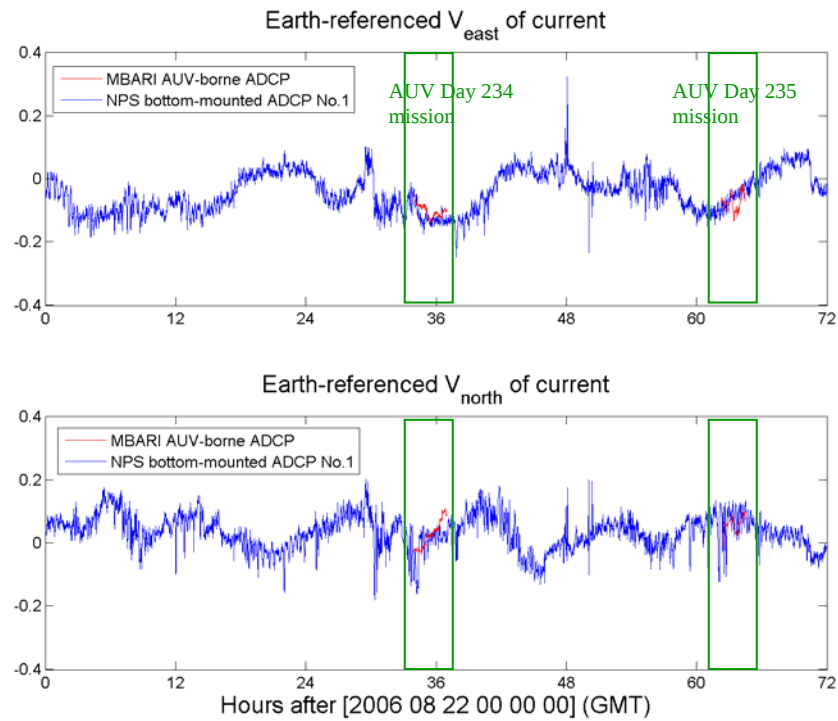


Figure 2. Comparison of Earth-referenced current velocities measured by Dorado AUV and bottom-mounted ADCPs.

In 2006, we developed theoretical error analysis for flux estimation by AUVs. In 2008, we run simulations using the Regional Ocean Modeling System (ROMS) data for Monterey Bay in August 2003. AUVs conduct yo-yo mission (from surface to 100-m depth) on two sides (the southwest and southeast sides) of the MB2006 heat flux box (length = 40 km + 20 km). In Figure 3, we compare the true heat flux with heat flux estimates by one 0.5 m/s AUV, two 0.25 m/s AUVs, and four moorings. It is seen that two 0.25 m/s AUVs provide a more accurate estimate than one 0.5 m/s AUV, while the cumulative speed (vehicle speed $\times$ number of vehicles) is the same. Figure 4 shows the relative mean-square estimation errors at different combinations of vehicle number and single-AUV speed. Two predictions by the theoretical analysis are verified: i. Under the same cumulative speed, a larger number of slower vehicles gives a more accurate estimate than a smaller number of faster vehicles. ii. The performance margin shrinks with the increase of the cumulative speed.

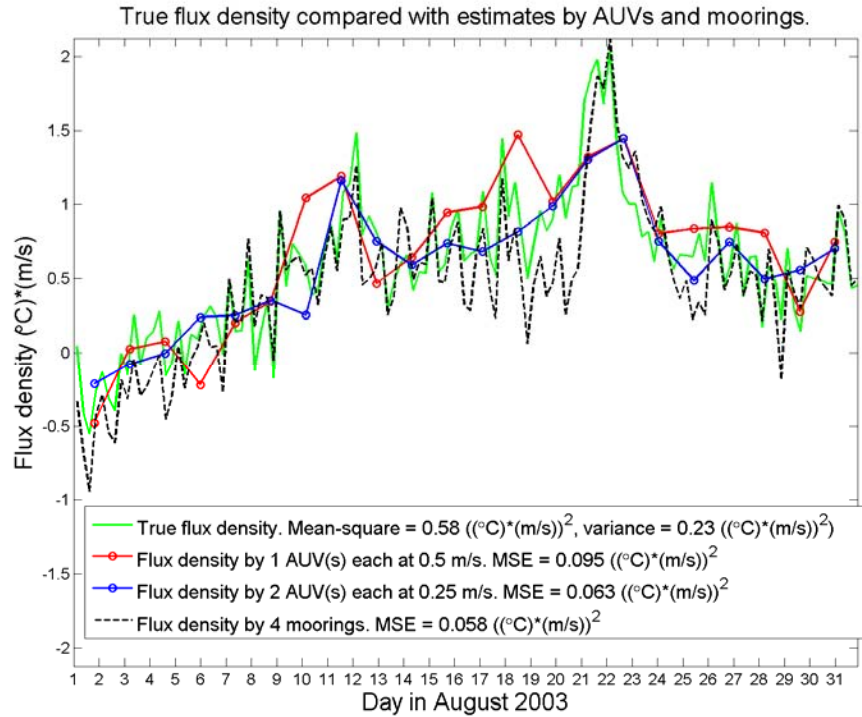


Figure 3. True heat flux density compared with estimates by AUVs and moorings, for two sides (from surface to 100-m depth) of the MB2006 heat flux control volume.

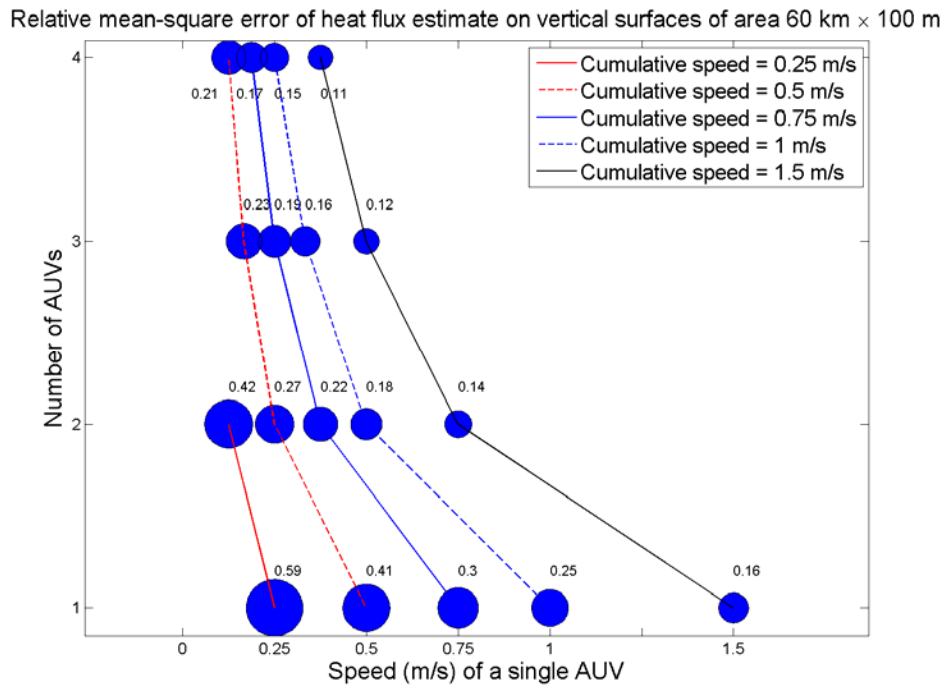


Figure 4. Relative mean-square errors of heat flux estimates by AUVs at different speeds and vehicle numbers.

IMPACT/APPLICATIONS

This project serves as a technology demonstrator to prove concepts and methods for an ocean observing systems. Several large ONR programs are building on progress achieved in the AOSN II program. The data systems and collaborative portals have had particular impact, as they offer the prospect of making long-distance collaboration much more effective. They are under evaluation for adoption by other ONR programs, for example the Impacts of Typhoons effort (ITOP).

RELATED PROJECTS

This program is tightly coupled to the Adaptive Sampling and Prediction (ASAP) program. We have also supported the following ONR programs field efforts (primarily in 2006):

- 1) Layered Organization of the Coastal Ocean (LOCO)
- 2) Assessing the Effects of Submesoscale Ocean Parameterizations (AESOP)
- 3) Persistent Littoral Undersea Surveillance Network (PLUSnet).

As the repository of data associated with several ONR field programs, we also support a variety of requests for data and modeling results from other ONR efforts.

PUBLICATIONS

Bellingham, J. G. and Rajan, K., “Robotics in Remote and Hostile Environments”, *Science*, 16 November 2007: Vol. 318. no. 5853, pp. 1098 – 1102, DOI: 10.1126/science.1146230, 2007.

Zhang, Y. and Bellingham, J. G., “An Efficient Method of Selecting Ocean Observing Locations for Capturing the Leading Modes and Reconstructing the Full Field”, *Journal of Geophysical Research (Oceans)*, 113, C04005, doi:10.1029/2007JC004327, April 2008.

Cokelet, E. D., N. Tervalon, and J. G. Bellingham, “Hydrography of the West Spitsbergen Current,” Svalbard Branch: Autumn 2001, *J. Geophys. Res.*, 113, C01006, doi:10.1029/2007JC004150, 2008.

Bellingham, J. G., “Autonomous Underwater Vehicles,” accepted for *Encyclopedia of Ocean Sciences* 2008.

Bellingham J. G. and Godin, M., “Exploring Ocean Data,” *ACM Sigmod*, Jim Gray Tribute Issue, 37(2) 78-82, 2008.

Robert S. McEwen, Brett W. Hobson, and James G. Bellingham, “Docking Control for a 21” Diameter AUV,” accepted by *IEEE Journal of Oceanic Engineering*, 2008.

Godin, M.A. and Bellingham, J.G., "Data Exploration for Multidisciplinary Research," *Ocean 2007*, Vancouver Canada, pp 1-4 (2007), DOI: 10.1109/OCEANS.2007.4449264

Brett W. Hobson, Robert S. McEwen, Jon Erickson, Thomas Hoover, Lance McBride, Farley Shane and James G. Bellingham, “The Development and Ocean Testing of an AUV Docking Station for a 21” AUV,” *Oceans*, Vancouver, B.C., October 2007.

In addition, Bellingham is a co-author on two papers submitted to the DSR issue on AOSN II, and two AOSN manuscripts are in advanced stages of preparation.