



Tips from an ex-Program Manager



Steve Pappert SSC Pacific, Code 72100 stephen.pappert@navy.mil

> MTO Symposium March 5, 2009



The views, opinions, and/or findings contained in this article/presentation are those of the author/presenter and should not be interpreted as representing the official views or policies, either expressed or implied, of the Defense Advanced Research Projects Agency, the SPAWAR Systems Center, Pacific or the Department of Defense.

**DISTRIBUTION A: APPROVED FOR PUBLIC RELEASE** 

maintaining the data needed, and c including suggestions for reducing	lection of information is estimated to ompleting and reviewing the collect this burden, to Washington Headqu uld be aware that notwithstanding an DMB control number.	ion of information. Send comments arters Services, Directorate for Information	regarding this burden estimate or mation Operations and Reports	or any other aspect of the 1215 Jefferson Davis	nis collection of information, Highway, Suite 1204, Arlington	
1. REPORT DATE  MAR 2009  2. RI		2. REPORT TYPE		3. DATES COVERED <b>00-00-2009</b> to <b>00-00-2009</b>		
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER		
Succeeding with DARPA/MTO: Tips from an ex-Program Manager				5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)				5d. PROJECT NUMBER		
				5e. TASK NUMBER		
				5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  SPAWAR Systems Center, Pacific,Code 72100,San Diego,CA,92147				8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)		
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited						
13. SUPPLEMENTARY NOTES MTO (DARPA Microsystems Technology Office) Symposium, 2009, Mar 2-5, San Jose, CA.						
14. ABSTRACT						
15. SUBJECT TERMS						
16. SECURITY CLASSIFIC	17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON			
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	Same as Report (SAR)	12	RESPUNSIBLE PERSON	

**Report Documentation Page** 

Form Approved OMB No. 0704-0188



# **Background**

# ... Asked to share some insights on DARPA useful for potential performers & government support activities

#### **Credentials:**

• 5 years as DARPA/MTO Program Manager (2003-2008)

Dr. Stephen A. Pappert

- 20 years in government R&D laboratory
  - Supported long line of past DARPA/MTO PMs (1985-2001)
- 2 years running a small telecommunications start-up company (2001-2003)

# Succeeding With MTO It's All About ... "Knowing & Anticipating the Needs of the Customer"



# **Discussion Topics**

- Bullish on MTO
- Know Your Customer (Business 101)
- Tips for:
  - Supporting/Enabling the DARPA Program Manager
  - Approaching DARPA With Your Ideas
  - Developing Successful DARPA Proposals
- The Importance of Technology Thrust Area Champions

All from my MTO-Centric Perspective

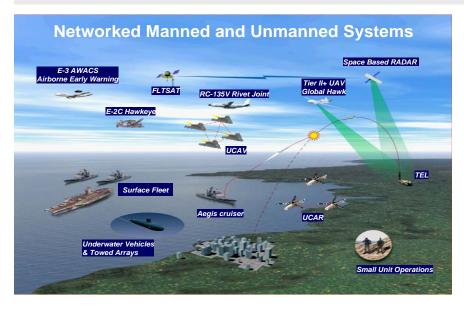




# **MTO Prospectus**

#### Well Positioned Moving Forward – STRONG BUY

#### Military Continues To Push Towards Network Centric Operations/Warfare



See Anything... From Anywhere... At Anytime...

PERSISTENT, STANDOFF SURVEILLANCE

#### **AND**

**Real-Time Global Information Distribution** 

SENSOR TO SHOOTER INFORMATION GRID

We are still a ways from reaching this NCW holy grail

# **Continued push for:**

- Bigger communications pipes
- Higher resolution long range surveillance
- DC to daylight persistent (100% POI) situational awareness

Next generation MTO electronic, photonic & MEMs technologies hold the key to achieving this DoD vision



# **Know Your Customer**

#### **DARPA's Buisness Model**

DARPA is the *Venture Capitalists of the DoD* driven by a herd of entrepreneurial *Program Managers* from industry, government & academia

# DARPA Strategy:

- Flexibility & ability to quickly exploit emerging situations is highest priority
- Emphasize high technical risk and high focus investments
- An investment firm, not R&D lab
- Continuity provided by industry, other government agencies, and customer

# DARPA Operations:

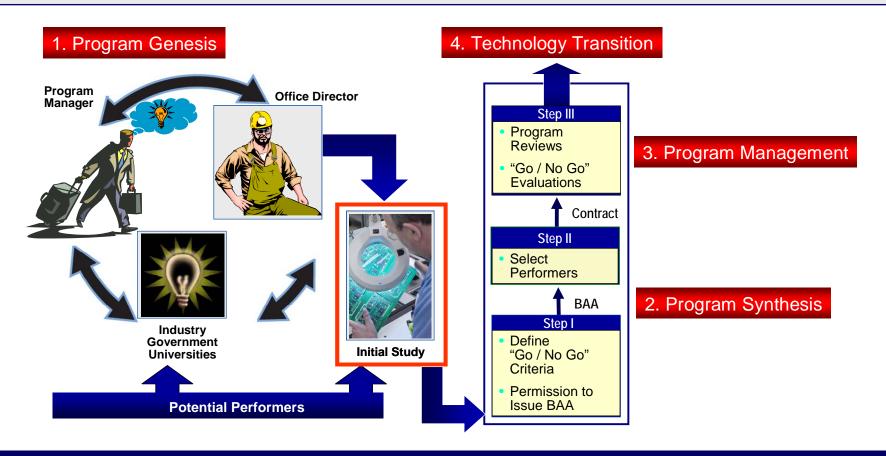
- Flat and small organization
- Constant rotation of programs, program managers, directors, and priorities
- Small internal contracting shop
- NOT DRIVEN BY FORMAL MILITARY REQUIREMENTS

# - The DARPA Program Manager is the Customer -



# The DARPA Program Manager

PM JOB DESCRIPTION: <u>Develop</u>, <u>Sell</u>, <u>Execute</u> & <u>Transition</u> novel technical ideas that radically improve/enable a wide range of military systems/capabilities

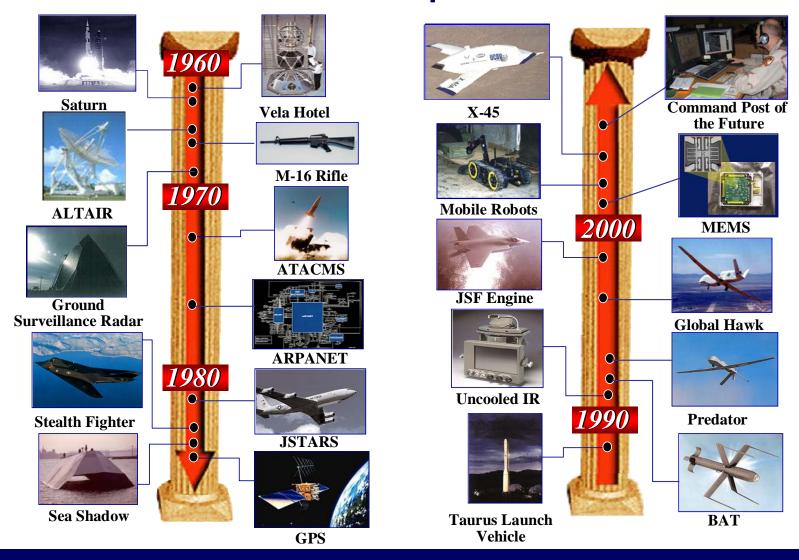


The Program Manager is an unconstrained virtual CEOYour goal is to be part of his virtual "Company" -



# The DARPA PM Thinks Big!

# **DARPA Accomplishments**



**BOTTOM LINE:** The bigger you think, the more intrigued the PM will be with you



# **DARPA Program Development**

# What makes a DARPA Program: The Heilmeier Criteria

- 1. What are we trying to do? What is the problem we are trying to solve?
- 2. How is it done today, and what are the limitations of current practice?
- 3. What is new in our approach, and why do we think it will be successful? What gives evidence that it will work?
- 4. Assuming we are successful, what difference does it make?
- 5. How long will it take, how much will it cost, and what are the mid-term and final exams?

Dr. George Heilmeier DARPA Director, 1975-1977

- The earlier you get involved in helping the PM answer these basic questions, the better your chances of participating in any resulting Program
  - Can be lengthy process
  - Resulting program can be very different from starting point
  - No guarantees



#### **DARPA Facts & Observations for Govies**

#### Some DARPA Facts

- DARPA PMs have temporary appointments (4-6 yrs.)
- Currently a shortage of DARPA PMs
- DARPA has direct access to national technology leaders as advisors & performers
- DARPA PMs have excellent administrative & technical SETA support teams

#### Some DARPA <u>Observations</u>

- PMs primarily rewarded for selling & transitioning programs (always looking for help)
- Government labs typically engage PMs late in Program Development phase
- Government labs ideal for Program test, evaluation, demonstration and transition
- DARPA contracting office is very small (need government labs for contracting/CORing)
- DARPA PMs demand expedient and thorough contracting services (many options)
  - Government labs do play an important role in executing DARPA Programs
  - A shared sense of urgency is critical to PM's satisfaction level
  - Be responsive cheerleader for the Program
    - Take as much project/program ownership as PM allows/desires
    - Help solicit stakeholder support & endorsements

If your government agency can't contract efficiently, nothing else matters



# Tips for Approaching DARPA PMs for Technical Work

#### Do your homework and be prepared

- Know the PMs background, programs and interests (<u>www.darpa.mil</u>)
- A bio slide of who you are, where you come from, and what you are offering is helpful
- Understand the business case for your new idea
- Make the PMs job as easy as possible (think thru Heilmeier questions)

#### Get to the main points quickly

- Don't initially approach PM with dozen's of slides
- It is the new technical ideas or unique capability that will best capture the PMs attention

#### If you do generate interest, move forward quickly

- Clearly understand move ahead plan and actions
- Expect lots of homework

#### Don't be offended if the PM is not interested

- PM has many new ideas crossing his desk and he must filter quickly
- PM is time and bandwidth limited

**Bottom line:** If the PM believes you can help him sell a new program, or enhance an existing one, he will be interested



#### **Tips for Preparing Successful DARPA Proposals**

- Read the BAA (over and over)
  - GNG metrics, selection criteria, proposal format, specific guidance/instructions...
- Understand rules for engaging PM throughout solicitation process
- Do your homework & know your competition
  - Know the state-of-art and how your novel idea(s) extends and transforms it
- Highlight your new scientific contributions
  - It is the new technical ideas that are being funding
- Team wisely
  - Each team member role should be clearly described and their value added articulated
- Use the abstract/white paper phase
  - Best opportunity to gage the government's interest & improve your proposal
- Generate high quality proposals
  - Technical quality, thoroughness and accuracy; Professional quality (writing & graphics)
  - Costing

#### After everything else, remember that timing is everything

- You hopefully will win some, and you will lose some

**Bottom line:** The government can't save a flawed proposal no matter how good the idea is



#### **Technology Thrust Area Champions At DARPA**

- DARPA needs Subject Matter Experts ("unconstrained virtual CEOs") to champion targeted thrust areas
- The technical community must actively support these champions (PMs)
- If you think you can be that passionate, visionary champion for your technology area, please consider stepping forward (you won't be sorry)

Start crafting your vision and ideas and join the DARPA/MTO Team!

#### My DARPA Story As An Example:

"The timing was right for me to come to DARPA in 2003 from a **personal** & **professional** perspective. I seized the **opportunity** to catalyze fundamental technology advances that hoped to **change conventional thinking** towards the use of photonics in RF systems, put a **compelling program roadmap** together to support this **vision**, and the **DARPA Director** decided to take a chance on me."

It Was An Unforgettable Career Experience!